

Return of Private Foundation or Section 4947(a)(1) Trust Treated as Private Foundation

Department of the Treasury Internal Revenue Service

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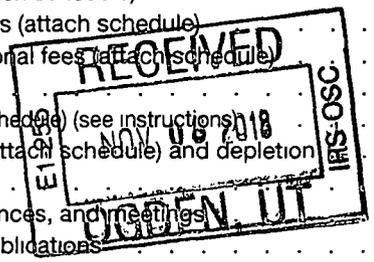
Open to Public Inspection

For calendar year 2017 or tax year beginning, 2017, and ending, 20

Name of foundation: Fund for Nonviolence. A Employer identification number: 77-0457185. B Telephone number: 831-460-9321. G Check all that apply: Initial return, Final return, Address change. H Check type of organization: Section 501(c)(3) exempt private foundation. I Fair market value of all assets at end of year: \$3,376,993. J Accounting method: Accrual.

Table with 5 columns: (a) Revenue and expenses per books, (b) Net investment income, (c) Adjusted net income, (d) Disbursements for charitable purposes. Rows include Revenue (1-12), Operating and Administrative Expenses (13-26), and Summary (27-28).

SCANNED JAN 30 2018



Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)			
		Beginning of year	End of year		
		(a) Book Value	(b) Book Value	(c) Fair Market Value	
Assets	1	Cash—non-interest-bearing	61,188	6673	6673
	2	Savings and temporary cash investments	255,024	708,132	708,132
	3	Accounts receivable ▶			
		Less: allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less: allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶			
		Less: allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U.S. and state government obligations (attach schedule)	711,814	434,255	434,255
	b	Investments—corporate stock (attach schedule)	1,873,165	1,503,412	1,503,412
	c	Investments—corporate bonds (attach schedule)	856,824	711,967	711,967
	11	Investments—land, buildings, and equipment: basis ▶			
	Less: accumulated depreciation (attach schedule) ▶				
12	Investments—mortgage loans				
13	Investments—other (attach schedule)				
14	Land, buildings, and equipment: basis ▶				
	Less: accumulated depreciation (attach schedule) ▶	6638	5012	5012	
15	Other assets (describe ▶ deposits)	6383	7543	7543	
16	Total assets (to be completed by all filers—see the instructions. Also, see page 1, item I)	3,771,036	3,376,993	3,376,993	
Liabilities	17	Accounts payable and accrued expenses	50,079	52,078	
	18	Grants payable	95,700	194,000	
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶ deposits)	3000	3000	
	23	Total liabilities (add lines 17 through 22)	148,779	249,078	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/>				
	and complete lines 24 through 26, and lines 30 and 31.				
	24	Unrestricted	3,622,257	3,127,915	
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ <input type="checkbox"/>				
	and complete lines 27 through 31.				
27	Capital stock, trust principal, or current funds				
28	Paid-in or capital surplus, or land, bldg., and equipment fund				
29	Retained earnings, accumulated income, endowment, or other funds				
30	Total net assets or fund balances (see instructions)	3,622,257	3,127,915		
31	Total liabilities and net assets/fund balances (see instructions)	3,771,036	3,376,993		

Part III Analysis of Changes in Net Assets or Fund Balances			
1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	3,662,257
2	Enter amount from Part I, line 27a	2	(625,551)
3	Other increases not included in line 2 (itemize) ▶ unrealized gains	3	131,209
4	Add lines 1, 2, and 3	4	3,127,915
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	3,127,915

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1a See Attachment I				
b				
c				
d				
e				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
a				
b				
c				
d				
e				
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69.				(i) Gains (Col (h) gain minus col. (k), but not less than -0-) or Losses (from col (h))
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col (j), if any		
a				
b				
c				
d				
e				
2	Capital gain net income or (net capital loss) $\left\{ \begin{array}{l} \text{If gain, also enter in Part I, line 7} \\ \text{If (loss), enter -0- in Part I, line 7} \end{array} \right\}$		2	154,037
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). See instructions. If (loss), enter -0- in Part I, line 8		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? Yes No
If "Yes," the foundation doesn't qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2016	1,046,91	3,370,747	.310433
2015	785,444	3,152,983	.249111
2014	686,815	3,682,330	.186516
2013	1,033,448	2,914,966	.354532
2012	753,077	3,412,847	.220659
2	Total of line 1, column (d)		2 1.321252
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years		3 .264250
4	Enter the net value of noncharitable-use assets for 2017 from Part X, line 5		4 3,291,960
5	Multiply line 4 by line 3		5 869,902
6	Enter 1% of net investment income (1% of Part I, line 27b)		6 884
7	Add lines 5 and 6		7 870,786
8	Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.		8 1,381,003

