Form **990-P.F**



EXTENDED TO NOVEMBER 15, 29
Return of Private Foundation 2018

or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter social security numbers on this form as it may be made public. ► Go to www.irs.gov/Form990PF for instructions and the latest information.

Depart	ment	t of the Treasury দেহ্বত Service					rity numbers of						201	
		dar year 2017	or tay year		NOV		n990PF for ins		nd endin		DEC 31	, 201	Open to Public In	spection
		foundation	OI LAN YEAR	Deginning	NOV	0, 2	017	, aı	ia ciiaiii		A Employer			
ivam	e oi	loutidation									A Employer	iuentilicatio	II	
C	AR:	A ROSE	FOIN	מחדחמ	ſ						81-0	81565	7	
		nd street (or P O				address)			Roo	m/suite	B Telephone		<u>, </u>	
Ó	JE:	ELM SC	UARE	1F								470-2	929	
	_	wn, state or pr			P or foreign p	ostal code							pending, check here	►X
		OVER, M		L810									,	,
		all that apply:	X		n	Ini	tial return of a f	ormer pul	lic chari	ty	D 1. Foreigr	organization	ns, check here	ightharpoons
				Final return	1	Ar	nended return	•				_		
			X	Address ch	ange	Na	ıme change				2. Foreign check hi	organizations m ere and attach o	neeting the 85% test, computation	ightharpoons
H CI	neck	type of organiz	zation:	X Section	n 501(c)(3) ex	empt privat	e foundation			20	F If or wate t	oundation st	atus was terminated	1
	Se	ction 4947(a)(1	1) nonexem	pt charitable	e trust 🔲	Other taxab	le private found	ation					1)(A), check here	ightharpoons
I Fai	r ma	rket value of al	l assets at e	end of year	J Accounti	ng method:	X Cash		Accrual		F If the four	idation is in a	a 60-month terminat	ion
(fro	m P	Part II, col. (c), I	line 16)		Ot	her (specify	')		,		under sec	tion 507(b)(1)(B), check here	
	•					nn (d) must	be on cash bas	is.)						
Pa	<u>rt I</u>	Analysis of R (The total of am necessarily equ	levenue an Jounts in colu	id Expenses imns (b), (c), an	id (d) may not	(a) Re	venue and	(b) N	et invest	ment		isted net	(d) Disbursem for charitable pur	
						<u> </u>	es per books	<u> </u>	ıncome			ome	(cash basis of	nly)
	1	Contributions,				6	<u>85,000.</u>	-			N/	Α		
*	2			on is not required to crary	o attach Sch B		1.0	-		1.0			CM2 MENT	·m 1
	3	Interest on savin					16.	ļ		16.			STATEMEN	<u>T 1</u>
1	4	Dividends and	interest tro	om securities	S			ļ			<u> </u>			
	5a							 						
	D 60	Net rental incom-	· · · -										-	
9		Net gain or (loss) Gross sales price	e for all	assets not on	line 10									
Revenue	р 7	assets on line 6a Capital gain net		Part IV line 2)		-		 ``		0.				
æ	8	Net short-term	-			\		<u> </u>		<u> </u>				
	9	Income modif						 		····				
ç	5 .	Gross sales less						<u> </u>			,		-	
¢	_		ods sold											
ę	> c	Gross profit of	r (loss)											
G	11	Other income												
_	12	Total Add line	es 1 throug	h 11		6	85,016.			16.				
디	13	Compensation o	f officers, dire	ectors, trustee:	s, etc		0.			0.				0.
	14	Other employe		•										
	-	Pension plans	, employee	benefits				ļ						
S.	16a	Legal fees												
<u></u>	, b	Accounting fe				<u> </u>		 	==	- 1. /				
ű,	S_C	Other professi	onal fees					H =	<u>KEC</u>	EIV			· · · · · · · · · · · · · · · · · · ·	
Ě	17	Interest						Hol			250 18 18			
stra	18	Taxes	and dopletic					8	NOV-	1 9 20	18			
Ē	19 20	Depreciation a Occupancy	ina aepieac	וונ		<u> </u>								
þ	21	Travel, confer	ences and	meetings				# 7	OGD	EN.	UT			
힏	22	Printing and p		-		<u> </u>		<u> </u>	y 					
g	23	Other expense						 			<u> </u>			
aţi	24	Total operation		ninistrative									 	
Operating and Administrative Expen		expenses. Ad	-				0 .			0.				0.
ō	25	Contributions,		-			0.							0.
1		Total expense									Ţ			<u></u>
		Add lines 24 a				<u></u>	0	<u></u>		0.				0.
	27	Subtract line 2	26 from line	e 12:										
-	a	Excess of revenu	ie over exper	nses and disbu	ırsements	6	85,016.	,						
		Net investme								16.				
	C	Adjusted net	income (if n	egative, enter	-0-)	<u> </u>					N	A		

