

For calendar year 2017 or tax year beginning \_\_\_\_\_, and ending \_\_\_\_\_.

- Do not enter social security numbers on this form as it may be made public.
- Go to [www.irs.gov/Form990PF](http://www.irs.gov/Form990PF) for instructions and the latest information.

For calendar year 2017 or tax year beginning \_\_\_\_\_, and ending \_\_\_\_\_

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Name of foundation

Name of foundation <b>RATHMANN FAMILY FOUNDATION</b> C/O JAMES RATHMANN		A Employer identification number <b>52-1757445</b>	
Number and street (or P O box number if mail is not delivered to street address) <b>148 10TH AVE S</b>		B Telephone number <b>239-789-8857</b>	
City or town, state or province, country, and ZIP or foreign postal code <b>NAPLES, FL 34102</b>		C If exemption application is pending, check here ► <input type="checkbox"/>	
G Check all that apply. <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input checked="" type="checkbox"/> Address change <input type="checkbox"/> Name change		D 1 Foreign organizations, check here ► <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation ► <input type="checkbox"/>	
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ► <input type="checkbox"/>	
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ► \$ <b>56,721,387.</b>	J Accounting method. <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ► <input type="checkbox"/>	
<b>Part I Analysis of Revenue and Expenses</b> (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income
1 Contributions, gifts, grants, etc., received			(c) Adjusted net income
2 Check ► <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B			(d) Disbursements for charitable purposes (cash basis only)
3 Interest on savings and temporary cash investments			
4 D			
5a G			
b Net assets as of end of year from Part IV, line 2)			
6a Net assets not on line 10	<b>856,949.</b>		
b as of end of year from Part IV, line 2)	<b>5,822,620.</b>		
7 C			
8 Net short-term capital gain			
9 Income modifications			
10a Gross sales less returns and allowances			
b Less Cost of goods sold			
c Gross profit or (loss)			
11 Other income	<b>&lt;28,991. &gt;</b>	<b>&lt;51,851. &gt;</b>	
12 Total. Add lines 1 through 11	<b>2,127,411.</b>	<b>2,094,542.</b>	<b>STATEMENT 3</b>
13 Compensation of officers, directors, trustees, etc	<b>182,600.</b>	<b>0.</b>	<b>182,600.</b>
14 Other employee salaries and wages	<b>166,046.</b>	<b>0.</b>	<b>166,046.</b>
15 Pension plans, employee benefits	<b>58,734.</b>	<b>0.</b>	<b>58,734.</b>
16a Legal fees	<b>STMT 4</b>	<b>1,808.</b>	<b>1,808.</b>
b Accounting fees	<b>STMT 5</b>	<b>20,999.</b>	<b>20,999.</b>
c Other professional fees	<b>STMT 6</b>	<b>146,330.</b>	<b>145,014.</b>
17 Interest			
18 Taxes	<b>STMT 7</b>	<b>32,196.</b>	<b>0.</b>
19 Depreciation and depletion			
20 Occupancy			
21 Travel, conferences, and meetings			
22 Printing and publications			
23 Other expenses	<b>STMT 8</b>	<b>69,003.</b>	<b>69,003.</b>
24 Total operating and administrative expenses. Add lines 13 through 23			
25 Contributions, gifts, grants paid	<b>677,716.</b>	<b>145,014.</b>	<b>500,506.</b>
26 Total expenses and disbursements. Add lines 24 and 25	<b>1,998,999.</b>		<b>1,998,999.</b>
27 Subtract line 26 from line 12	<b>2,676,715.</b>	<b>145,014.</b>	<b>2,499,505.</b>
a Excess of revenue over expenses and disbursements			
b Net investment income (if negative, enter -0-)	<b>&lt;549,304. &gt;</b>		
c Adjusted net income (if negative, enter -0-)		<b>1,949,528.</b>	
			<b>N/A</b>

**RATHMANN FAMILY FOUNDATION**  
**C/O JAMES RATHMANN**

Form 990-PF (2017)

52-1757445

Page 2

<b>Part II Balance Sheets</b>		Attached schedules and amounts in the description column should be for end-of-year amounts only	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
1	Cash - non-interest-bearing				
2	Savings and temporary cash investments		2,555,605.	1,269,097.	1,269,097.
3	Accounts receivable ►				
	Less allowance for doubtful accounts ►				
4	Pledges receivable ►				
	Less. allowance for doubtful accounts ►				
5	Grants receivable				
6	Receivables due from officers, directors, trustees, and other disqualified persons				
7	Other notes and loans receivable ►				
	Less allowance for doubtful accounts ►				
8	Inventories for sale or use				
9	Prepaid expenses and deferred charges				
10a	Investments - U S and state government obligations	STMT 10	7,477,738.	8,047,796.	8,236,967.
b	Investments - corporate stock	STMT 11	18,355,830.	17,913,295.	35,956,200.
c	Investments - corporate bonds	STMT 12	1,410,811.	1,987,797.	2,020,217.
11	Investments - land, buildings, and equipment: basis	► 2,328.			
	Less accumulated depreciation	► 2,328.			
12	Investments - mortgage loans				
13	Investments - other	STMT 13	9,186,916.	9,203,824.	9,223,119.
14	Land, buildings, and equipment: basis	►			
	Less accumulated depreciation	►			
15	Other assets (describe ►	STATEMENT 14)	0.	15,787.	15,787.
16	Total assets (to be completed by all filers - see the instructions. Also, see page 1, item 1)		38,986,900.	38,437,596.	56,721,387.
17	Accounts payable and accrued expenses				
18	Grants payable				
19	Deferred revenue				
20	Loans from officers, directors, trustees, and other disqualified persons				
21	Mortgages and other notes payable				
22	Other liabilities (describe ►	)			
23	Total liabilities (add lines 17 through 22)		0.	0.	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ► <input checked="" type="checkbox"/>				
	and complete lines 24 through 26, and lines 30 and 31.				
24	Unrestricted		38,986,900.	38,437,596.	
25	Temporarily restricted				
26	Permanently restricted				
27	Foundations that do not follow SFAS 117, check here ► <input type="checkbox"/>				
	and complete lines 27 through 31.				
28	Capital stock, trust principal, or current funds				
29	Paid-in or capital surplus, or land, bldg , and equipment fund				
30	Retained earnings, accumulated income, endowment, or other funds		38,986,900.	38,437,596.	
31	Total net assets or fund balances		38,986,900.	38,437,596.	