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary—see instructions)			
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b	1	884	
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations, enter 4% of Part I, line 12, col. (b).			
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)	2		
3	Add lines 1 and 2	3	884	
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)	4		
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	884	
6	Credits/Payments:			
a	2017 estimated tax payments and 2016 overpayment credited to 2017	6a	1000	
b	Exempt foreign organizations—tax withheld at source	6b		
c	Tax paid with application for extension of time to file (Form 8868)	6c		
d	Backup withholding erroneously withheld	6d		
7	Total credits and payments. Add lines 6a through 6d	7	1000	
8	Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8		
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	0	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	116	
11	Enter the amount of line 10 to be: Credited to 2018 estimated tax 116 Refunded	11		

Part VII-A Statements Regarding Activities

	Yes	No
1a		✓
b		✓
c		✓
d		
e		
2		✓
3		✓
4a		✓
4b		
5		✓
6	✓	
7	✓	
8a		
b	✓	
9		✓
10		✓

Part VII-A Statements Regarding Activities (continued)

		Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions		✓
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions		✓
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶ <u>www.fundfornonviolence.org</u>	✓	
14	The books are in care of ▶ <u>Acct Manager</u> Telephone no. ▶ <u>831-460-9321</u> Located at ▶ <u>303 Potrero St. #54, Santa Cruz, CA</u> ZIP+4 ▶ <u>95060</u>		
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041—check here ▶ <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the year ▶ <u>15</u>		
16	At any time during calendar year 2017, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ▶		✓

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year, did the foundation (either directly or indirectly):		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions Organizations relying on a current notice regarding disaster assistance, check here ▶ <input type="checkbox"/>	1b	✓
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2017?	1c	✓
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a	At the end of tax year 2017, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2017? <input type="checkbox"/> Yes <input type="checkbox"/> No If "Yes," list the years ▶ 20____, 20____, 20____, 20____		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions.)	2b	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ▶ 20____, 20____, 20____, 20____		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input type="checkbox"/> No		
b	If "Yes," did it have excess business holdings in 2017 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2017.)	3b	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	✓
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2017?	4b	✓

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year, did the foundation pay or incur any amount to:			Yes	No
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
(3) Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions		5b		
Organizations relying on a current notice regarding disaster assistance, check here	<input type="checkbox"/>			
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	<input type="checkbox"/> Yes <input type="checkbox"/> No			
If "Yes," attach the statement required by Regulations section 53.4945–5(d).				
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		6b		✓
If "Yes" to 6b, file Form 8870.				
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?		7b		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, and foundation managers and their compensation. See instructions.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Patricia Clark 303 Potrero St. #54, Santa Cruz, CA 95060	Board member 1	0	0	0
Betsy Fairbanks 303 Potrero St. #54, Santa Cruz, CA 95060	President/CEO 40+	146,200	44,558	0
Lynda Marin 303 Potrero St. #54, Santa Cruz, CA 95060	Treasurer 2	0	0	0
Rebecca Rittgers 303 Potrero St. #54, Santa Cruz, CA 95060	Secretary 1	0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000 ▶

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services. See instructions. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
.....		
.....		
.....		
.....		
.....		
Total number of others receiving over \$50,000 for professional services ▶		

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc

	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

	Amount
1 N/A	
2	
All other program-related investments. See instructions	
3	
Total. Add lines 1 through 3 ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	3,105,020
b	Average of monthly cash balances	1b	237,071
c	Fair market value of all other assets (see instructions)	1c	
d	Total (add lines 1a, b, and c)	1d	3,342,091
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	3,342,091
4	Cash deemed held for charitable activities. Enter 1½% of line 3 (for greater amount, see instructions)	4	50,131
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	3,291,960
6	Minimum investment return. Enter 5% of line 5	6	164,598

