

For calendar year 2017, or tax year beginning 01-01-2017, and ending 12-31-2017

Name of foundation SHIRLEY & DAVID ALLEN FOUNDATION		A Employer identification number 76-0702130	
Number and street (or P O box number if mail is not delivered to street address) 4441 86TH AVE SE		B Telephone number (see instructions) (206) 979-6157	
City or town, state or province, country, and ZIP or foreign postal code MERCER ISLAND, WA 980404145		C If exemption application is pending, check here ☐	
G Check all that apply ☐ Initial return ☐ Final return ☐ Address change ☐ Initial return of a former public charity ☐ Amended return ☐ Name change		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐	
H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐	
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 1,515,651	J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) _____ (Part I, column (d) must be on cash basis)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐	

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)	5,092			
	2 Check ☐ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	28,103	28,103		
	5a Gross rents				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10	51,209			
	b Gross sales price for all assets on line 6a _____ 197,436				
	7 Capital gain net income (from Part IV, line 2)		51,209		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances _____				
Operating and Administrative Expenses	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)	-2,983			
	12 Total. Add lines 1 through 11	81,421	79,312		
	13 Compensation of officers, directors, trustees, etc				
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)	2,074			
	c Other professional fees (attach schedule)	299			
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	777	752		
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)	14,780	14,583		
	24 Total operating and administrative expenses. Add lines 13 through 23	17,930	15,335		0
	25 Contributions, gifts, grants paid	75,000			75,000
	26 Total expenses and disbursements. Add lines 24 and 25	92,930	15,335		75,000
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	-11,509			
	b Net investment income (if negative, enter -0-)		63,977		
c Adjusted net income (if negative, enter -0-)					

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)			
		Beginning of year (a) Book Value	End of year (b) Book Value (c) Fair Market Value		
Assets	1	Cash—non-interest-bearing	31,046	33,453	33,453
	2	Savings and temporary cash investments	85,815	58,421	58,476
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)	680,365	820,408	1,294,468
	c	Investments—corporate bonds (attach schedule)	147,892	132,718	129,254
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)	111,391		
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
15	Other assets (describe ▶ _____)				
16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	1,056,509	1,045,000	1,515,651	
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶ _____)			
	23	Total liabilities (add lines 17 through 22)		0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ☐ and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ☒ and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds	1,056,509	1,045,000	
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
30	Total net assets or fund balances (see instructions)	1,056,509	1,045,000		
31	Total liabilities and net assets/fund balances (see instructions) .	1,056,509	1,045,000		

Part III Analysis of Changes in Net Assets or Fund Balances			
1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,056,509
2	Enter amount from Part I, line 27a	2	-11,509
3	Other increases not included in line 2 (itemize) ▶ _____	3	
4	Add lines 1, 2, and 3	4	1,045,000
5	Decreases not included in line 2 (itemize) ▶ _____	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 .	6	1,045,000

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a See Additional Data Table			
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a See Additional Data Table			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a See Additional Data Table			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	51,209
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		3	-503

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?



Yes



No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2016	69,732	1,350,503	0 051634
2015	52,145	1,421,065	0 036694
2014	46,495	1,434,699	0 032407
2013	61,550	1,331,568	0 046224
2012	37,800	1,243,303	0 030403
2 Total of line 1, column (d)			2 0 197362
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 0 039472
4 Enter the net value of noncharitable-use assets for 2017 from Part X, line 5			4 1,459,106
5 Multiply line 4 by line 3			5 57,594
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 640
7 Add lines 5 and 6			7 58,234
8 Enter qualifying distributions from Part XII, line 4			8 75,000

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b	1	640
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	
3	Add lines 1 and 2.	3	640
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	640
6	Credits/Payments		
a	2017 estimated tax payments and 2016 overpayment credited to 2017	6a	996
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d.	7	996
8	Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed ▶	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid ▶	10	356
11	Enter the amount of line 10 to be Credited to 2018 estimated tax ▶ 356 Refunded ▶	11	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a	No
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>	1b	No
c Did the foundation file Form 1120-POL for this year?	1c	No
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ▶ \$ _____ (2) On foundation managers ▶ \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities</i>	2	No
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>	3	No
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a	No
b If "Yes," has it filed a tax return on Form 990-T for this year?	4b	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T</i>	5	No
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	No
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col (c), and Part XV</i>	7	Yes
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ AZ _____		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation</i> .	8b	Yes
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2017 or the taxable year beginning in 2017 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>	9	No
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>	10	No