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P	art	Balance Sheets Attached schedules and amounts in the description	Beginning of year	End of	year
느	ui t	column should be for end-of-year amounts only	(a) Book Value	(b) Book Value	(c) Fair Market Value
	1	Cash - non-interest-bearing			
	2	Savings and temporary cash investments		660,016.	660,016.
	3	Accounts receivable ►			
		Less: allowance for doubtful accounts ▶			
	4	Pledges receivable ►			
		Less: allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other			· · · · · · · · · · · · · · · · · · ·
		disqualified persons			
	7	Other notes and loans receivable	_		
		Less: allowance for doubtful accounts ▶	-		*
ş	8	Inventories for sale or use			
Assets	9	Prepaid expenses and deferred charges			
Ÿ	10a	Investments - U.S. and state government obligations			
	Ь	Investments - corporate stock			
	C	Investments - corporate bonds STMT 2	0.	25,000.	24,869.
	11	investments - land, buildings, and equipment basis			1
	1	Less accumulated depreciation	- '		
	12	Investments - mortgage loans			
	13	Investments - other			
	14	Land, buildings, and equipment: basis ▶			
		Less accumulated depreciation	-	•	*
	15	Other assets (describe ►			
	16	Total assets (to be completed by all filers - see the			
		instructions. Also, see page 1, item I)	0.	685,016.	684,885.
	17	Accounts payable and accrued expenses			
	18	Grants payable			
Ş	19	Deferred revenue			
Liabilities	20	Loans from officers, directors, trustees, and other disqualified persons			
abi	21	Mortgages and other notes payable			
Ī	22	Other liabilities (describe)			
	23	Total liabilities (add lines 17 through 22)	0.	0.	
		Foundations that follow SFAS 117, check here			
		and complete lines 24 through 26, and lines 30 and 31			
ces	24	Unrestricted			
Net Assets or Fund Balanc	25	Temporarily restricted			
Ba	26	Permanently restricted			
בַ		Foundations that do not follow SFAS 117, check here			
Ę		and complete lines 27 through 31.			
S	27	Capital stock, trust principal, or current funds	0.	0.	
set	28	Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
Ä	29	Retained earnings, accumulated income, endowment, or other funds	0.	685,016.	
Ž	30	Total net assets or fund balances	0.	685,016.	
	31	Total liabilities and net assets/fund balances	0.	685,016.	
Р	art	Analysis of Changes in Net Assets or Fund Ba	lances		
_				· · · · · · · · · · · · · · · · · · ·	
		net assets or fund balances at beginning of year - Part II, column (a), line 3	30		
		t agree with end-of-year figure reported on prior year's return)		1	0.
		amount from Part I, line 27a		2	685,016.
		increases not included in line 2 (itemize)		3	0.
		ines 1, 2, and 3		4	685,016.
		eases not included in line 2 (itemize)		5	0.
6	ı otal	net assets or fund balances at end of year (line 4 minus line 5) - Part II, col	umn (b), line 30	6	685,016.
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CARA ROSE FOUNDATION