**Part III Analysis of Changes in Net Assets or Fund Balances**

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	38,986,900.
2	Enter amount from Part I, line 27a	<549,304.>
3	Other increases not included in line 2 (itemize) ►	0.
4	Add lines 1,2, and 3	38,437,596.
5	Decreases not included in line 2 (itemize) ►	0.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	38,437,596.

Form 990-PF (2017)

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## RATHMANN FAMILY FOUNDATION

Form 990-PF (2017)

C/O JAMES RATHMANN

52-1757445

Page 3

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co )			(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
1a					
b	SEE ATTACHED STATEMENT				
c					
d					
e					
	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) ((e) plus (f) minus (g))	
a					
b					
c					
d					
e	5,822,620.		4,627,927.	856,949.	
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))		
	(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69			
a					
b					
c					
d					
e				856,949.	
2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }		2	856,949.	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) If (loss), enter -0- in Part I, line 8	{ }		3	N/A	

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

 Yes  No

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

If "Yes," the foundation doesn't qualify under section 4940(e). Do not complete this part

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2016	2,315,372.	49,483,485.	.046791
2015	2,257,713.	46,483,251.	.048570
2014	2,050,217.	46,586,220.	.044009
2013	1,850,326.	42,555,022.	.043481
2012	1,931,040.	40,177,881.	.048062

2 Total of line 1, column (d)

2 .230913

3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5 0, or by the number of years the foundation has been in existence if less than 5 years

3 .046183

4 Enter the net value of noncharitable-use assets for 2017 from Part X, line 5

4 53,625,966.

5 Multiply line 4 by line 3

5 2,476,608.

6 Enter 1% of net investment income (1% of Part I, line 27b)

6 19,495.

7 Add lines 5 and 6

7 2,496,103.

8 Enter qualifying distributions from Part XII, line 4

8 2,499,505.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.  
See the Part VI instructions

## RATHMANN FAMILY FOUNDATION

Form 990-PF (2017)

C/O JAMES RATHMANN

52-1757445

Page 4

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**1a Exempt operating foundations described in section 4940(d)(2), check here ►  and enter "N/A" on line 1.

Date of ruling or determination letter. \_\_\_\_\_ (attach copy of letter if necessary-see instructions)

b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ►  and enter 1% of Part I, line 27b

c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations, enter 4% of Part I, line 12, col (b).

2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)

3 Add lines 1 and 2

4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)

5 Tax based on investment income Subtract line 4 from line 3. If zero or less, enter -0-

6 Credits/Payments:

a 2017 estimated tax payments and 2016 overpayment credited to 2017

6a 36,609.

b Exempt foreign organizations - tax withheld at source

6b 0.

c Tax paid with application for extension of time to file (Form 8868)

6c 10,000.

d Backup withholding erroneously withheld

6d 0.

7 Total credits and payments Add lines 6a through 6d

7 46,609.

8 Enter any penalty for underpayment of estimated tax. Check here  if Form 2220 is attached

8 0.

9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed

9

10 Overpayment If line 7 is more than the total of lines 5 and 8, enter the amount overpaid

10 27,114.

11 Enter the amount of line 10 to be: Credited to 2018 estimated tax ►

27,114. | Refunded ►

11 0.

**Part VII-A Statements Regarding Activities**

1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?

	Yes	No
1a		X
1b		X

b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities

1c	X
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c Did the foundation file Form 1120-POL for this year?

d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year.

(1) On the foundation. ► \$ 0. (2) On foundation managers. ► \$ 0.

e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ► \$ 0.

2 Has the foundation engaged in any activities that have not previously been reported to the IRS?

2	X
---	---

If "Yes," attach a detailed description of the activities.

3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes

3	X
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4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?

4a	X
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b If "Yes," has it filed a tax return on Form 990-T for this year?

4b	X
----	---

5 Was there a liquidation, termination, dissolution, or substantial contraction during the year?

5	X
---	---

If "Yes," attach the statement required by General Instruction T

6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either

- By language in the governing instrument, or
- By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?

6	X
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7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV

7	X
---	---

8a Enter the states to which the foundation reports or with which it is registered See instructions ►

MD, WA, CA

8b	X
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b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation

9	X
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9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2017 or the tax year beginning in 2017? See the instructions for Part XIV. If "Yes," complete Part XIV

10	X
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10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses

Form 990-PF (2017)

## RATHMANN FAMILY FOUNDATION

Form 990-PF (2017)

C/O JAMES RATHMANN

52-1757445

Page 5

## Part VII-A Statements Regarding Activities (continued)

11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule See instructions  
 Yes  No  
11  X

12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement See instructions  
 Yes  No  
12  X

13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► **RATHMANNFAMILYFOUNDATION.ORG**

14 The books are in care of ► **THE FOUNDATION** Telephone no ► **239-789-8857**  
 Located at ► **148 10TH AVE S, NAPLES, FL** ZIP+4 ► **34102**

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - check here and enter the amount of tax-exempt interest received or accrued during the year  
 Yes  No  
 ► 15  N/A

16 At any time during calendar year 2017, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?

See the instructions for exceptions and filing requirements for FinCEN Form 114 If "Yes," enter the name of the foreign country ►

## Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a During the year, did the foundation (either directly or indirectly).

(1) Engage in the sale or exchange, or leasing of property with a disqualified person?  Yes  No  
 (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?  Yes  No  
 (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?  Yes  No  
 (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?  Yes  No  
 (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?  Yes  No  
 (6) Agree to pay money or property to a government official? (Exception Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)  Yes  No

b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions

Organizations relying on a current notice regarding disaster assistance, check here ►

c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2017?

2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))

a At the end of tax year 2017, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2017?  Yes  No

If "Yes," list the years ► \_\_\_\_\_, \_\_\_\_\_, \_\_\_\_\_, \_\_\_\_\_

b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)

c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.

► \_\_\_\_\_, \_\_\_\_\_, \_\_\_\_\_, \_\_\_\_\_

3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?

