Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.
▶ Information about Form 990-PF and its instructions is at *www.irs.gov/form990pf*.

OMB No 1545-0052

2017

Open to Public Inspection

For calendar year 2017, or tax year beginning 01-01-2017 , and ending 12-31-2017

Name of foundation
JULIA & ISADORE KLEIN FAMILY FOUNDATION INC

A Employer identification number
61-0648689

Number and street (or P O box number if mail is not delivered to street address)
6714 ELMCROFT CIRCLE

Room/suite

B Telephone number (see instructions)
(502) 292-0597

City or town, state or province, country, and ZIP or foreign postal code
LOUISVILLE, KY 40241

C If exemption application is pending, check here ▶ ☐

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity
☐ Final return ☐ Amended return
☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐
2 Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization ☑ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year *(from Part II, col (c), line 16)*▶$ 6,359,255

J Accounting method ☑ Cash ☐ Accrual
☐ Other (specify) ________
(Part I, column (d) must be on cash basis)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*

			(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)				
	2	Check ▶ ☑ if the foundation is **not** required to attach Sch B				
	3	Interest on savings and temporary cash investments	1,206	1,206		
	4	Dividends and interest from securities	82,292	82,292		
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	263,770			
	b	Gross sales price for all assets on line 6a 874,986				
	7	Capital gain net income (from Part IV, line 2)		263,770		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)	-1,836	-1,836		
	12	**Total.** Add lines 1 through 11	345,432	345,432		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc	24,000	0		0
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)				
	b	Accounting fees (attach schedule)	4,175	4,175		0
	c	Other professional fees (attach schedule)	5,785	5,785		0
	17	Interest	819	819		0
	18	Taxes (attach schedule) (see instructions)	447	447		0
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses (attach schedule)	24,148	23,510		638
	24	**Total operating and administrative expenses.** Add lines 13 through 23	59,374	34,736		638
	25	Contributions, gifts, grants paid	291,991			291,991
	26	**Total expenses and disbursements.** Add lines 24 and 25	351,365	34,736		292,629
	27	Subtract line 26 from line 12				
	a	**Excess of revenue over expenses and disbursements**	-5,933			
	b	**Net investment income** (if negative, enter -0-)		310,696		
	c	**Adjusted net income**(if negative, enter -0-)				

Part II	**Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year (a) Book Value	End of year (b) Book Value	(c) Fair Market Value
Assets 1	Cash—non-interest-bearing	217,919	181,893	181,893
2	Savings and temporary cash investments	774,588	277,004	277,004
3	Accounts receivable ▶ Less allowance for doubtful accounts ▶			
4	Pledges receivable ▶ Less allowance for doubtful accounts ▶			
5	Grants receivable			
6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
7	Other notes and loans receivable (attach schedule) ▶ Less allowance for doubtful accounts ▶			
8	Inventories for sale or use			
9	Prepaid expenses and deferred charges			
10a	Investments—U S and state government obligations (attach schedule)			
b	Investments—corporate stock (attach schedule)	4,592,778	5,176,494	5,900,358
c	Investments—corporate bonds (attach schedule)			
11	Investments—land, buildings, and equipment basis ▶ Less accumulated depreciation (attach schedule) ▶			
12	Investments—mortgage loans			
13	Investments—other (attach schedule)			
14	Land, buildings, and equipment basis ▶ Less accumulated depreciation (attach schedule) ▶			
15	Other assets (describe ▶)			
16	**Total assets** (to be completed by all filers—see the instructions Also, see page 1, item I)	5,585,285	5,635,391	6,359,255
Liabilities 17	Accounts payable and accrued expenses			
18	Grants payable			
19	Deferred revenue			
20	Loans from officers, directors, trustees, and other disqualified persons			
21	Mortgages and other notes payable (attach schedule)			
22	Other liabilities (describe ▶)			
23	**Total liabilities**(add lines 17 through 22)	0	0	
Net Assets or Fund Balances	**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
24	Unrestricted			
25	Temporarily restricted			
26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☑ and complete lines 27 through 31.			
27	Capital stock, trust principal, or current funds	0	0	
28	Paid-in or capital surplus, or land, bldg , and equipment fund	0	0	
29	Retained earnings, accumulated income, endowment, or other funds	5,585,285	5,635,391	
30	**Total net assets or fund balances** (see instructions)	5,585,285	5,635,391	
31	**Total liabilities and net assets/fund balances** (see instructions)	5,585,285	5,635,391	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	5,585,285
2	Enter amount from Part I, line 27a	2	-5,933
3	Other increases not included in line 2 (itemize) ▶	3	56,039
4	Add lines 1, 2, and 3	4	5,635,391
5	Decreases not included in line 2 (itemize) ▶	5	0
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	5,635,391

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	See Additional Data Table			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	See Additional Data Table			
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69				
	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
a	See Additional Data Table			
b				
c				
d				
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 }	2	263,770
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☑ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2016	308,874	5,894,161	0 052403
2015	318,288	6,168,507	0 051599
2014	315,911	6,433,529	0 049104
2013	493,544	5,781,103	0 085372
2012	308,107	5,920,937	0 052037

2	**Total** of line 1, column (d)	2	0 290515
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 058103
4	Enter the net value of noncharitable-use assets for 2017 from Part X, line 5	4	6,050,803
5	Multiply line 4 by line 3	5	351,570
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	3,107
7	Add lines 5 and 6	7	354,677
8	Enter qualifying distributions from Part XII, line 4	8	292,629

