

Form **990-PF**
 Department of the Treasury
 Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation

▶ **Do not enter social security numbers on this form as it may be made public.**
 ▶ **Information about Form 990-PF and its instructions is at www.irs.gov/form990pf.**

OMB No 1545-0052
2017
Open to Public Inspection

For calendar year 2017, or tax year beginning 01-01-2017 , and ending 12-31-2017

Name of foundation HENRY G SIMS & HENRY U SIMS MEM FD		A Employer identification number 63-6114412	
Number and street (or P O box number if mail is not delivered to street address) P O BOX 11647		B Telephone number (see instructions) (205) 264-7132	
City or town, state or province, country, and ZIP or foreign postal code BIRMINGHAM, AL 35202		C If exemption application is pending, check here <input type="checkbox"/>	
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/> 2 Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>	
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>	
I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶ \$ 1,206,843		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>	
J Accounting method: <input type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis)			

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)				
	2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	23,823	22,757		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	46,267			
	b Gross sales price for all assets on line 6a 403,158				
	7 Capital gain net income (from Part IV, line 2)		46,267		
	8 Net short-term capital gain			0	
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule)					
12 Total. Add lines 1 through 11	70,090	69,024			
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	15,070	10,549		4,521
	14 Other employee salaries and wages		0	0	0
	15 Pension plans, employee benefits		0	0	
	16a Legal fees (attach schedule)				0
	b Accounting fees (attach schedule)				
	c Other professional fees (attach schedule)	1,215	1,215		0
	17 Interest				0
	18 Taxes (attach schedule) (see instructions)	708	516		0
	19 Depreciation (attach schedule) and depletion	0	0		
	20 Occupancy				
	21 Travel, conferences, and meetings		0	0	
	22 Printing and publications		0	0	
	23 Other expenses (attach schedule)	38	38		
	24 Total operating and administrative expenses. Add lines 13 through 23	17,031	12,318	0	4,521
	25 Contributions, gifts, grants paid	54,000			54,000
26 Total expenses and disbursements. Add lines 24 and 25	71,031	12,318	0	58,521	
27 Subtract line 26 from line 12					
a Excess of revenue over expenses and disbursements	-941				
b Net investment income (if negative, enter -0-)		56,706			
c Adjusted net income (if negative, enter -0-)			0		

Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing	0	11	11
	2 Savings and temporary cash investments	7,890	4,271	4,271
	3 Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____		0	0
	4 Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____ 0			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments—U S and state government obligations (attach schedule)			
	b Investments—corporate stock (attach schedule)	805,180	490,265	600,967
	c Investments—corporate bonds (attach schedule)	266,409	266,012	267,211
	11 Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12 Investments—mortgage loans			
	13 Investments—other (attach schedule)		315,963	334,383
	14 Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
15 Other assets (describe ▶ _____)				
16 Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	1,079,479	1,076,522	1,206,843	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶ _____)			
	23 Total liabilities (add lines 17 through 22)		0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here <input checked="" type="checkbox"/> and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	1,079,479	1,076,522	
	28 Paid-in or capital surplus, or land, bldg , and equipment fund			
29 Retained earnings, accumulated income, endowment, or other funds				
30 Total net assets or fund balances (see instructions)	1,079,479	1,076,522		
31 Total liabilities and net assets/fund balances (see instructions) .	1,079,479	1,076,522		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,079,479
2 Enter amount from Part I, line 27a	2	-941
3 Other increases not included in line 2 (itemize) ▶ _____	3	0
4 Add lines 1, 2, and 3	4	1,078,538
5 Decreases not included in line 2 (itemize) ▶ _____	5	2,016
6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 .	6	1,076,522

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	See Additional Data Table			
b				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	See Additional Data Table		
b			
c			
d			
e			

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a	See Additional Data Table			
b				
c				
d				
e				

2 Capital gain net income or (net capital loss)	2	46,267
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? Yes No
 If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2016	59,599	1,125,125	0 052971
2015	63,300	1,188,628	0 053255
2014	62,947	1,229,465	0 051199
2013	54,632	1,154,806	0 047308
2012	53,771	1,053,953	0 051018

2 Total of line 1, column (d)	2	0 255751
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 051115
4 Enter the net value of noncharitable-use assets for 2017 from Part X, line 5	4	1,176,325
5 Multiply line 4 by line 3	5	60,169
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	567
7 Add lines 5 and 6	7	60,736
8 Enter qualifying distributions from Part XII, line 4	8	58,521

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b	1	1,134
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	0
3	Add lines 1 and 2.	3	1,134
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4	0
5	Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0-	5	1,134
6	Credits/Payments		
a	2017 estimated tax payments and 2016 overpayment credited to 2017	6a	100
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments Add lines 6a through 6d.	7	2,300
8	Enter any penalty for underpayment of estimated tax Check here <input type="checkbox"/> if Form 2220 is attached	8	0
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed ▶	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid ▶	10	1,166
11	Enter the amount of line 10 to be Credited to 2018 estimated tax ▶ 1,136 Refunded ▶	11	30

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a	No
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>	1b	No
c Did the foundation file Form 1120-POL for this year?	1c	No
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ▶ \$ _____ (2) On foundation managers ▶ \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities</i>	2	No
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>	3	No
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a	No
b If "Yes," has it filed a tax return on Form 990-T for this year?	4b	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T</i>	5	No
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col (c), and Part XV</i>	7	Yes
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ AL _____		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation</i> .	8b	Yes
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2017 or the taxable year beginning in 2017 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>	9	No
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>	10	No

Part VII-A Statements Regarding Activities (continued)

11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions). 11 No
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions) 12 No
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address 13 Yes
14 The books are in care of REGIONS BANK, TRUST DEPARTMENT Telephone no (251) 690-1024
Located at 201 MILAN PARKWAY BIRMINGHAM AL ZIP+4 35211
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 -Check here 15
16 At any time during calendar year 2017, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? Yes No
See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR) If "Yes," enter the name of the foreign country

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.
1a During the year did the foundation (either directly or indirectly)
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? Yes No
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? Yes No
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? Yes No
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? Yes No
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? Yes No
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). Yes No
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? 1b No
Organizations relying on a current notice regarding disaster assistance check here.
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2017? 1c No
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))
a At the end of tax year 2017, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2017? Yes No
If "Yes," list the years 20, 20, 20, 20
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement-see instructions) 2b No
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here 20, 20, 20, 20
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? Yes No
b If "Yes," did it have excess business holdings in 2017 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period?(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2017). 3b
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? 4a No
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2017? 4b No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (Continued)

5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
	(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions).	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
b	If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?			5b
	Organizations relying on a current notice regarding disaster assistance check here.			<input type="checkbox"/>
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	<input type="checkbox"/> Yes	<input type="checkbox"/> No	
	<i>If "Yes," attach the statement required by Regulations section 53.4945–5(d)</i>			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? <i>If "Yes" to 6b, file Form 8870</i>			6b
				No
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?			7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Regions Bank 1901 6TH AVENUE NORTH BIRMINGHAM, AL 35203	TRUSTEE 1	16,285		

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	Title, and average hours per week (b) devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000. **0**

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services. **0**

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc

	Expenses
1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments See instructions	
3	

Total. Add lines 1 through 3 **0**

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities.	1a	1,194,239
b	Average of monthly cash balances.	1b	0
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	1,194,239
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	1,194,239
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	17,914
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4.	5	1,176,325
6	Minimum investment return. Enter 5% of line 5.	6	58,816

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	58,816
2a	Tax on investment income for 2017 from Part VI, line 5.	2a	1,134
b	Income tax for 2017 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	1,134
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	57,682
4	Recoveries of amounts treated as qualifying distributions.	4	0
5	Add lines 3 and 4.	5	57,682
6	Deduction from distributable amount (see instructions).	6	0
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	57,682