Yes  No

b If "Yes," did it have excess business holdings in 2017 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2017)

4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?

b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2017?

	Yes	No
11		X
12		X
13	X	

Telephone no ► 239-789-8857  
 Located at ► 148 10TH AVE S, NAPLES, FL ZIP+4 ► 34102

► 15  N/A

	Yes	No
16		X

►

►

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Form 990-PF (2017)

## RATHMANN FAMILY FOUNDATION

Form 990-PF (2017)

C/O JAMES RATHMANN

52-1757445

Page 6

## Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

		<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No					
5a During the year, did the foundation pay or incur any amount to							
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?							
(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?							
(3) Provide a grant to an individual for travel, study, or other similar purposes?							
(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions							
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?							
b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions							
Organizations relying on a current notice regarding disaster assistance, check here							
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	N/A						
If "Yes," attach the statement required by Regulations section 53.4945-5(d)							
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?							
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?							
If "Yes" to 6b, file Form 8870.							
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?							
b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?							

## Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

## 1 List all officers, directors, trustees, and foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 15		182,600.	36,520.	0.

## 2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SUZANNE E. BUSTA 148 10TH AVE S, NAPLES, FL 34102	DIR. OF OPERATIONS AND 26.00	149,217.	0.	0.
Total number of other employees paid over \$50,000				0

Form 990-PF (2017)

RATHMANN FAMILY FOUNDATION  
C/O JAMES RATHMANN

Form 990-PF (2017)

52-1757445 Page 7

**Part VIII** **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services ► 0

**Part IX-A** **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc

Expenses

1 THE RATHMANN INNOVATION CENTER AND THE RATHMANN CHALLENGE- SEE ATTACHED STATEMENT	39,937.
2	
3	
4	

**Part IX-B** **Summary of Program-Related Investments**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

Amount

1 N/A	
2	
All other program-related investments. See instructions	
3	

Total. Add lines 1 through 3 ► 0.

Form 990-PF (2017)

RATHMANN FAMILY FOUNDATION  
C/O JAMES RATHMANN

Form 990-PF (2017)

52-1757445 Page 8

**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes.		
a Average monthly fair market value of securities	1a	51,704,956.
b Average of monthly cash balances	1b	2,737,649.
c Fair market value of all other assets	1c	
d Total (add lines 1a, b, and c)	1d	54,442,605.
e Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2 Acquisition indebtedness applicable to line 1 assets	2	0.
3 Subtract line 2 from line 1d	3	54,442,605.
4 Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	816,639.
5 Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	53,625,966.
6 Minimum investment return. Enter 5% of line 5	6	2,681,298.

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here ►  and do not complete this part.)

1 Minimum investment return from Part X, line 6	1	2,681,298.
2a Tax on investment income for 2017 from Part VI, line 5	2a	19,495.
b Income tax for 2017. (This does not include the tax from Part VI.)	2b	
c Add lines 2a and 2b	2c	19,495.
3 Distributable amount before adjustments. Subtract line 2c from line 1	3	2,661,803.
4 Recoveries of amounts treated as qualifying distributions	4	21,659.
5 Add lines 3 and 4	5	2,683,462.
6 Deduction from distributable amount (see instructions)	6	0.
7 Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	2,683,462.

**Part XII Qualifying Distributions** (see instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	2,499,505.
b Program-related investments - total from Part IX-B	1b	0.
2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3 Amounts set aside for specific charitable projects that satisfy the:		
a Suitability test (prior IRS approval required)	3a	
b Cash distribution test (attach the required schedule)	3b	
4 Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8; and Part XIII, line 4	4	2,499,505.
5 Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	19,495.
6 Adjusted qualifying distributions. Subtract line 5 from line 4	6	2,480,010.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Form 990-PF (2017)

**RATHMANN FAMILY FOUNDATION**  
C/O JAMES RATHMANN

Form 990-PF (2017)

52-1757445 Page 9

**Part XIII Undistributed Income (see instructions)**

	(a) Corpus	(b) Years prior to 2016	(c) 2016	(d) 2017
1 Distributable amount for 2017 from Part XI, line 7				2,683,462.
2 Undistributed income, if any, as of the end of 2017			2,450,993.	
a Enter amount for 2016 only				
b Total for prior years		0.		
3 Excess distributions carryover, if any, to 2017.				
a From 2012				
b From 2013				
c From 2014				
d From 2015				
e From 2016				
f Total of lines 3a through e		0.		
4 Qualifying distributions for 2017 from Part XII, line 4: ► \$ 2,499,505.			2,450,993.	
a Applied to 2016, but not more than line 2a				
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)		0.		
d Applied to 2017 distributable amount				48,512.
e Remaining amount distributed out of corpus		0.		0.
5 Excess distributions carryover applied to 2017 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5		0.		
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable amount - see instructions		0.		
e Undistributed income for 2016. Subtract line 4a from line 2a Taxable amount - see instr				0.
f Undistributed income for 2017. Subtract lines 4d and 5 from line 1 This amount must be distributed in 2018				2,634,950.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)				
8 Excess distributions carryover from 2012 not applied on line 5 or line 7		0.		
9 Excess distributions carryover to 2018 Subtract lines 7 and 8 from line 6a		0.		
10 Analysis of line 9.				
a Excess from 2013				
b Excess from 2014				
c Excess from 2015				
d Excess from 2016				
e Excess from 2017				

723581 01-03-18

Form 990-PF (2017)



RATHMANN FAMILY FOUNDATION  
C/O JAMES RATHMANN

52-1757445 Page 11

Form 990-PF (2017)

**Part XV Supplementary Information (continued)**

**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<i>a Paid during the year</i>				
SEE ATTACHED STATEMENT	NONE	N/A	AS NOTED IN ATTACHED SCHEDULE	1,998,999.
<b>Total</b>			► 3a	1,998,999.
<i>b Approved for future payment</i>				
SEE ATTACHED STATEMENT	NONE	N/A	AS NOTED IN ATTACHED SCHEDULE	356,500.
<b>Total</b>			► 3b	356,500.

Form 990-PF (2017)

723611 01-03-18

## Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.

