efile GRAPHIC print - DO NOT PROCESS | As Filed Data - | DLN: 93491319037548

Form **990-PF**
Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ **Do not enter social security numbers on this form as it may be made public.**
▶ **Information about Form 990-PF and its instructions is at *www.irs.gov/form990pf*.**

OMB No 1545-0052

2017

Open to Public Inspection

For calendar year 2017, or tax year beginning 01-01-2017 , and ending 12-31-2017

Name of foundation
THE KARI AND DICK CLARK FOUNDATION

A Employer identification number
77-6280186

Number and street (or P O box number if mail is not delivered to street address)
23622 CALABASAS ROAD NO 107

Room/suite

B Telephone number (see instructions)
(310) 470-6010

City or town, state or province, country, and ZIP or foreign postal code
CALABASAS, CA 91302

C If exemption application is pending, check here ▶ ☐

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐
2 Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization ☑ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year *(from Part II, col (c), line 16)* ▶$ 15,207,219

J Accounting method ☑ Cash ☐ Accrual ☐ Other (specify) _____
(Part I, column (d) must be on cash basis)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)				
	2 Check ▶ ☑ if the foundation is **not** required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	338,832	329,941		
	5a Gross rents				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10	155,749			
	b Gross sales price for all assets on line 6a 2,432,061				
	7 Capital gain net income (from Part IV, line 2)		155,749		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)				
	12 **Total.** Add lines 1 through 11	494,581	485,690		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	0	0		0
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)	27,661	0		27,661
	c Other professional fees (attach schedule)	35,811	35,811		0
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	160	0		0
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)		6,963		
	24 **Total operating and administrative expenses.** Add lines 13 through 23	63,632	42,774		27,661
	25 Contributions, gifts, grants paid	1,130,300			1,130,300
	26 **Total expenses and disbursements.** Add lines 24 and 25	1,193,932	42,774		1,157,961
	27 Subtract line 26 from line 12				
	a **Excess of revenue over expenses and disbursements**	-699,351			
	b **Net investment income** (if negative, enter -0-)		442,916		
	c **Adjusted net income**(if negative, enter -0-)				

Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing	781,276	534,718	534,718
	2 Savings and temporary cash investments			
	3 Accounts receivable ▶			
	Less allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶			
	Less allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges		2,693	2,693
	10a Investments—U S and state government obligations (attach schedule)	2,024,518	1,733,931	1,693,939
	b Investments—corporate stock (attach schedule)	4,725,947	4,646,521	6,030,393
	c Investments—corporate bonds (attach schedule)	1,643,230	1,294,822	1,287,290
	11 Investments—land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶			
	12 Investments—mortgage loans			
	13 Investments—other (attach schedule)	4,267,073	4,528,217	5,655,944
	14 Land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶			
	15 Other assets (describe ▶)	0	2,242	2,242
	16 **Total assets** (to be completed by all filers—see the instructions Also, see page 1, item I)	13,442,044	12,743,144	15,207,219
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶)			
	23 **Total liabilities**(add lines 17 through 22)	0	0	
Net Assets or Fund Balances	**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☑ and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	0	0	
	28 Paid-in or capital surplus, or land, bldg , and equipment fund	0	0	
	29 Retained earnings, accumulated income, endowment, or other funds	13,442,044	12,743,144	
	30 **Total net assets or fund balances** (see instructions)	13,442,044	12,743,144	
	31 **Total liabilities and net assets/fund balances** (see instructions)	13,442,044	12,743,144	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	13,442,044
2	Enter amount from Part I, line 27a	2	-699,351
3	Other increases not included in line 2 (itemize) ▶	3	451
4	Add lines 1, 2, and 3	4	12,743,144
5	Decreases not included in line 2 (itemize) ▶	5	0
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	12,743,144

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	See Additional Data Table			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	See Additional Data Table			
b				
c				
d				
e				

