

Form 990-PF

OMB No 1545 0052

Department of the Treasury  
Internal Revenue ServiceReturn of Private Foundation  
or Section 4947(a)(1) Trust Treated as Private Foundation

- Do not enter social security numbers on this form as it may be made public.  
Go to [www.irs.gov/Form990PF](http://www.irs.gov/Form990PF) for instructions and the latest information

2017

Open to Public Inspection

For calendar year 2017 or tax year beginning , 2017, and ending

THE LAURA E. DUPONT FOUNDATION  
P.O. BOX 8099  
GREENVILLE, SC 29604-8099

A Employer identification number  
58-6340729

B Telephone number (see instructions)  
864-232-7848

C If exemption application is pending, check here ☐

D 1 Foreign organizations, check here ☐  
2 Foreign organizations meeting the 85% test, check here and attach computation ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ☐

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

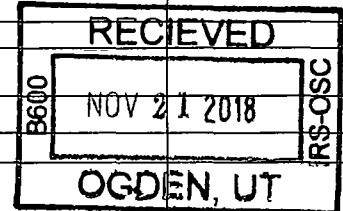
G Check all that apply ☐ Initial return ☐ Initial return of a former public charity  
☐ Final return ☐ Amended return  
☐ Address change ☐ Name change

H Check type of organization ☒ Section 501(c)(3) exempt private foundation  
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, column (c), line 16)  
\$ 1,551,036.

J Accounting method ☒ Cash ☐ Accrual  
☐ Other (specify) \_\_\_\_\_  
(Part I, column (d) must be on cash basis)

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
REVENUE	1 Contributions, gifts, grants, etc., received (attach schedule)				
	2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	197.	197.	N/A	
	4 Dividends and interest from securities	36,717.	36,717.		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	49,277.			
	b Gross sales price for all assets on line 6a	423,935.			
	7 Capital gain net income (from Part IV, line 2)		49,277.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule)					
12 Total. Add lines 1 through 11	86,191.	86,191.			
ADMINISTRATIVE AND OPERATING EXPENSES	13 Compensation of officers, directors, trustees, etc.	0.			
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach sch) SEE ST 1	26,109.			26,109.
	c Other professional fees (attach sch) SEE ST 2	20,535.	20,535.		
	17 Interest				
	18 Taxes (attach schedule) (see instrs) SEE STM 3	1,759.	1,759.		
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule) SEE STATEMENT 4	460.	460.		
	24 Total operating and administrative expenses. Add lines 13 through 23	48,863.	22,754.		26,109.
	25 Contributions, gifts, grants paid PART XV	48,000.			48,000.
26 Total expenses and disbursements. Add lines 24 and 25	96,863.	22,754.		74,109.	
27 Subtract line 26 from line 12					
a Excess of revenue over expenses and disbursements	-10,672.				
b Net investment income (if negative, enter -0-)		63,437.			
c Adjusted net income (if negative, enter -0-)					



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**Part II Balance Sheets**

Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

Beginning of year

End of year

(a) Book Value

(b) Book Value

(c) Fair Market Value

<b>A S S E T S</b>	1	Cash — non-interest-bearing	-20.	-4,456.	
	2	Savings and temporary cash investments	175,785.	141,923.	137,467.
	3	Accounts receivable			
		Less allowance for doubtful accounts			
	4	Pledges receivable			
		Less allowance for doubtful accounts			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach sch)			
		Less allowance for doubtful accounts			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments — U S and state government obligations (attach schedule)			
	b	Investments — corporate stock (attach schedule) <b>STATEMENT 5</b>	1,015,984.	1,043,606.	1,413,538.
	c	Investments — corporate bonds (attach schedule)			
	<b>L I A B I L I T I E S</b>	11	Investments — land, buildings, and equipment basis		
		Less accumulated depreciation (attach schedule)			
12		Investments — mortgage loans			
13		Investments — other (attach schedule)			
14		Land, buildings, and equipment basis			
		Less accumulated depreciation (attach schedule)			
15		Other assets (describe <b>SEE STATEMENT 6</b> )	27.	31.	31.
16		<b>Total assets</b> (to be completed by all filers — see the instructions. Also, see page 1, item I)	1,191,776.	1,181,104.	1,551,036.
17		Accounts payable and accrued expenses			
18		Grants payable			
19	Deferred revenue				
20	Loans from officers, directors, trustees, & other disqualified persons				
21	Mortgages and other notes payable (attach schedule)				
22	Other liabilities (describe)				
23	<b>Total liabilities</b> (add lines 17 through 22)	0.	0.		
<b>N E T F U N D A S S E T S O R F U N D B A L A N C E S</b>		<b>Foundations that follow SFAS 117, check here and complete lines 24 through 26, and lines 30 and 31.</b> <input type="checkbox"/>			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		<b>Foundations that do not follow SFAS 117, check here and complete lines 27 through 31.</b> <input checked="" type="checkbox"/>			
	27	Capital stock, trust principal, or current funds	1,191,776.	1,181,104.	
	28	Paid-in or capital surplus, or land, bldg, and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	<b>Total net assets or fund balances</b> (see instructions)	1,191,776.	1,181,104.	
	31	<b>Total liabilities and net assets/fund balances</b> (see instructions)	1,191,776.	1,181,104.	