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	58,521
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	0
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	0
b	Cash distribution test (attach the required schedule).	3b	0
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4.	4	58,521
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions).	5	0
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	58,521

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2016	(c) 2016	(d) 2017
1 Distributable amount for 2017 from Part XI, line 7				57,682
2 Undistributed income, if any, as of the end of 2017				
a Enter amount for 2016 only.			0	
b Total for prior years 20___, 20___, 20___		0		
3 Excess distributions carryover, if any, to 2017				
a From 2012.	2,353			
b From 2013.	0			
c From 2014.	8,347			
d From 2015.	4,187			
e From 2016.	3,539			
f Total of lines 3a through e.	18,426			
4 Qualifying distributions for 2017 from Part XII, line 4 ▶ \$ <u>58,521</u>				
a Applied to 2016, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2017 distributable amount.				57,682
e Remaining amount distributed out of corpus	839			
5 Excess distributions carryover applied to 2017 (If an amount appears in column (d), the same amount must be shown in column (a))				0
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	19,265			
b Prior years' undistributed income Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d Subtract line 6c from line 6b Taxable amount—see instructions		0		
e Undistributed income for 2016 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2017 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2018				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).		0		
8 Excess distributions carryover from 2012 not applied on line 5 or line 7 (see instructions).	2,353			
9 Excess distributions carryover to 2018. Subtract lines 7 and 8 from line 6a	16,912			
10 Analysis of line 9				
a Excess from 2013.	0			
b Excess from 2014.	8,347			
c Excess from 2015.	4,187			
d Excess from 2016.	3,539			
e Excess from 2017.	839			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2017, enter the date of the ruling. ▶

b Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2017	(b) 2016	(c) 2015	(d) 2014	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c "Support" alternative test—enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed
 CARA GOBER REGIONS BANK
 1901 6TH AVENUE NORTH
 BIRMINGHAM, AL 35203
 (205) 264-7132

b The form in which applications should be submitted and information and materials they should include
 APPLICATIONS IN LETTER FORM WITH LATEST COPY OF IRS DETERMINATION LETTER

c Any submission deadlines
 NOVEMBER 1

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors
 LIMITED TO ALABAMA CHARITABLE ORGANIZATIONS - LEGAL INSTITUTIONS OR FOR THE BLIND NO GRANTS MADE TO INDIVIDUALS

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i> See Additional Data Table				
Total			▶ 3a	54,000
b <i>Approved for future payment</i>				
Total			▶ 3b	

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated

	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a _____					
b _____					
c _____					
d _____					
e _____					
f _____					
g Fees and contracts from government agencies					
2 Membership dues and assessments. . . .					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities. . . .			14	23,823	
5 Net rental income or (loss) from real estate					
a Debt-financed property.					
b Not debt-financed property.					
6 Net rental income or (loss) from personal property					
7 Other investment income.					
8 Gain or (loss) from sales of assets other than inventory			18	46,267	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a _____					
b _____					
c _____					
d _____					
e _____					
12 Subtotal Add columns (b), (d), and (e).				70,090	
13 Total. Add line 12, columns (b), (d), and (e).					13 70,090
<i>(See worksheet in line 13 instructions to verify calculations)</i>					

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation’s exempt purposes (other than by providing funds for such purposes) (See instructions)

Part XVII

Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- 1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?
a Transfers from the reporting foundation to a noncharitable exempt organization of
(1) Cash.
(2) Other assets.
b Other transactions
(1) Sales of assets to a noncharitable exempt organization.
(2) Purchases of assets from a noncharitable exempt organization.
(3) Rental of facilities, equipment, or other assets.
(4) Reimbursement arrangements.
(5) Loans or loan guarantees.
(6) Performance of services or membership or fundraising solicitations.
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees.
d If the answer to any of the above is "Yes," complete the following schedule

Table with 3 columns: Question/Item, Yes, No. Rows include 1a(1), 1a(2), 1b(1) through 1b(6), and 1c.

Table with 4 columns: (a) Line No, (b) Amount involved, (c) Name of noncharitable exempt organization, (d) Description of transfers, transactions, and sharing arrangements.

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? [] Yes [x] No

Table with 3 columns: (a) Name of organization, (b) Type of organization, (c) Description of relationship.

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Sign Here: Signature of officer or trustee, Date (2018-05-22), Title. Includes box: May the IRS discuss this return with the preparer shown below (see instr)? [x] Yes [] No

Paid Preparer Use Only table with columns: Print/Type preparer's name, Preparer's Signature, Date, Check if self-employed, PTIN, Firm's name, Firm's address, Firm's EIN, Phone no.

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
4 ANSYS INC		2015-09-15	2017-01-10
9 ISHARES CORE S&P SMALL-CAP E		2014-06-26	2017-01-10
4 ALEXION PHARMACEUTICALS INC		2015-09-01	2017-01-19
7 DAVITA INC		2014-06-26	2017-01-19
9 BAYER AG-SPONSORED ADR		2015-09-01	2017-01-20
25 KOREA ELECTRIC POWER CORP SPONSORED ADR		2014-06-26	2017-01-20
2 NETEASE INC-ADR		2016-08-18	2017-01-20
7 ROYAL DUTCH SHELL PLC-ADR		2016-02-19	2017-01-20
12 SMITH & NEPHEW P L C SPD ADR NEW		2014-12-16	2017-01-20
13 SODEXO-SPONSORED ADR		2016-02-18	2017-01-20

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
377		370	7
1,240		994	246
538		655	-117
441		498	-57
980		1,243	-263
453		455	-2
480		417	63
383		315	68
361		397	-36
284		261	23

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			7
			246
			-117
			-57
			-263
			-2
			63
			68
			-36
			23

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
14 SODEXO-SPONSORED ADR		2014-06-26	2017-01-20
15 TENCENT HOLDINGS LTD-UNS ADR		2016-06-03	2017-01-20
4 TENCENT HOLDINGS LTD-UNS ADR		2014-06-26	2017-01-20
5 DEXCOM INC		2016-09-07	2017-01-30
18 STARBUCKS CORP		2015-09-01	2017-01-30
9 UNDER ARMOUR INC-CLASS A		2016-02-26	2017-02-01
18 UNDER ARMOUR INC-CLASS A		2015-09-10	2017-02-01
17 935 UNDER ARMOUR INC-CLASS C		2015-09-10	2017-02-01
31 065 UNDER ARMOUR INC-CLASS C		2016-11-03	2017-02-01
11 DAVITA INC		2014-06-26	2017-02-06

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
306		298	8
381		331	50
102		61	41
392		468	-76
1,005		820	185
195		384	-189
391		877	-486
345		827	-482
597		989	-392
712		783	-71

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			8
			50
			41
			-76
			185
			-189
			-486
			-482
			-392
			-71

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
12 MEAD JOHNSON NUTRITION COMPANY		2014-06-26	2017-02-06
6 COGNIZANT TECH SOLUTIONS-A		2015-09-01	2017-02-14
4 DEXCOM INC		2016-08-04	2017-02-14
4 FACEBOOK INC-A		2015-03-24	2017-02-14
6 LAUDER ESTEE COS INC CL A		2015-09-10	2017-02-14
8 STARBUCKS CORP		2015-01-21	2017-02-14
6 COGNIZANT TECH SOLUTIONS-A		2014-06-26	2017-02-16
6 DAVITA INC		2014-06-26	2017-02-16
11 DAVITA INC		2016-12-07	2017-02-22
3 DAVITA INC		2014-06-26	2017-02-22