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a	See Additional Data Table			
b				
c				
d				
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 }	2	155,749
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☑ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2016	1,104,877	12,139,223	0 091017
2015	1,112,044	8,678,125	0 128143
2014	1,010,215	1,132,163	0 892288
2013	1,004,559	1,065,321	0 942964
2012	0	0	0 000000

2	**Total** of line 1, column (d)	2	2 054412
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 410882
4	Enter the net value of noncharitable-use assets for 2017 from Part X, line 5	4	14,408,753
5	Multiply line 4 by line 3	5	5,920,297
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	4,429
7	Add lines 5 and 6	7	5,924,726
8	Enter qualifying distributions from Part XII, line 4	8	1,157,961

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI **Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)**

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter _____ **(attach copy of letter if necessary–see instructions)**		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b	1	8,858
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	0
3	Add lines 1 and 2	3	8,858
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4	0
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-	5	8,858
6	Credits/Payments		
a	2017 estimated tax payments and 2016 overpayment credited to 2017 — 6a: 4,216		
b	Exempt foreign organizations—tax withheld at source — 6b:		
c	Tax paid with application for extension of time to file (Form 8868) — 6c:		
d	Backup withholding erroneously withheld — 6d: 0		
7	Total credits and payments Add lines 6a through 6d	7	20,216
8	Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached	8	8
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	11,350
11	Enter the amount of line 10 to be **Credited to 2018 estimated tax** ▶ 11,350 **Refunded** ▶	11	0

Part VII-A **Statements Regarding Activities**

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		No
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)?	1b		No
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ▶ $ 0 **(2)** On foundation managers ▶ $ 0			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ 0			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		No
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		No
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ CA			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation* .	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2017 or the taxable year beginning in 2017 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		No
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		No

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	

Website address ▶ N/A

14 The books are in care of ▶ ELKINS ELKINS Telephone no ▶ (818) 789-3644

Located at ▶ 23622 CALABASAS ROAD SUITE 107 CALABASAS CA ZIP+4 ▶ 91302

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —Check here ▶ ☐ and enter the amount of tax-exempt interest received or accrued during the year ▶ 15

16	At any time during calendar year 2017, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		No

See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR) If "Yes", enter the name of the foreign country ▶

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☑ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☑ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☑ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☑ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☑ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ Yes ☑ No			
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2017?	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2017, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2017? ☐ Yes ☑ No			
	If "Yes," list the years ▶ 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions)	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☑ No			
b	If "Yes," did it have excess business holdings in 2017 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2017).*	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2017?	4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (Continued)

5a	During the year did the foundation pay or incur any amount to				
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes	☑ No		
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	☐ Yes	☑ No		
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes	☑ No		
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 4945(d)(4)(A)? (see instructions)	☐ Yes	☑ No		
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes	☑ No		
b	If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)?			**5b**	
	Organizations relying on a current notice regarding disaster assistance check here ▶	☐			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	☐ Yes	☐ No		
	If "Yes," attach the statement required by Regulations section 53 4945–5(d)				
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes	☑ No		
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?			**6b**	**No**
	If "Yes" to 6b, file Form 8870				
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes	☑ No		
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?			**7b**	

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
KARI WIGTON CLARK 23622 CALABASAS ROAD STE 107 CALABASAS, CA 91302	TRUSTEE 1 00	0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	Title, and average hours per week (b) devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
NONE				
Total number of other employees paid over $50,000 ▶				0

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over $50,000 for professional services ▶		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments See instructions 3	
Total. Add lines 1 through 3 ▶	0

Part X Minimum Investment Return (All domestic foundations must complete this part Foreign foundations,see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities	1a	13,930,370
b	Average of monthly cash balances	1b	697,806
c	Fair market value of all other assets (see instructions)	1c	0
d	**Total** (add lines 1a, b, and c)	1d	14,628,176
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) — 1e: 0		
2	Acquisition indebtedness applicable to line 1 assets	2	0
3	Subtract line 2 from line 1d	3	14,628,176
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	219,423
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	14,408,753
6	**Minimum investment return.** Enter 5% of line 5	6	720,438