**Part III Analysis of Changes in Net Assets or Fund Balances**

1	Total net assets or fund balances at beginning of year — Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,191,776.
2	Enter amount from Part I, line 27a	2	-10,672.
3	Other increases not included in line 2 (itemize)	3	
4	Add lines 1, 2, and 3	4	1,181,104.
5	Decreases not included in line 2 (itemize)	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) — Part II, column (b), line 30	6	1,181,104.

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse, or common stock, 200 shares MLC Company)		(b) How acquired P — Purchase D — Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
<b>1a PUBLICLY TRADED SECURITIES</b>		P	VARIOUS	VARIOUS
<b>b CAPITAL GAIN DIVIDENDS</b>				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) ((e) plus (f) minus (g))
a 423,875.		374,658.	49,217.
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			49,217.
b			60.
c			
d			
e			

2 Capital gain net income or (net capital loss)	<div style="border: 1px solid black; padding: 2px;">           If gain, also enter in Part I, line 7            If (loss), enter -0- in Part I, line 7         </div>	2	49,277.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)		3	

If gain, also enter in Part I, line 8, column (c). See instructions. If (loss), enter -0- in Part I, line 8.

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes☒ No

If 'Yes,' the foundation doesn't qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2016	69,151.	1,354,073.	0.051069
2015	66,185.	1,435,597.	0.046103
2014	68,658.	1,457,286.	0.047114
2013	61,600.	1,321,294.	0.046621
2012	61,976.	1,155,438.	0.053639

2 Total of line 1, column (d)	2	0.244546
3 Average distribution ratio for the 5-year base period — divide the total on line 2 by 5 0, or by the number of years the foundation has been in existence if less than 5 years	3	0.048909
4 Enter the net value of noncharitable-use assets for 2017 from Part X, line 5	4	1,477,374.
5 Multiply line 4 by line 3	5	72,257.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	634.
7 Add lines 5 and 6	7	72,891.
8 Enter qualifying distributions from Part XII, line 4	8	74,109.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see instructions)**

1 a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter 'N/A' on line 1			
Date of ruling or determination letter _____ (attach copy of letter if necessary – see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b		1	634.
c All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-)		2	0.
3 Add lines 1 and 2		3	634.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-)		4	0.
5 <b>Tax based on investment income.</b> Subtract line 4 from line 3. If zero or less, enter -0-		5	634.
6 Credits/Payments			
a 2017 estimated tax pmts and 2016 overpayment credited to 2017	6 a	1,947.	
b Exempt foreign organizations – tax withheld at source	6 b		
c Tax paid with application for extension of time to file (Form 8868)	6 c		
d Backup withholding erroneously withheld	6 d		
7 Total credits and payments Add lines 6a through 6d		7	1,947.
8 Enter any <b>penalty</b> for underpayment of estimated tax Check here <input type="checkbox"/> if Form 2220 is attached		8	
9 Tax due If the total of lines 5 and 8 is more than line 7, enter amount owed		9	0.
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid		10	1,313.
11 Enter the amount of line 10 to be Credited to 2018 estimated tax 1,313. Refunded		11	0.