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 Date of ruling or determination letter ______ **(attach copy of letter if necessary–see instructions)**				
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b			1	6,214
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)				
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			2	0
3	Add lines 1 and 2.			3	6,214
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			4	0
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-			5	6,214
6	Credits/Payments				
a	2017 estimated tax payments and 2016 overpayment credited to 2017	6a	800		
b	Exempt foreign organizations—tax withheld at source	6b			
c	Tax paid with application for extension of time to file (Form 8868)	6c			
d	Backup withholding erroneously withheld	6d	0		
7	Total credits and payments Add lines 6a through 6d.			7	800
8	Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached			8	5
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶			9	5,419
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶			10	
11	Enter the amount of line 10 to be **Credited to 2018 estimated tax** ▶	**Refunded** ▶		11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		No
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)?.	1b		No
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities*			
c	Did the foundation file **Form 1120-POL** for this year?.	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ▶ $ 0 **(2)** On foundation managers ▶ $ 0			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ 0			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		No
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?.	4a		No
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?.	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ KY			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation*	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2017 or the taxable year beginning in 2017 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		No
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		No

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	

Website address ▶N/A

14 The books are in care of ▶BETH KLEIN Telephone no ▶(502) 562-5413

Located at ▶6714 ELMCROFT CIRCLE LOUISVILLE KY ZIP+4 ▶40241

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —Check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ 15

			Yes	No
16	At any time during calendar year 2017, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		No
	See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR) If "Yes", enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

				Yes	No
1a	During the year did the foundation (either directly or indirectly)				
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☑ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☑ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☑ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☑ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☑ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days).	☐ Yes ☑ No			
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?		1b		
	Organizations relying on a current notice regarding disaster assistance check here ▶	☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2017?		1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))				
a	At the end of tax year 2017, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2017?	☐ Yes ☑ No			
	If "Yes," list the years ▶ 20____ , 20____ , 20____ , 20____				
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions)		2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ 20____ , 20____ , 20____ , 20____				
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☑ No			
b	If "Yes," did it have excess business holdings in 2017 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2017).*		3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2017?		4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (Continued)

5a	During the year did the foundation pay or incur any amount to				
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☑ No			
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☑ No			
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☑ No			
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 4945(d)(4)(A)? (see instructions)	☐ Yes ☑ No			
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☑ No			
b	If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)?		**5b**		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐				
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	☐ Yes ☐ No			
	If "Yes," attach the statement required by Regulations section 53 4945–5(d)				
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes ☑ No			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		**6b**		No
	If "Yes" to 6b, file Form 8870				
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes ☑ No			
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?		**7b**		

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
STEPHEN KLEIN PO BOX 201 MCKENNA, WA 98558	PRESIDENT 0 00	0	0	0
RICHARD KLEIN 6714 ELMCROFT CIRCLE LOUISVILLE, KY 40241	VICE PRESIDENT 0 00	0	0	0
BETH PAXTON KLEIN 6714 ELMCROFT CIRCLE LOUISVILLE, KY 40241	SECRETARY 15 00	24,000	0	0

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				
Total number of other employees paid over $50,000. ▶				0

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over $50,000 for professional services. . . . . ▶		0

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 NOT APPLICABLE	0
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments See instructions 3	
Total. Add lines 1 through 3 . . . . ▶	0

Part X Minimum Investment Return (All domestic foundations must complete this part Foreign foundations,see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes			
a	Average monthly fair market value of securities.		1a	5,457,078
b	Average of monthly cash balances.		1b	685,869
c	Fair market value of all other assets (see instructions).		1c	0
d	**Total** (add lines 1a, b, and c).		1d	6,142,947
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e 0		
2	Acquisition indebtedness applicable to line 1 assets.		2	0
3	Subtract line 2 from line 1d.		3	6,142,947
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).		4	92,144
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4		5	6,050,803
6	**Minimum investment return.** Enter 5% of line 5.		6	302,540

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6.		1	302,540
2a	Tax on investment income for 2017 from Part VI, line 5.	2a 6,214		
b	Income tax for 2017 (This does not include the tax from Part VI).	2b		
c	Add lines 2a and 2b.		2c	6,214
3	Distributable amount before adjustments Subtract line 2c from line 1.		3	296,326
4	Recoveries of amounts treated as qualifying distributions.		4	0
5	Add lines 3 and 4.		5	296,326
6	Deduction from distributable amount (see instructions).		6	0
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.		7	296,326

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	292,629
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	292,629
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions).	5	0
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4.	6	292,629

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII **Undistributed Income** (see instructions)

		(a) Corpus	(b) Years prior to 2016	(c) 2016	(d) 2017
1 Distributable amount for 2017 from Part XI, line 7					296,326
2 Undistributed income, if any, as of the end of 2017					
a Enter amount for 2016 only.				0	
b Total for prior years 20___ , 20___ , 20___			0		
3 Excess distributions carryover, if any, to 2017					
a From 2012.	12,915				
b From 2013.	206,881				
c From 2014.					
d From 2015.	11,536				
e From 2016.	14,760				
f Total of lines 3a through e.		246,092			
4 Qualifying distributions for 2017 from Part XII, line 4 ▶ $ 292,629					
a Applied to 2016, but not more than line 2a				0	
b Applied to undistributed income of prior years (Election required—see instructions).			0		
c Treated as distributions out of corpus (Election required—see instructions).		0			
d Applied to 2017 distributable amount.					292,629
e Remaining amount distributed out of corpus		0			
5 Excess distributions carryover applied to 2017 *(If an amount appears in column (d), the same amount must be shown in column (a))*		3,697			3,697
6 Enter the net total of each column as indicated below:					
a Corpus Add lines 3f, 4c, and 4e Subtract line 5		242,395			
b Prior years' undistributed income Subtract line 4b from line 2b			0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.			0		
d Subtract line 6c from line 6b Taxable amount —see instructions			0		
e Undistributed income for 2016 Subtract line 4a from line 2a Taxable amount—see instructions				0	
f Undistributed income for 2017 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2018					0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)		0			
8 Excess distributions carryover from 2012 not applied on line 5 or line 7 (see instructions) . . .		9,218			
9 Excess distributions carryover to 2018. Subtract lines 7 and 8 from line 6a		233,177			
10 Analysis of line 9					
a Excess from 2013. . . .	206,881				
b Excess from 2014. . . .					
c Excess from 2015. . . .	11,536				
d Excess from 2016. . . .	14,760				
e Excess from 2017. . . .					