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address N/A	13		
14	The books are in care of ALFRED SULLIVAN Telephone no (206) 979-6157			

Located at **4441 86TH AVE SE MERCER ISLAND WA** ZIP+4 **98040**

15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here ☐ and enter the amount of tax-exempt interest received or accrued during the year 15		
16	At any time during calendar year 2017, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes No
See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR). If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a	During the year did the foundation (either directly or indirectly)		Yes	No
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No			
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? ☐ 1b			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2017? ☐ 1c			No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2017, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2017? ☐ Yes ☒ No If "Yes," list the years ▶ 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions). ☐ 2b			
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2017 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2017). ☐ Yes ☒ No 3b			
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? 4a			No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2017? 4b			No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (Continued)

5a	During the year did the foundation pay or incur any amount to				
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☒ No			
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☒ No			
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☒ No			
	(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions).	☐ Yes ☒ No			
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☒ No			
b	If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?		5b		
	Organizations relying on a current notice regarding disaster assistance check here.	☐			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	☐ Yes ☐ No			
	<i>If "Yes," attach the statement required by Regulations section 53.4945–5(d)</i>				
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes ☒ No			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		6b	No	
	<i>If "Yes" to 6b, file Form 8870</i>				
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes ☒ No			
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?		7b		

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1

List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
See Additional Data Table				

2

Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	Title, and average hours per week (b) devoted to position	(c) Compensation	Contributions to employee benefit plans and deferred compensation (d)	Expense account, (e) other allowances
NONE				

Total number of other employees paid over \$50,000.

▶

3

Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services.

▶

Part IX-A

Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1	
2	
3	
4	

Part IX-B

Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments. See instructions	
3	

Total. Add lines 1 through 3

▶

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities.	1a	1,363,283
b	Average of monthly cash balances.	1b	118,043
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	1,481,326
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	
2	Acquisition indebtedness applicable to line 1 assets.	2	
3	Subtract line 2 from line 1d.	3	1,481,326
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	22,220
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4.	5	1,459,106
6	Minimum investment return. Enter 5% of line 5.	6	72,955

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	72,955
2a	Tax on investment income for 2017 from Part VI, line 5.	2a	640
b	Income tax for 2017 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	640
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	72,315
4	Recoveries of amounts treated as qualifying distributions.	4	
5	Add lines 3 and 4.	5	72,315
6	Deduction from distributable amount (see instructions).	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	72,315

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	75,000
b	Program-related investments—total from Part IX-B.	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4.	4	75,000
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions).	5	640
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	74,360

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2016	(c) 2016	(d) 2017
1 Distributable amount for 2017 from Part XI, line 7				72,315
2 Undistributed income, if any, as of the end of 2017				
a Enter amount for 2016 only.				
b Total for prior years 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2017				
a From 2012.				
b From 2013.				
c From 2014.				
d From 2015.				
e From 2016.				2,543
f Total of lines 3a through e.	2,543			
4 Qualifying distributions for 2017 from Part XII, line 4 ▶ \$ 75,000				
a Applied to 2016, but not more than line 2a				
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).				
d Applied to 2017 distributable amount.				72,315
e Remaining amount distributed out of corpus	2,685			
5 Excess distributions carryover applied to 2017 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	5,228			
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b Taxable amount—see instructions				
e Undistributed income for 2016 Subtract line 4a from line 2a Taxable amount—see instructions				
f Undistributed income for 2017 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2018				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).				
8 Excess distributions carryover from 2012 not applied on line 5 or line 7 (see instructions).				
9 Excess distributions carryover to 2018. Subtract lines 7 and 8 from line 6a	5,228			
10 Analysis of line 9				
a Excess from 2013.				
b Excess from 2014.				
c Excess from 2015.				
d Excess from 2016.				2,543
e Excess from 2017.				2,685

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

Part XV **Supplementary Information** (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

