

R&E
859

BAUMFDN

Form 990-PF

Department of the Treasury
Internal Revenue ServiceReturn of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation

2949123000501 8

OMB No 1545-0052

03 90509

2017

Open to Public Inspection

For calendar year 2017 or tax year beginning

, and ending

Name of foundation

NADINE L. BAUM CHARITABLE FOUNDATION

Number and street (or P O box number if mail is not delivered to street address)

P. O. BOX 1327

City or town, state or province, country, and ZIP or foreign postal code

FAYETTEVILLE AR 72702-1327

G Check all that apply

 Initial return Initial return of a former public charity Final return Amended return Address change Name changeH Check type of organization: Section 501(c)(3) exempt private foundation Section 4947(a)(1) nonexempt charitable trust Other taxable private foundationI Fair market value of all assets at
end of year (from Part II, col. (c),
line 16) ► \$ 917,102J Accounting method: Cash Accrual
 Other (specify)

(Part I, column (d) must be on cash basis.)

A Employer identification number

71-6156274

B Telephone number (see instructions)

479-575-1124

C If exemption application is pending, check here ► D 1. Foreign organizations, check here ► 2 Foreign organizations meeting the
85% test, check here and attach computation ► E If private foundation status was terminated under
section 507(b)(1)(A), check here ► F If the foundation is in a 60-month termination
under section 507(b)(1)(B), check here ► Part I Analysis of Revenue and Expenses (The total of
amounts in columns (b), (c), and (d) may not necessarily equal
the amounts in column (a) (see instructions))(a) Revenue and
expenses per
books(b) Net investment
income(c) Adjusted net
income(d) Disbursements
for charitable
purposes
(cash basis only)

3 1 Revenue SCANNED SEP 13 2018 Operating and Administrative Expenses	1 Contributions, gifts, grants, etc., received (attach schedule)			
	2 Check ► <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B			
	3 Interest on savings and temporary cash investments	12,823	11,869	
	4 Dividends and interest from securities	9,174	9,174	
	5a Gross rents			
	b Net rental income or (loss)			
	6a Net gain or (loss) from sale of assets not on line 10	23,970		
	b Gross sales price for all assets on line 6a	27,191		
	7 Capital gain net income (from Part IV, line 2)		23,970	
	8 Net short-term capital gain			RECEIVED
	9 Income modifications			2003 AUG 11 2018
	10a Gross sales less returns and allowances			2003 AUG 11 2018
	b Less: Cost of goods sold			2003 AUG 11 2018
	c Gross profit or (loss) (attach schedule)			2003 AUG 11 2018
	11 Other income (attach schedule)			2003 AUG 11 2018
	12 Total. Add lines 1 through 11	45,967	45,013	0
	13 Compensation of officers, directors, trustees, etc.	0		
	14 Other employee salaries and wages			
	15 Pension plans, employee benefits			
	16a Legal fees (attach schedule)			
	b Accounting fees (attach schedule)			
	c Other professional fees (attach schedule)			
	17 Interest	12,857	8,772	
	18 Taxes (attach schedule) (see instructions)			
	19 Depreciation (attach schedule) and depletion			
	20 Occupancy			
	21 Travel, conferences, and meetings			
	22 Printing and publications			
	23 Other expenses (att. sch.)			
	24 Total operating and administrative expenses.			
	Add lines 13 through 23	12,857	8,772	0 0
	25 Contributions, gifts, grants paid	44,175		44,175
	26 Total expenses and disbursements. Add lines 24 and 25	57,032	8,772	0 44,175
	27 Subtract line 26 from line 12:			
	a Excess of revenue over expenses and disbursements	-11,065		
	b Net investment income (if negative, enter -0-)		36,241	
	c Adjusted net income (if negative, enter -0-)			0

For Paperwork Reduction Act Notice, see instructions.

