

Form **990**  
Department of the Treasury  
Internal Revenue Service

# Return of Organization Exempt From Income Tax

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except private foundations)  
Do not enter social security numbers on this form as it may be made public  
Information about Form 990 and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990)

OMB No 1545-0047  
**2017**  
Open to Public Inspection

### A For the 2017 calendar year, or tax year beginning 01-01-2017, and ending 12-31-2017

**B** Check if applicable:  
 Address change  
 Name change  
 Initial return  
 Final return/terminated  
 Amended return  
 Application pending

**C** Name of organization: OCEANA INC  
 Doing business as:  
 Number and street (or P.O. box if mail is not delivered to street address) Room/suite: 1025 CONNECTICUT AVENUE NW NO 200  
 City or town, state or province, country, and ZIP or foreign postal code: WASHINGTON, DC 20036

**D** Employer identification number: 51-0401308  
**E** Telephone number: (202) 833-3900  
**G** Gross receipts \$ 48,518,627

**F** Name and address of principal officer: CHRISTOPHER M SHARKEY, 1025 CONNECTICUT AVENUE NW NO 200, WASHINGTON, DC 20036

**H(a)** Is this a group return for subordinates?  Yes  No  
**H(b)** Are all subordinates included?  Yes  No  
 If "No," attach a list (see instructions)  
**H(c)** Group exemption number ▶

**I** Tax-exempt status:  501(c)(3)  501(c) ( ) ◀ (insert no )  4947(a)(1) or  527

**J** Website: WWW OCEANA ORG

**K** Form of organization:  Corporation  Trust  Association  Other ▶  
**L** Year of formation: 2001  
**M** State of legal domicile: DC

### Part I Summary

**1** Briefly describe the organization's mission or most significant activities:  
TO ADVOCATE FOR POLICY CHANGES BY GOVERNMENTS AND CORPORATIONS IN ORDER TO PRESERVE OCEAN LIFE

**2** Check this box  if the organization discontinued its operations or disposed of more than 25% of its net assets

<b>3</b> Number of voting members of the governing body (Part VI, line 1a)	23
<b>4</b> Number of independent voting members of the governing body (Part VI, line 1b)	22
<b>5</b> Total number of individuals employed in calendar year 2017 (Part V, line 2a)	167
<b>6</b> Total number of volunteers (estimate if necessary)	6,120
<b>7a</b> Total unrelated business revenue from Part VIII, column (C), line 12	11,500
<b>7b</b> Net unrelated business taxable income from Form 990-T, line 34	-8,302

	Prior Year	Current Year
<b>8</b> Contributions and grants (Part VIII, line 1h)	24,887,791	48,322,208
<b>9</b> Program service revenue (Part VIII, line 2g)	0	0
<b>10</b> Investment income (Part VIII, column (A), lines 3, 4, and 7d)	16,952	42,130
<b>11</b> Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e)	-163,021	-84,609
<b>12</b> Total revenue—add lines 8 through 11 (must equal Part VIII, column (A), line 12)	24,741,722	48,279,729
<b>13</b> Grants and similar amounts paid (Part IX, column (A), lines 1-3)	1,982,281	5,001,904
<b>14</b> Benefits paid to or for members (Part IX, column (A), line 4)	0	0
<b>15</b> Salaries, other compensation, employee benefits (Part IX, column (A), lines 5-10)	17,402,731	18,817,597
<b>16a</b> Professional fundraising fees (Part IX, column (A), line 11e)	217,808	227,239
<b>b</b> Total fundraising expenses (Part IX, column (D), line 25) ▶ 2,889,417		
<b>17</b> Other expenses (Part IX, column (A), lines 11a-11d, 11f-24e)	15,248,063	13,833,841
<b>18</b> Total expenses Add lines 13-17 (must equal Part IX, column (A), line 25)	34,850,883	37,880,581
<b>19</b> Revenue less expenses Subtract line 18 from line 12	-10,109,161	10,399,148

	Beginning of Current Year	End of Year
<b>20</b> Total assets (Part X, line 16)	30,212,898	41,164,515
<b>21</b> Total liabilities (Part X, line 26)	3,068,865	3,570,601
<b>22</b> Net assets or fund balances Subtract line 21 from line 20	27,144,033	37,593,914

### Part II Signature Block

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.

**Sign Here**  
 Signature of officer: \_\_\_\_\_ Date: 2018-08-06  
 CHRISTOPHER M SHARKEY CHIEF FINANCIAL OFFICER  
 Type or print name and title

**Paid Preparer Use Only**  
 Print/Type preparer's name: FRANK H SMITH  
 Preparer's signature: FRANK H SMITH  
 Date: 2018-08-06  
 Check  if self-employed  
 PTIN: P00639053  
 Firm's name: RAFFA PC  
 Firm's EIN: 52-1511275  
 Firm's address: 1899 L STREET NW SUITE 850, WASHINGTON, DC 20036  
 Phone no: (202) 822-5000

**Part III Statement of Program Service Accomplishments**

Check if Schedule O contains a response or note to any line in this Part III

**1** Briefly describe the organization's mission

SEE SCHEDULE O

**2** Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ?  Yes  No

If "Yes," describe these new services on Schedule O

**3** Did the organization cease conducting, or make significant changes in how it conducts, any program services?  Yes  No

If "Yes," describe these changes on Schedule O

**4** Describe the organization's program service accomplishments for each of its three largest program services, as measured by expenses. Section 501(c)(3) and 501(c)(4) organizations are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported

**4a** (Code ) (Expenses \$ 11,741,871 including grants of \$ 3,943,173 ) (Revenue \$ )  
See Additional Data

**4b** (Code ) (Expenses \$ 11,667,947 including grants of \$ 1,058,731 ) (Revenue \$ )  
See Additional Data

**4c** (Code ) (Expenses \$ 2,666,855 including grants of \$ ) (Revenue \$ )  
See Additional Data

See Additional Data Table

**4d** Other program services (Describe in Schedule O )  
(Expenses \$ 2,225,060 including grants of \$ ) (Revenue \$ )

**4e Total program service expenses** ▶ 28,301,733

**Part IV Checklist of Required Schedules**

	Yes	No
<b>1</b> Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? <i>If "Yes," complete Schedule A</i> . . . . .	Yes	
<b>2</b> Is the organization required to complete <i>Schedule B, Schedule of Contributors</i> (see instructions)? . . . . .	Yes	
<b>3</b> Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? <i>If "Yes," complete Schedule C, Part I</i> . . . . .		No
<b>4 Section 501(c)(3) organizations.</b> Did the organization engage in lobbying activities, or have a section 501(h) election in effect during the tax year? <i>If "Yes," complete Schedule C, Part II</i> . . . . .	Yes	
<b>5</b> Is the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization that receives membership dues, assessments, or similar amounts as defined in Revenue Procedure 98-19? <i>If "Yes," complete Schedule C, Part III</i> . . . . .		No
<b>6</b> Did the organization maintain any donor advised funds or any similar funds or accounts for which donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? <i>If "Yes," complete Schedule D, Part I</i> . . . . .		No
<b>7</b> Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas, or historic structures? <i>If "Yes," complete Schedule D, Part II</i> . . . . .		No
<b>8</b> Did the organization maintain collections of works of art, historical treasures, or other similar assets? <i>If "Yes," complete Schedule D, Part III</i> . . . . .		No
<b>9</b> Did the organization report an amount in Part X, line 21 for escrow or custodial account liability, serve as a custodian for amounts not listed in Part X, or provide credit counseling, debt management, credit repair, or debt negotiation services? <i>If "Yes," complete Schedule D, Part IV</i> . . . . .		No
<b>10</b> Did the organization, directly or through a related organization, hold assets in temporarily restricted endowments, permanent endowments, or quasi-endowments? <i>If "Yes," complete Schedule D, Part V</i> . . . . .		No
<b>11</b> If the organization's answer to any of the following questions is "Yes," then complete Schedule D, Parts VI, VII, VIII, IX, or X as applicable		
<b>a</b> Did the organization report an amount for land, buildings, and equipment in Part X, line 10? <i>If "Yes," complete Schedule D, Part VI</i> . . . . .	Yes	
<b>b</b> Did the organization report an amount for investments—other securities in Part X, line 12 that is 5% or more of its total assets reported in Part X, line 16? <i>If "Yes," complete Schedule D, Part VII</i> . . . . .		No
<b>c</b> Did the organization report an amount for investments—program related in Part X, line 13 that is 5% or more of its total assets reported in Part X, line 16? <i>If "Yes," complete Schedule D, Part VIII</i> . . . . .		No
<b>d</b> Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets reported in Part X, line 16? <i>If "Yes," complete Schedule D, Part IX</i> . . . . .		No
<b>e</b> Did the organization report an amount for other liabilities in Part X, line 25? <i>If "Yes," complete Schedule D, Part X</i> . . . . .	Yes	
<b>f</b> Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48 (ASC 740)? <i>If "Yes," complete Schedule D, Part X</i> . . . . .	Yes	
<b>12a</b> Did the organization obtain separate, independent audited financial statements for the tax year? <i>If "Yes," complete Schedule D, Parts XI and XII</i> . . . . .		No
<b>b</b> Was the organization included in consolidated, independent audited financial statements for the tax year? <i>If "Yes," and if the organization answered "No" to line 12a, then completing Schedule D, Parts XI and XII is optional</i> . . . . .	Yes	
<b>13</b> Is the organization a school described in section 170(b)(1)(A)(ii)? <i>If "Yes," complete Schedule E</i> . . . . .		No
<b>14a</b> Did the organization maintain an office, employees, or agents outside of the United States? . . . . .	Yes	
<b>b</b> Did the organization have aggregate revenues or expenses of more than \$10,000 from grantmaking, fundraising, business, investment, and program service activities outside the United States, or aggregate foreign investments valued at \$100,000 or more? <i>If "Yes," complete Schedule F, Parts I and IV</i> . . . . .	Yes	
<b>15</b> Did the organization report on Part IX, column (A), line 3, more than \$5,000 of grants or other assistance to or for any foreign organization? <i>If "Yes," complete Schedule F, Parts II and IV</i> . . . . .	Yes	
<b>16</b> Did the organization report on Part IX, column (A), line 3, more than \$5,000 of aggregate grants or other assistance to or for foreign individuals? <i>If "Yes," complete Schedule F, Parts III and IV</i> . . . . .		No
<b>17</b> Did the organization report a total of more than \$15,000 of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11e? <i>If "Yes," complete Schedule G, Part I</i> (see instructions) . . . . .	Yes	
<b>18</b> Did the organization report more than \$15,000 total of fundraising event gross income and contributions on Part VIII, lines 1c and 8a? <i>If "Yes," complete Schedule G, Part II</i> . . . . .	Yes	
<b>19</b> Did the organization report more than \$15,000 of gross income from gaming activities on Part VIII, line 9a? <i>If "Yes," complete Schedule G, Part III</i> . . . . .		No