- d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i> See Additional Data Table				
Total			3a	75,000
b <i>Approved for future payment</i>				
Total			3b	

Enter gross amounts unless otherwise indicated

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions.)
----------------------	--

[illegible]

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?		Yes	No
a Transfers from the reporting foundation to a noncharitable exempt organization of			
(1) Cash.			No
(2) Other assets.			No
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization.			No
(2) Purchases of assets from a noncharitable exempt organization.			No
(3) Rental of facilities, equipment, or other assets.			No
(4) Reimbursement arrangements.			No
(5) Loans or loan guarantees.			No
(6) Performance of services or membership or fundraising solicitations.			No
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees.		1c	No

d If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

(a) Line No	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Sign Here	*****	2018-10-29	*****	May the IRS discuss this return with the preparer shown below? (see instr)? ☒ Yes ☐ No
	Signature of officer or trustee	Date	Title	

Paid Preparer Use Only	Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ☐	PTIN
	JOHN A KUDER		2018-11-14		P00097008
	Firm's name STERLING KUDER & COMPANY PS				Firm's EIN 91-1352573
Firm's address 7525 SE 24TH ST STE 110 MERCER ISLAND, WA 980402339					Phone no (206) 275-4228

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
ALIBABA GROUP HLDG LTD	P	2017-04-27	2017-07-14
ALIBABA GROUP HLDG LTD	P		
MASTERCARD INC CL A	P	2010-07-20	2017-11-08
ENSTAR GROUP LIMITED SHS	P		
APPLE COMPUTER INC	P		2017-05-12
ALPHABET INC	P		
MONRO MUFFLER BRAKE INC	P	2011-04-26	2017-05-02
RENAISSANCE HOLDINGS LTD	P		
BERKSHIRE HATHAWAY INC DEL	P	2017-04-27	2017-08-22
ALTRIA GROUP INC	P	2010-07-20	2017-09-14

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
303		232	71
3,746		1,947	1,799
1,952		255	1,697
6,081		2,191	3,890
1,248		803	445
5,724		3,350	2,374
3,160		1,862	1,298
7,183		4,020	3,163
179		166	13
5,640		1,931	3,709

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			71
			1,799
			1,697
			3,890
			445
			2,374
			1,298
			3,163
			13
			3,709

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
KEYENCE CORP	P	2012-09-20	2017-12-12
MOBILEYE NV	P		2017-01-06
CASEYS GENERAL STORES INC	P	2017-01-09	2017-12-07
APPLE COMPUTER INC	P		
PIMCO HIGH YIELD FUND	P	2012-02-03	2017-11-29
NXP SEMICONDUCTORS NV	P		2017-07-14
FACEBOOK INC	P	2017-04-27	2017-07-14
BERKSHIRE HATHAWAY INC DEL	P		
PHILIP MORRIS INTL INC	P	2010-07-20	2017-04-06
DOWDUPONT INC	P		2017-10-23

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
2,225		527	1,698
1,495		1,740	-245
124		117	7
5,164		2,615	2,549
5,000		5,150	-150
9,185		6,466	2,719
160		147	13
3,961		3,005	956
3,718		1,650	2,068
32			32

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			Gains (Col (h) gain minus col (k), but not less than -0-) or (l) Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			1,698
			-245
			7
			2,549
			-150
			2,719
			13
			956
			2,068
			32

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
GILEAD SCIENCES INC	P	2017-01-09	2017-03-10
BOEING CO	P		
PHILLIPS 66	P		2017-10-11
KIMBERLY CLARK CORP	P	2017-08-25	2017-09-14
BRIGHTHOUSE FINL INC	P	2013-03-25	2017-08-28
REGENERON PHARMACEUTICALS INC	P		2017-11-20
MONRO MUFFLER BRAKE INC	P	2017-01-09	2017-05-02
CASEYS GENERAL STORES INC	P	2012-01-06	2017-12-07
TEMPLETON INCOME TR GLOBAL BD FD	P	2011-07-05	2017-11-29
REGENERON PHARMACEUTICALS INC	P	2017-04-27	2017-11-20

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
136		151	-15
5,147		2,991	2,156
2,339		2,064	275
360		366	-6
5		4	1
5,007		1,582	3,425
306		349	-43
1,736		725	1,011
8,701		9,779	-1,078
385		364	21

