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Form 990-PF

Department of the Treasury

Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter social security numbers on this form as it may be made public.

Information about Form 990-PF and its instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2017

Open to Public Inspection

For calendar year 2017, or tax year beginning 01-01-2017, and ending 12-31-2017

Name of foundation

THE BATTLE FAMILY FOUNDATION

Number and street (or P O box number if mail is not delivered to street address)

6552 WATERFRONT DRIVE

City or town, state or province, country, and ZIP or foreign postal code

TUSCALOOSA, AL 35406

G Check all that apply

☐ Initial return

☐ Initial return of a former public charity

☐ Final return

☐ Amended return

☐ Address change

☐ Name change

H Check type of organization

☒ Section 501(c)(3) exempt private foundation

☐ Section 4947(a)(1) nonexempt charitable trust

☐ Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, col (c), line 16)

\$ 6,195,384

J Accounting method

☐ Cash

☐ Accrual

☒ Other (specify) FMV

(Part I, column (d) must be on cash basis)

A Employer identification number

58-6343708

B Telephone number (see instructions)

(205) 348-3620

C If exemption application is pending, check here

☐

D 1. Foreign organizations, check here

☐

2 Foreign organizations meeting the 85% test, check here and attach computation

☐

E If private foundation status was terminated under section 507(b)(1)(A), check here

☐

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here

☐

Part I

Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))

	(a)	(b)	(c)	(d)
	Revenue and expenses per books	Net investment income	Adjusted net income	Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)	1,489,194		
	2 Check <input type="checkbox"/> if the foundation is not required to attach Sch B			
	3 Interest on savings and temporary cash investments	74,641	74,641	74,641
	4 Dividends and interest from securities	62,208	62,208	62,208
	5a Gross rents			
	b Net rental income or (loss)			
	6a Net gain or (loss) from sale of assets not on line 10	917,390		
	b Gross sales price for all assets on line 6a			
		2,751,899		
	7 Capital gain net income (from Part IV, line 2)		917,413	
	8 Net short-term capital gain			6,611
	9 Income modifications			
Operating and Administrative Expenses	10a Gross sales less returns and allowances			
	b Less Cost of goods sold			
	c Gross profit or (loss) (attach schedule)			
	11 Other income (attach schedule)	-574,999	17,915	17,915
	12 Total. Add lines 1 through 11	1,968,434	1,072,177	161,375
	13 Compensation of officers, directors, trustees, etc			
	14 Other employee salaries and wages			
	15 Pension plans, employee benefits			
	16a Legal fees (attach schedule)			
	b Accounting fees (attach schedule)	3,919	3,919	3,919
	c Other professional fees (attach schedule)	21,408	21,408	21,408
	17 Interest			
18 Taxes (attach schedule) (see instructions)	1,588	1,588	1,588	
19 Depreciation (attach schedule) and depletion				
20 Occupancy				
21 Travel, conferences, and meetings				
22 Printing and publications				
23 Other expenses (attach schedule)				
24 Total operating and administrative expenses. Add lines 13 through 23	26,915	26,915	26,915	
25 Contributions, gifts, grants paid	210,000			
26 Total expenses and disbursements. Add lines 24 and 25	236,915	26,915	26,915	
	27 Subtract line 26 from line 12			
	a Excess of revenue over expenses and disbursements	1,731,519		
	b Net investment income (if negative, enter -0-)		1,045,262	
c Adjusted net income (if negative, enter -0-)			134,460	

For Paperwork Reduction Act Notice, see instructions.

Cat No 11289X

Form 990-PF (2017)

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)			
		Beginning of year (a) Book Value	End of year (b) Book Value (c) Fair Market Value		
Assets	1	Cash—non-interest-bearing	76,578	12,659	12,659
	2	Savings and temporary cash investments	261,972	31,606	31,606
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)	2,011,718	3,265,647	3,265,647
	c	Investments—corporate bonds (attach schedule)	2,113,597	2,885,472	2,885,472
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)			
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
15	Other assets (describe ▶ _____)				
16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	4,463,865	6,195,384	6,195,384	
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶ _____)			
	23	Total liabilities (add lines 17 through 22)		0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds	4,463,865	6,195,384	
30	Total net assets or fund balances (see instructions)	4,463,865	6,195,384		
31	Total liabilities and net assets/fund balances (see instructions) .	4,463,865	6,195,384		

Part III Analysis of Changes in Net Assets or Fund Balances			
1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	4,463,865
2	Enter amount from Part I, line 27a	2	1,731,519
3	Other increases not included in line 2 (itemize) ▶ _____	3	
4	Add lines 1, 2, and 3	4	6,195,384
5	Decreases not included in line 2 (itemize) ▶ _____	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 .	6	6,195,384

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a See Additional Data Table			
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a See Additional Data Table			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a See Additional Data Table			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	<div> <div>If gain, also enter in Part I, line 7</div> <div>If (loss), enter -0- in Part I, line 7</div> </div>	2	917,413
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		3	6,611

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?



Yes



No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2016	36,500	4,080,957	0 00894
2015	408,440	3,645,927	0 11203
2014	11,000	3,481,634	0 00316
2013	129,861	3,360,942	0 03864
2012	140,484	2,343,413	0 05995
2 Total of line 1, column (d)			2 0 222715
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 0 044543
4 Enter the net value of noncharitable-use assets for 2017 from Part X, line 5			4 5,509,524
5 Multiply line 4 by line 3			5 245,411
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 10,453
7 Add lines 5 and 6			7 255,864
8 Enter qualifying distributions from Part XII, line 4			8 210,000

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b	1	20,905
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	
3	Add lines 1 and 2.	3	20,905
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	20,905
6	Credits/Payments		
a	2017 estimated tax payments and 2016 overpayment credited to 2017	6a	
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d.	7	
8	Enter any penalty for underpayment of estimated tax. Check here <input checked="" type="checkbox"/> if Form 2220 is attached	8	200
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	21,105
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	
11	Enter the amount of line 10 to be Credited to 2018 estimated tax <input type="checkbox"/> Refunded <input type="checkbox"/>	11	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a	No
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>	1b	No
c Did the foundation file Form 1120-POL for this year?	1c	No
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation <input type="checkbox"/> \$ _____ (2) On foundation managers <input type="checkbox"/> \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input type="checkbox"/> \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities</i>	2	No
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>	3	No
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a	No
b If "Yes," has it filed a tax return on Form 990-T for this year?	4b	No
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T</i>	5	No
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col (c), and Part XV</i>	7	Yes
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> GA _____		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation</i> .	8b	Yes
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2017 or the taxable year beginning in 2017 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>	9	No
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>	10	No

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <u>N/A</u>	13	Yes	
14	The books are in care of ► <u>WILLIAM R BATTLE III</u> Telephone no ► <u>(205) 348-3620</u>			

Located at ► 6552 WATERFRONT DRIVE TUSCALOOSA AL ZIP+4 ► 35406

15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here	<input type="checkbox"/>		
	and enter the amount of tax-exempt interest received or accrued during the year	► 15		
16	At any time during calendar year 2017, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
	See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR) If "Yes," enter the name of the foreign country ►			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a	During the year did the foundation (either directly or indirectly)		Yes	No
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b		No
	Organizations relying on a current notice regarding disaster assistance check here.			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2017?	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2017, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2017?		<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
	If "Yes," list the years ► 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions)	2b		No
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ► 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?		<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b	If "Yes," did it have excess business holdings in 2017 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2017).	3b		No
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2017?	4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (Continued)

5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions).	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?	5b	No	
	Organizations relying on a current notice regarding disaster assistance check here. 			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	<i>If "Yes," attach the statement required by Regulations section 53.4945–5(d)</i>			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? <i>If "Yes" to 6b, file Form 8870</i>	6b	No	
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?	7b	No	

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
WILLIAM R BATTLE III 6552 WATERFRONT DRIVE TUSCALOOSA, AL 35406	TRUSTEE 1 00	0		

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	Title, and average hours per week (b) devoted to position	(c) Compensation	Contributions to employee benefit plans and deferred compensation (d)	Expense account, (e) other allowances
NONE				

Total number of other employees paid over \$50,000. ►

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services. ►

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 TO PAY OUT OR USE INCOME OR PRINCIPAL EXCLUSIVELY TO OR FOR CHARITABLE ORGANIZATIONS DESCRIBED IN AND EXEMPT FROM TAX UNDER SECTION 501(C)(3) OF THE INTERNAL REVENUE CODE	0
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3	►

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities.	1a	5,184,110
b	Average of monthly cash balances.	1b	409,315
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	5,593,425
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	
3	Subtract line 2 from line 1d.	3	5,593,425
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	83,901
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4.	5	5,509,524
6	Minimum investment return. Enter 5% of line 5.	6	275,476

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	275,476
2a	Tax on investment income for 2017 from Part VI, line 5.	2a	20,905
b	Income tax for 2017 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	20,905
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	254,571
4	Recoveries of amounts treated as qualifying distributions.	4	
5	Add lines 3 and 4.	5	254,571
6	Deduction from distributable amount (see instructions).	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	254,571

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	210,000
b	Program-related investments—total from Part IX-B.	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4.	4	210,000
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions).	5	
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	210,000