DAA

Form 990-PF (2017)

9/21

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year			End of year		
			(a) Book Value	(b) Book Value	(c) Fair Market Value	(b) Book Value	(c) Fair Market Value	
Assets	1	Cash – non-interest-bearing						
	2	Savings and temporary cash investments				13,854	10,107	10,107
	3	Accounts receivable ►						
		Less: allowance for doubtful accounts ►						
	4	Pledges receivable ►						
		Less: allowance for doubtful accounts ►						
	5	Grants receivable						
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)						
	7	Other notes and loans receivable (att schedule) ►						
		Less. allowance for doubtful accounts ►	0					
	8	Inventories for sale or use						
	9	Prepaid expenses and deferred charges						
	10a	Investments – U.S. and state government obligations (attach schedule)	Stmt 2			129	104	112
	b	Investments – corporate stock (attach schedule)	See Stmt 3			337,049	321,198	456,351
	c	Investments – corporate bonds (attach schedule)	See Stmt 4			422,312	428,636	450,374
	11	Investments – land, buildings, and equipment basis ►						
		Less accumulated depreciation (attach sch) ►						
	12	Investments – mortgage loans						
	13	Investments – other (attach schedule)						
	14	Land, buildings, and equipment basis ►						
		Less accumulated depreciation (attach sch) ►						
	15	Other assets (describe ►	See Statement 5)				158	158
	16	Total assets (to be completed by all filers – see the instructions. Also, see page 1, item I)				773,344	760,203	917,102
Liabilities	17	Accounts payable and accrued expenses						
	18	Grants payable						
	19	Deferred revenue						
	20	Loans from officers, directors, trustees, and other disqualified persons						
	21	Mortgages and other notes payable (attach schedule)						
	22	Other liabilities (describe ►	See Statement 6)			219		0
Net Assets or Fund Balances	23	Total liabilities (add lines 17 through 22)				219		0
		Foundations that follow SFAS 117, check here ► <input type="checkbox"/>						
		and complete lines 24 through 26 and lines 30 and 31.						
	24	Unrestricted						
	25	Temporarily restricted						
	26	Permanently restricted						
		Foundations that do not follow SFAS 117, check here ► <input checked="" type="checkbox"/>						
		and complete lines 27 through 31.						
	27	Capital stock, trust principal, or current funds				1,116	1,116	
	28	Paid-in or capital surplus, or land, bldg., and equipment fund						
	29	Retained earnings, accumulated income, endowment, or other funds				772,009	759,087	
	30	Total net assets or fund balances (see instructions)				773,125	760,203	
	31	Total liabilities and net assets/fund balances (see instructions)				773,344	760,203	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year – Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	773,125
2	Enter amount from Part I, line 27a	2	-11,065
3	Other increases not included in line 2 (itemize) ► See Statement 7	3	1
4	Add lines 1, 2, and 3	4	762,061
5	Decreases not included in line 2 (itemize) ► See Statement 8	5	1,858
6	Total net assets or fund balances at end of year (line 4 minus line 5) – Part II, column (b), line 30	6	760,203

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P – Purchase D – Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1a See Worksheet				
b				
c				
d				
e				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) ((e) plus (f) minus (g))	
a				
b				
c				
d				
e				
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69.				
(I) FMV as of 12/31/69	(J) Adjusted basis as of 12/31/69	(K) Excess of col (I) over col (J), if any	(L) Gains (Col (h) gain minus col (K), but not less than -0-) or Losses (from col (h))	
a				
b				
c				
d				
e				
2 Capital gain net income or (net capital loss)	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7		2	23,970
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c). See instructions If (loss), enter -0- in Part I, line 8			3	-1,989

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

 Yes No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2016	43,657	867,590	0.050320
2015	46,404	893,907	0.051911
2014	46,643	932,318	0.050029
2013	43,209	870,424	0.049641
2012	42,729	837,618	0.051013

2 Total of line 1, column (d)

2 0.252914

3 Average distribution ratio for the 5-year base period – divide the total on line 2 by 5 0, or by the
number of years the foundation has been in existence if less than 5 years

3 0.050583

4 Enter the net value of noncharitable-use assets for 2017 from Part X, line 5

4 844,157

5 Multiply line 4 by line 3

5 42,700

6 Enter 1% of net investment income (1% of Part I, line 27b)

6 362

7 Add lines 5 and 6

7 43,062

8 Enter qualifying distributions from Part XII, line 4

8 44,175

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the
Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ► and enter "N/A" on line 1.
 Date of ruling or determination letter (attach copy of letter if necessary—see instructions)

1 362

b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ► and enter 1% of Part I, line 27b

2 0

c All other domestic foundations enter 2% of line 27b Exempt foreign organizations, enter 4% of Part I, line 12, col (b).