Enter gross amounts unless otherwise indicated.		Unrelated business income		Excluded by section 512, 513, or 514	(e) Related or exempt function income	
1	Program service revenue.	(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount	
a						
b						
c						
d						
e						
f						
g	Fees and contracts from government agencies					
2	Membership dues and assessments					
3	Interest on savings and temporary cash investments			14	1,431.	
4	Dividends and interest from securities			14	1,298,022.	
5	Net rental income or (loss) from real estate.					
a	Debt-financed property					
b	Not debt-financed property					
6	Net rental income or (loss) from personal property					
7	Other investment income	900000	1,201.	14	<51,851.>	
8	Gain or (loss) from sales of assets other than inventory			18	856,949.	
9	Net income or (loss) from special events					
10	Gross profit or (loss) from sales of inventory					
11	Other revenue.					
a	<u>LITIGATION REIMBURSEMENT</u>					21,659.
b						
c						
d						
e						
12	Subtotal. Add columns (b), (d), and (e)		1,201.		2,104,551.	21,659.
13	Total Add line 12, columns (b), (d), and (e)					2,127,411.

#### **Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes**

RATHMANN FAMILY FOUNDATION  
C/O JAMES RATHMANN

## Form 990-PF (2017)

C/O JAMES RATHMANN

52-1757445

Page 13

**Part XVII** Information Regarding Transfers to and Transactions and Relationships With Noncharitable Exempt Organizations

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?

a Transfers from the reporting foundation to a noncharitable exempt organization of

- (1) Cash
- (2) Other assets

**b Other transactions**

- (1) Sales of assets to a noncharitable exempt organization
- (2) Purchases of assets from a noncharitable exempt organization
- (3) Rental of facilities, equipment, or other assets
- (4) Reimbursement arrangements
- (5) Loans or loan guarantees
- (6) Performance of services or membership or fundraising solicitation

**c Sharing of facilities, equipment, mailing lists, other assets, or paid employees**

d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

**2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527?

Yes  No

**b** If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign  
Here

Under penalties of perjury, I declare that I have examined and belief, it is true, correct, and complete Declaration  


| 9/17/2018

Date \_\_\_\_\_ Title \_\_\_\_\_

## President

May the IRS discuss this return with the preparer shown below? See instr

Signature of officer or trustee		Date	Title		
<b>Paid Preparer Use Only</b>	Print/Type preparer's name	Preparer's signature	Date	Check <input type="checkbox"/> if self-employed	PTIN
	FRANK H. SMITH	Frank H. Smith	09/17/18	P00639053	
	Firm's name ► RAFFA, P.C.			Firm's EIN ► 52-1511275	
Firm's address ► 1899 L STREET, NW, SUITE 850 WASHINGTON, DC 20036			Phone no. 202-822-5000		

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs MLC Co			(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a PUBLICLY TRADED SECURITIES-MORGAN STANLEY			P		
b PUBLICLY TRADED SECURITIES-CHARLES SCHWAB			P		
c PUBLICLY TRADED SECURITIES-US BANK #6800			P		
d PUBLICLY TRADED SECURITIES-US BANK #6801			P		
e SALE OF ARCH VENTURE FUND IV PS					
f SALE OF ARCH VENTURE FUND IVA PS					
g PARTNERSHIP INVESTMENT K-1S					
h CAPITAL GAINS DIVIDENDS					
i					
j					
k					
l					
m					
n					
o					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)		
a 918,541.		364,228.			554,313.
b 1,524,894.		1,297,651.			227,243.
c 2,705,061.		2,446,197.			258,864.
d 527,705.		519,851.			7,854.
e					<50,065.>
f					<4,943.>
g					<282,736.>
h 146,419.					146,419.
i					
j					
k					
l					
m					
n					
o					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")		
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any			
a					554,313.
b					227,243.
c					258,864.
d					7,854.
e					<50,065.>
f					<4,943.>
g					<282,736.>
h					146,419.
i					
j					
k					
l					
m					
n					
o					
2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }		2		856,949.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6).	If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8		3		N/A

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RATHMANN FAMILY FOUNDATION C/O JAMES RAT

52-1757445

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
MORGAN STANLEY-CHECKING	1,431.	1,431.	
TOTAL TO PART I, LINE 3	1,431.	1,431.	

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
INVESTMENT ACCOUNTS	1,384,864.	146,419.	1,238,445.	1,228,436.	
PARTNERHSIP INVESTMENTS K-1	59,577.	0.	59,577.	59,577.	
TO PART I, LINE 4	1,444,441.	146,419.	1,298,022.	1,288,013.	

FORM 990-PF OTHER INCOME STATEMENT 3

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
PARTNERSHIP INCOME	<51,851.>	<51,851.>	
PARTNERSHIP INCOME - UBI	1,201.	0.	
LITIGATION REIMBURSEMENT	21,659.	0.	
TOTAL TO FORM 990-PF, PART I, LINE 11	<28,991.>	<51,851.>	

RATHMANN FAMILY FOUNDATION C/O JAMES RAT

52-1757445

FORM 990-PF

LEGAL FEES

STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
LEGAL FEES	1,808.	0.		1,808.
TO FM 990-PF, PG 1, LN 16A	1,808.	0.		1,808.

FORM 990-PF

ACCOUNTING FEES

STATEMENT 5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	20,999.	0.		20,999.
TO FORM 990-PF, PG 1, LN 16B	20,999.	0.		20,999.

FORM 990-PF

OTHER PROFESSIONAL FEES

STATEMENT 6

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
GRANTS MANAGEMENT	500.	0.		500.
INVESTMENT FEES	145,014.	145,014.		0.
PAYROLL ADMINISTRATION	816.	0.		816.
TO FORM 990-PF, PG 1, LN 16C	146,330.	145,014.		1,316.

FORM 990-PF

TAXES

STATEMENT 7

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FEDERAL TAX DEPOSIT	32,196.	0.		0.
TO FORM 990-PF, PG 1, LN 18	32,196.	0.		0.