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,006		1,102	-96
345		346	-1
324		366	-42
535		343	192
499		459	40
452		323	129
348		293	55
387		427	-40
759		706	53
207		214	-7

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-96
			-1
			-42
			192
			40
			129
			55
			-40
			53
			-7

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1 AMAZON COM INC		2016-02-26	2017-03-01
3 COSTCO WHOLESALE CORP		2016-02-26	2017-03-01
3 FACEBOOK INC-A		2016-02-26	2017-03-01
22 AIA GROUP LTD-SP ADR		2015-05-18	2017-03-15
9 DR REDDY'S LABORATORIES-ADR		2016-08-25	2017-03-15
41 EAST JAPAN RAILWAY CO - ADR		2015-12-08	2017-03-15
36 PANDORA A/S-SPONSORED ADR		2016-02-18	2017-03-15
19 BANCO LATINOAMERICANO COME-E		2014-12-16	2017-03-15
4 DORMAN PRODUCTS INC		2014-06-26	2017-03-16
6 DEXCOM INC		2016-08-09	2017-03-20

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
854		558	296
534		450	84
412		325	87
551		599	-48
372		413	-41
597		638	-41
1,063		1,015	48
513		599	-86
319		191	128
477		548	-71

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			296
			84
			87
			-48
			-41
			-41
			48
			-86
			128
			-71

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1 INTUITIVE SURGICAL INC		2016-02-26	2017-03-20
15 STARBUCKS CORP		2016-02-26	2017-03-20
6 ADOBE SYSTEMS INC		2016-12-07	2017-04-03
4 ALEXION PHARMACEUTICALS INC		2015-05-15	2017-04-03
9 AMPHENOL CORP-CL A		2015-09-01	2017-04-03
4 ANSYS INC		2015-09-15	2017-04-03
2 COSTCO WHOLESALE CORP		2016-02-26	2017-04-03
5 DANAHER CORP		2014-10-03	2017-04-03
4 ECOLAB INC		2014-06-26	2017-04-03
3 EDWARDS LIFESCIENCES CORP		2017-02-14	2017-04-03

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
753		569	184
838		823	15
778		608	170
481		643	-162
632		446	186
427		354	73
333		266	67
428		286	142
502		428	74
283		270	13

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			184
			15
			170
			-162
			186
			73
			67
			142
			74
			13

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
3 FACEBOOK INC-A		2016-02-26	2017-04-03
2 FLEETCOR TECHNOLOGIES INC		2015-08-20	2017-04-03
7 FORTIVE CORP		2014-10-03	2017-04-03
1 INTUITIVE SURGICAL INC		2015-09-01	2017-04-03
70 ISHARES CORE S&P 500 ETF		2014-06-26	2017-04-03
116 ISHARES RUSSELL 1000 VALUE E		2014-06-26	2017-04-03
5 LAUDER ESTEE COS INC CL A		2015-09-10	2017-04-03
12 PAYPAL HOLDINGS INC		2016-12-07	2017-04-03
2 ROPER TECHNOLOGIES INC		2016-12-13	2017-04-03
5 SBA COMMUNICATIONS CORP		2016-02-03	2017-04-03

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
427		325	102
300		318	-18
421		197	224
764		509	255
16,568		13,692	2,876
13,285		11,668	1,617
418		382	36
513		475	38
412		376	36
598		468	130

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			102
			-18
			224
			255
			2,876
			1,617
			36
			38
			36
			130

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
9 SALESFORCE COM INC		2016-02-26	2017-04-03
2 THERMO FISHER SCIENTIFIC INC		2017-02-16	2017-04-03
10 VISA INC-CLASS A SHARES		2016-02-26	2017-04-03
6 WABTEC CORP		2014-06-26	2017-04-03
7 NXP SEMICONDUCTORS NV		2015-07-09	2017-04-03
11 COGNIZANT TECH SOLUTIONS-A		2016-02-26	2017-04-05
5 CEB INC		2014-06-26	2017-04-07
8 COGNIZANT TECH SOLUTIONS-A		2014-10-03	2017-04-12
142 GARTNER INC		2014-06-26	2017-04-12
7 MEAD JOHNSON NUTRITION COMPANY		2014-06-26	2017-04-12

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
740		662	78
310		315	-5
890		724	166
469		491	-22
729		593	136
640		592	48
395		330	65
470		356	114
16		10	6
621		643	-22

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			78
			-5
			166
			-22
			136
			48
			65
			114
			6
			-22

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
32 MICHELIN (CGDE)-UNSPON ADR		2014-07-29	2017-04-20
5 COGNIZANT TECH SOLUTIONS-A		2016-12-07	2017-04-21
2 COGNIZANT TECH SOLUTIONS-A		2014-10-03	2017-04-21
8 MEAD JOHNSON NUTRITION COMPANY		2015-09-01	2017-04-21
9 COGNIZANT TECH SOLUTIONS-A		2016-12-07	2017-04-25
3 MEAD JOHNSON NUTRITION COMPANY		2015-09-01	2017-04-27
2 MEAD JOHNSON NUTRITION COMPANY		2015-09-01	2017-05-02
3 MEAD JOHNSON NUTRITION COMPANY		2016-09-07	2017-05-02
6 STARBUCKS CORP		2015-01-21	2017-05-02
3 VISA INC-CLASS A SHARES		2015-09-01	2017-05-02

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
780		732	48
289		276	13
116		89	27
710		691	19
531		463	68
266		232	34
178		155	23
266		251	15
360		235	125
276		210	66

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			48
			13
			27
			19
			68
			34
			23
			15
			125
			66

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
2 ACUITY BRANDS INC		2017-03-01	2017-05-08
9 AKAMAI TECHNOLOGIES INC		2015-10-29	2017-05-08
1 ALPHABET INC-CL A		2011-01-20	2017-05-08
6 MICROCHIP TECHNOLOGY INC		2014-06-26	2017-05-10
9 AKAMAI TECHNOLOGIES INC		2015-10-29	2017-05-12
1 AMAZON COM INC		2015-01-21	2017-05-12
36 CEMEX SAB-SPONS ADR PART CER		2017-04-03	2017-05-12
31 NISSAN MOTOR CO LTD SPONSORED ADR		2016-02-18	2017-05-12
5 ACUITY BRANDS INC		2017-03-01	2017-05-25
5 AKAMAI TECHNOLOGIES INC		2016-12-07	2017-05-25

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
374		428	-54
454		627	-173
954		314	640
469		271	198
445		547	-102
961		293	668
3		3	
603		564	39
831		1,048	-217
241		323	-82

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-54
			-173
			640
			198
			-102
			668
			39
			-217
			-82

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
7 AKAMAI TECHNOLOGIES INC		2015-10-29	2017-05-25
3 ALEXION PHARMACEUTICALS INC		2015-09-28	2017-05-25
16 EXPERIAN PLC-SPONS ADR		2015-12-08	2017-05-25
9 ALEXION PHARMACEUTICALS INC		2016-07-13	2017-05-30
2 ALEXION PHARMACEUTICALS INC		2015-09-28	2017-05-30
7 TRIPADVISOR INC		2015-01-21	2017-05-30
2 ACUITY BRANDS INC		2017-01-19	2017-06-01
9 AKAMAI TECHNOLOGIES INC		2016-08-11	2017-06-01
4 ALEXION PHARMACEUTICALS INC		2016-12-13	2017-06-01
9 TRIPADVISOR INC		2015-09-01	2017-06-01

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
337		425	-88
298		448	-150
341		288	53
879		1,141	-262
195		287	-92
273		495	-222
334		411	-77
433		462	-29
402		454	-52
360		626	-266

