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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.

▶ Go to www.irs.gov/Form990PF for instructions and the latest information

OMB No 1545-0052

2017

Open to Public Inspection

For calendar year 2017 or tax year beginning , and ending

Name of foundation: DRAPER RICHARDS KAPLAN FOUNDATION

A Employer identification number: 91-2172351

Number and street (or P O box number if mail is not delivered to street address): 1600 EL CAMINO REAL — Room/suite: 155

B Telephone number: 650-319-7808

City or town, state or province, country, and ZIP or foreign postal code: MENLO PARK, CA 94025

C If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐

2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶$ 42,818,910.

J Accounting method: ☐ Cash ☒ Accrual ☐ Other (specify) (Part I, column (d) must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b) (c) and (d) may not necessarily equal the amounts in column (a).)

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1	Contributions, gifts, grants, etc., received	4,961,738.			
2	Check ▶ ☐ if the foundation is not required to attach Sch B				
3	Interest on savings and temporary cash investments	720,805.	722,751.	722,751.	STATEMENT 2
4	Dividends and interest from securities		1,779.	1,779.	
5a	Gross rents				
b	Net rental income or (loss)				
6a	Net gain or (loss) from sale of assets not on line 10	118,049.			STATEMENT 1
b	Gross sales price for all assets on line 6a 11,560,715.				
7	Capital gain net income (from Part IV, line 2)		984,291.		
8	Net short-term capital gain			104,928.	
9	Income modifications				
10a	Gross sales less returns and allowances				
b	Less Cost of goods sold				
c	Gross profit or (loss)				
11	Other income	0.	2,720.	2,720.	STATEMENT 3
12	**Total.** Add lines 1 through 11	5,800,592.	1,711,541.	832,178.	
13	Compensation of officers, directors, trustees, etc	934,484.	0.	934,484.	0.
14	Other employee salaries and wages	1,727,325.	0.	1,727,325.	0.
15	Pension plans, employee benefits	1,539,808.	0.	1,539,808.	0.
16a	Legal fees STMT 4	12,035.	0.	12,035.	0.
b	Accounting fees STMT 5	32,400.	0.	32,400.	0.
c	Other professional fees STMT 6	382,484.	67,653.	314,831.	0.
17	Interest				
18	Taxes STMT 7	9,161.	0.	0.	9,161.
19	Depreciation and depletion	40,752.	0.	0.	
20	Occupancy	405,508.	0.	405,508.	0.
21	Travel, conferences, and meetings	323,514.	0.	323,514.	0.
22	Printing and publications	5,154.	0.	5,154.	0.
23	Other expenses STMT 8	1,053,517.	15,543.	-4,462,881.	5,516,398.
24	**Total operating and administrative expenses** Add lines 13 through 23	6,466,142.	83,196.	832,178.	5,525,559.
25	Contributions, gifts, grants paid	4,950,000.			4,850,000.
26	**Total expenses and disbursements.** Add lines 24 and 25	11,416,142.	83,196.	832,178.	10,375,559.
27	Subtract line 26 from line 12:				
a	Excess of revenue over expenses and disbursements	-5,615,550.			
b	**Net investment income** (if negative, enter -0-)		1,628,345.		
c	**Adjusted net income** (if negative, enter -0-)			0.	

Revenue (lines 1–12); Operating and Administrative Expenses (lines 13–26)

723501 01-03-18 LHA For Paperwork Reduction Act Notice, see instructions. Form **990-PF** (2017)

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Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing	1,527,948.	1,389,798.	1,389,798.
	2 Savings and temporary cash investments	762,344.	321,362.	321,362.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶ 13,465,207.			
	Less: allowance for doubtful accounts ▶	17,580,266.	13,465,207.	13,465,207.
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶	100,000.	0.	0.
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges	63,869.	134,732.	134,732.
	10a Investments - U.S. and state government obligations STMT 9	1,299,086.	812,872.	812,872.
	b Investments - corporate stock			
	c Investments - corporate bonds STMT 10	23,642,387.	24,795,688.	24,795,688.
	11 Investments - land, buildings, and equipment basis ▶			
	Less accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other STMT 11	3,200,661.	984,662.	984,662.
	14 Land, buildings, and equipment: basis ▶ 195,821.			
	Less accumulated depreciation STMT 12 ▶ 79,788.	127,747.	116,033.	116,033.
	15 Other assets (describe ▶ STATEMENT 13)	324,206.	798,556.	798,556.
	16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)	48,628,514.	42,818,910.	42,818,910.
Liabilities	17 Accounts payable and accrued expenses	463,304.	523,653.	
	18 Grants payable	100,000.	100,000.	
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶ STATEMENT 14)	50,708.	46,562.	
	23 Total liabilities (add lines 17 through 22)	614,012.	670,215.	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ [X] and complete lines 24 through 26, and lines 30 and 31.			
	24 Unrestricted	30,434,236.	28,683,488.	
	25 Temporarily restricted	17,580,266.	13,465,207.	
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ [ ] and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg., and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
	30 Total net assets or fund balances	48,014,502.	42,148,695.	
	31 Total liabilities and net assets/fund balances	48,628,514.	42,818,910.	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	48,014,502.
2 Enter amount from Part I, line 27a	2	-5,615,550.
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	42,398,952.
5 Decreases not included in line 2 (itemize) ▶ UNREALIZED LOSSES ON INVESTMENTS	5	250,257.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	42,148,695.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	PUBLICLY TRADED SECURITIES	P	02/10/17	05/16/17
b	CAPITAL GAINS FROM PASSTHROUGH	P	01/01/17	12/31/17
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) ((e) plus (f) minus (g))
a	991,030.		111,667.	879,363.
b	11,560,715.		11,455,787.	104,928.
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69.

	(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a				879,363.
b				104,928.
c				
d				
e				

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7; If (loss), enter -0- in Part I, line 7 }	2	984,291.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	3	104,928.

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation doesn't qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2016	9,314,139.	30,860,211.	.301817
2015	7,327,640.	27,765,109.	.263915
2014	6,018,824.	23,173,856.	.259725
2013	4,700,178.	22,739,851.	.206693
2012	3,794,933.	19,999,013.	.189756

2 Total of line 1, column (d)	2	1.221906
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years	3	.244381
4 Enter the net value of noncharitable-use assets for 2017 from Part X, line 5	4	30,109,395.
5 Multiply line 4 by line 3	5	7,358,164.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	16,283.
7 Add lines 5 and 6	7	7,374,447.
8 Enter qualifying distributions from Part XII, line 4	8	11,025,559.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

7

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: ______ (attach copy of letter if necessary-see instructions)				
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ [X] and enter 1% of Part I, line 27b			1	16,283.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations, enter 4% of Part I, line 12, col. (b)				
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)			2	0.
3 Add lines 1 and 2			3	16,283.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)			4	0.
5 **Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-			5	16,283.
6 Credits/Payments:				
a 2017 estimated tax payments and 2016 overpayment credited to 2017	6a	29,705.		
b Exempt foreign organizations - tax withheld at source	6b	0.		
c Tax paid with application for extension of time to file (Form 8868)	6c	7,000.		
d Backup withholding erroneously withheld	6d	0.		
7 Total credits and payments. Add lines 6a through 6d			7	36,705.
8 Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached			8	0.
9 **Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶			9	
10 **Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶			10	20,422.
11 Enter the amount of line 10 to be: **Credited to 2018 estimated tax** ▶ 0. **Refunded** ▶			11	20,422.

Part VII-A Statements Regarding Activities

		Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b Did it spend more than $100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition	1b		X
If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.			
c Did the foundation file **Form 1120-POL** for this year?	1c		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: **(1)** On the foundation. ▶ $ 0. **(2)** On foundation managers. ▶ $ 0.			
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ 0.			
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities	2		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3		X
4a Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a	X	
b If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b	X	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by *General Instruction T*	5		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7 Did the foundation have at least $5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	7	X	
8a Enter the states to which the foundation reports or with which it is registered. See instructions. ▶ CA, MA			
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G*? If "No," attach explanation	8b	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2017 or the tax year beginning in 2017? See the instructions for Part XIV. If "Yes," complete Part XIV	9	X	
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		X

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ WWW.DRKFOUNDATION.ORG

14 The books are in care of ▶ MARY LACHNIT Telephone no ▶ 650-319-7808
Located at ▶ 1600 EL CAMINO REAL, SUITE 155, MENLO PARK, CA ZIP+4 ▶ 94025

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A

			Yes	No
16	At any time during calendar year 2017, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for FinCEN Form 114 If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

			Yes	No
	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			
1a	During the year, did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☒ Yes ☐ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception**. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions	1b		X
	Organizations relying on a current notice regarding disaster assistance, check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2017?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
a	At the end of tax year 2017, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2017? ☐ Yes ☒ No			
	If "Yes," list the years ▶ ____, ____, ____, ____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions.) N/A	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ ____, ____, ____, ____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2017 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2017.) N/A	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2017?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

			Yes	No
5a During the year, did the foundation pay or incur any amount to:				
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	Yes [X] No			
(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	Yes [X] No			
(3) Provide a grant to an individual for travel, study, or other similar purposes?	Yes [X] No			
(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions	[X] Yes No			
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	Yes [X] No			
b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions		5b		X
Organizations relying on a current notice regarding disaster assistance, check here ▶ ☐				
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? SEE STATEMENT 16	[X] Yes No			
If "Yes," attach the statement required by Regulations section 53.4945-5(d).				
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	Yes [X] No			
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		6b		X
If "Yes" to 6b, file Form 8870.				
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	Yes [X] No			
b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?	N/A	7b		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, and foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 15		741,429.	193,056.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
CARTER MITCHELL STEWART - 1600 EL CAMINO REAL #155, MENLO PARK, CA	MANAGING DIRECTOR 50.00	220,691.	72,120.	0.
STEPHANIE DODSON - 1600 EL CAMINO REAL #155, MENLO PARK, CA 94025	MANAGING DIRECTOR 50.00	205,246.	74,741.	0.
NATHALIE LAIDLER-KYLANDER - 1600 EL CAMINO REAL #155, MENLO PARK, CA	MANAGING DIRECTOR 50.00	198,450.	75,804.	0.
NANCY HUANG - 1600 EL CAMINO REAL #155, MENLO PARK, CA 94025	CHIEF OF STAFF 50.00	153,075.	58,699.	0.
REBECCA WEINTRAUB - 1600 EL CAMINO REAL #155, MENLO PARK, CA 94025	MANAGING DIRECTOR 25.00	141,208.	28,002.	0.
Total number of other employees paid over $50,000 ▶				13

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over $50,000 for professional services ▶ 0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 SEE STATEMENT 17	5,450,000.
2 SEE STATEMENT 18	5,054,103.
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 UPTRUST - TO REDUCE UNNECESSARY INCARCERATION.	200,000.
2 FINCH THERAPEUTICS - TO EXPAND SAFE ACCESS TO FECAL MICROBIOTA TRANSPLANTATION FOR PATIENTS SUFFERING FROM RECURRENT CLOSTRIDIUM DIFFICILE INFECTIONS.	150,000.
All other program-related investments. See instructions.	
3 INVESTMENTS IN LANDED, INC. TO PROVIDE FINANCIAL ASSISTANCE TO ESSENTIAL WORKERS (POLICE, FIREFIGHTER, TEACHER, ETC.) TO ENABLE THEM TO PURCHASE HOMES IN COMMUNITIES WHERE THE ESSENTIAL WORKERS SERVE BUT FACE CONSTRAINTS IN FINDING AFFORDABLE HOUSING AND KINVOLVED, INC. TO PROMOTE SCHOOL ATTENDANCE.	300,000.
Total. Add lines 1 through 3 ▶	650,000.

Form **990-PF** (2017)

Part X **Minimum Investment Return** (All domestic foundations must complete this part Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	27,018,894.
b	Average of monthly cash balances	1b	1,631,070.
c	Fair market value of all other assets	1c	1,917,950.
d	**Total** (add lines 1a, b, and c)	1d	30,567,914.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e 0.		
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	30,567,914.
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	458,519.
5	**Net value of noncharitable-use assets** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	30,109,395.
6	**Minimum investment return.** Enter 5% of line 5	6	1,505,470.