**Part VII-A Statements Regarding Activities**

	Yes	No
1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition		X
If the answer is 'Yes' to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		
c Did the foundation file <b>Form 1120-POL</b> for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation \$ 0. (2) On foundation managers \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If 'Yes,' attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If 'Yes,' attach a conformed copy of the changes		X
4 a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If 'Yes,' has it filed a tax return on <b>Form 990-T</b> for this year?		N/A
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If 'Yes,' attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If 'Yes,' complete Part II, col (c), and Part XV	X	
8 a Enter the states to which the foundation reports or with which it is registered See instructions SC		
b If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If 'No,' attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2017 or the tax year beginning in 2017? See the instructions for Part XIV If 'Yes,' complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If 'Yes,' attach a schedule listing their names and addresses		X

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**Part VII-A Statements Regarding Activities (continued)**

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes,' attach schedule See instructions	11	X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If 'Yes,' attach statement See instructions	12	X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address <u>N/A</u>	13	X
14 The books are in care of <u>SHEILA C. MCKINNEY, CPA</u> Telephone no <u>864-232-7848</u> Located at <u>P.O. BOX 25926 GREENVILLE SC</u> ZIP + 4 <u>29616-0926</u>		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - check here and enter the amount of tax-exempt interest received or accrued during the year <u>N/A</u>	15	N/A
16 At any time during calendar year 2017, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	X
See the instructions for exceptions and filing requirements for FinCEN Form 114. If 'Yes,' enter the name of the foreign country		

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.

	Yes	No
1 a During the year, did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(6) Agree to pay money or property to a government official? (Exception. Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If any answer is 'Yes' to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions Organizations relying on a current notice regarding disaster assistance, check here <input type="checkbox"/>	1 b	N/A
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2017?	1 c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2017, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2017?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
If 'Yes,' list the years <u>20 __ , 20 __ , 20 __ , 20 __</u>		
b Are there any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer 'No' and attach statement - see instructions)	2 b	N/A
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here <u>20 __ , 20 __ , 20 __ , 20 __</u>		
3 a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If 'Yes,' did it have excess business holdings in 2017 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2017)	3 b	N/A
4 a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4 a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2017?	4 b	X

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**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required** (continued)**5 a** During the year, did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No**b** If any answer is 'Yes' to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions

5 b N/A

Organizations relying on a current notice regarding disaster assistance, check here

☐**c** If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?N/A ☐ Yes ☐ No

If 'Yes,' attach the statement required by Regulations section 53.4945–5(d)

**6 a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6 b X

If 'Yes' to 6b, file Form 8870

**7 a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No**b** If 'Yes,' did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7 b

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1** List all officers, directors, trustees, and foundation managers and their compensation. See instructions.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
LAURA E. DUPONT P.O. BOX 8099 GREENVILLE, SC 29604-8099	CO-TRUSTEE 0	0.	0.	0.
JAMES S. JOHNSTON, JR. P.O. BOX 714 GEORGETOWN, SC 29442	CO-TRUSTEE 0.10	0.	0.	0.
CHARLES P. JOHNSTON 5201 RIO VISTA KNOXVILLE, TN 37919	CO-TRUSTEE 0.10	0.	0.	0.
ELLIS M. JOHNSTON, II P.O. BOX 2048 GREENVILLE, SC 29602	CO-TRUSTEE 0.20	0.	0.	0.

**2** Compensation of five highest-paid employees (other than those included on line 1 – see instructions). If none, enter 'NONE.'

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

**3** Five highest-paid independent contractors for professional services. See instructions. If none, enter 'NONE.'

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

1	<u>N/A</u>	
2		
3		
4		

<b>Part IX-B</b>	<b>Summary of Program-Related Investments</b> (see instructions)
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Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

1	<u>N/A</u>	
2		
All other program-related investments See instructions		
3		
<b>Total.</b> Add lines 1 through 3		0.

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**Part X** Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1 a	1,308,861.
b	Average of monthly cash balances	1 b	191,011.
c	Fair market value of all other assets (see instructions)	1 c	
d	Total (add lines 1a, b, and c)	1 d	1,499,872.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1 e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	1,499,872.
4	Cash deemed held for charitable activities. Enter 1-1/2% of line 3 (for greater amount, see instructions)	4	22,498.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	1,477,374.
6	Minimum investment return. Enter 5% of line 5	6	73,869.

**Part XI** Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	73,869.
2a	Tax on investment income for 2017 from Part VI, line 5	2 a	634.
b	Income tax for 2017 (This does not include the tax from Part VI)	2 b	
c	Add lines 2a and 2b	2 c	634.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	73,235.
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	73,235.
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	73,235.

**Part XII** Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc. — total from Part I, column (d), line 26	1 a	74,109.
b	Program-related investments — total from Part IX-B	1 b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3 a	
b	Cash distribution test (attach the required schedule)	3 b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	74,109.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions	5	634.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	73,475.

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.