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-88
			-150
			53
			-262
			-92
			-222
			-77
			-29
			-52
			-266

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1 ACUITY BRANDS INC		2017-01-19	2017-06-02
8 ADOBE SYSTEMS INC		2016-05-23	2017-06-02
53 AIRBUS SE - UNSP ADR		2016-02-18	2017-06-02
8 ALIBABA GROUP HOLDING-SP ADR		2016-05-25	2017-06-02
3 ALIBABA GROUP HOLDING-SP ADR		2017-04-03	2017-06-02
1 ALPHABET INC-CL C		2015-02-04	2017-06-02
1 AMAZON COM INC		2015-01-21	2017-06-02
14 AMPHENOL CORP-CL A		2014-06-26	2017-06-02
28 CONCH CEMENT-H-UNS ADR		2017-01-20	2017-06-02
4 ANSYS INC		2015-09-01	2017-06-02

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
169		206	-37
1,140		772	368
1,127		853	274
995		635	360
373		324	49
973		523	450
1,006		293	713
1,055		669	386
452		442	10
516		335	181

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-37
			368
			274
			360
			49
			450
			713
			386
			10
			181

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
16 BP PLC-SPONS ADR		2017-04-03	2017-06-02
11 BP PLC-SPONS ADR		2015-08-05	2017-06-02
2 BAIDU INC - SPON ADR		2017-04-03	2017-06-02
2 BAIDU INC - SPON ADR		2016-02-29	2017-06-02
149 BANCO BILBAO VIZCAYA-SP ADR		2017-04-03	2017-06-02
42 BHP BILLITON LTD-SPON ADR		2017-04-03	2017-06-02
8 BRITISH AMERICAN TOB-SP ADR		2016-02-18	2017-06-02
12 CRH PLC-SPONSORED ADR		2017-04-03	2017-06-02
17 CRH PLC-SPONSORED ADR		2015-08-13	2017-06-02
10 CSL LTD-SPONSORED ADR		2016-08-09	2017-06-02

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
573		528	45
394		396	-2
377		349	28
377		351	26
1,223		1,024	199
1,488		1,474	14
581		440	141
439		415	24
622		515	107
491		439	52

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			45
			-2
			28
			26
			199
			14
			141
			24
			107
			52

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
6 CANADIAN NATL RAILWAY CO		2014-12-16	2017-06-02
6 CANADIAN NATL RAILWAY CO		2016-12-12	2017-06-02
113 CEMEX SAB-SPONS ADR PART CER		2017-04-03	2017-06-02
4 CHINA BIOLOGIC PRODUCTS INC		2016-08-25	2017-06-02
13 CONTINENTAL AG-SPONS ADR		2015-12-08	2017-06-02
7 CONTINENTAL AG-SPONS ADR		2017-04-03	2017-06-02
6 COSTCO WHOLESALE CORP		2014-06-26	2017-06-02
10 DANAHER CORP		2014-10-03	2017-06-02
60 DANSKE BANK A/S - SPON ADR		2017-04-03	2017-06-02
7 DEXCOM INC		2016-08-18	2017-06-02

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
473		397	76
473		407	66
956		960	-4
459		452	7
592		632	-40
319		306	13
1,083		694	389
869		434	435
1,126		928	198
468		636	-168

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			76
			66
			-4
			7
			-40
			13
			389
			435
			198
			-168

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
7 ECOLAB INC		2014-06-26	2017-06-02
5 EDWARDS LIFESCIENCES CORP		2017-02-14	2017-06-02
14 EXPERIAN PLC-SPONS ADR		2017-04-03	2017-06-02
18 EXPERIAN PLC-SPONS ADR		2015-12-08	2017-06-02
7 FACEBOOK INC-A		2016-02-26	2017-06-02
34 FANUC CORP-UNSP ADR		2016-12-12	2017-06-02
4 FLEETCOR TECHNOLOGIES INC		2015-08-06	2017-06-02
6 FORTIVE CORP		2016-12-07	2017-06-02
6 FORTIVE CORP		2012-03-15	2017-06-02
27 GRUPO FIN BANORTE-SPON ADR		2014-07-29	2017-06-02

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
939		749	190
586		449	137
289		284	5
371		324	47
1,069		621	448
682		609	73
592		635	-43
381		322	59
381		156	225
780		969	-189

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			190
			137
			5
			47
			448
			73
			-43
			59
			225
			-189

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
48 HANG LUNG PROPERTIES-SP ADR		2017-03-15	2017-06-02
4 HENKEL KGAA-SPONS ADR PFD		2016-02-18	2017-06-02
2 HENKEL KGAA-SPONS ADR PFD		2017-04-03	2017-06-02
143 HENNES & MAURITZ AB-UNSP ADR		2017-04-20	2017-06-02
23 HONDA MOTOR CO LTD-SPONS ADR		2015-01-14	2017-06-02
3 HOYA CORP-SPONSORED ADR		2016-01-15	2017-06-02
29 HOYA CORP-SPONSORED ADR		2017-04-03	2017-06-02
142 ICICI BANK LTD-SPON ADR		2017-05-23	2017-06-02
44 INFOSYS LTD-SP ADR		2015-09-01	2017-06-02
39 INFOSYS LTD-SP ADR		2017-04-03	2017-06-02

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
639		577	62
573		434	139
286		258	28
715		686	29
659		695	-36
153		116	37
1,483		1,180	303
1,386		1,166	220
664		587	77
589		579	10

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			62
			139
			28
			29
			-36
			37
			303
			220
			77
			10

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
38 ING GROEP N V SPONSORED ADR		2015-08-05	2017-06-02
47 ING GROEP N V SPONSORED ADR		2017-04-03	2017-06-02
5 INTUIT INC		2017-05-25	2017-06-02
1 INTUITIVE SURGICAL INC		2014-06-26	2017-06-02
721 ISHARES TR MSCI EAFE INDEX FD		2016-02-18	2017-06-02
263 ISHARES TR MSCI EAFE INDEX FD		2017-04-03	2017-06-02
778 ISHARES RUSSELL 1000 VALUE E		2015-09-01	2017-06-02
25 ISHARES RUSSELL 1000 VALUE E		2016-12-07	2017-06-02
133 ITAU UNIBANCO H-SPON PRF ADR		2017-05-25	2017-06-02
30 JAPAN TOBACCO INC-UNSPON ADR		2016-02-18	2017-06-02

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
641		533	108
793		684	109
712		693	19
922		403	519
48,374		45,080	3,294
17,646		16,024	1,622
89,904		77,351	12,553
2,889		2,785	104
1,444		1,370	74
567		559	8

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			108
			109
			19
			519
			3,294
			1,622
			12,553
			104
			74
			8

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
20 KBC GROEP NV-UNSP ADR		2017-01-20	2017-06-02
25 KOC HOLDING AS-UNSPON ADR		2016-08-18	2017-06-02
73 LG DISPLAY CO LTD-ADR		2017-04-03	2017-06-02
24 LVMH MOET HENNESSY-UNSP ADR		2014-09-09	2017-06-02
10 LAUDER ESTEE COS INC CL A		2015-09-10	2017-06-02
27 LINDE AG-SPONSORED ADR		2016-11-01	2017-06-02
49 MUENCHENER RUECK-UNSPON ADR		2016-02-18	2017-06-02
20 MUENCHENER RUECK-UNSPON ADR		2017-04-03	2017-06-02
46 NEWCREST MINING LTD-SPON ADR		2017-04-03	2017-06-02
151 NOMURA HOLDINGS INC ADR		2017-04-03	2017-06-02

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
743		639	104
573		583	-10
1,086		1,010	76
1,251		897	354
954		747	207
536		443	93
979		941	38
399		391	8
744		781	-37
956		769	187

