Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.
▶ Information about Form 990-PF and its instructions is at *www.irs.gov/form990pf*.

OMB No 1545-0052

2017

Open to Public Inspection

For calendar year 2017, or tax year beginning 01-01-2017 , and ending 12-31-2017

Name of foundation
ELEANOR & HERBERT KATZ
FAMILY FOUNDATION INC

A Employer identification number
59-2320940

Number and street (or P O box number if mail is not delivered to street address)
21218 ST ANDREWS BLVD 404

Room/suite

B Telephone number (see instructions)
(561) 910-5700

City or town, state or province, country, and ZIP or foreign postal code
BOCA RATON, FL 33433

C If exemption application is pending, check here ▶ ☐

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity
☐ Final return ☐ Amended return
☑ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐
2 Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization ☑ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year *(from Part II, col (c), line 16)*▶$ 26,297,421

J Accounting method ☑ Cash ☐ Accrual
☐ Other (specify)
(Part I, column (d) must be on cash basis)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*

		Line	Description	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1		Contributions, gifts, grants, etc , received (attach schedule)	323,398			
	2		Check ▶ ☐ if the foundation is **not** required to attach Sch B				
	3		Interest on savings and temporary cash investments	172,909	157,643		
	4		Dividends and interest from securities	236,861	216,462		
	5a		Gross rents				
	b		Net rental income or (loss)				
	6a		Net gain or (loss) from sale of assets not on line 10	753,460			
	b		Gross sales price for all assets on line 6a 5,797,676				
	7		Capital gain net income (from Part IV, line 2)		753,460		
	8		Net short-term capital gain				
	9		Income modifications				
	10a		Gross sales less returns and allowances				
	b		Less Cost of goods sold				
	c		Gross profit or (loss) (attach schedule)				
	11		Other income (attach schedule)	55,046	55,046		
	12		**Total.** Add lines 1 through 11	1,541,674	1,182,611		
Operating and Administrative Expenses	13		Compensation of officers, directors, trustees, etc				
	14		Other employee salaries and wages				
	15		Pension plans, employee benefits				
	16a		Legal fees (attach schedule)				
	b		Accounting fees (attach schedule)	9,850	8,350		1,500
	c		Other professional fees (attach schedule)	155,844	155,844		
	17		Interest	7,577	7,577		
	18		Taxes (attach schedule) (see instructions)	27,979	8,862		
	19		Depreciation (attach schedule) and depletion				
	20		Occupancy				
	21		Travel, conferences, and meetings				
	22		Printing and publications				
	23		Other expenses (attach schedule)	46,611	46,153		
	24		**Total operating and administrative expenses.** Add lines 13 through 23	247,861	226,786		1,500
	25		Contributions, gifts, grants paid	963,851			963,851
	26		**Total expenses and disbursements.** Add lines 24 and 25	1,211,712	226,786		965,351
	27		Subtract line 26 from line 12				
	a		**Excess of revenue over expenses and disbursements**	329,962			
	b		**Net investment income** (if negative, enter -0-)		955,825		
	c		**Adjusted net income**(if negative, enter -0-)				

Part II **Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

			Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing			
	2	Savings and temporary cash investments	2,457,538	1,212,144	1,212,144
	3	Accounts receivable ▶ Less allowance for doubtful accounts ▶			
	4	Pledges receivable ▶ Less allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ Less allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)	2,000,000	1,400,000	1,400,000
	b	Investments—corporate stock (attach schedule)	14,424,568	15,284,045	19,602,589
	c	Investments—corporate bonds (attach schedule)			
	11	Investments—land, buildings, and equipment basis ▶ Less accumulated depreciation (attach schedule) ▶			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)	2,726,869	4,048,504	4,075,849
	14	Land, buildings, and equipment basis ▶ Less accumulated depreciation (attach schedule) ▶			
	15	Other assets (describe ▶)	21,054	6,839	6,839
	16	**Total assets** (to be completed by all filers—see the instructions Also, see page 1, item I)	21,630,029	21,951,532	26,297,421
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)		1,425	
	23	**Total liabilities**(add lines 17 through 22)		1,425	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here** ▶ ☐ **and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☑ **and complete lines 27 through 31.**			
	27	Capital stock, trust principal, or current funds	32,205,997		
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds	-10,575,968	21,950,107	
	30	**Total net assets or fund balances** (see instructions)	21,630,029	21,950,107	
	31	**Total liabilities and net assets/fund balances** (see instructions)	21,630,029	21,951,532	

Part III **Analysis of Changes in Net Assets or Fund Balances**

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	21,630,029
2	Enter amount from Part I, line 27a	2	329,962
3	Other increases not included in line 2 (itemize) ▶	3	29,652
4	Add lines 1, 2, and 3	4	21,989,643
5	Decreases not included in line 2 (itemize) ▶	5	39,536
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	21,950,107

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	See Additional Data Table			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	See Additional Data Table			
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
a	See Additional Data Table			
b				
c				
d				
e				

2 Capital gain net income or (net capital loss)	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	753,460
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		3	629,553

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☑ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2016	929,167	19,574,400	0 047468
2015	1,051,680	12,229,771	0 085993
2014	1,056,176	12,424,891	0 085005
2013	1,042,362	12,589,838	0 082794
2012	1,067,553	11,768,239	0 090715

2 **Total** of line 1, column (d)	2	0 391975
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 078395
4 Enter the net value of noncharitable-use assets for 2017 from Part X, line 5	4	25,234,117
5 Multiply line 4 by line 3	5	1,978,229
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	9,558
7 Add lines 5 and 6	7	1,987,787
8 Enter qualifying distributions from Part XII, line 4	8	965,351

