

For calendar year 2017, or tax year beginning 01-01-2017, and ending 12-31-2017

Name of foundation ARK FOUNDATION		A Employer identification number 91-2125649	
Number and street (or P O box number if mail is not delivered to street address) 903 W ALAMEDA STREET NO 101		Room/suite	B Telephone number (see instructions) (760) 895-4090
City or town, state or province, country, and ZIP or foreign postal code SANTA FE, NM 87501		C If exemption application is pending, check here ☐	
G Check all that apply ☐ Initial return ☐ Final return ☐ Address change ☐ Initial return of a former public charity ☐ Amended return ☐ Name change		D 1. Foreign organizations, check here ☐ 2 Foreign organizations meeting the 85% test, check here and attach computation ☐	
H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐	
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 2,431,283	J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) (Part I, column (d) must be on cash basis)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐	

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)				
	2 Check ☒ If the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities . . .	54,805	54,805		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	153,099			
	b Gross sales price for all assets on line 6a 828,827				
	7 Capital gain net income (from Part IV, line 2) . . .		153,099		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
Operating and Administrative Expenses	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)				
	12 Total. Add lines 1 through 11	207,904	207,904		
	13 Compensation of officers, directors, trustees, etc	0	0		0
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)	3,400	1,700		1,700
	c Other professional fees (attach schedule)	17,907	17,680		227
	17 Interest				
	18 Taxes (attach schedule) (see instructions) . . .	537	537		0
	19 Depreciation (attach schedule) and depletion . . .				
	20 Occupancy				
	21 Travel, conferences, and meetings	400	0		400
	22 Printing and publications				
	23 Other expenses (attach schedule)	49	49		0
	24 Total operating and administrative expenses. Add lines 13 through 23	22,293	19,966		2,327
	25 Contributions, gifts, grants paid	100,350			100,350
	26 Total expenses and disbursements. Add lines 24 and 25	122,643	19,966		102,677
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	85,261			
	b Net investment income (if negative, enter -0-)		187,938		
c Adjusted net income(if negative, enter -0-)					

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)			
		Beginning of year (a) Book Value	End of year (b) Book Value (c) Fair Market Value		
Assets	1	Cash—non-interest-bearing	73,583	12,247	12,247
	2	Savings and temporary cash investments			
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)	319,958	397,645	395,586
	b	Investments—corporate stock (attach schedule)	1,042,320	1,136,556	1,728,223
	c	Investments—corporate bonds (attach schedule)	328,457	304,374	295,227
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)			
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
15	Other assets (describe ▶ _____)				
16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	1,764,318	1,850,822	2,431,283	
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶ _____)			
	23	Total liabilities (add lines 17 through 22)	0	0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☒ and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted	1,764,318	1,850,822	
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☐ and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	Total net assets or fund balances (see instructions)	1,764,318	1,850,822	
31	Total liabilities and net assets/fund balances (see instructions) .	1,764,318	1,850,822		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,764,318
2	Enter amount from Part I, line 27a	2	85,261
3	Other increases not included in line 2 (itemize) ▶ _____	3	1,243
4	Add lines 1, 2, and 3	4	1,850,822
5	Decreases not included in line 2 (itemize) ▶ _____	5	0
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 .	6	1,850,822

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 a PUBLICLY TRADED SECURITIES	P		
b PUBLICLY TRADED SECURITIES	P		
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 167,569		181,705	-14,136
b 661,258		494,023	167,235
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a			-14,136
b			167,235
c			
d			
e			

2 Capital gain net income or (net capital loss)	2	153,099
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2016	115,550	2,164,305	0 053389
2015	114,498	2,259,951	0 050664
2014	114,147	2,339,473	0 048792
2013	106,514	2,216,454	0 048056
2012	106,295	2,074,682	0 051234
2 Total of line 1, column (d)			0 252135
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			0 050427
4 Enter the net value of noncharitable-use assets for 2017 from Part X, line 5			2,337,393
5 Multiply line 4 by line 3			117,868
6 Enter 1% of net investment income (1% of Part I, line 27b)			1,879
7 Add lines 5 and 6			119,747
8 Enter qualifying distributions from Part XII, line 4			102,677