3 362

4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-)

5 0

5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-

6 Credits/Payments

a 2017 estimated tax payments and 2016 overpayment credited to 2017 520

b Exempt foreign organizations – tax withheld at source

c Tax paid with application for extension of time to file (Form 8868)

d Backup withholding erroneously withheld

7 Total credits and payments. Add lines 6a through 6d 520

8 Enter any penalty for underpayment of estimated tax. Check here if Form 2220 is attached

9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed ► 9

10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid ► 158

11 Enter the amount of line 10 to be: Credited to 2018 estimated tax ► 158 Refunded ► 11

Part VII-A Statements Regarding Activities

1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? 1a Yes X

b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? See the Instructions for the definition 1b Yes X

If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities 1c Yes X

c Did the foundation file Form 1120-POL for this year?

d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year:
 (1) On the foundation. ► \$ _____ (2) On foundation managers. ► \$ _____

e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ► \$ _____

2 Has the foundation engaged in any activities that have not previously been reported to the IRS? 2 Yes X

If "Yes," attach a detailed description of the activities

3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes 3 Yes X

4a Did the foundation have unrelated business gross income of \$1,000 or more during the year? 4a Yes X

b If "Yes," has it filed a tax return on Form 990-T for this year? 4b Yes X

5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? 5 Yes X

If "Yes," attach the statement required by General Instruction T

6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:
 • By language in the governing instrument, or
 • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? 6 Yes X

7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV

8a Enter the states to which the foundation reports or with which it is registered. See instructions ► N/A

None

b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation 8b Yes X

9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2017 or the taxable year beginning in 2017? See instructions for Part XIV. If "Yes," complete Part XIV 9 Yes X

10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses 10 Yes X

Part VII-A Statements Regarding Activities (continued)

11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions
 12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions
 13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application?
 Website address ► **NONE**

14 The books are in care of ► **ROGER BRYLES**
PO BOX 1327

Telephone no ► **479-575-1124**Located at ► **FAYETTEVILLE**

AR

ZIP+4 ► **72702-1327**

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 – check here and enter the amount of tax-exempt interest received or accrued during the year

► **15** □

16 At any time during calendar year 2017, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?

See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ►

Yes □ **X**

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a During the year, did the foundation (either directly or indirectly):
 (1) Engage in the sale or exchange, or leasing of property with a disqualified person?
 (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?
 (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?
 (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?
 (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?
 (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)

1b If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions
 Organizations relying on a current notice regarding disaster assistance, check here
 1c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2017?

2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))
 a At the end of tax year 2017, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2017?
 If "Yes," list the years ► **20 20 20 20**
 b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement – see instructions)
 c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.
 ► **20 20 20 20**

3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?
 b If "Yes," did it have excess business holdings in 2017 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2017.)

3b

4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?
 b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2017?

4a

4b

Part VII-B • Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a	During the year did the foundation pay or incur any amount to	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions			N/A	
	Organizations relying on a current notice regarding disaster assistance, check here	<input checked="" type="checkbox"/>			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?			N/A	
	If "Yes," attach the statement required by Regulations section 53.4945–5(d)	<input type="checkbox"/> Yes <input type="checkbox"/> No			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? If "Yes" to 6b, file Form 8870.			6b	X
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?			N/A	7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1 List all officers, directors, trustees, and foundation managers and their compensation. See instructions.**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
APRIL SHY PO BOX 1327	FAYETTEVILLE AR 72702-1327	DIRECTOR 0.00	0	0
DONNA HAYDEN LYLES PO BOX 1327	FAYETTEVILLE AR 72702-1327	DIRECTOR 0.00	0	0
TOM JONES PO BOX 1327	FAYETTEVILLE AR 72702-1327	DIRECTOR 0.00	0	0
ROGER BRYLES PO BOX 1327	FAYETTEVILLE AR 72702-1327	TRUST OFFICE 0.00	0	0