**Part XIII** Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2016	(c) 2016	(d) 2017
1 Distributable amount for 2017 from Part XI, line 7				73,235.
2 Undistributed income, if any, as of the end of 2017				
a Enter amount for 2016 only			0.	
b Total for prior years 20 __, 20 __, 20 __		0.		
3 Excess distributions carryover, if any, to 2017				
a From 2012	389.			
b From 2013				
c From 2014	338.			
d From 2015				
e From 2016	2,553.			
f Total of lines 3a through e	3,280.			
4 Qualifying distributions for 2017 from Part XII, line 4 ▶ \$ 74,109.				
a Applied to 2016, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required — see instructions)		0.		
c Treated as distributions out of corpus (Election required — see instructions)	0.			
d Applied to 2017 distributable amount				73,235.
e Remaining amount distributed out of corpus	874.			
5 Excess distributions carryover applied to 2017 (If an amount appears in column (d), the same amount must be shown in column (a) )	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	4,154.			
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable amount — see instructions		0.		
e Undistributed income for 2016 Subtract line 4a from line 2a Taxable amount — see instructions			0.	
f Undistributed income for 2017 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2018				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required — see instructions)	0.			
8 Excess distributions carryover from 2012 not applied on line 5 or line 7 (see instructions)	389.			
9 Excess distributions carryover to 2018. Subtract lines 7 and 8 from line 6a	3,765.			
10 Analysis of line 9				
a Excess from 2013				
b Excess from 2014	338.			
c Excess from 2015				
d Excess from 2016	2,553.			
e Excess from 2017	874.			



**Part XV** Supplementary Information (continued)**3** Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<i>a</i> Paid during the year SEE STATEMENT 7				
<b>Total</b>			<b>3 a</b>	48,000.
<i>b</i> Approved for future payment				
<b>Total</b>			<b>3 b</b>	





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## FEDERAL STATEMENTS

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THE LAURA E. DUPONT FOUNDATION

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**STATEMENT 1**  
**FORM 990-PF, PART I, LINE 16B**  
**ACCOUNTING FEES**

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
SC MCKINNEY CPA-ACCTG & 990-PF(2 YRS)	\$ 26,109.			\$ 26,109.
TOTAL	\$ 26,109.	\$ 0.		\$ 26,109.

**STATEMENT 2**  
**FORM 990-PF, PART I, LINE 16C**  
**OTHER PROFESSIONAL FEES**

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT MANAGEMENT FEES	\$ 20,535.	\$ 20,535.		
TOTAL	\$ 20,535.	\$ 20,535.		\$ 0.

**STATEMENT 3**  
**FORM 990-PF, PART I, LINE 18**  
**TAXES**

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAX PAID	\$ 1,759.	\$ 1,759.		
TOTAL	\$ 1,759.	\$ 1,759.		\$ 0.

**STATEMENT 4**  
**FORM 990-PF, PART I, LINE 23**  
**OTHER EXPENSES**

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN SECS TRANSACTION FEES	\$ 460.	\$ 460.		
TOTAL	\$ 460.	\$ 460.		\$ 0.

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**STATEMENT 5**  
**FORM 990-PF, PART II, LINE 10B**  
**INVESTMENTS - CORPORATE STOCKS**

<u>CORPORATE STOCKS</u>	<u>VALUATION METHOD</u>	<u>BOOK VALUE</u>	<u>FAIR MARKET VALUE</u>
UBS DELAWARE A/C-SEE ATTACHMENT	COST	\$ 285,068.	\$ 364,696.
UBS EIC ACV A/C-SEE ATTACHMENT	COST	417,594.	532,704.
UBS GREENWOOD CAPITAL A/C-SEE ATTACHMENT	COST	340,944.	516,138.
	TOTAL	<u>\$ 1,043,606.</u>	<u>\$ 1,413,538.</u>

**STATEMENT 6**  
**FORM 990-PF, PART II, LINE 15**  
**OTHER ASSETS**

	<u>BOOK VALUE</u>	<u>FAIR MARKET VALUE</u>
UBS BANK INTEREST RECEIVABLE	\$ 29.	\$ 29.
WASH SALE BASIS ADJUSTMENT C/O TO 2018	2.	2.
TOTAL	<u>\$ 31.</u>	<u>\$ 31.</u>