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6			1	720,438
2a	Tax on investment income for 2017 from Part VI, line 5	2a	8,858		
b	Income tax for 2017 (This does not include the tax from Part VI)	2b			
c	Add lines 2a and 2b			2c	8,858
3	Distributable amount before adjustments Subtract line 2c from line 1			3	711,580
4	Recoveries of amounts treated as qualifying distributions			4	0
5	Add lines 3 and 4			5	711,580
6	Deduction from distributable amount (see instructions)			6	0
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1			7	711,580

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26	1a	1,157,961
b	Program-related investments—total from Part IX-B	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	1,157,961
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	0
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	1,157,961

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2016	(c) 2016	(d) 2017
1 Distributable amount for 2017 from Part XI, line 7				711,580
2 Undistributed income, if any, as of the end of 2017				
a Enter amount for 2016 only.			0	
b Total for prior years 20___, 20___, 20___		0		
3 Excess distributions carryover, if any, to 2017				
a From 2012.				
b From 2013. 962,175				
c From 2014. 955,681				
d From 2015. 690,685				
e From 2016. 502,130				
f **Total** of lines 3a through e.	3,110,671			
4 Qualifying distributions for 2017 from Part XII, line 4 ▶ $ 1,157,961				
a Applied to 2016, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2017 distributable amount.				711,580
e Remaining amount distributed out of corpus	446,381			
5 Excess distributions carryover applied to 2017	0			0
(If an amount appears in column (d), the same amount must be shown in column (a).)				
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	3,557,052			
b Prior years' undistributed income Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d Subtract line 6c from line 6b Taxable amount —see instructions		0		
e Undistributed income for 2016 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2017 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2018				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0			
8 Excess distributions carryover from 2012 not applied on line 5 or line 7 (see instructions) . . .	0			
9 **Excess distributions carryover to 2018.** Subtract lines 7 and 8 from line 6a	3,557,052			
10 Analysis of line 9				
a Excess from 2013. . . . 962,175				
b Excess from 2014. . . . 955,681				
c Excess from 2015. . . . 690,685				
d Excess from 2016. . . . 502,130				
e Excess from 2017. . . . 446,381				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2017, enter the date of the ruling. . . . . ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2017	(b) 2016	(c) 2015	(d) 2014	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . .					
c "Support" alternative test—enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). . . .					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had $5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☑ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or email address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year* See Additional Data Table				
Total . . . . . ▶ **3a**				1,130,300
b *Approved for future payment*				
Total . . . . . ▶ **3b**				0

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments. . . .					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities. . . .			14	338,832	
5 Net rental income or (loss) from real estate					
a Debt-financed property.					
b Not debt-financed property.					
6 Net rental income or (loss) from personal property					
7 Other investment income.					
8 Gain or (loss) from sales of assets other than inventory			18	155,749	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e). .		0		494,581	0
13 **Total.** Add line 12, columns (b), (d), and (e).				13	494,581

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)

Part XVII **Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501 (c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of			
	(1) Cash	1a(1)		No
	(2) Other assets	1a(2)		No
b	Other transactions			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		No
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		No
	(3) Rental of facilities, equipment, or other assets	1b(3)		No
	(4) Reimbursement arrangements	1b(4)		No
	(5) Loans or loan guarantees	1b(5)		No
	(6) Performance of services or membership or fundraising solicitations	1b(6)		No
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		No

d If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line No	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☑ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

******	2018-11-14	******
Signature of officer or trustee	Date	Title

May the IRS discuss this return with the preparer shown below (see instr)? ☑ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ▶ ☐	PTIN
FRANK PILEGGI				P00022668

Firm's name ▶ EISNERAMPER LLP	Firm's EIN ▶13-1639826
Firm's address ▶ 130 NORTH 18TH STREET SUITE 3000 PHILADELPHIA, PA 191032757	Phone no (215) 881-8800