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-15
			2,156
			275
			-6
			1
			3,425
			-43
			1,011
			-1,078
			21

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
COLUMBIA REAL ESTATE EQUITY	P	2013-03-25	2017-11-29
3M CO	P	2010-07-20	2017-04-06
TRACTOR SUPPLY CO	P		2017-11-03
CUMMINS ENGINE INC	P		2017-04-28
TRACTOR SUPPLY CO	P	2016-01-13	2017-11-03
VCA ANTECH INC	P		2017-01-12
DOWDUPONT INC	P	2014-03-11	2017-10-23
UNILEVER NV	P		2017-06-27
TENCENT HOLDINGS LTD	P	2017-04-28	2017-05-15
FACEBOOK INC	P	2014-05-30	

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
20,000		18,996	1,004
2,089		891	1,198
1,483		1,923	-440
3,622		3,391	231
3,796		5,296	-1,500
8,550		5,651	2,899
69		47	22
2,753		1,581	1,172
133		126	7
4,094		1,576	2,518

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			1,004
			1,198
			-440
			231
			-1,500
			2,899
			22
			1,172
			7
			2,518

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
VANGUARD INDEX FDS REIT VIPER SHS	P	2014-02-19	2017-11-29
LINE CORP	P		
GILEAD SCIENCES INC	P	2014-04-01	2017-03-10
ACTELION LTD	P		
ALLERGAN PLC	P		
ICICI BK LTD ADR	P	2015-06-25	2017-07-25
TENCENT HOLDINGS LTD	P	2015-12-11	
ACADIA HEALTHCARE CO INC	P		
JP MORGAN CHASE AND CO	P	2014-06-06	2017-09-14
ALLERGAN PLC	P		2017-11-09

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
16,800		13,307	3,493
2,894		4,107	-1,213
4,678		5,050	-372
10,720		2,877	7,843
6,104		8,398	-2,294
6		7	-1
4,389		1,869	2,520
4,771		8,053	-3,282
1,371		857	514
5,703		5,675	28

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			3,493
			-1,213
			-372
			7,843
			-2,294
			-1
			2,520
			-3,282
			514
			28

Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation

(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
ALFRED SULLIVAN	VP/TREASURER 4 00	0	0	0
4441 86TH AVE SE MERCER ISLAND, WA 98040				
LUCY SULLIVAN	PRESIDENT 2 00	0	0	0
4441 86TH AVE SE MERCER ISLAND, WA 98040				
HOLLY ALLEN	DIRECTOR 1 00	0	0	0
426 SMITH ST SEATTLE, WA 98016				
VINIT ALLEN	DIRECTOR 1 00	0	0	0
12100 ELLIOTT LN SEBASTOPOL, CA 954729230				
MARY CUSHING	SECRETARY 1 00	0	0	0
PO BOX 520 CARNELIAN BAY, CA 961400520				