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2017, enter the date of the ruling. . . . . ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2017	**(b)** 2016	**(c)** 2015	**(d)** 2014	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter **(1)** Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test—enter **(1)** Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had $5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

BETH KLEIN
6714 ELMCROFT CIRCLE
LOUISVILLE, KY 40241
(502) 473-6071

b The form in which applications should be submitted and information and materials they should include

THERE IS NO PRESCRIBED FORMAT

c Any submission deadlines

SUBMISSIONS ACCEPTED THROUGHOUT THE YEAR

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

THERE ARE NO SPECIFIC LIMITATIONS OR RESTRICTIONS ON AWARDS

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year* See Additional Data Table				
Total . . . . ▶ **3a**				291,991
b *Approved for future payment*				
Total . . . . ▶ **3b**				0

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments.					
3 Interest on savings and temporary cash investments			14	1,206	
4 Dividends and interest from securities.			14	82,292	
5 Net rental income or (loss) from real estate					
a Debt-financed property.					
b Not debt-financed property.					
6 Net rental income or (loss) from personal property					
7 Other investment income.			15	212	
8 Gain or (loss) from sales of assets other than inventory			14	263,770	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue					
a FIFTH AVENUE PEF 12 LLC			14	-1,622	
b FIFTH AVENUE PEF 11 LLC			14	-426	
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e).		0		345,432	0

13 **Total.** Add line 12, columns (b), (d), and (e). 13 345,432

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)

Part XVII **Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501 (c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of			
(1) Cash.	**1a(1)**		No
(2) Other assets.	**1a(2)**		No
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization.	**1b(1)**		No
(2) Purchases of assets from a noncharitable exempt organization.	**1b(2)**		No
(3) Rental of facilities, equipment, or other assets.	**1b(3)**		No
(4) Reimbursement arrangements.	**1b(4)**		No
(5) Loans or loan guarantees.	**1b(5)**		No
(6) Performance of services or membership or fundraising solicitations.	**1b(6)**		No
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees.	**1c**		No

d If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line No	**(b)** Amount involved	**(c)** Name of noncharitable exempt organization	**(d)** Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☑ No

b If "Yes," complete the following schedule

(a) Name of organization	**(b)** Type of organization	**(c)** Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

******	2018-11-14	******
Signature of officer or trustee	Date	Title

May the IRS discuss this return with the preparer shown below (see instr)? ☑ **Yes** ☐ **No**

Paid Preparer Use Only

Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ▶ ☐	PTIN
JOHN LEMASTUS				P00225963
Firm's name ▶ LEMASTUS MCDONOUGH PLLC				Firm's EIN ▶82-4357834
Firm's address ▶ 10200 FOREST GREEN BLVD SUITE 603 LOUISVILLE, KY 40223				Phone no (502) 473-6071

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
FIFTH AVENUE PEF 11 LLC	P		2017-12-31
FIFTH AVENUE PEF 11 LLC	P		2017-12-31
FIFTH AVENUE PEF 12 LLC	P		2017-12-31
FIFTH AVENUE PEF 12 LLC	P		2017-12-31
CLASS ACTION PROCEEDS			2017-12-17
ALIBABA GROUP HOLDINGS LTD CUSIP 01609W102 SYMBOL BABA			2017-06-15
ARAMARK/CUSIP 03852U106 SYMBOL ARMK			2017-01-10
ARAMARK/CUSIP 03852U106 SYMBOL ARMK			2017-01-11
ARAMARK/CUSIP 03852U106 SYMBOL ARMK			2017-01-12
ARAMARK/CUSIP 03852U106 SYMBOL ARMK			2017-01-13

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
57			57
65,356			65,356
851			851
26,340			26,340
9			9
2,694		2,106	588
1,361		1,502	-141
3,044		3,368	-324
675		724	-49
678		723	-45

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			57
			65,356
			851
			26,340
			9
			588
			-141
			-324
			-49
			-45

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
ARAMARK/CUSIP 03852U106 SYMBOL ARMK (CONTD)			2017-01-17
ARAMARK/CUSIP 03852U106 SYMBOL ARMK (CONTD)			2017-01-18
ARAMARK/CUSIP 03852U106 SYMBOL ARMK (CONTD)			2017-01-19
ARAMARK/CUSIP 03852U106 SYMBOL ARMK (CONTD)			2017-01-20
ARAMARK/CUSIP 03852U106 SYMBOL ARMK (CONTD)			2017-01-23
BAXTER INTERNATIONAL INC CUSIP 071813109 SYMBOL BAX			2017-12-04
BRISTOL-MYERS SQUIBB CO CUSIP 110122108 SYMBOL BMY			2017-06-14
CBS CORP CL B NEW/CUSIP 124857202 SYMBOL CBS			2017-01-24
CBS CORP CL B NEW/CUSIP 124857202 SYMBOL CBS			2017-01-25
CBS CORP CL B NEW/CUSIP 124857202 SYMBOL CBS			2017-01-26

