

Form **990-PF**
 Department of the Treasury
 Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation

▶ **Do not enter social security numbers on this form as it may be made public.**
 ▶ **Information about Form 990-PF and its instructions is at www.irs.gov/form990pf.**

OMB No 1545-0052
2017
Open to Public Inspection

For calendar year 2017, or tax year beginning 01-01-2017, and ending 12-31-2017

Name of foundation Frost Foundation		A Employer identification number 76-0587800	
Number and street (or P O box number if mail is not delivered to street address) PO Box 27325		B Telephone number (see instructions) (713) 248-8100	
City or town, state or province, country, and ZIP or foreign postal code Houston, TX 77027		C If exemption application is pending, check here <input type="checkbox"/>	
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>	
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>	
I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶ \$ 1,027,290		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>	
J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis)			

Part I Analysis of Revenue and Expenses <i>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))</i>		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)				
	2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments	168	168		
	4 Dividends and interest from securities	12,336	12,336		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	164,406			
	b Gross sales price for all assets on line 6a	1,040,032			
	7 Capital gain net income (from Part IV, line 2)		164,406		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule)	174				
12 Total. Add lines 1 through 11	177,084	176,910			
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc				
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)	1,200	500		700
	c Other professional fees (attach schedule)	9,855	9,855		
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	1,014	491		
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)				
	24 Total operating and administrative expenses. Add lines 13 through 23	12,069	10,846		700
	25 Contributions, gifts, grants paid	40,000			40,000
26 Total expenses and disbursements. Add lines 24 and 25	52,069	10,846		40,700	
27 Subtract line 26 from line 12					
a Excess of revenue over expenses and disbursements	125,015				
b Net investment income (if negative, enter -0-)		166,064			
c Adjusted net income (if negative, enter -0-)					

Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing	6,188	5,840	5,840
	2 Savings and temporary cash investments	68,591	31,874	31,874
	3 Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4 Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments—U S and state government obligations (attach schedule)			
	b Investments—corporate stock (attach schedule)	337,089	843,968	989,576
	c Investments—corporate bonds (attach schedule)	215,161		
	11 Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12 Investments—mortgage loans			
	13 Investments—other (attach schedule)	129,638		
	14 Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
15 Other assets (describe ▶ _____)				
16 Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	756,667	881,682	1,027,290	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶ _____)			
	23 Total liabilities (add lines 17 through 22)		0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg , and equipment fund			
29 Retained earnings, accumulated income, endowment, or other funds	756,667	881,682		
30 Total net assets or fund balances (see instructions)	756,667	881,682		
31 Total liabilities and net assets/fund balances (see instructions) .	756,667	881,682		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	756,667
2 Enter amount from Part I, line 27a	2	125,015
3 Other increases not included in line 2 (itemize) ▶ _____	3	
4 Add lines 1, 2, and 3	4	881,682
5 Decreases not included in line 2 (itemize) ▶ _____	5	
6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 .	6	881,682

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1 a Publicly traded securities			
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 1,040,032		875,626	164,406
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a			164,406
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	2	164,406
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? Yes No
 If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2016	45,302	880,547	0 05145
2015	45,410	929,741	0 04884
2014	49,860	965,499	0 05164
2013	40,310	922,683	0 04369
2012	50,308	898,944	0 05596

2 Total of line 1, column (d)	2	0 251583
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 050317
4 Enter the net value of noncharitable-use assets for 2017 from Part X, line 5	4	952,755
5 Multiply line 4 by line 3	5	47,940
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	1,661
7 Add lines 5 and 6	7	49,601
8 Enter qualifying distributions from Part XII, line 4	8	40,700

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b	1	3,321
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	
3	Add lines 1 and 2.	3	3,321
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4	
5	Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0-	5	3,321
6	Credits/Payments		
a	2017 estimated tax payments and 2016 overpayment credited to 2017	6a	
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments Add lines 6a through 6d.	7	350
8	Enter any penalty for underpayment of estimated tax Check here <input checked="" type="checkbox"/> if Form 2220 is attached	8	11
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed ▶	9	2,982
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid ▶	10	
11	Enter the amount of line 10 to be Credited to 2018 estimated tax ▶ Refunded ▶	11	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a	No
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>	1b	No
c Did the foundation file Form 1120-POL for this year?	1c	No
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ▶ \$ _____ (2) On foundation managers ▶ \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities</i>	2	No
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>	3	No
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a	No
b If "Yes," has it filed a tax return on Form 990-T for this year?	4b	No
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T</i>	5	No
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col (c), and Part XV</i>	7	Yes
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ TX _____		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation</i> .	8b	Yes
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2017 or the taxable year beginning in 2017 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>	9	No
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>	10	No

Part VII-A Statements Regarding Activities (continued)

Table with 3 columns: Question, Yes, No. Rows 11-14 regarding controlled entities, donor advised funds, public inspection requirements, and books in care.

Located at PO Box 27325 Houston TX ZIP+4 77027

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year.

Table with 3 columns: Question, Yes, No. Row 16 regarding interest in foreign countries.

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

Main table with 3 columns: Question, Yes, No. Rows 1a-4b regarding Form 4720 exceptions and requirements.

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (Continued)

<p>5a During the year did the foundation pay or incur any amount to</p>			
<p>(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?</p>	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
<p>(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?</p>	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
<p>(3) Provide a grant to an individual for travel, study, or other similar purposes?</p>	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
<p>(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions).</p>	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
<p>(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?</p>	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
<p>b If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?</p>		5b	No
<p>Organizations relying on a current notice regarding disaster assistance check here. ▶</p>	<input type="checkbox"/>		
<p>c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?</p> <p><i>If "Yes," attach the statement required by Regulations section 53.4945–5(d)</i></p>	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
<p>6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?</p>	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
<p>b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?</p> <p><i>If "Yes" to 6b, file Form 8870</i></p>		6b	No
<p>7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?</p>	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
<p>b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?</p>		7b	No

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Additional Data Table				

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	Title, and average hours per week (b) devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000. ▶

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services. ▶

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc

1	Expenses

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

1	Amount
All other program-related investments See instructions	

Total. Add lines 1 through 3 ▶

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities.	1a	935,548
b	Average of monthly cash balances.	1b	31,716
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	967,264
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	
3	Subtract line 2 from line 1d.	3	967,264
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	14,509
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4.	5	952,755
6	Minimum investment return. Enter 5% of line 5.	6	47,638

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	47,638
2a	Tax on investment income for 2017 from Part VI, line 5.	2a	3,321
b	Income tax for 2017 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	3,321
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	44,317
4	Recoveries of amounts treated as qualifying distributions.	4	
5	Add lines 3 and 4.	5	44,317
6	Deduction from distributable amount (see instructions).	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	44,317

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	40,700
b	Program-related investments—total from Part IX-B.	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4.	4	40,700
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions).	5	
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	40,700

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2016	(c) 2016	(d) 2017
1 Distributable amount for 2017 from Part XI, line 7				44,317
2 Undistributed income, if any, as of the end of 2017				
a Enter amount for 2016 only.			40,675	
b Total for prior years 20___, 20___, 20___				
3 Excess distributions carryover, if any, to 2017				
a From 2012.				
b From 2013.				
c From 2014.				
d From 2015.				
e From 2016.				
f Total of lines 3a through e.				
4 Qualifying distributions for 2017 from Part XII, line 4 ▶ \$ <u>40,700</u>				
a Applied to 2016, but not more than line 2a			40,675	
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2017 distributable amount.				25
e Remaining amount distributed out of corpus				
5 Excess distributions carryover applied to 2017 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b Taxable amount—see instructions				
e Undistributed income for 2016 Subtract line 4a from line 2a Taxable amount—see instructions				
f Undistributed income for 2017 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2018				44,292
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).				
8 Excess distributions carryover from 2012 not applied on line 5 or line 7 (see instructions).				
9 Excess distributions carryover to 2018. Subtract lines 7 and 8 from line 6a				
10 Analysis of line 9				
a Excess from 2013.				
b Excess from 2014.				
c Excess from 2015.				
d Excess from 2016.				
e Excess from 2017.				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2017, enter the date of the ruling. ▶

b Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

	Tax year	Prior 3 years			(e) Total
	(a) 2017	(b) 2016	(c) 2015	(d) 2014	
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					

3 Complete 3a, b, or c for the alternative test relied upon

a "Assets" alternative test—enter

(1) Value of all assets

(2) Value of assets qualifying under section 4942(j)(3)(B)(i)

b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . .

c "Support" alternative test—enter

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).