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
350ORG20 JAY ST SUITE 732 BROOKLYN, NY 11201	NONE	SEC501(C)(3)	ENVIRONMENTAL PROTECTION	500
ALZHEIMERS ASSN 225 N MICHIGAN AVE 17TH CHICAGO, IL 60601	NONE	SEC501(C)(3)	GENERAL SUPPORT	500
AMERICAN INSTITUTE CANCER RESEARCH 1759 R ST NW WASHINGTON, DC 20009	NONE	SEC501(C)(3)	GENERAL SUPPORT	500
Total ▶ 3a				75,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
AMNESTY INTERNATIONAL 5 PENN PLAZA NEW YORK, NY 10001	NONE	SEC501(C)(3)	HUMANITARIAN SERVICES	500
ARIZONA OPERA-TUCSON EDUCATION PROG 1636 N CENTRAL AVE PHOENIX, AZ 85004	NONE	SEC501(C)(3)	GENERAL SUPPORT	500
ARTS FOR THE SCHOOLSPO BOX 866 TRUCKEE, CA 96161	NONE	SEC501(C)(3)	CHILDREN'S PROGRAMS	1,000
Total ▶ 3a				75,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
ASIAN COUNSELING AND REFERRAL SVC 3639 MLK JR WAY S SEATTLE, WA 98144	NONE	SEC501(C)(3)	COMMUNITY SERVICES	1,000
AM SOC PREV CRUELTY TO ANIMALS 424 EAST 92ND STREET NEW YORK, NY 10128	NONE	SEC501(C)(3)	ANIMAL PROTECTION	1,000
CALIFORNIA STATE PARKS 50 FRANCISCO STREET 110 SAN FRANCISCO, CA 94133	NONE	SEC501(C)(3)	COMMUNITY SERVICES	250
Total ▶ 3a				75,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
CATCHING THE DREAMBOX 30433 PHILADELPHIA, PA 19103	NONE	SEC501(C)(3)	GENERAL SUPPORT	1,000
CHILDREN'S INSTITUTE 2640 BENSON ROAD S RENTON, WA 98055	NONE	SEC501(C)(3)	CHILDREN'S PROGRAMS	2,000
COMPASSION WITHOUT BORDERS 537 4TH ST SUITE F SANTA ROSA, CA 95401	NONE	SEC501(C)(3)	ANIMAL PROTECTION	1,000
Total ▶ 3a				75,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
COMPASS HOUSING ALLIANCE 77 S WASHINGTON ST SEATTLE, WA 98104	NONE	SEC501(C)(3)	GENERAL SUPPORT	1,000
DOCTORS WITHOUT BORDERS 333 7TH AVENUE NEW YORK, NY 10001	NONE	SEC501(C)(3)	HUMANITARIAN SERVICES	1,000
DREAMS FOR ORPHANS1808 1ST AVE W SEATTLE, WA 98119	NONE	SEC501(C)(3)	HUMANITARIAN SERVICES	2,000
Total ▶ 3a				75,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
MERCER ISLAND ROTARY CLUB PO BOX 1 MERCER ISLAND, WA 98040	NONE	SEC501(C)(3)	COMMUNITY SERVICES	2,000
KIWANIS CLUB3636 WOODVIEW TRACE INDIANAPOLIS, IN 46268	NONE	SEC501(C)(3)	COMMUNITY SERVICES	20,000
LAKE TAHOE DANCE COLLECTIVE PO BOX 1766 TAHOE CITY, CA 96145	NONE	SEC501(C)(3)	CHILDREN'S PROGRAMS	1,000
Total ▶ 3a				75,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
LEAGUE TO SAVE LAKE TAHOE 2608 LAKE TAHOE BOULEVARD SOUTH LAKE TAHOE, CA 96150	NONE	SEC501(C)(3)	GENERAL SUPPORT	1,850
LITERACY VOLUNTEERS OF TUCSON 2850 E SPEEDWAY BLVD TUCSON, AZ 85716	NONE	SEC501(C)(3)	COMMUNITY SERVICES	1,000
MAKE A WISH SAN FRANCISCO 225 BUSH ST 16/F SAN FRANCISCO, CA 94104	NONE	SEC501(C)(3)	COMMUNITY SERVICES	500
Total ▶ 3a				75,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