**Part IV Checklist of Required Schedules** (continued)

	Yes	No
<b>20a</b> Did the organization operate one or more hospital facilities? If "Yes," complete Schedule H . . . . .		No
<b>b</b> If "Yes" to line 20a, did the organization attach a copy of its audited financial statements to this return?		
<b>21</b> Did the organization report more than \$5,000 of grants or other assistance to any domestic organization or domestic government on Part IX, column (A), line 1? If "Yes," complete Schedule I, Parts I and II . . . . .	Yes	
<b>22</b> Did the organization report more than \$5,000 of grants or other assistance to or for domestic individuals on Part IX, column (A), line 2? If "Yes," complete Schedule I, Parts I and III . . . . .		No
<b>23</b> Did the organization answer "Yes" to Part VII, Section A, line 3, 4, or 5 about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees? If "Yes," complete Schedule J . . . . .	Yes	
<b>24a</b> Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than \$100,000 as of the last day of the year, that was issued after December 31, 2002? If "Yes," answer lines 24b through 24d and complete Schedule K. If "No," go to line 25a . . . . .		No
<b>b</b> Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception? . . . . .		
<b>c</b> Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds? . . . . .		
<b>d</b> Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year? . . . . .		
<b>25a Section 501(c)(3), 501(c)(4), and 501(c)(29) organizations.</b> Did the organization engage in an excess benefit transaction with a disqualified person during the year? If "Yes," complete Schedule L, Part I . . . . .		No
<b>b</b> Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? If "Yes," complete Schedule L, Part I . . . . .		No
<b>26</b> Did the organization report any amount on Part X, line 5, 6, or 22 for receivables from or payables to any current or former officers, directors, trustees, key employees, highest compensated employees, or disqualified persons? If "Yes," complete Schedule L, Part II . . . . .		No
<b>27</b> Did the organization provide a grant or other assistance to an officer, director, trustee, key employee, substantial contributor or employee thereof, a grant selection committee member, or to a 35% controlled entity or family member of any of these persons? If "Yes," complete Schedule L, Part III . . . . .		No
<b>28</b> Was the organization a party to a business transaction with one of the following parties (see Schedule L, Part IV instructions for applicable filing thresholds, conditions, and exceptions)		
<b>a</b> A current or former officer, director, trustee, or key employee? If "Yes," complete Schedule L, Part IV . . . . .		No
<b>b</b> A family member of a current or former officer, director, trustee, or key employee? If "Yes," complete Schedule L, Part IV . . . . .	Yes	
<b>c</b> An entity of which a current or former officer, director, trustee, or key employee (or a family member thereof) was an officer, director, trustee, or direct or indirect owner? If "Yes," complete Schedule L, Part IV . . . . .		No
<b>29</b> Did the organization receive more than \$25,000 in non-cash contributions? If "Yes," complete Schedule M . . . . .	Yes	
<b>30</b> Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? If "Yes," complete Schedule M . . . . .		No
<b>31</b> Did the organization liquidate, terminate, or dissolve and cease operations? If "Yes," complete Schedule N, Part I . . . . .		No
<b>32</b> Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? If "Yes," complete Schedule N, Part II . . . . .		No
<b>33</b> Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301.7701-2 and 301.7701-3? If "Yes," complete Schedule R, Part I . . . . .		No
<b>34</b> Was the organization related to any tax-exempt or taxable entity? If "Yes," complete Schedule R, Part II, III, or IV, and Part V, line 1 . . . . .	Yes	
<b>35a</b> Did the organization have a controlled entity within the meaning of section 512(b)(13)?	Yes	
<b>b</b> If "Yes" to line 35a, did the organization receive any payment from or engage in any transaction with a controlled entity within the meaning of section 512(b)(13)? If "Yes," complete Schedule R, Part V, line 2 . . . . .		No
<b>36 Section 501(c)(3) organizations.</b> Did the organization make any transfers to an exempt non-charitable related organization? If "Yes," complete Schedule R, Part V, line 2 . . . . .		No
<b>37</b> Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? If "Yes," complete Schedule R, Part VI . . . . .		No
<b>38</b> Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11b and 19? <b>Note.</b> All Form 990 filers are required to complete Schedule O . . . . .	Yes	

Part V Statements Regarding Other IRS Filings and Tax Compliance

Check if Schedule O contains a response or note to any line in this Part V [X]

Table with columns for question ID, question text, and Yes/No response boxes. Includes sections for backup withholding, employee reporting, foreign accounts, prohibited transactions, charitable contributions, and 501(c)(7), (12), and (29) organizations.

Part VI Governance, Management, and Disclosure For each "Yes" response to lines 2 through 7b below, and for a "No" response to lines 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O See instructions

Check if Schedule O contains a response or note to any line in this Part VI [X]

Section A. Governing Body and Management

Table with 3 columns: Question, Yes, No. Rows include: 1a Enter the number of voting members of the governing body at the end of the tax year (23); 1b Enter the number of voting members included in line 1a, above, who are independent (22); 2 Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other officer, director, trustee, or key employee? (Yes); 3 Did the organization delegate control over management duties customarily performed by or under the direct supervision of officers, directors or trustees, or key employees to a management company or other person? (No); 4 Did the organization make any significant changes to its governing documents since the prior Form 990 was filed? (No); 5 Did the organization become aware during the year of a significant diversion of the organization's assets? (No); 6 Did the organization have members or stockholders? (No); 7a Did the organization have members, stockholders, or other persons who had the power to elect or appoint one or more members of the governing body? (No); 7b Are any governance decisions of the organization reserved to (or subject to approval by) members, stockholders, or persons other than the governing body? (No); 8 Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following: a The governing body? (Yes); b Each committee with authority to act on behalf of the governing body? (Yes); 9 Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the organization's mailing address? If "Yes," provide the names and addresses in Schedule O (No)

Section B. Policies (This Section B requests information about policies not required by the Internal Revenue Code.)

Table with 3 columns: Question, Yes, No. Rows include: 10a Did the organization have local chapters, branches, or affiliates? (Yes); 10b If "Yes," did the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with the organization's exempt purposes? (Yes); 11a Has the organization provided a complete copy of this Form 990 to all members of its governing body before filing the form? (Yes); 11b Describe in Schedule O the process, if any, used by the organization to review this Form 990; 12a Did the organization have a written conflict of interest policy? If "No," go to line 13 (Yes); 12b Were officers, directors, or trustees, and key employees required to disclose annually interests that could give rise to conflicts? (Yes); 12c Did the organization regularly and consistently monitor and enforce compliance with the policy? If "Yes," describe in Schedule O how this was done (Yes); 13 Did the organization have a written whistleblower policy? (Yes); 14 Did the organization have a written document retention and destruction policy? (Yes); 15 Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision? a The organization's CEO, Executive Director, or top management official (Yes); b Other officers or key employees of the organization (Yes); If "Yes" to line 15a or 15b, describe the process in Schedule O (see instructions); 16a Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year? (No); 16b If "Yes," did the organization follow a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and take steps to safeguard the organization's exempt status with respect to such arrangements?