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			104
			-10
			76
			354
			207
			93
			38
			8
			-37
			187

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
6 NOMURA HOLDINGS INC ADR		2015-06-10	2017-06-02
50 NORDEA BANK AB-SPON ADR		2016-11-21	2017-06-02
9 LUKOIL PJSC-SPON ADR		2016-12-12	2017-06-02
7 POSCO- SPON ADR		2017-03-15	2017-06-02
46 ASTRA INTERNATIONAL-UNSP ADR		2016-08-18	2017-06-02
15 PAYPAL HOLDINGS INC		2016-12-07	2017-06-02
24 TELEKOMUNIKASI IND ADR EA REP 40 SER B		2016-12-07	2017-06-02
44 PING AN INSURANCE-ADR		2016-08-09	2017-06-02
41 POTASH CORP OF SASKATCHEWAN		2016-12-12	2017-06-02
40 RAKUTEN INC-ADR		2016-08-09	2017-06-02

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
38		41	-3
642		531	111
434		495	-61
424		425	-1
619		585	34
798		577	221
794		722	72
575		431	144
673		730	-57
495		488	7

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-3
			111
			-61
			-1
			34
			221
			72
			144
			-57
			7

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
19 RELX NV - SPON ADR		2017-04-03	2017-06-02
49 RELX NV - SPON ADR		2015-12-08	2017-06-02
26 ROCHE HOLDINGS LTD SPONSORED ADR		2016-02-18	2017-06-02
4 ROPER TECHNOLOGIES INC		2016-12-07	2017-06-02
4 ROYAL BK CANADA MONTREAL		2017-04-03	2017-06-02
10 ROYAL BK CANADA MONTREAL		2016-02-18	2017-06-02
29 KONINKLIJKE DSM NV-SPONS ADR		2016-11-21	2017-06-02
11 ROYAL DUTCH SHELL PLC-ADR		2016-02-19	2017-06-02
13 RYOHIN KEIKAKU CO -UNSP ADR		2016-10-04	2017-06-02
7 SBA COMMUNICATIONS CORP		2016-05-17	2017-06-02

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
394		352	42
1,017		798	219
893		910	-17
926		729	197
278		288	-10
695		574	121
548		435	113
593		495	98
679		548	131
972		649	323

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			42
			219
			-17
			197
			-10
			121
			113
			98
			131
			323

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
29 SKF AB-SPONSORED ADR		2017-03-15	2017-06-02
32 SMC CORP-SPONSORED ADR		2017-03-15	2017-06-02
33 SAFRAN SA-UNSPON ADR		2015-08-13	2017-06-02
10 SALESFORCE COM INC		2016-02-26	2017-06-02
26 SAMPO OYJ-A SHS-UNSP ADR		2016-12-12	2017-06-02
80 SBERBANK PJSC -SPONSORED ADR		2017-04-20	2017-06-02
2 SHIRE PHARMACEUTICALS GROUP PLC SPONSORED ADR		2016-10-04	2017-06-02
40 SIBANYE GOLD LTD-SPONS ADR		2017-01-20	2017-06-02
13 SMITH & NEPHEW P L C SPD ADR NEW		2016-02-18	2017-06-02
11 SMITH (A O) CORP		2017-03-01	2017-06-02

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
611		586	25
528		464	64
741		633	108
908		689	219
667		598	69
906		892	14
352		389	-37
197		330	-133
463		430	33
618		560	58

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			25
			64
			108
			219
			69
			14
			-37
			-133
			33
			58

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
18 SOFTBANK GROUP CORP-UNSP ADR		2016-02-29	2017-06-02
9 STARBUCKS CORP		2016-05-17	2017-06-02
27 SWATCH GROUP AG/THE-UNSP ADR		2017-03-15	2017-06-02
8 TDK CORP-SPONSORED ADR		2015-05-12	2017-06-02
4 TJX COMPANIES INC		2017-03-01	2017-06-02
43 TAIWAN SEMICONDUCTOR-SP ADR		2017-04-03	2017-06-02
15 TATA MOTORS LTD-SPON ADR		2016-08-18	2017-06-02
31 TECHTRONIC INDUSTRIES-SP ADR		2016-02-18	2017-06-02
3 THERMO FISHER SCIENTIFIC INC		2017-03-20	2017-06-02
15 TOKIO MARINE HOLDINGS-ADR		2015-08-13	2017-06-02

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
732		464	268
581		427	154
534		464	70
508		593	-85
301		314	-13
1,555		1,301	254
559		538	21
723		524	199
527		472	55
660		652	8

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			268
			154
			70
			-85
			-13
			254
			21
			199
			55
			8

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
3 TOTAL FINA ELF S A SPONSORED ADR		2016-01-22	2017-06-02
6 TOTAL FINA ELF S A SPONSORED ADR		2016-06-14	2017-06-02
10 TRIPADVISOR INC		2015-09-01	2017-06-02
13 UNILEVER N V NEW YORK SHS NEW		2016-01-15	2017-06-02
12 VISA INC-CLASS A SHARES		2010-03-04	2017-06-02
9 WABTEC CORP		2015-12-22	2017-06-02
14 ZOETIS INC		2017-06-01	2017-06-02
4 CREDICORP LTD		2017-05-23	2017-06-02
31 GENPACT LTD		2014-06-26	2017-06-02
4 ELBIT SYSTEMS LTD		2016-05-25	2017-06-02

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
156		148	8
312		271	41
391		690	-299
747		523	224
1,151		260	891
764		679	85
875		874	1
670		662	8
855		534	321
499		383	116

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			8
			41
			-299
			224
			891
			85
			1
			8
			321
			116

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
3 ELBIT SYSTEMS LTD		2017-04-03	2017-06-02
8 NXP SEMICONDUCTORS NV		2015-01-29	2017-06-02
758 725 BARON EMERGING MARKETS-INS		2016-06-03	2017-06-06
2 COSTCO WHOLESALE CORP		2014-06-26	2017-06-26
50 SIBANYE GOLD LTD-SPONS ADR		2017-06-12	2017-06-26
3079 031 MAINGATE MLP FUND-I		2016-12-07	2017-06-29
4 FIRST REPUBLIC BANK/CA		2014-06-26	2017-07-20
15 URBAN OUTFITTERS INC		2014-10-20	2017-07-26
4 POWER INTEGRATIONS INC		2014-06-26	2017-08-01
10 VWR CORP		2016-06-17	2017-08-01

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
374		344	30
874		631	243
10,000		8,209	1,791
321		231	90
249		174	75
29,251		29,661	-410
401		216	185
275		488	-213
287		227	60
330		295	35

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			30
			243
			1,791
			90
			75
			-410
			185
			-213
			60
			35

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
15 VERIFONE SYSTEMS INC		2015-03-16	2017-08-01
6 NXP SEMICONDUCTORS NV		2015-01-29	2017-08-01
545 BRIGHHOUSE FINANCIAL INC		2017-06-02	2017-08-10
2 FACEBOOK INC-A		2015-04-22	2017-08-16
3 ALIGN TECHNOLOGY INC		2015-06-08	2017-08-22
19 HEARTLAND EXPRESS INC		2014-06-26	2017-08-28
AMERICAN INTERNATIONAL GROUP COMMON			2017-08-30
1 BRIGHHOUSE FINANCIAL INC		2017-06-02	2017-08-30
42 TEVA PHARMACEUTICAL INDS SPONS ADR		2017-06-02	2017-08-30
3 FIRST REPUBLIC BANK/CA		2014-06-26	2017-09-05

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
293		521	-228
665		473	192
32		32	
341		168	173
516		187	329
407		396	11
12			12
57		60	-3
653		1,218	-565
284		162	122