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	164,598
2a	Tax on investment income for 2017 from Part VI, line 5	2a	884
b	Income tax for 2017. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	884
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	163,714
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	163,714
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	163,714

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1a	1,381,003
b	Program-related investments—total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8; and Part XIII, line 4	4	1,381,003
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions	5	884
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	1,380,119

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2016	(c) 2016	(d) 2017
1 Distributable amount for 2017 from Part XII, line 7				163,714
2 Undistributed income, if any, as of the end of 2017:				
a Enter amount for 2016 only			0	
b Total for prior years: 20____, 20____, 20____		0		
3 Excess distributions carryover, if any, to 2017:				
a From 2012	583,124			
b From 2013	889,226			
c From 2014	503,963			
d From 2015	628,518			
e From 2016	878,018			
f Total of lines 3a through e	3,482,849			
4 Qualifying distributions for 2017 from Part XII, line 4: ▶ \$ 1,381,003				
a Applied to 2016, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see instructions)		0		
c Treated as distributions out of corpus (Election required—see instructions)	0			
d Applied to 2017 distributable amount				163,714
e Remaining amount distributed out of corpus	1,217,289			
5 Excess distributions carryover applied to 2017 (if an amount appears in column (d), the same amount must be shown in column (a).)	0			0
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	4,700,138			
b Prior years' undistributed income. Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0		
d Subtract line 6c from line 6b. Taxable amount—see instructions		0		
e Undistributed income for 2016. Subtract line 4a from line 2a. Taxable amount—see instructions			0	
f Undistributed income for 2017. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2018				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required—see instructions)	0			
8 Excess distributions carryover from 2012 not applied on line 5 or line 7 (see instructions)	583,124			
9 Excess distributions carryover to 2018. Subtract lines 7 and 8 from line 6a	4,117,014			
10 Analysis of line 9:				
a Excess from 2013	889,226			
b Excess from 2014	503,963			
c Excess from 2015	628,518			
d Excess from 2016	878,018			
e Excess from 2017	1,217,289			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

- 1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2017, enter the date of the ruling
- b Check box to indicate whether the foundation is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

	Tax year				(e) Total
	(a) 2017	(b) 2016	(c) 2015	(d) 2014	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test—enter:					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test—enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)

- 1 **Information Regarding Foundation Managers:**
 - a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

NONE

- b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

- 2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**
 Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc., to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions.

- a The name, address, and telephone number or email address of the person to whom applications should be addressed:

- b The form in which applications should be submitted and information and materials they should include:

- c Any submission deadlines:

- d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information *(continued)*

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<p>a Paid during the year</p> <p>See Attachment II</p>	NONE	501(c)(3)		
Total			3a	\$1,140,700
<p>b Approved for future payment</p>				
Total			3b	\$194,000

Schedule of Contributors

2017

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.
▶ Go to www.irs.gov/Form990 for the latest information.

Name of the organization	Employer identification number
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Organization type (check one):

Filers of:

Section:

Form 990 or 990-EZ

501(c)() (enter number) organization

4947(a)(1) nonexempt charitable trust **not** treated as a private foundation

527 political organization

Form 990-PF

501(c)(3) exempt private foundation

4947(a)(1) nonexempt charitable trust treated as a private foundation

501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

Note: Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

- For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

- For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 $\frac{1}{3}$ % support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000; or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h; or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year ▶ \$

Caution: An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization	Employer identification number
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Part I **Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	Tides Foundation ----- 1012 Torney Ave, ----- San Francisco, CA 94129 -----	\$ ----- \$34,442	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
2	Nancy Cronin, Trustee ----- PO Box 117400 ----- Burlingame, CA 94011 -----	\$ ----- \$700,000	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
-----	----- ----- ----- -----	\$ -----	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
-----	----- ----- ----- -----	\$ -----	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
-----	----- ----- ----- -----	\$ -----	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
-----	----- ----- ----- -----	\$ -----	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
-----	----- ----- ----- -----	\$ -----	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)

Form 990-PF, Part I, Line 11

Other Income

	a) Revenue per Books	b) Net Investment Income
Rental Income - Noninvestment property	\$ 20,965	\$ -
Reimbursements	\$ 625	\$ -
Miscellaneous Audit Adjustments	6,618	
Total	\$ 28,208	\$ -