(3) Largest amount of support from an exempt organization

(4) Gross investment income

Part XV Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or email address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i> AIDS Foundation Houston 6260 Westpark Dr 100 Houston, TX 77057	N/A	PC	General support	10,000
Homeless Gay Kids - Houston 1105 Colquitt St Houston, TX 77006	N/A	PC	General support	10,000
The LGBT Community Center of the De 1301 N Palm Canyon Dr Ste 301 Palm Springs, CA 92262	N/A	PC	General support	10,000
Out for Education PO Box 667010 Houston, TX 77266	N/A	PC	General support	10,000
Total			▶ 3a	40,000
b <i>Approved for future payment</i>				
Total			▶ 3b	

Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation

(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
Scott Holman III PO Box 27325 Houston, TX 77027	President/Dir 1 00	0		
William Lee PO Box 27325 Houston, TX 77027	Vice Pres /Dir 1 00	0		
Roy Christian Lewis PO Box 27325 Houston, TX 77027	Sec/Treas/Dir 1 00	0		
Shannon R Schrader MD PO Box 27325 Houston, TX 77027	Director 1 00	0		
Vernon W Frost Jr PO Box 27325 Houston, TX 77027	Director 1 00	0		

TY 2017 Accounting Fees Schedule**Name:** Frost Foundation**EIN:** 76-0587800**Software ID:** 17005038**Software Version:** 2017v2.2**Accounting Fees Schedule**

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Tax compliance	1,200	500	0	700

TY 2017 Investments Corporate Stock Schedule**Name:** Frost Foundation**EIN:** 76-0587800**Software ID:** 17005038**Software Version:** 2017v2.2

Name of Stock	End of Year Book Value	End of Year Fair Market Value
Corporate stocks- see attachment	843,968	989,576

TY 2017 Other Income Schedule**Name:** Frost Foundation**EIN:** 76-0587800**Software ID:** 17005038**Software Version:** 2017v2.2**Other Income Schedule**

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
Federal tax refund	174		

TY 2017 Other Professional Fees Schedule**Name:** Frost Foundation**EIN:** 76-0587800**Software ID:** 17005038**Software Version:** 2017v2.2

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Investment management fees	9,855	9,855	0	0

TY 2017 Taxes Schedule**Name:** Frost Foundation**EIN:** 76-0587800**Software ID:** 17005038**Software Version:** 2017v2.2

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Excise tax	523			
Foreign tax	491	491		

Table 1

Symbol	Date	Code	Quantity	Price	Value	Yield	ST	Other
ABT	02 Mar 2017	N	22	57.07	1,255.54	45.64	1,004.05	251.49
SHS CL A	02 Mar 2017	N	18	153.09	2,755.62	124.12	2,234.09	521.53
ADBE	02 Mar 2017	N	13	175.24	2,278.12	119.83	1,557.78	720.34
EST YIELD 0.89%			36	66.97	2,410.92	54.36	1,956.89	454.03

Part III Other Reportable Investments (continued)



Symbol	Date Acquired	Disposal Date	Quantity	Cost Basis	Adjusted Cost Basis	Estimated Fair Market Value	Estimated Yield	ST	LT
Symbol A	02 Mar 2017	N	16	1,071.52	51.61	825.76		245.76	ST
	15 May 2017	N	20	1,339.40	56.56	1,131.13		208.27	ST
COMPANY EST YIELD 0.80%	02 Mar 2017	N	20	79.56	73.94	1,478.72		112.48	ST
Symbol ALLE									--
EST YIELD 1.71%	02 Mar 2017	N	18	163.58	246.21	4,431.74		(1,487.30)	ST
Symbol AGN									50.40
CLASS A COMMON STOCK	02 Mar 2017	N	3	1,053.4	851.37	2,554.11		606.09	ST
Symbol GOOGL									--
CLASS C CAPITAL STOCK	02 Mar 2017	N	7	1,046.4	831.72	5,822.02		1,502.78	ST
Symbol GOOG									--
Symbol AMZN	02 Mar 2017	N	6	1,169.47	849.16	5,094.97		1,921.85	ST
Symbol AMZN									--
GROUP INC EST YIELD 2.15%	02 Mar 2017	N	33	59.58	64.28	2,121.20		(155.06)	ST
Symbol AIG									42.24
EST YIELD 0.37%	02 Mar 2017	N	20	53.64	64.2	1,284.00		(211.20)	ST
Symbol APC									4.00
EST YIELD 2.02%	02 Mar 2017	N	14	89.03	83.5	1,168.95		77.47	ST
Symbol ADI									25.20
EST YIELD 1.49%	02 Mar 2017	N	65	169.23	139.07	9,039.52		1,960.43	ST
Symbol AAPL									163.80

Part III Other Assets and Liabilities (continued)

Asset Description	Date	Type	Quantity	Unit Cost	Market Value	Yield	Dividend	Capital Gain	ST	LT
Common Stock - SCHWAB	02 Mar 2017	N	36	51.37	42.29	1,522.44	326.88		ST	--
EST YIELD 0.62%										11.52
Symbol SCHW										
Common Stock - CHTR	02 Mar 2017	N	8	335.96	323.38	2,587.03	100.65		ST	--
INC NEW CL A										--
Symbol CHTR										
Common Stock - CB	02 Mar 2017	N	25	146.13	139	3,474.99	178.26		ST	--
COM EST YIELD 1.94%										71.00
Symbol CB										
Common Stock - CI	23 Aug 2017	N	6	203.09	179.58	1,077.47	141.07		ST	--
EST YIELD 0.02%										0.24
Symbol CI										
Common Stock - C	02 Mar 2017	N	67	74.41	60.98	4,085.82	899.65			--
COM EST YIELD 1.72%										85.76
Symbol C										
	02 Mar 2017	N	38		2,827.58	61.08	506.54		ST	
	02 May 2017	N	11		818.51	59.67	162.11		ST	
	15 May 2017	N	18		1,339.38	61.58	231.00		ST	
Common Stock - CMS	02 Mar 2017	N	30	47.3	44.62	1,338.56	80.44		ST	--
EST YIELD 2.81%										39.90
Symbol CMS										
Common Stock - CMCSA	02 Mar 2017	N	48	40.05	37.51	1,800.43	121.97		ST	--
CL A EST YIELD 1.57%										30.24
Symbol CMCSA										
Common Stock - CXO			13	150.22	133.14	1,730.78	222.08			--
Symbol CXO										--
	02 Mar 2017	N	7		1,051.54	132.38	124.85		ST	
	15 May 2017	N	6		901.32	134.02	97.23		ST	
ORDINARY SHARES	05 Dec 2017	N	3	52.47	N/A	Provide	N/A			--
Symbol DLPH										--