2 Compensation of five highest-paid employees (other than those included on line 1 – see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

► 0

Form 990-PF (2017)

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services. See instructions. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services		►

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc

Expenses

1 N/A

2

3

4

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

Amount

1 N/A

2

All other program-related investments. See instructions

3

Total. Add lines 1 through 3

► Form 990-PF (2017)

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities	1a	847,922
b	Average of monthly cash balances	1b	9,090
c	Fair market value of all other assets (see instructions)	1c	0
d	Total (add lines 1a, b, and c)	1d	857,012
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0
2	Acquisition indebtedness applicable to line 1 assets	2	0
3	Subtract line 2 from line 1d	3	857,012
4	Cash deemed held for charitable activities. Enter 1½% of line 3 (for greater amount, see instructions)	4	12,855
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	844,157
6	Minimum investment return. Enter 5% of line 5	6	42,208

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ► and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	42,208
2a	Tax on investment income for 2017 from Part VI, line 5	2a	362
b	Income tax for 2017. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	362
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	41,846
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	41,846
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	41,846

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes	1a	44,175
a	Expenses, contributions, gifts, etc – total from Part I, column (d), line 26	1b	
b	Program-related investments – total from Part IX-B	2	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	3a	
3	Amounts set aside for specific charitable projects that satisfy the	3b	
a	Suitability test (prior IRS approval required)	4	44,175
b	Cash distribution test (attach the required schedule)	5	362
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	6	43,813
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions		
6	Adjusted qualifying distributions. Subtract line 5 from line 4		

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2016	(c) 2016	(d) 2017
1 Distributable amount for 2017 from Part XI, line 7				41,846
2 Undistributed income, if any, as of the end of 2017:				
a Enter amount for 2016 only				
b Total for prior years: 20_____, 20_____, 20_____	1,670			
3 Excess distributions carryover, if any, to 2017:				
a From 2012	1,670			
b From 2013	1,270			
c From 2014	617			
d From 2015	2,009			
e From 2016	1,315			
f Total of lines 3a through e	6,881			
4 Qualifying distributions for 2017 from Part XII, line 4: ► \$ 44,175				41,846
a Applied to 2016, but not more than line 2a				
b Applied to undistributed income of prior years (Election required – see instructions)				
c Treated as distributions out of corpus (Election required – see instructions)				
d Applied to 2017 distributable amount				
e Remaining amount distributed out of corpus	2,329			
5 Excess distributions carryover applied to 2017 (If an amount appears in column (d), the same amount must be shown in column (a).)				
6 Enter the net total of each column as indicated below:	9,210			
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5				
b Prior years' undistributed income. Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount – see instructions				
e Undistributed income for 2016. Subtract line 4a from line 2a. Taxable amount – see instructions				
f Undistributed income for 2017. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2018				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required—see instructions)				
8 Excess distributions carryover from 2012 not applied on line 5 or line 7 (see instructions)	1,670			
9 Excess distributions carryover to 2018. Subtract lines 7 and 8 from line 6a	7,540			
10 Analysis of line 9:				
a Excess from 2013	1,270			
b Excess from 2014	617			
c Excess from 2015	2,009			
d Excess from 2016	1,315			
e Excess from 2017	2,329			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

Part XV **Supplementary Information** (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year – see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ► if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc., to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions.

a The name, address, and telephone number or email address of the person to whom applications should be addressed
ROGER BRYLES 479-575-1124

ROGER BRYLES 479-575-1124

ARVEST BANK FAYETTEVILLE AR 72702-1327

b The form in which applications should be submitted and information and materials they should include

NO SPECIFIC FORMAT REQUIRED

c Any submission deadlines:

MAY 31 AND NOVEMBER 30 FOR CALENDAR YEAR DISTRIBUTIONS

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

RECIPIENTS MUST BE IRC SEC 501(C)(3) OR PUBLIC CHARITIES

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year See Statement 9				44,175
Total			► 3a	44,175
b Approved for future payment N/A				
Total			► 3b	

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.