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2016	(c) 2016	(d) 2017
1 Distributable amount for 2017 from Part XI, line 7				254,571
2 Undistributed income, if any, as of the end of 2017				
a Enter amount for 2016 only.				
b Total for prior years 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2017				
a From 2012.				
b From 2013.				
c From 2014.				
d From 2015.				113,937
e From 2016.				
f Total of lines 3a through e.	113,937			
4 Qualifying distributions for 2017 from Part XII, line 4 ▶ \$ 210,000				
a Applied to 2016, but not more than line 2a				
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2017 distributable amount.				210,000
e Remaining amount distributed out of corpus				
5 Excess distributions carryover applied to 2017 (If an amount appears in column (d), the same amount must be shown in column (a))	44,571			44,571
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	69,366			
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b Taxable amount—see instructions				
e Undistributed income for 2016 Subtract line 4a from line 2a Taxable amount—see instructions				
f Undistributed income for 2017 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2018				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).				
8 Excess distributions carryover from 2012 not applied on line 5 or line 7 (see instructions).				
9 Excess distributions carryover to 2018. Subtract lines 7 and 8 from line 6a	69,366			
10 Analysis of line 9				
a Excess from 2013.				
b Excess from 2014.				
c Excess from 2015.				69,366
d Excess from 2016.				
e Excess from 2017.				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2017, enter the date of the ruling. ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

	Tax year	Prior 3 years			(e) Total
	(a) 2017	(b) 2016	(c) 2015	(d) 2014	
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					

3 Complete 3a, b, or c for the alternative test relied upon

a "Assets" alternative test—enter

(1) Value of all assets

(2) Value of assets qualifying under section 4942(j)(3)(B)(i)

b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.

c "Support" alternative test—enter

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).

(3) Largest amount of support from an exempt organization

(4) Gross investment income

Part XV Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

WILLIAM R BATTLE III

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or email address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a <i>Paid during the year</i> CROSS POINT CHURCH PO BOX 60468 NASHVILLE, TN 37206	NONE	501(C)(3)	CHARITY	10,000
BIRMINGHAM-SOUTHERN COLLEGE 900 ARKADELPHIA ROAD BOX 549003 BIRMINGHAM, AL 35254	NONE	501(C)(3)	CHARITY	200,000
Total			▶ 3a	210,000
b <i>Approved for future payment</i>				
Total			▶ 3b	

Enter gross amounts unless otherwise indicated

Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
Enter gross amounts unless otherwise indicated				
1 Program service revenue				
a _____				
b _____				
c _____				
d _____				
e _____				
f _____				
g Fees and contracts from government agencies				
2 Membership dues and assessments. . . .				
3 Interest on savings and temporary cash investments				74,641
4 Dividends and interest from securities. . . .				62,208
5 Net rental income or (loss) from real estate				
a Debt-financed property.				
b Not debt-financed property.				
6 Net rental income or (loss) from personal property				
7 Other investment income.				
8 Gain or (loss) from sales of assets other than inventory				917,390
9 Net income or (loss) from special events				
10 Gross profit or (loss) from sales of inventory				
11 Other revenue				
a CAPITAL GAIN DISTRIBUTION _____				17,915
b NON-DIVIDEND DISTRIBUTION _____				481
c UNREALIZED LOSS ON ASSETS _____				-593,395
d _____				
e _____				
12 Subtotal Add columns (b), (d), and (e). .				479,240
13 Total. Add line 12, columns (b), (d), and (e).				479,240

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?		Yes	No
a Transfers from the reporting foundation to a noncharitable exempt organization of			
(1) Cash.			No
(2) Other assets.			No
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization.			No
(2) Purchases of assets from a noncharitable exempt organization.			No
(3) Rental of facilities, equipment, or other assets.			No
(4) Reimbursement arrangements.			No
(5) Loans or loan guarantees.			No
(6) Performance of services or membership or fundraising solicitations.			No
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees.		1c	No

d If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

(a) Line No	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here	Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.		
	*****	2018-04-17	*****
	Signature of officer or trustee	Date	Title

May the IRS discuss this return with the preparer shown below (see instr)? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
--

Paid Preparer Use Only	Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed <input checked="" type="checkbox"/>	PTIN
	Joseph R Rollins CPA				P01373744
	Firm's name ▶ ROLLINS & VAN LEAR PC				Firm's EIN ▶ 46-5750544
Firm's address ▶ 3343 Peachtree Rd NE Suite 500 Atlanta, GA 30326					Phone no (404) 892-7967

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
240 ABBVIE INC CMN	P	2012-02-01	2017-04-27
203 ABBVIE INC CMN	P	2011-09-01	2017-04-27
5 ADVANCE AUTO PARTS, INC CMN	P	2017-06-02	2017-06-29
7 ADVANCE AUTO PARTS, INC CMN	P	2017-02-22	2017-06-29
6 ADVANCE AUTO PARTS, INC CMN	P	2016-10-10	2017-06-29
9 ADVANCE AUTO PARTS, INC CMN	P	2015-04-01	2017-06-29
15 ADVANCE AUTO PARTS, INC CMN	P	2015-02-13	2017-06-29
4 ADVANCE AUTO PARTS, INC CMN	P	2015-02-12	2017-06-29
2 ALLERGAN PLC CMN	P	2016-08-05	2017-10-18
9 ALLERGAN PLC CMN	P	2016-07-07	2017-10-18

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
15,852		6,781	9,071
13,408		5,485	7,923
580		690	-110
812		1,111	-299
696		891	-195
1,044		1,312	-268
1,741		2,270	-529
464		613	-149
384		508	-124
1,726		2,133	-407

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			9,071
			7,923
			-110
			-299
			-195
			-268
			-529
			-149
			-124
			-407

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
7 ALLERGAN PLC CMN	P	2016-06-13	2017-10-18
8 ALLERGAN PLC CMN	P	2016-06-13	2017-08-16
3 ALLERGAN PLC CMN	P	2016-06-13	2017-04-17
1 ALPHABET INC CMN CLASS A	P	2014-11-10	2017-07-17
1 ALPHABET INC CMN CLASS A	P	2014-05-16	2017-07-17
1 ALPHABET INC CMN CLASS A	P	2012-10-19	2017-07-17
1 ALPHABET INC CMN CLASS A	P	2011-11-07	2017-07-17
47 ALPHABET INC CMN CLASS A	P	2015-05-15	2017-06-02
64 ALPHABET INC CMN CLASS C	P	2015-05-15	2017-06-02
24 AMAZON COM INC CMN	P	2015-09-18	2017-06-02

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
1,343		1,706	-363
1,828		1,950	-122
711		731	-20
974		559	415
974		525	449
974		348	626
974		299	675
46,723		25,609	21,114
62,252		34,099	28,153
24,127		13,059	11,068

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			-363
			-122
			-20
			415
			449
			626
			675
			21,114
			28,153
			11,068

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
30 AMAZON COM INC CMN	P	2015-07-28	2017-06-02
15 AMERICAN CAMPUS CMNTYS, INC CMN	P	2014-08-15	2017-08-16
5 AMERICAN CAMPUS CMNTYS, INC CMN	P	2013-01-31	2017-08-16
5 AMERICAN CAMPUS CMNTYS, INC CMN	P	2013-01-31	2017-08-15
10 AMERICAN CAMPUS CMNTYS, INC CMN	P	2013-01-31	2017-06-29
50000 AMERICAN EXPRESS COMPANY 6 15% 08/28/2017 SR LIEN	P	2011-01-25	2017-08-28
126 AMERIPRISE FINANCIAL, INC CMN	P	2011-09-01	2017-04-27
40 AMERISAFE, INC CMN	P	2013-10-09	2017-04-27
35 AMERISAFE, INC CMN	P	2013-10-04	2017-04-27
12 AMPLIFY SNACK BRANDS, INC CMN	P	2017-01-12	2017-12-18

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
30,158		15,737	14,421
710		542	168
237		221	16
235		221	14
476		444	32
50,000		50,000	
16,177		5,607	10,570
2,338		1,374	964
2,046		1,229	817
143		125	18

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			14,421
			168
			16
			14
			32
			10,570
			964
			817
			18

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
10 AMPLIFY SNACK BRANDS, INC CMN	P	2016-05-25	2017-12-18
20 AMPLIFY SNACK BRANDS, INC CMN	P	2016-05-24	2017-12-18
25 AMPLIFY SNACK BRANDS, INC CMN	P	2016-05-19	2017-12-18
4 ANALOGIC CORP (NEW) CMN	P	2014-03-07	2017-02-02
4 ANALOGIC CORP (NEW) CMN	P	2014-02-14	2017-02-02
8 AON PLC CMN	P	2014-10-15	2017-12-12
21 AON PLC CMN	P	2014-10-15	2017-04-25
2 APPLE INC CMN	P	2015-11-20	2017-12-12
3 APPLE INC CMN	P	2015-11-06	2017-12-12
105 APPLE INC CMN	P	2012-11-09	2017-06-02

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
119		127	-8
239		254	-15
298		305	-7
303		323	-20
303		382	-79
1,106		632	474
2,512		1,659	853
344		239	105
516		364	152
16,218		8,213	8,005

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-8
			-15
			-7
			-20
			-79
			474
			853
			105
			152
			8,005

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
112 APPLE INC CMN	P	2012-10-05	2017-06-02
35 APPLE INC CMN	P	2013-09-24	2017-04-27
63 APPLE INC CMN	P	2013-07-15	2017-04-27
91 APPLE INC CMN	P	2013-04-01	2017-04-27
104 APPLE INC CMN	P	2011-09-01	2017-04-27
4 APPLE INC CMN	P	2015-11-06	2017-04-24
46 APPLIED MATERIALS INC CMN	P	2015-10-28	2017-12-13
25 APPLIED MATERIALS INC CMN	P	2015-10-28	2017-11-30
1 APPLIED MATERIALS INC CMN	P	2015-05-22	2017-11-30
18 APPLIED MATERIALS INC CMN	P	2015-05-22	2017-05-12