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, **(a)** 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
11 SHS APPLE INC	P	2016-02-16	2017-01-10
100 SHS BLACKROCK INC CL A	P	2016-02-16	2017-01-10
14 SHS MERCK & CO INC	P	2016-02-16	2017-01-10
400 SHS MASTERCARD INC CL A	P	2016-02-16	2017-01-10
200 SHS FEDEX CORPORATION	P	2016-02-16	2017-01-10
200 SHS PHILIP MORRIS INTERNATIONAL	P	2016-02-16	2017-01-10
1,300 SHS ORACLE CORPORATION	P	2016-02-16	2017-01-10
300 SHS ANALOG DEVICES INC	P	2016-02-16	2017-01-10
15 SHS ALPHABET INC CL A	P	2016-02-16	2017-01-10
1,000 SHS HALLIBURTON CO	P	2016-02-16	2017-01-10

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed **(f)** (or allowable)	Cost or other basis **(g)** plus expense of sale	Gain or (loss) **(h)** (e) plus (f) minus (g)
1,310		1,058	252
38,061		31,496	6,565
846		699	147
43,067		34,370	8,697
37,719		26,477	11,242
18,080		18,000	80
50,317		46,556	3,761
21,645		15,654	5,991
12,395		10,734	1,661
55,079		29,941	25,138

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			Gains (Col (h) gain minus col (k), but not less than -0-) **or** **(l)** Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis **(j)** as of 12/31/69	Excess of col (i) **(k)** over col (j), if any	
			252
			6,565
			147
			8,697
			11,242
			80
			3,761
			5,991
			1,661
			25,138

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, **(a)** 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
300 SHS METLIFE INC	P	2016-02-16	2017-01-10
400 SHS AMERICAN TOWER CORP REIT	P	2016-02-16	2017-01-10
1,000 SHS JP MORGAN CHASE & CO	P	2016-02-16	2017-01-10
500 SHS DANAHER CORP	P	2016-02-16	2017-01-10
1,000 SHS GENERAL ELECTRIC CORP	P	2016-02-16	2017-01-10
17 SHS PRICELINE GROUP INC	P	2016-02-16	2017-01-10
400 SHS JOHNSON & JOHNSON	P	2016-02-16	2017-01-10
500 SHS PEPSICO INC	P	2016-02-16	2017-01-10
280 SHS STRYKER CORPORATION	P	2016-02-16	2017-01-10
400 SHS ZOETIS INC	P	2016-02-16	2017-01-10

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed **(f)** (or allowable)	Cost or other basis **(g)** plus expense of sale	Gain or (loss) **(h)** (e) plus (f) minus (g)
16,174		11,459	4,715
41,393		34,624	6,769
86,508		58,517	27,991
40,558		32,696	7,862
31,429		28,971	2,458
26,129		18,683	7,446
46,627		40,973	5,654
50,896		49,282	1,614
34,217		27,327	6,890
21,623		16,164	5,459

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			Gains (Col (h) gain minus col (k), but not less than -0-) **or** **(l)** Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis **(j)** as of 12/31/69	Excess of col (i) **(k)** over col (j), if any	
			4,715
			6,769
			27,991
			7,862
			2,458
			7,446
			5,654
			1,614
			6,890
			5,459

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, **(a)** 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
144 SHS VISA INC CL A	P	2016-09-30	2017-02-01
513 SHS CORNING INC	P	2016-09-30	2017-02-01
$40,000 TEVA PHARMACEUTICALS 1 700% 7/19/19	P	2016-07-18	2017-02-07
419 SHS DELPHI AUTOMOTIVE PLC	P	2016-09-30	2017-03-13
$50,000 GEORGIA POWER CO 1 950% 12/01/18	P	2016-05-17	2017-04-12
4,280 SHS ISHARES BARCLAYS TIPS BOND ETF	P	2016-05-31	2017-04-25
405 SHS DELPHI AUTOMOTIVE PLC	P	2016-12-09	2017-05-03
$55,000 NEXTERA ENERGY CAPTL 1 586% 6/01/17	P	2016-02-09	2017-06-01
$30,000 U S TREASURY NOTES 1 875% 6/30/20	P	2016-02-12	2017-06-02
$55,000 U S TREASURY NOTES 0 750% 12/31/17	P	2016-02-09	2017-06-02