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Part IV Capital Gains and Losses for Tax on Investment Income (a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) (b) How acquired P - Purchase D - Donation (c) Date acquired (mo., day, yr.) (d) Date acquired (mo., day, yr.) (e) Date acquired (mo., day, yr.) (f) Date acquired (mo., day, yr.) (g) Cost or other basis price (g) Cost or other basis plus expense of sale (g) Cost or other basis ((e) plus (f) minus (g)) (g) Cost or other basis plus expense of sale (g) Cost or other basis ((e) plus (f) minus (g)) (g) Cost or other basis plus expense of sale (g) Cost or other basis ((e) plus (f) minus (g)) (g) Purchase D - Donation (h) Gain or (loss) ((e) plus (f) minus (g)) (g) Purchase D - Donation (h) Gain or (loss) ((e) plus (f) minus (g)) (g) Purchase D - Donation (h) Gain or (loss) ((e) plus (f) minus (g)) (g) Purchase D - Donation (h) Gain or (loss) ((e) plus (f) minus (g)) (g) Purchase D - Donation (h) Gain or (loss) ((e) plus (f) minus (g)) (g) Purchase D - Donation (h) Cost or other basis (h) Gain or (loss) ((e) plus (f) minus (g)) (g) Purchase D - Donation (h) Cost or other basis (h) Gain or (loss) ((e) plus (f) minus (g)) (g) Purchase D - Donation (h) Cost or other basis (h) Gain or (loss) ((e) plus (f) minus (g))	e sold ay, yr.)
1a b NONE c d e (e) Gross sales price (f) Depreciation allowed (or allowable) (reallowable) (rea	e sold ay, yr.)
b NONE c	
c d e (e) Gross sales price (f) Depreciation allowed (g) Cost or other basis plus expense of sale ((e) plus (f) minus (g)) a b c d e Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69. (i) FMV as of 12/31/69 (j) Adjusted basis as of 12/31/69 (k) Excess of col. (i) over col. (j), if any (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h)) a b c	
d e (e) Gross sales price (f) Depreciation allowed (g) Cost or other basis plus expense of sale (e) Gross sales price (f) Depreciation allowed (g) Cost or other basis plus expense of sale ((e) plus (f) minus (g)) a b c d e Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69. (i) FMV as of 12/31/69 (ii) Adjusted basis as of 12/31/69 (ii) FMV as of 12/31/69 (iii) FMV as of 12/31/69 (iv) Cost or other basis (h) Gain or (loss) ((e) plus (f) minus (g)) (ii) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h)) a b c	
e (e) Gross sales price (f) Depreciation allowed (g) Cost or other basis plus expense of sale (e) plus (f) minus (g)) a b c d e Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69. (i) FMV as of 12/31/69 (i) Adjusted basis as of 12/31/69 (ii) FMV as of 12/31/69 (ii) Adjusted basis as of 12/31/69 (iii) Adjusted basis as of 12/31/69 (iiii) Adjusted basis as of 12/31/69 (iiiiiiiiiiiiiiiiiiiiiiiiiiiiiiiiiiii	
(e) Gross sales price (f) Depreciation allowed (g) Cost or other basis plus expense of sale (i) FMV as of 12/31/69 (f) Depreciation allowed (g) Cost or other basis plus expense of sale (ii) FMV as of 12/31/69 (ij) Depreciation allowed (g) Cost or other basis (le) Gain or (loss) ((e) plus (f) minus (g)) (ii) FMV as of 12/31/69 (iii) FMV as of 12/31/69 (iv) Depreciation allowed (g) Cost or other basis (le) Gain or (loss) (le) plus (f) minus (g)) (iv) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h)) a b c c c c c c c c c c c c c c c c c c	
(e) plus (f) minus (g)) a b c d e Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69. (i) FMV as of 12/31/69 (ij) Adjusted basis as of 12/31/69 (ij) Adjusted basis over col. (j), if any (ii) FMV as of 12/31/69 (iii) FMV as of 12/31/69 (iv) FMV as of 12/31/69	
b c d e Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69. (i) FMV as of 12/31/69 (j) Adjusted basis (k) Excess of col. (i) over col. (j), if any (i) FMV as of 12/31/69 (ii) FMV as of 12/31/69 (iii) FMV as of 12/31/69 (iv) Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h)) a b c	
c d d e Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69. (i) FMV as of 12/31/69 (j) Adjusted basis as of 12/31/69 (k) Excess of col. (i) over col. (j), if any (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h)) a b c	
d e Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69. (i) FMV as of 12/31/69 (j) Adjusted basis as of 12/31/69 (k) Excess of col. (i) over col. (j), if any (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h)) a b c	
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69. (i) FMV as of 12/31/69 (j) Adjusted basis as of 12/31/69 (k) Excess of col. (i) over col. (j), if any (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h)) a b c	
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69. (i) FMV as of 12/31/69 (j) Adjusted basis as of 12/31/69 (k) Excess of col. (l) col. (k), but not less than -0-) or Losses (from col. (h)) a b c	
(i) FMV as of 12/31/69 (j) Adjusted basis as of 12/31/69 (k) Excess of col. (i) col. (k), but not less than -0-) or Losses (from col. (h)) a b c	
(i) FMV as of 12/31/69 "as of 12/31/69 "over col. (j), if any Losses (from col. (n)) a b c	
b c	
b c	
C	
d	
<u>e</u>	
2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):	
If gain, also enter in Part I, line 8, column (c).	
If (loss), enter -0- in Part I, line 8	<u> </u>
Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income	
(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.) N/A	
If section 4940(d)(2) applies, leave this part blank.	
	ш.
Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? If "Yes," the foundation doesn't qualify under section 4940(e). Do not complete this part.	L No
1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.	
(a) (b) (d)	
Base period years Calendar year (or tax year beginning in) Adjusted qualifying distributions Net value of noncharitable-use assets (col. (b) divided by col	(c))
2016	,3
2015	
2014	
2013	
2012	
0. Total of loss 1 assume (d)	
2 Total of line 1, column (d) 2 Average distribution ratio for the 5 year base paried, divide the total on line 3 by 5.0, or by the symples of years.	
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5 0, or by the number of years the foundation has been in existence if less than 5 years 3	
the foundation has been in existence in less than 5 years	
4 Enter the net value of noncharitable-use assets for 2017 from Part X, line 5	
5 Multiply line 4 by line 3 5	
6 Enter 1% of net investment income (1% of Part I, line 27b)	
7 Add lines 5 and 6	
8 Enter qualifying distributions from Part XII, line 4	-
If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.	