	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	12,823	
4 Dividends and interest from securities			14	9,174	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory					23,970
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue: a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)		0		21,997	23,970
13 Total. Add line 12, columns (b), (d), and (e)					45,967

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?

a Transfers from the reporting foundation to a noncharitable exempt organization of

- (1) Cash
- (2) Other assets

b Other transactions:

- (1) Sales of assets to a noncharitable exempt organization
- (2) Purchases of assets from a noncharitable exempt organization
- (3) Rental of facilities, equipment, or other assets
- (4) Reimbursement arrangements
- (5) Loans or loan guarantees
- (6) Performance of services or membership or fundraising solicitations

c Sharing of facilities, equipment, mailing lists, other assets, or paid employees

d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
N/A			

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527?

Yes No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

May the IRS discuss this return
with the preparer shown below?
See instructions Yes No

**Sign
Here**


Signature of officer or trustee

Roger Bryles

18-7-18

Dot

TRUST OFFICER

Title

Signature of officer or trustee		Date	Date
Paid Preparer Use Only	Print/Type preparer's name	Preparer's signature	Date
	CAMILLE E. GOLLON	<i>Camille E. Gollon</i>	07/19/18
	Firm's name ► GOLLON & CHARRON, CPAS, PA	PTIN P00076743	
Firm's address ► 64 W COLT SQUARE DR FAYETTEVILLE, AR 72703-2813	Firm's EIN ► 71-0800571		
	Phone no 479-521-0451		
	Check <input type="checkbox"/> if self-employed		

Capital Gains and Losses for Tax on Investment Income

Form 990-PF

2017

For calendar year 2017, or tax year beginning

, and ending

Name

NADINE L. BAUM CHARITABLE FOUNDATION

Employer Identification Number

71-6156274

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co		(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
(1) ABG EQUITY FUND CTF		P	Various	12/31/17
(2) ABG BOND FUND CTF		P	Various	12/31/17
(3) ABG EQUITY FUND		P	Various	12/11/17
(4) ABG BOND FUND		P	Various	08/07/17
(5) ABG EQUITY FUND CTF		P	Various	12/31/17
(6) ABG BOND FUND CTF		P	Various	12/31/17
(7)				
(8)				
(9)				
(10)				
(11)				
(12)				
(13)				
(14)				
(15)				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
(1)		1,859	-1,859
(2)		130	-130
(3) 8,196			8,196
(4)		130	-130
(5) 18,995			18,995
(6)		1,102	-1,102
(7)			
(8)			
(9)			
(10)			
(11)			
(12)			
(13)			
(14)			
(15)			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) OR Losses (from col. (h))
(l) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
(1)			-1,859
(2)			-130
(3)			8,196
(4)			-130
(5)			18,995
(6)			-1,102
(7)			
(8)			
(9)			
(10)			
(11)			
(12)			
(13)			
(14)			
(15)			

BAUMFDN NADINE L.BAUM CHARITABLE FOUNDATION
71-6156274
FYE: 12/31/2017

Federal Statements

Statement 1 - Form 990-PF, Part I, Line 16c - Other Professional Fees

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
TRUSTEE FEES	\$ 11,182	\$ 7,655	\$	\$
ACCOUNTING FEES	1,675	1,117		
Total	\$ 12,857	\$ 8,772	\$ 0	\$ 0

Statement 2 - Form 990-PF, Part II, Line 10a - US and State Government Investments

Description	Beginning of Year	End of Year	Basis of Valuation	Fair Market Value
FHLMC	\$ 129	\$ 104	Cost	\$ 112
Total	\$ 129	\$ 104		\$ 112

Statement 3 - Form 990-PF, Part II, Line 10b - Corporate Stock Investments

Description	Beginning of Year	End of Year	Basis of Valuation	Fair Market Value
ABG EQUITY FUND	\$ 337,049	\$ 321,198	Cost	\$ 456,351
Total	\$ 337,049	\$ 321,198		\$ 456,351