Part XI **Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here ▶ [X] and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	
2a	Tax on investment income for 2017 from Part VI, line 5 — 2a		
b	Income tax for 2017. (This does not include the tax from Part VI) — 2b		
c	Add lines 2a and 2b	2c	
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	
6	Deduction from distributable amount (see instructions)	6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	

Part XII **Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	10,375,559.
b	Program-related investments - total from Part IX-B	1b	650,000.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	11,025,559.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	16,283.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	11,009,276.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII **Undistributed Income** (see instructions)

N/A

	(a) Corpus	(b) Years prior to 2016	(c) 2016	(d) 2017
1 Distributable amount for 2017 from Part XI, line 7				
2 Undistributed income, if any, as of the end of 2017				
a Enter amount for 2016 only				
b Total for prior years: ______ , ______ , ______				
3 Excess distributions carryover, if any, to 2017:				
a From 2012				
b From 2013				
c From 2014				
d From 2015				
e From 2016				
f Total of lines 3a through e				
4 Qualifying distributions for 2017 from Part XII, line 4: ▶ $				
a Applied to 2016, but not more than line 2a				
b Applied to undistributed income of prior years (Election required - see instructions)				
c Treated as distributions out of corpus (Election required - see instructions)				
d Applied to 2017 distributable amount				
e Remaining amount distributed out of corpus				
5 Excess distributions carryover applied to 2017 (If an amount appears in column (d), the same amount must be shown in column (a).)				
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income. Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b. Taxable amount - see instructions				
e Undistributed income for 2016. Subtract line 4a from line 2a Taxable amount - see instr.				
f Undistributed income for 2017. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2018				
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)				
8 Excess distributions carryover from 2012 not applied on line 5 or line 7				
9 **Excess distributions carryover to 2018.** Subtract lines 7 and 8 from line 6a				
10 Analysis of line 9:				
a Excess from 2013				
b Excess from 2014				
c Excess from 2015				
d Excess from 2016				
e Excess from 2017				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2017, enter the date of the ruling ▶ 12/12/01

b Check box to indicate whether the foundation is a private operating foundation described in section [X] 4942(j)(3) or [ ] 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2017	(b) 2016	(c) 2015	(d) 2014	(e) Total
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed	0.	0.	0.	0.	0.
b 85% of line 2a	0.	0.	0.	0.	0.
c Qualifying distributions from Part XII, line 4 for each year listed	11,025,559.	9,343,186.	7,365,026.	6,037,947.	33,771,718.
d Amounts included in line 2c not used directly for active conduct of exempt activities	0.	0.	0.	0.	0.
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c	11,025,559.	9,343,186.	7,365,026.	6,037,947.	33,771,718.
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test - enter: (1) Value of all assets					0.
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					0.
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed	1,003,647.	1,028,674.	925,503.	772,462.	3,730,286.
c "Support" alternative test - enter: (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					0.
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					0.
(3) Largest amount of support from an exempt organization					0.
(4) Gross investment income					0.

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year-see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

SEE STATEMENT 19

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ [ ] if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc, to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or email address of the person to whom applications should be addressed:

SEE STATEMENT 20

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information *(continued)*

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient: Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
ACCOUNTABILITY COUNSEL 244 KEARNY STREET, FLOOR 6 SAN FRANCISCO, CA 94108		PC	GENERAL OPERATING SUPPORT	100,000.
ADVENTURE SCIENTISTS (FORMERLY ADVENTURERS & SCIENTISTS FOR CONVERSATION) P.O. BOX 1834 BOZEMAN, MT 59771		PC	GENERAL OPERATING SUPPORT	100,000.
BRAVEN (FORMERLY BEYOND Z) 1046 WEST KINZIE STREET, SUITE 301 CHICAGO, IL 60642		PC	GENERAL OPERATING SUPPORT	100,000.
CAST (COMMUNITY ARTS STABILIZATION TRUST) 70 OTIS STREET SAN FRANCISCO, CA 94103		PC	GENERAL OPERATING SUPPORT	100,000.
CATIE'S CLOSET 19 SCHOOL STREET DRACUT, MA 01826		PC	GENERAL OPERATING SUPPORT	100,000.
Total SEE CONTINUATION SHEET(S)			▶ 3a	4,850,000.
b Approved for future payment				
BAREFOOT LAW PLOT 1544, KOIRE CLOSE, BUKOTO KAMPALA, UGANDA		PC	GENERAL OPERATING SUPPORT	50,000.
OPEN UP RESOURCES (FOMERLY K-12 OER COLLABORATIVE) 1600 EL CAMINO REAL, SUITE 155 MENLO PARK, CA 94025		PC	GENERAL OPERATING SUPPORT	50,000.
Total			▶ 3b	100,000.

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	Related or exempt function income
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	720,805.	
4 Dividends and interest from securities					
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income	900099	2,963.			
8 Gain or (loss) from sales of assets other than inventory	900099	172.	18	114,914.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)		3,135.		835,719.	0.
13 Total. Add line 12, columns (b), (d), and (e)				13	838,854.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes).

Part XVII Information Regarding Transfers to and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions and sharing arrangements
		N/A	

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer or trustee | Date: 11/8/2018 | Title: CHIEF FINANCIAL OFFICER

May the IRS discuss this return with the preparer shown below? See instr ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
MAGA E. KISRIEV		11/7/18		P01008919

Firm's name ▶ HOOD & STRONG LLP — Firm's EIN ▶ 94-1254756

Firm's address ▶ 275 BATTERY ST, STE 900
SAN FRANCISCO, CA 94111 — Phone no 415.781.0793

Form **990-PF** (2017)

723622 01-03-18

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
CENTER FOR THE STUDY OF SOCIAL POLICY 1575 EYE STREET, NW SUITE 500 WASHINGTON, DC 20005		PC	GENERAL OPERATING SUPPORT	50,000.
CITY HEALTH WORKS 127 WEST 127TH STREET, SUITE 207 NEW YORK, NY 10027		PC	PROJECT· FOSTER AMERICA	50,000.
CLEAN ENERGY TRUST 20 N WACKER DRIVE, SUITE 734 CHICAGO, IL 60606		PC	GENERAL OPERATING SUPPORT	50,000.
COMMON MARKET 428 EAST ERIE AVENUE PHILADELPHIA, PA 19134		PC	GENERAL OPERATING SUPPORT	100,000.
COMMUNITY PARTNERS 1000 N. ALAMEDA ST. SUITE 240 LOS ANGELES, CA 90012		PC	PROJECT CENTER FOR THE GOOD FOOD PURCHASING	100,000.
CRISIS TEXT LINE 24 WEST 25TH STREET, FLOOR 6 NEW YORK, NY 10010		PC	GENERAL OPERATING SUPPORT	50,000.
D-REV 695 MINNESOTA STREET SAN FRANCISCO, CA 94107		PC	GENERAL OPERATING SUPPORT	50,000.
EDBUILD 140 BAY STREET, SUITE 2 JERSEY CITY, NJ 07302		PC	GENERAL OPERATING SUPPORT	100,000.
EDUCATION OPENS DOORS P.O. BOX 601971 DALLAS, TX 75360		PC	GENERAL OPERATING SUPPORT	100,000.
EFORALL 175 CABOT STREET, SUITE 100 LOWELL, MA 01854		PC	GENERAL OPERATING SUPPORT	100,000.
Total from continuation sheets				4,350,000.

723631
04-01-17

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
EMPOWER SCHOOLS 24 SCHOOL STREET, 3RD FLOOR BOSTON, MA 02108		PC	GENERAL OPERATING SUPPORT	100,000.
ESSIE JUSTICE GROUP 300 FRANK H OGAWA PLAZA #420 OAKLAND, CA 94612		PC	GENERAL OPERATING SUPPORT	100,000.
FOOD FORWARD INC. 7412 FULTON AVE. #3 NORTH HOLLYWOOD, CA 91605		PC	GENERAL OPERATING SUPPORT	100,000.
FUND FOR THE CITY OF NY 121 SIXTH AVENUE 6TH FL NEW YORK, NY 10013		PC	PROJECT POWER OF TWO	100,000.
GLOBALXPLORER 1500 1ST AVE N STE F104 BIRMINGHAM, AL 35203		PC	GENERAL OPERATING SUPPORT	50,000.
GREENWAVE ORGANIZATION 315 FRONT ST NEW HAVEN, CT 06513		PC	GENERAL OPERATING SUPPORT	50,000.
THE GROUNDTRUTH PROJECT 10 GUEST STREET BOSTON, MA 02135		PC	GENERAL OPERATING SUPPORT	100,000.
IDEO.ORG 444 SPEAR STREET, SUITE 213 SAN FRANCISCO, CA 94105		PC	GENERAL OPERATING SUPPORT	50,000.
IMMIGRANT JUSTICE CORPS 17 BATTERY PLACE, SUITE 236 NEW YORK, NY 10004		PC	GENERAL OPERATING SUPPORT	100,000.
INDUS ACTION INITIATIVES B-19 DEFENCE COLONY NEW DELHI, INDIA 110024		PC	GENERAL OPERATING SUPPORT	150,000.
Total from continuation sheets				

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
JUMA VENTURES 131 STEUART STREET, SUITE 201 SAN FRANCISCO, CA 94105		PC	PROJECT 12 FOR LIFE	50,000.
JUSTICE RAPID RESPONSE 205 EAST 42ND SREET, 20TH FLOOR NEW YORK, NY 10017		PC	GENERAL OPERATING SUPPORT	100,000.
LABORATORIA 61 GREENPOINT AVE STE 114 BROOKLYN, NY 11222		PC	GENERAL OPERATING SUPPORT	100,000.
LANDED 450 TOWNSEND STREET #201 SAN FRANCISCO, CA 94107		NC	GENERAL OPERATING SUPPORT	50,000.
LAVA MAE 3543 18TH STREET, #24 SAN FRANCISCO, CA 94110		PC	GENERAL OPERATING SUPPORT	50,000.
MUSO 3254 19TH STREET, 2ND FLOOR SAN FRANCISCO, CA 94110		PC	GENERAL OPERATING SUPPORT	50,000.
NEST 501 FIFTH AVENUE, SUITE 1608 NEW YORK, NY 10017		PC	GENERAL OPERATING SUPPORT	50,000.
NEW STORY 870 MARKET STREET, SUITE 1142 SAN FRANCISCO, CA 94102		PC	GENERAL OPERATING SUPPORT	100,000.
NOORA HEALTH 2443 FILLMORE STREET, #380-3203 SAN FRANCISCO, CA 94115		PC	GENERAL OPERATING SUPPORT	100,000.
NUDGE FOUNDATION 1227 WILLOWDALE LN IRVING, TX 75063		PC	GENERAL OPERATING SUPPORT	100,000.
Total from continuation sheets				

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
OPEN DOOR LEGAL 4622 3RD ST SAN FRANCISCO, CA 94124		PC	GENERAL OPERATING SUPPORT	50,000.
OPEN UP RESOURCES (FOMERLY K-12 OER COLLABORATIVE) 1600 EL CAMINO REAL, SUITE 155 MENLO PARK, CA 94025		PC	GENERAL OPERATING SUPPORT	50,000.
PHILLIPS BROOKS HOUSE ASSOCIATION 1 HARVARD YARD CAMBRIDGE, MA 02138		PC	PROJECT: Y2Y	100,000.
POLLINATE ENERGY LEVEL 2, 4/1, MILLERS TANK BUND RD VASANTH NAGAR, BENGALURU, KARNATAKA, INDIA 560051		PC	GENERAL OPERATING SUPPORT	150,000.
RAINFOREST CONNECTION 77 VAN NESS AVE, SUITE 101-1717 SAN FRANCISCO, CA 94102		PC	GENERAL OPERATING SUPPORT	100,000.
REGENTS OF THE UNIV OF CA 1111 FRANKLIN STREET OAKLAND, CA 94607		PC	PROJECT· HEAL INITIATIVE (UCSF)	50,000.
RULING OUR EXPERIENCES (ROX) 420 CHARTIERS AVENUE MCKEES ROCKS, PA 15136		PC	GENERAL OPERATING SUPPORT	100,000.
SAFE PASSAGES 250 FRANK OGAWA PLZ STE 6306 OAKLAND, CA 94612		PC	PROJECT ADVANCE PEACE	100,000.
SAVELIFE FOUNDATION USA 200 E 61ST APT 254B NEW YORK, NY 10065		PC	GENERAL OPERATING SUPPORT	50,000.
SERVICE YEAR EXCHANGE 1400 EYE ST. NW, SUITE 900 WASHINGTON, DC 20005		PC	GENERAL OPERATING SUPPORT	100,000.
Total from continuation sheets				

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
SIMPRINTS TECHNOLOGY THE BRADFIELD CENTRE, UNIT 184, CAMBRIDGE SCIENCE PARK, MILTON ROAD CAMBRIDGE, CAMBRIDGESHIRE, UNITED		PC	GENERAL OPERATING SUPPORT	100,000.
SOLUTIONS JOURNALISM NETWORK 79 MADISON AVE, #224 NEW YORK, NY 10016		PC	GENERAL OPERATING SUPPORT	100,000.
SPARK MICROGRANTS 116 W HOUSTON STREET, FLOOR 2 NEW YORK, NY 10012		PC	GENERAL OPERATING SUPPORT	50,000.
STRIVETOGETHER 125 EAST NINTH STREET, 2ND FLOOR CINCINATTI, OH 45202		PC	GENERAL OPERATING SUPPORT	50,000.
STRONGMINDS 515 VALLEY STREET, SUITE 6 MAPLEWOOD, NJ 07040		PC	GENERAL OPERATING SUPPORT	100,000.
THE EARTH GENOME 121 PEPPER DRIVE LOS ALTOS, CA 94022		PC	GENERAL OPERATING SUPPORT	100,000.
THE EMPOWERMENT PLAN 1401 VERMONT ST DETROIT, MI 48216		PC	GENERAL OPERATING SUPPORT	50,000.
THE RESET FOUNDATION P.O. BOX 2305 BERKELEY, CA 94702		PC	GENERAL OPERATING SUPPORT	100,000.
THE TIDES CENTER 1014 TORNEY AVE SAN FRANCISCO, CA 94129		PC	PROJECTS: EYELLIANCE AND FOSTER AMERICA	150,000.
TRANSCEND EDUCATION 159 LINCOLN AVENUE HASTINGS-ON-HUDSON, NY 10706		PC	GENERAL OPERATING SUPPORT	100,000.
Total from continuation sheets				

723631
04-01-17

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
UPSTREAM USA 1630 SAN PABLO AVE SUITE 400 OAKLAND, CA 94612		PC	GENERAL OPERATING SUPPORT	50,000.
URBAN JUSTICE CENTER 40 RECTOR STREET, 9TH FLOOR NEW YORK, NY 10006		PC	PROJECT: INTERNATIONAL REFUGEE ASSISTANCE PROJECT	100,000.
VOTE.ORG 1270 GROVE STREET #301 SAN FRANCISCO, CA 94117		PC	GENERAL OPERATING SUPPORT	50,000.
Total from continuation sheets				

723631
04-01-17

Schedule B (Form 990, 990-EZ, or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.
▶ Go to www.irs.gov/Form990 for the latest information.