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b	1	3,759
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0
3	Add lines 1 and 2.	3	3,759
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	3,759
6	Credits/Payments		
a	2017 estimated tax payments and 2016 overpayment credited to 2017	6a	1,579
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	0
7	Total credits and payments. Add lines 6a through 6d.	7	1,579
8	Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8	0
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed ▶	9	2,180
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid ▶	10	
11	Enter the amount of line 10 to be Credited to 2018 estimated tax ▶ Refunded ▶	11	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a	No
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)? If the answer is "Yes" to 1a or 1b , attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities	1b	No
c Did the foundation file Form 1120-POL for this year?	1c	No
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ▶ \$ _____ 0 (2) On foundation managers ▶ \$ _____ 0		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ \$ _____ 0		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities	2	No
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3	No
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a	No
b If "Yes," has it filed a tax return on Form 990-T for this year?	4b	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T	5	No
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	7	Yes
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ NM		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation .	8b	Yes
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2017 or the taxable year beginning in 2017 (see instructions for Part XIV)? If "Yes," complete Part XIV	9	No
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10	No

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A	13	Yes	
14	The books are in care of ► REBECCA DANT Telephone no ► (760) 895-4090			

Located at **►** 903 W ALAMEDA STREET 101 SANTE FE NM ZIP+4 **►** 87501

15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here ☐ and enter the amount of tax-exempt interest received or accrued during the year ► 15			
16	At any time during calendar year 2017, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR). If "Yes," enter the name of the foreign country ►	16	Yes	No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a	During the year did the foundation (either directly or indirectly)		Yes	No
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? ☐ Organizations relying on a current notice regarding disaster assistance check here. ► ☐	1b		
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2017? ☐	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2017, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2017? ☐ Yes ☒ No If "Yes," list the years ► 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions). ☐	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ► 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2017 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2017). ☐	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2017?	4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (Continued)

5a	During the year did the foundation pay or incur any amount to				
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes	☒ No		
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	☐ Yes	☒ No		
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes	☒ No		
	(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions).	☐ Yes	☒ No		
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes	☒ No		
b	If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?	5b			
	Organizations relying on a current notice regarding disaster assistance check here. 				
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	☐ Yes	☐ No		
	<i>If "Yes," attach the statement required by Regulations section 53.4945–5(d)</i>				
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes	☒ No		
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	6b			No
	<i>If "Yes" to 6b, file Form 8870</i>				
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes	☒ No		
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?	7b			

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
RICHARD DANT 103-B CAMINO LOS ABUELOS SANTA FE, NM 87508	TRUSTEE 1 00	0	0	0
REBECCA DANT 1008 CHARTER OAK AVENUE ST HELENA, CA 94574	TRUSTEE 1 00	0	0	0
DEBORAH DANT PO BOX 301 TESUQUE, NM 87574	TRUSTEE 1 00	0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	Title, and average hours per week (b) devoted to position	(c) Compensation	Contributions to employee benefit plans and deferred compensation (d)	Expense account, (e) other allowances
NONE				

Total number of other employees paid over \$50,000. **0****3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services. **0****Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3	0

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities.	1a	2,313,946
b	Average of monthly cash balances.	1b	59,042
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	2,372,988
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	2,372,988
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	35,595
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4.	5	2,337,393
6	Minimum investment return. Enter 5% of line 5.	6	116,870

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	116,870
2a	Tax on investment income for 2017 from Part VI, line 5.	2a	3,759
b	Income tax for 2017 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	3,759
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	113,111
4	Recoveries of amounts treated as qualifying distributions.	4	0
5	Add lines 3 and 4.	5	113,111
6	Deduction from distributable amount (see instructions).	6	0
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	113,111

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	102,677
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4.	4	102,677
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions).	5	0
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	102,677

