

Form **990-PF**
 Department of the Treasury
 Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation
 ▶ Do not enter social security numbers on this form as it may be made public.
 ▶ Information about Form 990-PF and its instructions is at www.irs.gov/form990pf.

OMB No 1545-0052
2017
Open to Public Inspection

For calendar year 2017, or tax year beginning 01-01-2017, and ending 12-31-2017

Name of foundation VINCENT J COATES FOUNDATION		A Employer identification number 77-0533167
Number and street (or P O box number if mail is not delivered to street address) 237 RIGG ST	Room/suite	B Telephone number (see instructions) (917) 843-0504
City or town, state or province, country, and ZIP or foreign postal code SANTA CRUZ, CA 95060		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶ \$ 15,964,873	J Accounting method: <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses <small>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))</small>		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)				
	2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	317,486	317,486		
	4 Dividends and interest from securities	243,088	243,088		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	-179,898			
	b Gross sales price for all assets on line 6a	681,520			
	7 Capital gain net income (from Part IV, line 2)				
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule)					
12 Total. Add lines 1 through 11	380,676	560,574			
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc				
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)	3,850	770		3,080
	c Other professional fees (attach schedule)				
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	3,775			
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings	9,794	1,959		7,835
	22 Printing and publications				
	23 Other expenses (attach schedule)	75			75
	24 Total operating and administrative expenses. Add lines 13 through 23	17,494	2,729		10,990
	25 Contributions, gifts, grants paid	760,000			760,000
26 Total expenses and disbursements. Add lines 24 and 25	777,494	2,729		770,990	
27 Subtract line 26 from line 12					
a Excess of revenue over expenses and disbursements	-396,818				
b Net investment income (if negative, enter -0-)		557,845			
c Adjusted net income (if negative, enter -0-)					

Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing			
	2 Savings and temporary cash investments	2,558,495	2,540,834	2,540,834
	3 Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4 Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments—U S and state government obligations (attach schedule)	3,112,509	2,731,841	2,819,255
	b Investments—corporate stock (attach schedule)	2,525,098	2,689,998	2,818,223
	c Investments—corporate bonds (attach schedule)	4,302,119	3,789,836	3,579,014
	11 Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12 Investments—mortgage loans			
	13 Investments—other (attach schedule)	3,337,645	3,686,539	4,207,547
	14 Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
15 Other assets (describe ▶ _____)				
16 Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	15,835,866	15,439,048	15,964,873	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable.			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule).			
	22 Other liabilities (describe ▶ _____)			
	23 Total liabilities (add lines 17 through 22)		0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here <input checked="" type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted	15,835,866	15,439,048	
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here <input type="checkbox"/> and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg, and equipment fund			
29 Retained earnings, accumulated income, endowment, or other funds				
30 Total net assets or fund balances (see instructions)	15,835,866	15,439,048		
31 Total liabilities and net assets/fund balances (see instructions) .	15,835,866	15,439,048		

Part III Analysis of Changes in Net Assets or Fund Balances			
1 Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)		1	15,835,866
2 Enter amount from Part I, line 27a		2	-396,818
3 Other increases not included in line 2 (itemize) ▶ _____		3	
4 Add lines 1, 2, and 3		4	15,439,048
5 Decreases not included in line 2 (itemize) ▶ _____		5	
6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 .		6	15,439,048

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1a See Additional Data Table				
b				
c				
d				
e				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
a See Additional Data Table				
b				
c				
d				
e				
(i) F M V as of 12/31/69			(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any
(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))				
a See Additional Data Table				
b				
c				
d				
e				
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69				
2 Capital gain net income or (net capital loss)		{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7		2 -179,898
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7		3 22

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? Yes No
 If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2016	646,301	15,101,963	0 04280
2015	648,389	15,102,558	0 04293
2014	696,315	15,841,186	0 04396
2013	509,444	13,036,928	0 03908
2012	797,108	10,779,568	0 07395
2 Total of line 1, column (d)			2 0 242707
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 0 048541
4 Enter the net value of noncharitable-use assets for 2017 from Part X, line 5			4 15,487,800
5 Multiply line 4 by line 3			5 751,793
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 5,578
7 Add lines 5 and 6			7 757,371
8 Enter qualifying distributions from Part XII, line 4			8 770,990

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

Table with 11 rows for excise tax calculation. Includes fields for exempt foundations, domestic foundations, tax under section 511, subtitle A tax, and total tax due. Total tax due is 5,745.

Part VII-A Statements Regarding Activities

Table with 10 rows for activity statements. Includes questions about political campaign influence, political expenditures, and foundation status. Includes Yes/No columns.