OMB No 1545-0047

2017

Name of the organization	Employer identification number
DRAPER RICHARDS KAPLAN FOUNDATION	91-2172351

Organization type (check one)

Filers of:	**Section:**
Form 990 or 990-EZ	☐ 501(c)( ) (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	☐ 527 political organization
Form 990-PF	☒ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule.**
Note: Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule See instructions

General Rule

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling $5,000 or more (in money or property) from any one contributor Complete Parts I and II See instructions for determining a contributor's total contributions

Special Rules

☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of **(1)** $5,000, or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1 Complete Parts I and II

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than $1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals Complete Parts I, II, and III

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc , purposes, but no such contributions totaled more than $1,000 If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc , purpose Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc , contributions totaling $5,000 or more during the year ▶ $ ____________

Caution: An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

Name of organization	Employer identification number
DRAPER RICHARDS KAPLAN FOUNDATION	91-2172351

Part I **Contributors** (see instructions) Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	ARTHUR ROCK ONE MARITIME PLAZA, SUITE 1220 SAN FRANCISCO, CA 94111	$ 200,000.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)
2	COMMUNITY FOUNDATION OF GREATER MEMPHIS 1900 UNION AVENUE MEMPHIS, TN 38104	$ 1,000,000.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)
3	DRAPER FOUNDATION 55 EAST THIRD AVENUE SAN MATEO, CA 94401	$ 50,000.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)
4	FIDELITY CHARITABLE 200 SEAPORT BLVD, MAIL ZONE NCW4B BOSTON, MA 02210	$ 400,000.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)
5	FIDELITY CHARITABLE - THE TRUSTEES' FUND 200 SEAPORT BLVD, MAIL ZONE NCW4B BOSTON, MA 02210	$ 100,000.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)
6	GORDON & BETTY MOORE FOUNDATION 1661 PAGE MILL ROAD PALO ALTO, CA 94304	$ 400,000.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)

Name of organization	Employer identification number
DRAPER RICHARDS KAPLAN FOUNDATION	91-2172351

Part I **Contributors** (see instructions) Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
7	HOUSTON ENDOWMENT 600 TRAVIS STREET, SUITE 6400 HOUSTON, TX 77002	$ 500,000.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)
8	JEWISH COMMUNITY FEDERATION 121 STEUART STREET SAN FRANCISCO, CA 94105	$ 600,000.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)
9	LYDA HILL 2001 ROSS AVENUE, SUITE 4600 DALLAS, TX 75201	$ 500,000.	Person [X] Payroll [ ] Noncash [X] (Complete Part II for noncash contributions)
10	MARIN COMMUNITY FOUNDATION 5 HAMILTON LANDING, SUITE 200 NOVATO, CA 94949	$ 250,000.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)
11	PERKINS HUNTER FOUNDATION 1 SOUTH WACKER DRIVE CHICAGO, IL 60606	$ 50,000.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)
12	SCHWAB CHARITABLE FUND 211 MAIN STREET, FLOOR 10 SAN FRANCISCO, CA 94105	$ 150,000.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)

Name of organization	Employer identification number
DRAPER RICHARDS KAPLAN FOUNDATION	91-2172351

Part I **Contributors** (see instructions) Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
13	THE CROTTY FAMILY FOUNDATION 1 MARINA PARK DRIVE, NO. 1150 BOSTON, MA 02210	$ 62,500.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)
14	THE RICHARDS FOUNDATION P.O. BOX 2545 CARROLLTON, GA 30112	$ 250,000.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)
15	VANGUARD CHARITABLE 2670 WARWICKAVENUE WARWICK, RI 02889	$ 200,000.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)

Name of organization	Employer identification number
DRAPER RICHARDS KAPLAN FOUNDATION	91-2172351

Part II **Noncash Property** (see instructions) Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions.)	(d) Date received
9	16,700 SHARES OF GLOBAL BLOOD THERAPEUTIC	$ 490,145.	04/19/17

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions.)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions.)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions.)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions.)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions.)	(d) Date received
		$	

Name of organization	Employer identification number
DRAPER RICHARDS KAPLAN FOUNDATION	91-2172351

Part III *Exclusively* religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than $1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc , contributions of $1,000 or less for the year (Enter this info once) ▶ $

Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

FORM 990-PF GAIN OR (LOSS) FROM SALE OF ASSETS STATEMENT 1

(A) DESCRIPTION OF PROPERTY		MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
CAPITAL GAINS FROM PASSTHROUGH		PURCHASED	01/01/17	12/31/17

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
11,560,715.	11,442,666.	0.	0.	118,049.

CAPITAL GAINS DIVIDENDS FROM PART IV	0.
TOTAL TO FORM 990-PF, PART I, LINE 6A	118,049.

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 2

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
INTEREST	720,805.	722,751.	722,751.
TOTAL TO PART I, LINE 3	720,805.	722,751.	722,751.

FORM 990-PF OTHER INCOME STATEMENT 3

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME
PASSTHROUGH INCOME	0.	2,720.	
TOTAL TO FORM 990-PF, PART I, LINE 11	0.	2,720.	

FORM 990-PF LEGAL FEES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
LEGAL FEES	12,035.	0.	12,035.	0.
TO FM 990-PF, PG 1, LN 16A	12,035.	0.	12,035.	0.

FORM 990-PF ACCOUNTING FEES STATEMENT 5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	32,400.	0.	32,400.	0.
TO FORM 990-PF, PG 1, LN 16B	32,400.	0.	32,400.	0.

FORM 990-PF OTHER PROFESSIONAL FEES STATEMENT 6

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
OTHER PROFESSIONAL FEES	382,484.	67,653.	314,831.	0.
TO FORM 990-PF, PG 1, LN 16C	382,484.	67,653.	314,831.	0.

FORM 990-PF TAXES STATEMENT 7

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
EXCISE TAXES	9,161.	0.	0.	9,161.
TO FORM 990-PF, PG 1, LN 18	9,161.	0.	0.	9,161.

FORM 990-PF OTHER EXPENSES STATEMENT 8

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ENTREPRENEURIAL EXPENSES	523,590.	0.	523,590.	0.
MEALS & ENTERTAINMENT	109,300.	0.	109,300.	0.
DONORS EVENT	88,706.	0.	88,706.	0.
DUES & SUBSCRIPTIONS	75,602.	0.	75,602.	0.
COMMUNICATIONS	61,262.	0.	61,262.	0.
OFFICE SUPPLIES	56,376.	0.	56,376.	0.
MARKETING	54,827.	0.	54,827.	0.
STAFF TRAINING & DEVELOPMENT	24,838.	0.	24,838.	0.
COMPUTER SUPPLIES & EQUIPMENT	17,895.	0.	17,895.	0.
INSURANCE	14,555.	0.	14,555.	0.
WEBSITE	13,210.	0.	13,210.	0.
REPAIRS & SERVICES	7,908.	0.	7,908.	0.
POSTAGE & DELIVERY	2,834.	0.	2,834.	0.
OTHER EXPENSES	2,614.	0.	2,614.	0.
PASSTHROUGH DEDUCTIONS	0.	15,543.	0.	0.
EXEMPT FUNCTION EXPENSES EXCEEDING INCOME	0.	0.	-5,516,398.	5,516,398.
TO FORM 990-PF, PG 1, LN 23	1,053,517.	15,543.	-4,462,881.	5,516,398.

FORM 990-PF U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS STATEMENT 9

DESCRIPTION	U.S. GOV'T	OTHER GOV'T	BOOK VALUE	FAIR MARKET VALUE
MS FUND III GOVERNMENT SECURITIES	X		614,265.	614,265.
MS FUND III MUNICIPAL BONDS		X	198,607.	198,607.
TOTAL U.S. GOVERNMENT OBLIGATIONS			614,265.	614,265.
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS			198,607.	198,607.
TOTAL TO FORM 990-PF, PART II, LINE 10A			812,872.	812,872.

FORM 990-PF CORPORATE BONDS STATEMENT 10

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
MS FUND III FIXED INCOME- SEE ATTACHMENT A	24,795,688.	24,795,688.
TOTAL TO FORM 990-PF, PART II, LINE 10C	24,795,688.	24,795,688.

FORM 990-PF OTHER INVESTMENTS STATEMENT 11

DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
LEGACY VENTURES IV, LLC	FMV	984,662.	984,662.
TOTAL TO FORM 990-PF, PART II, LINE 13		984,662.	984,662.

FORM 990-PF DEPRECIATION OF ASSETS NOT HELD FOR INVESTMENT STATEMENT 12

DESCRIPTION	COST OR OTHER BASIS	ACCUMULATED DEPRECIATION	BOOK VALUE
FURNITURE	58,052.	28,103.	29,949.
EQUIPMENT	21,858.	6,440.	15,418.
LEASEHOLD IMPROVEMENTS	42,517.	16,703.	25,814.
INTANGIBLE ASSETS	73,394.	28,542.	44,852.
TOTAL TO FM 990-PF, PART II, LN 14	195,821.	79,788.	116,033.

FORM 990-PF OTHER ASSETS STATEMENT 13

DESCRIPTION	BEGINNING OF YR BOOK VALUE	END OF YEAR BOOK VALUE	FAIR MARKET VALUE
DEPOSITS	41,246.	40,946.	40,946.
EMPLOYEE ADVANCES	35,597.	10,247.	10,247.
AVANTI	97,363.	97,363.	97,363.
FINCH THERAPEUTICS, INC	150,000.	150,000.	150,000.
LANDED, INC	0.	150,000.	150,000.
UPTRUST	0.	200,000.	200,000.
KINVOLVED	0.	150,000.	150,000.
TO FORM 990-PF, PART II, LINE 15	324,206.	798,556.	798,556.

FORM 990-PF OTHER LIABILITIES STATEMENT 14

DESCRIPTION	BOY AMOUNT	EOY AMOUNT
ACCRUED PAYROLL LIABILITIES	50,708.	46,562.
TOTAL TO FORM 990-PF, PART II, LINE 22	50,708.	46,562.

FORM 990-PF PART VIII - LIST OF OFFICERS, DIRECTORS TRUSTEES AND FOUNDATION MANAGERS STATEMENT 15

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN-SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
WILLIAM H. DRAPER III 1600 EL CAMINO REAL #155 MENLO PARK, CA 94025	CO-CHAIR 20.00	0.	0.	0.
ROBIN RICHARDS DONOHOE 1600 EL CAMINO REAL #155 MENLO PARK, CA 94025	CO-CHAIR 8.00	0.	0.	0.
ROBERT S. KAPLAN 1600 EL CAMINO REAL #155 MENLO PARK, CA 94025	CO-CHAIR 8.00	0.	0.	0.
JAMES BILDNER 1600 EL CAMINO REAL #155 MENLO PARK, CA 94025	CHIEF EXECUTIVE OFFICER 50.00	315,504.	81,011.	0.
CHRISTINE REMEY CHIN 1600 EL CAMINO REAL #155 MENLO PARK, CA 94025	MANAGING PARTNER 50.00	260,000.	51,327.	0.
JARED THEODORE LEIDERMAN 1600 EL CAMINO REAL #155 MENLO PARK, CA 94025	CHIEF FINANCIAL OFFICER 50.00	165,925.	60,718.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		741,429.	193,056.	0.

FORM 990-PF EXPENDITURE RESPONSIBILITY STATEMENT STATEMENT 16
PART VII-B, LINE 5C

GRANTEE'S NAME

LANDED, INC.

GRANTEE'S ADDRESS

548 MARKET ST, #79600
SAN FRANCISCO, CA 94104

GRANT AMOUNT	DATE OF GRANT	AMOUNT EXPENDED	VERIFICATION DATE
50,000.	04/04/17	50,000.	10/19/18

PURPOSE OF GRANT

TO FUND AND SUPPORT COMPANY'S PROGRAMS WHICH PROVIDE FINANCIAL ASSISTANCE TO ESSENTIAL WORKERS (POLICE, FIREFIGHTERS, TEACHERS AND THE LIKE) TO ENABLE THEM TO PURCHASE HOMES IN COMMUNITIES WHERE THE ESSENTIAL WORKERS SERVE BUT FACE CONSTRAINTS IN FINDING AFFORDABLE HOUSING.

ANY DIVERSION BY GRANTEE

NONE

RESULTS OF VERIFICATION

LANDED CURRENTLY OFFERS DOWN PAYMENT ASSISTANCE AT 93 SCHOOL DISTRICTS WITH 77,000 ELIGIBLE EMPLOYEES, SERVING 970,000 STUDENTS. IN 2018, LANDED HAS EXPANDED TO THROUGHOUT CALIFORNIA, AND LAUNCHED IN DENVER COLORADO AND SEATTLE WASHINGTON.

FORM 990-PF	SUMMARY OF DIRECT CHARITABLE ACTIVITIES	STATEMENT 17

ACTIVITY ONE

IN 2017, THE FOUNDATION FUNDED 59 SOCIAL ENTERPRISE ORGANIZATIONS CREATING SOCIAL CHANGE AROUND THE WORLD THROUGH PROGRAM RELATED INVESTMENTS AND/OR UNRESTRICTED GRANTS.

	EXPENSES
TO FORM 990-PF, PART IX-A, LINE 1	5,450,000.