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
673		721	-48
999		1,075	-76
666		712	-46
663		712	-49
2,970		3,196	-226
5,196		4,662	534
3,270		3,565	-295
3,778		3,399	379
3,787		3,372	415
5,112		4,471	641

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-48
			-76
			-46
			-49
			-226
			534
			-295
			379
			415
			641

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
CDW CORP/DE CUSIP 12514G108 SYMBOL CDW			2017-01-31
CVS HEALTH CORP CUSIP 126650100 SYMBOL CVS			2017-02-03
DENTSPLY SIRONA INC CUSIP 24906P109 SYMBOL XRAY			2017-01-20
DENTSPLY SIRONA INC CUSIP 24906P109 SYMBOL XRAY			2017-01-23
DOLLAR GENERAL CORP/CUSIP 256677105 SYMBOL DG			2017-01-24
EDISON INTERNATIONAL/CUSIP 281020107 SYMBOL EIX			2017-12-05
HOME DEPOT/CUSIP 437076102/ SYMBOL HD			2017-08-31
LOWES COS INC/CUSIP 548661107 SYMBOL LOW			2017-01-18
LOWES COS INC/CUSIP 548661107 SYMBOL LOW			2017-01-19
MOHAWK INDUSTRIES INC CUSIP 608190104 SYMBOL MHK			2017-01-12

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
5,135		4,147	988
1,889		2,650	-761
8,796		9,305	-509
1,333		1,312	21
1,791		2,110	-319
1,399		1,604	-205
6,002		5,687	315
6,429		6,185	244
4,157		3,986	171
5,037		4,915	122

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			988
			-761
			-509
			21
			-319
			-205
			315
			244
			171
			122

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
MOHAWK INDUSTRIES INC CUSIP 608190104 SYMBOL MHK			2017-01-13
MOHAWK INDUSTRIES INC/CUSIP 608190104 SYMBOL MHK(CONTD)			2017-01-17
NIKE INC CL B/CUSIP 654106103 SYMBOL NKE			2017-09-21
NIKE INC CL B/CUSIP 654106103 SYMBOL NKE			2017-09-22
OBAYASHI/CUSIP 6656407 SYMBOL			2017-01-06
OBAYASHI/CUSIP 6656407 SYMBOL			2017-01-10
OBAYASHI/CUSIP 6656407 SYMBOL			2017-01-11
OBAYASHI/CUSIP 6656407 SYMBOL			2017-01-12
OW FIXED INCOME FUND CUSIP 680414406 SYMBOL OWFI X			2017-04-06
OW SMALL & MIDCAP STRAT FD CUSIP 680414604 SYMBOL OWSM X			2017-04-13

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2,023		1,924	99
10,102		9,184	918
3,731		3,975	-244
2,657		2,660	-3
680		687	-7
679		687	-8
1,420		1,463	-43
476		488	-12
38,676		39,339	-663
66,505		66,589	-84

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			99
			918
			-244
			-3
			-7
			-8
			-43
			-12
			-663
			-84

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
ENAGAS SA/CUSIP 7383072 SYMBOL			2017-01-05
ENAGAS SA/CUSIP 7383072 SYMBOL			2017-01-06
ENAGAS SA/CUSIP 7383072 SYMBOL			2017-01-09
ENAGAS SA/CUSIP 7383072 SYMBOL			2017-01-10
S&P 500 DEP RCPTS CUSIP 78462F103 SYMBOL SPY			2017-01-30
S&P 500 DEP RCPTS CUSIP 78462F103 SYMBOL SPY			2017-01-31
SABRE CORP COM CUSIP 78573M104 SYMBOL SABR			2017-01-25
SABRE CORP COM CUSIP 78573M104 SYMBOL SABR			2017-01-26
SABRE CORP COM CUSIP 78573M104 SYMBOL SABR			2017-01-27
SABRE CORP COM/CUSIP 78573M104 SYMBOL SABR (CONTD)			2017-01-30

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
758		919	-161
1,507		1,765	-258
2,280		2,537	-257
127		140	-13
20,432		20,142	290
7,939		7,631	308
1,480		1,682	-202
986		1,122	-136
1,718		1,958	-240
735		838	-103

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-161
			-258
			-257
			-13
			290
			308
			-202
			-136
			-240
			-103

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
SABRE CORP COM/CUSIP 78573M104 SYMBOL SABR (CONTD)			2017-01-31
UNION PACIFIC CORP/CUSIP 907818108 SYMBOL UNP			2017-07-24
UNION PACIFIC CORP/CUSIP 907818108 SYMBOL UNP			2017-07-25
UNION PACIFIC CORP/CUSIP 907818108 SYMBOL UNP			2017-10-11
UNION PACIFIC CORP/CUSIP 907818108 SYMBOL UNP			2017-10-12
XYLEM INC/CUSIP 98419M100 SYMBOL XYL			2017-01-24
XYLEM INC/CUSIP 98419M100 SYMBOL XYL			2017-01-25
COCA-COLA EURO PRTNRS PLC CUSIP BD4D942 SYMBOL			2017-01-05
COCA-COLA EURO PRTNRS PLC CUSIP BD4D942 SYMBOL			2017-01-06
SHIONOGI & CO LTD CUSIP J74229105 SYMBOL			2017-02-15