Part VII-A Statements Regarding Activities (continued)

Table with 3 columns: Question, Yes, No. Rows 11-14 regarding controlled entities, donor advised funds, public inspection requirements, and books in care.

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15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year.

Table with 3 columns: Question, Yes, No. Row 16 regarding interest in foreign countries.

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

Main table with 3 columns: Question, Yes, No. Rows 1a-4b regarding Form 4720 exceptions and requirements.

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (Continued)

5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
	(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions).	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
b	If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here. ▶		5b	No
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? <i>If "Yes," attach the statement required by Regulations section 53.4945–5(d)</i>	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? <i>If "Yes" to 6b, file Form 8870</i>		6b	No
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?		7b	No

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
STELLA COATES 1080 LEONELLO AVENUE LOS ALTOS, CA 94024	Vice President 1 00	0		
NORMAN COATES PO BOX 71 3255 RED CAP ROAD ORLEANS, CA 95556	Secretary 1 00	0		
DARRYL MANNING 29 STURGES COMMON WESTPORT, CT 06880	President 1 00	0		
J TREVOR COATES 237 RIGG ST SANTA CRUZ, CA 95060	Treasurer 2 00	0		

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	Title, and average hours per week (b) devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000. ▶

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services. ▶

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

1	Expenses

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

1	Amount
All other program-related investments See instructions	
Total. Add lines 1 through 3 ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities.	1a	13,057,188
b	Average of monthly cash balances.	1b	2,666,467
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	15,723,655
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	
3	Subtract line 2 from line 1d.	3	15,723,655
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	235,855
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4.	5	15,487,800
6	Minimum investment return. Enter 5% of line 5.	6	774,390

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	774,390
2a	Tax on investment income for 2017 from Part VI, line 5.	2a	5,578
b	Income tax for 2017 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	5,578
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	768,812
4	Recoveries of amounts treated as qualifying distributions.	4	
5	Add lines 3 and 4.	5	768,812
6	Deduction from distributable amount (see instructions).	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	768,812

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	770,990
b	Program-related investments—total from Part IX-B.	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4.	4	770,990
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions).	5	5,578
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	765,412

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2016	(c) 2016	(d) 2017
1 Distributable amount for 2017 from Part XI, line 7				768,812
2 Undistributed income, if any, as of the end of 2017				
a Enter amount for 2016 only.				
b Total for prior years 20___, 20___, 20___				
3 Excess distributions carryover, if any, to 2017				
a From 2012.				268,960
b From 2013.				
c From 2014.				
d From 2015.				
e From 2016.				
f Total of lines 3a through e.	268,960			
4 Qualifying distributions for 2017 from Part XII, line 4 ▶ \$ <u>770,990</u>				
a Applied to 2016, but not more than line 2a				
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2017 distributable amount.				768,812
e Remaining amount distributed out of corpus	2,178			
5 Excess distributions carryover applied to 2017 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	271,138			
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b Taxable amount—see instructions				
e Undistributed income for 2016 Subtract line 4a from line 2a Taxable amount—see instructions				
f Undistributed income for 2017 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2018				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).				
8 Excess distributions carryover from 2012 not applied on line 5 or line 7 (see instructions).	268,960			
9 Excess distributions carryover to 2018. Subtract lines 7 and 8 from line 6a	2,178			
10 Analysis of line 9				
a Excess from 2013.				
b Excess from 2014.				
c Excess from 2015.				
d Excess from 2016.				
e Excess from 2017.	2,178			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2017, enter the date of the ruling. ▶

b Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

	Tax year	Prior 3 years			(e) Total
	(a) 2017	(b) 2016	(c) 2015	(d) 2014	
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					

3 Complete 3a, b, or c for the alternative test relied upon

a "Assets" alternative test—enter

(1) Value of all assets

(2) Value of assets qualifying under section 4942(j)(3)(B)(i)

b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.

c "Support" alternative test—enter

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).

(3) Largest amount of support from an exempt organization

(4) Gross investment income

Part XV Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or email address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i> See Additional Data Table				
Total			▶ 3a	760,000
b <i>Approved for future payment</i>				
Total			▶ 3b	

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
PENN VA NEW COM CASH IN LIEU	P	2017-04-27	2017-10-27
350000 CAL ST GO 5 75%	P	2010-08-05	2017-03-01
200000 FOREST OIL NOTE	P	2013-05-23	2017-09-18
12135 000 NEUBERGER BERMAN RE	P	2013-05-15	2017-01-17
1752 RMR RE INC FD	P	2013-05-15	2017-09-11
150000 US STEEL	P	2013-05-15	2017-09-01
1930 000 VIRTUS TOTAL TRN COM	P	2013-05-15	2017-03-22
969 VIRTUS TOTAL TRN COM CASH IN LIEU	P	2013-05-15	2017-04-05
826 000 VIRTUS TOTAL TRN COM	P	2013-05-15	2017-06-30