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI **Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)**

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 Date of ruling or determination letter ________ **(attach copy of letter if necessary–see instructions)**		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b	1	19,117
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	
3	Add lines 1 and 2.	3	19,117
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4	
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-	5	19,117
6	Credits/Payments		
a	2017 estimated tax payments and 2016 overpayment credited to 2017 — 6a — 17,692		
b	Exempt foreign organizations—tax withheld at source — 6b		
c	Tax paid with application for extension of time to file (Form 8868) — 6c		
d	Backup withholding erroneously withheld — 6d		
7	Total credits and payments Add lines 6a through 6d.	7	37,692
8	Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached	8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	18,575
11	Enter the amount of line 10 to be **Credited to 2018 estimated tax** ▶ 18,575 **Refunded** ▶	11	

Part VII-A **Statements Regarding Activities**

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		No
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)?.	1b		No
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities*			
c	Did the foundation file **Form 1120-POL** for this year?.	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ▶ $ ________ **(2)** On foundation managers ▶ $ ________			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ________			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		No
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?.	4a		No
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?.	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶FL			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation* .	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2017 or the taxable year beginning in 2017 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		No
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		No

Part VII-A Statements Regarding Activities (continued)

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	

Website address ▶N/A

14 The books are in care of ▶THOMAS O KATZ Telephone no ▶(561) 910-5700

Located at ▶3020 NORTH MILITARY TRAIL STE 275 BOCA RATON FL ZIP+4 ▶33431

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —Check here . . . ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year . . . ▶ 15

			Yes	No
16	At any time during calendar year 2017, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		No
	See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR) If "Yes", enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

			Yes	No
	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☑ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☑ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☑ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☑ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☑ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ Yes ☑ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b		
	Organizations relying on a current notice regarding disaster assistance check here. ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2017?	1c		
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2017, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2017? ☐ Yes ☑ No If "Yes," list the years ▶ 20____ , 20____ , 20____ , 20____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions)	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ 20____ , 20____ , 20____ , 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☑ No			
b	If "Yes," did it have excess business holdings in 2017 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2017).*	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2017?	4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (Continued)

5a	During the year did the foundation pay or incur any amount to				
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☑ No			
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☑ No			
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☑ No			
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 4945(d)(4)(A)? (see instructions)	☐ Yes ☑ No			
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☑ No			
b	If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)?		**5b**		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐				
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? *If "Yes," attach the statement required by Regulations section 53 4945–5(d)*	☐ Yes ☐ No			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes ☑ No			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? *If "Yes" to 6b, file Form 8870*		**6b**		No
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes ☑ No			
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?		**7b**		

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Additional Data Table				

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000. . . . . ▶

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over $50,000 for professional services. . . . . ▶

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments See instructions	
3	
Total. Add lines 1 through 3 . . . . ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part Foreign foundations,see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities	1a	23,361,035
b	Average of monthly cash balances	1b	2,236,421
c	Fair market value of all other assets (see instructions)	1c	20,937
d	**Total** (add lines 1a, b, and c)	1d	25,618,393
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) — 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	25,618,393
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	384,276
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	25,234,117
6	**Minimum investment return.** Enter 5% of line 5	6	1,261,706

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6			1	1,261,706
2a	Tax on investment income for 2017 from Part VI, line 5	2a	19,117		
b	Income tax for 2017 (This does not include the tax from Part VI)	2b			
c	Add lines 2a and 2b			2c	19,117
3	Distributable amount before adjustments Subtract line 2c from line 1			3	1,242,589
4	Recoveries of amounts treated as qualifying distributions			4	
5	Add lines 3 and 4			5	1,242,589
6	Deduction from distributable amount (see instructions)			6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1			7	1,242,589

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26	1a	965,351
b	Program-related investments—total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	965,351
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	965,351

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2016	(c) 2016	(d) 2017
1 Distributable amount for 2017 from Part XI, line 7					1,242,589
2 Undistributed income, if any, as of the end of 2017					
a Enter amount for 2016 only.					
b Total for prior years 20___ , 20___ , 20___					
3 Excess distributions carryover, if any, to 2017					
a From 2012.	483,497				
b From 2013.	425,958				
c From 2014.	452,387				
d From 2015.	460,016				
e From 2016.					
f **Total** of lines 3a through e.		1,821,858			
4 Qualifying distributions for 2017 from Part XII, line 4 ▶ $ 965,351					
a Applied to 2016, but not more than line 2a					
b Applied to undistributed income of prior years (Election required—see instructions).					
c Treated as distributions out of corpus (Election required—see instructions).					
d Applied to 2017 distributable amount.					965,351
e Remaining amount distributed out of corpus					
5 Excess distributions carryover applied to 2017 *(If an amount appears in column (d), the same amount must be shown in column (a))*		277,238			277,238
6 **Enter the net total of each column as indicated below:**					
a Corpus Add lines 3f, 4c, and 4e Subtract line 5		1,544,620			
b Prior years' undistributed income Subtract line 4b from line 2b					
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.					
d Subtract line 6c from line 6b Taxable amount —see instructions					
e Undistributed income for 2016 Subtract line 4a from line 2a Taxable amount—see instructions					
f Undistributed income for 2017 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2018					0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)					
8 Excess distributions carryover from 2012 not applied on line 5 or line 7 (see instructions)		206,259			
9 **Excess distributions carryover to 2018.** Subtract lines 7 and 8 from line 6a		1,338,361			
10 Analysis of line 9					
a Excess from 2013.	425,958				
b Excess from 2014.	452,387				
c Excess from 2015.	460,016				
d Excess from 2016.					
e Excess from 2017.					