**STATEMENT 7**  
**FORM 990-PF, PART XV, LINE 3A**  
**RECIPIENT PAID DURING THE YEAR**

<u>NAME AND ADDRESS</u>	<u>DONEE RELATIONSHIP</u>	<u>FOUND- ATION STATUS</u>	<u>PURPOSE OF GRANT</u>	<u>AMOUNT</u>
MISSION OF HOPE 6030 INDUSTRIAL HEIGHTS DRIVE KNOXVILLE TN 37909		PC	APPALACHIAN RELIEF MINISTRY	\$ 8,000.
KNOXVILLE INTERNATIONALS NETWORK PO BOX 31052 KNOXVILLE TN 37930		PC	GENERAL OPERATING BUDGET	8,000.
LOGOS LA GONAVE INC 71 DAGULLAH WAY SUITE C PAWLEYS ISLAND SC 29585		PC	MEDICINE & SUPPLIES FOR HAITIAN PEOPLE IN LOTORE'	1,000.
BROOKGREEN GARDENS 1931 BROOKGREEN GARDEN DRIVE MURRELLS INLET SC 29576		PC	2017 ANNUAL FUND	2,500.
GREEN HAND ACTION FOUNDATION INC 48 COMMERCE STREET #5 NEW YORK NY 10014		PC	ENVIRONMENTAL PROJECTS INCLUDING TREE REFORESTATION	500.

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**STATEMENT 7 (CONTINUED)  
FORM 990-PF, PART XV, LINE 3A  
RECIPIENT PAID DURING THE YEAR**

<u>NAME AND ADDRESS</u>	<u>DONEE RELATIONSHIP</u>	<u>FOUND- ATION STATUS</u>	<u>PURPOSE OF GRANT</u>	<u>AMOUNT</u>
TEACH MY PEOPLE 753 WAVERLY ROAD PAWLEYS ISLAND SC 29585		PC	GENERAL OPERATING BUDGET	\$ 1,500.
STUDENTS IN THE WORD 10304 OCEAN HIGHWAY PAWLEYS ISLAND SC 29585		PC	GENERAL OPERATING BUDGET	500.
THE BILLFISH FOUNDATION 5100 N FEDERAL HIGHWAY SUITE 200 FORT LAUDERDALE FL 33308		PC	GENERAL OPERATING BUDGET	4,000.
FRIENDS OF THE MUSEUM SCHOOL FOUNDATION 211 MAPLE STREET SAN DIEGO CA 92103		PC	GENERAL OPERATING BUDGET	2,500.
CANCER SOCIETY OF GREENVILLE COUNTY 113 MILLS AVENUE GREENVILLE SC 29605		PC	GENERAL OPERATING BUDGET	2,500.
CHRIST CHURCH EPISCOPAL 10 N. CHURCH STREET GREENVILLE SC 29601		PC	JOYFULL GARDEN TOUR FUND & STERLING OUTREACH	8,500.
BEST FRIENDS ANIMAL SOCIETY 5001 ANGEL CANYON ROAD KANAB UT 84741		PC	GENERAL OPERATING BUDGET	2,500.
ALL SAINTS CHURCH 3560 KINGS RIVER ROAD PAWLEYS ISLAND SC 29585		PC	DISCRETIONARY FUND	6,000.

TOTAL \$ 48,000.



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ATTACHMENT TO STATEMENT 5  
FORM 990-PF, PART II, LINE 10B  
INVESTMENTS - CORPORATE STOCKS