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-228
			192
			173
			329
			11
			12
			-3
			-565
			122

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
10 GREENHILL & CO INC		2014-06-26	2017-09-05
5 IBERIABANK CORP		2015-01-07	2017-09-05
45 GENERAL ELECTRIC CO		2017-06-02	2017-09-07
8 HOLOGIC INC		2016-12-16	2017-10-06
3 AMERICAN EXPRESS CO		2017-06-02	2017-10-23
10 CHEVRON CORP		2017-06-02	2017-10-23
5 EXXON MOBIL CORP		2017-06-02	2017-10-23
19 FREEPORT-MCMORAN INC		2017-06-02	2017-10-23
1 INTUITIVE SURGICAL INC		2016-12-07	2017-10-23
419 ISHARES CORE S&P SMALL-CAP E		2014-06-26	2017-10-23

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
145		475	-330
375		320	55
1,081		1,246	-165
302		323	-21
277		236	41
1,192		1,030	162
416		397	19
281		216	65
376		205	171
31,300		23,130	8,170

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-330
			55
			-165
			-21
			41
			162
			19
			65
			171
			8,170

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
9 NATIONAL-OILWELL INC		2017-06-02	2017-10-23
1 PRICELINE GRP INC COM NEW		2017-06-01	2017-10-23
2 ALIGN TECHNOLOGY INC		2017-10-23	2017-11-09
2 BLACKBAUD INC		2017-10-23	2017-11-09
7 CALATLANTIC GROUP INC		2015-01-21	2017-11-09
6 COMPASS MINERALS INTERNATION		2014-06-26	2017-11-09
4 FIVE BELOW		2017-10-23	2017-11-09
46 NUANCE COMMUNICATIONS INC		2017-06-02	2017-11-09
4 TREEHOUSE FOODS INC		2015-01-29	2017-11-09
7 TREEHOUSE FOODS INC		2017-10-23	2017-11-09

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
305		295	10
1,941		1,893	48
494		408	86
197		185	12
359		248	111
393		569	-176
228		229	-1
690		881	-191
171		367	-196
300		469	-169

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			10
			48
			86
			12
			111
			-176
			-1
			-191
			-196
			-169

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
2 TWENTY-FIRST CENTURY FOX - B		2017-06-02	2017-11-09
CAPITAL GAIN DIVIDENDS	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
57		55	2
			642

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			2

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
ALABAMA INSTITUTE FOR THE DEAF AND BLIND PO BOX 698 Talladega, AL 35160	NONE	PC	GENERAL OPERATING	2,700
BIRMINGHAM YMCA 2101 4TH AVE N BIRMINGHAM, AL 35203	NONE	PC	GENERAL OPERATING	3,000
CUMBERLAND SCHOOL OF LAW 800 LAKESHORE DRIVE BIRMINGHAM, AL 35229	NONE	PC	GENERAL OPERATING	8,100
GLENWOOD MENTAL HEALTH 150 GLENWOOD LANE BIRMINGHAM, AL 35242	NONE	PC	GENERAL OPERATING	2,700
GREATER BIRMINGHAM CHAPTER AL COUNCIL OF THE BLIND PO BOX 12832 BIRMINGHAM, AL 35282	NONE	PC	GENERAL OPERATING	2,400
Total ▶ 3a				54,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
HAND IN PAW617 38TH STREET SOUTH BIRMINGHAM, AL 35222	NONE	PC	GENERAL OPERATING	2,700
KING'S HOMEPO BOX 162 CHELSEA, AL 35043	NONE	PC	GENERAL OPERATING	2,700
LAKESHORE FOUNDATION 4000 RIDGEWAY DR BIRMINGHAM, AL 35209	NONE	PC	GENERAL OPERATING	2,700
RESTORATION ACADEMYP O BOX 30 FAIRFIELD, AL 35064	NONE	PC	GENERAL OPERATING	2,700
SIGHT SAVERS AMERICA 337 BUSINESS CIRCLE PELHAM, AL 35124	NONE	PC	GENERAL OPERATING	2,700
Total 3a				54,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
THE UNIVERSITY OF ALABAMA LAW SCHOOL FOUNDATION P OBOX 870382 TUSCALOOSA, AL 35487	NONE	PC	GENERAL OPERATING	8,100
UAB CENTER FOR LOW VISION REHABILITATION1720 2ND AVENUE S BIRMINGHAM, AL 35294	NONE	PC	GENERAL OPERATING	2,700
WORKSHOPS INC SUSAN CROW EXECUTIVE DIRECTOR 4244 3RD AVENUE SOUTH BIRMINGHAM, AL 35222	NONE	PC	GENERAL OPERATING	2,700
YWCA - CENTRAL ALABAMA 309 23RD STREET NORTH BIRMINGHAM, AL 35203	NONE	PC	GENERAL OPERATING	8,100
Total 3a				54,000

TY 2017 Investments Corporate Bonds Schedule**Name:** HENRY G SIMS & HENRY U SIMS MEM FD**EIN:** 63-6114412**Investments Corporate Bonds Schedule**

Name of Bond	End of Year Book Value	End of Year Fair Market Value
TEMPLETON GLOBAL BOND FUND ADV	15,585	16,842
FIDELITY INVST GRADE BD	93,433	94,762
TCW CORE FIXED INCOME FUND	29,113	28,610
VANGUARD TTL BDINDEX ADM FD#584	127,881	126,997

TY 2017 Investments Corporate Stock Schedule**Name:** HENRY G SIMS & HENRY U SIMS MEM FD**EIN:** 63-6114412

Name of Stock	End of Year Book Value	End of Year Fair Market Value
VISA INC CL A SHRS	994	3,763
ISHARES MSCI EAFE ETF		
DANAHER CORP DEL	1,961	2,877
STARBUCKS CORP	1,711	1,838
AKAMAI TECHNOLOGIES INC		
AMERICAN CAMPUS COMMUNITIES	1,162	1,190
AMPHENOL CORP CL A	1,760	2,985
ANSYS INC	1,533	2,509
APTARGROUP INC	460	518
ARTISAN PARTNERS ASSET MGMT	572	435
BLACKBAUD INC	410	945
COGNEX CORP	322	979
COGNIZANT TECHNOLOGY SOLUTIONS		
COMPASS MINERALS INTERNATION		
COSTCO WHOLESALE CORP	1,494	2,233
COSTAR GROUP INC	923	1,188
DORMAN PRODUCTS, INC.	784	795
DRIL-QUIP INC	528	239
ECOLAB INC	2,302	2,818
EXPONET INC	838	1,067
FIRST REPUBLIC BANK SAN FRAN		
GARTNER INC	1,154	1,478
GLACIER BANCORP, INC.	634	748
GREENHILL & CO INC		
HEARTLAND EXPRESS INC		
ICU MEDICAL INC	572	1,080
IBERIABANK CORP		
INTUITIVE SURGICAL INC	1,217	2,920
LAUDER ESTEE COS INC CL A	1,694	2,799
LINCOLN ELEC HLDGS INC	712	824