METROPOLITAN OPERA GUILD 70 LINCOLN CENTER PLZ 1 NEW YORK, NY 10023	NONE	SEC501(C)(3)	ARTS ENRICHMENT	1,000
MILLIONAIRE CLUB CHARITY 2515 WESTERN AVE SEATTLE, WA 98121	NONE	SEC501(C)(3)	COMMUNITY SERVICES	1,000
MOCKINGBIRD SOCIETY 2100 24TH AVE S 240 SEATTLE, WA 98144	NONE	SEC501(C)(3)	CHILDREN'S PROGRAMS	1,000
Total ▶ 3a				75,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
NATIONAL PARKS CONSERVATION ASSOC 777 6TH STREET NW WASHINGTON, DC 20001	NONE	SEC501(C)(3)	ENVIRONMENTAL PROTECTION	1,000
NATIONAL RESOURCE DEFENCE COUNCIL 40 WEST 2ND STREET NEW YORK, NY 10011	NONE	SEC501(C)(3)	ENVIRONMENTAL PROCETION	1,000
NATIONAL WILDLIFE FEDERATION PO BOX 1583 MERRIFIELD, VA 22116	NONE	SEC501(C)(3)	ENVIRONMENTAL PROTECTION	250
Total ▶ 3a				75,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
NATURE CONSERVANCY 4245 NORTH FAIRFAX DRIVE ARLINGTON, VA 22203	NONE	SEC501(C)(3)	ENVIRONMENTAL PROTECTION	1,000
NORTH LAKE TAHOE HISTORICAL SOCIETY 560 NORTH LAKE BLVD TAHOE CITY, CA 96145	NONE	SEC501(C)(3)	COMMUNITY SERVICES	250
NORTH LAKE TAHOE FIRE PROTECTION 866 ORIOLE WAY INCLINE VILLAGE, NV 89451	NONE	SEC170(C)(1)	COMMUNITY PROTECTION SERVICES	25
Total ► 3a				75,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
NORTH TAHOE AAUW EDUCATION FOUNDATI 1111 SIXTEENTH ST NW WASHINGTON, DC 20036	NONE	SEC501(C)(3)	GENERAL SUPPORT	500
OCEAN CONSERVANCY 1300 19TH STREET NW WASHINGTON, DC 20036	NONE	SEC501(C)(3)	GENERAL SUPPORT	1,000
OXFAM226 CAUSEWAY STREET 5/F BOSTON, MA 02114	NONE	SEC501(C)(3)	HUMANITARIAN SERVICES	500
Total ▶ 3a				75,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
PATRONATO SAN XAVIERPO BOX 522 TUCSON, AZ 85702	NONE	SEC501(C)(3)	GENERAL SUPPORT	500
PIMA LIBRARY FOUNDATION PO BOX 13245 TUCSON, AZ 85732	NONE	SEC501(C)(3)	COMMUNITY SERVICES	500
PLACER CO SEARCH & RESCUE PO BOX 1710 TAHOE CITY, CA 96145	NONE	SEC501(C)(3)	COMMUNITY SERVICES	500
Total ▶ 3a				75,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
PRIMAVERA FOUNDATION 151 W 40TH STREET TUCSON, AZ 85713	NONE	SEC501(C)(3)	COMMUNITY SERVICES	500
PROJECT MANA948 INCLINE WAY INCLINE VILLAGE, NV 89451	NONE	SEC501(C)(3)	COMMUNITY SERVICES	500
RAINFOREST ACTION NETWORK 425 BUSH ST STE 300 SAN FRANCISCO, CA 94108	NONE	SEC501(C)(3)	ENVIRONMENTAL PROTECTION	1,000
Total ▶ 3a				75,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
RONALD MCDONALD HOUSE CHARITY 5130 40TH AVE NE SEATTLE, WA 98105	NONE	SEC501(C)(3)	COMMUNITY SERVICES	1,000
ROTARY FIRST HARVEST 1201 1ST AVE S 327 SEATTLE, WA 98134	NONE	SEC501(C)(3)	COMMUNITY SERVICES	1,000
SALVATION ARMY1000 4TH AVE S SEATTLE, WA 98134	NONE	SEC501(C)(3)	HUMANITARIAN SERVICES	2,500
Total 3a				75,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
SAVE THE BAY1330 BROADWAY 1800 OAKLAND, CA 94612	NONE	SEC501(C)(3)	ENVIRONMENTAL PROTECTION	500