Form 990-PF, Part I, Line 16a

Legal Fees

	a) Expense per Books	b) Net Investment Income	c) Adjusted Net Income	d) Charitable Purposes
Transcription	\$ 1,211	\$ 1,211		\$ -
Total	\$ 1,211	\$ 1,211	\$ -	\$ -

Form 990-PF, Part I, Line 16b

Accounting Fees

	a) Expense per Books	b) Net Investment Income	c) Adjusted Net Income	d) Charitable Purposes
Audit and Consultancy	\$ 16,250	\$ 15,045		\$ 1,206
Payroll Service	\$ 1,205	\$ 301	\$ -	\$ 904
Total	\$ 17,455	\$ 15,346	\$ -	\$ 2,110

Form 990-PF, Part I, Line 16c

Other Professional Fees

	a) Expense per Books	b) Net Investment Income	c) Adjusted Net Income	d) Charitable Purposes
Program Consultants	\$ 2,708	\$ -	\$ -	\$ 2,708
Money Management Fees	22,450	22,450	-	-
IT/Web Consultants	583	103		480
Infrastructure Consultants	285	-	-	285
Total	\$ 26,026	\$ 22,553	\$ -	\$ 3,473

Form 990-PF, Part I, Line 18

Taxes

	a) Expense per Books	b) Net Investment Income	c) Adjusted Net Income	d) Charitable Purposes
Excise Taxes (-\$4141 adjust deferred)	\$ (3,917)			
Property Taxes	\$ 75	\$ 19		\$ 56
Foreign Taxes	641	641	-	-
Total	<u>\$ (3,201)</u>	<u>\$ 660</u>	<u>\$ -</u>	<u>\$ 56</u>

Form 990-PF, Part I, Line 19

Depreciation

Part II, Line 14

Land, Buildings, and Equipment

	Basis	Current Depreciation	Accumulated Depreciation	Book Value
Machinery & Equipment	\$ 12,222	\$ 755	\$ 11,418	\$ 803
Improvements	13,062	871	8,853	4,209
Total	<u>\$ 25,283</u>	<u>\$ 1,626</u>	<u>\$ 20,271</u>	<u>\$ 5,012</u>

Form 990-PF, Part I, Line 23

Other Expenses

	a) Expense per Books	b) Net Investment Income	c) Adjusted Net Income	d) Charitable Purposes
Bank charges	\$ -	\$ -	\$ -	\$ (1)
Dues	1550	\$ 375	0	1175
Fees	180	\$ 45	0	135
Insurance	1742	\$ 436	0	1306
Office supplies	414	\$ 104	0	311
Postage	322	\$ 80	0	241
Telephone and Online Services	1701	\$ 425	0	1276
Total	<u>\$ 5,909</u>	<u>\$ 1,466</u>	<u>\$ -</u>	<u>\$ 4,443</u>

Form 990-PF, Part II, Line 10a**Investments - Gov't Obligations**

Shares	Description	Fair Market Value
184,169.88	Schwab US Treasury Money Fund	184,170
3,000.00	Schwab Short Term US Treasury	150,090 00
1,000	1803 1.375 FHLB	99,995 30
		<u>434,255</u>

Form 990-PF, Part II, Line 10b**Investments - Corporate stock**

Shares	Description	Fair Market Value
200	AIR PROD & CHEMICALS INC	32,816 00
60	ALPHABET INC	62,784.00
650	APPLE INC	109,999.50
500	AVANGRID INC	25,290.00
1,000	BANK OF HAWAII CORP	85,700.00
600	CANADIAN NATL RAILWY F	49,500 00
500	CERNER CORP	33,695.00
481	CHUBB LTD	70,288.53
1,600	CHURCH & DWIGHT CO INC	80,272.00
300	CONSOLIDATED EDISON INC	25,485.00
100	ECOLAB INC	13,418.00
400	FIRST SOLAR INC	27,008.00
500	GILEAD SCIENCES INC	35,820 00
1,700	H C P INC	44,336.00
400	ILLINOIS TOOL WORKS INC	66,740 00
2,000	INTEL CORP	92,320.00
1,500	MICROSOFT CORP	128,310.00
200	NETFLIX INC	38,392.00
650	NOVO-NORDISK A-S ADR	34,885 50
200	ORMAT TECHNOLOGIES	12,792.00
1,300	STARBUCKS CORP	74,659 00
300	SVB FINANCIAL GROUP INC	70,131.00
500	TELSTRA CORP LTD ADR	7,075.00
600	THERMO FISHER SCIENTIFIC	113,928.00
500	UNITED PARCEL SERVICE B	59,575 00
500	VESTAS WIND SYS ADR	11,540.00
1,000	VODAFONE GROUP PLC ADR	31,900.00
300	WALGREENS BOOTS ALLI	21,786 00
630	XYLEM INC	42,966.00
		<u>1,503,412</u>