FORM 990-PF	SUMMARY OF DIRECT CHARITABLE ACTIVITIES	STATEMENT 18

ACTIVITY TWO

THE FOUNDATION PROVIDES EACH PORTFOLIO ORGANIZATION WITH SIGNIFICANT HUMAN AND OTHER SUPPORT SERVICES INCLUDING BOARD SERVICE, STRATEGIC AND OPERATING SUPPORT, FINANCIAL, ORGANIZATIONAL, GOVERNANCE AND LEADERSHIP TRAINING AND A HOST OF OTHER CRITICAL NETWORK AND OTHER SUPPORT THROUGHOUT THE FOUNDATION'S THREE-YEAR COMMITMENT TO EACH ORGANIZATION. BETWEEN INCEPTION AND DECEMBER 31, 2017, DRK HAS FUNDED AND SUPPORTED 127 SOCIAL IMPACT ORGANIZATIONS AROUND THE WORLD.

	EXPENSES
TO FORM 990-PF, PART IX-A, LINE 2	5,054,103.

FORM 990-PF	PART XV - LINE 1A LIST OF FOUNDATION MANAGERS	STATEMENT 19

NAME OF MANAGER

WILLIAM H. DRAPER III
ROBIN RICHARDS DONOHOE
ROBERT S. KAPLAN

FORM 990-PF GRANT APPLICATION SUBMISSION INFORMATION STATEMENT 20
PART XV, LINES 2A THROUGH 2D

NAME AND ADDRESS OF PERSON TO WHOM APPLICATIONS SHOULD BE SUBMITTED

JIM BILDNER, CHIEF EXECUTIVE OFFICER
1600 EL CAMINO REAL, SUITE 155
MENLO PARK, CA 94025

TELEPHONE NUMBER	NAME OF GRANT PROGRAM
650-319-7808	ALL PROGRAMS

EMAIL ADDRESS

N/A

FORM AND CONTENT OF APPLICATIONS

ORGANIZATIONS INTERESTED IN REQUESTING A GRANT FROM THE FOUNDATION ARE ENCOURAGED TO REVIEW OUR FUNDING CRITERIA AND OBJECTIVES FIRST AND THEN SUBMIT AN ONLINE APPLICATION THROUGH OUR WEBSITE AT WWW.DRKFOUNDATION.ORG. THE FOUNDATION REVIEWS ALL ONLINE APPLICATIONS AND BASED ON OUR APPRAISAL OF THE FIT OF THE VENTURE WITH OUR INVESTMENT STYLE, A SELECT NUMBER OF APPLICATIONS WILL MOVE TO THE SUBSEQUENT PHASES OF DILIGENCE.

ANY SUBMISSION DEADLINES

THERE ARE NO DEADLINES FOR SUBMISSION; ACCEPTED ALL YEAR

RESTRICTIONS AND LIMITATIONS ON AWARDS

ORGANIZATIONS MUST BE AT THE BEGINNING OF THEIR DEVELOPMENT. WE TYPICALLY PROVIDE THE ORGANIZATION'S FIRST MAJOR INVESTMENT. WE DO NOT FUND ORGANIZATIONS LATER IN THEIR LIFECYCLE. ORGANIZATIONS MUST BE BASED IN THE UNITED STATES ONLY. PREFERENCE IS GIVEN TO THOSE ORGANIZATIONS THAT ARE NATIONAL OR GLOBAL IN REACH AND SOCIAL ISSUE.

GENERAL EXPLANATION STATEMENT 21

FORM/LINE IDENTIFIER

FORM 990-PF, PART VIII, COLUMN (D):

EXPLANATION:

PART VIII, COLUMN (D) INCLUDES 401K, HEALTH AND LIFE INSURANCE, AND HEALTH SAVINGS ACCOUNT DEPOSITS.

CORPORATE FIXED INCOME

CORPORATE BONDS

Security Description	Trade Date	Face Value	Orig Unit Cost / Adj Unit Cost	Unit Price	Orig Total Cost / Adj Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income / Accrued Interest	Current Yield %
AMERITECH CAPITAL FUNDING CO GUARNTEE	12/9/15	125,000 000	$108 105	$100 156	$135,131 25			$4,031 00	3 21
Coupon Rate 6.450%, Matures 01/15/2018, CUSIP 030955AM0			$100 154		$125,192 48	$125,195 00	$2.52 LT	$3,717 70	
Int. Semi-Annually Jan/Jul 15, Yield to Maturity 2 365%, S&P BBB+ (-); Issued 01/21/98, Asset Class FI & Pref									
FORD MOTOR CREDIT CO LL	12/8/15	200,000 000	100.243	100 012	200,486 00			2,375 00	1.18
Coupon Rate 2 375%, Matures 01/16/2018, CUSIP 34540UAA7			100 005		200,009 83	200,024 00	14 17 LT	2,177 08	
Int Semi-Annually Jan/Jul 16, Yield to Maturity 2 064%; Moody BAA2 S&P BBB, Issued 01/11/13, Asset Class FI & Pref									

DRAPER RICHARDS KAPLAN FOUNDATION
ATTACHMENT A
FORM 990-PF, PART II, LINE 10C DETAIL

EIN 91-2172351
FOR YEAR END 12/31/17

Security Description	Trade Date	Face Value	Orig Unit Cost Adj Unit Cost	Unit Price	Orig Total Cost Adj Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income Accrued Interest	Current Yield %
JP MORGAN CHASE & CO	12/17/15	150,000.000	99 913	99 992	149,869 50			1,350 00	0.90
Coupon Rate 1 800%, Matures 01/25/2018, CUSIP 46625HJG6			99 913		149,869 50	149,988.00	118 50 LT	1,169 99	
Int Semi-Annually Jan/Jul 25, Yield to Maturity 1 905%, Moody A3 S&P A-; Issued 01/25/13, Asset Class· FI & Pref									
VENTAS REALTY LP/CAP CRP	3/31/16	200,000.000	100 000	99.994	200,000 00			2,000 00	1 00
Coupon Rate 2 000%, Matures 02/15/2018; CUSIP 92276MBA2			100 000		200,000 00	199,988 00	(12 00) LT	1,511 11	
Int Semi-Annually Feb/Aug 15, Callable $100 00 on 01/28/18; Yield to Maturity 2 034%; Moody BAA1 S&P BBB+, Issued 12/13/12, Asset Class. FI & Pref									
HEALTHCARE REIT INC	1/25/16	250,000 000	100.366	100 039	250,915 00			2,813 00	1 12
Coupon Rate 2.250%, Matures 03/15/2018; CUSIP 42217KAZ9			100 036		250,089 75	250,097.50	7.75 LT	1,656 24	
Int. Semi-Annually Mar/Sep 15, Yield to Maturity 2.046%; Moody BAA1 S&P BBB+, Issued 12/06/12, Asset Class FI & Pref									
ABBVIE INC	1/26/16	250,000 000	99 678	99 951	249,195.00			2,250 00	0 90
Coupon Rate 1 800%; Matures 05/14/2018, CUSIP 00287YAN9			99 678		249,195.00	249,877 50	682 50 LT	587.50	
Int Semi-Annually May/Nov 14, Yield to Maturity 1 929%; Moody BAA2 S&P A-, Issued 05/14/15, Asset Class· FI & Pref									
BOEING CO	6/23/16	200,000 000	99 900	99 727	199,800.00			950 00	0 47
Coupon Rate 0 950%; Matures 05/15/2018, CUSIP 097023BE4			99 900		199,800 00	199,454.00	(346 00) LT	242 77	
Int Semi-Annually May/Nov 15, Yield to Maturity 1 686%, Moody A2 S&P A, Issued 05/03/13, Asset Class FI & Pref									
TORONTO DOMINION BANK	12/17/15	125,000 000	99 885	99 919	124,856 25			2,188 00	1.75
Coupon Rate 1 750%; Matures 07/23/2018, CUSIP 89114QB64			99 885		124,856 25	124,898 75	42.50 LT	960 06	
Int Semi-Annually Jan/Jul 23, Yield to Maturity 1 895%, Moody AA2 S&P AA-, Issued 07/23/15; Asset Class FI & Pref									
AUTOZONE INC	1/19/16	175,000 000	112 473	102.871	196,827 75			12,469 00	6.92
Coupon Rate 7 125%, Matures 08/01/2018; CUSIP 053332AJ1			102 936		180,138 86	180,024 25	(114 61) LT	5,195 31	
Int. Semi-Annually Feb/Aug 01, Yield to Maturity 2 142%, Moody BAA1 S&P BBB; Issued 08/04/08, Asset Class FI & Pref									
BEST BUY CO INC	11/30/15	125,000 000	104.375	101 646	130,468.75				
Coupon Rate 5 000%, Matures 08/01/2018, CUSIP 086516AM3			100 990		126,237 93	127,057 50	819 57 LT		
	2/18/16	130,000 000	104 250	101 646	135,525 00				
			101 045		131,358 87	132,139 80	780 93 LT		
	Total	255,000 000			265,993.75			12,750.00	4 91
					257,596 80	259,197 30	1,600.50 LT	5,312.50	
Int Semi-Annually Feb/Aug 01, Yield to Maturity 2 142%, Moody BAA1 S&P BBB-; Issued 07/16/13, Asset Class FI & Pref									
DAIMLER FINANCE NA LLC	11/25/15	150,000 000	100 607	100 211	150,910 50			3,563 00	2 37
Coupon Rate 2 375%, Matures 08/01/2018, CUSIP U2339CBF4			100 136		150,203 44	150,316 50	113 06 LT	1,484 37	
Int Semi-Annually Feb/Aug 01, Yield to Maturity 2 008%; Moody A2 S&P A, Issued 08/01/13, Asset Class. FI & Pref									
METLIFE INC	12/8/15	150,000 000	112.799	102 931	169,198 50			10,226 00	6 62
Coupon Rate 6 817%, Matures 08/15/2018, CUSIP 59156RAR9			103 029		154,543 80	154,396 50	(147 30) LT	3,862 96	
Int Semi-Annually Feb/Aug 15; Yield to Maturity 2 047%, Moody A3 S&P A-; Issued 08/15/08, Asset Class FI & Pref									
CAPITAL ONE NA/MCLEAN VA	1/7/16	250,000.000	100 404	100.156	251,010 00			5,875 00	2 34
Coupon Rate 2 350%, Matures 08/17/2018; CUSIP 14042E5V8			100 100		250,249 40	250,390 00	140 60 LT	2,186.80	
Int Semi-Annually Feb/Aug 17; Callable $100 00 on 07/17/18; Yield to Call 2 059%, Moody BAA1 S&P BBB+, Issued 08/18/15; Asset Class FI & Pref									

Security Description	Trade Date	Face Value	Orig Unit Cost / Adj Unit Cost	Unit Price	Orig Total Cost / Adj Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income / Accrued Interest	Current Yield %
GILEAD SCIENCES INC	1/27/16	243,000 000	100 685	100 004	244,664 55			4,496 00	1 85
Coupon Rate 1.850%, Matures 09/04/2018, CUSIP 375558BE2			100 181		243,439 75	243,009 72	(430 03) LT	1,461 03	
Int. Semi-Annually Mar/Sep 04, Yield to Maturity 1 843%, Moody A3 S&P A; Issued 09/14/15, Asset Class FI & Pref									
TORONTO-DOMINION BANK	8/30/16	150,000 000	99 982	99 641	149,973 00			2,175 00	1.45
Coupon Rate 1 450%, Matures 09/06/2018, CUSIP 89114QBN7			99 982		149,973 00	149,461 50	(511.50) LT	694 79	
Int Semi-Annually Mar/Sep 06, Yield to Maturity 1.983%, Moody AA2 S&P AA-, Issued 09/06/16, Asset Class· FI & Pref									
TOYOTA MOTOR CREDIT CORP	12/10/15	150,000 000	101 112	100 125	151,668 00			3,000 00	1 99
Coupon Rate 2 000%, Matures 10/24/2018, CUSIP 89236TAY1			100 322		150,482 37	150,187.50	(294.87) LT	558.33	
Int. Semi-Annually Apr/Oct 24, Yield to Maturity 1 843%; Moody AA3 S&P AA-, Issued 10/24/13, Asset Class FI & Pref									
BNP PARIBAS	1/13/16	125,000 000	101 580	100 282	126,975 00			3,000.00	2 39
Coupon Rate 2 400%, Matures 12/12/2018, CUSIP 05574LTX6			100 526		125,657 03	125,352 50	(304 53) LT	158 33	
Int Semi-Annually Jun/Dec 12, Yield to Maturity 2 097%, Moody AA3 S&P A, Issued 12/12/13, Asset Class FI & Pref									
JOHN DEERE CAPITAL CORP	1/5/16	150,000.000	99 994	99.915	149,991 00			2,925 00	1 95
Coupon Rate 1.950%, Matures 01/08/2019, CUSIP 24422ETE9			99.994		149,991 00	149,872.50	(118 50) LT	1,405 62	
Int Semi-Annually Jan/Jul 08, Yield to Maturity 2 035%, Moody A2 S&P A, Issued 01/08/16, Asset Class. FI & Pref									
DR PEPPER SNAPPLE GROUP	1/22/16	225,000 000	100 781	100.315	226,757 25			5,850.00	2 59
Coupon Rate 2 600%, Matures 01/15/2019, CUSIP 26138EAN9			100.280		225,628 97	225,708 75	79.78 LT	2,697.49	
Int Semi-Annually Jan/Jul 15; Yield to Maturity 2 291%, Moody BAA1 S&P BBB+; Issued 11/15/11, Asset Class FI & Pref									
GENERAL MOTORS FINL CO	1/22/16	225,000.000	99 523	100.535	223,926 75				
Coupon Rate 3 100%; Matures 01/15/2019, CUSIP 37045XBB1			99 523		223,926 75	226,203 75	2,277 00 LT		
	2/26/16	75,000.000	99 099	100 535	74,324 18				
			99 099		74,324 18	75,401 25	1,077 07 LT		
	Total	300,000 000			298,250 93			9,300 00	3 08
					298,250.93	301,605 00	3,354 07 LT	4,288 33	
Int Semi-Annually Jan/Jul 15; Yield to Maturity 2 574%, Moody BAA3 S&P BBB, Issued 10/13/15, Asset Class FI & Pref									
ORACLE CORP	10/14/16	100,000.000	102 209	100 417	102,209.00			2,375 00	2 36
Coupon Rate 2 375%; Matures 01/15/2019, CUSIP 68389XAQ8			101 033		101,033 11	100,417 00	(616 11) LT	1,095 13	
Int Semi-Annually Jan/Jul 15, Yield to Maturity 1 967%, Moody A1 S&P AA-, Issued 07/16/13, Asset Class FI & Pref									
SYNCHRONY FINANCIAL	12/1/15	150,000 000	99.974	100 189	149,961 00				
Coupon Rate 2 600%, Matures 01/15/2019, CUSIP 87165BAJ2			99 974		149,961 00	150,283 50	322 50 LT		
	2/26/16	150,000 000	99 488	100 189	149,232 00				
			99 488		149,232 00	150,283 50	1,051.50 LT		
	Total	300,000 000			299,193 00			7,800 00	2.59
					299,193 00	300,567.00	1,374 00 LT	3,596 66	
Int Semi-Annually Jan/Jul 15, Callable $100 00 on 12/15/18, Yield to Call 2 398%; S&P BBB-, Issued 12/04/15, Asset Class. FI & Pref									
WELLS FARGO & COMPANY	1/29/16	291,000 000	100 981	100.161	293,854.71			6,257 00	2 14
Coupon Rate 2 150%, Matures 01/15/2019, CUSIP 94974BFQ8			100 351		292,022 43	291,468.51	(553 92) LT	2,884 94	