# Shares 12/31/17	Description	Beg Book Value 01/01/17	End Book Value 12/31/17	FMV 12/31/17
	UBS Delaware A/C			
441	ABB Ltd Spon ADR	8,539 52	7,222 38	11,827 62
477	Allianz SE ADR	6,144 91	8,042 03	10,954 30
161	Banco Santander SA Spon ADR	6,885 71	999 88	1,052 94
293	BP PLC Spon ADR	8,438 54	8,577 82	12,314 79
193	Canon Inc ADR Japan Spon ADR	6,737 05	5,440 38	7,218 20
31	China Mobile Ltd Spon ADR	6,105 95	1,522 79	1,566 74
154	Daimler AG Spon ADR	4,511 52	9,893 33	13,033 79
417	Deutsche Telekom AG DE Spon ADR	4,813 55	4,813 55	7,364 63
2,180	Enel Spa ADR	4,643 07	9,733 99	13,319 80
348	Eni SpA IT Spon ADR	8,538 26	10,123 13	11,550 12
45	Fuji Film Holdings Corp ADR	0 00	1,860 04	1,842 75
0	Glaxo SmithKline PLC ADR	10,389 71	0 00	0 00
405	Honda Motor Co ADR Japan ADR	10,256 31	12,135 66	13,802 40
443	Iberdrola SA Spon ADR	9,137 86	9,650 77	13,704 20
1,067	Ishares MSCI EAFE ETF	0 00	74,315 16	75,020 77
138	Isuzu Motor Co Ltd ADR ADR	0 00	1,784 19	2,311 50
0	KAO Corp Repstg 10 shs Com Spon ADR	1,892 46	0 00	0 00
1,404	Kingfisher PLC New Spon ADR	3,160 82	11,774 20	13,225 68
575	Koninklijke Ahold NV New 2007 Spon ADR	7,409 70	9,256 87	12,655 75
1,062	Lloyds Banking Group PLC Spon ADR	13,591 74	2,974 99	3,982 50
0	National Grid PLC New Spon ADR	7,290 68	0 00	0 00
0	Nestle S A Spon ADR	8,142 12	0 00	0 00
132	Novartis AG Spon ADR	6,145 45	7,924 69	11,082 72
136	NTT Docomo Inc ECH Repstg	3,159 63	2,100 15	3,232 72
205	QBE Ins Group Ltd Spon ADR	4,743 67	1,543 08	1,711 75
6	Royal Dutch Shell PLC CL A Spon ADR	297 93	297 93	400 26
182	Royal Dutch Shell PLC ADS Repstg 2 CL B ORD SHS Spon ADR	7,626 98	7,626 98	12,428 78
144	RWE AG ADR	4,175 32	1,679 46	2,921 76
273	Sanofi Spon ADR	9,694 20	9,694 20	11,739 00
96	SAP SE Spon ADR	8,642 50	6,484 07	10,786 56
248	Singapore Telecom Ltd New 2006 Spon ADR	5,724 66	5,724 66	6,609 20
0	Syngenta AG Spon ADR	6,259 76	0 00	0 00
271	Taiwan Semiconductor Mfg Co Ltd ADR	3,105 73	2,722 00	10,745 15
505	Takeda Pharmaceutical Co Ltd Spon ADR	11,065 09	11,065 09	14,367 25
31	Telefonica S A Spon ADR	8,804 15	285 05	300 08
416	TeliaCo AB ADR	7,622 81	3,389 37	3,694 08

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ATTACHMENT TO STATEMENT 5  
FORM 990-PF, PART II, LINE 10B  
INVESTMENTS - CORPORATE STOCKS

# Shares 12/31/17	Description	Beg Book Value 01/01/17	End Book Value 12/31/17	FMV 12/31/17
929	Tesco PLC Spons ADR United Kingdom	10,590 74	6,272 64	7,887 21
298	Tokio Marine Holdings Inc Spon ADR	7,496 73	9,370 32	13,633 50
0	Total S A France Spon ADR	1,881 01	0 00	0 00
0	Unilever PLC Amer Shs New Spon ADR	5,588 95	0 00	0 00
357	Untd Overseas Bk Ltd Spons ADR Singapore	8,177 52	8,346 54	14,149 69
0	Vodafone Group PLC Spon ADR	2,634 20	0 00	0 00
42	WPP PLC New Spon ADR	0 00	3,675 10	3,803 52
278	Zurich Insurance Group Ltd Spon ADR	6,745 39	6,745 39	8,453 98
14,383	Total UBS Delaware A/C	266,811.90	285,067.88	364,695.69
	UBS EIC ACV A/C			
240	American Express Co	15,476 16	15,476 16	23,834 40
885	Annaly Capital Management Inc REIT	8,261 51	8,063 07	10,522 65
345	Cisco Systems Inc	8,503 21	8,503 21	13,213 50
320	ConocoPhillips	10,590 94	14,159 01	17,564 80
0	CVS Health Corp	8,434 10	0 00	0 00
375	Diamond Offshore Drilling Inc	8,644 04	4,203 86	6,971 25
584	eBay Inc	16,144 73	15,071 26	22,040 16
100	Energy Sector SPDR Trust Shares	0 00	7,041 50	7,226 00
560	Exelon Corp	15,672 55	15,672 55	22,069 60
0	Express Scripts Hldg Co	6,917 24	0 00	0 00
220	Exxon Mobil Corp	17,091 22	17,091 22	18,400 80
190	Franklin Resources Inc	6,802 00	6,802 00	8,232 70
0	Glaxo SmithKline PLC ADR	16,516 43	0 00	0 00
505	Honda Motor Co ADR Japan	15,427 22	15,427 22	17,210 40
230	Ishares Russell 1000 Value ETF	0 00	28,664 67	28,598 20
115	Johnson & Johnson Com	11,589 21	11,589 21	16,067 80
530	Kroger Company	0 00	11,871 95	14,548 50
165	Lowes Companies Inc	0 00	12,182 97	15,335 10
255	Mack-Cali Realty Co	4,826 00	4,826 00	5,497 80
95	McKesson Corp	8,630 93	13,822 92	14,815 25
190	Medtronic PLC	14,377 00	14,377 00	15,342 50
115	Microsoft Corp	9,144 17	4,779 90	9,837 10
155	Pepsico Inc	17,512 17	13,919 93	18,587 60
135	PNC Financial Services Group Inc	13,249 50	11,924 55	19,479 15