Form 990-PF, Part II, Line 10c**Investments - Corporate Bonds**

Shares	Description	Fair Market Value
500	1810 1 0 Calvert	49,680.35
500	1811 1 5 Colgate-Palmolive	49,826 75
500	1901 2 375 Oracle Corporation	50,214.65
600	1904 2 05 Gilead Sciences	60,004.38
1,000	1912 1 875 Johnson & Johnson	99,534 70
500	2002 1 55 Apple Inc	49,389 60
500	2012 2 2 Capital Impact PA	49,702 00
500	2308 3 375 IBM Corp	51,645.10
9,656.28	VANGUARD INFLATION	125,917 90
11,970.66	CRA QUALIFIED INVESTMENT	126,051 09
		<u>711,967</u>

Attachment I

Form 990-PF, Part I, line 6a - Net Gain/Loss from Sale of Assets

PART IV - Capital Gains and Losses on Tax on Investment Income

Description	How Acquired	Date Acquired	Date Sold	Sales Price	Cost Basis	Gain/Loss
JOHNSON CTLS INTL PLC	P	9/6/16	4/17/17	34,308.04	38,151.15	-3,843.11
GREEN CENTURY EQUITY FD	P	11/10/16	5/19/17	50,000.00	46,241.05	3,758.95
KOHL'S CORP	P	11/3/16	6/21/17	7,384.15	8,578.15	-1,194.00
Schwab ST US Treasury	P	11/1/16	6/21/17	50,443.30	50,679.40	-236.10
GREEN CENTURY EQUITY FD	P	various	6/21/17	62,340.41	55,639.70	6,700.71
UNDER ARMOUR INC CL A	P	11/3/16	7/7/17	6,245.17	9,103.75	-2,858.58
1712 1.35 Intel Corporation	P	2/14/14	12/15/17	50,000.00	50,000.00	0.00
1804 1.25 Sanofi	P	8/16/13	9/5/17	50,000.00	49,823.16	176.84
AIR PROD & CHEMICALS INC	P	10/27/10	8/3/17	7,141.91	3,870.12	3,271.79
APPLE INC	P	5/30/06	2/14/17	13,213.01	895.97	12,317.04
APPLE INC	P	5/30/06	6/30/17	21,835.07	1,343.96	20,491.11
APPLE INC	P	5/30/06	7/25/17	15,076.58	895.98	14,180.60
APPLE INC	P	5/30/06	11/8/17	17,446.13	895.97	16,550.16
BANK OF HAWAII CORP	P	2/8/10	6/19/17	8,246.28	4,324.29	3,921.99
BANK OF HAWAII CORP	P	2/8/10	6/19/17	8,081.35	4,237.81	3,843.54
BANK OF HAWAII CORP	P	2/8/10	6/19/17	4,288.06	2,248.63	2,039.43
BANK OF HAWAII CORP	P	2/8/10	6/21/17	24,451.48	12,972.89	11,478.59
CANADIAN NATL RAILWAY F	P	2/22/10	4/17/17	29,543.45	10,467.39	19,076.06
CONSOLIDATED EDISON INC	P	12/4/15	4/11/17	23,389.83	18,557.65	4,832.18
CONSOLIDATED EDISON INC	P	12/10/13	7/25/17	24,655.80	16,470.68	8,185.12
CONSOLIDATED EDISON INC	P	7/15/14	6/27/17	16,646.45	11,318.35	5,328.10
COSTCO WHOLESALE CO	P	12/7/15	6/23/17	48,858.85	48,415.78	443.07
COVANTA HOLDING CORP	P	various	7/7/17	16,394.66	19,227.59	-2,832.93
DISCOVERY COMM INC	P	2/8/16	11/8/17	8,282.36	13,648.45	-5,366.09
FIRST SOLAR INC	P	11/22/13	2/14/17	6,133.72	11,495.52	-5,361.80
GILEAD SCIENCES INC	P	12/2/15	6/21/17	31,906.45	52,658.45	-20,752.00
H C P INC	P	12/13/13	6/30/17	6,618.56	6,009.26	609.30
H C P INC	P	12/13/13	6/30/17	3,309.28	3,004.63	304.65
MICROSOFT CORP	P	1/14/13	6/30/17	14,009.62	5,324.50	8,685.12
MICROSOFT CORP	P	1/14/13	8/3/17	21,991.86	7,986.74	14,005.12
NOVO-NORDISK A-S ADR	P	1/9/13	6/30/17	10,928.56	8,463.48	2,465.08
NOVO-NORDISK A-S ADR	P	3/30/12	7/25/17	8,718.74	5,554.51	3,164.23
NOVO-NORDISK A-S ADR	P	various	7/25/17	17,437.91	12,629.35	4,808.56
STARBUCKS CORP	P	7/9/09	8/3/17	16,246.99	2,009.69	14,237.30
SVB FINANCIAL GROUP INC	P	7/29/13	7/25/17	35,856.22	18,141.58	17,714.64
TELSTRA CORP LTD ADR	P	12/7/15	7/25/17	8,130.86	10,026.48	-1,895.62
VODAFONE GROUP PLC ADR	P	4/30/14	8/3/17	8,894.34	11,353.46	-2,459.12
WHOLE FOODS MARKET INC	P	5/13/14	8/3/17	41,774.08	39,494.97	2,279.11
WW GRAINGER INC	P	12/14/15	6/27/17	17,289.14	19,303.48	-2,014.34
WW GRAINGER INC	P	12/14/15	6/27/17	17,286.25	19,303.47	-2,017.22
TOTAL Part I, Column (a), Line 6				864,805	710,767	154,037