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
17,299		10,520	6,779
5,027		2,453	2,574
9,048		3,869	5,179
13,069		5,650	7,419
14,936		5,672	9,264
575		486	89
2,335		758	1,577
1,330		412	918
53		20	33
780		363	417

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			6,779
			2,574
			5,179
			7,419
			9,264
			89
			1,577
			918
			33
			417

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
260 APPLIED MATERIALS INC CMN	P	2015-05-04	2017-04-27
851 APPLIED MATERIALS INC CMN	P	2014-10-10	2017-04-27
20 APPLIED MATERIALS INC CMN	P	2015-05-22	2017-03-29
35 APPLIED MATERIALS INC CMN	P	2015-05-22	2017-02-13
2 APTIV PLC CMN	P	2016-02-10	2017-09-06
7 APTIV PLC CMN	P	2016-02-02	2017-09-06
5 APTIV PLC CMN	P	2016-02-02	2017-05-05
6 APTIV PLC CMN	P	2015-11-20	2017-05-05
6 APTIV PLC CMN	P	2015-10-16	2017-05-05
12 APTIV PLC CMN	P	2015-10-16	2017-04-25

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
10,733		5,175	5,558
35,129		17,071	18,058
776		404	372
1,242		706	536
193		118	75
676		449	227
439		321	118
527		516	11
527		486	41
951		971	-20

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			5,558
			18,058
			372
			536
			75
			227
			118
			11
			41
			-20

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
99 BANK OF AMERICA CORP CMN	P	2016-09-12	2017-07-06
66 BANK OF AMERICA CORP CMN	P	2016-01-07	2017-07-06
47 BANK OF AMERICA CORP CMN	P	2015-10-26	2017-07-06
48 BANK OF AMERICA CORP CMN	P	2015-10-26	2017-05-18
33 BANK OF AMERICA CORP CMN	P	2015-10-26	2017-05-15
37 BANK OF AMERICA CORP CMN	P	2015-08-25	2017-05-15
110 BANK OF AMERICA CORP CMN	P	2015-08-25	2017-04-25
196 BANK OF AMERICA CORP CMN	P	2015-08-13	2017-04-25
42 BANK OF AMERICA CORP CMN	P	2015-08-13	2017-03-21
3 BIOGEN INC CMN	P	2017-01-24	2017-10-20

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2,478		1,573	905
1,652		1,029	623
1,176		774	402
1,097		790	307
796		543	253
892		593	299
2,649		1,762	887
4,719		3,457	1,262
1,014		741	273
1,009		767	242

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			905
			623
			402
			307
			253
			299
			887
			1,262
			273
			242

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 BIOVERATIV, INC CMN	P	2017-01-26	2017-02-06
4 BIOVERATIV, INC CMN	P	2017-01-24	2017-02-06
0 5 BIOVERATIV, INC CMN	P	2017-01-24	2017-02-02
110 BLACKBAUD INC CMN	P	2014-05-15	2017-04-27
90 BLACKBAUD INC CMN	P	2012-09-12	2017-04-27
55 BLACKBAUD INC CMN	P	2011-06-07	2017-04-27
67 BLACKROCK, INC CMN	P	2011-09-01	2017-04-27
14 BLACKROCK, INC CMN	P	2011-08-16	2017-04-27
138 BOEING COMPANY CMN	P	2012-11-07	2017-04-27
33 BOEING COMPANY CMN	P	2011-09-01	2017-04-27

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
43		66	-23
172		172	
27			27
8,706		3,596	5,110
7,123		2,115	5,008
4,353		1,472	2,881
26,144		10,919	15,225
5,463		2,273	3,190
25,280		9,689	15,591
6,045		2,192	3,853

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			-23
			27
			5,110
			5,008
			2,881
			15,225
			3,190
			15,591
			3,853

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
10 CALATLANTIC GROUP, INC CMN	P	2014-06-24	2017-10-30
40 CALATLANTIC GROUP, INC CMN	P	2013-01-31	2017-10-30
61 CALATLANTIC GROUP, INC CMN	P	2011-06-07	2017-04-27
56 CALATLANTIC GROUP, INC CMN	P	2011-05-20	2017-04-27
56 CALATLANTIC GROUP, INC CMN	P	2011-03-14	2017-04-27
70 CANTEL MEDICAL CORP CMN	P	2013-11-04	2017-04-27
140 CANTEL MEDICAL CORP CMN	P	2013-10-09	2017-04-27
5 CARDTRONICS PLC CMN	P	2013-01-31	2017-02-10
13 CARDTRONICS PLC CMN	P	2013-01-31	2017-02-09
17 CARDTRONICS PLC CMN	P	2013-01-31	2017-02-06

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
495		390	105
1,981		1,586	395
2,316		1,004	1,312
2,126		942	1,184
2,126		876	1,250
5,234		2,461	2,773
10,469		4,411	6,058
230		199	31
661		518	143
894		677	217

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			105
			395
			1,312
			1,184
			1,250
			2,773
			6,058
			31
			143
			217

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
10 CARTER'S, INC CMN	P	2017-06-06	2017-12-20
7 CARTER'S, INC CMN	P	2017-06-06	2017-11-29
2 CEB INC CMN	P	2013-10-08	2017-04-06
5 CEB INC CMN	P	2013-10-04	2017-04-06
15 CEB INC CMN	P	2013-01-31	2017-04-06
10 CEB INC CMN	P	2013-01-31	2017-02-02
7 CELGENE CORPORATION CMN	P	2017-09-05	2017-10-26
19 CELGENE CORPORATION CMN	P	2017-06-14	2017-10-26
27 CHARLES SCHWAB CORPORATION CMN	P	2016-07-07	2017-04-25
14 CHARLES SCHWAB CORPORATION CMN	P	2016-06-24	2017-04-25

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
1,149		844	305
771		591	180
218		140	78
380		358	22
1,139		751	388
763		501	262
678		975	-297
1,840		2,283	-443
1,072		681	391
556		384	172

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			305
			180
			78
			22
			388
			262
			-297
			-443
			391
			172

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
86 CHARLES SCHWAB CORPORATION CMN	P	2016-02-08	2017-04-25
25 CHARLES SCHWAB CORPORATION CMN	P	2016-01-25	2017-04-25
22 CHARLES SCHWAB CORPORATION CMN	P	2016-01-25	2017-04-18
8 CHEVRON CORPORATION CMN	P	2016-11-16	2017-03-15
5 CHEVRON CORPORATION CMN	P	2016-10-10	2017-03-15
7 CHEVRON CORPORATION CMN	P	2016-04-07	2017-03-15
10 CHEVRON CORPORATION CMN	P	2016-02-09	2017-03-15
39 CHEVRON CORPORATION CMN	P	2015-12-03	2017-03-15
3 CLARCOR, INC CMN	P	2016-07-29	2017-02-28
30 CLARCOR, INC CMN	P	2013-01-31	2017-02-28

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
3,415		2,020	1,395
993		647	346
821		569	252
871		867	4
544		520	24
762		664	98
1,088		831	257
4,244		3,544	700
249		187	62
2,490		1,515	975

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			1,395
			346
			252
			4
			24
			98
			257
			700
			62
			975

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
178 CME GROUP INC. CMN CLASS A	P	2014-04-08	2017-06-02
3 CME GROUP INC. CMN CLASS A	P	2013-09-24	2017-06-02
21 COGNEX CORP. CMN	P	2013-01-31	2017-07-13
4 COGNEX CORP. CMN	P	2013-01-31	2017-06-27
15 COGNEX CORP. CMN	P	2013-01-31	2017-05-02
170 COGNEX CORP. CMN	P	2012-09-12	2017-04-27
14 COPART INC. CMN	P	2015-10-19	2017-11-29
29 COPART INC. CMN	P	2015-10-19	2017-07-12
16 COPART INC. CMN	P	2015-10-19	2017-05-08
9 COPART INC. CMN	P	2015-10-19	2017-04-04

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
20,788		12,259	8,529
350		220	130
1,874		417	1,457
360		79	281
1,319		298	1,021
14,567		3,191	11,376
590		245	345
906		508	398
488		280	208
539		315	224

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			8,529
			130
			1,457
			281
			1,021
			11,376
			345
			398
			208
			224

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
85000 CREDIT SUISSE AG LINKED TO S&P 500 INDEX UPSIDE LEVERED CAPPED W BUFFER STRUCTURED NOTE DUE 05	P	2016-03-28	2017-05-03
6 CROWN HOLDINGS INC CMN	P	2016-11-16	2017-12-29
21 CROWN HOLDINGS INC CMN	P	2016-10-20	2017-12-29
5 CROWN HOLDINGS INC CMN	P	2016-10-10	2017-12-29
7 CROWN HOLDINGS INC CMN	P	2016-08-09	2017-12-29
6 CROWN HOLDINGS INC CMN	P	2016-07-25	2017-12-29
8 CROWN HOLDINGS INC CMN	P	2016-06-24	2017-12-29
5 CROWN HOLDINGS INC CMN	P	2016-04-22	2017-12-29
49 CROWN HOLDINGS INC CMN	P	2016-04-22	2017-12-21
18 DECKERS OUTDOORS CORP CMN	P	2015-10-19	2017-02-07