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ATTACHMENT TO STATEMENT 5  
FORM 990-PF, PART II, LINE 10B  
INVESTMENTS - CORPORATE STOCKS

# Shares 12/31/17	Description	Beg Book Value 01/01/17	End Book Value 12/31/17	FMV 12/31/17
155	PPG Industries Inc	14,481 45	14,481 45	18,107 10
130	Proctor & Gamble Co	14,829 08	10,420 44	11,944 40
120	Qualcomm Inc	5,393 35	5,192 95	7,682 40
150	Schlumberger Ltd Netherlands Antilles	0 00	9,362 03	10,108 50
0	Schwab Charles Corp New	5,403 47	0 00	0 00
195	SunTrust Banks Inc	8,473 62	6,997 13	12,595 05
215	Taiwan Semiconductor Mfg Co	8,474 46	4,657 67	8,524 75
330	Target Corp	17,379 58	19,595 99	21,532 50
165	Torchmark Corp	9,036 47	9,036 47	14,967 15
125	Travelers Cos Inc	11,944 16	11,944 16	16,955 00
135	United Parcel Service Inc CL B	0 00	14,269 99	16,085 25
315	US Bancorp Del (New)	18,122 96	13,592 22	16,877 70
295	Verizon Communications Inc	11,521 44	14,919 49	15,614 35
165	Wal Mart Stores Inc	23,533 77	10,354 86	16,293 75
330	Wells Fargo & Co New	17,928 68	17,298 61	20,021 10
0	Whole Foods Market Inc	11,364 60	0 00	0 00
9,134	Total UBS EIC ACV A/C	411,697.42	417,593.62	532,704.26
	UBS Greenwood Capital Associates A/C			
97	ABB Ltd Spon ADR	0 00	2,548 82	2,601 54
93	Abbott Labs	0 00	5,124 30	5,307 51
20	Alphabet Inc CL A	9,872 72	9,872 72	21,068 00
35	Amgen Inc	5,761 95	5,761 95	6,086 50
115	Apple Inc	8,643 41	7,499 27	19,461 45
50	Applied Materials Inc	0 00	2,361 50	2,556 00
0	Automatic Data Processing Inc	3,556 31	0 00	0 00
435	Bank of Amer Corp	8,269 89	7,248 25	12,841 20
165	BB&T Corp	6,120 84	6,120 84	8,203 80
25	Biogen Inc	0 00	7,225 00	7,964 25
25	Blackrock Inc	4,668 70	4,668 70	12,842 75
0	Boston Scientific Corp	2,370 00	0 00	0 00
219	BP PLC Spon ADR	4,522 50	8,347 68	9,204 57
105	Bristol Myers Squibb Co	4,973 28	5,537 78	6,434 40
25	Broadcom Ltd	3,198 25	3,198 25	6,422 50
0	CBS Corp New CL B	3,791 05	0 00	0 00

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ATTACHMENT TO STATEMENT 5  
FORM 990-PF, PART II, LINE 10B  
INVESTMENTS - CORPORATE STOCKS