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COM EST YIELD 2 18%			28	56	54 42	1,523 83	44 17		--
Symbol DAL	13 Dec 2017	N	15		840 00	53 61	804 16	35 84	ST 34 16
	14 Dec 2017	N	13		728 00	55 36	719 67	8 33	ST
COM			11	126 25	103 74	1,141 18	247 57	ST	--
Symbol FANG	15 May 2017	N							--
CL A	02 Mar 2017	N	24	47 75	60 94	1,462 49	(316 49)	ST	--
Symbol DISH									--
DLTR	27 Oct 2017	N	9		965 79	92 59	833 28	132 51	ST --
	30 Oct 2017	N	8		858 48	91 4	731 18	127 30	ST --
COMMON STOCK EST YIELD 2 13%			65	71 22	63 15	4,104 63	524 67		-- 98 80
Symbol DWDP	02 Mar 2017	N	23		1 638 06	55 58	1 278 34	359 72	ST
	02 Mar 2017	N	28		1 994 16	63 52	1 778 56	215 60	ST
	09 Mar 2017	N	8		569 76	70 03	560 24	9 52	ST
	17 Mar 2017	N	3		213 66	81 03	243 09	(29 43)	ST
	20 Mar 2017	N	3		213 66	81 47	244 40	(30 74)	ST
EST YIELD 2 42%	02 Mar 2017	N	20	92 64	80 38	1,607 68	245 12	ST	-- 44 80
Symbol EMN									
EST YIELD 2 66%	02 Mar 2017	N	31	84 46	83 54	2,589 69	28 57	ST	-- 69 75
Symbol LLY									
EST YIELD 0 62%	02 Mar 2017	N	40	107 91	98 99	3,959 55	356 85	ST	-- 26 80
Symbol EOG									

Table 1. Other investments (continued)

Symbol	Acquired	Disposed	Shares	Cost	Current Value	Yield	Dividend	Capital Gain	Other	Net Income
Symbol EQT	02 Mar 2017	N	19	56 92	61 04	1,159 67	(78 19)	ST	--	2 28
EST YIELD 0 21%										
Symbol FB	02 Mar 2017	N	27	176 46	137 82	3,721 13	1,043 29	ST	--	--
EST YIELD 1 65%										
Symbol FIS	02 Mar 2017	N	25	94 09	82 34	2,058 49	293 76	ST	--	29 00
EST YIELD 1 23%										
Symbol GD	02 Mar 2017	N	11	203 45	191 54	2,106 93	131 02	ST	--	36 96
EST YIELD 1 65%										
Symbol GILD	02 Mar 2017	N	13	71 64	70 63	918 19	13 13	ST	--	27 04
EST YIELD 2 90%										
Symbol GS	02 Mar 2017	N	8	254 76	252 28	2,018 27	19 81	ST	--	24 00
EST YIELD 1 18%										
Symbol HIG	02 Mar 2017	N	26	56 28	49 12	1,277 12	186 16	ST	--	26 00
EST YIELD 1 78%										
Symbol HD	02 Mar 2017	N	23	189 53	147 8	3,399 34	959 85	ST	--	81 88
EST YIELD 1 88%										
Symbol HON	02 Mar 2017	N	37	153 36	126 23	4,670 51	1,003 81	ST	--	110 26
EST YIELD 1 94%										

Part III Other income

Other income

Symbol IR	02 Mar 2017	N	24	89 19	85 35	2,048 50	92 06		--
EST YIELD 2 02%									43 20
Symbol IR	15 May 2017	N	9		802 71	80 25	722 24	80 47	ST
			15		1 337 85	88 42	1 326 26	11 59	ST
Symbol ICE	02 Mar 2017	N	15	70 56	58 53	877 95	180 45	ST	--
INC EST YIELD 1 13%									12 00
Symbol IBM	22 Nov 2017	N	16	153 42	153 2	2,451 19	3 53		--
MACHINES CORP EST YIELD									96 00
3 91%	05 Dec 2017	N	9		1 380 78	151 69	1 365 24	15 54	ST
Symbol IBM			7		1 073 94	155 14	1 085 95	(12 01)	ST
Symbol KEY	16 Mar 2017	N	81	20 17	18 54	1,501 35	132 42	ST	--
EST YIELD 2 08%									34 02
Symbol KHC	02 Mar 2017	N	19	77 76	91 02	1,729 36	(251 92)	ST	--
COMMON STOCK EST YIELD									47 50
3 22%									
Symbol LOW	02 Mar 2017	N	35	92 94	80 47	2,816 45	436 45	ST	--
EST YIELD 1 76%									57 40
Symbol MLM	02 Mar 2017	N	7	221 04	219 06	1,533 43	13 85	ST	--
MATERIALS INC EST YIELD									12 32
0 80%									
Symbol MAS	02 Mar 2017	N	50	43 94	34 17	1,708 44	488 56	ST	--
EST YIELD 0 96%									21 00
Symbol MA	02 Mar 2017	N	33	151 36	111 57	3,681 81	1,313 07	ST	--
EST YIELD 0 66%									33 00

Part III Other Income (continued)

Symbol	Date	Code	Dividend	Capital Gain	Other Income	Yield	ST	Other
COM EST YIELD 2.73%	02 Mar 2017	N	59	25 67	24 15	1,424 75	89 78	--
Symbol NI								41 30
EST YIELD 1.68%			10	144 9	125 31	1,253 07	195 93	--
Symbol NSC								24 40
	02 Mar 2017	N	6		869 40	122 51	735 06	
	21 Nov 2017	N	1		144 90	129 15	129 15	15 75
	22 Nov 2017	N	2		289 80	129 3	258 59	31 21
	24 Nov 2017	N	1		144 90	130 27	130 27	14 63
EST YIELD 1.30%			10	306 91	269 86	2,696 60	370 50	--
Symbol NOC								40 00
	02 Mar 2017	N	4		1 227 64	243 49	973 96	253 68
	22 Sep 2017	N	3		920 73	281 44	844 32	76 41
	10 Oct 2017	N	2		613 82	293 35	586 69	27 13
	11 Oct 2017	N	1		306 91	293 63	293 63	13 28
EST YIELD 0.31%	04 Oct 2017	N	7	193 5	180 11	1,260 75	93 75	--
Symbol NVDA								4 20
Symbol ORLY			9	240 54	262 47	2,362 23	(197 37)	--
	02 Mar 2017	N	4		962 16	271 53	1 086 12	(123 96)
	09 May 2017	N	5		1 202 70	255 22	1 276 11	(73 41)
EST YIELD 4.18%	02 Mar 2017	N	57	73 66	65 46	3,731 21	467 41	--
Symbol OXY								175 56
COMMON STOCK EST YIELD 2.69%	02 Mar 2017	N	25	119 92	110 19	2,754 75	243 25	--
Symbol PEP								80 50
EST YIELD 3.75%	02 Mar 2017	N	115	36 22	34 7	3,990 31	174 99	--
Symbol PFE								156 40