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2016	(c) 2016	(d) 2017
1 Distributable amount for 2017 from Part XI, line 7				113,111
2 Undistributed income, if any, as of the end of 2017				
a Enter amount for 2016 only.			0	
b Total for prior years 20____, 20____, 20____		0		
3 Excess distributions carryover, if any, to 2017				
a From 2012.	3,665			
b From 2013.	106,514			
c From 2014.	717			
d From 2015.	2,028			
e From 2016.	10,808			
f Total of lines 3a through e.	123,732			
4 Qualifying distributions for 2017 from Part XII, line 4 ▶ \$ 102,677				
a Applied to 2016, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2017 distributable amount.				102,677
e Remaining amount distributed out of corpus	0			
5 Excess distributions carryover applied to 2017 (If an amount appears in column (d), the same amount must be shown in column (a))	10,434			10,434
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	113,298			
b Prior years' undistributed income Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d Subtract line 6c from line 6b Taxable amount—see instructions		0		
e Undistributed income for 2016 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2017 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2018				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).	0			
8 Excess distributions carryover from 2012 not applied on line 5 or line 7 (see instructions).	0			
9 Excess distributions carryover to 2018. Subtract lines 7 and 8 from line 6a	113,298			
10 Analysis of line 9				
a Excess from 2013.	99,745			
b Excess from 2014.	717			
c Excess from 2015.	2,028			
d Excess from 2016.	10,808			
e Excess from 2017.				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2017, enter the date of the ruling. ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

	Tax year	Prior 3 years			(e) Total
	(a) 2017	(b) 2016	(c) 2015	(d) 2014	
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . .					
c "Support" alternative test—enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or email address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i> See Additional Data Table				
Total			3a	100,350
b <i>Approved for future payment</i>				
Total			3b	0

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a _____					
b _____					
c _____					
d _____					
e _____					
f _____					
g Fees and contracts from government agencies					
2 Membership dues and assessments. . . .					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities. . . .			14	54,805	
5 Net rental income or (loss) from real estate					
a Debt-financed property.					
b Not debt-financed property.					
6 Net rental income or (loss) from personal property					
7 Other investment income.					
8 Gain or (loss) from sales of assets other than inventory			18	153,099	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a _____					
b _____					
c _____					
d _____					
e _____					
12 Subtotal. Add columns (b), (d), and (e). . .		0		207,904	0
13 Total. Add line 12, columns (b), (d), and (e).			13		207,904

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Part XVII

- | | | | | |
|---|--|----|--|----|
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees. | 1c | | No |
| d | If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. | | | |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

- | b If "Yes," complete the following schedule | | |
|---|--------------------------|---------------------------------|
| (a) Name of organization | (b) Type of organization | (c) Description of relationship |
| | | |
| | | |
| | | |
| | | |

**Sign
Here**

Sign Here 		
Signature of officer or trustee	Date	Title

Paid Preparer Use Only	Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ☐	PTIN
	CYNTHIA P BARTHOLOMEW		2018-05-14		P01313965
	Firm's name ▶ PERKINS & COMPANY PC				Firm's EIN ▶ 93-0928924
	Firm's address ▶ 1211 SW FIFTH AVE SUITE 1000 PORTLAND, OR 972043710				Phone no (503) 221-0336

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
AH HAA SCHOOL FOR THE ARTS 300 SOUTH TOWNSEND ST TELLURIDE, CO 81435	N/A	PC	GENERAL OPERATIONS SUPPORT	15,000
ALBUQUERQUE CENTER FOR PEACE AND JUSTICE 202 HARVARD SE ALBUQUERQUE, NM 87106	N/A	PC	GENERAL OPERATIONS SUPPORT	1,500
ALBUQUERQUE HEALTHCARE 1217 1ST ST ALBUQUERQUE, NM 87102	N/A	PC	GENERAL OPERATIONS SUPPORT	500
ANIMAL PROTECTION OF NEW MEXICO PO BOX 11395 ALBUQUERQUE, NM 87192	N/A	PC	GENERAL OPERATIONS SUPPORT	500
CAMPBILL SPECIAL SCHOOL 1784 FAIRVIEW RD GLENMOORE, PA 19343	N/A	PC	GENERAL OPERATIONS SUPPORT	2,565
Total 				100,350
3a				