Part XIV **Private Operating Foundations** (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2017, enter the date of the ruling. . . . . ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2017	**(b)** 2016	**(c)** 2015	**(d)** 2014	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter **(1)** Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test—enter **(1)** Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV **Supplementary Information (Complete this part only if the organization had $5,000 or more in assets at any time during the year—see instructions.)**

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☑ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or email address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV **Supplementary Information** (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year* See Additional Data Table				
Total . . . . ▶ **3a**				963,851
b *Approved for future payment*				
Total . . . . ▶ **3b**				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
1 Program service revenue	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments. . . .					
3 Interest on savings and temporary cash investments			14	172,909	
4 Dividends and interest from securities. . . .			14	236,861	
5 Net rental income or (loss) from real estate					
a Debt-financed property.					
b Not debt-financed property.					
6 Net rental income or (loss) from personal property					
7 Other investment income.			14	55,046	
8 Gain or (loss) from sales of assets other than inventory			14	753,460	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue					
a UBTI FROM PASS-THRUS-SEE ST	900099	-27,811			
b UBTI INCLUDED ON LINES 3-8			14	27,811	
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e). .		-27,811		1,246,087	

13 Total. Add line 12, columns (b), (d), and (e). 13 1,218,276

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)

Part XVII **Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501 (c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of			
(1) Cash.	1a(1)		No
(2) Other assets.	1a(2)		No
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization.	1b(1)		No
(2) Purchases of assets from a noncharitable exempt organization.	1b(2)		No
(3) Rental of facilities, equipment, or other assets.	1b(3)		No
(4) Reimbursement arrangements.	1b(4)		No
(5) Loans or loan guarantees.	1b(5)		No
(6) Performance of services or membership or fundraising solicitations.	1b(6)		No
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees.	1c		No

d If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line No	**(b)** Amount involved	**(c)** Name of noncharitable exempt organization	**(d)** Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☑ No

b If "Yes," complete the following schedule

(a) Name of organization	**(b)** Type of organization	**(c)** Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

****** | 2018-11-14 | ******

Signature of officer or trustee | Date | Title

May the IRS discuss this return with the preparer shown below (see instr)? ☐ **Yes** ☐ **No**

Paid Preparer Use Only

Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ▶ ☐	PTIN
DOUGLAS T WALKER		2018-11-14		P01225479
Firm's name ▶ WALKER OSTROWSKI WILLIAMS CPAS LLP				Firm's EIN ▶47-4489744
Firm's address ▶ 500 FAIRWAY DR STE 110 DEERFIELD BEACH, FL 334411817				Phone no (954) 500-1040

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
PNC IMA S/T-SEE ATTACHED STMT	P		
PASS-THRU S/T-SEE ATTACHED STMT	P		
PNC IMA L/T-SEE ATTACHED STMT	P		
PASSTHRU L/T-SEE ATTACHED STMT	P		
PNC DENT S/T-SEE ATTACHED STMT	P		
PNC DENT L/T-SEE ATTACHED STMT	P		
PNC JMRM DENT L/T-SEE ATTACHED STMT	P		
CHARLES SCHWAB L/T-SEE ATTACHED STMT	P		
CHARLES SCHWAB S/T-SEE ATTACHED STMT	P		
FIDELITY INV S/T-SEE ATTACHED STMT	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
328,671		346,811	-18,140
7,981			7,981
1,829,990		1,428,165	401,825
25,945			25,945
61,051		63,063	-2,012
497,627		389,402	108,225
869,747		776,911	92,836
160,016		141,866	18,150
89,559		85,678	3,881
1,000,000		1,000,015	-15

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-18,140
			7,981
			401,825
			25,945
			-2,012
			108,225
			92,836
			18,150
			3,881
			-15

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
FIDELITY INV L/T-SEE ATTACHED STMT	P		
EVERGREEN PTRS LP-GAIN ON LIQUIDATIO	P		
ROCKET FUEL	P		
SEC 1231 LOSS-FUNDAMENTAL PTRS III	P		
SEC 1231 LOSS-HARBOURVEST PTRS VI	P		
SEC 1231 LOSS-HARBOURVEST VI BUYOUT	P		
SEC 1231 LOSS-VIRTUS RE CAPITAL II	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
800,000		800,008	-8
104			104
3,078		11,067	-7,989
		69	-69
		2	-2
		195	-195
		964	-964

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-8
			104
			-7,989
			-69
			-2
			-195
			-964

Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
THOMAS O KATZ 800 S OCEAN BLVD APT LPH2 BOCA RATON, FL 33432	DIR/TR/SEC 3 00	0	0	0
LAURA KATZ CUTLER 5307 ELLIOTT ROAD BETHESDA, MD 20816	DIR/VP 0 25	0	0	0
SALLY KATZ ONE INDEPENDENCE PL 241 S 6TH ST A PHILADELPHIA, PA 191063733	DIRECTOR 0 25	0	0	0
DANIEL KATZ 12914 STONEBROOK DRIVE DAVIE, FL 33330	DIRECTOR 0 25	0	0	0
WALTER KATZ 12489 STONEBROOK CIRCLE DAVIE, FL 33330	DIRECTOR 0 25	0	0	0

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
AISH HATORAH4010 N 46 AVENUE HOLLYWOOD, FL 33021	NONE	PUBLIC CHARI	ANNUAL FUND	18,000
AMERICAN FRIENDS OF KOLOT C/O D WASSERMAN 27 WEST 72ND ST NEW YORK, NY 10023	NONE	PUBLIC CHARI	ANNUAL FUND	15,000
AMERICAN ISRAEL CULTURAL FDN 1140 BROADWAY SUITE 304 NEW YORK, NY 10001	NONE	PUBLIC CHARI	ANNUAL FUND	1,800
Total . . . . ▶ **3a**				963,851