Part III Other reportable transactions

Symbol	Description	Date	Yield	Quantity	Cost	Income	Gain/Loss	ST	Other
INTERNATIONAL INC EST				28	105 65	113 95	3,190 49	(232 29)	--
YIELD 4 05%	24 Apr 2017	N	14	1 479 10	112 35	1 572 90	(93 80)	ST	119 84
Symbol PM	18 May 2017	N	1	105 65	113 65	113 65	(8 00)	ST	
	24 May 2017	N	4	422 60	116 7	466 79	(44 19)	ST	
	03 Aug 2017	N	9	950 85	115 24	1 037 15	(86 30)	ST	
COMPANY EST YIELD 0 05%	02 Mar 2017	N	16	172 85	191 82	3,069 10	(303 50)	ST	--
Symbol PXD									1 28
INC EST YIELD 1 49%	02 Mar 2017	N	21	169 69	128 46	2,697 62	865 87	ST	--
Symbol SWK									52 92
Symbol SIVB	02 Mar 2017	N	8	233 77	193 01	1,544 11	326 05	ST	--
INCORPORATED EST YIELD 2 37%	02 Mar 2017	N	32	104 44	78 15	2,500 77	841 31	ST	--
Symbol TXN									79 36
EST YIELD 1 63%	02 Mar 2017	N	18	76 46	79 43	1,429 72	(53 44)	ST	--
Symbol TJX									22 50
INC CLASS A EST YIELD 1 04%	02 Mar 2017	N	62	34 53	30 39	1,884 28	256 58		--
Symbol FOXA	21 Nov 2017	N	45	1 553 85	30 22	1 359 68	194 17	ST	22 32
			17	587 01	30 86	524 60	62 41	ST	
EST YIELD 1 98%	02 Mar 2017	N	21	134 1	108 27	2,273 75	542 35		--
Symbol UNP	18 May 2017	N	10	1 341 00	109 54	1,095 35	245 65	ST	55 86
			11	1 475 10	107 13	1,178 40	296 70	ST	
EST YIELD 2 19%			13	127 57	120 76	1,569 82	88 59		--
									36 40

Part III Other Assets and Liabilities

Symbol	Date	Type	Quantity	Value	Yield	Income	ST	Other
Symbol UTX	05 Dec 2017	N	8	1 020 56	120 52	964 14	56 42	ST
	06 Dec 2017	N	5	637 85	121 14	605 68	32 17	ST
EST YIELD 1 36%	02 Mar 2017	N	33	220 46	167 78	5,536 65	1,738 53	ST
Symbol UNH								--
CL A			13	73 55	71 75	932 73	23 42	--
Symbol VNTV	22 Sep 2017	N	3	220 65	72 01	216 04	4 61	ST
	25 Sep 2017	N	10	735 50	71 67	716 69	18 81	ST
INC	02 Mar 2017	N	10	149 86	90 94	909 42	589 18	ST
Symbol VRTX								--
CL A COMMON STOCK EST	02 Mar 2017	N	19	114 02	88 5	1,681 42	484 96	ST
YIELD 0 68%								14 82
Symbol V								--
INC COM EST YIELD 2 20%	02 Mar 2017	N	11	72 62	86 6	952 59	(153 77)	ST
Symbol WBA								17 60
EST YIELD 1 56%	02 Mar 2017	N	45	107 51	110 66	4,979 70	(141 75)	ST
Symbol DIS								--
COM EST YIELD 0 79%	16 Mar 2017	N	23	70 94	58 12	1,336 66	294 96	ST
Symbol WCN								12 88
EST YIELD 2 57%			94	60 67	57 95	5,446 93	256 05	--
Symbol WFC	02 Mar 2017	N	73	4 428 91	58 85	4,295 94	132 97	ST
	30 Jun 2017	N	11	667 37	55 36	608 96	58 41	ST
	21 Nov 2017	N	10	606 70	54 2	542 03	64 67	ST

Table 1



SHS CLASS EST YIELD 1.74%	09 Mar 2017	N	67	153.09	123.56	8,278.52	1,978.51	ST	--
Symbol ACN									178.22
SPONSORED ADR EST YIELD 0.80%	09 Mar 2017	N	50	99.82	94.5	4,724.75	266.25	ST	--
Symbol ADDYY									39.80
SPONSORED ADR EST YIELD 1.20%			351	34,235	28.41	9,971.34	2,045.15		--
Symbol AAGIY	09 Mar 2017	N	195		6,675.83	25.69	5,008.96	1,666.87	ST
	22 Jun 2017	N	78		2,670.33	29.76	2,321.33	349.00	ST
	29 Nov 2017	N	78		2,670.33	33.86	2,641.05	29.28	ST

Part III Other Income (continued)

Other Income	Date	Frequency	Yield	Dividend	Interest	Capital Gain	Other	ST	LT
ADR REPSTG SER A EST YIELD 1.28% Symbol ATLYK	11 Apr 2017 24 Apr 2017 22 Jun 2017 19 Jul 2017	N N N N	109 7 62 60	4,697.36 301.67 2,671.89 2,585.70	35.2 36.65 38.75 37.66	8,755.39	1,501.22	860.56 45.15 269.30 326.22	131.38
LTD USD ISSUE EST YIELD 0.96% Symbol CP	09 Mar 2017	N	55	182.76	148.12	8,146.33	1,905.47	ST	96.80
A S SPONSORED ADR EST YIELD 1.27% Symbol CHYHY	09 Mar 2017	N	167	47.2	29.38	4,906.62	2,975.78	ST	100.37
COM EST YIELD 1.94% Symbol CB	09 Mar 2017	N	71	146.13	137.47	9,760.12	615.11	ST	201.64
UNSPONSORED ADR EST YIELD 1.56% Symbol CLPBY	09 Mar 2017	N	485	7,895	7.09	3,437.00	392.08	ST	59.66
AMERICAN DEPOSITARY RECEIPTS SPONSORED EST YIELD 1.89% Symbol CMPGY	09 Mar 2017	N	412	21,955	19.31	7,956.91	1,088.55	ST	170.57
EST YIELD 2.01% Symbol CLB	09 Mar 2017	N	58	109.55	108.61	6,299.09	54.81	ST	127.60
SPONSORED ADR EST YIELD	09 Mar 2017	N	220	55.17	46.29	10,183.80	1,953.60	ST	130.90

Part III. Other information. (See instructions.)



0.08% Symbol CSLLY									
0.21% Symbol DSDVY	09 Mar 2017	N	251	39 47	24 54	6,159 02	3,747 95	ST	-- 20 75
0.96% Symbol ESLOY	09 Mar 2017	N	89	68 98	58 97	5,248 01	891 21	ST	-- 59 01
1.76% Symbol EXPGY	09 Mar 2017	N	463	21 92	19 73	9,133 14	1,015 82	ST	-- 178 26
1.14% Symbol RACE	01 Aug 2017	N	49	104 84	108 95	5,338 45	(201 29)	ST	-- 58 65
0.49% Symbol HDB	09 Mar 2017	N	91	101 67	71 11	6,470 64	2,781 33	ST	-- 44 95
0.54% Symbol HESAY	09 Mar 2017	N	110	53 5	44 88	4,936 72	948 28	ST	-- 31 79
Symbol ICLR	09 Mar 2017	N	54	112 15	80 78	4,362 12	1,693 98	ST	-- --
TEXTIL INDITEX SA UNSPONSORED ADR EST YIELD	09 Mar 2017	N	180	17 42	16 64	2,994 84	140 76	ST	-- 49 32

Part III Other Reportable Investments (Form 990-PF only) (Page 1 of 1)