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
95,200		85,000	10,200
339		319	20
1,187		1,154	33
283		278	5
396		377	19
339		318	21
452		403	49
283		270	13
2,778		2,645	133
813		1,104	-291

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			10,200
			20
			33
			5
			19
			21
			49
			13
			133
			-291

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
9 DECKERS OUTDOORS CORP CMN	P	2015-05-01	2017-02-07
2 DELPHI TECHNOLOGIES PLC CMN	P	2017-11-02	2017-12-07
4 DELPHI TECHNOLOGIES PLC CMN	P	2017-07-12	2017-12-07
5 DELPHI TECHNOLOGIES PLC CMN	P	2016-04-13	2017-12-07
4 DELPHI TECHNOLOGIES PLC CMN	P	2016-03-29	2017-12-07
4 DELPHI TECHNOLOGIES PLC CMN	P	2016-02-10	2017-12-07
0 DELPHI TECHNOLOGIES PLC CMN (dc)	P		2017-12-07
197 E I DU PONT DE NEMOURS AND CO CMN	P	2011-09-01	2017-06-02
39 E I DU PONT DE NEMOURS AND CO CMN	P	2011-03-16	2017-06-02
46 E I DU PONT DE NEMOURS AND CO CMN	P	2012-12-18	2017-04-27

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
406		676	-270
105		117	-12
210		172	38
262		217	45
210		159	51
210		110	100
37			37
15,821		8,966	6,855
3,132		1,898	1,234
3,685		1,958	1,727

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-270
			-12
			38
			45
			51
			100
			37
			6,855
			1,234
			1,727

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
45 E I DU PONT DE NEMOURS AND CO CMN	P	2012-12-17	2017-04-27
33 EBAY INC CMN	P	2015-10-22	2017-12-19
27 EBAY INC CMN	P	2015-10-02	2017-12-19
41 EBAY INC CMN	P	2015-10-02	2017-07-18
38 EBAY INC CMN	P	2015-10-02	2017-02-13
25 ECOLAB INC CMN	P	2012-08-09	2017-04-27
19 ECOLAB INC CMN	P	2012-08-06	2017-04-27
22 ECOLAB INC CMN	P	2012-08-03	2017-04-27
41 ECOLAB INC CMN	P	2012-03-14	2017-04-27
93 ECOLAB INC CMN	P	2011-09-01	2017-04-27

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
3,604		1,899	1,705
1,252		911	341
1,024		668	356
1,512		1,014	498
1,291		940	351
3,232		1,610	1,622
2,457		1,227	1,230
2,845		1,417	1,428
5,301		2,463	2,838
12,025		5,140	6,885

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			1,705
			341
			356
			498
			351
			1,622
			1,230
			1,428
			2,838
			6,885

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
50 EPAM SYS INC CMN	P	2013-11-05	2017-04-27
50 EPAM SYS INC CMN	P	2013-11-04	2017-04-27
105 EPAM SYS INC CMN	P	2013-10-10	2017-04-27
88 FACEBOOK, INC CMN CLASS A	P	2015-07-09	2017-06-02
158 FACEBOOK, INC CMN CLASS A	P	2015-06-29	2017-06-02
197 FACEBOOK, INC CMN CLASS A	P	2015-05-15	2017-06-02
19 FIDELITY NATL INFO SVCS INC CMN	P	2015-12-02	2017-05-16
1 FIDELITY NATL INFO SVCS INC CMN	P	2015-11-09	2017-05-16
34 FIDELITY NATL INFO SVCS INC CMN	P	2015-11-06	2017-05-16
7 FIDELITY NATL INFO SVCS INC CMN	P	2015-11-06	2017-04-17

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
3,854		1,824	2,030
3,854		1,835	2,019
8,094		3,846	4,248
13,437		7,661	5,776
24,125		13,617	10,508
30,080		16,003	14,077
1,591		1,225	366
84		66	18
2,847		2,274	573
563		468	95

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			2,030
			2,019
			4,248
			5,776
			10,508
			14,077
			366
			18
			573
			95

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
23000 FIDELITY NAT'L INFO SVCS, INC 3 5% 04/15/2023 USD SR LIEN	P	2015-01-20	2017-07-25
28 FIVE BELOW INC CMN	P	2017-08-31	2017-09-19
29 FIVE BELOW INC CMN	P	2017-04-17	2017-06-02
14 FLOTEK INDUSTRIES, INC CMN	P	2015-10-22	2017-04-20
6 FLOTEK INDUSTRIES, INC CMN	P	2015-10-22	2017-04-19
12 FLOTEK INDUSTRIES, INC CMN	P	2013-03-14	2017-04-19
17 FLOTEK INDUSTRIES, INC CMN	P	2013-03-14	2017-04-18
21 FLOTEK INDUSTRIES, INC CMN	P	2013-03-14	2017-04-17
3 GARTNER, INC CMN	P	2017-04-06	2017-06-02
1 GARTNER, INC CMN	P	2017-04-06	2017-06-02

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
23,960		23,168	792
1,368		1,321	47
1,488		1,304	184
163		249	-86
70		107	-37
140		187	-47
204		264	-60
255		327	-72
362		329	33
121		110	11

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			792
			47
			184
			-86
			-37
			-47
			-60
			-72
			33
			11

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 GARTNER, INC CMN	P	2017-04-06	2017-06-02
0 02 GARTNER, INC CMN	P	2017-04-06	2017-04-06
229 GENUINE PARTS CO CMN	P	2011-09-01	2017-06-02
32 GILEAD SCIENCES CMN	P	2017-06-29	2017-09-05
175 GLACIER BANCORP INC (NEW) CMN	P	2012-09-12	2017-04-27
105 GLACIER BANCORP INC (NEW) CMN	P	2012-02-06	2017-04-27
45 GLACIER BANCORP INC (NEW) CMN	P	2012-02-03	2017-04-27
75 GRAND CANYON EDUCATION, INC CMN	P	2012-12-12	2017-04-27
100 GRAND CANYON EDUCATION, INC CMN	P	2012-09-12	2017-04-27
2585 315 GS HIGH YIELD FLOATING RATE FUND INSTITUTIONAL SHARES	P	2014-02-25	2017-12-13

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
121		110	11
3			3
21,596		12,639	8,957
2,646		2,245	401
6,095		2,756	3,339
3,657		1,540	2,117
1,567		668	899
5,649		1,823	3,826
7,532		2,323	5,209
25,000		26,093	-1,093

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			11
			3
			8,957
			401
			3,339
			2,117
			899
			3,826
			5,209
			-1,093

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
3262 643 GS REAL ESTATE SECURITIES FUND INSTITUTIONAL SHARES	P	2013-01-25	2017-05-04
754 652 GS REAL ESTATE SECURITIES FUND INSTITUTIONAL SHARES	P	2016-12-09	2017-01-31
134 974 GS REAL ESTATE SECURITIES FUND INSTITUTIONAL SHARES	P	2016-12-09	2017-01-31
52 613 GS REAL ESTATE SECURITIES FUND INSTITUTIONAL SHARES	P	2016-09-29	2017-01-31
59 573 GS REAL ESTATE SECURITIES FUND INSTITUTIONAL SHARES	P	2016-06-29	2017-01-31
53 445 GS REAL ESTATE SECURITIES FUND INSTITUTIONAL SHARES	P	2016-03-30	2017-01-31
233 524 GS REAL ESTATE SECURITIES FUND INSTITUTIONAL SHARES	P	2015-12-10	2017-01-31
57 759 GS REAL ESTATE SECURITIES FUND INSTITUTIONAL SHARES	P	2015-09-29	2017-01-31
44 759 GS REAL ESTATE SECURITIES FUND INSTITUTIONAL SHARES	P	2015-06-29	2017-01-31
60 563 GS REAL ESTATE SECURITIES FUND INSTITUTIONAL SHARES	P	2015-03-30	2017-01-31

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
60,000		53,475	6,525
14,142		14,135	7
2,529		2,528	1
986		1,126	-140
1,116		1,283	-167
1,002		1,116	-114
4,376		4,491	-115
1,082		1,100	-18
839		839	
1,135		1,273	-138

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			6,525
			7
			1
			-140
			-167
			-114
			-115
			-18
			-138

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
45 663 GS REAL ESTATE SECURITIES FUND INSTITUTIONAL SHARES	P	2014-09-29	2017-01-31
51 517 GS REAL ESTATE SECURITIES FUND INSTITUTIONAL SHARES	P	2014-06-27	2017-01-31
59 022 GS REAL ESTATE SECURITIES FUND INSTITUTIONAL SHARES	P	2014-03-28	2017-01-31
42 279 GS REAL ESTATE SECURITIES FUND INSTITUTIONAL SHARES	P	2013-06-27	2017-01-31
57 881 GS REAL ESTATE SECURITIES FUND INSTITUTIONAL SHARES	P	2013-03-27	2017-01-31
3700 GS REAL ESTATE SECURITIES FUND INSTITUTIONAL SHARES	P	2013-01-25	2017-01-31
8 788 GS STRATEGIC INCOME FUND INSTITUTIONAL SHARES	P	2017-10-30	2017-10-31
7 231 GS STRATEGIC INCOME FUND INSTITUTIONAL SHARES	P	2017-09-28	2017-10-31
19 54 GS STRATEGIC INCOME FUND INSTITUTIONAL SHARES	P	2017-08-30	2017-10-31
15 3 GS STRATEGIC INCOME FUND INSTITUTIONAL SHARES	P	2017-07-28	2017-10-31