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed **(f)** (or allowable)	Cost or other basis **(g)** plus expense of sale	Gain or (loss) **(h)** (e) plus (f) minus (g)
11,846		11,846	0
13,343		12,453	890
39,228		39,996	-768
33,054		30,018	3,036
50,006		50,565	-559
490,178		490,283	-105
34,832		28,651	6,181
55,000		54,997	3
30,393		30,735	-342
54,875		55,007	-132

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			Gains (Col (h) gain minus col (k), but not less than -0-) **or** **(l)** Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis **(j)** as of 12/31/69	Excess of col (i) **(k)** over col (j), if any	
			0
			890
			-768
			3,036
			-559
			-105
			6,181
			3
			-342
			-132

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, **(a)** 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
$55,000 FNMA 0 875% 10/26/17	P	2016-02-12	2017-06-02
$40,000 U S TREASURY NOTES 1 375% 5/31/20	P	2016-04-20	2017-06-02
$50,000 FHLMC 0 750% 1/12/18	P	2016-02-09	2017-06-02
$45,000 AMERICAN EXPRESS MTN 1 125% 6/05/17	P	2016-02-09	2017-06-05
$45,000 WALGREENS BOOTS 1 75% 5/30/18	P	2016-05-26	2017-06-05
$30,000 WHIRLPOOL CORP 1 650% 11/01/17	P	2016-02-09	2017-06-02
$55,000 CITIGROUP INC 1 800% 2/05/18	P	2016-02-09	2017-06-02
$30,000 ECOLAB INC 2 000% 1/14/19	P	2016-02-12	2017-06-02
$40,000 HEALTH CARE REIT INC 2 250% 3/15/18	P	2016-02-09	2017-06-02
20 SHS ALPHABET INC CL A	P	2016-02-16	2017-06-15

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed **(f)** (or allowable)	Cost or other basis **(g)** plus expense of sale	Gain or (loss) **(h)** (e) plus (f) minus (g)
54,937		55,063	-126
39,934		40,385	-451
49,844		49,981	-137
45,000		44,894	106
45,450		45,450	0
29,985		29,994	-9
55,002		54,891	111
30,128		30,148	-20
40,122		40,131	-9
19,077		14,312	4,765

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			Gains (Col (h) gain minus col (k), but not less than -0-) **or** **(l)** Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis **(j)** as of 12/31/69	Excess of col (i) **(k)** over col (j), if any	
			-126
			-451
			-137
			106
			0
			-9
			111
			-20
			-9
			4,765

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, **(a)** 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
284 SHS MICROSOFT CORP	P	2017-01-10	2017-06-15
$50,000 FHLB 2 15% 12/30/21	P	2016-11-30	2017-06-30
272 SHS VANGUARD UTILITIES ETF	P	2016-03-15	2017-08-24
650 SHS VANGUARD TELECOM SERVICE ETF	P	2016-03-09	2017-08-24
1,704 SHS VANGUARD ENERGY ETF	P	2016-03-09	2017-08-24
532 SHS VANGUARD MATERIALS ETF	P	2016-03-15	2017-08-24
184 SHS ALLERGAN PLC	P	2016-12-09	2017-09-15
694 SHS FLUOR CORP	P	2016-09-30	2017-09-22
1,051 SHS COMCAST CORP-CL A	P	2017-01-10	2017-10-09
$50,000 VERIZON COM 4 500% 9/15/20	P	2016-02-09	2017-11-30

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed **(f)** (or allowable)	Cost or other basis **(g)** plus expense of sale	Gain or (loss) **(h)** (e) plus (f) minus (g)
19,857		17,803	2,054
50,000		50,000	0
32,820		29,248	3,572
60,698		60,505	193
145,176		155,635	-10,459
65,008		53,981	11,027
40,979		42,026	-1,047
28,377		35,820	-7,443
39,757		34,204	5,553
53,082		53,605	-523