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
BBYO INC2020 K STREET NW 7TH FLO WASHINGTON, DC 20006	NONE	PUBLIC CHARI	ANNUAL FUND	25,000
CLAL99 PARK AVENUE STE C-300 NEW YORK, NY 10016	NONE	PUBLIC CHARI	ANNUAL FUND	45,000
GOODMAN JEWISH FAMILY SVC 100 S PINE ISLAND RD 230 PLANTATION, FL 33324	NONE	PUBLIC CHARI	ANNUAL FUND	10,000
Total . . . . ▶ **3a**				963,851

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
HILLEL INTERNATIONAL800 8TH ST NW WASHINGTON, DC 20001	NONE	PUBLIC CHARI	ANNUAL FUND	405,000
HILLEL OF BROWARD & PALM BEACH 777 GLADES RD BLDG LY-3A BOCA RATON, FL 33439	NONE	PUBLIC CHARI	ANNUAL FUND	38,000
HILLEL OF UNIVERITY OF PENNSYLVANIA 215 S 39TH ST PHILADELPHIA, PA 19104	NONE	PUBLIC CHARI	ANNUAL FUND	25,000
Total . . . . ▶ **3a**				963,851

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
JEWISH COMMUNITY FOUNDATION 9901 DONNA KLEIN BLVD BOCA RATON, FL 33428	NONE	PUBLIC CHARI	ANNUAL FUND	117,500
JEWISH FEDERATION OF BROWARD COUNTY 5890 S PINE ISLAND RD FORT LAUDERDALE, FL 33328	NONE	PUBLIC CHARI	ANNUAL FUND	16,000
JEWISH FEDERATION OF GREATER HOUSTO 5603 S BRAESWOOD BLVD HOUSTON, TX 77096	NONE	PUBLIC CHARI	ANNUAL FUND	5,000
Total . . . . ▶ **3a**				963,851

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
JEWISH FED OF GREATER PHILADELPHIA 2100 ARCH STREET PHILADELPHIA, PA 19103	NONE	PUBLIC CHARI	ANNUAL FUND	11,000
JEWISH FED OF GREATER WASHINGTON 6101 EXECUTIVE BLVD NORTH BETHESDA, MD 20852	NONE	PUBLIC CHARI	ANNUAL FUND	11,000
JEWISH FUNDERS NETWORK 992 LOCUST COVE ROAD HEALTHSVILLE, VA 22473	NONE	PUBLIC CHARI	ANNUAL FUND	1,200
Total . . . . ▶ 3a				963,851

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
LEKET ISRAELPO BOX 2090 TEANECK, NJ 07666	NONE	PUBLIC CHARI	ANNUAL FUND	1,800
NATIONAL MUSEUM OF AMERICAN JEWISH HISTORYINDEPENDENCE MALL EAST 55 N 5 STREET PHILADELPHIA, PA 19106	NONE	PUBLIC CHARI	ANNUAL FUND	115,000
NATIONAL PARKINSON FOUNDATION PO BOX 5018 HAGERSTOWN, MD 21741	NONE	PUBLIC CHARI	ANNUAL FUND	25,000
Total . . . . ▶ **3a**				963,851

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
NER ISRAEL RABBINICAL COLLEGE 400 MOUNT WILSON LANE BALTIMORE, MD 21208	NONE	PUBLIC CHARI	ANNUAL FUND	250
OCEAN REEF MEDICAL CENTER 50 BARRACUDA LANE KEY LARGO, FL 33037	NONE	PUBLIC CHARI	ANNUAL FUND	300
PARDES INSTITUTE OF JEWISH STUDIES 404 5TH AVENUE STE 7013 NEW YORK, NY 10018	NONE	PUBLIC CHARI	ANNUAL FUND	10,000
Total . . . . ▶ **3a**				963,851

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
PROJECT INTERCHANGE 1156 15 STREET STE 1201 WASHINGTON, DC 20001	NONE	PUBLIC CHARI	ANNUAL FUND	10,000
SOUTH PALM BEACH COUNTY JEWISH FEDERATION 9901 DONNA KLEIN BLVD BOCA RATON, FL 33428	NONE	PUBLIC CHARI	ANNUAL FUND	11,000
UNITED WAY OF BROWARD 1300 S ANDREWS AVENUE FORT LAUDERDALE, FL 33316	NONE	PUBLIC CHARI	ANNUAL FUND	2,500
Total . . . . ▶ **3a**				963,851

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
WASHINGTON INSTITUTE FOR N EAST POLICIES11710 HUNTERS LANE ROCKVILLE, MD 20852	NONE	PUBLIC CHARI	ANNUAL FUND	12,500
WPBT CHANNEL 2PO BOX 610002 MIAMI, FL 33261	NONE	PUBLIC CHARI	ANNUAL FUND	1,000
BROWARD PERFORMING ARTS FOUNDATION 201 SW 5TH AVENUE FORT LAUDERDALE, FL 33312	NONE	PUBLIC CHARI	ANNUAL FUND	30,000
Total . . . . ▶ **3a**				963,851

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
FROM PASS-THRU FUNDAMENTAL PTRS III ACCESS FUND LP125 EAST ELM STREET STE 200 CONSHOHOCKEN, PA 19428	NONE	PUBLIC CHARI	MISC DONATION	1
Total . . . . ▶ 3a				963,851