RATHMANN FAMILY FOUNDATION C/O JAMES RAT

52-1757445

FORM 990-PF

OTHER EXPENSES

STATEMENT

8

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
DUES AND SUBSCRIPTIONS	3,688.	0.		3,688.
MARKETING	7,039.	0.		7,039.
INSURANCE	8,271.	0.		8,271.
LICENSES AND FEES	8,095.	0.		8,095.
DIRECT CHARITABLE EXPENSES	39,937.	0.		39,937.
POSTAGE AND SUPPLIES	82.	0.		82.
REGISTERED AGENT	169.	0.		169.
TELEPHONE	1,509.	0.		1,509.
E-MAIL HOSTING	213.	0.		213.
TO FORM 990-PF, PG 1, LN 23	69,003.	0.		69,003.

FOOTNOTES

STATEMENT

9

RATHMANN FAMILY FOUNDATION C/O JAMES RAT

52-1757445

FORM 990-PF

U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS

STATEMENT 10

DESCRIPTION	U.S. GOV'T	OTHER GOV'T	BOOK VALUE	FAIR MARKET VALUE
US BANK- BONDS	X		1,095,453.	1,110,370.
US BANK- MUNICIPAL BONDS		X	6,952,343.	7,126,597.
TOTAL U.S. GOVERNMENT OBLIGATIONS			1,095,453.	1,110,370.
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS			6,952,343.	7,126,597.
TOTAL TO FORM 990-PF, PART II, LINE 10A			8,047,796.	8,236,967.

FORM 990-PF

CORPORATE STOCK

STATEMENT 11

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
US BANK- EQUITY FUNDS	7,213,857.	15,083,588.
DFA EMERGING MKTS PORT	1,342,050.	1,901,321.
DFA INTL SMALL CO PORT	398,000.	777,292.
DFA INTL VALUE PORT	584,525.	892,968.
DFA REAL ESTATE	285,656.	322,922.
DFA US CORE EQTY 1 PORT	3,772,064.	9,526,305.
DFA TWO YEAR GLOBAL	384,015.	384,000.
AMGEN INC-MS	276,873.	869,500.
BERKSHIRE HATHAWAY CL B	552,275.	1,429,364.
K2M GROUP HOLDINGS	3,584.	6,966.
ALERIAN MLP	139,688.	149,981.
ENERGY SEL SECT SPDR	199,620.	249,442.
ISHARES RUSSELL 3000 ETF	300,617.	779,303.
SPDR DJ WILSHIRE INTL RE	59,998.	59,318.
SPDR DJ WILSHIRE REIT ETF	90,232.	91,348.
VANGUARD INTL EQUITY INDEX	1,363,625.	1,806,307.
VANGUARD TTL STK MKT	946,616.	1,626,275.
TOTAL TO FORM 990-PF, PART II, LINE 10B	17,913,295.	35,956,200.

RATHMANN FAMILY FOUNDATION C/O JAMES RAT

52-1757445

FORM 990-PF

CORPORATE BONDS

STATEMENT 12

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
DFA WORLD EX US GOVT	307,471.	305,171.
ISHARES BARCLAY AGGREGATE BOND	1,680,326.	1,715,046.
TOTAL TO FORM 990-PF, PART II, LINE 10C	1,987,797.	2,020,217.

FORM 990-PF

OTHER INVESTMENTS

STATEMENT 13

DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
VANGUARD BOND INDEX FUND	COST	691,961.	692,046.
VANGUARD FTSE DEVELOPED	COST	1,507,457.	1,923,686.
LUCENT MEDICAL	COST	48,000.	8,160.
ACUMARK/CARE DX	COST	50,000.	25,000.
ARCH VENTURE FUND V	COST	527,238.	451,331.
BROADOAK FUND	COST	660,725.	716,336.
BROADOAK CAPITAL	COST	308,829.	309,000.
CISTHERA	COST	25,000.	0.
CELLERANT THERAPEUTICS	COST	500,000.	500,000.
EXICURE INC.	COST	514,500.	437,325.
FFC EXECUTIVE PARTNERS III	COST	11,374.	13,578.
FFC PARTNERS II	COST	272,331.	39,081.
IMMUNOMIC THERAPEUTICS	COST	418,748.	1,153,896.
NUGEN	COST	537,140.	262,497.
PRESIDO	COST	276,786.	1,000.
SYMPHONY EVOLUTION HOLDINGS, LLC	COST	42,829.	36,382.
TEACH TOWN	COST	75,000.	0.
TIFF	COST	2,735,906.	2,653,801.
TOTAL TO FORM 990-PF, PART II, LINE 13		9,203,824.	9,223,119.

FORM 990-PF

OTHER ASSETS

STATEMENT 14

DESCRIPTION	BEGINNING OF YR BOOK VALUE	END OF YEAR BOOK VALUE	FAIR MARKET VALUE
DISTRIBUTION RECEIVABLE-BROADOAK FUND PARTNERSHIP	0.	15,787.	15,787.
TO FORM 990-PF, PART II, LINE 15	0.	15,787.	15,787.

RATHMANN FAMILY FOUNDATION C/O JAMES RAT

52-1757445

FORM 990-PF

PART VIII - LIST OF OFFICERS, DIRECTORS  
TRUSTEES AND FOUNDATION MANAGERS

STATEMENT 15

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
MARGARET CROSBY RATHMANN 148 10TH AVE S NAPLES, FL 34102	PRESIDENT 2.00	0.	0.	0.
RICHARD G. RATHMANN 148 10TH AVE S NAPLES, FL 34102	VICE-PRESIDENT 35.00	182,600.	36,520.	0.
SALLY RATHMANN KADIFA 148 10TH AVE S NAPLES, FL 34102	VICE-PRESIDENT 1.00	0.	0.	0.
ALEXANDRA JOY RATHMANN-NOONAN 148 10TH AVE S NAPLES, FL 34102	SECRETARY 3.00	0.	0.	0.
ROBIN WYNN RATHMANN-NOONAN 148 10TH AVE S NAPLES, FL 34102	TREASURER 3.00	0.	0.	0.
LAURA JEAN RATHMANN 148 10TH AVE S NAPLES, FL 34102	CHAIR 3.00	0.	0.	0.
JAMES LOUIS RATHMANN 148 10TH AVE S NAPLES, FL 34102	VICE-CHAIR & RATHMANN CHAL 5.00	0.	0.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		182,600.	36,520.	0.