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
1,957		2,227	-270
4,185		4,373	-188
1,569		1,606	-37
2,824		2,583	241
1,703		1,550	153
2,450		2,268	182
3,761		3,393	368
1,907		2,330	-423
1,911		2,317	-406
1,439		1,546	-107

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			**(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			-270
			-188
			-37
			241
			153
			182
			368
			-423
			-406
			-107

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
ROYAL CARIBBEAN CRUISES LD/CUSIP V7780T103 SYMBOL RCL			2017-01-23
AETNA INC NEW/CUSIP 00817Y108 SYMBOL AET (CONTD)			2017-01-10
AETNA INC NEW/CUSIP 00817Y108 SYMBOL AET (CONTD)			2017-01-11
AETNA INC NEW/CUSIP 00817Y108 SYMBOL AET (CONTD)			2017-01-12
ALPHABET INC CLASS C/CUSIP 02079K107 SYMBOL GOOG			2017-08-03
AMERICAN INTL GROUP INC/CUSIP 026874784 SYMBOL AIG			2017-04-13
APPLE INC/CUSIP 037833100/SYMBOL AAPL			2017-01-24
BNP PARIBAS SPON ADR/CUSIP 05565A202 SYMBOL BNPQ Y (CONTD)			2017-01-18
BNP PARIBAS SPON ADR/CUSIP 05565A202 SYMBOL BNPQ Y (CONTD)			2017-01-19
BNP PARIBAS SPON ADR/CUSIP 05565A202 SYMBOL BNPQ Y (CONTD)			2017-01-20

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
4,245		3,442	803
1,847		1,379	468
3,071		2,287	784
3,316		2,470	846
4,627		3,527	1,100
4,762		3,870	892
5,997		5,575	422
2,542		2,181	361
2,538		2,134	404
2,622		2,186	436

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			803
			468
			784
			846
			1,100
			892
			422
			361
			404
			436

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
CDWCORP/DE/CUSIP 12514G108 SYMBOL CDW			2017-01-18
CDWCORP/DE/CUSIP 12514G108 SYMBOL CDW			2017-01-20
CDWCORP/DE/CUSIP 12514G108 SYMBOL CDW			2017-01-23
CDWCORP/DE/CUSIP 12514G108 SYMBOL CDW			2017-01-24
CDWCORP/DE/CUSIP 12514G108 SYMBOL CDW			2017-01-25
CDWCORP/DE/CUSIP 12514G108 SYMBOL CDW			2017-01-30
CVS HEALTH CORP/CUSIP 126650100 SYMBOL CVS			2017-02-03
CVS HEALTH CORP CUSIP 126650100 SYMBOL CVS (CONTD)			2017-02-06
CVS HEALTH CORP CUSIP 126650100 SYMBOL CVS (CONTD)			2017-02-07
DOLLAR GENERAL CORP CUSIP 256677105 SYMBOL DG			2017-01-24

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
526		377	149
520		377	143
516		377	139
516		377	139
518		377	141
1,019		754	265
3,400		4,537	-1,137
9,914		12,483	-2,569
2,898		1,828	1,070
6,089		5,780	309

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			149
			143
			139
			139
			141
			265
			-1,137
			-2,569
			1,070
			309

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
DOLLAR GENERAL CORP CUSIP 256677105 SYMBOL DG			2017-01-25
EDISON INTERNATIONAL CUSIP 281020107 SYMBOL EIX			2017-12-04
EDISON INTERNATIONAL CUSIP 281020107 SYMBOL EIX			2017-12-05
EDISON INTERNATIONAL/CUSIP 281020107 SYMBOL EIX (CONTD)			2017-12-06
HSBC HLDGS PLC ADR/CUSIP 404280406 SYMBOL HSBC			2017-01-05
ATOS/CUSIP 5654781 SYMBOL			2017-01-19
ATOS/CUSIP 5654781 SYMBOL			2017-01-20
ATOS/CUSIP 5654781 SYMBOL			2017-01-23
NIKE INC CL B/CUSIP 654106103 SYMBOL NKE			2017-09-21
NISSAN MOTOR/CUSIP 6642860 SYMBOL			2017-01-19

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
4,530		4,271	259
3,222		2,571	651
2,099		1,928	171
4,669		4,346	323
3,570		3,974	-404
537		379	158
1,611		1,137	474
4,067		2,881	1,186
533		480	53
3,015		3,157	-142

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			259
			651
			171
			323
			-404
			158
			474
			1,186
			53
			-142

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
NISSAN MOTOR/CUSIP 6642860 SYMBOL			2017-01-20
NISSAN MOTOR/CUSIP 6642860 SYMBOL			2017-01-23
NISSAN MOTOR/CUSIP 6642860 SYMBOL			2017-01-24
OW LARGE CAP STRATEGIES FD/CUSIP 680414109 SYMBOL OWLS X			2017-04-06
OW STRATEGIC OPPTYS FUND/CUSIP 680414802 SYMBOL OWSO X			2017-04-06
OW STRATEGIC OPPTYS FUND/CUSIP 680414802 SYMBOL OWSO X			2017-04-13
PANDORA A/S SPONSORED ADR/CUSIP 698341203 SYMBOL PANDY			2017-04-13
PANDORA A/S SPONSORED ADR/CUSIP 698341203 SYMBOL PANDY			2017-04-17
PEPSICO INC/CUSIP 713448108/SYMBOL PEP			2017-12-04
PFIZER INC/CUSIP 717081103/SYMBOL PFE			2017-01-10