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
CENTER FOR BIOLOGICAL DIVERSITY PO BOX 710 TUCSON, AZ 85702	N/A	PC	GENERAL OPERATIONS SUPPORT	3,000
DEFENDERS OF WILDLIFE 1130 17TH ST NW WASHINGTON, DC 20036	N/A	PC	GENERAL OPERATIONS SUPPORT	3,000
DOCTORS WITHOUT BOARDERS MSF USA 333 7TH AVE NEW YORK, NY 10001	N/A	PC	GENERAL OPERATIONS SUPPORT	3,000
GERARD'S HOUSEPO BOX 28693 SANTA FE, NM 87592	N/A	PC	GENERAL OPERATIONS SUPPORT	2,565
GLOBAL PURPOSE GROUP 3524 DUTCH WAY CARMICHAEL, CA 95608	N/A	PC	GENERAL OPERATIONS SUPPORT	2,000
Total ► 3a				100,350

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
HANDICAP INTERNATIONALPO BOX 53 TOMS RIVER, NJ 08754	N/A	PC	GENERAL OPERATIONS SUPPORT	3,230
HEART OF TAOS 204 PASEO DEL PUEBLO NORTE SUITE C TAOS, NM 87571	N/A	PC	GENERAL OPERATIONS SUPPORT	500
INTERNATIONAL RESCUE COMMITTEE 122 EAST 42ND ST NEW YORK, NY 10001	N/A	PC	GENERAL OPERATIONS SUPPORT	6,230
LAS CUMBRES COMMUNITY SERVICES 805 EARLY ST BUILDING F SANTA FE, NM 87505	N/A	PC	GENERAL OPERATIONS SUPPORT	3,230
LITTLE EARTH SCHOOL321 W ZIA RD SANTA FE, NM 87505	N/A	PC	GENERAL OPERATIONS SUPPORT	1,000
Total 3a				100,350

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
NATURAL RESOURCE DEFENSE COUNCIL 40 WEST 20TH ST NEW YORK, NY 10011	N/A	PC	GENERAL OPERATIONS SUPPORT	2,000
REUNITY RESOURCES 1000 CORDOVA PLACE 650 SANTA FE, NM 87505	N/A	PC	GENERAL OPERATIONS SUPPORT	5,000
SANTA FE WALDORF SCHOOL 26 PUESTA DEL SOL SANTA FE, NM 87508	N/A	PC	GENERAL OPERATIONS SUPPORT	1,000
SAVE THE CHILDREN54 WILTON RD WESTPORT, CT 06880	N/A	PC	GENERAL OPERATIONS SUPPORT	500
SEA SHEPHERD CONSERVATION SOCIETY PO BOX 8628 ALEXANDRIA, VA 22306	N/A	PC	GENERAL OPERATIONS SUPPORT	500
Total ► 3a				100,350

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
TARIPO BOX 50273 EUGENE, OR 97405	N/A	PC	GENERAL OPERATIONS SUPPORT	5,230
WILD EARTH GUARDIANS516 ALTO ST SANTA FE, NM 87501	N/A	PC	GENERAL OPERATIONS SUPPORT	2,500
ALASKA WILDERNESS LEAGUE 122 C ST NW SUITE 240 WASHINGTON, DC 20001	N/A	PC	GENERAL OPERATIONS SUPPORT	550
BEST FRIENDS ANIMAL SOCIETY 5001 ANGEL CANYON RD KANAB, UT 84741	N/A	PC	GENERAL OPERATIONS SUPPORT	500
CREATE CENTER FOR THE ARTS 73733 FRED WARING DR SUITE 106 PALM DESERT, CA 92260	N/A	PC	GENERAL OPERATIONS SUPPORT	15,000
Total 3a				100,350