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
856		812	44
965		948	17
1,106		1,008	98
792		697	95
1,085		958	127
69,338		60,643	8,695
84		84	
69		69	
187		188	-1
146		147	-1

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			44
			17
			98
			95
			127
			8,695
			-1
			-1

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
16 848 GS STRATEGIC INCOME FUND INSTITUTIONAL SHARES	P	2017-06-29	2017-10-31
15 918 GS STRATEGIC INCOME FUND INSTITUTIONAL SHARES	P	2017-05-30	2017-10-31
10416 667 GS STRATEGIC INCOME FUND INSTITUTIONAL SHARES	P	2017-05-04	2017-10-31
24 HARTFORD FINANCIAL SRVCS GROUP CMN	P	2016-01-07	2017-01-03
11 HARTFORD FINANCIAL SRVCS GROUP CMN	P	2015-08-07	2017-01-03
12 HARTFORD FINANCIAL SRVCS GROUP CMN	P	2014-02-04	2017-01-03
120 HEALTHCARE SVCS GROUP INC CMN	P	2012-09-12	2017-04-27
120 HEALTHCARE SVCS GROUP INC CMN	P	2011-06-07	2017-04-27
120 HEALTHCARE SVCS GROUP INC CMN	P	2011-05-20	2017-04-27
10 HEALTHCARE SVCS GROUP INC CMN	P	2011-03-14	2017-04-27

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
161		163	-2
152		153	-1
99,479		100,000	-521
1,144		990	154
524		524	
572		393	179
5,582		2,610	2,972
5,582		1,940	3,642
5,582		2,084	3,498
465		169	296

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-2
			-1
			-521
			154
			179
			2,972
			3,642
			3,498
			296

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
13 HEICO CORPORATION (NEW) CMN	P	2014-12-19	2017-12-13
4 HEICO CORPORATION (NEW) CMN	P	2014-12-18	2017-12-13
2 HEICO CORPORATION (NEW) CMN	P	2014-12-18	2017-09-25
6 HEICO CORPORATION (NEW) CMN	P	2014-12-16	2017-09-25
0 5 HEICO CORPORATION (NEW) CMN	P	2014-12-16	2017-04-19
18 HOST HOTELS & RESORTS INC CMN	P	2016-11-21	2017-04-25
20 HOST HOTELS & RESORTS INC CMN	P	2016-11-10	2017-04-25
54 HOST HOTELS & RESORTS INC CMN	P	2016-11-02	2017-04-25
26 HOST HOTELS & RESORTS INC CMN	P	2015-10-07	2017-04-25
49 HOST HOTELS & RESORTS INC CMN	P	2015-10-07	2017-04-17

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
1,185		581	604
365		193	172
179		97	82
537		281	256
36			36
345		300	45
383		326	57
1,035		831	204
498		453	45
907		854	53

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			604
			172
			82
			256
			36
			45
			57
			204
			45
			53

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
54 HOST HOTELS & RESORTS INC CMN	P	2015-10-07	2017-04-06
3 HUMANA INC CMN	P	2016-11-11	2017-09-05
2 HUMANA INC CMN	P	2016-11-11	2017-07-06
4 HUMANA INC CMN	P	2016-11-11	2017-05-04
50 ICU MEDICAL INC CMN	P	2012-09-12	2017-04-27
65 ICU MEDICAL INC CMN	P	2011-06-07	2017-04-27
65 ICU MEDICAL INC CMN	P	2011-05-20	2017-04-27
10 ICU MEDICAL INC CMN	P	2011-03-14	2017-04-27
278 INGERSOLL-RAND PLC CMN	P	2011-09-01	2017-04-27
785 ISHARES RUSSELL 1000 VALUE ETF	P	2013-08-08	2017-01-31

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
986		941	45
776		584	192
482		389	93
908		778	130
7,619		2,873	4,746
9,904		2,747	7,157
9,904		2,755	7,149
1,524		415	1,109
24,786		7,009	17,777
88,004		68,985	19,019

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			45
			192
			93
			130
			4,746
			7,157
			7,149
			1,109
			17,777
			19,019

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
94 J C PENNEY CO INC (HLDNG CO) CMN	P	2016-04-29	2017-01-10
52 J C PENNEY CO INC (HLDNG CO) CMN	P	2015-11-13	2017-01-10
151 J C PENNEY CO INC (HLDNG CO) CMN	P	2015-10-20	2017-01-10
57 J C PENNEY CO INC (HLDNG CO) CMN	P	2014-05-16	2017-01-10
27 J C PENNEY CO INC (HLDNG CO) CMN	P	2014-03-14	2017-01-10
17 J C PENNEY CO INC (HLDNG CO) CMN	P	2014-03-06	2017-01-10
39 J C PENNEY CO INC (HLDNG CO) CMN	P	2013-12-05	2017-01-10
56 J C PENNEY CO INC (HLDNG CO) CMN	P	2013-09-05	2017-01-10
6 JOHN BEAN TECHNOLOGIES CORPORA CMN	P	2017-06-27	2017-10-19
9 JOHNSON CONTROLS INTERNATIONAL CMN	P	2016-07-25	2017-11-10

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
666		876	-210
368		393	-25
1,069		1,483	-414
404		555	-151
191		241	-50
120		142	-22
276		347	-71
396		780	-384
615		564	51
335		430	-95

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			-210
			-25
			-414
			-151
			-50
			-22
			-71
			-384
			51
			-95

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
29 JOHNSON CONTROLS INTERNATIONAL CMN	P	2016-01-07	2017-11-10
6 JOHNSON CONTROLS INTERNATIONAL CMN	P	2015-07-17	2017-11-10
12 JOHNSON CONTROLS INTERNATIONAL CMN	P	2015-07-17	2017-07-27
25 JOHNSON CONTROLS INTERNATIONAL CMN	P	2014-10-17	2017-07-27
18 JOHNSON CONTROLS INTERNATIONAL CMN	P	2013-04-29	2017-07-27
13 KELLOGG COMPANY CMN	P	2017-04-17	2017-12-19
15 KELLOGG COMPANY CMN	P	2016-12-05	2017-12-19
25 KELLOGG COMPANY CMN	P	2016-11-01	2017-12-19
1 KELLOGG COMPANY CMN	P	2016-10-10	2017-12-19
7 KELLOGG COMPANY CMN	P	2016-10-10	2017-12-12

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
1,079		942	137
223		243	-20
480		485	-5
1,001		1,041	-40
720		606	114
856		938	-82
988		1,070	-82
1,647		1,884	-237
66		76	-10
465		535	-70

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			137
			-20
			-5
			-40
			114
			-82
			-82
			-237
			-10
			-70

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
17 KELLOGG COMPANY CMN	P	2016-09-12	2017-12-12
12 KELLOGG COMPANY CMN	P	2016-05-12	2017-12-12
1 KELLOGG COMPANY CMN	P	2015-11-20	2017-12-12
10 KELLOGG COMPANY CMN	P	2015-11-20	2017-07-24
6 KELLOGG COMPANY CMN	P	2015-11-17	2017-07-24
3 KELLOGG COMPANY CMN	P	2015-11-17	2017-02-07
3 KELLOGG COMPANY CMN	P	2015-08-07	2017-02-07
19 KELLOGG COMPANY CMN	P	2015-06-10	2017-02-07
1 KELLOGG COMPANY CMN	P	2015-05-11	2017-02-07
92 KEYCORP CMN	P	2016-09-12	2017-04-05

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
1,129		1,333	-204
797		929	-132
66		67	-1
669		667	2
401		396	5
218		198	20
218		207	11
1,382		1,177	205
73		64	9
1,590		1,141	449

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			-204
			-132
			-1
			2
			5
			20
			11
			205
			9
			449

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
31 KEYCORP CMN	P	2016-08-25	2017-04-05
137 KEYCORP CMN	P	2016-08-25	2017-03-21
4 KIRBY CORP CMN	P	2016-09-08	2017-10-30
6 KIRBY CORP CMN	P	2016-09-08	2017-04-21
50000 KROGER CO/THE 3 5% 02/01/2026 USD SR LIEN	P	2016-01-08	2017-06-16
9 L BRANDS, INC CMN	P	2017-01-05	2017-04-10
8 L BRANDS, INC CMN	P	2017-01-03	2017-04-10
30 L BRANDS, INC CMN	P	2016-12-20	2017-04-10
45 LOGMEIN INC CMN	P	2016-02-12	2017-04-27
50 LOGMEIN INC CMN	P	2016-01-26	2017-04-27

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
536		377	159
2,445		1,668	777
280		228	52
418		343	75
50,682		49,882	800
432		560	-128
384		531	-147
1,441		2,095	-654
5,103		1,915	3,188
5,670		2,660	3,010

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			159
			777
			52
			75
			800
			-128
			-147
			-654
			3,188
			3,010