orm 9 Par	90-PF (2017) CARA ROSE FOUNDATION t VI Excise Tax Based on Investment Income (Section 49)	94 <mark>0(a), 4940</mark>	(b), 4940(e), or		0815 see ir			Page 4
	xempt operating foundations described in section 4940(d)(2), check here							
	late of ruling or determination letter: (attach copy of letter if		1					
	comestic foundations that meet the section 4940(e) requirements in Part V, check here			1	1			0.
_	f Part I, line 27b							
	all other domestic foundations enter 2% of line 27b. Exempt foreign organizations, enter	4% of Part I, line	12, col. (b).					_
	ax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only		* *	2				¯ 0 •_
	add lines 1 and 2	,	,	3				0.
4 8	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only	; others, enter -0	-)	4				0.
	ax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-			5				0.
6 (redits/Payments:							
	017 estimated tax payments and 2016 overpayment credited to 2017	6a	0	• .				
	xempt foreign organizations - tax withheld at source	6b	0	- 1				t
	ax paid with application for extension of time to file (Form 8868)	6c	0	.1				,
	Backup withholding erroneously withheld	6d	0					,
	otal credits and payments. Add lines 6a through 6d	· · · · · · · · · · · · · · · · · · ·	· · · · · · · · · · · · · · · · · · ·	7			-	0.
	inter any penalty for underpayment of estimated tax. Check here if Form 2220 is a	attached		8				0.
	ax due. If the total of lines 5 and 8 is more than line 7, enter amount owed		•	9				0.
	Overpayment If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	1		10				
	inter the amount of line 10 to be: Credited to 2018 estimated tax	•	Refunded >	11				
	t VII-A Statements Regarding Activities		,					
	During the tax year, did the foundation attempt to influence any national, state, or local le	egislation or did it	participate or interver	e in			Yes	No
	iny political campaign?		participate of times of		l	1a		х
	Did it spend more than \$100 during the year (either directly or indirectly) for political pui	rnoses? See the u	estructions for the def	ınıtınn	ŀ	1b		X
	f the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copi							
	listributed by the foundation in connection with the activities.	os or any material	3 published of					l
	Did the foundation file Form 1120-POL for this year?					1c	· -	X '
	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during	the year				10		
	1) On the foundation. \blacktriangleright \$ 0 . (2) On foundation manage	•	0					'
•	nter the reimbursement (if any) paid by the foundation during the year for political expe			<u>•</u>				
	nanagers. $ ightharpoonup$ $ ightharpoo$	munure tax impos	seu on iounidation					
		na IDC2				2)	X
	las the foundation engaged in any activities that have not previously been reported to the f"Yes," attach a detailed description of the activities.	ie inor						
	•	na instrument art	valor of incorporation					
	las the foundation made any changes, not previously reported to the IRS, in its governi	ng mstrument, art	icles of incorporation,	UI		,	, - i	v
	lylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes					3		X
	Old the foundation have unrelated business gross income of \$1,000 or more during the	year		1.7	/A	4a		
	f "Yes," has it filed a tax return on Form 990-T for this year? Westhers a liquidation termination dissolution or substantial contraction during the ver-			1/	/ A	4b		X
	Vas there a liquidation, termination, dissolution, or substantial contraction during the year.	ear?				5		
	f "Yes," attach the statement required by General Instruction T.	d - 4b				ļ	İ	'
	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfie	a eitner:						
	By language in the governing instrument, or							
	By state legislation that effectively amends the governing instrument so that no manda	atory directions th	at conflict with the sta	te law			•••	
	emain in the governing instrument?					6	X	
7 l	Old the foundation have at least \$5,000 in assets at any time during the year? If "Yes," co	omplete Part II, co	l. (c), and Part XV			7	X	├
		. •						
	Enter the states to which the foundation reports or with which it is registered. See instru	ctions. $ ightharpoonup$	-				1	
-	MA		 			į		1
	f the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the	ne Attorney Genera	al (or designate)					
	of each state as required by General Instruction G? If "No," attach explanation					8b	Х	
	s the foundation claiming status as a private operating foundation within the meaning o			lendar				
	ear 2017 or the tax year beginning in 2017? See the instructions for Part XIV. If "Yes," (complete Part XIV				9	i	<u> </u>
	Did any persons become substantial contributors during the tax year? If "Yes," attach a sci	· · ·				<u> </u>		

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P	art VII-A Statements Regarding Activities (continued)			
	•		Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of			
	section 512(b)(13)? If "Yes," attach schedule. See instructions	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges?			
	If "Yes," attach statement. See instructions	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	_X	
	Website address ► N/A			
14	The books are in care of ► HEIDI GLADSTONE Telephone no. ► 978-47		<u>929</u>	
45	Located at ► ONE ELM SQUARE 1F, ANDOVER, MA ZIP+4 ►01	810		$\overline{}$
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - check here and enter the amount of tax-exempt interest received or accrued during the year 15	N.T	/A	•
16	At any time during calendar year 2017, did the foundation have an interest in or a signature or other authority over a bank,		Yes	No
	securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the	''		
	foreign country			
Pa	art VII-B Statements Regarding Activities for Which Form 4720 May Be Required			'
	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.		Yes	No
18	During the year, did the foundation (either directly or indirectly):			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from)			
	a disqualified person?			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?			
	(5) Transfer any income or assets to a disqualified person (or make any of either available			
	for the benefit or use of a disqualified person)? (6) Agree to pay money or property to a government official? (Function Check "No")			
	(6) Agree to pay money or property to a government official? (Exception. Check "No" If the foundation agreed to make a grant to or to employ the official for a period after			
	termination of government service, if terminating within 90 days.) Yes X No			,
ł	of the answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations			
	section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions N/A	1b		
	Organizations relying on a current notice regarding disaster assistance, check here	"		\vdash
	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected			<u>'</u>
	before the first day of the tax year beginning in 2017?	1c		x
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation			
	defined in section 4942(j)(3) or 4942(j)(5)):			
a	At the end of tax year 2017, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning			
	before 2017?			
	If "Yes," list the years \(\bigs_{			
	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect			
	valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)			
	statement - see instructions.) If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.	2b		
3 a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time			
	during the year?			
t	If "Yes," did it have excess business holdings in 2017 as a result of (1) any purchase by the foundation or disqualified persons after			
	May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose			
	of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C,			
	Form 4720, to determine if the foundation had excess business holdings in 2017.)	3b		
	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that			ر
	had not been removed from jeopardy before the first day of the tax year beginning in 2017?	4b		<u>x</u>
	Fo	rm 99 0)-PF	(2017)