Name of Stock	End of Year Book Value	End of Year Fair Market Value
MARKETAXESS HOLDINGS INC	1,200	1,816
MEAD JOHNSON NUTRITION COMPANY		
MICROCHIP TECHNOLOGY INC		
MID-AMER APT CMNTYS INC REIT	505	704
MIDDLEBY CORP	323	540
NORDSON CORP	737	1,025
POWER INTEGRATIONS INC		
PRICESMART INC		
PROASSURANCE CORP		
QEP RESOURCES INC	391	172
RPM INTERNATIONAL INC RPM INC		
RBC BEARINGS INC.	686	1,138
SS&C TECHNOLOGIES HOLDINGS	685	1,012
SVB FINANCIAL GROUP	976	1,636
SALESFORCE.COM INC	1,445	2,454
SIGNATURE BANK	1,037	1,098
STERIS PLC ISIN: GB00BVVBC028	830	1,050
SUPERIOR ENERGY SVCS INC	521	144
TEXAS CAPITAL BANCSHARES INC	474	711
TORO CO	749	1,109
TRIPADVISOR INC. - W/I	1,895	1,206
TUPPERWARE BRANDS CORP	500	376
TYLER TECHNOLOGIES INC	977	1,239
URBAN OUTFITTERS INC		
WABTEC	2,232	2,524
WEST PHARMACEUTICAL SERVICES	841	1,283
ZEBRA TECHNOLOGIES CORP CL A	1,255	1,349
BP PLC SPON ADR		
BAIDU, INC. ADR		
BAYER A G SPON ADRS		

Name of Stock	End of Year Book Value	End of Year Fair Market Value
BRITISH AMERN TOB PLC SPON ADR		
CANADIAN NATIONAL RAILWAY		
EXPERIAN GROUP LTD - SPON ADR		
GRUPO FINANCIERO BANORTE -SPON		
HENKEL KGAA - SPONS ADR PFD		
INFOSYS LIMITED SPON ADR 1/4S		
ING GROEP N V SPONSORED ADR ON		
JAPAN TOBACCO INC UNSPON ADR		
KOC HOLDING AS UNSPON ADR		
KOREA ELECTRIC POWER CORPSPON		
L.G. DISPLAY COMPANY LTD ADR		
LVMH MOET HENNESSY LOUS VUITTO		
LINDE AG - SPON ADR		
MICHELIN (CDGE) - UNSPON ADR		
NETEASE, INC. ADR		
NISSAN MOTOR CO LTD SPONSORED		
NOMURA HLDGS INC ADR ONE ADRR		
PT ASTRA INTERNATIONAL TBK -UN		
PANDORA A/S - ADR		
RITCHIE BROS AUCTIONEERS INC	464	569
ROCHE HOLDINGS LTD SPONSORED A		
ROYAL BANK OF CANADA		
SMITH & NEPHEW P L C		
SODEXHO - SPONSORED ADR		
SOFTBANK GROUP CORPORATION -U		
TAIWAN SEMICONDUCTOR MFG LTDS		
TATA MOTORS LTD SPONSORED ADR		
TECHTRONIC INDUSTRIES		
TENCENT HOLDINGS LTD UNSPON		
GENPACT LIMITED	974	1,841

Name of Stock	End of Year Book Value	End of Year Fair Market Value
BANCO LATINO AMERICANO DE COM		
ISHARES CORE S & P 500 ETF	122,291	154,589
ISHARES CORE S&P MID-CAP ETF	26,023	35,109
ISHARES RUSSELL 1000 VALUE ETF		
ISHARES CORE S&P SMALL-CAP ETF	24,648	34,949
ACADIA HEALTHCARE CO INC	721	489
ADOBE SYSTEMS INC	1,813	3,330
AIA GROUP LTD. SP ADR		
AIRBUS SE -UNSP ADR		
ALEXION PHARMACEUTICALS INC		
ALIBABA GROUP HOLDING LTDSPON	1,286	1,552
ALIGN TECHNOLOGY INC	384	889
ALKERMES PLC	738	711
ALPHABET INC CA-CL A	314	1,053
ALPHABET INC CA-CL C	1,349	3,139
AMAZON.COM INC	1,343	3,508
AQR MANAGED FUTURES STRATEGYF		
BANCO BILBAO VIZCAYA ARGENTAS		
BANKUNITED INC	434	489
BARON EMERGING MARKETS INST	53,662	73,261
BHP BILLITON LTD SPONSORED ADR		
BJ'S RESTAURANTS INC	583	546
BOOZ ALLEN HAMILTON HOLDING CO	678	763
BURLINGTON STORES INC	580	1,107
CALAMOS MARKET NEU INC FD-I	32,079	33,616
CALATLANTIC GROUP INC		
CALLON PETROLEUM CO	845	863
CATALENT INC	840	1,027
CAVCO INDUSTRIES INC	639	763
CEB INC		

Name of Stock	End of Year Book Value	End of Year Fair Market Value
CHINA BIOLOGIC PRODUCTS INC		
CONTINENTAL AG SPONSORED ADR		
CREDICORP LTD TIRES & RUBBERP		
CRH PLC SPONSORED ADR ONE ADR		
CSL LIMITED -SPONSORED ADR		
DANSKE BANK A/S - SPON ADR		
DAVITA INC		
DEXCOM INC	1,568	1,550
DR REDDYS LABS LTD		
DRIEHAUS ACTIVE INCOME FUND	33,911	32,645
EAGLE MATERIALS INC	733	793
EAST JAPAN RAILWAY CO - ADR		
EASTLERY GOVERNMENT PROPERITES	725	790
ELBIT SYSTEMS LTD		
FACEBOOK INC - A	1,602	3,176
FANUC CORPORATION		
FIVE BELOW, INC.	652	995
FLEETCOR TECHNOLOGIES INC	2,087	2,502
FORTIVE CORPORATION	1,595	2,315
GRACO INC	625	814
HANG LUNG PROPERTIES - SP ADR		
HOLOGIC INC		
HONDA MOTOR CO LTD SPONS ADRA		
HOYA CORP		
ICICI BANK LIMITED		
IDEXX LABS CORP	231	469
ITAU UNIBANCO HOLDING, S.A - A		
JAMES RIVER GROUP HOLDINGS LTD	788	760
KONINLIJKE DSM NV SPONSORED ON		
LITHIA MOTORS INC CL A	728	795

Name of Stock	End of Year Book Value	End of Year Fair Market Value
LUKOIL PJSC-SPON ADR		
MAINGATE MLP FUND		
MFS CORPORATE BOND I	33,162	33,979
MUENCHENER RUECK - UNSPON ADR		
NORDEA BANK SPONSORED ADR		
NXP SEMICONDUCTORS NV		
OGE ENERGY CORP	696	658
PAYPAL HOLDINGS INC W/I	1,832	3,534
PING AN INSURANCE ADR		
PORTLAND GEN ELEC CO		
POTASH CORP OF SASKATCHEWAN		
PREMIER INC CLASS A		
PRUDENTIAL HIGH YIELD FUND CL		
PYSICIANS REALTY TRUST	895	864
QUAKER CHEMICAL CORP	613	754
RAKUTEN INC ADR		
RELX NV - SPON ADR		
ROPER TECHNOLOGIES INC	1,959	2,849
ROYAL DUTCH SHELL PLC-ADR	771	1,067
RYOHIN KEIKAKU CO LTD UNSP ADR		
SAFRAN SA -UNSPN ADR		
SAMPO OYJ - A SHS-UNSP ADR		
SBA COMMUNICATIONS CORP		
SHIRE PLC SPONSORED ADR ONE AD		
SMITH (A.O.) CORP	1,308	1,655
SUN COMMUNITIES INC	805	1,021
SUNCOR ENERGY INC	1,457	1,689
SWAN DEFINED RISK FUND	56,160	60,922
TDK CORP ONE ADR REPRESENTS 1		
TELEKOMUNIKASI INDONESIA PTSP		