Section C. Disclosure

17 List the States with which a copy of this Form 990 is required to be filed AK, AL, AR, AZ, CA, CO, CT, DE, FL, GA, HI, IA, ID, IL, IN, KS, KY, LA, MA, MD, ME, MI, MN, MO, MS, MT, NC, ND, NE, NH, NJ, NM, NV, NY, OH, OK, OR, PA, RI, SC, SD, TN, TX, UT, VA, VT, WA, WI, WV, WY

18 Section 6104 requires an organization to make its Form 1023 (or 1024 if applicable), 990, and 990-T (501(c)(3)s only) available for public inspection Indicate how you made these available Check all that apply [X] Own website [ ] Another's website [X] Upon request [X] Other (explain in Schedule O)
19 Describe in Schedule O whether (and if so, how) the organization made its governing documents, conflict of interest policy, and financial statements available to the public during the tax year
20 State the name, address, and telephone number of the person who possesses the organization's books and records JAMES F SIMON 1025 CONNECTICUT AVENUE NW NO 200 WASHINGTON, DC 20036 (202) 833-3900





**Part VIII Statement of Revenue**

Check if Schedule O contains a response or note to any line in this Part VIII

			(A) Total revenue	(B) Related or exempt function revenue	(C) Unrelated business revenue	(D) Revenue excluded from tax under sections 512-514	
<b>Contributions, Gifts, Grants and Other Similar Amounts</b>	<b>1a</b> Federated campaigns . . .	<b>1a</b>	57,376				
	<b>b</b> Membership dues . . .	<b>1b</b>					
	<b>c</b> Fundraising events . . .	<b>1c</b>	3,078,818				
	<b>d</b> Related organizations	<b>1d</b>					
	<b>e</b> Government grants (contributions)	<b>1e</b>	597,715				
	<b>f</b> All other contributions, gifts, grants, and similar amounts not included above	<b>1f</b>	44,588,299				
	<b>g</b> Noncash contributions included in lines 1a-1f \$ _____		877,427				
	<b>h Total.</b> Add lines 1a-1f . . . . .			48,322,208			
<b>Program Service Revenue</b>	<b>2a</b> _____	Business Code					
	<b>b</b> _____						
	<b>c</b> _____						
	<b>d</b> _____						
	<b>e</b> _____						
	<b>f</b> All other program service revenue						
	<b>g Total.</b> Add lines 2a-2f . . . . .						
<b>Other Revenue</b>	<b>3</b> Investment income (including dividends, interest, and other similar amounts) . . . . .		16,973			16,973	
	<b>4</b> Income from investment of tax-exempt bond proceeds						
	<b>5</b> Royalties . . . . .						
	<b>6a</b> Gross rents	(i) Real	(ii) Personal				
		<b>b</b> Less rental expenses					
		<b>c</b> Rental income or (loss)					
		<b>d</b> Net rental income or (loss) . . . . .					
	<b>7a</b> Gross amount from sales of assets other than inventory	(i) Securities	(ii) Other				
		<b>b</b> Less cost or other basis and sales expenses		80,186			
		<b>c</b> Gain or (loss)		55,029			
		<b>d</b> Net gain or (loss) . . . . .		25,157			25,157
	<b>8a</b> Gross income from fundraising events (not including \$ 3,078,818 of contributions reported on line 1c) See Part IV, line 18 . . . . .	<b>a</b>					
		<b>b</b> Less direct expenses . . . . .	<b>b</b>	151,971			
		<b>c</b> Net income or (loss) from fundraising events . . . . .		183,869			-31,898
	<b>9a</b> Gross income from gaming activities See Part IV, line 19 . . . . .	<b>a</b>					
<b>b</b> Less direct expenses . . . . .		<b>b</b>					
<b>c</b> Net income or (loss) from gaming activities . . . . .							
<b>10a</b> Gross sales of inventory, less returns and allowances . . . . .	<b>a</b>						
	<b>b</b> Less cost of goods sold . . . . .	<b>b</b>					
	<b>c</b> Net income or (loss) from sales of inventory . . . . .						
Miscellaneous Revenue		Business Code					
<b>11a</b> WEB ADVERTISING		900004	11,500		11,500		
<b>b</b> FOREIGN CURRENCY LOSS		900099	-64,211			-64,211	
<b>c</b> _____							
<b>d</b> All other revenue . . . . .							
<b>e Total.</b> Add lines 11a-11d . . . . .			-52,711				
<b>12 Total revenue.</b> See Instructions . . . . .			48,279,729	0	11,500	-53,979	

**Part IX Statement of Functional Expenses**

Section 501(c)(3) and 501(c)(4) organizations must complete all columns. All other organizations must complete column (A).

Check if Schedule O contains a response or note to any line in this Part IX

Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII.	(A) Total expenses	(B) Program service expenses	(C) Management and general expenses	(D) Fundraising expenses
<b>1</b> Grants and other assistance to domestic organizations and domestic governments. See Part IV, line 21.	3,943,173	3,943,173		
<b>2</b> Grants and other assistance to domestic individuals. See Part IV, line 22.				
<b>3</b> Grants and other assistance to foreign organizations, foreign governments, and foreign individuals. See Part IV, line 15 and 16.	1,058,731	1,058,731		
<b>4</b> Benefits paid to or for members.				
<b>5</b> Compensation of current officers, directors, trustees, and key employees.	1,977,634	1,158,317	737,950	81,367
<b>6</b> Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B).				
<b>7</b> Other salaries and wages.	13,318,225	10,000,681	2,565,034	752,510
<b>8</b> Pension plan accruals and contributions (include section 401 (k) and 403(b) employer contributions).	763,299	581,323	134,785	47,191
<b>9</b> Other employee benefits.	1,531,317	1,169,244	271,278	90,795
<b>10</b> Payroll taxes.	1,227,122	832,750	323,679	70,693
<b>11</b> Fees for services (non-employees)				
<b>a</b> Management.				
<b>b</b> Legal.	421,471	175,086	238,672	7,713
<b>c</b> Accounting.	354,132	33,026	321,103	3
<b>d</b> Lobbying.	72,827	72,827		
<b>e</b> Professional fundraising services. See Part IV, line 17.	227,239			227,239
<b>f</b> Investment management fees.				
<b>g</b> Other (If line 11g amount exceeds 10% of line 25, column (A) amount, list line 11g expenses on Schedule O).	2,602,677	1,859,505	137,594	605,578
<b>12</b> Advertising and promotion.	834,444	713,320	48,536	72,588
<b>13</b> Office expenses.	1,237,675	910,583	240,711	86,381
<b>14</b> Information technology.	824,842	537,388	283,042	4,412
<b>15</b> Royalties.				
<b>16</b> Occupancy.	1,888,024	1,412,005	381,583	94,436
<b>17</b> Travel.	2,087,456	1,548,486	272,306	266,664
<b>18</b> Payments of travel or entertainment expenses for any federal, state, or local public officials.				
<b>19</b> Conferences, conventions, and meetings.	1,044,276	653,084	93,035	298,157
<b>20</b> Interest.	13,078	24	13,054	
<b>21</b> Payments to affiliates.				
<b>22</b> Depreciation, depletion, and amortization.	501,250	218,898	280,721	1,631
<b>23</b> Insurance.	135,870	97,915	30,181	7,774
<b>24</b> Other expenses. Itemize expenses not covered above (List miscellaneous expenses in line 24e. If line 24e amount exceeds 10% of line 25, column (A) amount, list line 24e expenses on Schedule O.)				
<b>a</b> EQUIPMENT RENTAL/MAINT	1,222,702	1,080,460	4,373	137,869
<b>b</b> DUES AND SUBSCRIPTIONS	343,726	142,264	199,863	1,599
<b>c</b> TAXES AND FEES	249,391	102,643	111,931	34,817
<b>d</b>				
<b>e</b> All other expenses				
<b>25</b> Total functional expenses. Add lines 1 through 24e.	37,880,581	28,301,733	6,689,431	2,889,417
<b>26</b> Joint costs. Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation. Check here <input type="checkbox"/> if following SOP 98-2 (ASC 958-720)				