DRAPER RICHARDS KAPLAN FOUNDATION
ATTACHMENT A
FORM 990-PF, PART II, LINE 10C DETAIL

EIN 91-2172351
FOR YEAR END 12/31/17

Security Description	Trade Date	Face Value	Orig Unit Cost Adj Unit Cost	Unit Price	Orig Total Cost Adj Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income Accrued Interest	Current Yield %
Int. Semi-Annually Jan/Jul 15, Yield to Maturity 1 992%, Moody A2 S&P A, Issued 10/28/13, Asset Class FI & Pref									
ANHEUSER-BUSCH INBEV FIN	1/13/16	140,000 000	99.729	99 793	139,620 60			2,660 00	1 90
Coupon Rate 1 900%; Matures 02/01/2019, CUSIP 035242AG1			99 729		139,620 60	139,710 20	89 60 LT	1,108 33	
Int Semi-Annually Feb/Aug 01, Yield to Maturity 2 094%, Moody A3 S&P A-, Issued 01/25/16, Asset Class· FI & Pref									
SIMON PROPERTY GROUP LP	1/27/16	275,000 000	101 318	100 097	278,623 40			6,050 00	2.19
Coupon Rate 2 200%, Matures 02/01/2019; CUSIP 828807CQ8			100 484		276,329 95	275,266 75	(1,063 20) LT	2,520.83	
Int. Semi-Annually Feb/Aug 01, Callable $100 00 on 11/01/18, Yield to Call 2 080%, Moody A2 S&P A, Issued 01/21/14, Asset Class FI & Pref									
THERMO FISHER SCIENTIFIC	2/2/16	250,000 000	100 750	100 244	251,875 00			6,000 00	2 39
Coupon Rate 2 400%, Matures 02/01/2019, CUSIP 883556BE1			100 277		250,693 17	250,610 00	(83 17) LT	2,500 00	
Int Semi-Annually Feb/Aug 01, Yield to Maturity 2 170%, Moody BAA2 S&P BBB, Issued 12/11/13, Asset Class FI & Pref									
CISCO SYSTEMS INC	2/4/16	225,000 000	110 075	103 244	247,668 75			11,138 00	4 79
Coupon Rate 4 950%, Matures 02/15/2019, CUSIP 17275RAE2			103 801		233,551 36	232,299 00	(1,252.36) LT	4,207 50	
Int Semi-Annually Feb/Aug 15; Yield to Maturity 2.010%; Moody A1 S&P AA-, Issued 02/17/09, Asset Class FI & Pref									
TOYOTA MOTOR CREDIT CORP	2/16/16	100,000 000	99 988	99 555	99,988 00			1,700 00	1.70
Coupon Rate 1 700%, Matures 02/19/2019, CUSIP 89236TCU7			99 988		99,988 00	99,555 00	(433 00) LT	623 33	
Int Semi-Annually Feb/Aug 19, Yield to Maturity 2 099%, Moody AA3 S&P AA-, Issued 02/19/16, Asset Class· FI & Pref									
APPLE INC	2/16/16	200,000.000	99 983	99 765	199,966 00			3,400 00	1 70
Coupon Rate 1 700%, Matures 02/22/2019, CUSIP 037833BQ2			99 983		199,966 00	199,530 00	(436 00) LT	1,208 88	
Int. Semi-Annually Feb/Aug 23, Yield to Maturity 1 908%, Moody AA1 S&P AA+, Issued 02/23/16, Asset Class FI & Pref									
CISCO SYSTEM INC	2/22/16	100,000 000	99 971	99 569	99,971 00			1,600 00	1 60
Coupon Rate 1 600%; Matures 02/28/2019, CUSIP 17275RBB7			99.971		99,971 00	99,569 00	(402 00) LT	546 66	
Int. Semi-Annually Feb/Aug 28, Yield to Maturity 1 978%, Moody A1 S&P AA-, Issued 02/29/16, Asset Class FI & Pref									
SANTANDER UK PLC	6/17/16	300,000 000	101 773	100.280	305,319 00			7,500 00	2 49
Coupon Rate 2 500%; Matures 03/14/2019, CUSIP 80283LAK9			100 792		302,376 41	300,840 00	(1,536 41) LT	2,229 16	
Int Semi-Annually Mar/Sep 14; Yield to Maturity 2 261%; Moody AA3 S&P A, Issued 03/14/16, Asset Class FI & Pref									
BERKSHIRE HATHAWAY FIN	3/8/16	290,000 000	99.924	99 677	289,779 60			4,930 00	1 70
Coupon Rate 1 700%, Matures 03/15/2019, CUSIP 084664CG4			99 924		289,779 60	289,063 30	(716 30) LT	1,451 61	
Int Semi-Annually Mar/Sep 15, Yield to Maturity 1 972%, Moody AA2 S&P AA, Issued 03/15/16, Asset Class FI & Pref									
MEDTRONIC INC	1/14/16	110,000 000	111 327	103 918	122,459 70			6,160 00	5 38
Coupon Rate 5 600%; Matures 03/15/2019; CUSIP 585055AN6			104 408		114,848 47	114,309 80	(538 67) LT	1,813 77	
Int Semi-Annually Mar/Sep 15, Yield to Maturity 2 283%, Moody A3 S&P A, Issued 03/12/09, Asset Class FI & Pref									
IBM CORP	10/21/16	230,000 000	101 264	99 740	232,907 20			4,140.00	1 80
Coupon Rate 1.800%, Matures 05/17/2019, CUSIP 459200JE2			100.686		231,577 15	229,402 00	(2,175 15) LT	505.99	
Int Semi-Annually May/Nov 17, Yield to Maturity 1 992%, Moody A1 S&P A+, Issued 02/19/16, Asset Class· FI & Pref									
CITIGROUP INC	6/3/16	250,000 000	99 948	99.710	249,870 00			5,125 00	2 05
Coupon Rate 2 050%, Matures 06/07/2019, CUSIP 172967KS9			99.948		249,870.00	249,275 00	(595 00) LT	341 66	
Int Semi-Annually Jun/Dec 07, Yield to Maturity 2 256%, Moody BAA1 S&P BBB+, Issued 06/09/16, Asset Class FI & Pref									

DRAPER RICHARDS KAPLAN FOUNDATION
ATTACHMENT A
FORM 990-PF, PART II, LINE 10C DETAIL

EIN 91-2172351
FOR YEAR END 12/31/17

Security Description	Trade Date	Face Value	Orig Unit Cost Adj Unit Cost	Unit Price	Orig Total Cost Adj Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income Accrued Interest	Current Yield %
CATERPILLAR FINANCIAL SE	12/12/16	165,000 000	100.428	100 048	165,706 20			3,465 00	2 09
Coupon Rate 2 100%; Matures 06/09/2019, CUSIP 14912L6B2			100.250		165,413 18	165,079 20	(333 98) LT	211 74	
Int Semi-Annually Jun/Dec 09, Yield to Maturity 2 066%, Moody A3 S&P A, Issued 06/09/14, Asset Class: FI & Pref									
GENERAL ELECTRIC CAPITAL CORP	10/28/16	175,000.000	112 540	105 959	196,945.00			10,500.00	5.66
Coupon Rate 6 000%; Matures 08/07/2019, CUSIP 36962G4D3			107 314		187,800 04	185,428 25	(2,371.79) LT	4,200 00	
Int Semi-Annually Feb/Aug 07, Yield to Maturity 2 188%, Moody A2 S&P A, Issued 08/07/09, Asset Class· FI & Pref									
HONEYWELL INTERNATIONAL	10/25/16	200,000 000	99 921	98 917	199,842 00			2,800 00	1 41
Coupon Rate 1 400%; Matures 10/30/2019, CUSIP 438516BJ4			99 921		199,842.00	197,834 00	(2,008 00) LT	466 66	
Int Semi-Annually Apr/Oct 30, Yield to Maturity 2 005%, Moody A2 S&P A; Issued 10/31/16; Asset Class. FI & Pref									
APPLE INC	11/7/17	100,000 000	99.951	99 640	99,951 00			1,800 00	1 80
Coupon Rate 1 800%; Matures 11/13/2019, CUSIP 037833DH0			99.951		99,951 00	99,640 00	(311.00) ST	239 99	
Int. Semi-Annually May/Nov 13, Yield to Maturity 1.997%, First Coupon 05/13/18, Moody AA1 S&P AA+, Issued 11/13/17, Asset Class FI & Pref									
UNITEDHEALTH GROUP INC	1/23/17	200,000 000	101 027	100 259	202,054 00			4,600 00	2 29
Coupon Rate 2 300%, Matures 12/15/2019, CUSIP 91324PCG5			100.702		201,403 81	200,518 00	(885 81) ST	204 44	
Int Semi-Annually Jun/Dec 15, Yield to Maturity 2 164%, Moody A3, Issued 12/08/14, Asset Class FI & Pref									
GENERAL ELECTRIC CAPITAL CORP	11/30/17	150,000 000	99 902	99 898	149,853 00			3,300 00	2 20
Coupon Rate 2 200%, Matures 01/09/2020, CUSIP 36962G7M0			99 902		149,853 00	149,847.00	(6 00) ST	1,576 66	
Int Semi-Annually Jan/Jul 09, Callable $100 00 on 12/09/19, Yield to Maturity 2 252%, Moody A2 S&P A, Issued 01/09/15, Asset Class FI & Pref									
MICROSOFT CORP	1/30/17	175,000 000	99 933	99 704	174,882 75			3,238 00	1 85
Coupon Rate 1 850%, Matures 02/06/2020, CUSIP 594918BV5			99 933		174,882.75	174,482 00	(400 75) ST	1,303 99	
Int Semi-Annually Feb/Aug 06, Yield to Maturity 1 995%; Moody AAA S&P AAA, Issued 02/06/17; Asset Class· FI & Pref									
MEDTRONIC, INC	1/20/17	125,000 000	101 146	100 592	126,432 50			3,125 00	2 48
Coupon Rate 2.500%, Matures 03/15/2020; CUSIP 585055BG0			100 813		126,015 96	125,740 00	(275 96) ST	920 13	
Int Semi-Annually Mar/Sep 15, Yield to Maturity 2.223%; Moody A3 S&P A, Issued 03/15/15, Asset Class FI & Pref									
INTEL CORP	5/9/17	175,000 000	99 968	99 541	174,944 00			3,238 00	1 85
Coupon Rate 1.850%, Matures 05/11/2020, CUSIP 458140AZ3			99 968		174,944 00	174,196 75	(747 25) ST	449 65	
Int. Semi-Annually May/Nov 11, Yield to Maturity 2.050%, Moody A1 S&P A+, Issued 05/11/17, Asset Class FI & Pref									
QUALCOMM INC	7/10/17	150,000 000	100 630	99.579	150,945 00			3,150 00	2 10
Coupon Rate 2 100%, Matures 05/20/2020, CUSIP 747525AP8			100.529		150,793.57	149,368 50	(1,425 07) ST	358.75	
Int. Semi-Annually May/Nov 20; Yield to Maturity 2.282%, Moody A1 S&P A, Issued 05/26/17, Asset Class FI & Pref									
PRUDENTIAL FINL INC	10/4/17	250,000 000	109 000	107 081	272,500 00			13,438 00	5.01
Coupon Rate 5 375%, Matures 06/21/2020, CUSIP 74432QBM6			108 232		270,579 73	267,702 50	(2,877 23) ST	373 26	
Int Semi-Annually Jun/Dec 21, Yield to Maturity 2 407%, Moody BAA1 S&P A; Issued 06/21/10, Asset Class FI & Pref									
JPMORGAN CHASE & CO	10/18/17	150,000 000	101 694	100 873	152,541 00			4,125 00	2.72
Coupon Rate 2 750%; Matures 06/23/2020, CUSIP 46625HLW8			101 572		152,357 85	151,309.50	(1,048 35) ST	91 66	
Int. Semi-Annually Jun/Dec 23; Callable $100.00 on 05/23/20; Yield to Call 2 372%, Moody A3 S&P A-; Issued 06/23/15, Asset Class FI & Pref									