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
235 LOGMEIN INC CMN	P	2014-09-12	2017-04-27
16 LULULEMON ATHLETICA INC CMN	P	2017-09-06	2017-12-07
9 LULULEMON ATHLETICA INC CMN	P	2017-06-14	2017-12-07
14 LULULEMON ATHLETICA INC CMN	P	2017-05-11	2017-12-07
11 LULULEMON ATHLETICA INC CMN	P	2017-05-11	2017-12-07
9 LULULEMON ATHLETICA INC CMN	P	2017-05-11	2017-12-06
1 MADISON SQUARE GARDEN COMPANY CMN CLASS A	P	2015-07-27	2017-11-30
3 MADISON SQUARE GARDEN COMPANY CMN CLASS A	P	2014-12-09	2017-11-30
4 MADISON SQUARE GARDEN COMPANY CMN CLASS A	P	2014-10-15	2017-11-30
3 MADISON SQUARE GARDEN COMPANY CMN CLASS A	P	2014-06-27	2017-11-30

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
26,648		10,476	16,172
1,168		972	196
657		479	178
1,010		761	249
803		598	205
600		489	111
217		153	64
650		546	104
867		580	287
650		418	232

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			16,172
			196
			178
			249
			205
			111
			64
			104
			287
			232

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
9 MADISON SQUARE GARDEN COMPANY CMN CLASS A	P	2014-06-27	2017-02-13
5 MARKETAXESS HOLDINGS INC CMN	P	2013-01-31	2017-08-18
11 MARKETAXESS HOLDINGS INC CMN	P	2013-01-31	2017-08-16
5 MARKETAXESS HOLDINGS INC CMN	P	2013-01-31	2017-08-07
3 MARKETAXESS HOLDINGS INC CMN	P	2013-01-31	2017-06-29
2 MC DONALDS CORP CMN	P	2016-10-25	2017-11-03
5 MC DONALDS CORP CMN	P	2016-09-12	2017-11-03
1 MC DONALDS CORP CMN	P	2016-09-12	2017-10-12
7 MC DONALDS CORP CMN	P	2016-08-25	2017-10-12
7 MC DONALDS CORP CMN	P	2016-08-25	2017-09-05

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,557		1,253	304
950		188	762
2,116		414	1,702
1,016		188	828
608		113	495
336		226	110
840		577	263
164		115	49
1,149		808	341
1,109		808	301

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			304
			762
			1,702
			828
			495
			110
			263
			49
			341
			301

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
4 MC DONALDS CORP CMN	P	2016-08-25	2017-06-12
25000 MCKESSON CORP 4 75% 03/01/2021 USD SR LIEN	P	2011-02-23	2017-11-17
110 MEDIDATA SOLUTIONS, INC CMN	P	2012-12-12	2017-04-27
115 MEDIDATA SOLUTIONS, INC CMN	P	2012-10-31	2017-04-27
23 METTLER-TOLEDO INTL CMN	P	2016-01-19	2017-06-02
49 METTLER-TOLEDO INTL CMN	P	2016-01-15	2017-06-02
19 MICROSOFT CORPORATION CMN	P	2015-12-07	2017-05-12
936 MICROSOFT CORPORATION CMN	P	2011-09-01	2017-04-27
127 MICROSOFT CORPORATION CMN	P	2011-07-25	2017-04-27
153 MICROSOFT CORPORATION CMN	P	2011-03-16	2017-04-27

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
599		462	137
26,627		25,070	1,557
7,168		2,111	5,057
7,494		2,343	5,151
13,676		7,176	6,500
29,136		15,319	13,817
1,298		1,059	239
63,769		24,641	39,128
8,652		3,542	5,110
10,424		3,787	6,637

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			137
			1,557
			5,057
			5,151
			6,500
			13,817
			239
			39,128
			5,110
			6,637

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
29 MICROSOFT CORPORATION CMN	P	2015-12-07	2017-04-25
25 MICROSOFT CORPORATION CMN	P	2015-12-07	2017-01-17
5 MONRO, INC CMN	P	2013-01-31	2017-05-03
10 MONRO, INC CMN	P	2013-01-31	2017-05-02
71 MORGAN STANLEY CMN	P	2017-04-25	2017-12-21
27000 MORGAN STANLEY LINKED TO UPSIDE LEVERED CAPPED STRUCTURED NOTE DUE 01/19/2017	P	2015-07-13	2017-01-19
10 MOTOROLA SOLUTIONS INC CMN	P	2016-09-02	2017-08-15
25 NORWEGIAN CRUISE LINE HLDG LTD CMN	P	2016-08-02	2017-04-07
15 NORWEGIAN CRUISE LINE HLDG LTD CMN	P	2016-02-08	2017-04-07
13 NORWEGIAN CRUISE LINE HLDG LTD CMN	P	2015-08-04	2017-04-07

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,970		1,617	353
1,557		1,394	163
255		176	79
507		352	155
3,759		3,146	613
22,775		27,000	-4,225
880		773	107
1,205		988	217
723		574	149
627		765	-138

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			353
			163
			79
			155
			613
			-4,225
			107
			217
			149
			-138

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
2 NORWEGIAN CRUISE LINE HLDG LTD CMN	P	2015-01-09	2017-04-07
4 PALO ALTO NETWORKS INC CMN	P	2017-04-07	2017-06-01
0 5 PATRICK INDS INC CMN	P	2017-05-02	2017-12-11
79 PEPSICO, INC CMN	P	2012-08-03	2017-06-02
39 PEPSICO, INC CMN	P	2012-08-01	2017-06-02
40 PEPSICO, INC CMN	P	2012-07-31	2017-06-02
54 PEPSICO, INC CMN	P	2012-07-30	2017-06-02
33 PFIZER INC CMN	P	2015-08-24	2017-05-25
15 PFIZER INC CMN	P	2015-08-24	2017-05-05
10 PFIZER INC CMN	P	2015-08-07	2017-05-05

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
96		91	5
549		450	99
35			35
9,271		5,747	3,524
4,577		2,828	1,749
4,694		2,908	1,786
6,337		3,928	2,409
1,056		1,086	-30
500		493	7
333		351	-18

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			5
			99
			35
			3,524
			1,749
			1,786
			2,409
			-30
			7
			-18

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
34 PFIZER INC CMN	P	2014-12-23	2017-05-05
18 PFIZER INC CMN	P	2014-12-23	2017-01-24
20 PFIZER INC CMN	P	2014-11-10	2017-01-24
45 POWER INTEGRATIONS INC CMN	P	2012-09-12	2017-04-27
1 PPG INDUSTRIES, INC CMN	P	2017-01-03	2017-12-07
12 PPG INDUSTRIES, INC CMN	P	2016-12-13	2017-12-07
9 PPG INDUSTRIES, INC CMN	P	2016-12-13	2017-07-21
50 PROASSURANCE CORP CMN	P	2011-03-14	2017-04-27
10 PROCTER & GAMBLE COMPANY (THE) CMN	P	2016-11-28	2017-04-17
19 PROCTER & GAMBLE COMPANY (THE) CMN	P	2016-11-21	2017-04-17

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
1,133		1,070	63
561		566	-5
624		605	19
3,053		1,583	1,470
116		95	21
1,397		1,164	233
958		873	85
3,122		1,550	1,572
903		830	73
1,715		1,561	154

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			63
			-5
			19
			1,470
			21
			233
			85
			1,572
			73
			154

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
6 PROCTER & GAMBLE COMPANY (THE) CMN	P	2015-11-20	2017-04-17
14 PROCTER & GAMBLE COMPANY (THE) CMN	P	2015-10-30	2017-04-17
14 PROCTER & GAMBLE COMPANY (THE) CMN	P	2015-10-30	2017-03-31
19 PROCTER & GAMBLE COMPANY (THE) CMN	P	2015-10-26	2017-03-03
14 PROCTER & GAMBLE COMPANY (THE) CMN	P	2015-08-24	2017-03-03
10 PROCTER & GAMBLE COMPANY (THE) CMN	P	2015-08-24	2017-02-27
14 PROCTER & GAMBLE COMPANY (THE) CMN	P	2015-08-12	2017-02-27
3 PROCTER & GAMBLE COMPANY (THE) CMN	P	2015-08-07	2017-02-27
1 PROCTER & GAMBLE COMPANY (THE) CMN	P	2015-06-10	2017-02-27
16 PROCTER & GAMBLE COMPANY (THE) CMN	P	2015-06-10	2017-02-15

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
542		460	82
1,264		1,076	188
1,262		1,076	186
1,714		1,471	243
1,263		987	276
905		705	200
1,267		1,058	209
271		226	45
90		79	11
1,444		1,270	174

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			82
			188
			186
			243
			276
			200
			209
			45
			11
			174

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
9 PROCTER & GAMBLE COMPANY (THE) CMN	P	2015-05-04	2017-02-15
20 PROCTER & GAMBLE COMPANY (THE) CMN	P	2015-05-04	2017-01-03
11 QUINTILES IMS HOLDINGS INC CMN	P	2016-10-27	2017-03-14
20 QUINTILES IMS HOLDINGS INC CMN	P	2016-07-11	2017-03-14
6 RALPH LAUREN CORP CMN CLASS A	P	2017-05-08	2017-05-19
22 RALPH LAUREN CORP CMN CLASS A	P	2017-04-19	2017-05-19
90 RBC BEARINGS INCORPORATED CMN	P	2012-09-12	2017-04-27
60 RBC BEARINGS INCORPORATED CMN	P	2011-09-27	2017-04-27
15 RBC BEARINGS INCORPORATED CMN	P	2011-09-26	2017-04-27
211 RED HAT, INC CMN	P	2014-10-16	2017-06-02

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
812		727	85
1,678		1,616	62
875		792	83
1,592		1,422	170
415		492	-77
1,521		1,761	-240
9,124		4,282	4,842
6,083		2,150	3,933
1,521		498	1,023
19,241		11,662	7,579