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
22			22
350,000		350,000	
		199,153	-199,153
70,504		64,805	5,699
925			925
155,157		156,657	-1,500
94,006		81,839	12,167
11		11	
10,895		8,953	1,942

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			22
			-199,153
			5,699
			925
			-1,500
			12,167
			1,942

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
BURROUGHS COMMUNITY CENTER 2470 FAIRFIELD AVE BRIDGEPORT, CT 06605	NONE	PC	GENERAL SUPPORT FOR ORGANIZATION THAT SERVES GREATER BRIDGEPORT, CT	35,000
INTERNATIONAL INSTITUTE OF CONNECTI 670 CLINTON AVE BRIDGEPORT, CT 06605	NONE	PC	ECONOMIC EMPOWERMENT PROGRAM FOR REFUGEES AND IMMIGRANTS	30,000
KIDS EMPOWERED BY YOUR SUPPORT KEYS P O BOX 532 NEW CANAAN, CT 06840	NONE	PC	PROVIDING MUSICAL INSTRUMENT EDUCATION FOR INNER CITY BRIDGEPORT CT YOUTH	35,000
UC SANTA CRUZ ARBORETUM 1156 HIGH ST SANTA CRUZ, CA 95064	NONE	PC	EDUCATION AND RESEARCH OF EXOTIC SPECIES OF PLANTS THAT SUPPORT THE HABITAT FOR MIGRATING BIRDS	2,000
FOSTER PARROTSNE EXOTIC WILDLIFE SA P O BOX 34 HOPE VALLEY, RI 02832	NONE	PC	FUND OPERATIONAL EXPENSE PAY FOR COORDINATOR	50,000
Total ▶ 3a				760,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
MOCKABOO BIRD RESCUE P O BOX 697 SAN JOSE, CA 95106	NONE	PC	SUPPORT REHOMING AND REHABILITATION EFFORTS FOR ABANDONED AND FOUND COMPANION BIRDS	35,000
ELEPHANT SANCTUARY OF TENNESSEE P O BOX 393 HOHENWALD, TN 38462	NONE	PC	SUPPORT FREE-ROAMING SANCTUARY FOR RETIRED AND RESCUED CIRCUS AND ZOO ELEPHANTS ALSO CONDUCT MEDICAL RESEARCH	40,000
NORTHWEST STRAITS FOUNDATION 1155 N STATE ST SUITE 402 BELLINGHAM, WA 98225	NONE	EOF	MONITOR DEER HARBOR BRIDGE REPLACEMENT PROJECT	20,000
STANFORD UNIVERSITY 326 GALVEZ ST STANFORD, CA 94305	NONE	PC	FIND A CURE FOR ALZHEIMERS	190,000
CASCADES RAPTOR CENTER 32275 FOX HOLLOW ROAD EUGENE, OR 97405	NONE	PC	REHABILITATION, RELEASE AND SANCTUARY FOR INJURED RAPTOR SPECIES BUILDING NEW AVIARIES AND MAINTAINING EDUCATIONAL OUTREACH CENTER	3,250
Total 3a				760,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
HORIZON WINGS BIRD RESCUE P O BOX 268 ASHFORD, CT 06278	NONE	PC	REHABILITATION OF INJURED WILD RAPTORS FOR RELEASE INTO WILD OR SANCTUARY IF THEY CANNOT SURVIVE IN WILD	2,000
HARLEM BUSINESS ALLIANCE 275 LENOX AVE NEW YORK CITY, NY 10027	NONE	PC	TEACHING UNDERSERVED URBAN YOUTH IN NYC CODING SKILLS FOR JOBS IN TECH INDUSTRY	40,000
GROUNDWORKS BRIDGEPORT 1001 MAIN ST STE 20 BRIDGEPORT, CT 06604	NONE	PC	GENERAL SUPPORT FOR ORGANIZATION THAT TEACHES HS APPRECIATION OF SKILLS FOR GARDENING AND IMPROVING URBAN ENVIRONMENTS	20,000
PLANNED PARETHOOD SNE 345 WHITNEY AVE NEW HAVEN, CT 06511	NONE	PC	SUPPORT ABORTION ASSISTANCE FUND AND HEALTH EDUCATION PROGRAMS	5,000
PLANNED PARETHOOD FL 736 CENTRAL AVE SARASOTA, FL 34136	NONE	PC	SUPPORT TEEN EDUCATION & OUTREACH PROGRAM	5,000
Total ▶				760,000
3a				