TY 2017 Accounting Fees Schedule

Name: ELEANOR & HERBERT KATZ FAMILY FOUNDATION INC

EIN: 59-2320940

Accounting Fees Schedule

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING FEES	9,850	8,350		1,500

efile GRAPHIC print - DO NOT PROCESS	As Filed Data -	DLN: 93491318030238

TY 2017 Investments Corporate Stock Schedule

Name: ELEANOR & HERBERT KATZ
FAMILY FOUNDATION INC

EIN: 59-2320940

Name of Stock	End of Year Book Value	End of Year Fair Market Value
CORPORATE STOCKS-SEE ATTACHED SCHEDU	15,284,045	19,602,589

efile GRAPHIC print - DO NOT PROCESS	As Filed Data -	DLN: 93491318030238

TY 2017 Investments Government Obligations Schedule

Name:	ELEANOR & HERBERT KATZ FAMILY FOUNDATION INC
EIN:	59-2320940
US Government Securities - End of Year Book Value:	
US Government Securities - End of Year Fair Market Value:	
State & Local Government Securities - End of Year Book Value:	1,400,000
State & Local Government Securities - End of Year Fair Market Value:	1,400,000

TY 2017 Investments - Other Schedule

Name: ELEANOR & HERBERT KATZ FAMILY FOUNDATION INC

EIN: 59-2320940

Investments Other Schedule 2

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
PASS-THRU ENTITIES-SEE ATT	AT COST	1,848,482	1,880,069
NON CORP BONDS - SEE ATTACHED	AT COST	2,100,022	2,095,780
STATE OF ISRAEL BONDS - SEE ATTACHED	AT COST	100,000	100,000

TY 2017 Other Assets Schedule

Name: ELEANOR & HERBERT KATZ FAMILY FOUNDATION INC

EIN: 59-2320940

Other Assets Schedule

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
DISTRIBUTIONS RECEIVABLE	122		
ACCRUED DIVIDENDS	3,240	6,839	6,839
PREPAID FEDERAL TAXES	17,692		

TY 2017 Other Decreases Schedule

Name: ELEANOR & HERBERT KATZ FAMILY FOUNDATION INC

EIN: 59-2320940

Description	Amount
BOOK/TAX DIFFERENCES FROM PASS-THRU HARBOURVEST VI	334
BOOK/TAX DIFFERENCES FROM PASS-THRU HRBRVST VI BUY	461
BOOK/TAX DIFFERENCES FROM PASS-THRU TRIMARAN	6,329
BOOK/TAX DIFFERENCES FROM PASS-THRU HUDSON VE PTRS	4,152
BOOK/TAX DIFFERENCES FROM PASS-THRU LUX VENTURES V	35
BOOK/TAX DIFFERENCES FROM PASS-THRU LUX CO-INVEST	16
BOOK/TAX DIFFERENCES FROM PASS-THRU VIRTUS RE CAP	18,801
BOOK/TAX DIFFERENCES FROM INVESTMENTS	4,265
NON DEDUCTIBLE EXPENSES FROM INVESTMENTS	2,810
NON DEDUCTIBLE EXPENSES FRM PASS-THRUS	2,333

TY 2017 Other Expenses Schedule

Name: ELEANOR & HERBERT KATZ FAMILY FOUNDATION INC

EIN: 59-2320940

Other Expenses Schedule

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
EXPENSES				
OFFICE	61	61		
FROM PASS-THRU RREF III DEBT	90	90		
FROM PASS-THRU HUDSON VENTURE	767	767		
FROM PASS-THRU HARBOURVEST VI	47	47		
FROM PASS-THRU HARBOURVEST VI	36	36		
FROM PASS-THRU TRIMARAN FUND	152	152		
FROM PASS-THRU CMS/GEN NX360	3,150	3,150		
BANK CHARGES	179	179		
ADMINISTRATIVE	2,082	2,082		

Other Expenses Schedule

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FROM PASS-THRU RREF III PROPE	77	77		
FROM PASS-THRU HARMONY PTRS	10,567	10,567		
FROM PASS-THRU LUX VENTURES V	8,411	8,411		
FROM PASS-THRU LUX CO-INVEST	37	37		
FROM PASS-THRU FUND PTRS	20,497	20,497		
PENALTIES	458			

TY 2017 Other Income Schedule

Name: ELEANOR & HERBERT KATZ FAMILY FOUNDATION INC

EIN: 59-2320940

Other Income Schedule

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
OTHER PORT INC-CMS/GEN NX	17	17	
OTHER PORT INC-HARBOURVEST VI	51	51	
OTHER PORT INC-HRBRVST BUYOUT	12	12	
OTHER PORT INC-RREF III DEBT	6,932	6,932	
OTHER PORT INC-RREF III PROPE	242	242	
OTHER INCOME FROM INVESTMENTS	45,827	45,827	
OTHER PORT INC-FUND PTRS	6,055	6,055	
OTHER PORT INC-VIRTUS	-9,341	-9,341	
OTHER PORT INC-VIBRANT OPP FD	32,620	32,620	
OTHER INC FROM PASS-THRUS	761	761	
RENTAL INC/LOSS-FUND PTRS III	1,256	1,256	
RENTAL INC/LOSS-RREF III DEBT	-424	-424	
RENTAL INC/LOSS RREF III PROP	-5,093	-5,093	
RENTAL INC/LOSS-VIRTUS RE CAP	-23,869	-23,869	
UBTI FROM PASS-THRUS-SEE STMT	-27,811		
UBTI INCLUDED ON LINES 3-8	27,811		