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
CURE SMA925 BUSSE RD ELK GROVE VILLAGE, IL 60007	N/A	PC	GENERAL OPERATIONS SUPPORT	500
EARTH JUSTICE15894 GREYDALE ST DETROIT, MI 48223	N/A	PC	GENERAL OPERATIONS SUPPORT	2,000
GENERATION JUSTICE - CENTER FOR SW CULTURE 3900 EAST CAMELBACK RD SUITE 300 PHOENIX, AZ 85018	N/A	PC	GENERAL OPERATIONS SUPPORT	500
THE HEART & SOUL ANIMAL SANCTUARY 369 MONTEZUMA AVE 130 SANTA FE, NM 87501	N/A	PC	GENERAL OPERATIONS SUPPORT	500
INTERNATIONAL RELIEF TEAMS 4560 ALVARADO CANYON RD NO 1H SAN DIEGO, CA 92120	N/A	PC	GENERAL OPERATIONS SUPPORT	5,000
Total ▶ 3a				100,350

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
KINDRED SPIRIT2320 W DODGE RD CLIO, MI 48420	N/A	POF	GENERAL OPERATIONS SUPPORT	2,250
SANTA FE CONSERVATION TRUST PO BOX 23985 SANTA FE, NM 87502	N/A	PC	GENERAL OPERATIONS SUPPORT	5,000
SANTA FE DREAMERS PROJECT PO BOX 8009 SANTA FE, NM 87504	N/A	PC	GENERAL OPERATIONS SUPPORT	2,500
SANTA FE FARMERS MARKET INSTITUTE 1607 PASEO DE PERALTA SUITE A SANTA FE, NM 87501	N/A	PC	GENERAL OPERATIONS SUPPORT	500
AMERICAN NATIONAL RED CROSS 431 18TH ST NW WASHINGTON, DC 20006	N/A	PC	GENERAL OPERATIONS SUPPORT	1,000
Total ▶ 3a				100,350

TY 2017 Accounting Fees Schedule**Name:** ARK FOUNDATION**EIN:** 91-2125649**Accounting Fees Schedule**

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING FEES	3,400	1,700		1,700

TY 2017 Investments Corporate Bonds Schedule**Name:** ARK FOUNDATION**EIN:** 91-2125649**Investments Corporate Bonds Schedule**

Name of Bond	End of Year Book Value	End of Year Fair Market Value
ALCOA INC	25,832	26,525
APPLE INC 2	26,058	25,401
AT&T INC 2	35,320	30,086
CELGENE CORP	25,923	25,396
CHEVRON CORP NEW	25,015	24,802
GENERAL ELEC CAP CORP	28,695	25,298
GOLDMAN SACHS GROUP INC	25,671	26,229
JPMORGAN CHASE & CO	25,588	26,213
ORACLE CORP	25,268	25,040
UNITEDHEALTH GROUP INC	25,333	25,308
XEROX CORP	25,092	25,798
XEROX CORP SR UNSECD	10,579	9,131