# Shares 12/31/17	Description	Beg Book Value 01/01/17	End Book Value 12/31/17	FMV 12/31/17
35	Celgene Corp	1,770 00	3,259 95	3,652 60
45	Chubb Ltd CHF	5,096 25	5,096 25	6,575 85
220	Cisco Systems Inc	6,210 00	6,210 00	8,426 00
122	CitiGroup Inc	0 00	7,544 00	9,078 02
165	Coca Cola Co Com	7,186 20	6,008 95	7,570 20
140	Comcast Corp New CL A	2,816 74	2,816 74	5,607 00
220	ConocoPhillips	8,224 18	9,608 18	12,075 80
77	Costco Wholesale Corp	5,781 25	10,957 25	14,331 24
55	CVS Health Corp	0 00	4,038 05	3,987 50
120	DowDuPont Inc	5,899 25	5,899 25	8,546 40
45	Electronic Arts	3,554 65	2,914 65	4,727 70
165	Emerson Electric Co	6,519 35	8,941 53	11,498 85
65	EOG Resources Inc	5,637 80	5,637 80	7,014 15
25	Facebook Inc CL A	2,287 50	2,287 50	4,411 50
51	FedEx Corp	0 00	9,702 75	12,726 54
0	Genl Electric Co	7,159 84	0 00	0 00
65	Gilead Sciences Inc	1,333 50	2,768 10	4,656 60
35	Home Depot Inc	1,304 03	1,304 03	6,633 55
85	Honeywell Intl Inc	3,703 70	3,703 70	13,035 60
201	Intel Corp	3,906 25	6,738 35	9,278 16
0	Intl Business Mach	5,915 53	0 00	0 00
105	Johnson & Johnson Com	8,820 63	7,842 82	14,670 60
90	JPMorgan Chase & Co	4,624 70	4,624 70	9,624 60
0	Kraft Heinz Co/The	3,547 79	0 00	0 00
0	Lam Research Corp	4,630 00	0 00	0 00
30	Lily Eli & Co	5,699 74	2,230 55	2,533 80
101	Lowes Companies Inc	2,967 21	5,742 01	9,386 94
70	Mastercard Inc CL A	5,315 71	5,315 71	10,595 20
45	McDonalds Corp	4,676 85	4,676 85	7,745 40
0	Medtronic PLC	6,474 39	0 00	0 00
175	Microsoft Corp	6,152 20	6,152 20	14,969 50
0	Mohawk Industries Inc	5,000 00	0 00	0 00
0	Monsanto Co New	4,421 25	0 00	0 00
110	Morgan Stanley	2,468 83	4,021 08	5,771 70
50	Nextera Energy Inc Com	5,337 60	5,337 60	7,809 50
0	Nike Inc CL B	3,816 66	0 00	0 00
125	Nucor Corp	0 00	7,301 75	7,947 50
0	Occidental Petroleum CRP	5,479 85	0 00	0 00

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ATTACHMENT TO STATEMENT 5  
FORM 990-PF, PART II, LINE 10B  
INVESTMENTS - CORPORATE STOCKS

# Shares 12/31/17	Description	Beg Book Value 01/01/17	End Book Value 12/31/17	FMV 12/31/17
15	Oracle Corp	0 00	736 56	709 20
110	PayPal Holdings Inc	3,406 01	4,032 98	8,098 20
85	Pepsico Inc	4,971 50	6,092 10	10,193 20
290	Pfizer Inc	8,690 09	8,690 09	10,503 80
0	Phillips 66	2,376 00	0 00	0 00
440	Potash Corp Sask Inc Canada CAD	4,230 70	7,354 28	9,086 00
0	Proctor & Gamble Co	2,791 95	0 00	0 00
87	Qualcomm Inc	7,209 24	5,423 58	5,569 74
30	Raytheon Co New	3,802 50	3,802 50	5,635 50
45	Schlumberger Ltd Netherlands Antilles	5,988 76	2,779 00	3,032 55
108	Sony Corp ADR New Japan ADR	0 00	4,833 81	4,854 60
95	Southwest Airlines Co	0 00	4,798 41	6,217 75
55	Stanley Black & Decker Inc Com	5,841 85	5,841 85	9,332 95
0	Starbucks Corp	4,205 70	0 00	0 00
190	SunTrust Banks Inc	7,345 73	7,345 73	12,272 10
75	Texas Instruments	3,143 40	3,143 40	7,833 00
0	Time Warner Cable Inc	5,671 19	0 00	0 00
170	Twenty-First Century Fox	0 00	4,997 92	5,870 10
70	Union Pacific Corp	5,199 59	5,199 59	9,387 00
0	United Parcel Service Inc CL B	7,023 93	0 00	0 00
44	Untd Technologies Corp	0 00	5,038 00	5,613 08
50	Valero Energy Corp New	0 00	3,383 50	4,595 50
70	VF Corp	0 00	5,193 69	5,180 00
169	Vodafone Group PLC Spon ADR	0 00	4,872 13	5,391 10
0	Walgreens Boots Alliance Inc	9,615 00	0 00	0 00
130	Walt Disney Co (Holding Co) Disney Com	8,488 45	9,012 75	13,976 30
80	Waste Mgmt Inc New	4,175 23	4,175 23	6,904 00
0	Wells Fargo & Co New	3,809 38	0 00	0 00
6,654	Total UBS Greenwood Capital Associates A/C	337,474.53	340,944.46	516,138.14
30,171	TOTAL CORPORATE STOCK	1,015,983.85	1,043,605.96	1,413,538.09