TY 2017 Other Increases Schedule

Name: ELEANOR & HERBERT KATZ
FAMILY FOUNDATION INC

EIN: 59-2320940

Description	Amount
BOOK/TAX DIFFERENCES FROM PASS-THRU FUNDAMENTAL PT	3,455
BOOK/TAX DIFFERENCES FROM PASS-THRU HARMONY PTRS	19,826
BOOK/TAX DIFFRNCS FRM PASS-THRU RREF III DEBT DOM	6,059
BOOK/TAX DIFFRNCS FRM PASS-THRU RREF III PROPERTY	312

TY 2017 Other Liabilities Schedule

Name: ELEANOR & HERBERT KATZ FAMILY FOUNDATION INC

EIN: 59-2320940

Description	Beginning of Year - Book Value	End of Year - Book Value
FEDERAL TAXES & PENALTIES PAYABLE		1,424
		1

efile GRAPHIC print - DO NOT PROCESS	As Filed Data -	DLN: 93491318030238

TY 2017 Other Professional Fees Schedule

Name: ELEANOR & HERBERT KATZ FAMILY FOUNDATION INC

EIN: 59-2320940

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT ADVISORY FEES	155,844	155,844		

TY 2017 Taxes Schedule

Name: ELEANOR & HERBERT KATZ FAMILY FOUNDATION INC

EIN: 59-2320940

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAXES	8,477	8,477		
FEDERAL INCOME TAX	19,116			
STATE TAXES	385	385		
	1			

efile GRAPHIC print - DO NOT PROCESS | As Filed Data - | DLN: 93491318030238

Schedule B
(Form 990, 990-EZ, or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ Attach to Form 990, 990-EZ, or 990-PF
▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at www irs gov/form990

OMB No 1545-0047

2017

Name of the organization	Employer identification number
ELEANOR & HERBERT KATZ FAMILY FOUNDATION INC	59-2320940

Organization type (check one)

Filers of:	Section:
Form 990 or 990-EZ	☐ 501(c)( ) (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	☐ 527 political organization
Form 990-PF	☑ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule.**
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule See instructions

General Rule

☑ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling $5,000 or more (in money or other property) from any one contributor Complete Parts I and II See instructions for determining a contributor's total contributions

Special Rules

☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1 Complete Parts I and II

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than $1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals Complete Parts I, II, and III

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc , purposes, but no such contributions totaled more than $1,000 If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc , purpose Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc , contributions totaling $5,000 or more during the year . . . . ▶ $ ____________

Caution. An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

Name of organization	Employer identification number
ELEANOR & HERBERT KATZ FAMILY FOUNDATION INC	59-2320940

Part I **Contributors** (See instructions) Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	EM HD KATZ CHARITABLE LEAD TRUST 21218 ST ANDREWS BLVD 404 BOCA RATON, FL33433	$ 323,398	Person ☑ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
-		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
-		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
-		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
-		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
-		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)

Name of organization	Employer identification number
ELEANOR & HERBERT KATZ FAMILY FOUNDATION INC	59-2320940

Part II **Noncash Property** (See instructions) Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		$	

Name of organization	Employer identification number
ELEANOR & HERBERT KATZ FAMILY FOUNDATION INC	59-2320940

Part III *Exclusively* religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than $1,000 for the year from any one contributor. Complete columns **(a)** through **(e) and** the following line entry. For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of **$1,000 or less** for the year. (Enter this information once. See instructions.) ▶ $ ____________

Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4	Relationship of transferor to transferee	

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4	Relationship of transferor to transferee	

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4	Relationship of transferor to transferee	

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4	Relationship of transferor to transferee	

The Eleanor M. & Herbert D. Katz Family Foundation, Inc.
Form 990PF
EIN 59-2320940
for the year ended December 31, 2017

Part I, Line 3-Interest on Savings & Temporary Cash Investments

Charles Schwab xx376	$	27
Fidelity xx817		40
Fidelity xx263		122,324
PNC-Dent Account		973
PNC-IMA Account		4,790
Subtotal		128,154
CMS/GenX360 Capital Fund, L.P.		2,322
Fundamental Partners III Access Fund LP		20,511
HarbourVest Partners VI-BuyOut Partnership Fund L.P.		6
HarbourVest Partners VI-Partnership Fund		27
Hudson Venture Partners II LP		8
PNC		2,736
PNC Checking Account		3
Rialto Capital		761
RREF III Debt Domestic Investors II LP		993
State of Israel Bonds		1,270
Trimaran Fund II, LLC		729
Virtus Real Estate Capital II, LP		123
Total	$	157,643

The Eleanor M. & Herbert D. Katz Family Foundation, Inc.
Form 990PF
EIN 59-2320940
for the year ended December 31, 2017

Part I, Line 4-Schedule of Dividends and Interest from Securities

Charles Schwab xx376	$	6,444
PNC-Dent Account		17,851
PNC-IMA		156,702
PNC-JMRM Custody		4,188
PNC-JMRM Dent		30,492
Subtotal		215,677
HarbourVest Partners VI-BuyOut Partnership Fund L.P.		67
HarbourVest Partners VI-Partnership Fund		24
Harmony Partners III, L.P.		694
Total	$	216,462

The Eleanor M. & Herbert D. Katz Family Foundation, Inc.
Form 990PF
EIN 59-2320940
for the year ended December 31, 2017