Rathmann Family Foundation  
 Form 990-PF, Part XV, Line 3 Contributions  
 Year Ended December 31, 2017

52-1757445

Organization Name	Address	City	State	Relationship	Organization Type	Project Name	Amount
Adas Cafe	3700 Middlefield Road	Palo Alto	CA	None	501(c)(3) organization	Operating Support	\$ 15,000
African Parks Foundation of America	21 West 46th Street	New York	NY	None	501(c)(3) organization	Operating Support	25,000
Alm High for High School Alzheimer's Disease and Related Disorders, Inc	P O Box 410715	San Francisco	CA	None	501(c)(3) organization	Operating Support	1,000
Alzheimer's Foundation of America	225 N Michigan Avenue, Fl 17	Chicago	IL	None	501(c)(3) organization	International Research Grants Program	15,000
American Friends of the Lebanon Mountain Trail	322 Eighth Ave 7th floor	New York	NY	None	501(c)(3) organization	Respite Care Program for Caregivers	10,000
American Red Cross - Region	1300 Wilson Blvd	Arlington	VA	None	501(c)(3) organization	Operating Support	5,000
American Red Cross - National Office	1201 West River Parkway	Minneapolis	MN	None	501(c)(3) organization	Hurricanes 2017	27,333
American Refugee Committee	520 W 49th St	New York	NY	None	501(c)(3) organization	Hurricane Irma Disaster Relief	69,333
American Society for the Prevention of Cruelty to Animals	615 1st Avenue SE	Minneapolis	MN	None	501(c)(3) organization	Operating Support	20,000
American University of Beirut	3 Dag Hammarskjold Plaza	New York	NY	None	501(c)(3) organization	ASPCA Hurricane Harvey Response	8,000
Arts-Naples, Inc	5833 Pelican Bay Boulevard	Naples	FL	None	501(c)(3) organization	Operating Support	25,000
Best Friends Animal Society	5001 Angel Canyon Road	Kanab	UT	None	501(c)(3) organization	Operating Support	25,000
Brown University	350 Eddy Street	Providence	RI	None	501(c)(3) organization	Operating Support	10,000
Buzzards Bay Coalition, Inc	114 Front Street	New Bedford	MA	None	501(c)(3) organization	Operating Support	15,000
California ChangeLawyers f/k/a California Bar Foundation	180 Howard Street	San Francisco	CA	None	501(c)(3) organization	2016-2020 Westport Action Plan	75,000
California Family Foundation	50 Terminal Avenue	Menlo Park	CA	None	501(c)(3) organization	Daniel S. Goodman Memorial Scholarship	50,000
Care 2 Communities f/k/a Container's 2 Clinics	711 Atlantic Ave, Lower Level	Boston	MA	None	501(c)(3) organization	Operating Support	5,000
Carnegie Institution for Science - Embryology Center for EcoTechnology	3520 San Martin Drive	Baltimore	MD	None	501(c)(3) organization	Operating Support	2,000
Chesapeake Bay Foundation, Inc	112 Elm Street	Pittsfield	MA	None	501(c)(3) organization	Project BioEYES	10,000
Clemson University Foundation	Philip Merrill Environmental Center	Annapolis	MD	None	501(c)(3) organization	Operating Support	100,000
Community Foundation of Collier County	155 Old Greenville Hwy, Unit 105	Clemson	SC	None	501(c)(3) organization	2016-2020 Westport Action Plan	10,000
Counseling and Support Services for Youth	1110 Pine Ridge Road, Suite 200	Naples	FL	None	501(c)(3) organization	Call Me MISTER	5,000
Dharma Voyage, Inc	544 Valley Way	Milpitas	CA	None	501(c)(3) organization	Hurricane Irma Relief	15,000
Doctors Without Borders	P O Box N104	Westport	MA	None	501(c)(3) organization	Operating Support	5,000
DreamCatchers, Inc	333 Seven Avenue, 2nd Floor	New York	NY	None	501(c)(3) organization	Rohingya Refugee Crisis	15,000
End Rape on Campus, Inc	P O Box 50902	Palo Alto	CA	None	501(c)(3) organization	Operating Support	36,333
	811 9th Street	Durham	NC		501(c)(3) organization	Operating Support	5,000
					501(c)(3) organization	Operating Support	40,000