**Part X Balance Sheet**

Check if Schedule O contains a response or note to any line in this Part IX

		(A) Beginning of year		(B) End of year
<b>Assets</b>	<b>1</b> Cash—non-interest-bearing . . . . .	17,339,640	<b>1</b>	18,780,294
	<b>2</b> Savings and temporary cash investments . . . . .	5,007,200	<b>2</b>	4,801,300
	<b>3</b> Pledges and grants receivable, net . . . . .	5,384,302	<b>3</b>	14,601,805
	<b>4</b> Accounts receivable, net . . . . .	196,880	<b>4</b>	265,768
	<b>5</b> Loans and other receivables from current and former officers, directors, trustees, key employees, and highest compensated employees Complete Part II of Schedule L . . . . .		<b>5</b>	
	<b>6</b> Loans and other receivables from other disqualified persons (as defined under section 4958(f)(1)), persons described in section 4958(c)(3)(B), and contributing employers and sponsoring organizations of section 501(c)(9) voluntary employees' beneficiary organizations (see instructions) Complete Part II of Schedule L . . . . .		<b>6</b>	
	<b>7</b> Notes and loans receivable, net . . . . .		<b>7</b>	
	<b>8</b> Inventories for sale or use . . . . .	26,241	<b>8</b>	8,456
	<b>9</b> Prepaid expenses and deferred charges . . . . .	170,764	<b>9</b>	29,977
	<b>10a</b> Land, buildings, and equipment cost or other basis Complete Part VI of Schedule D	<b>10a</b> 6,991,788		
	<b>b</b> Less accumulated depreciation	<b>10b</b> 5,384,553	1,834,290	<b>10c</b> 1,607,235
	<b>11</b> Investments—publicly traded securities . . . . .	3,659	<b>11</b>	11,123
	<b>12</b> Investments—other securities See Part IV, line 11 . . . . .	0	<b>12</b>	793,850
	<b>13</b> Investments—program-related See Part IV, line 11 . . . . .		<b>13</b>	
	<b>14</b> Intangible assets . . . . .		<b>14</b>	
	<b>15</b> Other assets See Part IV, line 11 . . . . .	249,922	<b>15</b>	264,707
<b>16 Total assets.</b> Add lines 1 through 15 (must equal line 34) . . . . .	30,212,898	<b>16</b>	41,164,515	
<b>Liabilities</b>	<b>17</b> Accounts payable and accrued expenses . . . . .	2,725,847	<b>17</b>	3,396,726
	<b>18</b> Grants payable . . . . .	0	<b>18</b>	50,000
	<b>19</b> Deferred revenue . . . . .		<b>19</b>	
	<b>20</b> Tax-exempt bond liabilities . . . . .		<b>20</b>	
	<b>21</b> Escrow or custodial account liability Complete Part IV of Schedule D		<b>21</b>	
	<b>22</b> Loans and other payables to current and former officers, directors, trustees, key employees, highest compensated employees, and disqualified persons Complete Part II of Schedule L . . . . .		<b>22</b>	
	<b>23</b> Secured mortgages and notes payable to unrelated third parties . . . . .		<b>23</b>	
	<b>24</b> Unsecured notes and loans payable to unrelated third parties . . . . .		<b>24</b>	
	<b>25</b> Other liabilities (including federal income tax, payables to related third parties, and other liabilities not included on lines 17-24) Complete Part X of Schedule D	343,018	<b>25</b>	123,875
	<b>26 Total liabilities.</b> Add lines 17 through 25 . . . . .	3,068,865	<b>26</b>	3,570,601
<b>Net Assets or Fund Balances</b>	<b>27 Organizations that follow SFAS 117 (ASC 958), check here</b> <input checked="" type="checkbox"/> <b>and complete lines 27 through 29, and lines 33 and 34.</b> Unrestricted net assets	11,928,550	<b>27</b>	13,369,819
	<b>28</b> Temporarily restricted net assets . . . . .	15,215,483	<b>28</b>	24,224,095
	<b>29</b> Permanently restricted net assets		<b>29</b>	
	<b>30 Organizations that do not follow SFAS 117 (ASC 958), check here</b> <input type="checkbox"/> <b>and complete lines 30 through 34.</b> Capital stock or trust principal, or current funds . . . . .		<b>30</b>	
	<b>31</b> Paid-in or capital surplus, or land, building or equipment fund . . . . .		<b>31</b>	
	<b>32</b> Retained earnings, endowment, accumulated income, or other funds		<b>32</b>	
	<b>33 Total net assets or fund balances . . . . .</b>	27,144,033	<b>33</b>	37,593,914
	<b>34 Total liabilities and net assets/fund balances . . . . .</b>	30,212,898	<b>34</b>	41,164,515

**Part XI Reconciliation of Net Assets**

Check if Schedule O contains a response or note to any line in this Part XI

<b>1</b>	Total revenue (must equal Part VIII, column (A), line 12) . . . . .	<b>1</b>	48,279,729
<b>2</b>	Total expenses (must equal Part IX, column (A), line 25) . . . . .	<b>2</b>	37,880,581
<b>3</b>	Revenue less expenses Subtract line 2 from line 1 . . . . .	<b>3</b>	10,399,148
<b>4</b>	Net assets or fund balances at beginning of year (must equal Part X, line 33, column (A)) . . . . .	<b>4</b>	27,144,033
<b>5</b>	Net unrealized gains (losses) on investments . . . . .	<b>5</b>	50,733
<b>6</b>	Donated services and use of facilities . . . . .	<b>6</b>	
<b>7</b>	Investment expenses . . . . .	<b>7</b>	
<b>8</b>	Prior period adjustments . . . . .	<b>8</b>	
<b>9</b>	Other changes in net assets or fund balances (explain in Schedule O) . . . . .	<b>9</b>	0
<b>10</b>	Net assets or fund balances at end of year Combine lines 3 through 9 (must equal Part X, line 33, column (B))	<b>10</b>	37,593,914

**Part XII Financial Statements and Reporting**

Check if Schedule O contains a response or note to any line in this Part XII

		Yes	No
<b>1</b> Accounting method used to prepare the Form 990 <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other _____ If the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule O			
<b>2a</b> Were the organization's financial statements compiled or reviewed by an independent accountant? If 'Yes,' check a box below to indicate whether the financial statements for the year were compiled or reviewed on a separate basis, consolidated basis, or both <input type="checkbox"/> Separate basis <input type="checkbox"/> Consolidated basis <input type="checkbox"/> Both consolidated and separate basis	<b>2a</b>		No
<b>b</b> Were the organization's financial statements audited by an independent accountant? If 'Yes,' check a box below to indicate whether the financial statements for the year were audited on a separate basis, consolidated basis, or both <input type="checkbox"/> Separate basis <input checked="" type="checkbox"/> Consolidated basis <input type="checkbox"/> Both consolidated and separate basis	<b>2b</b>	Yes	
<b>c</b> If "Yes," to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant? If the organization changed either its oversight process or selection process during the tax year, explain in Schedule O	<b>2c</b>	Yes	
<b>3a</b> As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133?	<b>3a</b>		No
<b>b</b> If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why in Schedule O and describe any steps taken to undergo such audits	<b>3b</b>		

**Software ID:****Software Version:****EIN:** 51-0401308**Name:** OCEANA INC

Form 990 (2017)