Page 2, Part II-Balance Sheet, Line 10a-U.S. and State Government Obligations

California State STWD CMNTYS Dev - Maturity 8/15/36	$ 200,000	$ 200,000	$ 200,000
Hampton Roads VA Santn Dist WS Variable - Maturity 8/	500,000	500,000	500,000
Orlando FL Utilities Comm Series 1-RMKT - Maturity 10/	500,000	-	-
Priv CLGS & Univs Auth GA Emory University - Maturity 9	200,000	200,000	200,000
San Diego Cnty CA Regl Transp Ltd -Maturity 4/1/38	100,000	-	-
Washington State HSG Fin Comm Lake C - Maturity 7/1/	500,000	500,000	500,000
	$ 2,000,000	$ 1,400,000	$ 1,400,000

The Eleanor M. & Herbert D. Katz Family Foundation, Inc.
Form 990PF
EIN 59-2320940
for the year ended December 31, 2017

Page 2, Part II-Balance Sheet, Line 10b-Schedule of Investments-Corporate Stock

	Beginning of Year Cost	End of Year Cost	End of Year Fair Market Value
AAC Holdings, Inc	$ 159,581	$ 159,581	$ 98,100
Abbott Labatories Inc	-	36,225	42,175
Agilent Technologies	-	38,243	38,642
Alphabet Inc	186,527	186,527	277,296
Alps Alerian MLP ETF	20,655	-	-
Amazon Com Inc	163,497	163,497	260,792
American Tower Corp	109,024	131,362	171,204
Ansys, Inc	169,796	203,319	413,252
Baxter International Inc	-	36,252	38,525
Berkshire Hathaway Class B	-	9,548	11,100
Blackbaud Inc	153,258	115,531	198,429
Blackline Inc	14,450	209,115	233,536
Borg Warner Inc	-	36,286	41,638
Carmax Inc	218,361	193,669	240,488
Celgene Corp	212,821	212,821	250,464
Cisco Systems Inc	-	36,479	45,539
Compugen Limited Ordinary Shares	1	1	600
Constellation Software Inc	-	138,986	145,200
Costar Group, Inc	172,419	132,867	261,316
Core Labatories NV	-	212,226	230,055
Corning Inc	-	36,268	41,363
Danaher Corp	-	36,303	41,583
DB X-Trackers MSCI Japan Holdings	354,237	354,237	349,325
Deutsche X-Trackers MSCI Eur	685,825	-	-
Discover Financial W/I	-	149,134	173,070
Ecolab, Inc	243,091	329,360	422,667
Envestnet Inc	96,760	121,584	180,706
ETFMG Prime Cyber Sec	7,074	7,074	7,910
Exponent Inc	85,376	74,704	99,540
Fastenal Co	121,746	120,371	221,494
First Trust Cloud	-	9,882	14,727
First Trust ISE Cloud	16,747	-	-
First Trust NASDAQ Clean	-	5,942	6,119
First Trust North	-	14,588	14,687
First TST Nasdaq Clean	-	12,004	13,703
Franklin Resources Inc	-	36,279	37,394
Gabelli Small Cap Growth	-	22,790	24,751
General Electric Co	2,748	-	-
Gilead Sciences Inc	-	36,335	35,963
Goldman Sachs International Small Cap Insights	12,643	10,590	13,095
Goldman Sachs MLP Energy Infrastructure Inst	23,758	13,286	13,861
Goldman Sachs Small Midcap Growth	29,305	39,268	41,959
HARBOR INTERNATIONAL FUND CLASS INS	493,738	528,577	590,113
HARDING LOEVNEREMERG MKTS	801,751	696,280	852,350
Health Care Services Group Inc	96,575	96,575	144,980
Hess Corporation	-	36,943	45,191
Hewlett Packard Enterprise	-	36,972	39,289
HP Inc	-	36,400	41,138
Illumina, Inc	174,180	184,563	305,886
IMAX CORP	179,910	-	-
International Business Machines	-	36,244	39,736
Intuitive Surgical, Inc	178,817	156,745	315,674
Ishares Core S&P US	-	24,422	28,283
Ishares Core US	-	22,211	22,085
Ishares Core US Aggregate Bond ETF	24,199	-	-
Ishares Iboxx High Yield	24,537	-	-
Ishares MSCI Eafe ETF	18,651	668,875	674,484

The Eleanor M. & Herbert D. Katz Family Foundation, Inc.
Form 990PF
EIN 59-2320940
for the year ended December 31, 2017