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			85
			62
			83
			170
			-77
			-240
			4,842
			3,933
			1,023
			7,579

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
18 RED HAT, INC CMN	P	2017-01-04	2017-04-24
9 RED HAT, INC CMN	P	2016-12-22	2017-04-24
14 RED HAT, INC CMN	P	2016-12-22	2017-03-21
19 RED HAT, INC CMN	P	2016-12-22	2017-02-28
19 ROCKWELL AUTOMATION INC CMN	P	2016-06-22	2017-01-19
5 ROCKWELL AUTOMATION INC CMN	P	2016-02-22	2017-01-19
6 ROCKWELL AUTOMATION INC CMN	P	2016-02-05	2017-01-19
1 ROCKWELL AUTOMATION INC CMN	P	2015-08-26	2017-01-19
19 ROCKWELL COLLINS, INC CMN	P	2011-09-01	2017-04-27
304 SEAGATE TECHNOLOGY PLC CMN	P	2016-04-25	2017-06-02

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
1,569		1,324	245
785		630	155
1,164		981	183
1,571		1,331	240
2,668		2,241	427
702		526	176
842		594	248
140		106	34
1,982		932	1,050
13,087		7,909	5,178

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			245
			155
			183
			240
			427
			176
			248
			34
			1,050
			5,178

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
5 SKYWORKS SOLUTIONS INC CMN	P	2016-03-17	2017-04-24
7 SKYWORKS SOLUTIONS INC CMN	P	2016-03-17	2017-04-18
4200 SOUND BKG CO CMN	P	2005-02-24	2017-08-15
1300 SOUND BKG CO CMN	P	2005-02-24	2017-08-11
2500 SOUND BKG CO CMN	P	2005-02-24	2017-08-10
3500 SOUND BKG CO CMN	P	2005-02-24	2017-08-09
1700 SOUND BKG CO CMN	P	2005-02-24	2017-08-08
211 SPDR S&P 500 ETF TRUST	P	2014-10-10	2017-05-04
250 SPDR S&P 500 ETF TRUST	P	2013-08-20	2017-05-04
3 STRYKER CORPORATION CMN	P	2016-02-19	2017-07-26

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
520		372	148
690		521	169
55,229		34,986	20,243
17,095		10,829	6,266
32,939		20,825	12,114
46,299		29,155	17,144
22,524		14,161	8,363
50,320		40,250	10,070
59,621		41,498	18,123
436		291	145

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			148
			169
			20,243
			6,266
			12,114
			17,144
			8,363
			10,070
			18,123
			145

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
4 STRYKER CORPORATION CMN	P	2016-01-27	2017-07-26
5 STRYKER CORPORATION CMN	P	2016-01-27	2017-06-14
14 STRYKER CORPORATION CMN	P	2016-01-27	2017-06-02
6 SUN COMMUNITIES INC CMN	P	2015-11-20	2017-12-22
1 SUN COMMUNITIES INC CMN	P	2015-11-03	2017-12-22
6 SUN COMMUNITIES INC CMN	P	2015-11-03	2017-12-21
13 SUN COMMUNITIES INC CMN	P	2015-11-03	2017-12-20
1428 SYNOVUS FINANCIAL CORP CMN	P	2010-04-28	2017-08-07
125 TEXAS ROADHOUSE, INC CMN	P	2012-09-12	2017-04-27
75 TEXAS ROADHOUSE, INC CMN	P	2012-03-26	2017-04-27

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
581		395	186
709		493	216
2,020		1,381	639
551		380	171
92		62	30
545		372	173
1,196		807	389
62,302		27,500	34,802
5,912		2,209	3,703
3,547		1,280	2,267

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			186
			216
			639
			171
			30
			173
			389
			34,802
			3,703
			2,267

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
145 TEXAS ROADHOUSE, INC CMN	P	2011-06-07	2017-04-27
120 TEXAS ROADHOUSE, INC CMN	P	2011-05-20	2017-04-27
25000 THE CHARLES SCHWAB CORPORATION 4 45% 07/22/2020 USD SR LIEN	P	2010-07-20	2017-11-17
105000 THE GOLDMAN SACHS GROUP, INC LNKD TO MSCI EMERGING MKT UPSIDE LEVERED CAPPED W BUFFER STRUCT	P	2015-06-15	2017-06-20
25000 THE HOME DEPOT, INC 4 4% 04/01/2021 USD SR LIEN	P	2011-11-21	2017-11-17
120 THE HOME DEPOT, INC CMN	P	2014-06-17	2017-04-27
83 TIME WARNER INC CMN	P	2012-08-13	2017-04-27
166 TIME WARNER INC CMN	P	2012-08-01	2017-04-27
375 TJX COMPANIES INC (NEW) CMN	P	2011-09-01	2017-04-27
8 TORO CO (DELAWARE) CMN	P	2013-01-31	2017-07-20

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
6,858		2,393	4,465
5,676		1,978	3,698
26,447		24,968	1,479
112,178		105,000	7,178
26,649		25,864	785
18,724		9,632	9,092
8,284		3,390	4,894
16,568		6,331	10,237
29,591		9,937	19,654
570		177	393

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			4,465
			3,698
			1,479
			7,178
			785
			9,092
			4,894
			10,237
			19,654
			393

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
4 TORO CO (DELAWARE) CMN	P	2013-01-31	2017-07-19
16 TORO CO (DELAWARE) CMN	P	2013-01-31	2017-06-27
5 TORO CO (DELAWARE) CMN	P	2013-01-31	2017-05-03
3 TORO CO (DELAWARE) CMN	P	2013-01-31	2017-05-02
150 TORO CO (DELAWARE) CMN	P	2012-09-12	2017-04-27
60 TYLER TECHNOLOGIES INC CMN	P	2012-12-12	2017-04-27
60 TYLER TECHNOLOGIES INC CMN	P	2012-09-12	2017-04-27
21 UNION PACIFIC CORP CMN	P	2016-01-12	2017-07-07
3 UNION PACIFIC CORP CMN	P	2016-01-12	2017-04-17
2 UNION PACIFIC CORP CMN	P	2016-01-12	2017-04-05

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
285		89	196
1,123		354	769
325		111	214
195		66	129
9,845		2,918	6,927
10,008		2,831	7,177
10,008		2,481	7,527
2,259		1,574	685
314		225	89
214		150	64

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			196
			769
			214
			129
			6,927
			7,177
			7,527
			685
			89
			64

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
7 UNION PACIFIC CORP CMN	P	2015-11-20	2017-04-05
190 UNITED CONTINENTAL HOLDING INC CMN	P	2016-01-22	2017-06-02
45 UNITEDHEALTH GROUP INCORPORATE CMN	P	2013-03-25	2017-04-27
128 UNIVERSAL DISPLAY CORPORATION CMN	P	2016-01-13	2017-06-02
109 UNIVERSAL DISPLAY CORPORATION CMN	P	2016-01-12	2017-06-02
4 UNIVERSAL FOREST PRODUCTS INC CMN	P	2013-01-31	2017-11-10
60 UNIVERSAL FOREST PRODUCTS INC CMN	P	2012-09-12	2017-04-27
50 UNIVERSAL FOREST PRODUCTS INC CMN	P	2011-05-20	2017-04-27
45 UNIVERSAL FOREST PRODUCTS INC CMN	P	2011-03-14	2017-04-27
65 US ECOLOGY INC CMN	P	2012-09-12	2017-04-27

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
748		613	135
15,698		9,011	6,687
7,857		2,475	5,382
14,886		6,404	8,482
12,676		5,540	7,136
444		162	282
5,829		2,442	3,387
4,858		1,477	3,381
4,372		1,634	2,738
3,143		1,265	1,878

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			135
			6,687
			5,382
			8,482
			7,136
			282
			3,387
			3,381
			2,738
			1,878

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
65 US ECOLOGY INC CMN	P	2011-06-07	2017-04-27
65 US ECOLOGY INC CMN	P	2011-05-20	2017-04-27
60 US ECOLOGY INC CMN	P	2011-03-14	2017-04-27
50 VAIL RESORTS, INC CMN	P	2016-01-13	2017-06-02
92 VAIL RESORTS, INC CMN	P	2016-01-12	2017-06-02
6 VALERO ENERGY CORPORATION CMN	P	2016-08-16	2017-05-16
25 VALERO ENERGY CORPORATION CMN	P	2016-07-26	2017-05-16
71 VECTREN CORP CMN	P	2012-03-14	2017-04-27
30 VECTREN CORP CMN	P	2011-11-11	2017-04-27
191 VECTREN CORP CMN	P	2011-11-10	2017-04-27

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
3,143		1,058	2,085
3,143		1,086	2,057
2,901		947	1,954
10,731		5,879	4,852
19,746		11,187	8,559
387		328	59
1,614		1,317	297
4,264		2,089	2,175
1,802		882	920
11,471		5,527	5,944

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			2,085
			2,057
			1,954
			4,852
			8,559
			59
			297
			2,175
			920
			5,944

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
13 VISA INC CMN CLASS A	P	2014-04-25	2017-12-04
7 VISA INC CMN CLASS A	P	2014-01-24	2017-12-04
5 VISA INC CMN CLASS A	P	2014-01-24	2017-09-29
9 VISA INC CMN CLASS A	P	2013-12-11	2017-09-29
55 VISA INC CMN CLASS A	P	2014-08-29	2017-06-02
9 WALGREENS BOOTS ALLIANCE, INC CMN	P	2017-01-20	2017-09-27
9 WALGREENS BOOTS ALLIANCE, INC CMN	P	2017-01-05	2017-09-27
7 WALGREENS BOOTS ALLIANCE, INC CMN	P	2017-01-03	2017-09-27
15 WALGREENS BOOTS ALLIANCE, INC CMN	P	2016-11-15	2017-09-27
12 WALGREENS BOOTS ALLIANCE, INC CMN	P	2016-07-25	2017-09-27