DRAPER RICHARDS KAPLAN FOUNDATION
ATTACHMENT A
FORM 990-PF, PART II, LINE 10C DETAIL

EIN 91-2172351
FOR YEAR END 12/31/17

Security Description	Trade Date	Face Value	Orig Unit Cost Adj Unit Cost	Unit Price	Orig Total Cost Adj Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income Accrued Interest	Current Yield %
AT&T INC	11/9/17	150,000 000	100 374	99 891	150,561 00			3,675.00	2.45
Coupon Rate 2 450%, Matures 06/30/2020; CUSIP 00206RCL4			100 356		150,533 26	149,836.50	(696 76) ST	—	
Int Semi-Annually Jun/Dec 30, Callable $100.00 on 05/30/20; Yield to Maturity 2 495%; Moody BAA1 (-) S&P BBB+ (-), Issued 05/04/15, Asset Class· FI & Pref									
FIFTH THIRD BANCORP	9/21/17	350,000 000	102 266	101.057	357,931.00			10,063 00	2 84
Coupon Rate 2.875%, Matures 07/27/2020, CUSIP 316773CT5			102 058		357,203 81	353,699 50	(3,504 31) ST	4,304 51	
Int Semi-Annually Jan/Jul 27, Callable $100 00 on 06/27/20, Yield to Call 2 435%, Moody BAA1 S&P BBB+, Issued 07/27/15, Asset Class: FI & Pref									
MARRIOTT INTERNATIONAL	11/3/17	200,000 000	102 962	102 078	205,924 00			6,750.00	3 30
Coupon Rate 3.375%, Matures 10/15/2020, CUSIP 571903AL7			102 815		205,630.14	204,156 00	(1,474 14) ST	1,424 99	
Int Semi-Annually Apr/Oct 15; Callable $100.00 on 07/15/20; Yield to Call 2.525%, Moody BAA2 S&P BBB, Issued 09/27/13, Asset Class FI & Pref									
AMERICAN EXPRESS CO	10/24/17	100,000 000	99.870	99 180	99,870 00			2,200 00	2 21
Coupon Rate 2 200%, Matures 10/30/2020, CUSIP 025816BP3			99 870		99,870 00	99,180 00	(690.00) ST	366 66	
Int Semi-Annually Apr/Oct 30; Callable $100 00 on 09/30/20, Yield to Maturity 2 501%, First Coupon 04/30/18, Moody A3 S&P BBB+, Issued 10/30/17, Asset Class. FI & Pref									

	Percentage of Holdings	Face Value	Orig Total Cost Adj Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income Accrued Interest	Current Yield %
CORPORATE FIXED INCOME		9,799,000 000	$9,986,660 64 $9,883,062 51	$9,858,945 03	$(9,774 85) LT $(14,342.63) ST	$249,888.00 $84,455 85	2 53%
TOTAL CORPORATE FIXED INCOME (includes accrued interest)	95 68%			$9,943,400 88			

Watchlist and CreditWatch Indicators (*) = developing/uncertain (+) = On Watchlist/CreditWatch Upgrade (-) = On Watchlist/CreditWatch Downgrade

DRAPER RICHARDS KAPLAN FOUNDATION
ATTACHMENT A
FORM 990-PF, PART II, LINE 10C DETAIL

EIN: 91-2172351
FOR YEAR END 12/31/17

CORPORATE FIXED INCOME

CORPORATE BONDS

Security Description	Trade Date	Face Value	Orig Unit Cost Adj Unit Cost	Unit Price	Orig Total Cost Adj Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income Accrued Interest	Current Yield %
AIR LEASE CORP	1/21/16	100,000.000	$101 016	$100 969	$101,016 00				
Coupon Rate 3 375%, Matures 01/15/2019; CUSIP 00912XAL8			$100 366		$100,365 65	$100,969 00	$603 35 LT		
	3/16/16	100,000 000	100 199	100 969	100,199 00				
			100 076		100,075.50	100,969 00	893 50 LT		
	Total	200,000.000			201,215 00			6,750.00	3 34
					200,441 15	201,938 00	1,496 85 LT	3,112 49	

Int Semi-Annually Jan/Jul 15, Callable $100 00 on 12/16/18, Yield to Call 2 346%, S&P BBB, Issued 11/19/13, Asset Class: FI & Pref

Security Description	Trade Date	Face Value	Orig Unit Cost / Adj Unit Cost	Unit Price	Orig Total Cost / Adj Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income / Accrued Interest	Current Yield %
VERIZON COMMUNICATIONS	12/15/15	50,000 000	100 645	100 845	50,322 50				
Coupon Rate 2 625%, Matures 02/21/2020, CUSIP 92343VCH5			100 339		50,169 26	50,422 50	253.24 LT		
	3/16/16	150,000 000	102 158	100 845	153,237 45				
			101 200		151,799 38	151,267 50	(531.88) LT		
	Total	200,000 000			203,559 95			5,250 00	2 60
					201,968.64	201,690 00	(278.64) LT	1,895 83	
Int Semi-Annually Feb/Aug 21, Yield to Maturity 2 218%, Moody BAA1 S&P BBB+; Issued 02/21/15, Asset Class FI & Pref									
CVS HEALTH CORP	1/5/16	150,000 000	100 836	100 417	151,254 00				
Coupon Rate 2 800%, Matures 07/20/2020, CUSIP 126650CJ7			100 483		150,724 07	150,625 50	(98 57) LT		
	3/16/16	100,000 000	103 373	100 417	103,373 00				
			102 023		102,022.68	100,417 00	(1,605.68) LT		
	Total	250,000 000			254,627 00			7,000.00	2 78
					252,746 75	251,042.50	(1,704 25) LT	3,130 55	
Int. Semi-Annually Jan/Jul 20, Callable $100 00 on 06/20/20; Yield to Call 2 624%, Moody BAA1 (-) S&P BBB+ (-), Issued 07/20/15, Asset Class FI & Pref									
RYDER SYSTEM INC	12/8/15	250,000 000	99.450	100 690	248,625 00			7,188 00	2 85
Coupon Rate 2 875%, Matures 09/01/2020, CUSIP 78355HKA6			99.450		248,625 00	251,725 00	3,100 00 LT	2,395.83	
Int Semi-Annually Mar/Sep 01, Callable $100 00 on 08/01/20, Yield to Call 2 597%; Moody BAA1 S&P BBB+, Issued 08/24/15, Asset Class FI & Pref									
AMERICAN EXPRESS CREDIT	12/15/15	150,000 000	100 025	100 506	150,037 50				
Coupon Rate 2 600%, Matures 09/14/2020, CUSIP 0258M0DX4			100 015		150,021.94	150,759 00	737.06 LT		
	3/16/16	150,000 000	101 460	100 506	152,190 00				
			100 898		151,346 95	150,759.00	(587 95) LT		
	Total	300,000 000			302,227 50			7,800 00	2 58
					301,368 89	301,518 00	149 11 LT	2,318 33	
Int Semi-Annually Mar/Sep 14; Callable $100 00 on 08/14/20, Yield to Call 2.399%, Moody A2 S&P A-; Issued 09/14/15, Asset Class FI & Pref									
EBAY INC	1/13/16	200,000 000	102.464	101 887	204,928 00			6,500.00	3 18
Coupon Rate 3 250%; Matures 10/15/2020, CUSIP 278642AC7			101 487		202,974 18	203,774 00	799 82 LT	1,372 22	
Int Semi-Annually Apr/Oct 15, Callable $100 00 on 07/15/20, Yield to Call 2 478%, Moody BAA1 S&P BBB+, Issued 10/28/10, Asset Class FI & Pref									
DOW CHEMICAL CO/THE	1/7/16	200,000 000	105.514	104 437	211,028 00			8,500 00	4 06
Coupon Rate 4 250%, Matures 11/15/2020, CUSIP 260543CC5			103 366		206,731 49	208,874 00	2,142 51 LT	1,086 11	
Int Semi-Annually May/Nov 15; Callable $100.00 on 08/15/20, Yield to Call 2 491%, Moody BAA2 S&P BBB, Issued 11/09/10; Asset Class FI & Pref									
ROPER TECHNOLOGIES INC	12/4/15	150,000 000	100 174	101.190	150,261 00				
Coupon Rate 3 000%, Matures 12/15/2020, CUSIP 776743AA4			100 106		150,158.39	151,785 00	1,626.61 LT		
	3/16/16	150,000 000	100 926	101.190	151,389.00				
			100 592		150,888 31	151,785.00	896 69 LT		
	Total	300,000 000			301,650 00			9,000 00	2 96
					301,046 70	303,570 00	2,523.30 LT	399 99	
Int Semi-Annually Jun/Dec 15, Callable $100 00 on 11/15/20, Yield to Call 2 567%; Moody BAA3 S&P BBB, Issued 12/07/15, Asset Class FI & Pref									

DRAPER RICHARDS KAPLAN FOUNDATION
ATTACHMENT A
FORM 990-PF, PART II, LINE 10C DETAIL

EIN: 91-2172351
FOR YEAR END 12/31/17

Security Description	Trade Date	Face Value	Orig Unit Cost Adj Unit Cost	Unit Price	Orig Total Cost Adj Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income Accrued Interest	Current Yield %
SANTANDER UK GROUP HLDGS	1/5/16	200,000 000	99.899	100 941	199,798 00			6,250 00	3.09
Coupon Rate 3 125%; Matures 01/08/2021, CUSIP 80281LAD7			99 899		199,798 00	201,882.00	2,084 00 LT	3,003 47	
Int Semi-Annually Jan/Jul 08, Yield to Maturity 2 798%, Moody BAA1 S&P BBB; Issued 01/08/16; Asset Class: FI & Pref									
ANHEUSER-BUSCH INBEV FIN	1/13/16	200,000 000	99 687	100 502	199,374 00			5,300 00	2 63
Coupon Rate 2 650%, Matures 02/01/2021, CUSIP 035242AJ5			99 687		199,374 00	201,004 00	1,630 00 LT	2,208 33	
Int Semi-Annually Feb/Aug 01, Callable $100 00 on 01/01/21, Yield to Call 2 475%, Moody A3 S&P A-, Issued 01/25/16, Asset Class FI & Pref									
FORD MOTOR CREDIT CO LLC	12/3/15	200,000.000	111 513	108.569	223,026 00			11,500 00	5 29
Coupon Rate 5.750%, Matures 02/01/2021, CUSIP 345397VR1			107 126		214,251 60	217,138 00	2,886 40 LT	4,791 66	
Int Semi-Annually Feb/Aug 01, Yield to Maturity 2 827%, Moody BAA2 S&P BBB, Issued 02/07/11; Asset Class FI & Pref									
REGIONS FINANCIAL CORP	2/3/16	170,000 000	99 895	101 746	169,821 50			5,440 00	3 14
Coupon Rate 3 200%, Matures 02/08/2021; CUSIP 7591EPAK6			99 895		169,821 50	172,968 20	3,146 70 LT	2,160 88	
Int Semi-Annually Feb/Aug 08, Callable $100 00 on 01/08/21; Yield to Call 2 595%, Moody BAA2 S&P BBB+; Issued 02/08/16, Asset Class FI & Pref									
AMERICAN INTL GROUP	3/17/16	300,000 000	101 555	101 943	304,665.00			9,900 00	3 23
Coupon Rate 3 300%; Matures 03/01/2021, CUSIP 026874DG9			101 022		303,065 79	305,829 00	2,763 21 LT	3,299 99	
Int Semi-Annually Mar/Sep 01, Callable $100 00 on 02/01/21, Yield to Call 2 639%, Moody BAA1 S&P BBB+, Issued 02/26/16, Asset Class FI & Pref									
WELLS FARGO & COMPANY	2/26/16	300,000 000	99 977	99 954	299,931 00			7,500 00	2 50
Coupon Rate 2 500%, Matures 03/04/2021, CUSIP 949746RS2			99.977		299,931 00	299,862 00	(69 00) LT	2,437 50	
Int Semi-Annually Mar/Sep 04, Yield to Maturity 2.515%, Moody A2 S&P A, Issued 03/04/16, Asset Class. FI & Pref									
BEST BUY CO INC	12/3/15	200,000.000	104 375	107 724	208,750.00			11,000 00	5 10
Coupon Rate 5 500%, Matures 03/15/2021, CUSIP 086516AL5			102 782		205,564 47	215,448 00	9,883 53 LT	3,238 88	
Int Semi-Annually Mar/Sep 15, Callable $100 00 on 12/15/20; Yield to Call 2 760%, Moody BAA1 S&P BBB-; Issued 03/11/11, Asset Class FI & Pref									
MASCO CORP SR GLBL	3/17/16	300,000 000	100.773	101 565	302,319 00			10,500 00	3.44
Coupon Rate 3 500%; Matures 04/01/2021, CUSIP 574599BK1			100.514		301,543 05	304,695 00	3,151 95 LT	2,625 00	
Int Semi-Annually Apr/Oct 01, Callable $100 00 on 03/01/21, Yield to Call 2 977%, Moody BA1 S&P BBB, Issued 03/17/16, Asset Class FI & Pref									
AUTOZONE INC	12/4/15	150,000 000	98 156	99 303	147,234 00			3,750 00	2 51
Coupon Rate 2.500%, Matures 04/15/2021, CUSIP 053332AS1			98 156		147,234 00	148,954 50	1,720 50 LT	791 66	
Int Semi-Annually Apr/Oct 15; Callable $100 00 on 03/15/21, Yield to Maturity 2.722%, Moody BAA1 S&P BBB, Issued 04/29/15, Asset Class. FI & Pref									
BANK OF AMERICA CORP	12/3/15	200,000 000	110 315	107 786	220,630 00			10,000 00	4 63
Coupon Rate 5 000%; Matures 05/13/2021, CUSIP 06051GEH8			106 584		213,167 42	215,572 00	2,404 58 LT	1,333 33	
Int Semi-Annually May/Nov 13, Yield to Maturity 2 570%, Moody A3 S&P A-, Issued 05/13/11; Asset Class FI & Pref									
VULCAN MATERIALS	10/11/16	200,000 000	121 900	115 722	243,800 00			15,000 00	6 48
Coupon Rate 7 500%, Matures 06/15/2021, CUSIP 929160AR0			116 446		232,891 17	231,444 00	(1,447 17) LT	666 66	
Int Semi-Annually Jun/Dec 15; Yield to Maturity 2 703%; Moody BAA3 S&P BBB; Issued 06/14/11, Asset Class: FI & Pref									
TORONTO-DOMINION BANK	7/7/16	300,000 000	99 895	97 927	299,685 00			5,400 00	1 83
Coupon Rate 1.800%; Matures 07/13/2021, CUSIP 89114QBL1			99 895		299,685 00	293,781 00	(5,904 00) LT	2,520 00	
Int Semi-Annually Jan/Jul 13, Yield to Maturity 2.416%; Moody AA2 S&P AA-, Issued 07/13/16, Asset Class FI & Pref									