Symbol	Yield	Acquired	Disposed	Current	Cost	Dividend	Capital Gain	ST	LT	
AMERICAN DEPOSITORY RECEIPTS SPONSORED EST YIELD 1.25% Symbol LUXTY	1.57%	09 Mar 2017	N	77	61 17	53 54	4,122 85	587 24	ST	-- 58 67
VUITTON SA UNSPONSORED ADR EST YIELD 1.16% Symbol LVMUY		09 Mar 2017	N	151	58 7	41 58	6,278 64	2,585 06	ST	-- 103 13
REPSTG REGD ORD (SF 10 PAR) EST YIELD 2.23% Symbol NSRGY		09 Mar 2017	N	111	85 97	74 12	8,226 99	1,315 68	ST	-- 212 79
ADR EST YIELD 0.64% Symbol NVZMY		09 Mar 2017	N	137	57 11	38 25	5,239 57	2,584 50	ST	-- 50 01
SPONSORED ADR EST YIELD 2.10% Symbol RBGLY		09 Mar 2017	N	508	19 01	18 03	9,157 72	499 36	ST	-- 202 69
SPONSORED ADR NEW Symbol RYAAY		05 Dec 2017	N	45	104 19	119 33	5,369 80	(681 25)	ST	-- --
UNSPONSORED ADR EST YIELD 0.51% Symbol SSMXY		09 Mar 2017	N	108	39 45	28 32	3,058 35	1,202 25	ST	-- 21 92

Symbol	Security Name	Date Acquired	Quantity	Cost Basis	Current Value	Yield	Dividend	Capital Gain	Other
CO LTD-SPONSORED ADR REPSTG 5 COM EST YIELD 2.32% Symbol TSM		09 Mar 2017	252	39.65	7,791.81		2,199.99	ST	-- 232.09
UNSPONSORED ADR REPRESENTING B SHARES EST YIELD 0.13% Symbol TCEHY		09 Mar 2017	175	51.92	4,724.30		4,361.70	ST	-- 12.06
DE C V SPONS ADR REPSTG SER V SHS EST YIELD 1.23% Symbol WMMVY		09 Mar 2017	243	24.42	4,834.39		1,099.67	ST	-- 73.14
CLASS A Symbol YNDX		09 Mar 2017	217	32.75	4,999.66		2,107.09	ST	-- --
<p>Symbol YNDX</p> <p>Symbol YNDX</p> <p>Symbol YNDX</p> <p>Symbol YNDX</p>									

北亞細亞



北亞細亞 EST YIELD 0.47% Symbol ATVI	13 Mar 2017	N	95	63.32	49.47	4,699.64	1,315.76	ST	-- 28.50
北亞細亞 EST YIELD 0.89% Symbol A	13 Mar 2017	N	67	66.97	52.21	3,497.99	989.00	ST	-- 39.93
北亞細亞 Symbol AKAM	13 Mar 2017	N	64	65.04	64.89	4,152.95	9.61	ST	-- --
北亞細亞 INC Symbol ATI	13 Mar 2017	N	151	24.14	17.43	2,631.72	1,013.42	ST	-- --

Part III Other Reportable Assets and Liabilities

Asset Name	Date Acquired	Disposal Date	Category	Quantity	Unit Cost	Market Value	Adjusted Basis	ST	LT
ABC EST YIELD 1.66% Symbol ABC	13 Mar 2017		N	42	91.82	88.81	3,730.02	126.42	--
ANSS Symbol ANSS	13 Mar 2017		N	31	147.59	106.82	3,311.42	1,263.87	--
ARW Symbol ARW	24 Aug 2017		N	44	80.41	76.3	3,357.10	180.94	--
ADSK Symbol ADSK	13 Mar 2017		N	54	104.83	86.52	4,671.99	988.83	--
BIO Symbol BIO	13 Mar 2017		N	14	238.67	196.64	2,752.89	588.49	--
COMMON STOCK Symbol BKI	13 Mar 2017		N	82	44.15	38.4	3,148.80	471.50	--
BWA Symbol BWA	13 Mar 2017		N	67	51.09	42.32	2,835.29	587.74	--
CUSIP 067383109	13 Mar 2017		N	16	332.55	249.15	3,986.40	1,334.40	--
CBT EST YIELD 2.05% Symbol CBT	13 Mar 2017		N	34	61.59	59.26	2,014.84	79.22	--
CL A Symbol CBG	13 Mar 2017		N	132	43.31	36.01	4,753.18	963.74	--
XEC EST YIELD 0.26% Symbol XEC	13 Mar 2017		N	22	122.01	119.5	2,629.00	55.22	--

Part III Other Assets

Section 170(e)(2)(B) Limit on Charitable Deduction

Asset Description	Date Acquired	Category	Quantity	Unit Cost	Unit Value	Market Value	ST	LT
Symbol CLR	13 Mar 2017	N	78	52.97	44.31	3,455.99	675.67	--
Symbol CSX	13 Mar 2017	N	91	55.01	48.22	4,388.01	617.90	--
Symbol CMI	13 Mar 2017	N	28	176.64	151.85	4,251.80	694.12	120.96
COMMON STOCK EST YIELD 0.98% Symbol DHI	13 Mar 2017	N	142	51.07	33.48	4,754.01	2,497.93	71.00
EST YIELD 2.62% Symbol DRI	13 Mar 2017	N	43	96.02	74.36	3,197.48	931.38	108.36
COM EST YIELD 0.53% Symbol XRAY	13 Mar 2017	N	53	65.83	63.16	3,347.47	141.52	18.55
EST YIELD 1.86% Symbol DOV	13 Mar 2017	N	42	100.99	76.76	3,223.92	1,017.66	78.96
EST YIELD 2.42% Symbol EMN	13 Mar 2017	N	49	92.64	77.55	3,799.94	739.42	109.76
EST YIELD 2.20% Symbol EV	13 Mar 2017	N	75	56.39	46.41	3,480.74	748.51	93.00
Symbol SATS	13 Mar 2017	N	50	59.9	53.99	2,699.49	295.51	--

Part III Other income (continued)

Symbol	Date	Type	Dividend	Capital Gain	Other Income	Net Income	ST	Other
GATX	13 Mar 2017	N	54	62 16	59 06	3,189 24	167 40	--
EST YIELD 2 70%								
Symbol GATX								
GD	13 Mar 2017	N	23	203 45	190 94	4,391 62	287 73	--
EST YIELD 1 65%								
Symbol GD								
GPN	13 Mar 2017	N	66	100 24	79 39	5,239 57	1,376 27	--
EST YIELD 0 04%								
Symbol GPN								
ICE	13 Mar 2017	N	96	70 56	60 59	5,816 63	957 13	--
INC EST YIELD 1 13%								
Symbol ICE								
INTU	13 Mar 2017	N	44	157 78	124 72	5,487 68	1,454 64	--
EST YIELD 0 99%								
Symbol INTU								
JBL	07 Apr 2017	N	129	26 25	28 81	3,717 13	(330 88)	--
COMMON STOCK EST YIELD 1 22%								
Symbol JBL								
KEY	13 Mar 2017	N	211	20 17	18 6	3,924 58	331 29	--
EST YIELD 2 08%								
Symbol KEY								
KEYS	13 Mar 2017	N	88	41 6	38 66	3,402 08	258 72	--
Symbol KEYS								
LH	13 Mar 2017	N	23	159 51	143 74	3,306 02	362 71	--
Symbol LH								