**Form 990, Part III, Line 4a:**

UNITED STATES IN THE UNITED STATES, OCEANA IS WORKING ON SCIENCE-BASED POLICY CAMPAIGNS THAT ADDRESS RESPONSIBLE FISHING, CLIMATE AND ENERGY, SEAFOOD FRAUD AND DEFENDING THE NATION'S BEDROCK CONSERVATION LAWS RESPONSIBLE FISHING OCEANA'S RESPONSIBLE FISHING CAMPAIGN SEEKS TO WIN LONG-TERM, SUSTAINABLE MANAGEMENT OF U S FISHERIES OCEANA IS WORKING TO BAN THE SALE AND TRADE OF SHARK FINS, TO REDUCE BYCATCH OF FISH AND PROTECTED OR ENDANGERED SPECIES, TO PROTECT THE HABITATS THAT ARE NECESSARY FOR NURSING, SPAWNING, FEEDING, AND OTHER FUNCTIONS, AND TO GET SCIENCE-BASED QUOTAS FOR COMMERCIAL SPECIES AS WELL AS FOR SPECIES THAT ARE NECESSARY FOOD-FISH FOR COMMERCIALY IMPORTANT SPECIES HIGHLIGHTED BELOW ARE A SAMPLE OF OCEANA'S ACHIEVEMENTS IN 2017 IN EACH OF THESE AREAS SHARK FIN BAN DUE TO THE EXCESSIVE PRESSURE ON SHARK POPULATIONS BEING DRIVEN LARGELY BY DEMAND FOR THEIR FINS, OCEANA LAUNCHED A CAMPAIGN TO BAN THE TRADE IN SHARK FINS -OCEANA SUCCESSFULLY ADVOCATED FOR THE RE-INTRODUCTION OF THE SHARK FIN TRADE ELIMINATION ACT, TO BAN POSSESSION AND SALE OF SHARK FINS -OCEANA IDENTIFIED AN OPPORTUNITY TO MOVE THE BILL THROUGH THE SENATE COMMERCE COMMITTEE, WHICH PASSED THE BILL BY VOICE VOTE ON MAY 18, 2017 -OCEANA IS CONTINUING TO ADD CO-SPONSORS 236 HOUSE COSPONSORS, REPRESENTING MORE THAN HALF OF THE CHAMBER, AND 4 OF THE 9 MOST INFLUENTIAL REPUBLICAN COMMITTEE CHAIRS SIGNED A JOINT OP-ED CALLING ON CONGRESS TO PASS THE BILL BYCATCH -THE UNINTENTIONAL CATCH OF MARINE SPECIES WHILE FISHING (BYCATCH) UNDERMINES SUCCESSFUL FISHERIES MANAGEMENT AND WASTES THE OCEAN'S LIVING MARINE RESOURCES BYCATCH IS THE GREATEST REMAINING BARRIER TO RESTORING U S FISHERIES AND ECOSYSTEMS DRIFT GILLNETS ARE PARTICULARLY DESTRUCTIVE BETWEEN 2007 AND JANUARY 2013, THE DRIFT GILLNET FISHERY DISCARDED 61 PERCENT OF ALL MARINE ANIMALS CAUGHT OCEANA SUCCEEDED IN PREVENTING THE EXPANSION OF THE DRIFT GILLNET FISHERY IN TO THE PACIFIC LEATHERBACK CONSERVATION AREA IN 2017 -OCEANA OBTAINED THE IDENTITIES OF THE 68 VESSELS OF THE GULF OF ALASKA TRAWL FLEET AND BUILT A DATABASE OF GLOBAL FISHING WATCH DATA TO TRACK THE BEHAVIOR OF THESE VESSELS AND TO HELP VERIFY IF OBSERVERS ARE ON BOARD ADDITIONALLY, OCEANA IDENTIFIED THE LOCATIONS OF FISH PROCESSING PLANTS TO HELP GFW BETTER DEFINE THE BEGINNING AND END OF 'FISHING TRIPS' WHEN FISH IS DROPPED OFF AT THE PLANTS PROTECTING HABITAT OCEANA PROTECTS IMPORTANT HABITATS, INCLUDING NURSERY AND SPAWNING GROUNDS -OCEANA PUBLISHED THE ECOLOGICAL ATLAS OF THE BERING, CHUKCHI, AND BEAUFORT SEAS, THAT IDENTIFIES THE IMPORTANT HABITATS FOR MARINE SPECIES ACROSS THE ENTIRE U S ARCTIC OCEANA DEVELOPED AND USED A SPATIAL DATABASE TO PRODUCE 15 MAPS FOR THE ATLAS OCEANA CONTRIBUTED SECTIONS ON ZOOPLANKTON, BENTHIC BIOMASS, SNOW CRAB, RED KING CRAB, AND MANY ADDITIONAL SPECIES -OCEANA SECURED HABITAT PROTECTION MEASURES NEEDED TO KEEP BOTTOM TRAWLING OUT OF MORE THAN A MILLION SQUARE KILOMETERS OF SENSITIVE SEAFLOOR HABITAT IN THE ALEUTIAN ISLANDS, GULF OF ALASKA, AND BERING SEA -BECAUSE OF OCEANA, THE NATIONAL MARINE FISHERIES SERVICE (NMFS) FINALIZED THE MID-ATLANTIC FISHERY MANAGEMENT COUNCIL PROPOSAL ON DEEP SEA CORAL CONSERVATION, PROTECTING MORE THAN 35,000 SQUARE MILES (91,000 KM2) OF OCEAN HABITAT FROM LONG ISLAND TO NORTH CAROLINA FROM TRAWL AND DREDGE FISHING SCIENCE-BASED CATCH LIMITS -ALTHOUGH SCIENTIFICALLY-BASED QUOTAS ARE REQUIRED BY LAW, MANAGERS ARE NOT SETTING SUFFICIENT LIMITS FOR SOME FISHERIES OCEANA'S WORK IS DESIGNED TO ENSURE THAT QUOTAS ARE SET IN A WAY THAT MAINTAIN HEALTHY ECOSYSTEMS, TAKING INTO ACCOUNT FACTORS SUCH AS PREDATOR-PREY RELATIONSHIPS AND POPULATION DYNAMICS -THROUGH SCIENCE AND ADVOCACY, OCEANA HELPED CONVINCED THE PACIFIC FISHERY MANAGEMENT COUNCIL TO KEEP THE U S WEST COAST PACIFIC SARDINE FISHERY CLOSED FOR THE UPCOMING COMMERCIAL SEASON IN ORDER TO PROTECT THE FISHERY -OCEANA PERSUADED THE STATE OF CALIFORNIA TO IMPLEMENT NEW REGULATIONS SAFEGUARDING HUNDREDS OF SPECIES OF FORAGE FISH FROM NEW, DIRECTED FISHERIES WE ACHIEVED OUR GOAL OF PROTECTING ROUGHLY 70 PERCENT OF FORAGE FISH (BY WEIGHT) IN WEST COAST WATERS OCEANA ALSO GOT NMFS TO SET QUOTAS FOR ALEUTIAN PACIFIC COD AND ALEUTIAN POLLOCK THAT TAKE INTO ACCOUNT THEIR ROLE AS FORAGE OR FOOD FOR PREDATORS STOP EXPANDED OFFSHORE DRILLING PRESIDENT TRUMP IS ELIMINATING PROTECTIONS WE WON FOR THE ATLANTIC, ARCTIC, AND PACIFIC OCEANS AGAINST OFFSHORE OIL DRILLING THE ADMINISTRATION PLANS TO ALLOW LEASE SALES IN ALL U S OCEANS CONGRESS CAN ALSO SPEED THE PROCESS OF SELLING LEASES IN THESE AREAS THROUGH LEGISLATION THE TRUMP ADMINISTRATION CAN QUICKLY APPROVE EXPLORATORY SEISMIC TESTING PERMITS IN THE ATLANTIC TO PREVENT THESE ACTIONS, WE HAVE EXPANDED OUR GRASSROOTS CAMPAIGN IN COASTAL CONGRESSIONAL DISTRICTS NATIONALLY, WITH A FOCUS ON PASSING LOCAL RESOLUTIONS AND BUILDING CLEAR BUSINESS COMMUNITY OPPOSITION STOPPING THE EXPANSION OF OFFSHORE OIL IN THE ATLANTIC AND PACIFIC OCEANS -OCEANA DEFENDED AGAINST CONGRESSIONAL EFFORTS TO END THE CONGRESSIONAL MORATORIUM AGAINST DRILLING IN THE EASTERN GULF OF MEXICO -OCEANA PROVIDED LEGAL AND SCIENTIFIC SUPPORT AND ACTIVATED CONGRESSIONAL CHAMPIONS, GRASSROOTS ALLIES, AND COALITION PARTNERS TO STOP THE TRUMP ADMINISTRATION FROM FINALIZING A REVISED FIVE-YEAR PLAN TO EXPAND OFFSHORE DRILLING THROUGH OUR WORK, FOUR ADDITIONAL GOVERNORS HAVE PUBLICLY OPPOSED OFFSHORE OIL DRILLING -THROUGH AN EXPANDED GRASSROOTS CAMPAIGN TO AMPLIFY THE VOICES OF PACIFIC COAST BUSINESS LEADERS, COMMUNITIES, AND MUNICIPAL AND STATE ELECTED OFFICIALS, OCEANA HELPED PREVENT THE TRUMP ADMINISTRATION FROM INCLUDING LEASE SALES IN THE PACIFICSTOP OFFSHORE DRILLING IN THE ARCTIC -OCEANA SUCCEEDED IN PREVENTING THE PROTECTIONS AFFORDED THE ARCTIC IN 2016 FROM BEING OVERTURNED IN 2017, AS NEITHER CONGRESS NOR THE TRUMP ADMINISTRATION HAS SUCCEEDED IN OPENING THE ARCTIC TO OFFSHORE DRILLING SEAFOOD FRAUD -OCEANA ENGAGED OUR EXPANDED FIELD TEAM TO BUILD SUPPORT FOR EXPANDING TRACEABILITY USING FULL CHAIN TRACEABILITY FOR ALL SEAFOOD SOLD IN THE UNITED STATES -OCEANA DEFENDED LAWS AND REGULATIONS THAT GIVE AUTHORITY TO TRACE AND TRACK SEAFOOD SUCH AS THE FEDERAL FOOD, DRUG AND COSMETIC ACT, THE LACEY ACT, AND THE MAGNUSON STEVENS ACT DEFENSE OF OCEAN PROTECTION LAWS OCEANA JOINED WITH OTHER ENVIRONMENTAL GROUPS TO HELP DEFEND AGAINST EFFORTS TO UNDERMINE KEY ENVIRONMENTAL LAWS SUCH AS THE MAGNUSON STEVENS ACT, MARINE MAMMAL PROTECTION ACT (MMPA), ENDANGERED SPECIES ACT, ANTIQUITIES ACT, ADMINISTRATIVE PROCEDURE ACT AND NATIONAL ENVIRONMENTAL POLICY ACT OCEANA BUILT AND IS CO-LEADING WITH NATURAL RESOURCES DEFENSE COUNCIL A COALITION OF ABOUT 30 NGOS IN DEFENDING THE MMPA FROM ATTACKS BY CONGRESS AND THE ADMINISTRATION

**Form 990, Part III, Line 4b:**

INTERNATIONAL BELIZE CLEAN ENERGY CAMPAIGN SINCE 2011, OCEANA HAS DEDICATED SIGNIFICANT EFFORTS TO ACHIEVING A BAN ON OFFSHORE OIL ACTIVITIES IN BELIZE. DESPITE A 2015 GOVERNMENT PLEDGE THAT IT WOULD PERMANENTLY BAN OFFSHORE OIL DRILLING IN CERTAIN AREAS, INCLUDING THE LENGTH OF THE BELIZE BARRIER REEF RESERVE SYSTEM AND THE WORLD HERITAGE SITES, IN 2016, THE SECRET APPROVAL OF SEISMIC STUDIES IN BELIZEAN WATERS UNDERSCORED THAT THE THREAT OF OIL REMAINED

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**Form 990, Part III, Line 4c:**