DRAPER RICHARDS KAPLAN FOUNDATION
ATTACHMENT A
FORM 990-PF, PART II, LINE 10C DETAIL

EIN 91-2172351-
FOR YEAR END 12/31/17

Security Description	Trade Date	Face Value	Orig Unit Cost / Adj Unit Cost	Unit Price	Orig Total Cost / Adj Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income / Accrued Interest	Current Yield %
CAPITAL ONE FINANCIAL CO	12/3/15	200,000 000	108 674	106.848	217,348 00			9,500 00	4.44
Coupon Rate 4.750%; Matures 07/15/2021, CUSIP 14040HAY1			105 648		211,295 55	213,696 00	2,400 45 LT	4,380 55	
Int Semi-Annually Jan/Jul 15, Yield to Maturity 2.707%, Moody BAA1 S&P BBB; Issued 07/19/11, Asset Class FI & Pref									
CITIGROUP INC	10/11/16	255,000 000	100 204	98.910	255,520 20			5,993 00	2 37
Coupon Rate 2 350%, Matures 08/02/2021; CUSIP 172967KV2			100.154		255,393 90	252,220 50	(3,173 40) LT	2,480.22	
Int Semi-Annually Feb/Aug 02, Yield to Maturity 2 670%; Moody BAA1 S&P BBB+, Issued 08/02/16, Asset Class FI & Pref									
SYNCHRONY FINANCIAL	12/3/15	200,000 000	100.450	102 541	200,900 00				
Coupon Rate 3 750%, Matures 08/15/2021, CUSIP 87165BAC7			100.297		200,594 35	205,082 00	4,487 65 LT		
	3/16/16	100,000 000	102.792	102 541	102,792 00				
			101 924		101,924 29	102,541 00	616 71 LT		
	Total	300,000 000			303,692.00			11,250 00	3 65
					302,518.64	307,623.00	5,104.36 LT	4,250 00	
Int Semi-Annually Feb/Aug 15; Callable $100 00 on 06/15/21; Yield to Call 2.970%; S&P BBB-, Issued 08/11/14, Asset Class FI & Pref									
AMERICAN TOWER CORP	1/12/17	200,000.000	101 783	102.209	203,566 00			6,900 00	3 37
Coupon Rate 3 450%, Matures 09/15/2021, CUSIP 03027XAE0			101 438		202,875 66	204,418 00	1,542 34 ST	2,031 66	
Int Semi-Annually Mar/Sep 15, Yield to Maturity 2 817%, Moody BAA3 S&P BBB-, Issued 08/07/14, Asset Class FI & Pref									
ORACLE CORP	7/14/16	230,000 000	100 574	98.422	231,320.20			4,370.00	1 93
Coupon Rate 1 900%; Matures 09/15/2021, CUSIP 68389XBK0			100.418		230,961 05	226,370 60	(4,590 45) LT	1,286 72	
Int Semi-Annually Mar/Sep 15, Callable $100 00 on 08/15/21, Yield to Maturity 2 347%, Moody A1 S&P AA-, Issued 07/07/16, Asset Class. FI & Pref									
AERCAP IRELAND CAP LTD	5/17/16	300,000.000	99 813	103 065	299,439 00			11,850 00	3.83
Coupon Rate 3.950%, Matures 02/01/2022, CUSIP 00772BAR2			99 813		299,439 00	309,195 00	9,756 00 LT	4,937 50	
Int. Semi-Annually Feb/Aug 01, Callable $100 00 on 01/01/22, Yield to Call 3.129%, Moody BAA3 S&P BBB-, Issued 05/23/16, Asset Class: FI & Pref									
AT&T INC	2/1/17	230,000 000	99 924	101 079	229,825 20				
Coupon Rate 3 200%, Matures 03/01/2022, CUSIP 00206RDN9			99 924		229,825.20	232,481 70	2,656.50 ST		
	6/27/17	70,000 000	101 596	101 079	71,117 20				
			101 432		71,002 31	70,755.30	(247 01) ST		
	Total	300,000 000			300,942 40			9,600 00	3 16
					300,827 51	303,237.00	2,409 49 ST	3,199 99	
Int Semi-Annually Mar/Sep 01, Callable $100 00 on 02/01/22, Yield to Call 2 917%, Moody BAA1 (-) S&P BBB+ (-); Issued 02/09/17, Asset Class· FI & Pref									
GENERAL MOTORS FINL CO	12/3/15	200,000 000	96 418	101 333	192,836 00				
Coupon Rate 3 450%, Matures 04/10/2022; CUSIP 37045XAW6			96 418		192,836 00	202,666 00	9,830 00 LT		
	3/16/16	100,000 000	97 031	101 333	97,031 00				
			97 031		97,031 00	101,333 00	4,302 00 LT		
	Total	300,000 000			289,867.00			10,350 00	3 40
					289,867 00	303,999.00	14,132.00 LT	2,328.75	
Int Semi-Annually Apr/Oct 10, Callable $100 00 on 02/10/22, Yield to Call 3 101%, Moody BAA3 S&P BBB, Issued 04/10/15, Asset Class FI & Pref									

DRAPER RICHARDS KAPLAN FOUNDATION
ATTACHMENT A
FORM 990-PF, PART II, LINE 10C DETAIL

EIN. 91-2172351
FOR YEAR END 12/31/17

Security Description	Trade Date	Face Value	Orig Unit Cost Adj Unit Cost	Unit Price	Orig Total Cost Adj Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income Accrued Interest	Current Yield %
SHERWIN-WILLIAMS CO	6/27/17	300,000 000	100 472	99 612	301,416.00			8,250 00	2.76
Coupon Rate 2 750%, Matures 06/01/2022; CUSIP 824348AU0			100 426		301,279 31	298,836.00	(2,443.31) ST	687 49	
Int Semi-Annually Jun/Dec 01, Callable $100 00 on 05/01/22, Yield to Maturity 2 844%, Moody BAA3 S&P BBB, Issued 05/16/17, Asset Class: FI & Pref									
WESTERN GAS PARTNERS	8/16/17	300,000 000	103.809	102 018	311,427 00			12,000.00	3 92
Coupon Rate 4.000%, Matures 07/01/2022; CUSIP 958254AB0			103 545		310,634 93	306,054 00	(4,580.93) ST	6,000 00	
Int Semi-Annually Jan/Jul 01; Callable $100 00 on 04/01/22, Yield to Call 3 484%, Moody BA1 S&P BBB-, Issued 06/28/12, Asset Class· FI & Pref									
METLIFE INC	1/11/17	89,000.000	101 159	101 159	90,031 51				
Coupon Rate 3.048%, Matures 12/15/2022, CUSIP 59156RBF4			100 984		89,876 16	90,031 51	155 35 ST		
	1/11/17	136,000 000	101.159	101 159	137,576 24				
			100 984		137,338 85	137,576 24	237 39 ST		
	Total	225,000 000			227,607 75			6,858 00	3 01
					227,215 01	227,607 75	392 74 ST	304.79	
Interest Paid Quarterly Dec 15, Yield to Maturity 2 796%, Moody A3 S&P A-; Issued 09/15/12, Asset Class FI & Pref									
ECOLAB INC	1/11/16	200,000 000	99 950	102 246	199,900 00			6,500.00	3 17
Coupon Rate 3.250%, Matures 01/14/2023; CUSIP 278865AU4			99 950		199,900 00	204,492 00	4,592 00 LT	3,015 27	
Int Semi-Annually Jan/Jul 14, Callable $100.00 on 11/14/22; Yield to Call 2 753%, Moody BAA1 S&P A-, Issued 01/14/16; Asset Class. FI & Pref									
COMCAST CORP	1/6/17	100,000 000	99 693	100 662	99,693.00			3,000 00	2.98
Coupon Rate 3.000%, Matures 02/01/2024; CUSIP 20030NBX8			99.693		99,693 00	100,662 00	969 00 ST	1,250 00	
Int Semi-Annually Feb/Aug 01, Callable $100 00 on 01/01/24, Yield to Call 2 879%; Moody A3 S&P A-, Issued 01/10/17, Asset Class FI & Pref									

	Percentage of Holdings	Face Value	Orig Total Cost Adj Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income Accrued Interest	Current Yield %
CORPORATE FIXED INCOME		7,830,000 000	$7,988,632 50			$265,949 00	3 33%
			$7,934,130 36	$7,991,120 05	$58,700 36 LT	$80,941 65	
					$(1,710 67) ST		
TOTAL CORPORATE FIXED INCOME (includes accrued interest)	99 13%			$8,072,061 70			

Watchlist and CreditWatch Indicators (*) = developing/uncertain (+) = On Watchlist/CreditWatch Upgrade (-) = On Watchlist/CreditWatch Downgrade

DRAPER RICHARDS KAPLAN FOUNDATION
ATTACHMENT A
FORM 990-PF, PART II, LINE 10C DETAIL

EIN 91-2172351
FOR YEAR END 12/31/17

CORPORATE FIXED INCOME

CORPORATE BONDS

Security Description	Trade Date	Face Value	Orig Unit Cost Adj Unit Cost	Unit Price	Orig Total Cost Adj Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income Accrued Interest	Current Yield %
BERKSHIRE HATHAWAY FIN	1/13/15	150,000 000	$100 000	$100 003	$150,000 00			—	—
Coupon Rate 1 656%, Matures 01/12/2018, CUSIP 084664CD1			$100 000		$150,000 00	$150,004 50	$4 50 LT	$538 39	
Interest Paid Quarterly Apr 13, Yield to Maturity 1 546%, Floater, Moody AA2 S&P AA, Issued 01/15/15, Asset Class FI & Pref									