Part III Other Reportable Investments (continued) Schedule D (Form 990) 2017

Investment Name	Date Acquired	Category	Quantity	Unit Cost	Current Value	Yield	Dividend/Interest	Capital Gains	ST	LT
13 Mar 2017 N EST YIELD 0.96% Symbol MAS	13 Mar 2017	N	130	43.94	5,712.20	33.86	4,401.49	1,310.71	ST	--
13 Mar 2017 N Symbol MD	13 Mar 2017	N	38	53.44	2,030.72	70.48	2,678.24	(647.52)	ST	--
13 Mar 2017 N Symbol NFX	13 Mar 2017	N	76	31.53	2,396.28	34.31	2,607.41	(211.13)	ST	--
13 Mar 2017 N EST YIELD 2.09% Symbol PKG	13 Mar 2017	N	38	120.55	4,581.90	91.98	3,495.24	1,086.66	ST	95.76
13 Mar 2017 N EST YIELD 1.21% Symbol PGR	13 Mar 2017	N	87	56.32	4,900.00	39.6	3,445.19	1,454.85	ST	59.25
13 Mar 2017 N INC EST YIELD 1.12% Symbol RJF	13 Mar 2017	N	62	89.3	5,538.60	79.76	4,945.10	591.50	ST	62.00
13 Mar 2017 N YIELD 1.28% Symbol RGA	13 Mar 2017	N	33	155.93	5,149.70	127.91	4,221.03	924.66	ST	66.00
13 Mar 2017 N LTD EST YIELD 1.02% Symbol RNR	13 Mar 2017	N	23	125.59	2,893.87	148.86	3,423.78	(535.21)	ST	29.44
13 Mar 2017 N EST YIELD 2.04% Symbol RSG	13 Mar 2017	N	99	67.61	6,693.29	62.69	6,206.31	487.08	ST	136.62
13 Mar 2017 N EST YIELD 1.98% Symbol SMG	13 Mar 2017	N	35	106.99	3,744.15	91.62	3,206.70	537.95	ST	74.20

Part III Charitable contributions (Form 990) and (Form 990-E) (Schedule C-E)

Symbol	Date	Category	Quantity	Unit Cost	Market Price	Market Value	ST	Yield
SEE	13 Mar 2017	N	92	49.3	44.92	4,132.43	403.17	1.30%
SNA	13 Mar 2017	N	32	174.3	170.34	5,450.88	126.72	1.88%
SF	13 Mar 2017	N	61	59.56	53.87	3,286.03	347.13	0.67%
SYF	13 Mar 2017	N	123	38.61	36.09	4,439.07	309.96	1.55%
SNPS	13 Mar 2017	N	56	85.24	72.18	4,042.07	731.37	0.67%
TJX	13 Mar 2017	N	68	76.46	77.85	5,293.80	(94.52)	1.63%
TSS	13 Mar 2017	N	73	79.09	54.69	3,992.10	1,781.47	0.66%
WEC	13 Mar 2017	N	48	66.43	58.89	2,826.72	361.92	3.13%
WWD	13 Mar 2017	N	46	76.54	68.42	3,147.32	373.52	0.65%
XLNX	13 Mar 2017	N	70	67.42	59.77	4,183.90	535.50	2.08%

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Please note Important information regarding Alternative Assets including pricing and source of valuations is provided in the Messages section of Important Information This security is classified as indicated based on best efforts by J P Morgan for convenience and informational purposes only and J P Morgan does not warrant the accuracy of these classifications Please refer to investment documentation for a more accurate classification



北島 噴 噴 噴 噴 噴 噴	13 Mar 2017	N	54	142 67	噴 噴 噴 噴	113 88	6,149 52	1,554 66	ST	--
CORPORATION REIT EST YIELD										151 20
1 96%										
Symbol AMT										
北島 噴 噴 噴 噴 噴 噴	13 Mar 2017	N	20	130 03	噴 噴 噴 噴	130 84	2,616 80	(16 20)	ST	--
EST YIELD 2 46%										64 00
Symbol BXP										
北島 噴 噴 噴 噴 噴 噴					噴 噴 噴 噴		噴 噴 噴 噴	噴 噴 噴 噴		噴 噴 噴 噴

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EST YIELD 2.94%			16	96 71	□?□□ □□	95 79	1,532 59	14 77	--
Symbol ABBV	18 Oct 2017	N	8		773 68	94 49	755 95	17 73	45 44
	30 Nov 2017	N	8		773 68	97 08	776 64	(2 96)	ST
INC	08 Mar 2017	N	20	30 11	□ □ □ □ □ □	36 67	733 40	(131 20)	ST
Symbol ACAD									--
Symbol ADBE	08 Mar 2017	N	12	175 24	□ □ □ □ □ □	120 2	1,442 40	660 48	ST
									--

北五期 喉五期

五期 喉五期



北五期 喉五期	31 Mar 2017	N	3		314 49	86 63	259 88	54 61	ST	
	07 Apr 2017	N	3		314 49	84 01	252 03	62 46	ST	
	12 Apr 2017	N	4		419 32	85 7	342 79	76 53	ST	
	26 Jun 2017	N	3		314 49	106 41	319 22	(4 73)	ST	
北五期 喉五期			215	29 52	2 686 32	25 31	5,616 49	730 31		--
EST YIELD 1.63%					354 24	23 51				103 20
Symbol BAC	08 Mar 2017	N	91		2 686 32	25 31	2 302 90	383 42	ST	
	24 Apr 2017	N	12		354 24	23 51	282 12	72 12	ST	
	24 Jul 2017	N	14		413 28	23 93	335 01	78 27	ST	
	26 Jul 2017	N	13		383 76	24 37	316 81	66 95	ST	
	22 Sep 2017	N	22		649 44	24 99	549 78	99 66	ST	
	20 Oct 2017	N	1		29 52	27 16	27 16	2 36	ST	
	30 Nov 2017	N	15		442 80	28 65	429 74	13 06	ST	
	04 Dec 2017	N	24		708 48	29 18	700 31	8 17	ST	
	14 Dec 2017	N	12		354 24	28 98	347 72	6 52	ST	
	20 Dec 2017	N	11		324 72	29 54	324 94	(0 22)	ST	
北五期 喉五期			13	89 17	802 53	90 27	1,178 76	(19 55)		--
INC					356 68	91 58				--
Symbol BMRN	08 Mar 2017	N	9		802 53	90 27	812 43	(9 90)	ST	
	16 Mar 2017	N	4		356 68	91 58	366 33	(9 65)	ST	
北五期 喉五期	10 Oct 2017	N	2	513 71	463 93		927 85	99 57	ST	--
EST YIELD 1.95%										20 00
Symbol BLK										
北五期 喉五期	01 Dec 2017	N	2	294 91	273 35		546 70	43 12	ST	--
EST YIELD 2.32%										13 68
Symbol BA										
北五期 喉五期			47	24 79	223 11	24 5	1,266 90	(101 77)		--
Symbol BSX					322 27	27 32				--
	08 Mar 2017	N	9		223 11	24 5	220 46	2 65	ST	
	01 Jun 2017	N	13		322 27	27 32	355 13	(32 86)	ST	
	18 Aug 2017	N	16		396 64	26 89	430 22	(33 58)	ST	
	11 Sep 2017	N	9		223 11	29 01	261 09	(37 98)	ST	