Name of Stock	End of Year Book Value	End of Year Fair Market Value
THE MICHAEL COS INC		
TOKIO MARINE HOLDINGS - ADR		
TOTAL S A SPONSORED ADR ONE A		
TREEHOUSE FOODS INC		
ULTIMATE SOFTWARE GROUP INC	497	655
UNDER ARMOUR INC CLASS A		
UNDER ARMOUR INC CLASS C		
UNILEVER N V N Y SHS NEW ONE N		
VERIFONE SYSTEMS, INC.		
VWR CORP		
WAGeworks INC.	780	992
WEBSTER FINANCIAL CORP	655	842
WILLIAM BLAIR MACRO ALLOCATION	28,746	28,905

TY 2017 Investments - Other Schedule**Name:** HENRY G SIMS & HENRY U SIMS MEM FD**EIN:** 63-6114412**Investments Other Schedule 2**

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
AQR MANAGED FUTURES STRATEGY F	AT COST	32,993	27,913
ADVISERS INVT TR JOHCM INTL SL	AT COST	78,735	84,746
AIR PRODUCTS & CHEMICALS INC	AT COST	737	820
ALTRIA GROUP INC	AT COST	1,049	1,000
AMERICAN EXPRESS CO	AT COST	1,335	1,688
AMERICAN TOWER CORP COM	AT COST	1,343	1,427
AMERISOURCEBERGEN CORP	AT COST	839	826
AMGEN INC	AT COST	1,112	1,217
ANHEUSER-BUSCH INBEV NV	AT COST	945	892
ANTHEM INC	AT COST	1,501	1,800
BWX TECHNOLOGIES INC	AT COST	589	665
BANK OF AMERICA CORP	AT COST	1,181	1,506
BANK OF NEW YORK MELLON CORP/T	AT COST	977	1,077
CVS HEALTH CORP	AT COST	1,969	1,813
CAPITAL ONE FINANCIAL CORP	AT COST	860	1,095
CARTER'S INC	AT COST	402	470
CCH I LLC - CLASS A	AT COST	3,561	3,360
CHEVRON CORP	AT COST	1,133	1,377
CITIGROUP INC	AT COST	1,409	1,711
CORESITE REALTY CORP	AT COST	681	683
CROWN HOLDINGS INC	AT COST	1,232	1,181
DISH NETWORK CORP	AT COST	2,273	1,719
EDWARDS LIFESCIENCES CORP	AT COST	1,163	1,465
EXXON MOBIL CORP	AT COST	1,192	1,255
FREEPORT-MCMORAN COPPER & GOLD	AT COST	659	1,100
GARDNER DENVER HOLDINGS INC	AT COST	766	1,018
HALLIBURTON CO	AT COST	1,587	1,710
HOME DEPOT INC/THE	AT COST	932	1,137
HONEYWELL INTERNATIONAL INC	AT COST	1,745	1,994
HORIZON GLOBAL CORP	AT COST	234	168

Investments Other Schedule 2

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
ILLINOIS TOOL WORKS INC	AT COST	1,715	2,002
IMPAX LABORATORIES INC	AT COST	188	183
INTUIT INC	AT COST	1,767	2,367
JPMORGAN CHASE & CO	AT COST	2,615	3,315
JOHNSON & JOHNSON	AT COST	1,294	1,397
K2M GROUP HOLDINGS INC	AT COST	418	378
KIMBERLY-CLARK CORP	AT COST	912	845
LOGMEIN INC	AT COST	595	573
MARSH & MCLENNAN COS INC	AT COST	1,420	1,465
MARTIN MARIETTA MATERIALS INC	AT COST	1,345	1,326
MATADOR RESOURCES CO	AT COST	510	623
MCCORMICK & CO INC/MD	AT COST	680	713
MERCK & CO INC	AT COST	1,763	1,519
METLIFE INC	AT COST	785	860
MICHAELS COS INC/THE	AT COST	684	702
MICROSOFT CORP	AT COST	1,155	1,369
MOTOROLA SOLUTIONS INC	AT COST	1,559	1,626
NATIONAL OILWELL VARCO INC	AT COST	589	648
NOVARTIS AG ADR-EACH REPR 1 CH	AT COST	741	756
ORACLE CORP	AT COST	639	615
PPG INDUSTRIES INC	AT COST	882	935
PHILIP MORRIS INTERNATIONAL IN	AT COST	1,570	1,373
PINNACLE FINANCIAL PARTNERS IN	AT COST	645	663
POLARIS INDUSTRIES INC	AT COST	695	868
POOL CORP	AT COST	577	648
PORTLAND GENERAL ELECTRIC CO	AT COST	668	775
POWER INTEGRATIONS INC	AT COST	522	588
PREMIER INC	AT COST	633	555
PRICESMART INC	AT COST	1,055	1,033
PROASSURANCE CORP	AT COST	500	629

Investments Other Schedule 2

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
PROGRESSIVE CORP/THE	AT COST	688	901
PRUDENTIAL HIGH YIELD FUND	AT COST	31,210	33,639
RPM INTERNATIONAL INC	AT COST	1,386	1,520
SBA COMMUNICATIONS CORP NEW	AT COST	1,822	2,940
SCHLUMBERGER LTD	AT COST	1,236	1,213
SCHNEIDER NATIONAL INC	AT COST	722	857
SEMPRA ENERGY	AT COST	1,292	1,176
SILICON LABORATORIES INC	AT COST	748	795
STATE STREET CORP	AT COST	1,407	1,659
SUMMIT HOTEL PROPERTIES INC	AT COST	650	609
SYNCHRONY FINANCIAL	AT COST	711	1,004
TJX COS INC	AT COST	1,694	1,682
THERMO FISHER SCIENTIFIC INC	AT COST	1,693	2,089
TIME WARNER INC	AT COST	2,086	1,921
TRAVELERS COS INC/THE	AT COST	1,003	1,085
TWENTY-FIRST CENTURY FOX INC	AT COST	1,521	1,877
US BANCORP	AT COST	1,960	2,036
UNITED TECHNOLOGIES CORP	AT COST	1,473	1,531
UNITEDHEALTH GROUP INC	AT COST	716	882
VANGUARD DEVELOPED MARKETS IND	AT COST	79,271	84,671
WELLS FARGO & CO	AT COST	1,929	2,245
WESTERN ALLIANCE BANCORP	AT COST	719	793
XEROX CORP COM USD1(POV REV SP	AT COST	668	583
ZOETIS INC	AT COST	2,343	3,026
TE CONNECTIVITY LTD	AT COST	1,477	1,711
NXP SEMICONDUCTORS NV	AT COST	1,283	1,756

TY 2017 Other Decreases Schedule**Name:** HENRY G SIMS & HENRY U SIMS MEM FD**EIN:** 63-6114412

Description	Amount
TIMING DIFFERENCE	139
AMERICAN CAMPUS PY ROC ADJ	13
ARTISAN PARTNERS PY ROC ADJ	23
MAINGATE MLP FUND PY ROC ADJ	1,419
MICROCHIP TECHNOLOGY PY ROC ADJ	11
PYSICIANS REALTY TRUST PY ROC ADJ	3
SUN COMMUNITIES INC PY ROC ADJ	9
TEMPLETON GLOBAL PY ROC ADJ	397
JAMES RIVER GROUP PY ROC ADJ	2

TY 2017 Other Expenses Schedule**Name:** HENRY G SIMS & HENRY U SIMS MEM FD**EIN:** 63-6114412**Other Expenses Schedule**

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
OTHER NONALLOCABLE EXPENSES -	38	38		0

TY 2017 Other Professional Fees Schedule**Name:** HENRY G SIMS & HENRY U SIMS MEM FD**EIN:** 63-6114412

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT MGMT FEES-SUBJECT T	1,215	1,215		

TY 2017 Taxes Schedule**Name:** HENRY G SIMS & HENRY U SIMS MEM FD**EIN:** 63-6114412

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAXES	516	516		0
FED TAX PD EXTENTION-PRIORYR	192	0		0