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,498		1,578	-80
1,505		1,540	-35
515		525	-10
64,535		63,147	1,388
107,898		102,461	5,437
14,000		13,329	671
1,071		700	371
2,585		1,552	1,033
3,523		2,830	693
2,342		2,286	56

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-80
			-35
			-10
			1,388
			5,437
			671
			371
			1,033
			693
			56

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
PFIZER INC/CUSIP 717081103/SYMBOL PFE (CONTD)			2017-01-11
PFIZER INC/CUSIP 717081103/SYMBOL PFE (CONTD)			2017-01-12
ENAGAS SA/CUSIP 7383072 SYMBOL			2017-01-10
UNION PACIFIC CORP/CUSIP 907818108 SYMBOL UNP			2017-07-25
UNION PACIFIC CORP/CUSIP 907818108 SYMBOL UNP			2017-10-10
UNION PACIFIC CORP/CUSIP 907818108 SYMBOL UNP			2017-10-11
VISA INC/CUSIP 92826C839 SYMBOL V			2017-12-04
VISA INC/CUSIP 92826C839 SYMBOL V			2017-12-05
UNILEVER NV/CUSIP B12T3J1 SYMBOL			2017-01-24
UNILEVER NV/CUSIP B12T3J1 SYMBOL (CONTD)			2017-01-25

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
6,256		6,202	54
260		261	-1
2,329		2,776	-447
4,707		5,177	-470
2,255		1,922	333
2,259		1,544	715
5,395		3,989	1,406
1,087		798	289
7,098		6,504	594
42		38	4

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			54
			-1
			-447
			-470
			333
			715
			1,406
			289
			594
			4

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
ASTRAZENECA PLC CUSIP G0593M107 SYMBOL			2017-05-19
ASTRAZENECA PLC CUSIP G0593M107 SYMBOL			2017-05-22
NIELSEN HOLDINGS PLC/CUSIP G6518L108 SYMBOL NLSN			2017-01-18
NIELSEN HOLDINGS PLC/CUSIP G6518L108 SYMBOL NLSN			2017-01-19
NIELSEN HOLDINGS PLC/CUSIP G6518L108 SYMBOL NLSN			2017-01-20
SHIRE PLC GBP 05/CUSIP G8124V108 SYMBOL			2017-04-13
ORIX CORP CUSIP J61933123/SYMBOL			2017-01-24
ORIX CORP CUSIP J61933123/SYMBOL			2017-01-25
ORIX CORP CUSIP J61933123/SYMBOL			2017-01-26
ORIX CORP CUSIP J61933123/SYMBOL			2017-01-27

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,332		1,445	-113
5,722		5,930	-208
420		435	-15
2,915		2,918	-3
1,889		1,497	392
4,218		3,831	387
1,389		1,065	324
1,381		1,065	316
2,284		1,772	512
1,648		1,294	354

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-113
			-208
			-15
			-3
			392
			387
			324
			316
			512
			354

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
ORIX CORP CUSIP J61933123/SYMBOL			2017-01-30
SHIONOGI & CO LTD CUSIP J74229105 SYMBOL			2017-02-10
SHIONOGI & CO LTD CUSIP J74229105 SYMBOL			2017-02-13
SHIONOGI & CO LTD CUSIP J74229105 SYMBOL			2017-02-14
SHIONOGI & CO LTD CUSIP J74229105 SYMBOL			2017-02-15
ING GROEP NV CUSIP N4578E595 SYMBOL			2017-01-30
ING GROEP NV CUSIP N4578E595 SYMBOL			2017-01-31
ING GROEP NV CUSIP N4578E595 SYMBOL			2017-02-01
ING GROEP NV CUSIP N4578E595 SYMBOL			2017-02-02
NXP SEMICONDUCTORS NV/CUSIP N6596X109 SYMBOL NXPI			2017-01-20

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
450		352	98
1,486		1,334	152
988		880	108
1,450		1,317	133
288		263	25
1,424		1,504	-80
3,171		3,300	-129
1,589		1,650	-61
29		30	-1
8,801		6,417	2,384

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			98
			152
			108
			133
			25
			-80
			-129
			-61
			-1
			2,384

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
ROYAL CARIBBEAN CRUISES LD CUSIP V7780T103 SYMBOL RCL			2017-01-20
ROYAL CARIBBEAN CRUISES LD CUSIP V7780T103 SYMBOL RCL			2017-01-23
BROADCOM LTD/CUSIP Y09827109 SYMBOL AVGO			2017-08-03
CLASS ACTION PROCEEDS CUSIP 007515 SYMBOL			2017-12-27
BARCLAYS PLC ADR CUSIP 06738E204 SYMBOL BCS			2017-09-12
BARRICK GOLD CORP CUSIP 067901108 SYMBOL			2017-09-07
BAXTER INTERNATIONAL INC/CUSIP 071813109 SYMBOL BAX			2017-05-26
MERCK & CO INC NEW/CUSIP 58933Y105 SYMBOL MRK			2017-03-24
WEATHERFORD INTL LTD CUSIP H27013103 SYMBOL			2017-07-18
CAPITAL GAINS DIVIDENDS	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
5,114		4,667	447
170		156	14
3,243		1,361	1,882
10			10
8			8
43			43
67			67
30			30
61			61
144,106			144,106