Attachment II

Form 990-PF, Part XV, Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

(all 501(c)(3) and no relationship to any fdn manager or contributor)

3a: Paid during the year:

Recipient	Purpose of grant	Amount
A New Way of Life Re-Entry Project PO Box 875288 Los Angeles, CA 90087	Community organizing and public education re: criminal justice policy	\$20,000
ABA Fund for Justice and Education 1050 Connecticut Ave NW Washington DC 20036	To support the Mental Illness Initiative of the American Bar Association.	\$50,000
American Civil Liberties Union Foundation of Northern California 39 Drumm Street San Francisco, CA 94111	Public education and engagement about criminal justice	\$20,000
Ctr. for Arms Control and Nonproliferation 820 1st St. NE, Suite LL-180 Washington, DC 20002	Work to address military spending	\$10,000
Center for Death Penalty Litigation 123 West Main Street, Suite 700 Durham, NC 27701	Engage in human rights advocacy about the death penalty in the United States.	\$22,000
Center for International Policy 2000 M Street NW Suite 720 Washington, DC 20036	Public education to promote peaceful resolution to international conflict	\$40,000
Congregations Organized for Prophetic Engagement 1505 W. Highland Ave., Suite 1 San Bernardino, CA 92411	To support community engagement in public safety and criminal justice system reforms.	\$15,000
Ella Baker Center for Human Rights 1970 Broadway, Suite 1125 Oakland, CA 94612	Public education to promote positive alternatives to violence and incarceration	\$20,000
Equal Justice USA 20 Jay Street Brooklyn, NY 11201	For the Crime Survivor Racial Equity Project	\$90,000
Equal Justice USA 20 Jay Street Brooklyn, NY 11201	For the Death Penalty Program	\$33,000
Essie Justice Group 300 Frank H. Ogawa Plaza, Suite 420 San Francisco, CA 94612	Support and leadership training for women with incarcerated loved ones	\$50,000

Attachment II

Form 990-PF, Part XV, Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

(all 501(c)(3) and no relationship to any fdn manager or contributor)

3a: Paid during the year:

Recipient	Purpose of grant	Amount
Essie Justice Group 300 Frank H. Ogawa Plaza, Suite 420 San Francisco, CA 94612	Support and leadership training for women with incarcerated loved ones	\$20,000
Justice Now 1322 Webster Street #210 Oakland, CA 94612	Public education on the criminal justice system through CURB coalition	\$25,000
Legal Services for Prisoners with Children 1540 Market Street #490 San Francisco, CA 94102	To promote the leadership of formerly incarcerated people in criminal justice reform	\$25,000
Promise of Justice Initiative 636 Baronne Street New Orleans, LA 70113	Public education on criminal justice policies and the death penalty.	\$25,000
Proteus Fund 15 Research Drive, Suite B Ahmerst, MA 01002	To support the Security and Rights Collaborative's convening of Muslim, Arab and South Asian (MASA) leaders	\$5,700
Proteus Fund 15 Research Drive, Suite B Ahmerst, MA 01002	To support the civil and human rights of vulnerable communities	\$50,000
Proteus Fund 15 Research Drive, Suite B Ahmerst, MA 01002	To support communications efforts to uphold international human rights standards within the United States.	\$100,000
Proteus Fund 15 Research Drive, Suite B Ahmerst, MA 01002	To uphold international human rights standards within the US.	\$170,000
Texas Defender Service c/o ACCR 1315 Walnut Street, Suite 1331 Philadelphia, PA 19107	To impact death sentences through litigation, advocacy and strategic communications.	\$35,000
The Bronx Defenders 360 East 161st Street Bronx, NY 10451	To support Utah Conservatives Concerned About the Death Penalty to do public education and outreach	\$30,000
Tides Foundation P.O. Box 29903 San Francisco, CA 94129-0903	To uphold international human rights law by reducing the use of the death penalty in the U.S.	\$50,000

Attachment II

Form 990-PF, Part XV, Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

(all 501(c)(3) and no relationship to any fdn manager or contributor)

3a: Paid during the year:

Recipient	Purpose of grant	Amount
Tides Foundation P.O. Box 29903 San Francisco, CA 94129-0903	To uphold international human rights norms within the U.S. by addressing the death penalty.	\$50,000
Tides Center c/o CSJ 1700 Broadway Street Suite 700 Oakland, CA 94612	To promote criminal justice and democratic processes.	\$5,000
Tides Foundation P.O. Box 29903 San Francisco, CA 94129-0903	To promote leadership development for full civil rights of Blacks in the United States.	\$20,000
United Way of Santa Cruz County P.O. Box 1458 Capitola, CA 95010	To implement a shared safety approach through engagement of crime survivors	\$10,000
Utah Justice Coalition 54 W 200 N, Suite 7 Bountiful, UT 84010	To support Utah Conservatives Concerned About the Death Penalty to do public education and outreach	\$25,000
Witness to Innocence 1501 Cherry Street Philadelphia PA 19102	Public education about the death penalty and exonerated death row inmates.	\$75,000
Women's Foundation of California 300 Frank H. Ogawa Plaza, Suite 420 San Francisco, CA 94014	To address injustices and build solutions to improve quality of lives of the women, families and communities directly impacted by the criminal justice system	\$25,000
Youth Law Center 11500 W Olympic Blvd. Suite 540 Los Angeles, CA 90064	To support healing for people impacted by violent crimes committed by youth and to promote alternatives to the incarceration of youth.	\$25,000

Disbursements for charitable purposes, column d \$1,140,700

Attachment II

Form 990-PF, Part XV, Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

(all 501(c)(3) and no relationship to any fdn manager or contributor)

3b: Approved for future payment:

Recipient	Purpose of grant	Amount
Commonwealth Foundation PO Box 17 Lancaster, PA 17608	To support strategic planning and communications in order to build social movements advocating for progressive social change.	\$10,000
Eschaton Foundation 612 Ocean Street Santa Cruz, CA 95060	Support for convening of nonviolence trainers	\$14,000
Tides Center c/o CSJ 1700 Broadway Street Suite 700 Oakland, CA 94612	Incorporating and engaging crime victim/survivors in public safety reforms	\$120,000
Tides Center c/o CSJ 1700 Broadway Street Suite 700 Oakland, CA 94612	To promote criminal justice and democratic processes	\$50,000
		<u>\$194,000</u>