Environmental Volunteers Inc	2560 Embarcadero Road	Palo Alto	CA	None	501(c)(3) organization	Operating support	1,000
Exponent Philanthropy f k a Association of Small Foundations	1720 N Street NW	Washington	DC	None	501(c)(3) organization	Operating Support	1,000
Farm Sanctuary	3100 Aikens Road	Watkins Glen	NY	None	501(c)(3) organization	Operating Support	5,000
First Congregational Church of Palo Alto	1985 Louis Rd	Palo Alto	CA	None	501(c)(3) organization	Capital Campaign 2016	25,000
For the Good Period	1028 Palmer Ave	Glenwood Spgs CO	CO	None	501(c)(3) organization	Operating Support	5,000
Fortify Inc	POB 382	Elizabeth	CO	None	501(c)(3) organization	Responding to Atrocity Crimes Against Rohingya Muslims in Myanmar	5,000
Friends of the Palo Alto Junior Museum and Zoo	1451 Middlefield Road	Palo Alto	CA	None	501(c)(3) organization	Operating Support	5,000
Girl Scouts of Northern California	1650 Harbor Bay Parkway, Suite 100	Alameda	CA	None	501(c)(3) organization	Camp Investment Camp Hidden Falls	10,000
Great River Greening	251 Starkey Street	Saint Paul	MN	None	501(c)(3) organization	Operating Support	5,000
Guthrie Theater Foundation	818 South 2nd Street	Minneapolis	MN	None	501(c)(3) organization	Operating Support	12,000
Helping Paws, Inc	650 12th Avenue South	Hopkins	MN	None	501(c)(3) organization	Operating Support	15,000
Historic Annapolis, Inc	650 12th Avenue South	Annapolis	MD	None	501(c)(3) organization	Operating Support	5,000
History Theatre, Inc	James Bruce House	St Paul	MN	None	501(c)(3) organization	Operating Support	5,000
Huerta del Valle	30 E Tenth St.	Ontario	CA	None	501(c)(3) organization	Operating Support	10,000
Innocence Project, Inc	310 E Philadelphia St	New York	NY	None	501(c)(3) organization	Operating Support	20,000
Innernational Institute of Minnesota	40 Worth Street, Suite 701	Saint Paul	MN	None	501(c)(3) organization	2017 General Operating Support grant	10,000
International Medical Corps	1694 Como Ave	Los Angeles	CA	None	501(c)(3) organization	Comprehensive Support for Santa City Orphanage	15,000
LifeMoves f k a inVision Shelter Network	12400 Wilshire Blvd	Menlo Park	CA	None	501(c)(3) organization	Operating Support	5,000
MacPhail Center for Music	181 Constitution Drive	Minneapolis	MN	None	501(c)(3) organization	Operating Support	5,000
Math for America	501 South Second Street	New York	NY	None	501(c)(3) organization	Monitoring and Support for Exceptional Early Career Teachers	20,000
Mercy Corps	915 Broadway, 16th Floor	Portland	OR	None	501(c)(3) organization	Syria Crisis Response	15,000
Minnesota Public Radio	45 SW Ankeny St	Saint Paul	MN	None	501(c)(3) organization	Operating Support	12,000
National Audubon Society Inc	480 Cedar Street	Anahiem	CA	None	501(c)(3) organization	Corkscrew Swamp Sanctuary Marsh and Prairie Rehabilitation	50,000
National Audubon Society Inc	711 University Avenue	Anahiem	CA	None	501(c)(3) organization	Hurricane Irma disaster relief within the Corkscrew Swamp Sanctuary	6,333
National Science and Technology Medals Foundation	711 University Avenue	Washington	DC	None	501(c)(3) organization	Operating Support	90,000
National Science and Technology Medals Foundation	3222 N Street NW STE 300	Washington	DC	None	501(c)(3) organization	Operating Support	135,000
Nicasio School Foundation	5555 Nicasio Valley Road	Nicasio	CA	None	501(c)(3) organization	Operating Support	40,000
Occidental Arts & Ecology Center	15290 Coleman Valley Rd	Occidental	CA	None	501(c)(3) organization	WATER Institute Bring Back The Beaver Campaign	130,000
Palo Alto Partners in Education	P O Box 1557	Palo Alto	CA	None	501(c)(3) organization	Operating Support	30,000
Palo Alto Partners in Education	P O Box 1557	Palo Alto	CA	None	501(c)(3) organization	Operating Support	30,000
Partners in Health, a non-profit corporation	888 Commonwealth Ave	Boston	MA	None	501(c)(3) organization	Operating Support	15,000
Peninsula Open Space Trust	222 High Street	Palo Alto	CA	None	501(c)(3) organization	Operating Support	5,000

Planned Parenthood Federation of America	123 William Street	New York	NY	None	501(c)(3) organization	Operating Support	50,000
Pro Publica Inc	155 Avenue of the Americas	New York	NY	None	501(c)(3) organization	Journalism in the Public Interest	15,000
Regents of the University of Minnesota	600 McNamara Alumni Center	Minneapolis	MN	None	501(c)(3) organization	Boynton PAWS	9,500
Regents of the University of Minnesota	600 McNamara Alumni Center	Minneapolis	MN	None	Non 501(c)(3) organization	Boynton PAWS	10,500
Reset Foundation, The Sierra Service Project	1500 Mission Street PO Box 13009	San Francisco Sacramento White Bear Lake	CA CA MN	None None None	501(c)(3) organization 501(c)(3) organization 501(c)(3) organization	Operating Support Operating Support Operating Support	2,000 5,000 12,000
Solid Ground f/k/a East Metro Women's Council	3521 Century Ave N PO Box 99	Spotsylvania	VA	None	501(c)(3) organization	Public Works Department - Composting Operations Saving Lives, Saving Families, Saving our Community	10,000 25,000
Spotsylvania County Stanley Street Treatment and Resources (SSTAR)	386 Stanley Street	Fall River	MA	None	501(c)(3) organization	Operating Support	5,000
The Advocates for Human Rights	The Advocates for Human Rights	Minneapolis	MN	None	501(c)(3) organization	Wisconsin Institute for Sustainable Technology/Soil & Waste Resources, College of Natural Resources	10,000
The Board of Regents of the University of Wisconsin System	2100 Main Street	Stevens Point	WI	None	Non 501(c)(3) organization	Operating Support	10,000
The David Sheldrick Wildlife Trust USA, Inc	28283 Cabot Road	Laguna Hills	CA	None	501(c)(3) organization	Operating Support	100,000
The Key School	534 Hillsmere Drive	Annapolis	MD	None	501(c)(3) organization	Operating Support	3,000
The Minnesota Opera	620 North 1st Street	Minneapolis	MN	None	501(c)(3) organization	Operating Support	
The Regents of the University of California - Environmental Science, Policy, and Management Thread	130 Mulford Hall #3114 PO Box 1584	Berkeley	CA	None	501(c)(3) organization	Carbon sequestration potential of rangeland soils	6,667
Thread Thread Thread Trust for Conservation Innovation	PO Box 1584 PO Box 1584 405 14th Street	Baltimore Baltimore Oakland	MD MD CA	None None None	501(c)(3) organization 501(c)(3) organization 501(c)(3) organization	Operating Support Operating Support Project Wayfinder	10,000 10,000 20,000
UC San Diego Foundation	9500 Gilman Drive #0940 Sponsored Projects Services	La Jolla Tucson	CA AZ	None None	501(c)(3) organization Non 501(c)(3) organization	Operating Support Compost Carts	10,000 10,000
University of Arizona Foundation f/b/o Coll. Of Education and Human Development	McNamara Alumni Center	Minneapolis	MN	None	501(c)(3) organization	CEHD Global Engagement Scholarship	40,000
University of Minnesota Foundation f/b/o Coll. Of Education and Human Development	McNamara Alumni Center	Minneapolis	MN	None	501(c)(3) organization	CEHD Global Discovery Scholarship	30,000
University of Minnesota Foundation f/b/o Coll. Of Education and Human Development	McNamara Alumni Center	Minneapolis	MN	None	501(c)(3) organization	CEHD Global Discovery Scholarship	7,000
University of Minnesota Foundation f/b/o Coll. Of Education and Human Development	McNamara Alumni Center	Minneapolis	MN	None	501(c)(3) organization	CEHD Global Graduate Grant	5,000
Upward Scholars f/k/a Sequoia Adult School Scholars	3481 Janice Way	Palo Alto	CA	None	501(c)(3) organization	Operating Support	10,000
Vision To Learn	1161 San Vicente Blvd, Suite 500	Los Angeles	CA	None	501(c)(3) organization	Operating Support	100,000