Part III Other Income

Other Income

Symbol	Date	Code	Yield	Dividend	Capital Gain	Total	ST	Other
Symbol BMY								
			19	61.28	58.68	1,114.86	49.46	--
EST YIELD								30.40
08 Mar 2017	N		13		796.64	57.3	744.96	51.68 ST
29 Dec 2017	N		6		367.68	61.65	369.90	(2.22) ST
Symbol AVGO								
			28	256.9	224.4	6,283.15	910.05	--
SHS EST YIELD								196.00
08 Mar 2017	N		22		5,651.80	219.23	4,823.06	828.74 ST
05 May 2017	N		1		256.90	225.25	225.25	31.65 ST
22 May 2017	N		1		256.90	237.48	237.48	19.42 ST
26 Jun 2017	N		1		256.90	241.98	241.98	14.92 ST
09 Aug 2017	N		2		513.80	248.8	497.59	16.21 ST
23 Aug 2017	N		1		256.90	257.79	257.79	(0.89) ST
Symbol CAT								
			9	157.58	142.11	1,279.01	139.21	--
EST YIELD								28.08
01 Dec 2017	N		6		945.48	141.85	851.09	94.39 ST
04 Dec 2017	N		3		472.74	142.64	427.92	44.82 ST
Symbol CAVM								
			13	83.83	62.85	817.04	272.75	--
22 Jun 2017	N		2		167.66	66.83	133.66	34.00 ST
03 Aug 2017	N		11		922.13	62.13	683.38	238.75 ST
Symbol CBS								
			37	59	67.72	2,505.61	(322.61)	--
CLASS B EST YIELD								26.64
08 Mar 2017	N		22		1,298.00	68.09	1,497.98	(199.98) ST
13 Mar 2017	N		3		177.00	67.73	203.18	(26.18) ST
20 Mar 2017	N		4		236.00	67.06	268.24	(32.24) ST
12 Apr 2017	N		5		295.00	67.44	337.19	(42.19) ST
08 Aug 2017	N		3		177.00	66.34	199.02	(22.02) ST
Symbol CELG								
			21	104.36	123.53	2,594.13	(402.57)	--
08 Mar 2017	N		13		1,356.68	122.57	1,593.41	(236.73) ST
15 Mar 2017	N		2		208.72	125.4	250.79	(42.07) ST
05 Apr 2017	N		3		313.08	126.07	378.21	(65.13) ST
27 Apr 2017	N		3		313.08	123.91	371.72	(58.64) ST

Part III Dividends and Capital Gains (continued)

Symbol	Date	Code	Shares	Cost	Proceeds	Gain/Loss	ST	Other
INC NEW CL A								
Symbol	CHTR		2	335 96	373 56	747 12	(75 20)	--
	26 Apr 2017	N	1	335 96	347 57	347 57	(11 61)	ST
	09 Aug 2017	N	1	335 96	399 55	399 55	(63 59)	ST
EST YIELD 1.04%								
Symbol	CTAS		8	155 83	157 53	1,260 22	(13 58)	--
	01 Dec 2017	N	4	623 32	157 55	630 19	(6 87)	ST
	06 Dec 2017	N	3	467 49	157 1	471 30	(3 81)	ST
	19 Dec 2017	N	1	155 83	158 73	158 73	(2 90)	ST
COM EST YIELD 1.72%								
Symbol	C		19	74 41	73 79	1,402 01	11 78	--
	23 Oct 2017	N	14	1 041 74	73 83	1 033 62	8 12	ST
	27 Oct 2017	N	5	372 05	73 68	368 39	3 66	ST
SOLUTIONS CORP CL A EST YIELD 0.84%								
Symbol	CTSH		21	71 02	67 72	1,422 21	69 21	--
	16 Mar 2017	N	1	71 02	59 65	59 65	11 37	ST
	19 May 2017	N	9	639 18	65 36	588 21	50 97	ST
	04 Aug 2017	N	5	355 10	70 86	354 32	0 78	ST
	05 Sep 2017	N	6	426 12	70 01	420 03	6 09	ST
CL A EST YIELD 1.57%								
Symbol	CMCSA		79	40 05	37 49	2,961 43	202 52	--
	08 Mar 2017	N	66	2 643 30	37 17	2 453 19	190 11	ST
	04 Apr 2017	N	5	200 25	37 76	188 80	11 45	ST
	05 Dec 2017	N	8	320 40	39 93	319 44	0 96	ST
EST YIELD 0.60%								
Symbol	DHR		33	92 82	87 9	2,900 60	162 46	--
	08 Mar 2017	N	19	1 763 58	86 65	1 646 35	117 23	ST
	07 Jul 2017	N	3	278 46	83 09	249 27	29 19	ST
	19 Oct 2017	N	6	556 92	90 97	545 84	11 08	ST
	27 Oct 2017	N	5	464 10	91 83	459 14	4 96	ST
COMMON STOCK EST YIELD 2.13%								
	15 May 2017	N	22	71 22	66 8	1,469 52	97 32	--
			13	925 86	61 21	795 79	130 07	ST

Part III Charitable Deductions (Form 990) Section 170(e)(2)(B) Limitation



Symbol	Date	Category	Quantity	Value	Adjusted Value	Adjusted Value	ST	ST	ST
Symbol DWDP	25 May 2017	N	4	284 88	77 75	310 98	(26 10)	ST	
	04 Dec 2017	N	5	356 10	72 55	362 75	(6 65)	ST	
Symbol EA			12	105 06	93 54	1,122 50	138 22		--
	08 Mar 2017	N	9	945 54	89 1	801 90	143 64	ST	--
	30 Jun 2017	N	3	315 18	106 87	320 60	(5 42)	ST	
Symbol EXAS	16 Jun 2017	N	15	52 54	32 49	487 35	300 75	ST	--
CL A			74	176 46	139 11	10,293 92	2,764 12		--
Symbol FB	08 Mar 2017	N	71	12,528 66	137 63	9 771 61	2 757 05	ST	--
	28 Aug 2017	N	1	176 46	166 99	166 99	9 47	ST	
	27 Dec 2017	N	2	352 92	177 66	355 32	(2 40)	ST	
EST YIELD 0.80%			6	249 54	234 69	1,408 13	89 11		--
Symbol FDY	30 Nov 2017	N	3	748 62	232 82	698 47	50 15	ST	12 00
	05 Dec 2017	N	3	748 62	236 55	709 66	38 96	ST	
EST YIELD 1.65%			6	203 45	201 68	1,210 08	10 62		--
Symbol GD	17 Apr 2017	N	2	406 90	187 9	375 79	31 11	ST	20 16
	25 Jul 2017	N	2	406 90	203 91	407 82	(0 92)	ST	
	10 Oct 2017	N	2	406 90	213 24	426 47	(19 57)	ST	
EST YIELD 1.47%			37	48 87	48 94	1,810 62	(2 43)		--
Symbol HAL	08 Mar 2017	N	17	830 79	51 55	876 35	(45 56)	ST	26 64
	13 Mar 2017	N	5	244 35	51 08	255 40	(11 05)	ST	
	22 May 2017	N	6	293 22	47 15	282 89	10 33	ST	
	05 Dec 2017	N	9	439 83	44	395 98	43 85	ST	
COM	08 Mar 2017	N	14	40 03	42 95	601 30	(40 88)	ST	--
Symbol HDS									--

Part III. Other income (continued)

Symbol	Yield	Shares	Acquired	Disposed	Cost	Proceeds	Capital Gain	Other	Net
Symbol HD	1.88%	30	189.53	2	151.57	4,547.08	1,138.82		--
									106.80
		08 Mar 2017	N	20	3,790.60	146.88	2,937.60	853.00	ST
		25 Apr 2017	N	2	379.06	153.77	307.53	71.53	ST
		04 May 2017	N	2	379.06	154.83	309.65	69.41	ST
		23 Oct 2017	N	3	568.59	165.27	495.80	72.79	ST
		30 Oct 2017	N	3	568.59	165.5	496.50	72.09	ST
Symbol HON	1.94%	41	153.36		126.7	5,194.50	1,093.26	ST	--
									122.18
Symbol ILMN		6	218.49		180.74	1,084.42	226.52		--
									--
		28 Apr 2017	N	4	873.96	184.87	739.46	134.50	ST
		25 May 2017	N	2	436.98	172.48	344.96	92.02	ST
Symbol ICE	1.13%	51	70.56		59.55	3,037.08	561.48		--
									40.80
		08 Mar 2017	N	44	3,104.64	59.24	2,606.34	498.30	ST
		06 Jun 2017	N	7	493.92	61.53	430.74	63.18	ST
Symbol IP	3.28%	21	57.94		56.98	1,196.57	20.17		--
									39.90
		04 Dec 2017	N	9	521.46	57.1	513.89	7.57	ST
		07 Dec 2017	N	12	695.28	56.89	682.68	12.60	ST
Symbol ISRG		2	364.94		385.92	771.84	(41.96)	ST	--
									--
Symbol JPM	2.09%	18	106.94		96.53	1,737.56	187.36		--
									40.32
		25 Apr 2017	N	3	320.82	88.59	265.77	55.05	ST
		26 Apr 2017	N	7	748.58	88.47	619.29	129.29	ST
		30 Nov 2017	N	5	534.70	106.26	531.29	3.41	ST
		04 Dec 2017	N	3	320.82	107.07	321.21	(0.39)	ST