Statement 4 - Form 990-PF, Part II, Line 10c - Corporate Bond Investments

Description	Beginning of Year	End of Year	Basis of Valuation	Fair Market Value
ABG BOND FUND	\$ 422,312	\$ 428,636	Cost	\$ 450,374
Total	\$ 422,312	\$ 428,636		\$ 450,374

BAUMFDN NADINE L.BAUM CHARITABLE FOUNDATION

71-6156274

FYE: 12/31/2017

Federal Statements

Statement 5 - Form 990-PF, Part II, Line 15 - Other Assets

Description	Beginning of Year	End of Year	Fair Market Value
PREPAID INCOME TAX	\$ 158	\$ 158	\$ 158
Total	\$ 0	\$ 158	\$ 158

Statement 6 - Form 990-PF, Part II, Line 22 - Other Liabilities

Description	Beginning of Year	End of Year
INCOME TAX PAYABLE	\$ 219	\$
Total	\$ 219	\$ 0

Statement 7 - Form 990-PF, Part III, Line 3 - Other Increases

Description	Amount
ROUNDING	\$ 1
Total	\$ 1

Statement 8 - Form 990-PF, Part III, Line 5 - Other Decreases

Description	Amount
2017 INCOME TAX	\$ 362
2017 ADJUST SEC COST TO TAX	\$ 1,496
Total	\$ 1,858

Form 990-PF, Part XV, Line 1a - Managers Who Contributed Over 2% or \$5,000

Name of Manager	Amount
NONE	\$
Total	\$ 0

Form 990-PF, Part XV, Line 1b - Managers Who Own 10% or More Stock

Name of Manager	Amount
NONE	\$
Total	\$ 0

BAUMFDN NADINE L.BAUM CHARITABLE FOUNDATION
71-6156274
FYE: 12/31/2017

Federal Statements

Form 990-PF, Part XV, Line 2b - Application Format and Required Contents

Description

NO SPECIFIC FORMAT REQUIRED

Form 990-PF, Part XV, Line 2c - Submission Deadlines

Description

MAY 31 AND NOVEMBER 30 FOR CALENDAR YEAR DISTRIBUTIONS

Form 990-PF, Part XV, Line 2d - Award Restrictions or Limitations

Description

RECIPIENTS MUST BE IRC SEC 501(C) (3) OR PUBLIC CHARITIES

BAUMFDN NADINE L.BAUM CHARITABLE FOUNDATION
71-6156274
FYE: 12/31/2017

Federal Statements

Statement 9 - Form 990-PF, Part XV, Line 3a - Grants and Contributions Paid During the Year

Name	Address	Relationship	Address	Status	Purpose	Amount
ARKANSAS SHERIFF'S YOUTH RANCH BATESVILLE AR 72503	NONE	PO BOX 3964			PROGRAM	1,000
ART EXPERIENCE, INC FAYETTEVILLE AR 72704	NONE	641 MARTIN LUTHER KING JR			PROGRAM	2,000
BAPTIST HEALTH FOUNDATION ROGERS AR 72757	NONE	9601 INTERSTATE 630, EXIT 7			SCHOLARSHIP	1,000
BIG BROTHERS BIG SISTERS FAYETTEVILLE AR 72703	NONE	130 E POPLAR SUITE C			PROGRAM	1,000
CASA OF NW AR SPRINGDALE AR 72762	NONE	3825 CAWOOD LANE			PROGRAM	1,400
CHILDREN'S ADVOCACY CENTER ROGERS AR 72756	NONE	2113 LITTLE FLOCK DRIVE			PROGRAM	1,500
CIRCLE OF LIFE HOSPICE SPRINGDALE AR 72762	NONE	901 JONES ROAD			PROGRAM	1,500
COMMUNITY CREATIVE CENTER FAYETTEVILLE AR 72701	NONE	505 W SPRING STREET			PROGRAM	1,900
ELKINS SENIOR ACTIVITY & WELLNESS ELKINS AR 72727	NONE	PO BOX 201			EQUIPMENT	2,000
FAYETTEVILLE PUBLIC EDUCATION FDN FAYETTEVILLE AR 72702	NONE	PO BOX 571			PROGRAM	1,625
HOUSE OF HOPE RESCUE MISSION SPRINGDALE AR 72756	NONE	PO BOX 6100			EQUIPMENT	2,500
JUNIOR LEAGUE OF NORTHWEST ARKANSAS LOWELL AR 72745	NONE	PO BOX 2011			PROGRAM	1,500
LIFE SOURCE FAYETTEVILLE AR 72701	NONE	602 S SCHOOL AVE			PROGRAM	1,500
LOVING CHOICES FAYETTEVILLE AR 72701	NONE	275 D DUNCAN AVE			PROGRAM	2,000
OPEN AVENUES ROGERS AR 72757	NONE	PO BOX 908			PROGRAM	2,000
OWL CREEK MIDDLE SCHOOL FAYETTEVILLE AR 72701	NONE	375 N RUPPLE ROAD			PROGRAM	1,000
SINGLE PARENT OF NW AR FAYETTEVILLE AR 72701	NONE	601 W DICKSON			SCHOLARSHIP	2,000