MARKETING AND COMMUNICATIONS KEY ACHIEVEMENTS OF OCEANA'S MARKETING AND COMMUNICATIONS DEPARTMENT IN 2017 ARE HIGHLIGHTED BELOW ONLINE COMMUNICATIONS -AS OF OCTOBER 2017, OCEANA HAS BUILT A SUPPORTER BASE OF 2.4 MILLION SUPPORTERS, UP FROM THE 2016 END-OF-YEAR LEVEL OF 1.9 MILLION. OCEANA'S SUPPORTERS INCLUDE 840,806 EMAIL LIST SUBSCRIBERS, 898,302 FACEBOOK FOLLOWERS, 283,752 TWITTER FOLLOWERS, AND 383,109 INSTAGRAM FOLLOWERS. THE ADDITIONAL 1.53 MILLION FOLLOWERS OF OCEANA COUNTRIES' SOCIAL MEDIA

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**Form 990, Part III - 4 Program Service Accomplishments (See the Instructions)**

(Code ) (Expenses \$	1,434,236	including grants of \$	(Revenue \$ )
MARINE SCIENCE			
(Code ) (Expenses \$	790,824	including grants of \$	(Revenue \$ )
LAW			

**Form 990, Part VII - Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors**

(A) Name and Title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W- 2/1099-MISC)	(E) Reportable compensation from related organizations (W- 2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former			
SIMON SIDAMON-ERISTOFF CHAIR	9 00	X		X				0	0	0
VALARIE VAN CLEAVE VICE-CHAIR	7 00	X		X				0	0	0
KEITH ADDIS PRESIDENT	6 00	X		X				0	0	0
JAMES SANDLER SECRETARY	3 00	X		X				0	0	0
MARIA EUGENIA GIRON TREASURER	3 00	X		X				0	0	0
GAZ ALAZRAKI DIRECTOR	2 00	X						0	0	0
MONIQUE BAR DIRECTOR	2 00	X						0	0	0
HERBERT M BEDOLFE III DIRECTOR	3 00	X						0	0	0
TED DANSON DIRECTOR	2 00	X						0	0	0
SYDNEY DAVIS DIRECTOR	2 00	X						0	0	0

**Form 990, Part VII - Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors**

(A) Name and Title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W- 2/1099-MISC)	(E) Reportable compensation from related organizations (W- 2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former			
CESAR GAVIRIA ..... DIRECTOR	2 00 .....	X						0	0	0
LOIC GOUZER ..... DIRECTOR	2 00 .....	X						0	0	0
JENA KING ..... DIRECTOR	2 00 .....	X						0	0	0
STEPHEN P MCALLISTER ..... DIRECTOR	2 00 .....	X						0	0	0
DR KRISTIAN PARKER ..... DIRECTOR	3 00 .....	X						0	0	0
DR DANIEL PAULY ..... DIRECTOR	2 00 .....	X						0	0	0
SUSAN ROCKEFELLER ..... DIRECTOR	6 00 .....	X						0	0	0
DAVID ROCKEFELLER JR ..... DIRECTOR	2 00 .....	X						0	0	0
HEATHER STEVENS ..... DIRECTOR	2 00 .....	X						0	0	0
RACHID SUMAILA ..... DIRECTOR	2 00 .....	X						0	0	0

**Form 990, Part VII - Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors**

(A) Name and Title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W- 2/1099-MISC)	(E) Reportable compensation from related organizations (W- 2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former			
DIANA THOMSON ..... DIRECTOR	2 00 .....	X						0	0	0
SAM WATERSTON ..... DIRECTOR	2 00 .....	X						0	0	0
JEAN WEISS ..... DIRECTOR	2 00 .....	X						0	0	0
ANDREW F SHARPLESS ..... CHIEF EXECUTIVE OFFICER	40 00 .....			X				367,877	0	43,385
JAMES F SIMON ..... PRESIDENT & GENERAL COUNSEL	1 00 40 00 .....			X				290,079	0	43,385
CHRISTOPHER M SHARKEY ..... CHIEF FINANCIAL OFFICER	1 00 40 00 .....				X			219,392	0	38,295
MICHAEL F HIRSHFIELD ..... CHIEF SCIENTIST & STRATEGY OFFICER	1 00 40 00 .....				X			254,203	0	31,623
LARS GUSTAVSSON ..... SVP, EUROPE	1 00 40 00 .....				X			216,694	0	0
JACQUELINE SAVITZ ..... SVP, UNITED STATES	40 00 .....				X			205,374	0	31,300
MATTHEW M LITTLEJOHN ..... SVP, STRATEGIC MKTG & COMMUNICATIONS	40 00 .....				X			202,443	0	33,584

**Form 990, Part VII - Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors**

(A) Name and Title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W- 2/1099-MISC)	(E) Reportable compensation from related organizations (W- 2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former			
NANCY GOLDEN ..... VICE PRESIDENT OF DEVELOPMENT	40 00 .....					X		188,965	0	24,605
MONICA PERES ..... VP, BRAZIL	40 00 .....					X		181,917	0	13,461
ERIC BILSKY ..... SENIOR ATTORNEY & ASSISTANT GENERAL COUNSEL	40 00 .....					X		169,890	0	28,121
PATRICIA MAJULF ..... VP, PERU	40 00 .....					X		158,554	0	0
SUSAN MURRAY ..... DEPUTY VP, PACIFIC	40 00 .....					X		158,217	0	24,059

**SCHEDULE A**  
**(Form 990 or 990EZ)**

**Public Charity Status and Public Support**

Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.  
▶ Attach to Form 990 or Form 990-EZ.

**2017**

**Open to Public Inspection**

Department of the Treasury  
Internal Revenue Service

▶ Information about Schedule A (Form 990 or 990-EZ) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

Name of the organization  
OCEANA INC

Employer identification number

51-0401308

**Part I Reason for Public Charity Status** (All organizations must complete this part.) See instructions.

The organization is not a private foundation because it is (For lines 1 through 12, check only one box )

- 1  A church, convention of churches, or association of churches described in **section 170(b)(1)(A)(i)**.
- 2  A school described in **section 170(b)(1)(A)(ii)**. (Attach Schedule E (Form 990 or 990-EZ) )
- 3  A hospital or a cooperative hospital service organization described in **section 170(b)(1)(A)(iii)**.
- 4  A medical research organization operated in conjunction with a hospital described in **section 170(b)(1)(A)(iii)**. Enter the hospital's name, city, and state \_\_\_\_\_
- 5  An organization operated for the benefit of a college or university owned or operated by a governmental unit described in **section 170(b)(1)(A)(iv)**. (Complete Part II )
- 6  A federal, state, or local government or governmental unit described in **section 170(b)(1)(A)(v)**.
- 7  An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in **section 170(b)(1)(A)(vi)**. (Complete Part II )
- 8  A community trust described in **section 170(b)(1)(A)(vi)** (Complete Part II )
- 9  An agricultural research organization described in **170(b)(1)(A)(ix)** operated in conjunction with a land-grant college or university or a non-land grant college of agriculture See instructions Enter the name, city, and state of the college or university \_\_\_\_\_
- 10  An organization that normally receives (1) more than 33 1/3% of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions—subject to certain exceptions, and (2) no more than 33 1/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975 See **section 509(a)(2)**. (Complete Part III )
- 11  An organization organized and operated exclusively to test for public safety See **section 509(a)(4)**.
- 12  An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in **section 509(a)(1)** or **section 509(a)(2)**. See **section 509(a)(3)**. Check the box in lines 12a through 12d that describes the type of supporting organization and complete lines 12e, 12f, and 12g
  - a  **Type I.** A supporting organization operated, supervised, or controlled by its supported organization(s), typically by giving the supported organization(s) the power to regularly appoint or elect a majority of the directors or trustees of the supporting organization **You must complete Part IV, Sections A and B.**
  - b  **Type II.** A supporting organization supervised or controlled in connection with its supported organization(s), by having control or management of the supporting organization vested in the same persons that control or manage the supported organization(s) **You must complete Part IV, Sections A and C.**
  - c  **Type III functionally integrated.** A supporting organization operated in connection with, and functionally integrated with, its supported organization(s) (see instructions) **You must complete Part IV, Sections A, D, and E.**
  - d  **Type III non-functionally integrated.** A supporting organization operated in connection with its supported organization(s) that is not functionally integrated The organization generally must satisfy a distribution requirement and an attentiveness requirement (see instructions) **You must complete Part IV, Sections A and D, and Part V.**
  - e  Check this box if the organization received a written determination from the IRS that it is a Type I, Type II, Type III functionally integrated, or Type III non-functionally integrated supporting organization
  - f Enter the number of supported organizations \_\_\_\_\_
  - g Provide the following information about the supported organization(s)

(i) Name of supported organization	(ii) EIN	(iii) Type of organization (described on lines 1- 10 above (see instructions))	(iv) Is the organization listed in your governing document?		(v) Amount of monetary support (see instructions)	(vi) Amount of other support (see instructions)
			Yes	No		
<b>Total</b>						

**Part II Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv), 170(b)(1)(A)(vi), and 170(b)(1)(A)(ix)**

(Complete only if you checked the box on line 5, 7, 8, or 9 of Part I or if the organization failed to qualify under Part III. If the organization fails to qualify under the tests listed below, please complete Part III.)