Part III Other Reportable Transactions (continued)

Section 1256 Contract Marketable Securities	Number of Contracts	Yield	Cost Basis	Adjusted Cost Basis	Unrealized Gain/Loss	ST	LT	
INTERNATIONAL INC EST	14	105.65%	111.78	1,564.97	(85.87)	--	--	
YIELD 4.05%	8		845.20	109.56	876.48	(31.28)	ST	
Symbol PM	10		422.60	110.94	443.75	(21.15)	ST	
	07 Jun 2017	N	2	211.30	122.37	244.74	(33.44)	ST
COMPANY EST YIELD 0.05%	14	172.85%	168.82	2,363.48	56.42	--	1.12	
Symbol PXD	08 Mar 2017	N	4	691.40	187.64	750.56	(59.16)	ST
	11 May 2017	N	3	518.55	171.4	514.20	4.35	ST
	15 May 2017	N	2	345.70	174.71	349.41	(3.71)	ST
	25 May 2017	N	2	345.70	169.12	338.23	7.47	ST
	09 Aug 2017	N	3	518.55	137.03	411.08	107.47	ST
EST YIELD 1.21%	9	56.32%	55.68	501.12	5.76	ST	--	
Symbol PGR	21 Dec 2017	N	9	56.32	55.68	501.12	5.76	ST
EST YIELD 0.11%	11	137.21%	91.25	1,003.75	505.56	ST	--	
Symbol PVH	08 Mar 2017	N	11	137.21	91.25	1,003.75	505.56	ST
RHT	10	120.1%	106.26	1,062.55	138.45	--	--	
Symbol RHT	08 Mar 2017	N	3	360.30	82.25	246.75	113.55	ST
	26 Sep 2017	N	3	360.30	110.03	330.09	30.21	ST
	24 Oct 2017	N	4	480.40	121.43	485.71	(5.31)	ST
COM EST YIELD 0.97%	9	169.4%	139.92	1,259.29	265.31	--	14.76	
Symbol SPGI	08 Mar 2017	N	2	338.80	130.65	261.30	77.50	ST
	07 Apr 2017	N	3	508.20	130.17	390.51	117.69	ST
	26 Apr 2017	N	2	338.80	135.06	270.11	68.69	ST
	05 Dec 2017	N	2	338.80	168.69	337.37	1.43	ST
CRM	50	102.23%	84.82	4,240.93	870.57	--	--	
Symbol CRM	08 Mar 2017	N	40	4,089.20	83.47	3,338.70	750.50	ST
	27 Apr 2017	N	5	511.15	85.78	428.90	82.25	ST

Part III Charitable Deductions (continued)

Symbol	Date	Code	Quantity	Value	Adjusted Value	Adjusted Value	Adjusted Value	Adjusted Value	Adjusted Value	
Charitable Deductions (continued)										
Symbol SRPT										
	23 Aug 2017	N	2	204 46	93 27		186 53		17 93	ST
	05 Sep 2017	N	3	306 69	95 6		286 80		19 89	ST
Symbol NOW										
	06 Sep 2017	N	14	778 96	47 29		662 05		116 91	ST
	09 Nov 2017	N	7	389 48	51 41		359 90		29 58	ST
Symbol SHW										
	13 Jul 2017	N	1	410 04	356 54		356 54		53 50	ST
	19 Jul 2017	N	1	410 04	358 9		358 90		51 14	ST
	21 Jul 2017	N	1	410 04	351 25		351 25		58 79	ST
	10 Aug 2017	N	1	410 04	333 95		333 95		76 09	ST
	13 Sep 2017	N	1	410 04	347 29		347 29		62 75	ST
	02 Oct 2017	N	1	410 04	358 04		358 04		52 00	ST
	03 Oct 2017	N	1	410 04	370 52		370 52		39 52	ST
	23 Oct 2017	N	1	410 04	389 71		389 71		20 33	ST
	04 Dec 2017	N	1	410 04	409 78		409 78		0 26	ST
Symbol SWK										
	03 Apr 2017	N	2	339 38	132 28		264 55		74 83	ST
	11 Apr 2017	N	3	509 07	132 77		398 31		110 76	ST
	27 Apr 2017	N	2	339 38	136 49		272 98		66 40	ST
	25 Jul 2017	N	3	509 07	142 21		426 62		82 45	ST
	03 Aug 2017	N	3	509 07	140 55		421 65		87 42	ST
	11 Aug 2017	N	2	339 38	139 24		278 47		60 91	ST
	31 Aug 2017	N	1	169 69	142 53		142 53		27 16	ST
	07 Sep 2017	N	3	509 07	143 09		429 27		79 80	ST
	13 Nov 2017	N	3	509 07	163 59		490 78		18 29	ST

Part III Other Income (continued)

Symbol	Date	Frequency	Yield	Dividend	Interest	Capital Gain	Other	Total	ST
Symbol TMUS									
			15	63 51	62 59		938 88	13 77	--
	08 Mar 2017	N	9		571 59	61 7	555 30	16 29	ST
	20 Sep 2017	N	6		381 06	63 93	383 58	(2 52)	ST
Symbol TMO									
			0	189 88					--
Symbol UNP									
			19	134 1	115 6		2,196 33	351 57	--
	08 Mar 2017	N	8		1 072 80	107 09	856 68	216 12	ST
	23 Oct 2017	N	3		402 30	113 42	340 26	62 04	ST
	30 Oct 2017	N	3		402 30	117 18	351 53	50 77	ST
	30 Nov 2017	N	3		402 30	126 89	380 67	21 63	ST
	21 Dec 2017	N	2		268 20	133 6	267 19	1 01	ST
Symbol URI									
			8	171 91	136 26		1,090 06	285 22	--
	26 Sep 2017	N	6		1 031 46	133 61	801 66	229 80	ST
	24 Oct 2017	N	2		343 82	144 2	288 40	55 42	ST
Symbol UNH									
			34	220 46	169 49		5,762 61	1,733 03	--
	08 Mar 2017	N	31		6 834 26	168 07	5 210 17	1 624 09	ST
	17 May 2017	N	2		440 92	169 13	338 25	102 67	ST
	28 Nov 2017	N	1		220 46	214 19	214 19	6 27	ST
Symbol MTN									
			4	212 47	224 48		897 93	(48 05)	--
	02 Oct 2017	N	3		637 41	223 02	669 06	(31 65)	ST
	23 Oct 2017	N	1		212 47	228 87	228 87	(16 40)	ST
Symbol VRTX									
			27	149 86	113 88		3,074 70	971 52	--
	08 Mar 2017	N	16		2 397 76	92 33	1 477 28	920 48	ST
	15 Jun 2017	N	2		299 72	123 61	247 22	52 50	ST
	25 Aug 2017	N	4		599 44	152 99	611 97	(12 53)	ST