SIERRA CLUB85 SECOND STREET 750 SAN FRANCISCO, CA 94105	NONE	SEC501(C)(3)	ENVIRONMENTAL PROTECTION	1,000
SIERRA SENIOR SERVICES 10040 ESTATES DR A TRUCKEE, CA 96161	NONE	SEC501(C)(3)	COMMUNITY SERVICES	1,000
Total ▶ 3a				75,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
SIOUX NATION RELIEF FUND 2401 EGLIN ST RAPID CITY, SD 57703	NONE	SEC501(C)(3)	COMMUNITY SERVICES	1,000
SMILE TRAIN41 MADISON AVE 28/F NEW YORK, NY 10010	NONE	SEC501(C)(3)	COMMUNITY SERVICES	250
SPCA FOR MONTEREY COUNTY PO BOX 3058 MONTEREY, CA 93942	NONE	SEC501(C)(3)	ANIMAL PROTECTION	250
Total ▶ 3a				75,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
SPECIAL OLYMPICS 1133 19TH STREET NW WASHINGTON DC, DC 20036	NONE	SEC501(C)(3)	COMMUNITY SERVICES	250
SQUAW VALLEY CHAPELPO BOX 7437 TAHOE CITY, CA 96145	NONE	SEC501(C)(3)	COMMUNITY SERVICES	250
ST JUDE CHILDREN'S RESEARCH HOSPIT 262 DANNY THOMAS PLACE MEMPHIS, TN 38105	NONE	SEC501(C)(3)	COMMUNITY SERVICES	1,000
Total ▶ 3a				75,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
TAHOE RIM TRAIL ASSNPO BOX 3267 STATELINE, NV 89449	NONE	SEC501(C)(3)	ENVIRONMENTAL PROTECTION	1,000
CARTER CENTER453 FREEDOM PKWY NE ATLANTA, GA 30307	NONE	SEC501(C)(3)	HUMANITARIAN SERVICES	1,000
TMC FOUNDATION5301 E GRANT ROAD TUCSON, AZ 85712	NONE	SEC501(C)(3)	FOOD CONSERVATION PRGM	250
Total ▶ 3a				75,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
TRAILS AND VISTAS 10309 CROMLEY SQUARE TRUCKEE, CA 96161	NONE	SEC501(C)(3)	COMMUNITY SERVICES	100
TREEHOUSE 2100 24TH AVE S SUITE 200 SEATTLE, WA 98144	NONE	SEC501(C)(3)	COMMUNITY SERVICES	4,000
TAHOE TRUCKEE COMMUNITY FOUNDATION 11071 DONNER PASS RD TRUCKEE, CA 96161	NONE	SEC501(C)(3)	COMMUNITY SERVICES	525
Total ► 3a				75,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
TUCSON COMMUNITY FOOD BANK 3003 S COUNTRY CLUB RD TUCSON, AZ 85713	NONE	SEC501(C)(3)	COMMUNITY SERVICES	500
TUCSON MUSEUM OF ART 140 NORTH MAIN AVENUE TUCSON, AZ 85701	NONE	SEC501(C)(3)	COMMUNITY SERVICES	500
UA FOUNDATION-ALLEN FAMILY LIB FUND 1111 N CHERRY AVENUE TUCSON, AZ 85721	NONE	SEC501(C)(3)	COMMUNITY SERVICES	1,000
Total ► 3a				75,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
UA FOUNDATION-LIBRARY ENDOWMENT 1111 N CHERRY AVENUE TUCSON, AZ 85721	NONE	SEC501(C)(3)	COMMUNITY SERVICES	1,000
WILDERNESS SOCIETY 2003 WESTERN AVE 660 SEATTLE, WA 98121	NONE	SEC501(C)(3)	ENVIRONMENTAL PROTECTION	1,000
VISHAL HIMALAYA FOUNDATION 6019 78TH AVE SE MERCER ISLAND, WA 98040	NONE	SEC501(C)(3)	HUMANITARIAN SERVICES	1,000
Total ▶ 3a				75,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
YOSEMITE FUND 101 MONTGOMERY STREET SAN FRANCISCO, CA 94104	NONE	SEC501(C)(3)	ENVIRONMENTAL PROTECTION	1,000
Total 				75,000
3a				