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
ORCAS ISLAND COMMUNITY FOUNDATION P O BOX 1496 EASTSOUND, WA 98245	NONE	PC	PROVIDE SUPPORT FOR ORCAS COMMUNITY RESOURCE CENTER, ORCAS FOOD BANK, GIRLS HS SAILING TEAM, ORCAS COMMUNITY GRANTS, AND SEADOC SOCIETY ENDANGERED PUFFIN RESEARCH PROJECT	120,000
UCSC WOMEN'S GOLF1156 HIGH ST SANTA CRUZ, CA 95064	NONE	PC	PROVIDE TRAVEL AND EQUIPMENT EXPENSE FOR WOMEN'S DIVISION 3 GOLF TEAM	25,000
UCSC SWIMMING1156 HIGH ST SANTA CRUZ, CA 95064	NONE	PC	PROVIDE TRAVEL AND EQUIPMENT EXPENSE FOR MEN'S AND WOMEN'S NCAA DIVISION 3 SWIMMING AND DIVING TEAMS	4,000
SC NATIVE ANIMAL RESCUE 1855 17TH AVE SANTA CRUZ, CA 95062	NONE	PC	NATIVE WILD ANIMAL RESCUE AND REHABILITATION FOR RELEASE BACK TO THE WILD MEDICAL SUPPLIES AND BUILDING ENCLOSURES FOR ANIMALS UNTIL RELEASE	18,750
COUNCIL OF CHURCHES OF GREATERBRIDG 1118 BOSTON AVE BRIDGEPORT, CT 06614	NONE	PC	URBAN EATS PROGRAM TRAINING ADULTS WHO ARE INTERESTED IN FOOD/RESTAURANT INDUSTRY	20,000
Total 3a				760,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
MOUNT BAKER PLANNED PARENTHOOD 470 REED ST UNIT 2 FRIDAY HARBOR, WA 98250	NONE		SUPPORT PARENTHOOD PLANNING	50,000
ONE EARTH CONSERVATION 85-52 211 STREET HOLLIS HILLS, NY 11427	NONE		CONSERVATION EFFORTS FOR MAINTAINING HABITAT FOR WILD PARROT SPECIES OTHER REHABILITATION EFFORTS	10,000
Total ▶ 3a				760,000

TY 2017 Accounting Fees Schedule**Name:** VINCENT J COATES FOUNDATION**EIN:** 77-0533167**Software ID:** 17005038**Software Version:** 2017v2.2**Accounting Fees Schedule**

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
TAX PREPARATION	3,850	770	0	3,080

TY 2017 Investments Corporate Bonds Schedule**Name:** VINCENT J COATES FOUNDATION**EIN:** 77-0533167**Software ID:** 17005038**Software Version:** 2017v2.2**Investments Corporate Bonds Schedule**

Name of Bond	End of Year Book Value	End of Year Fair Market Value
FIXED INCOME	3,789,836	3,579,014

TY 2017 Investments Corporate Stock Schedule**Name:** VINCENT J COATES FOUNDATION**EIN:** 77-0533167**Software ID:** 17005038**Software Version:** 2017v2.2

Name of Stock	End of Year Book Value	End of Year Fair Market Value
STOCK EQUITY	2,689,998	2,818,223

TY 2017 Investments Government Obligations Schedule**Name:** VINCENT J COATES FOUNDATION**EIN:** 77-0533167**Software ID:** 17005038**Software Version:** 2017v2.2**US Government Securities - End
of Year Book Value:****US Government Securities - End
of Year Fair Market Value:****State & Local Government
Securities - End of Year Book
Value:**

2,731,841

**State & Local Government
Securities - End of Year Fair
Market Value:**

2,819,255

TY 2017 Investments - Other Schedule**Name:** VINCENT J COATES FOUNDATION**EIN:** 77-0533167**Software ID:** 17005038**Software Version:** 2017v2.2**Investments Other Schedule 2**

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
ETF'S & CEF'S	AT COST	2,880,391	3,858,759
OTHER	AT COST	806,148	348,788

TY 2017 Other Expenses Schedule**Name:** VINCENT J COATES FOUNDATION**EIN:** 77-0533167**Software ID:** 17005038**Software Version:** 2017v2.2**Other Expenses Schedule**

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ATTORNEY GENERAL	75			75

TY 2017 Taxes Schedule**Name:** VINCENT J COATES FOUNDATION**EIN:** 77-0533167**Software ID:** 17005038**Software Version:** 2017v2.2

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAXES WITHHELD	623			
FRANCHISE TAX	10			
IRS EXCISE TAX 2016	3,097			
IRS EXCISE TAX 2017	18,000			
IRS EXCISE TAX REFUND	17,955			