Ishares MSCI USA ESG	26,297	20,290	26,324
Ishares MTG Real Estate Capped ETF	5,875	5,720	6,735
Ishares Russell 2000	18,553	15,982	20,277
Ishares Russell MidCap Value	20,329	-	-
Ishares Short Treasury Bond	-	27,461	27,450
Ishares US Medical Devices ETF	11,925	8,311	11,972
Lazard Global Listed Infrastructure	1,065,327	1,046,034	1,217,404
LKQ CORP	181,930	164,612	284,690
Markel Corp	159,262	159,262	273,391
Mastercard Inc	185,311	185,311	298,936
Metropolitan West Unconstrained Bond Fund	995,000	995,000	1,002,628
Moody's Corp	139,655	139,655	250,937
National Oilwell Varco Inc	-	36,463	43,296
Netapp Inc	-	36,160	50,618
PERSISTENT EDGE ASIA PARTNERS LTD CLASS BD	550,000	550,000	862,100
Pfizer Inc	-	36,321	40,059
PNC LIMITED MATURITY BOND FUND CLASS I FUND	747,298	747,298	736,802
Powershares Russell Midcap EQL	16,111	-	-
PRA Group Inc	145,447	-	-
Pros Holdings, Inc	130,233	241,917	277,726
Qualys Inc	-	105,109	109,797
Ralph Lauren Corp	-	36,240	44,587
Red Hat, Inc	258,893	207,942	384,320
Rocket Fuel	11,149	-	-
Roper Technologies, Inc	288,714	271,605	414,400
S&P Global Inc	150,246	150,246	245,630
SEI Investment Co	185,597	185,597	359,300
Signet Jewelers Ltd	170,418	-	-
SPDR S&P 500 ETF Trust	-	236,879	239,373
SPDR S&P Homebuilders	9,819	7,271	9,472
SPDR S&P Regional	10,348	8,580	11,181
Stericycle Inc	150,985	-	-
Steris PLC	122,355	81,570	104,964
T Rowe Price Value Fund Inc	516,946	562,552	678,551
Transdigm Group Inc	205,805	227,558	260,889
Trimble NAV, Ltd	180,226	180,226	341,376
Tyler Technologies Inc	215,449	232,858	283,280
Undiscovered Mgrs Behavioral Value	28,915	9,322	11,211
Vaneck Vectors Oil Services	22,744	4,753	4,350
Vanguard Dividend Appreciation	46,578	16,699	22,344
Vanguard Mortgage Backed Sec ETF	-	31,404	31,202
VANGUARD VALUE ETF	850,865	315,422	601,452
Versick Analytics, Inc	142,316	307,182	384,000
Visa Inc	243,668	243,668	367,144
Wabtec Corp	161,139	-	-
Wageworks, Inc	142,335	351,618	424,700
Waste Connections, Inc	158,447	158,425	242,048
Watsco Inc	-	191,912	221,052
Western Union Co	-	36,269	36,480
York Credit Opportunities Unit Trust	500,000	500,000	486,665
TOTAL Investments	$ 14,424,568	$ 15,284,045	$ 19,602,589

The Eleanor M. & Herbert D. Katz Family Foundation, Inc.
Form 990PF
EIN 59-2320940
for the year ended December 31, 2017

Page 2, Part II-Balance Sheet, Line 13-Other Investments

	Beginning of Year Cost	End of Year Cost	End of Year Fair Market Value
CMS Gen NX 360 Capital Fund, L P	155,349	156,124	156,124
Fundamental Partners III Access Fund LP	-	243,796	224,469
HarbourVest Partners VI-BuyOut Partnership Fund L P	7,195	5,520	3,141
HarbourVest Partners VI-Partnership Fund	8,033	6,147	4,432
Harmony Partners III, L.P	62,963	203,987	203,987
Hudson Venture Partners II LP	30,384	28,050	28,050
Lux Co-Invest Opportunities, L P	-	23,893	23,893
Lux Ventures V, L P	-	19,665	19,665
RREF III Debt Domestic Investors II, LP	33,364	124,213	124,213
RREF III Property Direct Domestic Investors, LP	3,311	47,183	47,183
Trimaran Fund II, LLC	26,248	16,913	16,913
Vibrant Opportunity Fund	500,000	525,884	527,999
Virtus Real Estate Capital II, LP	-	447,107	500,000
Total Pass-Through Entities:	826,847	1,848,482	1,880,069
Non-Corporate Bonds			
Credit Suisse EEM Drawndown Note 5 75%	-	500,000	500,200
GS Fin Corp Mtn Zero Coupon Maturity 3/1/18	600,007	-	-
GS Fin Corp MTN Maturity 12/1/22	-	400,000	396,080
GS Fin Corp Mtn Zero Coupon Matuirty 7/6/21	400,000	-	-
GS Fin Corp Mtn Zero Coupon Maturity 11/26/21	400,008	-	-
GS Fin Corp Mtn Zero Cpn Maturity 12/27/23	-	400,007	400,360
GS Fin Corp MTN Zero CPN Maturity 3/17/20	-	400,008	398,240
JPMorgan Chase Financial Co LLC Mtn Zero Coupon Maturity 8/3	400,007	-	-
Morgan Stanley Fin LLC Mtn Maturity 8/9/27		400,007	400,900
Total Pass-Through Entities:	$ 1,800,022	$ 2,100,022	$ 2,095,780
State of Israel Bonds			
State of Israel Bond-9th Jubilee Fix 2Y	50,000		
State of Israel Bond-14th Libor FLT RTE 7/1/18	-	50,000	50,000
State of Israel Bond-10th Jubilee Fix 2Y 6/1/19		50,000	50,000
State of Israel Bond-13th Libor FLT RTE	50,000		
Total State-of Israel Bonds:	100,000	100,000	100,000
Total Other Investments:	**2,726,869**	**4,048,504**	**4,075,849**

The Eleanor M. & Herbert D. Katz Family Foundation
Form 990PF
EIN 59-2320940
for the year ended December 31, 2017

Page 3, Part IV - Capital Gains and Losses

Short Term Capital Gains - Pass through from Partnerships

Fundamental Partners III Access Fund LP	4,522
Harmony Partners III LP	3,688
Hudson Venture Partners II LP	(16)
CMS GenNex 360 Fund	(221)
HarbourVest Partners VI - Partnership Fund	14
HarbourVest Partners VI - Buyout Partnership Fund	(6)
Short Term Pass Through from Partnerships	7,981

Long Term Capital Gains - Pass through from Partnerships

Vibrant Opportunity Fund Ltd	9,304
Hudson Venture Partners II LP	2,594
HarbourVest Partners VI - Partnership Fund	25
HarbourVest Partners VI - Buyout Partnership Fund	490
Trimaran Fund II LLC	(379)
Fundamental Partners III Access Fund LP	13,473
Harmony Partners II LP	523
CMS GenNex 360	(85)
Long Term Pass Through from Partnerships	25,945