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			Gains (Col (h) gain minus col (k), but not less than -0-) **or** **(l)** Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis **(j)** as of 12/31/69	Excess of col (i) **(k)** over col (j), if any	
			2,054
			0
			3,572
			193
			-10,459
			11,027
			-1,047
			-7,443
			5,553
			-523

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, **(a)** 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
1231 LOSS FROM PASSTHROUGH	P	2016-01-01	2017-12-31

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed **(f)** (or allowable)	Cost or other basis **(g)** plus expense of sale	Gain or (loss) **(h)** (e) plus (f) minus (g)
		4	-4

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			Gains (Col (h) gain minus col (k), but not less than -0-) **or** **(l)** Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis **(j)** as of 12/31/69	Excess of col (i) **(k)** over col (j), if any	
			-4

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
AGOURA ANIMAL SHELTER 5898 CHERRY AVENUE LONG BEACH, CA 90805		501(C)(3)	CONTRIBUION	5,000
COMMUNITY FOUNDATION OF HOWARD COUNTY 215 W SYCAMORE STREET KOKOMO, IN 46901		501(C)(3)	CONTRIBUTION	10,000
FRIENDS OF MALIBU URGENT CARE CENTER PO BOX 6836 MALIBU, CA 90264		501(C)(3)	CONTRIBUION	20,000
Total . . . . . ▶ 3a				1,130,300

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
KIWANIS CLUB OF MALIBUPO BOX 22 MALIBU, CA 90265		501(C)(3)	CONTRIBUTION	300
LES TURNER ALS FOUNDATION 5550 W TOUHY AVENUE SUITE 302 SKOKIE, IL 60077		501(C)(3)	CONTRIBUION	10,000
LOS ANGELES MISSION 303 EAST 5TH ST LOS ANGELES, CA 90013		501(C)(3)	CONTRIBUION	20,000
Total . . . . . ▶ 3a				1,130,300

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
NY CITY POLICE FOUNDATION 555 FIFTH AVENUE 15TH FLOOR NEW YORK, NY 10017		501(C)(3)	CONTRIBUION	10,000
SYRACUSE UNIVERSITY 820 COMSTOCK AVE SYRACUSE, NY 13244		501(C)(3)	GRANT	1,000,000
THE PAINTED TURTLE 1300 4TH STREET STE 300 SANTA MONICA, CA 90401		501(C)(3)	CONTRIBUION	50,000
Total . . . . . ▶ 3a				1,130,300

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
UCSF FOUNDATION 220 MONTGOMERY STREET 5TH FLOOR SAN FRANCISCO, CA 94143		501(C)(3)	CONTRIBUTION	5,000
Total . . . . . ▶ 3a				1,130,300