**Section A. Public Support**

Calendar year (or fiscal year beginning in) ▶	(a) 2013	(b) 2014	(c) 2015	(d) 2016	(e) 2017	(f) Total
<b>1</b> Gifts, grants, contributions, and membership fees received (Do not include any "unusual grant.")	44,635,808	21,110,289	18,802,188	24,887,791	48,322,208	157,758,284
<b>2</b> Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
<b>3</b> The value of services or facilities furnished by a governmental unit to the organization without charge						
<b>4 Total.</b> Add lines 1 through 3	44,635,808	21,110,289	18,802,188	24,887,791	48,322,208	157,758,284
<b>5</b> The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f)						44,959,068
<b>6 Public support.</b> Subtract line 5 from line 4						112,799,216

**Section B. Total Support**

Calendar year (or fiscal year beginning in) ▶	(a) 2013	(b) 2014	(c) 2015	(d) 2016	(e) 2017	(f) Total
<b>7</b> Amounts from line 4	44,635,808	21,110,289	18,802,188	24,887,791	48,322,208	157,758,284
<b>8</b> Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources	168,386	87,084	45,208	19,769	16,973	337,420
<b>9</b> Net income from unrelated business activities, whether or not the business is regularly carried on						
<b>10</b> Other income Do not include gain or loss from the sale of capital assets (Explain in Part VI.)	3,296	24,773	17,452	566		46,087
<b>11 Total support.</b> Add lines 7 through 10						158,141,791

**12** Gross receipts from related activities, etc (see instructions) **12** 940,304

**13 First five years.** If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and **stop here** . . . . .

**Section C. Computation of Public Support Percentage**

<b>14</b> Public support percentage for 2017 (line 6, column (f) divided by line 11, column (f))	<b>14</b>	71.330 %
<b>15</b> Public support percentage for 2016 Schedule A, Part II, line 14	<b>15</b>	71.240 %

**16a 33 1/3% support test—2017.** If the organization did not check the box on line 13, and line 14 is 33 1/3% or more, check this box and **stop here.** The organization qualifies as a publicly supported organization

**b 33 1/3% support test—2016.** If the organization did not check a box on line 13 or 16a, and line 15 is 33 1/3% or more, check this box and **stop here.** The organization qualifies as a publicly supported organization

**17a 10%-facts-and-circumstances test—2017.** If the organization did not check a box on line 13, 16a, or 16b, and line 14 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and **stop here.** Explain in Part VI how the organization meets the "facts-and-circumstances" test The organization qualifies as a publicly supported organization

**b 10%-facts-and-circumstances test—2016.** If the organization did not check a box on line 13, 16a, 16b, or 17a, and line 15 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and **stop here.** Explain in Part VI how the organization meets the "facts-and-circumstances" test The organization qualifies as a publicly supported organization

**18 Private foundation.** If the organization did not check a box on line 13, 16a, 16b, 17a, or 17b, check this box and see instructions

**Part III Support Schedule for Organizations Described in Section 509(a)(2)**

(Complete only if you checked the box on line 10 of Part I or if the organization failed to qualify under Part II. If the organization fails to qualify under the tests listed below, please complete Part II.)

**Section A. Public Support**

Calendar year (or fiscal year beginning in) ►		(a) 2013	(b) 2014	(c) 2015	(d) 2016	(e) 2017	(f) Total
<b>1</b>	Gifts, grants, contributions, and membership fees received (Do not include any "unusual grants.")						
<b>2</b>	Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose						
<b>3</b>	Gross receipts from activities that are not an unrelated trade or business under section 513						
<b>4</b>	Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
<b>5</b>	The value of services or facilities furnished by a governmental unit to the organization without charge						
<b>6</b>	<b>Total.</b> Add lines 1 through 5						
<b>7a</b>	Amounts included on lines 1, 2, and 3 received from disqualified persons						
<b>b</b>	Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of \$5,000 or 1% of the amount on line 13 for the year						
<b>c</b>	Add lines 7a and 7b						
<b>8</b>	<b>Public support.</b> (Subtract line 7c from line 6)						

**Section B. Total Support**

Calendar year (or fiscal year beginning in) ►		(a) 2013	(b) 2014	(c) 2015	(d) 2016	(e) 2017	(f) Total
<b>9</b>	Amounts from line 6						
<b>10a</b>	Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources						
<b>b</b>	Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975						
<b>c</b>	Add lines 10a and 10b						
<b>11</b>	Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on						
<b>12</b>	Other income. Do not include gain or loss from the sale of capital assets (Explain in Part VI.)						
<b>13</b>	<b>Total support.</b> (Add lines 9, 10c, 11, and 12.)						

**14 First five years.** If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and **stop here** ►

**Section C. Computation of Public Support Percentage**

<b>15</b>	Public support percentage for 2017 (line 8, column (f) divided by line 13, column (f))	<b>15</b>	
<b>16</b>	Public support percentage from 2016 Schedule A, Part III, line 15	<b>16</b>	

**Section D. Computation of Investment Income Percentage**

<b>17</b>	Investment income percentage for <b>2017</b> (line 10c, column (f) divided by line 13, column (f))	<b>17</b>	
<b>18</b>	Investment income percentage from <b>2016</b> Schedule A, Part III, line 17	<b>18</b>	

**19a 33 1/3% support tests—2017.** If the organization did not check the box on line 14, and line 15 is more than 33 1/3%, and line 17 is not more than 33 1/3%, check this box and **stop here.** The organization qualifies as a publicly supported organization ►

**b 33 1/3% support tests—2016.** If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33 1/3% and line 18 is not more than 33 1/3%, check this box and **stop here.** The organization qualifies as a publicly supported organization ►

**20 Private foundation.** If the organization did not check a box on line 14, 19a, or 19b, check this box and see instructions ►

**Part IV Supporting Organizations**

(Complete only if you checked a box on line 12 of Part I. If you checked 12a of Part I, complete Sections A and B. If you checked 12b of Part I, complete Sections A and C. If you checked 12c of Part I, complete Sections A, D, and E. If you checked 12d of Part I, complete Sections A and D, and complete Part V.)

**Section A. All Supporting Organizations**

		Yes	No
<b>1</b>	Are all of the organization's supported organizations listed by name in the organization's governing documents? If "No," describe in <b>Part VI</b> how the supported organizations are designated. If designated by class or purpose, describe the designation. If historic and continuing relationship, explain.		
	<b>1</b>		
<b>2</b>	Did the organization have any supported organization that does not have an IRS determination of status under section 509(a)(1) or (2)? If "Yes," explain in <b>Part VI</b> how the organization determined that the supported organization was described in section 509(a)(1) or (2).		
	<b>2</b>		
<b>3a</b>	Did the organization have a supported organization described in section 501(c)(4), (5), or (6)? If "Yes," answer (b) and (c) below.		
	<b>3a</b>		
<b>b</b>	Did the organization confirm that each supported organization qualified under section 501(c)(4), (5), or (6) and satisfied the public support tests under section 509(a)(2)? If "Yes," describe in <b>Part VI</b> when and how the organization made the determination.		
	<b>3b</b>		
<b>c</b>	Did the organization ensure that all support to such organizations was used exclusively for section 170(c)(2)(B) purposes? If "Yes," explain in <b>Part VI</b> what controls the organization put in place to ensure such use.		
	<b>3c</b>		
<b>4a</b>	Was any supported organization not organized in the United States ("foreign supported organization")? If "Yes" and if you checked 12a or 12b in Part I, answer (b) and (c) below.		
	<b>4a</b>		
<b>b</b>	Did the organization have ultimate control and discretion in deciding whether to make grants to the foreign supported organization? If "Yes," describe in <b>Part VI</b> how the organization had such control and discretion despite being controlled or supervised by or in connection with its supported organizations.		
	<b>4b</b>		
<b>c</b>	Did the organization support any foreign supported organization that does not have an IRS determination under sections 501(c)(3) and 509(a)(1) or (2)? If "Yes," explain in <b>Part VI</b> what controls the organization used to ensure that all support to the foreign supported organization was used exclusively for section 170(c)(2)(B) purposes.		
	<b>4c</b>		
<b>5a</b>	Did the organization add, substitute, or remove any supported organizations during the tax year? If "Yes," answer (b) and (c) below (if applicable). Also, provide detail in <b>Part VI</b> , including (i) the names and EIN numbers of the supported organizations added, substituted, or removed, (ii) the reasons for each such action, (iii) the authority under the organization's organizing document authorizing such action, and (iv) how the action was accomplished (such as by amendment to the organizing document).		
	<b>5a</b>		
<b>b</b>	<b>Type I or Type II only.</b> Was any added or substituted supported organization part of a class already designated in the organization's organizing document?		
	<b>5b</b>		
<b>c</b>	<b>Substitutions only.</b> Was the substitution the result of an event beyond the organization's control?		
	<b>5c</b>		
<b>6</b>	Did the organization provide support (whether in the form of grants or the provision of services or facilities) to anyone other than (i) its supported organizations, (ii) individuals that are part of the charitable class benefited by one or more of its supported organizations, or (iii) other supporting organizations that also support or benefit one or more of the filing organization's supported organizations? If "Yes," provide detail in <b>Part VI</b> .		
	<b>6</b>		
<b>7</b>	Did the organization provide a grant, loan, compensation, or other similar payment to a substantial contributor (defined in section 4958(c)(3)(C)), a family member of a substantial contributor, or a 35% controlled entity with regard to a substantial contributor? If "Yes," complete Part I of Schedule L (Form 990 or 990-EZ).		
	<b>7</b>		
<b>8</b>	Did the organization make a loan to a disqualified person (as defined in section 4958) not described in line 7? If "Yes," complete Part I of Schedule L (Form 990 or 990-EZ).		
	<b>8</b>		
<b>9a</b>	Was the organization controlled directly or indirectly at any time during the tax year by one or more disqualified persons as defined in section 4946 (other than foundation managers and organizations described in section 509(a)(1) or (2))? If "Yes," provide detail in <b>Part VI</b> .		
	<b>9a</b>		
<b>b</b>	Did one or more disqualified persons (as defined in line 9a) hold a controlling interest in any entity in which the supporting organization had an interest? If "Yes," provide detail in <b>Part VI</b> .		
	<b>9b</b>		
<b>c</b>	Did a disqualified person (as defined in line 9a) have an ownership interest in, or derive any personal benefit from, assets in which the supporting organization also had an interest? If "Yes," provide detail in <b>Part VI</b> .		
	<b>9c</b>		
<b>10a</b>	Was the organization subject to the excess business holdings rules of section 4943 because of section 4943(f) (regarding certain Type II supporting organizations, and all Type III non-functionally integrated supporting organizations)? If "Yes," answer line 10b below.		
	<b>10a</b>		
<b>b</b>	Did the organization have any excess business holdings in the tax year? (Use Schedule C, Form 4720, to determine whether the organization had excess business holdings.)		
	<b>10b</b>		