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			447
			14
			1,882
			10
			8
			43
			67
			30
			61
			144,106

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
ACTORS THEATRE OF LOUISVILLE 316 WEST MAIN STREET LOUISVILLE, KY 40202			CHARITABLE	50
AMERICAN PRINTING HOUSE FOR THE BLIND INC 1839 FRANKFORT AVE LOUISVILLE, KY 40206			CHARITABLE	1,000
ASSOCIATION FOR MACULAR DISEASES INC 210 EAST 64TH STREET NEW YORK, NY 10065			CHARITABLE	1,000
Total . . . . ▶ **3a**				291,991

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
BAPTIST HEALTH FOUNDATION 4000 KRESGE WAY LOUISVILLE, KY 40207			CHARITABLE	20,000
BOUNTY FOR FAMILIES DBA YELM COOPERATIVE PO BOX 2583 YELM, WA 98597			CHARITABLE	1,000
CALIFORNIA THOROUGHBRED HORSEMEN'S FOUNDATION INC PO BOX 660129 ARCADIA, CA 91066			CHARITABLE	2,000
Total . . . . ▶ **3a**				291,991

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
CAMPERSHIPS FOR NEBAGAMON PO BOX 331 EAST TROY, MI 53120			CHARITABLE	100
CARRIAGE HOUSE EDUCATIONAL SERVICES 13101 EASTPOINT PARK BLVD LOUISVILLE, KY 40223			CHARITABLE	2,000
CENTER FOR SCIENCE IN THE PUBLIC INTEREST 1220 L STREET NW SUITE 300 WASHINGTON, DC 20005			CHARITABLE	300
Total . . . . ▶ **3a**				291,991

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
CENTRE COLLEGE 600 WEST WALNUT STREET DANVILLE, KY 40422			CHARITABLE	62,750
CHI OMEGA FOUNDATIONPO BOX 2121 MEMPHIS, TN 38159			CHARITABLE	100
CITY OF YELM105 YELM AVENUE WEST YELM, WA 98597			CHARITABLE	150
Total . . . . ▶ 3a				291,991

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
DISTINGUISHED YOUNG WOMEN OF GREEN COUNTY 406 E COLUMBIA AVE GREENSBURG, KY 42743			CHARITABLE	110
DOCTORS WITHOUT BORDERS PO BOX 5023 HAGERSTOWN, MD 217415023			CHARITABLE	2,000
EPISCOPAL CHURCH HOME 7504 WESTPORT ROAD LOUISVILLE, KY 40222			CHARITABLE	10,000
Total . . . . ▶ 3a				291,991

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
GILDA'S CLUB OF LOUISVILLLE 633 BAXTER AVENUE LOUISVILLE, KY 402041157			CHARITABLE	20,000
GRAYSON-JOCKEY CLUB RESEARCH FOUNDATION INC 821 CORPORATE DRIVE LEXINGTON, KY 40503			CHARITABLE	15,000
HEIFER INTERNATIONAL 1 WORLD AVENUE LITTLE ROCK, AR 72202			CHARITABLE	500
Total . . . . ▶ **3a**				291,991

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
HISTORIC LOCUST GROVE INC 561 BLANKENBAKER LANE LOUISVILLE, KY 40207			CHARITABLE	50
HOME OF THE INNOCENTS 1100 EAST MARKET STREET LOUISVILLE, KY 40206			CHARITABLE	50
JEWISH FEDERATION OF BROWARD COUNTY FLORIDA 5890 S PINE ISLAND ROAD DAVIE, FL 33328			CHARITABLE	4,000
Total . . . . ▶ **3a**				291,991

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
JEWISH FEDERATION OF LOUISVILLE 3600 DUTCHMANS LANE LOUISVILLE, KY 40205			CHARITABLE	10,000
KAPPA ALPHA THETA FOUNDATION 8740 FOUNDERS ROAD INDIANAPOLIS, IN 46268			CHARITABLE	100
KENTUCKY COUNTRY DAY SCHOOL 4100 SPRINGDALE ROAD LOUISVILLE, KY 40241			CHARITABLE	500
Total . . . . ▶ **3a**				291,991

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
KENTUCKY HUMANE SOCIETY 241 STEEDLY DRIVE LOUISVILLE, KY 40214			CHARITABLE	2,000
LOUISVILLE BALLET 315 EAST MAIN STREET LOUISVILLE, KY 40202			CHARITABLE	10,000
LOUISVILLE ORCHESTRA FOUNDATION 4010 DUPONT CIRCLE STE L-07 LOUISVILLE, KY 402074812			CHARITABLE	5,000
Total . . . . ▶ **3a**				291,991

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
METRO UNITED WAYPO BOX 950148 LOUISVILLE, KY 402950148			CHARITABLE	65,000
NATURAL RESOURCES DEFENSE COUNCIL 40 WEST 20TH STREET NEW YORK, NY 10011			CHARITABLE	1,500
NISQUALLY LAND TRUST 1420 MARVIN ROAD NE SUITE C LACEY, WA 985163878			CHARITABLE	500
Total . . . . ▶ **3a**				291,991

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
OXFAM AMERICAPO BOX 97374 WASHINGTON, DC 200907374			CHARITABLE	100
PERMANENTLY DISABLED JOCKEY FUND PO BOX 803 ELMHURST, IL 60126			CHARITABLE	100
RAINIER EDUCATION FOUNDATION PO BOX 1017 RAINIER, WA 98576			CHARITABLE	2,500
Total . . . . ▶ 3a				291,991