DRAPER RICHARDS KAPLAN FOUNDATION
ATTACHMENT A
FORM 990-PF, PART II, LINE 10C DETAIL

EIN. 91-2172351
FOR YEAR END 12/31/17

Security Description	Trade Date	Face Value	Orig Unit Cost Adj Unit Cost	Unit Price	Orig Total Cost Adj Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income Accrued Interest	Current Yield %
MERCK & CO INC	2/6/17	300,000 000	99 962	99.950	299,885.40				
Coupon Rate 1 100%, Matures 01/31/2018, CUSIP 58933YAC9			99 962		299,885 40	299,850 00	(35.40) ST		
	4/26/17	100,000 000	99 870	99 950	99,870 00				
			99 870		99,870 00	99,950 00	80 00 ST		
	Total	400,000.000			399,755 40			2,200 00	0.55
					399,755 40	399,800 00	44.60 ST	1,833 33	
Int Semi-Annually Jan/Jul 31, Yield to Maturity 1 693%, Moody A1 S&P AA, Issued 09/13/12, Asset Class FI & Pref									
AT&T INC	6/5/17	200,000 000	102 517	100 286	205,034 00			5,500 00	2 74
Coupon Rate 5 500%, Matures 02/01/2018, CUSIP 00206RAJ1			100.324		200,648 50	200,572.00	(76.50) ST	4,583 33	
Int. Semi-Annually Feb/Aug 01, Yield to Maturity 2 016%, Moody BAA1 (-) S&P BBB+ (-), Issued 02/01/08, Asset Class. FI & Pref									
COMCAST CORP	8/23/17	200,000 000	102 045	100 516	204,090 00			5,875 00	2.92
Coupon Rate 5 875%, Matures 02/15/2018, CUSIP 20030NAR2			100 539		201,077 60	201,032.00	(45 60) ST	4,438 88	
Int Semi-Annually Feb/Aug 15, Yield to Maturity 1 609%, Moody A3 S&P A-, Issued 11/17/06, Asset Class FI & Pref									
VENTAS REALTY LP/CAP CRP	6/30/17	175,000 000	100 149	99 994	175,260 75			1,750 00	1 00
Coupon Rate 2 000%; Matures 02/15/2018, CUSIP 92276MBA2			100 030		175,052 41	174,989 50	(62 91) ST	1,322 22	
Int Semi-Annually Feb/Aug 15, Callable $100 00 on 01/28/18, Yield to Maturity 2 034%; Moody BAA1 S&P BBB+, Issued 12/13/12, Asset Class· FI & Pref									
JPMORGAN CHASE & CO	2/7/17	250,000.000	100 163	99 990	250,407 50			2,125.00	0 85
Coupon Rate 1 700%, Matures 03/01/2018, CUSIP 46623EKD0			100 026		250,064 44	249,975 00	(89 44) ST	1,416 66	
Int Semi-Annually Mar/Sep 01, Callable $100 00 on 02/01/18, Yield to Maturity 1 750%, Moody A3 S&P A-, Issued 03/02/15, Asset Class FI & Pref									
JOHN DEERE CAPITAL CORP	6/26/17	236,000 000	99 987	99 919	235,969 32			1,534 00	0.65
Coupon Rate 1 300%; Matures 03/12/2018, CUSIP 24422ESB6			99 987		235,969 32	235,808.84	(160 48) ST	928 92	
Int Semi-Annually Mar/Sep 12, Yield to Maturity 1.705%, Moody A2 S&P A, Issued 03/11/13, Asset Class FI & Pref									
ACE INA HOLDINGS	3/24/17	300,000 000	104 101	100 770	312,303 00			8,700 00	2.87
Coupon Rate 5 800%, Matures 03/15/2018, CUSIP 00440EAK3			100 880		302,639.90	302,310 00	(329 90) ST	5,123.33	
Int Semi-Annually Mar/Sep 15, Yield to Maturity 2 004%, Moody A3 S&P A, Issued 02/14/08, Asset Class FI & Pref									
GENERAL ELECTRIC CAPITAL CORP	4/11/17	250,000.000	100 341	99.952	250,853 25			2,031 00	0.81
Coupon Rate 1 625%; Matures 04/02/2018, CUSIP 36962G6W9			100 090		250,225 68	249,880 00	(345 68) ST	1,004 34	
Int Semi-Annually Apr/Oct 02, Yield to Maturity 1 809%, Moody A2 S&P A; Issued 04/02/13, Asset Class FI & Pref									
BOEING CO	2/13/17	300,000 000	99 583	99 727	298,749.00			1,425 00	0 47
Coupon Rate 0 950%, Matures 05/15/2018, CUSIP 097023BE4			99 583		298,749 00	299,181 00	432 00 ST	364 16	
Int Semi-Annually May/Nov 15, Yield to Maturity 1 686%, Moody A2 S&P A, Issued 05/03/13, Asset Class FI & Pref									
GLAXOSMITHKLINE CAP INC	5/17/16	175,000 000	108 720	101 376	190,260 00			4,944.00	2 78
Coupon Rate 5 650%, Matures 05/15/2018; CUSIP 377372AD9			101 649		177,885 21	177,408 00	(477 21) LT	1,263 40	
Int Semi-Annually May/Nov 15; Yield to Maturity 1.913%, Moody A2 S&P A+, Issued 05/13/08, Asset Class FI & Pref									
QUALCOMM INC	6/5/17	200,000.000	100 066	99 840	200,131 40			—	—
Coupon Rate 1 400%; Matures 05/18/2018; CUSIP 747525AG8			100 027		200,053 10	199,680 00	(373 10) ST	318.88	
Int Semi-Annually May/Nov 20, Yield to Maturity 1 820%, Moody A1 S&P A; Issued 05/20/15, Asset Class FI & Pref									

DRAPER RICHARDS KAPLAN FOUNDATION
ATTACHMENT A
FORM 990-PF, PART II, LINE 10C DETAIL

EIN 91-2172351
FOR YEAR END 12/31/17

Security Description	Trade Date	Face Value	Orig Unit Cost Adj Unit Cost	Unit Price	Orig Total Cost Adj Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income Accrued Interest	Current Yield %
PEPSICO INC	5/10/16	200,000 000	108 092	101.302	216,184 00			5,000.00	2 46
Coupon Rate 5 000%, Matures 06/01/2018, CUSIP 713448BH0			101 657		203,314 78	202,604.00	(710 78) LT	833 33	
Int Semi-Annually Jun/Dec 01, Yield to Maturity 1.844%, Moody A1 S&P A+, Issued 05/28/08, Asset Class FI & Pref									
PFIZER INC	5/31/16	200,000.000	99 927	99.781	199,854 00			1,200 00	0.60
Coupon Rate 1.200%, Matures 06/01/2018, CUSIP 717081DW0			99 927		199,854 00	199,562.00	(292 00) LT	199 99	
Int Semi-Annually Jun/Dec 01; Yield to Maturity 1 728%, Moody A1 S&P AA, Issued 06/03/16, Asset Class FI & Pref									
CARDINAL HEALTH INC	5/18/16	250,000.000	100 814	99 944	252,035.00			2,438.00	0 97
Coupon Rate 1 950%, Matures 06/15/2018, CUSIP 14149YBC1			100 182		250,455 17	249,860 00	(595 17) LT	216 66	
Int Semi-Annually Jun/Dec 15, Yield to Maturity 2.072%, Moody BAA2 S&P BBB+, Issued 06/23/15, Asset Class FI & Pref									
UNITEDHEALTH GROUP INC	6/30/17	150,000 000	100 372	100 040	150,558 00			2,850 00	1 89
Coupon Rate 1 900%, Matures 07/16/2018, CUSIP 91324PCL4			100 197		150,295.07	150,060 00	(235 07) ST	1,306 24	
Int Semi-Annually Jan/Jul 16, Yield to Maturity 1 825%, Moody A3 S&P A+, Issued 07/23/15, Asset Class FI & Pref									
TORONTO DOMINION BANK	10/30/17	200,000 000	100 123	99.919	200,246 00			3,500 00	1 75
Coupon Rate 1 750%, Matures 07/23/2018, CUSIP 89114QB64			100 095		200,189 80	199,838 00	(351.80) ST	1,536 11	
Int Semi-Annually Jan/Jul 23; Yield to Maturity 1 895%, Moody AA2 S&P AA-, Issued 07/23/15, Asset Class FI & Pref									
BEST BUY CO INC	11/30/15	251,000.000	104 375	101 646	261,981 25			12,550 00	4.91
Coupon Rate 5 000%, Matures 08/01/2018; CUSIP 086516AM3			100 990		253,485 77	255,131 46	1,645 69 LT	5,229 16	
Int Semi-Annually Feb/Aug 01, Yield to Maturity 2 142%; Moody BAA1 S&P BBB-, Issued 07/16/13, Asset Class FI & Pref									
NORTHERN TRUST COMPANY	2/13/17	300,000 000	107 179	102 746	321,537 90			19,500 00	6.32
Coupon Rate 6 500%, Matures 08/15/2018; CUSIP 66586GCD7			103 003		309,008 86	308,238 00	(770 86) ST	7,366 66	
Int Semi-Annually Feb/Aug 15; Yield to Maturity 2.032%, Moody A2 S&P A+, Issued 08/13/08; Asset Class FI & Pref									
GILEAD SCIENCES INC	6/16/17	325,000 000	100 266	100 004	325,864 50			6,013 00	1.85
Coupon Rate 1 850%, Matures 09/04/2018, CUSIP 375558BE2			100 150		325,486 70	325,013.00	(473 70) ST	1,954 06	
Int. Semi-Annually Mar/Sep 04, Yield to Maturity 1 843%, Moody A3 S&P A, Issued 09/14/15, Asset Class. FI & Pref									
INTERNATIONAL FINANCE CORPORATION	12/28/17	125,000 000	99 984	99 970	124,980 00			2,188 00	1 75
Coupon Rate 1 750%, Matures 09/04/2018, CUSIP 45950KCA6			99 984		124,980 00	124,962 50	(17 50) ST	710.93	
Int. Semi-Annually Mar/Sep 04, Yield to Maturity 1 794%, Moody AAA S&P AAA, Issued 09/04/13, Asset Class FI & Pref									
HOME DEPOT INC	5/1/17	150,000 000	101 027	100 286	151,540 50			3,375 00	2.24
Coupon Rate 2 250%; Matures 09/10/2018, CUSIP 437076BB7			100.528		150,792 38	150,429 00	(363 38) ST	1,040 62	
Int Semi-Annually Mar/Sep 10, Callable $100 00 on 08/10/18, Yield to Call 1 774%, Moody A2 S&P A, Issued 09/10/13, Asset Class FI & Pref									
AFRICAN DEVELOPMENT BANK	12/18/17	258,000.000	99 279	99 260	256,139 82			2,580 00	1 00
Coupon Rate 1 000%, Matures 11/02/2018, CUSIP 00828EBT5			99.279		256,139 82	256,090 80	(49 02) ST	422 83	
Int Semi-Annually May/Nov 02, Yield to Maturity 1 896%; Moody AAA S&P AAA, Issued 10/26/16, Asset Class· FI & Pref									
NOVARTIS SECS INVEST LTD	11/20/17	164,000.000	103.806	103 300	170,241.84			8,405 00	4.96
Coupon Rate 5 125%, Matures 02/10/2019, CUSIP 66989GAA8			103 470		169,690 19	169,412 00	(278 19) ST	3,291 95	
Int Semi-Annually Feb/Aug 10, Yield to Maturity 2 095%, Moody AA3 S&P AA-; Issued 02/10/09, Asset Class FI & Pref									

DRAPER RICHARDS KAPLAN FOUNDATION
ATTACHMENT A
FORM 990-PF, PART II, LINE 10C DETAIL

EIN 91-2172351
FOR YEAR END 12/31/17

Security Description	Trade Date	Face Value	Orig Unit Cost / Adj Unit Cost	Unit Price	Orig Total Cost / Adj Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income / Accrued Interest	Current Yield %
BRISTOL-MYERS SQUIBB CO	2/23/17	250,000 000	99 920	99 522	249,800 00			4,000 00	1 60
Coupon Rate 1 600%, Matures 02/27/2019, CUSIP 110122BA5			99 920		249,800 00	248,805 00	(995 00) ST	1,377 77	
Int Semi-Annually Feb/Aug 27, Yield to Maturity 2 020%; Moody A2 S&P A+; Issued 02/27/17, Asset Class FI & Pref									
ROYAL BANK OF CANADA	12/20/17	200,000.000	100 442	100.353	200,884 00			4,237 00	2.11
Coupon Rate 2 118%; Matures 03/15/2019; CUSIP 78010USP3			100 432		200,864 22	200,706 00	(158 22) ST	176 53	
Interest Paid Quarterly Jun 16, Yield to Maturity 1 820%; Floater; Moody A1 S&P AA-; Issued 03/11/14, Asset Class. FI & Pref									
MEDTRONIC GLOBAL HLDINGS	3/22/17	250,000 000	99.926	99 585	249,815 00			4,250.00	1 70
Coupon Rate 1 700%, Matures 03/28/2019; CUSIP 58507LAB5			99 926		249,815.00	248,962 50	(852 50) ST	1,097 91	
Int. Semi-Annually Mar/Sep 28, Yield to Maturity 2 039%, Moody A3 S&P A; Issued 03/28/17, Asset Class· FI & Pref									
WELLS FARGO & COMPANY	5/6/16	200,000 000	102 134	99 984	204,268 00			4,250 00	2 12
Coupon Rate 2.125%, Matures 04/22/2019; CUSIP 94974BFU9			100 958		201,915.38	199,968.00	(1,947 38) LT	814 58	
Int Semi-Annually Apr/Oct 22, Yield to Maturity 2 136%, Moody A2 S&P A, Issued 04/22/14, Asset Class FI & Pref									
AMERICAN EXPRESS CREDIT	4/28/17	100,000.000	99 959	99.598	99,959 00			1,875 00	1 88
Coupon Rate 1 875%, Matures 05/03/2019, CUSIP 0258M0EK1			99 959		99,959 00	99,598 00	(361 00) ST	302 08	
Int Semi-Annually May/Nov 03, Callable $100 00 on 04/03/19, Yield to Maturity 2 180%, Moody A2 S&P A-, Issued 05/03/17, Asset Class· FI & Pref									
CITIGROUP INC	6/3/16	300,000 000	99 948	99 710	299,844 00			6,150 00	2 05
Coupon Rate 2 050%, Matures 06/07/2019, CUSIP 172967KS9			99.948		299,844 00	299,130 00	(714 00) LT	410.00	
Int. Semi-Annually Jun/Dec 07, Yield to Maturity 2 256%, Moody BAA1 S&P BBB+; Issued 06/09/16, Asset Class FI & Pref									

	Percentage of Holdings	Face Value	Orig Total Cost / Adj Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income / Accrued Interest	Current Yield %
CORPORATE FIXED INCOME		6,709,000 000	$6,808,546.43			$130,445 00	1 94%
			$6,738,010.70	$6,729,011.10	$(3,086 35) LT	$51,423 25	
					$(5,913 25) ST		
TOTAL CORPORATE FIXED INCOME (includes accrued interest)	88 25%			$6,780,434.35			

Watchlist and CreditWatch Indicators (*) = developing/uncertain (+) = On Watchlist/CreditWatch Upgrade (-) = On Watchlist/CreditWatch Downgrade