Form 990-PF (2017) CARA ROSE FOUNDATION	Town 4700 May Do I	Danilla d	<u>81-08156</u>	57	Page 6
Part VII-B Statements Regarding Activities for Which F	-orm 4720 May Be F	Requirea (continu	ued)	Ye	s No
 5a During the year, did the foundation pay or incur any amount to: (1) Carry on propaganda, or otherwise attempt to influence legislation (section (2) Influence the outcome of any specific public election (see section 4955); or 			s X No	10:	,
any voter registration drive?			s X No		1
(3) Provide a grant to an individual for travel, study, or other similar purposes	?	Ye	s X No		
(4) Provide a grant to an organization other than a charitable, etc., organization	n described in section				
4945(d)(4)(A)? See instructions			s X No	ł	1:
(5) Provide for any purpose other than religious, charitable, scientific, literary, the prevention of cruelty to children or animals?	or educational purposes, or t		s X No		,
b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify und	der the exceptions described				
section 53.4945 or in a current notice regarding disaster assistance? See instru	<u>-</u>	Ū	N/A	5b	
Organizations relying on a current notice regarding disaster assistance, check l	here				
${f c}$ If the answer is "Yes" to question 5a(4), does the foundation claim exemption fi					,
expenditure responsibility for the grant?	<i>y</i>	J∕A ∐ Ye	s No		• •
If "Yes," attach the statement required by Regulations section 53.4945-5(d).					
6a Did the foundation, during the year, receive any funds, directly or indirectly, to	pay premiums on	—			,
a personal benefit contract?		Ye	s X No	. .	-
b Did the foundation, during the year, pay premiums, directly or indirectly, on a p	ersonal benefit contract?		-	6b	X
If "Yes" to 6b, file Form 8870. 7a At any time during the tax year, was the foundation a party to a prohibited tax s	haltar transaction?	□ v _a	s X No		1.
b If "Yes," did the foundation receive any proceeds or have any net income attribu		TE	_	7b	+ '
Part VIII Information About Officers, Directors, Trust		nagers, Highly		,,,	
Paid Employees, and Contractors					
1 List all officers, directors, trustees, and foundation managers and t		14.10	(a) 0i/s		
(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	accou allov	xpense nt, other vances
JOYCE GLADSTONE	TRUSTEE				
ONE ELM SQUARE 1F	1 00		•		•
ANDOVER, MA 01810	1.00	0.	0.		0.
HEIDI GLADSTONE ONE ELM SQUARE 1F	TRUSTEE				
ANDOVER, MA 01810	1.00	0.	0.		0.
ANDOVER, MA 01010	1.00	•			<u> </u>
2 Compensation of five highest-paid employees (other than those inc	luded on line 1) If none	enter "NONE "		<u></u>	
(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	accou	xpense nt, other vances
NONE	`		· · · · · · · · · · · · · · · · · · ·		
				<u> </u>	
		ļ		ļ	
	-	'			
Total number of other employees paid over \$50,000	<u></u>			L	0
Total hamber of other employees paid over \$50,000					

Form **990-PF** (2017)

Form 990-PF (2017) CARA ROSE FOUNDATION	81-	0815657 Page 7
Part VIII Information About Officers, Directors, Trustees, Four Paid Employees, and Contractors (continued)	ındation Managers, Highly	
3 Five highest-paid independent contractors for professional services. If none,	enter "NONE."	
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services Part IX-A Summary of Direct Charitable Activities	WATER 18 10 10 10 10 10 10 10 10 10 10 10 10 10	<u>▶</u> 0
List the foundation's four largest direct charitable activities during the tax year. Include relevant number of organizations and other beneficiaries served, conferences convened, research paper 1 N/A	statistical information such as the sproduced, etc.	Expenses
2		
3		
4		
Part IX-B Summary of Program-Related Investments		
Describe the two largest program-related investments made by the foundation during the tax ye	ear on lines 1 and 2.	Amount
1 <u>N/A</u>		0
2		0.
All other program-related investments. See instructions.		
3		
Total. Add lines 1 through 3	•	0.