TY 2017 Investments Corporate Stock Schedule

Name: ARK FOUNDATION
EIN: 91-2125649

Name of Stock	End of Year Book Value	End of Year Fair Market Value
3M CO	8,028	23,537
AETNA INC	3,052	21,647
ALLEGHANY CORP DEL	14,307	20,863
ALLERGAN PLC	22,910	17,176
ALLSTATE CORP	15,587	26,178
AMDOCS LTD	10,073	17,680
AMERICAN EXPRESS CO	13,799	24,828
AMERICAN EXPRESS CO	25,343	25,127
AMGEN INC	5,265	19,999
APPLE INC	23,486	47,384
ASTRAZENECA PLC	22,990	26,546
AVNET INC	15,986	16,442
BECTON DICKINSON & CO	7,445	24,617
BLACKROCK INC	6,975	25,686
BORG WARNER INC	14,683	22,480
BUNGE LTD	10,634	17,642
CHEVRON CORP	24,349	46,320
CIENA CORP	25,983	24,593
CISCO SYS INC	24,016	29,874
CITIGROUP INC	24,807	29,764
CITIGROUP INC	24,912	25,076
DELL TECHNOLOGIES	4,248	7,315
DUN & BRADSTREET CORP DEL NEW	16,691	18,946
ELECTRONICS FOR IMAGING INC	15,751	16,537
EMERSON ELEC CO	9,905	20,559
EXXON MOBIL CORP	10,761	17,564
FLUOR CORP	16,565	22,210
GENERAL ELEC CO	13,793	18,148
GILEAD SCIENCES INC	24,094	25,790
GOLDMAN SACHS GROUP INC 2	14,650	24,202

Name of Stock	End of Year Book Value	End of Year Fair Market Value
HOWARD HUGHES CORP	24,220	38,725
HP INC	21,615	31,200
IMAX	16,826	19,330
JOHNSON & JOHNSON	32,841	46,806
JP MORGAN CHASE	9,318	50,797
KEYCORP NEW	16,901	28,339
KONINKLIJKE PHILIPS NV	15,461	22,113
KROGER CO	31,183	26,764
LEGGETT & PLATT INC	16,825	17,660
MADISON SQUARE GARDEN CO	17,950	17,922
MAGNA INTL INC	15,731	21,251
MCKESSON CORP	7,766	19,494
MERCK & CO INC	18,110	26,166
METHANEX CORP	24,877	26,339
MICROSOFT CORP	10,084	35,927
MORGAN STANLEY	7,229	23,612
MOSAIC CO	15,030	15,396
MURPHY USA INC	16,328	18,483
NATIONAL OILWELL VARCO INC	16,431	18,370
NESTLES A	8,618	21,498
NEWMONT MNG CORP	16,189	26,639
NIKE INC	24,089	28,148
PFIZER INC	31,028	36,220
PNC FINL SVCS GROUP INC	12,286	30,301
PROCTER & GABLE CO	24,536	25,267
QUALCOMM INC	24,708	29,769
ROYAL DUTCH SHELL PLC	27,498	26,684
SCHLUMBERGER LTD	13,326	18,532
SMUCKER J M CO NEW COM	25,510	26,712
SOUTHWEST AIRLS CO	3,318	26,180

Name of Stock	End of Year Book Value	End of Year Fair Market Value
STATE STR CORP	15,232	38,068
TE CONNECTIVITY LTD	2,906	35,165
TELECOMMUNICATION SERVICES	13,626	20,412
US BANCORP DEL	13,189	26,790
VODAFONE GROUP PLC NEW	24,622	30,146
WAL-MART STORES INC	27,545	43,450
WELLS FARGO	22,516	28,818

TY 2017 Investments Government Obligations Schedule**Name:** ARK FOUNDATION**EIN:** 91-2125649**US Government Securities - End
of Year Book Value:**

397,645

**US Government Securities - End
of Year Fair Market Value:**

395,586

**State & Local Government
Securities - End of Year Book
Value:**

0

**State & Local Government
Securities - End of Year Fair
Market Value:**

0

TY 2017 Other Expenses Schedule**Name:** ARK FOUNDATION**EIN:** 91-2125649**Other Expenses Schedule**

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT EXPENSES	49	49		0

TY 2017 Other Increases Schedule

Name: ARK FOUNDATION

EIN: 91-2125649

Description	Amount
BOOK/TAX DIFFERENCE	1,243

TY 2017 Other Professional Fees Schedule**Name:** ARK FOUNDATION**EIN:** 91-2125649

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT MANAGEMENT FEES	14,121	14,121		0
BANK FEES	3,559	3,559		0
MAIL BOX ANNUAL FEE	227	0		227

TY 2017 Taxes Schedule**Name:** ARK FOUNDATION**EIN:** 91-2125649

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAXES	537	537		0