BAUMFDN NADINE L.BAUM CHARITABLE FOUNDATION
71-6156274
FYE: 12/31/2017

Federal Statements

Statement 9 - Form 990-PF, Part XV, Line 3a - Grants and Contributions Paid During the Year (continued)

Name	Address	Relationship	Address	Status	Purpose	Amount
SYMPHONY OF NW AR (SONA) FAYETTEVILLE AR 72702		NONE	PO BOX 1243		PROGRAM	1,500
THEATRE SQUARED FAYETTEVILLE AR 72702		NONE	PO BOX 4188		SCHOLARSHIP	1,500
TRICYCLE THEATRE FOR YOUTH BENTONVILLE AR 72712			209 NE 2ND STREET		PROGRAM	1,000
TWIN LAKES LITERARY COUNCIL MOUNTAIN HOME AR 72653			1318 BRADLEY DR, #14		PROGRAM	1,250
WAGGONER AREA NEIGHBORS WAGGONER OK 74477			PO BOX 993		PROGRAM	2,000
WALTON ARTS CENTER FAYETTEVILLE AR 72702			PO BOX 3547		PROGRAM	2,000
WASHINGTON REGIONAL CANCER SUPPORT FAYETTEVILLE AR 72703			1101 N WOOLSEY AVE		PROGRAM	1,500
WASHINGTON REGIONAL FOUNDATION FAYETTEVILLE AR 72703			3125 N NORTH HILLS BLVD		PROGRAM	2,000
WELCOME HEALTH FAYETTEVILLE AR 72703			1100 N WOOLSEY AVE		PROGRAM	2,000
YOUTH BRIDGE FAYETTEVILLE AR 72703			2153 JOYCE ST STE 201		PROGRAM	2,000
Total						<u>44,175</u>

Form 990-PF

Underdistribution and Excess Distributions for Part XIII

2017

For calendar year 2017, or tax year beginning _____, ending _____

Name

NADINE L. BAUM CHARITABLE FOUNDATION

Employer Identification Number
71-6156274

Undistributed Income Carryovers

Form 990-PF, Part XIII

Tax Year	Prior Undistributed Income			Current Year Decreases	Next Year Carryover	
	Nontaxable or Previously Taxed	Taxable in 2017	Total per Year		Nontaxable or Previously Taxed	Taxable in 2018
Years prior						
2013						
2014						
2015						
2016						
2017			41,846	41,846		
Total Carryover to Next Year						0

* Carryover amount includes 4942(a) amounts

Excess Distribution Carryovers

Form 990-PF, Part XIII

Preceding Tax Year Excess Distributions	Current Year		Next Year
	Decreases	Carryover	
2012 1,670	1,670		
2013 1,270		1,270	
2014 617		617	
2015 2,009		2,009	
2016 1,315		1,315	
Current Year Excess Distribution Generated (2017)			2,329
Total Carryover to Next Year			7,540