P	art X Minimum Investment Return (All domestic foundations must complete this part. Foreign four	ndations, se	ee instructions)
1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		······································
-	Average monthly fair market value of securities	1a	2.075.
	Average of monthly cash balances	1b	2,075. 71,668.
	Fair market value of all other assets	1c	
d		1d	73,743.
_	Reduction claimed for blockage or other factors reported on lines 1a and		<u>, , , , , , , , , , , , , , , , , </u>
·	1c (attach detailed explanation) 1e 0.		
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	73,743.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	1,106.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	72,637.
6	Minimum investment return Enter 5% of line 5 ADJUSTED FOR SHORT TAX PERIOD	6	557.
	art XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations are		3571
_	foreign organizations, check here and do not complete this part.)	ia cortain	
1	Minimum investment return from Part X, line 6	1	557.
2a	Tax on investment income for 2017 from Part VI, line 5		
b	Income tax for 2017. (This does not include the tax from Part VI.)		
C	Add lines 2a and 2b	2c	0.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	557.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	557.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	557.
P	art XII Qualifying Distributions (see instructions)		
1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
а	Expenses, contributions, gifts, etc total from Part I, column (d), line 26	1a	<u> </u>
þ	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
а	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions Add lines 1a through 3b. Enter here and on Part V, line 8; and Part XIII, line 4	4	0.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment		
	income. Enter 1% of Part I, line 27b	5	0.
6	Adjusted qualifying distributions Subtract line 5 from line 4	6	0.
	Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation of 4940(e) reduction of tax in those years.	qualifies for t	he section

Form **990-PF** (2017)

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2016	(c) 2016	(d) 2017
1 Distributable amount for 2017 from Part XI, line 7				557.
2 Undistributed income, if any, as of the end of 2017				
a Enter amount for 2016 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2017:				
a From 2012				
b From 2013				
c From 2014				
d From 2015				
e From 2016				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2017 from				
Part XII, line 4: ►\$ 0.				<u> </u>
a Applied to 2016, but not more than line 2a			0.	
b Applied to undistributed income of prior				
years (Election required - see instructions)		0.		
c Treated as distributions out of corpus	- 			
(Election required - see instructions)	0.			
d Applied to 2017 distributable amount				0.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2017	0.			0.
(If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.		•	
b Prior years' undistributed income Subtract				
line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable	,			
amount - see instructions		0.		'
e Undistributed income for 2016. Subtract line				
4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2017. Subtract				
lines 4d and 5 from line 1. This amount must				
be distributed in 2018				557.
7 Amounts treated as distributions out of				
corpus to satisfy requirements imposed by				
section 170(b)(1)(F) or 4942(g)(3) (Election				
may be required - see instructions)	0.			
8 Excess distributions carryover from 2012				
not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2018.				
Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9:	-			
a Excess from 2013				
b Excess from 2014				
c Excess from 2015				
d Excess from 2016				
e Excess from 2017				
700001 21 22 12				F 000 DE (0017)

	SE FOUNDATI				15657 Page 10
Part XIV Private Operating F			-A, question 9)	N/A	
1 a If the foundation has received a ruling of					
foundation, and the ruling is effective fo			▶ └	· · · · · · · · · · · · · · · · · · ·	
b Check box to indicate whether the foun		ig foundation described i		4942(j)(3) or 49	942(j)(5)
2 a Enter the lesser of the adjusted net	Tax year	41.0040	Prior 3 years	(1) 0044	100
income from Part I or the minimum	(a) 2017	(b) 2016	(c) 2015	(d) 2014	(e) Total
investment return from Part X for	`				
each year listed					
b 85% of line 2a				· /	
c Qualifying distributions from Part XII,					
line 4 for each year listed					
d Amounts included in line 2c not					
used directly for active conduct of					
exempt activities					
e Qualifying distributions made directly					
for active conduct of exempt activities.		/			
Subtract line 2d from line 2c 3 Complete 3a, b, or c for the					
alternative test relied upon;					
a "Assets" alternative test - enter:					
(1) Value of all assets			\ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \		
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter					
2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter:				 	-
(1) Total support other than gross					
investment income (interest,					
dividends, rents, payments on					
securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public					
and 5 or more exempt					
organizations as provided in section 4942(j)(3)(B)(III)					
(3) Largest amount of support from					
an exempt organization					
(4) Gross investment income					
Part XV Supplementary Info			if the foundation	n had \$5,000 or m	ore in assets
at any time during	the year-see inst	ructions.)			
1 Information Regarding Foundation	•				
a List any managers of the foundation wi	no have contributed more	than 2% of the total conti	ributions received by the	e foundation before the clo	se of any tax
year (but only if they have contributed i	more than \$5,000). (See s	ection 507(a)(2).)			
JOYCE GLADSTONE					
b List any managers of the foundation who other entity) of which the foundation has	10 own 10% or more of th	ie stock of a corporation ((or an equally large port	ion of the ownership of a p	artnership or
NONE	io a 1070 or greater intere	J.,			
	tion Crant Cift Loon	Cabalanabia ata Da			
2 Information Regarding Contribut Check here ► I If the foundation				not cocont	unata for fundo. If
the foundation makes gifts, grants, etc.					Jests for funds. If
a The name, address, and telephone num					
HEIDI GLADSTONE, 978		te person to whom applic	ations should be addres	sseu.	
ONE ELM SQUARE 1F, A		01810			
b The form in which applications should			noniq inclinde.		
NO FORMAT REQUIRED I			rodia molaut,		
c Any submission deadlines:					
NONE					
d Any restrictions or limitations on award	is, such as by geographic	al areas, charitable fields.	kinds of institutions, or	other factors:	
NONE	, , , , , , , , , , , , , , , , , , , ,	,	22 2:	a	
				<u> </u>	
723601 01-03-18					Form 990-PF (2017)