efile GRAPHIC print - DO NOT PROCESS | As Filed Data - | DLN: 93491319037548

TY 2017 Accounting Fees Schedule

Name: THE KARI AND DICK CLARK FOUNDATION

EIN: 77-6280186

Accounting Fees Schedule

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING	27,661	0		27,661

efile GRAPHIC print - DO NOT PROCESS	As Filed Data -	DLN: 93491319037548

TY 2017 Investments Corporate Bonds Schedule

Name: THE KARI AND DICK CLARK FOUNDATION

EIN: 77-6280186

Investments Corporate Bonds Schedule

Name of Bond	End of Year Book Value	End of Year Fair Market Value
ANHEUSER-BUSCH INBEV FIN	50,813	50,251
BANK OF AMERICA CORP MEDIUM TERM NOTE	41,505	42,542
BANK OF NEW YORK MELLON CORP MEDIUM TERM NOTE	35,410	34,179
BB&T CORPORATION MEDIUM TERM NOTE	34,965	34,500
BERKSHIRE HATHAWAY INC	25,492	25,283
BLACKROCK INC	42,060	41,575
CAPITAL ONE FINANCIAL	45,068	45,105
CHEVRON CORP	55,809	56,520
CISCO SYSTEMS INC	40,063	39,979
COCA-COLA CO	34,976	34,707
CVS CAREMARK CORP	45,842	44,329
DOMINION RESOURCES INC	20,638	20,872
EXXON MOBIL CORPORATION	41,264	40,618
FEDEX CORP	31,916	31,892
GOLDMAN SACHS GROUP INC	49,861	49,849
HOME DEPOT INC	45,103	44,556
JPMORGAN CHASE & CO MEDIUM TERM NOTE	40,003	39,997
JPMORGAN CHASE & CO	41,978	41,934
LOWE'S COS INC	34,936	34,608
MCDONALDS CORP MEDIUM TERM NOTE	55,572	55,056

Investments Corporate Bonds Schedule

Name of Bond	End of Year Book Value	End of Year Fair Market Value
MICROSOFT CORP	25,369	24,903
MORGAN STANLEY	49,994	49,999
ORACLE CORP	34,939	34,448
SIMON PROPERTY GROUP LP	99,819	99,695
STARBUCKS CORP	50,252	50,012
TD AMERITRADE HOLDING CO	40,892	40,479
TOYOTA MOTOR CREDIT CORP MEDIUM TERM NOTE	50,148	50,087
US BANCORP MEDIUM TERM NOTE	24,981	24,824
WELLS FARGO & COMPANY	44,958	44,247
WESTPAC BANKING CORP	60,196	60,244

efile GRAPHIC print - DO NOT PROCESS	As Filed Data -	DLN: 93491319037548

TY 2017 Investments Corporate Stock Schedule

Name: THE KARI AND DICK CLARK FOUNDATION

EIN: 77-6280186

Name of Stock	End of Year Book Value	End of Year Fair Market Value
BAKER HUGHES INC	52,493	35,057
CHEVRON CORPORATION	52,231	61,218
EOG RES INC	32,400	48,883
NABORS INDUSTRIES LTD	65,393	29,950
OCCIDENTAL PETE CORP	55,784	57,087
SCHLUMBERGER LTD	91,110	79,655
DOW CHEMICAL COMPANY	62,293	77,131
EASTMAN CHEMICAL CO	51,644	65,867
ECOLAB INC	49,018	54,343
DELTA AIR LINES INC	59,098	73,528
FLOWSERVE CORP	49,278	42,341
FORTUNE BRANDS HOME AND SECURITY	59,125	70,767
HONEYWELL INTERNATIONAL INC	44,397	58,737
INGERSOLL RAND PLC	58,955	71,887
RAYTHEON COMPANY	49,731	66,499
UNION PAC CORP	59,087	89,445
VANGUARD INDUSTRIALS ETF	206,592	280,042
AMAZON.COM INC	105,305	132,150
COMCAST CORP CL-A	56,740	79,019
DISNEY WALT CO NEW	74,683	84,825
HOME DEPOT INC	95,977	138,546
LEAR CORP	43,961	62,891
SNAP-ON INC	28,299	33,117
WHIRLPOOL CORP	56,134	54,302
COSTCO WHOLESALE CORP	78,284	93,060
KRAFT HEINZ CO	93,867	83,048
TYSON FOODS INC CL A	81,936	93,960
VANGUARD CONSUMER STAPLES VIPERS	138,634	148,085
AMGEN INC	61,283	71,647
CELGENE CORP	48,478	45,397