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
RAISE FOR ROWYNPO BOX 631 TENINO, CA 98589			CHARITABLE	1,000
SOCIETY FOR PREVENTION OF CRUELTY OF ANIMALS OF TEXAS 2400 LONE STAR DRIVE DALLAS, TX 75212			CHARITABLE	2,000
SOUTH OF THE SOUND COMMUNITY FARM LAND TRUST PO BOX 12118 OLYMPIA, WA 98508			CHARITABLE	250
Total . . . . ▶ **3a**				291,991

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
SOUTH OF THE SOUND HABITAT FOR HUMANITY 711 CAPITOL WAY SOUTH STE 401 OLYMPIA, WA 98501			CHARITABLE	500
ST JOHN CENTER 700 EAST MUHAMMAD ALI BLVD LOUISVILLE, KY 40202			CHARITABLE	2,000
ST JUDE CHILDREN'S RESEARCH HOSPITAL 501 ST JUDE PLACE MEMPHIS, TN 381051942			CHARITABLE	200
Total . . . . ▶ 3a				291,991

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
TEMPLE EMANU-EL 2100 HIGHLAND AVENUE SOUTH BIRMINGHAM, AL 35205			CHARITABLE	1,000
THE CABBAGE PATCH SETTLEMENT HOUSE 1413 SOUTH SIXTH STREET LOUISVILLE, KY 40208			CHARITABLE	2,500
THE FLYERS RIGHTS EDUCATION FUND INC 4411 BEE RIDGE ROAD 274 SARASOTA, FL 34233			CHARITABLE	150
Total . . . . ▶ 3a				291,991

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
THE PHOENIX RISING SCHOOL PO BOX 1010 RAINIER, WA 98576			CHARITABLE	5,820
THE TEEN PARENTING VILLAGE INTERNATIONAL INC 10371 SW 152ND STREET MIAMI, FL 33157			CHARITABLE	1,500
THE TEMPLE5101 US HIGHWAY 42 LOUISVILLE, KY 40241			CHARITABLE	30,495
Total . . . . ▶ 3a				291,991

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
TRINITY HIGH SCHOOL 4011 SHELBYVILLE ROAD LOUISVILLE, KY 40207			CHARITABLE	80
US HOLOCAUST MEMORIAL MUSEUM 100 RAOUL WALLENBERG PLACE SW WASHINGTON, DC 200242126			CHARITABLE	1,000
UNIVERSITY OF KENTUCKY ALUMNI ASSOCIATION KING ALUMNI HOUSE 400 ROSE STREET LEXINGTON, KY 405060119			CHARITABLE	36
Total . . . . ▶ **3a**				291,991

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
UNIVERSITY OF KENTUCKY GLUCK EQUINE RESEARCH FOUNDATION 108 GLUCK EQUINE RESEARCH CENTER DRIVE LEXINGTON, KY 405460099			CHARITABLE	1,000
UNIVERSITY OF MIAMIPO BOX 248002 CORAL GABLES, FL 331241514			CHARITABLE	1,000
WHAS CRUSADE FOR CHILDREN PO BOX 1100 LOUISVILLE, KY 40202			CHARITABLE	2,000
Total . . . . ▶ 3a				291,991

TY 2017 Accounting Fees Schedule

Name: JULIA & ISADORE KLEIN FAMILY FOUNDATION INC

EIN: 61-0648689

Accounting Fees Schedule

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING	4,175	4,175		0

efile GRAPHIC print - DO NOT PROCESS	As Filed Data -	DLN: 93491319052008

TY 2017 Investments Corporate Stock Schedule

Name: JULIA & ISADORE KLEIN FAMILY FOUNDATION INC

EIN: 61-0648689

Name of Stock	End of Year Book Value	End of Year Fair Market Value
VARIOUS STOCKS	5,176,494	5,900,358

TY 2017 Other Expenses Schedule

Name: JULIA & ISADORE KLEIN FAMILY FOUNDATION INC

EIN: 61-0648689

Other Expenses Schedule

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
POSTAGE & STAMPS	9	0		9
OFFICE SUPPLIES	629	0		629
FILING FEE	15	15		0
PORTFOLIO DEDUCTIONS FROM FLOW THRU	23,392	23,392		0
OTHER DEDUCTIONS FROM FLOW THRU	103	103		0

efile GRAPHIC print - DO NOT PROCESS	As Filed Data -	DLN: 93491319052008

TY 2017 Other Income Schedule

Name: JULIA & ISADORE KLEIN FAMILY FOUNDATION INC

EIN: 61-0648689

Other Income Schedule

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
FIFTH AVENUE PEF 11 LLC	198	198	198
FIFTH AVENUE PEF 12 LLC	14	14	14
FIFTH AVENUE PEF 12 LLC	-1,622	-1,622	-1,622
FIFTH AVENUE PEF 11 LLC	-426	-426	-426

TY 2017 Other Increases Schedule

Name: JULIA & ISADORE KLEIN FAMILY FOUNDATION INC

EIN: 61-0648689

Description	Amount
ADJUSTMENTS IN BOOK VALUE OF ASSETS	56,039

TY 2017 Other Professional Fees Schedule

Name: JULIA & ISADORE KLEIN FAMILY FOUNDATION INC

EIN: 61-0648689

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
BESSEMER TRUST	5,785	5,785		0

TY 2017 Taxes Schedule

Name: JULIA & ISADORE KLEIN FAMILY FOUNDATION INC

EIN: 61-0648689

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAXES	447	447		0