Supplementary Information (continued) Part XV 3 Grants and Contributions Paid During the Year or Approved for Future Payment Recipient If recipient is an individual, Purpose of grant or contribution show any relationship to Foundation Amount any foundation manager or substantial contributor status of Name and address (home or business) recipient a Paid during the year NONE Total · <u>0</u> ▶ 3a b Approved for future payment NONE Total

	•				
Part XVI-A	A I	:4 1	income-Pro		A -4:
Part IVI-A	Anar	veie nt i	ncome-Pro	aucina	ACTIVITIES
IGILATIA	/ \\ 1 1 GI	, ,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,			, , , , , , , , , , , , ,

Enter gross amounts unless otherwise indicated.	Unrelate	d business income		ded by section 512, 513, or 514	(e)
1 Program service revenue:	(a) Business code	(b) Amount	Exclu- sion code	(d) Amount	Related or exempt function income
•	3323				·
	-				
]]		+		
c					
d	<u>-</u>		1		
e	_	 	 		<u> </u>
f					
g Fees and contracts from government agencies			<u> </u>		
2 Membership dues and assessments			<u> </u>		
3 Interest on savings and temporary cash			1		
investments			14	16.	
4 Dividends and interest from securities					
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal					
property					
7 Other investment income		 			
8 Gain or (loss) from sales of assets other					
	1				
than inventory			+		
9 Net income or (loss) from special events	-		-		
10 Gross profit or (loss) from sales of inventory		<u></u>	-		
1 Other revenue.					
a	-		-		
b	_				
C	_				
d					
e	_				
12 Subtotal. Add columns (b), (d), and (e)		0		16.	0
13 Total Add line 12, columns (b), (d), and (e)					16
See worksheet in line 13 instructions to verify calculations.)				_	
Part XVI-B Relationship of Activities Line No. Explain below how each activity for which in the foundation's exempt purposes (other than the foundation).	come is reported	ın column (e) of Part XVI-			nplishment of
the roundation's exempt purposes (other that 3 N/A	providing id	inda for Such purposes).			
3 N/A					
					<u></u>
	<u></u> .				. <u></u>
					···
					
					
					
					· · · · · · · · · · · · · · · · · · ·
			-		

723821 01-03-18

Part XVII	Information Regardin Exempt Organization		ınd Transactions a	ind Relationsl	nips With None	charitable	•	
1 Did the or	ganization directly or indirectly enga		no with any other organizati	on described in sect	ion 501(c)		Yes	No
	n section 501(c)(3) organizations) (,			
-	from the reporting foundation to a i		· ·					
(1) Cash	· -	, , , , , , , , , , , , , , , , , , ,	•			1a(1)		X
(2) Other	assets					1a(2)		Х
b Other tran	sactions;							
(1) Sales	of assets to a noncharitable exemp	t organization				1b(1)		X
(2) Purci	hases of assets from a noncharitable	e exempt organization				1b(2)		X
(3) Renta	al of facilities, equipment, or other a	ssets				1b(3)		X
(4) Reim	bursement arrangements					1b(4)		X
(5) Loan	s or loan guarantees					1b(5)		X
(6) Perfo	rmance of services or membership	or fundraising solicitation	ons			1b(6)	!	X
c Sharing o	f facilities, equipment, mailing lists,	other assets, or paid em	ployees			1c		X
d If the ans	wer to any of the above is "Yes," con	nplete the following sch	edule. Column (b) should al	ways show the fair r	narket value of the go	oods, other ass	sets,	
or service	s given by the reporting foundation.	If the foundation receiv	ed less than fair market vali	ue in any transactior	ı or sharıng arrangen	nent, show in		
	i) the value of the goods, other asse							
a) Line no	(b) Amount involved (c) Name of noncharitable	e exempt organization	(d) Description	of transfers, transaction	is, and sharing ar	rangeme	nts
		N/A						
								
		· · · · · · · · · · · · · · · · · · ·		<u> </u>				
								
			 					
		 -						
			·					
		·						
ın section	ndation directly or indirectly affiliate 501(c) (other than section 501(c)(c) complete the following schedule.		·	· · · · · · · · · · · · · · · · · · ·	(a) Decoration of rel	Yes	X	No
 .	(a) Name of organization		(b) Type of organization		(c) Description of rela	ationship		
	N/A							
								