Volunteers of Legal Service	40 Worth Street	New York	NY	None	501(c)(3) organization	The Immigration Project (formerly the "Dream Not Deferred" Project)	30,000
Westport Historical Society	25 Drift Road	Westport	MA	None	501(c)(3) organization	Operating Support	10,000
Westport Youth Athletic Association	PO Box 1567	Westport	MA	None	501(c)(3) organization	Fields on the Farm	10,000
Wider Church Ministries	700 Prospect Avenue	Cleveland	OH	None	501(c)(3) organization	Hurricane Harvey Disaster Recovery	19,000
World Bicycle Relief	1000 W Fulton Market	Chicago	IL	None	501(c)(3) organization	Operating Support	<u>3,000</u>
<b>Total Contributions Given</b>							<b><u>\$1,998,999</u></b>

**Rathmann Family Foundation**  
**Form 990-PF, Part XV-Line 3b Future Contributions**  
**Year ended December 31, 2007**

52-1757445

Organization Name	City and State	Organization Type	Project Name	Decision Date	Installment Due Date	Amount
Achieve!Minneapolis	Minneapolis, MN	501(c)(3) organization	Edison High School France	10/21/2017	04/25/2018	\$ 17,000
Buzzards Bay Coalition, Inc	New Bedford, MA	501(c)(3) organization	2016-2020 Westport Action	10/02/2015	10/02/2018	\$ 75,000
Buzzards Bay Coalition, Inc	New Bedford, MA	501(c)(3) organization	2016-2020 Westport Action	10/02/2015	10/02/2019	\$ 75,000
Dharma Voyage, Inc	501(c)(3) organization	Operating Support		01/31/2018	11/09/2018	15,000
End Rape on Campus, Inc	Durham, NC	501(c)(3) organization	Operating Support	10/21/2017	10/23/2018	10,000
End Rape on Campus, Inc	Durham, NC	501(c)(3) organization	Operating Support	10/21/2017	10/23/2019	10,000
Exponent Philanthropy f/k/a Association of Small	Washington, DC	501(c)(3) organization	Operating Support	06/06/2017	06/07/2018	1,000
Exponent Philanthropy f/k/a Association of Small	Washington, DC	501(c)(3) organization	Operating Support	06/06/2017	06/07/2019	1,000
Exponent Philanthropy f/k/a Association of Small	Washington, DC	501(c)(3) organization	Operating Support	06/06/2017	06/07/2020	1,000
Exponent Philanthropy f/k/a Association of Small	Washington, DC	501(c)(3) organization	Operating Support	06/06/2017	06/07/2021	1,000
For the Good Period	Glenwood Springs, CO	501(c)(3) organization	Operating Support	09/27/2017	06/08/2018	5,000
Great River Greening	Saint Paul, MN	501(c)(3) organization	Operating Support	06/29/2017	06/30/2018	5,000
Great River Greening	Saint Paul, MN	501(c)(3) organization	Operating Support	06/29/2017	06/30/2019	5,000
History Theatre, Inc	St Paul, MN	501(c)(3) organization	Operating Support	06/29/2017	06/30/2018	5,000
History Theatre, Inc	St Paul, MN	501(c)(3) organization	Operating Support	06/29/2017	06/30/2019	5,000
MacPhail Center for Music	Minneapolis, MN	501(c)(3) organization	Operating Support	06/29/2017	06/30/2018	5,000
MacPhail Center for Music	Minneapolis, MN	501(c)(3) organization	Operating Support	06/29/2017	06/30/2019	5,000
Regents of the University of Minnesota	Minneapolis, MN	Non 501(c)(3) organization	Boynton PAWS	09/27/2017	02/01/2018	2,000
Regents of the University of Minnesota	Minneapolis, MN	Non 501(c)(3) organization	Boynton PAWS	09/27/2017	03/01/2018	2,000
Regents of the University of Minnesota	Minneapolis, MN	Non 501(c)(3) organization	Boynton PAWS	09/27/2017	05/30/2018	3,000
Regents of the University of Minnesota	Minneapolis, MN	Non 501(c)(3) organization	Boynton PAWS	09/27/2017	06/09/2018	2,500
The Advocates for Human Rights	Minneapolis, MN	501(c)(3) organization	Operating Support	07/17/2017	07/17/2018	5,000
The Minnesota Opera	Minneapolis, MN	501(c)(3) organization	Operating Support	06/19/2017	06/19/2018	3,000
The Minnesota Opera	Minneapolis, MN	501(c)(3) organization	Operating Support	06/19/2017	06/19/2019	3,000
University of Minnesota Foundation -School of Music -	Minneapolis, MN	501(c)(3) organization	U MN Choral Engagement	01/15/2018	01/16/2015	7,000
University of Minnesota Foundation f/b/o Coll Of	Minneapolis, MN	501(c)(3) organization	CEHD Global Engagement	09/01/2018	07/12/2017	40,000
University of Minnesota Foundation f/b/o Coll Of	Minneapolis, MN	501(c)(3) organization	CEHD Global Discovery	09/01/2018	07/12/2017	23,000
University of Minnesota Foundation f/b/o Coll Of	Minneapolis, MN	501(c)(3) organization	CEHD Global Graduate	10/10/2018	10/10/2017	5,000
Upward Scholars f/k/a Sequoia Adult School Scholars	Palo Alto , CA	501(c)(3) organization	Operating Support	07/17/2018	07/17/2017	10,000
Upward Scholars f/k/a Sequoia Adult School Scholars	Palo Alto , CA	501(c)(3) organization	Operating Support	07/17/2019	07/17/2017	10,000
						<u>\$ 356,500</u>

Total Contributions Approved for future payments