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
1,400		650	750
754		397	357
525		283	242
945		466	479
5,273		2,922	2,351
702		733	-31
702		731	-29
546		580	-34
1,170		1,228	-58
936		975	-39

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			750
			357
			242
			479
			2,351
			-31
			-29
			-34
			-58
			-39

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
11 WALGREENS BOOTS ALLIANCE, INC CMN	P	2016-07-20	2017-09-27
4 WALGREENS BOOTS ALLIANCE, INC CMN	P	2016-07-07	2017-09-27
9 WALGREENS BOOTS ALLIANCE, INC CMN	P	2016-07-07	2017-09-12
11 WALGREENS BOOTS ALLIANCE, INC CMN	P	2016-05-06	2017-09-12
37 WALGREENS BOOTS ALLIANCE, INC CMN	P	2016-05-06	2017-07-27
25 WD 40 CO CMN	P	2012-09-12	2017-04-27
45 WD 40 CO CMN	P	2011-06-07	2017-04-27
10 WD 40 CO CMN	P	2011-05-20	2017-04-27
6 WEST PHARMACEUTICAL SERVICES INC	P	2013-01-31	2017-06-29
90 WEST PHARMACEUTICAL SERVICES INC	P	2012-09-12	2017-04-27

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
858		907	-49
312		326	-14
745		734	11
910		865	45
2,912		2,910	2
2,645		1,296	1,349
4,761		1,834	2,927
1,058		405	653
566		179	387
8,311		2,161	6,150

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-49
			-14
			11
			45
			2
			1,349
			2,927
			653
			387
			6,150

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
25000 WESTPAC BANKING CORPORATION 2 5% 06/28/2022 USD SR LIEN	P	2017-06-21	2017-11-17
50000 WHIRLPOOL CORPORATION 1 65% 11/01/2017 SR LIEN	P	2016-03-17	2017-11-01
4 WORLDPAY, INC CMN CLASS A	P	2016-09-12	2017-12-13
16 WORLDPAY, INC CMN CLASS A	P	2016-09-08	2017-12-13
18 WORLDPAY, INC CMN CLASS A	P	2016-09-08	2017-09-29
11 WORLDPAY, INC CMN CLASS A	P	2016-09-08	2017-04-27
19 ZOETIS INC CMN CLASS A	P	2015-08-25	2017-06-02
16 ZOETIS INC CMN CLASS A	P	2015-08-25	2017-05-12
24 ZOETIS INC CMN CLASS A	P	2015-08-25	2017-05-02
11 ZOETIS INC CMN CLASS A	P	2015-08-25	2017-02-22

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
24,929		24,959	-30
50,000		50,000	
295		216	79
1,181		876	305
1,269		986	283
696		602	94
1,191		836	355
958		704	254
1,346		1,056	290
588		484	104

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			-30
			79
			305
			283
			94
			355
			254
			290
			104

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
21 ZOETIS INC CMN CLASS A	P	2015-08-12	2017-02-22
6 ZOETIS INC CMN CLASS A	P	2015-08-07	2017-02-22
29 ZOETIS INC CMN CLASS A	P	2014-08-05	2017-02-22
24 ZOETIS INC CMN CLASS A	P	2014-04-14	2017-02-22
29 ZOETIS INC CMN CLASS A	P	2014-04-07	2017-02-22
13 ZOETIS INC CMN CLASS A	P	2014-01-08	2017-02-22
18 ZOETIS INC CMN CLASS A	P	2014-01-08	2017-02-13
1 ZOETIS INC CMN CLASS A	P	2014-01-08	2017-01-17
16 ZOETIS INC CMN CLASS A	P	2013-12-19	2017-01-17
5 ZOETIS INC CMN CLASS A	P	2013-12-19	2017-01-04

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
1,123		1,002	121
321		288	33
1,550		933	617
1,283		689	594
1,550		846	704
695		416	279
992		576	416
53		32	21
849		517	332
269		161	108

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			121
			33
			617
			594
			704
			279
			416
			21
			332
			108

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
12 ZOETIS INC CMN CLASS A	P	2013-11-05	2017-01-04
18 ZOETIS INC CMN CLASS A	P	2013-10-31	2017-01-04
1 ZOETIS INC CMN CLASS A	P	2013-09-03	2017-01-04
Wash Sale			

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
645		380	265
968		573	395
54		29	25
			23

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			265
			395
			25

TY 2017 Accounting Fees Schedule**Name:** THE BATTLE FAMILY FOUNDATION**EIN:** 58-6343708**Software ID:** 17005038**Software Version:** 2017v2.2**Accounting Fees Schedule**

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
LEGAL AND PROFESSIONAL FEES	3,919	3,919	3,919	0

TY 2017 Other Income Schedule**Name:** THE BATTLE FAMILY FOUNDATION**EIN:** 58-6343708**Software ID:** 17005038**Software Version:** 2017v2.2**Other Income Schedule**

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
CAPITAL GAIN DISTRIBUTION	17,915	17,915	17,915
NON-DIVIDEND DISTRIBUTION	481		
UNREALIZED LOSS ON ASSETS	-593,395		

TY 2017 Other Professional Fees Schedule**Name:** THE BATTLE FAMILY FOUNDATION**EIN:** 58-6343708**Software ID:** 17005038**Software Version:** 2017v2.2

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
BANK CHARGES	18	18	18	0
MANAGEMENT FEES	21,390	21,390	21,390	0

TY 2017 Taxes Schedule**Name:** THE BATTLE FAMILY FOUNDATION**EIN:** 58-6343708**Software ID:** 17005038**Software Version:** 2017v2.2

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAXES	1,502	1,502	1,502	
OTHER TAXES	86	86	86	

efile GRAPHIC print - DO NOT PROCESS		As Filed Data -		DLN: 93491103004158	
<div>Schedule B (Form 990, 990-EZ, or 990-PF) Department of the Treasury Internal Revenue Service</div>		<div>Schedule of Contributors</div> <div>▶ Attach to Form 990, 990-EZ, or 990-PF</div> <div>▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at www.irs.gov/form990</div>			<div>OMB No 1545-0047</div> <div>2017</div>
Name of the organization THE BATTLE FAMILY FOUNDATION				Employer identification number 58-6343708	
Organization type (check one)					
Filers of: Section:					
Form 990 or 990-EZ		<input type="checkbox"/> 501(c)() (enter number) organization			
		<input type="checkbox"/> 4947(a)(1) nonexempt charitable trust not treated as a private foundation			
		<input type="checkbox"/> 527 political organization			
Form 990-PF		<input checked="" type="checkbox"/> 501(c)(3) exempt private foundation			
		<input type="checkbox"/> 4947(a)(1) nonexempt charitable trust treated as a private foundation			
		<input type="checkbox"/> 501(c)(3) taxable private foundation			
Check if your organization is covered by the General Rule or a Special Rule .					
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.					
General Rule					
<input checked="" type="checkbox"/> For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or other property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.					
Special Rules					
<input type="checkbox"/> For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 ¹ / ₃ % support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.					
<input type="checkbox"/> For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 <i>exclusively</i> for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.					
<input type="checkbox"/> For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions <i>exclusively</i> for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an <i>exclusively</i> religious, charitable, etc., purpose. Don't complete any of the parts unless the General Rule applies to this organization because it received <i>nonexclusively</i> religious, charitable, etc., contributions totaling \$5,000 or more during the year. . . . ▶ \$					
Caution. An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it must answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).					
For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF		Cat No 30613X		Schedule B (Form 990, 990-EZ, or 990-PF) (2017)	

Name of organization THE BATTLE FAMILY FOUNDATION	Employer identification number 58-6343708
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Part I **Contributors** (See instructions) Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	WILLIAM R BATTLE III 6552 WATERFRONT DRIVE TUSCALOOSA, AL 35406	\$ 1,489,194	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II for noncash contributions)
-		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
-		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
-		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
-		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
-		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
-		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
-		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)

Name of organization THE BATTLE FAMILY FOUNDATION	Employer identification number 58-6343708
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Part II **Noncash Property** (See instructions) Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
1	PUBLICLY TRADED COMMON STOCK	\$ 1 489,194	2017-06-30
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		\$	

Name of organization THE BATTLE FAMILY FOUNDATION	Employer identification number 58-6343708
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Part III	Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once. See instructions.) ► \$ _____ Use duplicate copies of Part III if additional space is needed
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(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	_____	_____	_____
	_____	_____	_____
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4	Relationship of transferor to transferee	
	_____	_____	_____
	_____	_____	_____
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4	Relationship of transferor to transferee	
	_____	_____	_____
	_____	_____	_____
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4	Relationship of transferor to transferee	
	_____	_____	_____
	_____	_____	_____
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4	Relationship of transferor to transferee	
	_____	_____	_____
	_____	_____	_____
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4	Relationship of transferor to transferee	
	_____	_____	_____
	_____	_____	_____
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4	Relationship of transferor to transferee	
	_____	_____	_____
	_____	_____	_____
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4	Relationship of transferor to transferee	