					· · · · · · · · · · · · · · · · · · ·			
Sign Here	penalties of perjury, I declare that I have enabled, it is true, correct, and complete Decl	examined this return, including a ration of preparer (other that when the state of	in taxpayer) is based on all inform	TRUSTE	r has any knowledge	May the IRS return with the shown below Yes	ne prepar /? Se <u>e in:</u>	er
i cigi	Print/Type preparer's name	Preparer's s	Date	Title Date	Check If	PTIN		
	The brokerer straine	i reharer 2 2	nynatur o	Date	self- employed	T TEN		
Paid	מסמשחדם הדכי	INTE DICUAD	מינגווגרות פותי	11/12/10	Soil- employed	D00140	000	
Preparer	RICHARD B. DIOI Firm's name ► ANSTISS		D B. DIONNE	11/12/18	Funda Fist S. O.4	P00142		
Use Only	Lemma manne - ANOTIOS	α CU., P.C	• •		Firm's EIN ► 04	1-29172	U 4	
-	Firm's address > 111E T-77	COMPOND CON			 			
	Firm's address ► 1115 W		(PET.		, , , , , ,	70\ 450		0.0
	LOWELL	<u>, MA 01851</u>			Phone no. (97		-25	
						Form 99 0	U-PF	(2017)

Schedule B (Form 990, 990-EZ, or 990-PF)

Department of the Treasury Internal Revenue Service

Name of the organization

Schedule of Contributors

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF. ► Go to www.irs.gov/Form990 for the latest information.

Employer identification number

CA	ARA ROSE FOUNDATION	81-0815657
Organization type (check o	ne):	
Filers of:	Section:	
Form 990 or 990-EZ	501(c)() (enter number) organization	
	4947(a)(1) nonexempt charitable trust not treated as a private foundation	
	527 political organization	
Form 990-PF	X 501(c)(3) exempt private foundation	
	4947(a)(1) nonexempt charitable trust treated as a private foundation	
	501(c)(3) taxable private foundation	
	n filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling rone contributor. Complete Parts I and II. See instructions for determining a contributor	
sections 509(a)(1) any one contributo	n described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or, during the year, total contributions of the greater of (1) \$5,000, or (2) 2% of the amou, line 1. Complete Parts I and II	or 16b, and that received from
year, total contribu	n described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from itions of more than \$1,000 exclusively for religious, charitable, scientific, literary, or educ cruelty to children or animals. Complete Parts I, II, and III	
year, contributions is checked, enter he purpose. Don't co	In described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from secularized for religious, charitable, etc., purposes, but no such contributions totaled manere the total contributions that were received during the year for an exclusively religious mplete any of the parts unless the General Rule applies to this organization because it is e, etc., contributions totaling \$5,000 or more during the year	ore than \$1,000. If this box s, charitable, etc ,
but it must answer "No" on	nat isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (File Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990 requirements of Schedule B (Form 990, 990-EZ, or 990-PF).	

LHA For Paperwork Reduction Act Notice, see the instructions for Form 990, 990-EZ, or 990-PF. Schedule B (Form 990, 990-EZ, or 990-PF) (2017)

Name of organization

Employer identification number

CARA ROSE FOUNDATION

81-0815657

CARA	ROSE FOUNDATION	01	<u>-081363/</u>
$ar{ extsf{P}}$ art $ar{ extsf{I}}$,	Contributors (see instructions). Use duplicate copies of Part I if addition	al space is needed.	
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	JOYCE GLADSTONE ONE ELM SQUARE 1F ANDOVER, MA 01810	\$\$	Person X Payroll Noncash (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
2	KARL AND JOYCE GLADSTONE FOUNDATION ONE ELM SQUARE 1F ANDOVER, MA 01810	\$185,000.	Person X Payroll Noncash (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person Payroll Noncash (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person Payroll Noncash (Complete Part II for noncash contributions.)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person Payroll Noncash (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person Payroll Noncash (Complete Part II for noncash contributions)

Employer identification number

CARA ROSE FOUNDATION

81-0815657

Part II	Noncash Property (see instructions) Use duplicate copies of Part	II if additional space is needed.	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions.)	(d) Date received
		 \$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions.)	(d) Date received
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions.)	(d) Date received
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions.)	(d) Date received
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions.)	(d) Date received
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions.)	(d) Date received

723454 11-01-17

Relationship of transferor to transferee

Transferee's name, address, and ZIP + 4

FORM 990-PF INTEREST ON SAVING	S AND TEMPORARY	CASH INVESTMENTS	STATEMENT 1
SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
UBS FINANCIAL SERVICES INC	16.	16.	
TOTAL TO PART I, LINE 3	16.	16.	
FORM 990-PF	CORPORATE BONDS	3	STATEMENT 2
DESCRIPTION		BOOK VALUE	FAIR MARKET VALUE
UBS FINANCIAL SERVICES INC		25,000.	24,869.
TOTAL TO FORM 990-PF, PART II,	LINE 10C	25,000.	24,869.

CAR56571