TY 2017 Accounting Fees Schedule**Name:** SHIRLEY & DAVID ALLEN FOUNDATION**EIN:** 76-0702130**Accounting Fees Schedule**

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
TAX PREPARATION	2,074			

TY 2017 Investments Corporate Bonds Schedule

Name: SHIRLEY & DAVID ALLEN FOUNDATION

EIN: 76-0702130

Investments Corporate Bonds Schedule

Name of Bond	End of Year Book Value	End of Year Fair Market Value
US TRUST	132,718	129,254

TY 2017 Investments Corporate Stock Schedule

Name: SHIRLEY & DAVID ALLEN FOUNDATION

EIN: 76-0702130

Name of Stock	End of Year Book Value	End of Year Fair Market Value
US TRUST	820,408	1,294,468

TY 2017 Investments - Other Schedule

Name: SHIRLEY & DAVID ALLEN FOUNDATION

EIN: 76-0702130

Investments Other Schedule 2

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
US TRUST - REITS	AT COST		

TY 2017 Other Expenses Schedule**Name:** SHIRLEY & DAVID ALLEN FOUNDATION**EIN:** 76-0702130**Other Expenses Schedule**

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
EXPENSES				
INVESTMENT MANAGEMENT	14,583	14,583		
OFFICE EXPENSE	197			

TY 2017 Other Income Schedule

Name: SHIRLEY & DAVID ALLEN FOUNDATION

EIN: 76-0702130

Other Income Schedule

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
COST/FMV DIFF-NONCASH CONTRIB	-2,983		

TY 2017 Other Professional Fees Schedule**Name:** SHIRLEY & DAVID ALLEN FOUNDATION**EIN:** 76-0702130

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
REGISTERED AGENT FEE	299			

TY 2017 Taxes Schedule**Name:** SHIRLEY & DAVID ALLEN FOUNDATION**EIN:** 76-0702130

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAXES PAID	752	752		
LICENSE	25			

efile GRAPHIC print - DO NOT PROCESS		As Filed Data -		DLN: 93491318008618	
Schedule B (Form 990, 990-EZ, or 990-PF) Department of the Treasury Internal Revenue Service		Schedule of Contributors ▶ Attach to Form 990, 990-EZ, or 990-PF ▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at www.irs.gov/form990			OMB No 1545-0047 2017
		Name of the organization SHIRLEY & DAVID ALLEN FOUNDATION			Employer identification number 76-0702130
Organization type (check one)					
Filers of:Section:					
Form 990 or 990-EZ		☐ 501(c)() (enter number) organization ☐ 4947(a)(1) nonexempt charitable trust not treated as a private foundation ☐ 527 political organization			
Form 990-PF		☒ 501(c)(3) exempt private foundation ☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation ☐ 501(c)(3) taxable private foundation			
Check if your organization is covered by the General Rule or a Special Rule. Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions					
General Rule ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or other property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.					
Special Rules ☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33¹ 3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II. ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 <i>exclusively</i> for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III. ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions <i>exclusively</i> for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an <i>exclusively</i> religious, charitable, etc., purpose. Don't complete any of the parts unless the General Rule applies to this organization because it received <i>nonexclusively</i> religious, charitable, etc., contributions totaling \$5,000 or more during the year. . . . ▶ \$					
Caution. An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it must answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).					
For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF Cat No 30613X Schedule B (Form 990, 990-EZ, or 990-PF) (2017)					

Name of organization SHIRLEY & DAVID ALLEN FOUNDATION	Employer identification number 76-0702130
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Part I **Contributors** (See instructions) Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	HOLLY ALLEN 426 SMITH ST SEATTLE, WA 98106	\$ 5,092	Person ☐ Payroll ☐ Noncash ☒ (Complete Part II for noncash contributions)
-		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
-		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
-		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
-		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
-		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
-		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
-		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)

Name of organization SHIRLEY & DAVID ALLEN FOUNDATION	Employer identification number 76-0702130
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Part II Noncash Property (See instructions) Use duplicate copies of Part II if additional space is needed			
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
1	1 SH BANK AMER CORP STOCK	\$ 29	2017-12-19
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
1	28 SH FACEBOOK INC STOCK	\$ 5,063	2017-12-19
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		\$	

Name of organization SHIRLEY & DAVID ALLEN FOUNDATION	Employer identification number 76-0702130
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Part III	Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once. See instructions.) ► \$ _____ Use duplicate copies of Part III if additional space is needed
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(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	_____	_____	_____
	_____	_____	_____
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4	Relationship of transferor to transferee	
	_____	_____	_____
	_____	_____	_____
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4	Relationship of transferor to transferee	
	_____	_____	_____
	_____	_____	_____
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4	Relationship of transferor to transferee	
	_____	_____	_____
	_____	_____	_____
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4	Relationship of transferor to transferee	
	_____	_____	_____
	_____	_____	_____
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4	Relationship of transferor to transferee	
	_____	_____	_____
	_____	_____	_____
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4	Relationship of transferor to transferee	
	_____	_____	_____
	_____	_____	_____
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4	Relationship of transferor to transferee	