Name of Stock	End of Year Book Value	End of Year Fair Market Value
CIGNA CORP	61,734	91,187
MEDTRONIC PLC	49,332	48,289
MERCK & CO INC	59,194	66,736
PFIZER INC	88,959	95,367
THERMO FISHER SCIENTIFIC INC	40,352	39,875
UNITEDHEALTH GROUP INC	66,124	98,987
ALLSTATE CORP	47,027	69,527
BANK OF AMERICA CORP	96,784	152,264
CAPITAL ONE FINANCIAL CORP	48,451	63,632
CITIZENS FINANCIAL GROUP INC	57,880	87,025
CROWN CASTLE INTL CORP REAL ESTATE INVESTMENT TRUST	40,532	49,399
DIGITAL REALTY TRUST INC REAL ESTATE INVESTMENT TRUST	41,893	48,408
GOLDMAN SACHS GROUP INC	86,636	108,273
PNC FINANCIAL SERVICES GROUP	62,408	104,610
VANGUARD FINANCIALS ETF	357,998	531,253
WELLS FARGO & COMPANY	76,557	95,555
ALPHABET INC CL C	71,001	106,733
ALPHABET INC CL A	43,651	64,257
APPLE INC	123,921	218,137
BROADCOM LTD	40,667	59,858
CISCO SYSTEMS INC	60,794	86,711
CORNING INC	43,755	58,862
FACEBOOK INC-A	68,213	85,407
INTEL CORP	99,351	124,217
MICROSOFT CORP	132,059	191,182
VANGUARD INFORMATION TECHNOLOGY ETF	438,220	669,463
VISA INC CL A	66,064	91,216
VERIZON COMMUNICATIONS	57,632	68,382
NEXTERA ENERGY INC	57,122	73,097

TY 2017 Investments Government Obligations Schedule

Name: THE KARI AND DICK CLARK FOUNDATION

EIN: 77-6280186

US Government Securities - End of Year Book Value:	1,702,991
US Government Securities - End of Year Fair Market Value:	1,661,695
State & Local Government Securities - End of Year Book Value:	30,940
State & Local Government Securities - End of Year Fair Market Value:	32,244

efile GRAPHIC print - DO NOT PROCESS	As Filed Data -	DLN: 93491319037548

TY 2017 Investments - Other Schedule

Name: THE KARI AND DICK CLARK FOUNDATION

EIN: 77-6280186

Investments Other Schedule 2

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
ISHARES TR RUSSELL 2000	FMV	451,004	572,792
VIRTUS SEIX FLT RT HIGH INCOME	FMV	490,178	486,822
ISHARES CORE MSCI EMERGING MARKETS ETF	FMV	1,213,520	1,612,205
ISHARES S&P SMALL CAP 600/VAL ETF	FMV	150,054	198,873
ISHARES S&P SMALL CAP 600/VAL GROWTH ETF	FMV	151,352	201,968
VANGUARD CONSUMER DISCRETIONARY ETF	FMV	261,368	333,152
VANGUARD FTSE DEVELOPED MARKETS ETF	FMV	1,488,987	1,932,569
BLACKSTONE ALTERNATIVE MULTI-STRATEGY FUND	FMV	304,000	299,809
INVESTMENT IN WESTERN GAS	FMV	17,754	17,754

efile GRAPHIC print - DO NOT PROCESS	As Filed Data -	DLN: 93491319037548

TY 2017 Other Assets Schedule

Name: THE KARI AND DICK CLARK FOUNDATION

EIN: 77-6280186

Other Assets Schedule

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
DISTRIBUTION RECEIVABLE		2,242	2,242

efile GRAPHIC print - DO NOT PROCESS	As Filed Data -	DLN: 93491319037548

TY 2017 Other Increases Schedule

Name: THE KARI AND DICK CLARK FOUNDATION

EIN: 77-6280186

Description	Amount
FMV ADJUSTMENT	451

efile GRAPHIC print - DO NOT PROCESS | As Filed Data - | DLN: 93491319037548

TY 2017 Other Professional Fees Schedule

Name: THE KARI AND DICK CLARK FOUNDATION

EIN: 77-6280186

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
SERVICE FEES	35,811	35,811		0

TY 2017 Taxes Schedule

Name: THE KARI AND DICK CLARK FOUNDATION

EIN: 77-6280186

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
CALIFORNIA RRF-1	150	0		0
CALIFORNIA ANNUAL RETURN	10	0		0