**Part IV Supporting Organizations** (continued)

		Yes	No
<b>11</b>	Has the organization accepted a gift or contribution from any of the following persons?		
<b>a</b>	A person who directly or indirectly controls, either alone or together with persons described in (b) and (c) below, the governing body of a supported organization?		
<b>b</b>	A family member of a person described in (a) above?		
<b>c</b>	A 35% controlled entity of a person described in (a) or (b) above? <i>If "Yes" to a, b, or c, provide detail in Part VI</i>		

**Section B. Type I Supporting Organizations**

		Yes	No
<b>1</b>	Did the directors, trustees, or membership of one or more supported organizations have the power to regularly appoint or elect at least a majority of the organization's directors or trustees at all times during the tax year? <i>If "No," describe in Part VI how the supported organization(s) effectively operated, supervised, or controlled the organization's activities. If the organization had more than one supported organization, describe how the powers to appoint and/or remove directors or trustees were allocated among the supported organizations and what conditions or restrictions, if any, applied to such powers during the tax year.</i>		
<b>2</b>	Did the organization operate for the benefit of any supported organization other than the supported organization(s) that operated, supervised, or controlled the supporting organization? <i>If "Yes," explain in Part VI how providing such benefit carried out the purposes of the supported organization(s) that operated, supervised or controlled the supporting organization.</i>		

**Section C. Type II Supporting Organizations**

		Yes	No
<b>1</b>	Were a majority of the organization's directors or trustees during the tax year also a majority of the directors or trustees of each of the organization's supported organization(s)? <i>If "No," describe in Part VI how control or management of the supporting organization was vested in the same persons that controlled or managed the supported organization(s).</i>		

**Section D. All Type III Supporting Organizations**

		Yes	No
<b>1</b>	Did the organization provide to each of its supported organizations, by the last day of the fifth month of the organization's tax year, (i) a written notice describing the type and amount of support provided during the prior tax year, (ii) a copy of the Form 990 that was most recently filed as of the date of notification, and (iii) copies of the organization's governing documents in effect on the date of notification, to the extent not previously provided?		
<b>2</b>	Were any of the organization's officers, directors, or trustees either (i) appointed or elected by the supported organization (s) or (ii) serving on the governing body of a supported organization? <i>If "No," explain in Part VI how the organization maintained a close and continuous working relationship with the supported organization(s).</i>		
<b>3</b>	By reason of the relationship described in (2), did the organization's supported organizations have a significant voice in the organization's investment policies and in directing the use of the organization's income or assets at all times during the tax year? <i>If "Yes," describe in Part VI the role the organization's supported organizations played in this regard.</i>		

**Section E. Type III Functionally-Integrated Supporting Organizations**

<b>1</b>	Check the box next to the method that the organization used to satisfy the Integral Part Test during the year ( <b>see instructions</b> )		
<b>a</b>	<input type="checkbox"/> The organization satisfied the Activities Test. Complete <b>line 2</b> below.		
<b>b</b>	<input type="checkbox"/> The organization is the parent of each of its supported organizations. Complete <b>line 3</b> below.		
<b>c</b>	<input type="checkbox"/> The organization supported a governmental entity. Describe in <b>Part VI</b> how you supported a government entity (see instructions).		
<b>2</b>	Activities Test <b>Answer (a) and (b) below.</b>		
<b>a</b>	Did substantially all of the organization's activities during the tax year directly further the exempt purposes of the supported organization(s) to which the organization was responsive? <i>If "Yes," then in Part VI identify those supported organizations and explain how these activities directly furthered their exempt purposes, how the organization was responsive to those supported organizations, and how the organization determined that these activities constituted substantially all of its activities.</i>		
<b>b</b>	Did the activities described in (a) constitute activities that, but for the organization's involvement, one or more of the organization's supported organization(s) would have been engaged in? <i>If "Yes," explain in Part VI the reasons for the organization's position that its supported organization(s) would have engaged in these activities but for the organization's involvement.</i>		
<b>3</b>	Parent of Supported Organizations <b>Answer (a) and (b) below.</b>		
<b>a</b>	Did the organization have the power to regularly appoint or elect a majority of the officers, directors, or trustees of each of the supported organizations? <i>Provide details in Part VI.</i>		
<b>b</b>	Did the organization exercise a substantial degree of direction over the policies, programs and activities of each of its supported organizations? <i>If "Yes," describe in Part VI the role played by the organization in this regard.</i>		

**Part V Type III Non-Functionally Integrated 509(a)(3) Supporting Organizations**

- Check here if the organization satisfied the Integral Part Test as a qualifying trust on Nov. 20, 1970 (explain in Part VI). **See instructions.** All other Type III non-functionally integrated supporting organizations must complete Sections A through E.

<b>Section A - Adjusted Net Income</b>		(A) Prior Year	(B) Current Year (optional)
<b>1</b>	Net short-term capital gain	<b>1</b>	
<b>2</b>	Recoveries of prior-year distributions	<b>2</b>	
<b>3</b>	Other gross income (see instructions)	<b>3</b>	
<b>4</b>	Add lines 1 through 3	<b>4</b>	
<b>5</b>	Depreciation and depletion	<b>5</b>	
<b>6</b>	Portion of operating expenses paid or incurred for production or collection of gross income or for management, conservation, or maintenance of property held for production of income (see instructions)	<b>6</b>	
<b>7</b>	Other expenses (see instructions)	<b>7</b>	
<b>8</b>	<b>Adjusted Net Income</b> (subtract lines 5, 6 and 7 from line 4)	<b>8</b>	
<b>Section B - Minimum Asset Amount</b>		(A) Prior Year	(B) Current Year (optional)
<b>1</b>	Aggregate fair market value of all non-exempt-use assets (see instructions for short tax year or assets held for part of year)	<b>1</b>	
<b>a</b>	Average monthly value of securities	<b>1a</b>	
<b>b</b>	Average monthly cash balances	<b>1b</b>	
<b>c</b>	Fair market value of other non-exempt-use assets	<b>1c</b>	
<b>d</b>	<b>Total</b> (add lines 1a, 1b, and 1c)	<b>1d</b>	
<b>e</b>	<b>Discount</b> claimed for blockage or other factors (explain in detail in Part VI)		
<b>2</b>	Acquisition indebtedness applicable to non-exempt use assets	<b>2</b>	
<b>3</b>	Subtract line 2 from line 1d	<b>3</b>	
<b>4</b>	Cash deemed held for exempt use. Enter 1-1/2% of line 3 (for greater amount, see instructions)	<b>4</b>	
<b>5</b>	Net value of non-exempt-use assets (subtract line 4 from line 3)	<b>5</b>	
<b>6</b>	Multiply line 5 by .035	<b>6</b>	
<b>7</b>	Recoveries of prior-year distributions	<b>7</b>	
<b>8</b>	<b>Minimum Asset Amount</b> (add line 7 to line 6)	<b>8</b>	
<b>Section C - Distributable Amount</b>			Current Year
<b>1</b>	Adjusted net income for prior year (from Section A, line 8, Column A)	<b>1</b>	
<b>2</b>	Enter 85% of line 1	<b>2</b>	
<b>3</b>	Minimum asset amount for prior year (from Section B, line 8, Column A)	<b>3</b>	
<b>4</b>	Enter greater of line 2 or line 3	<b>4</b>	
<b>5</b>	Income tax imposed in prior year	<b>5</b>	
<b>6</b>	<b>Distributable Amount.</b> Subtract line 5 from line 4, unless subject to emergency temporary reduction (see instructions)	<b>6</b>	
<b>7</b>	<input type="checkbox"/> Check here if the current year is the organization's first as a non-functionally-integrated Type III supporting organization (see instructions)		

**Part V Type III Non-Functionally Integrated 509(a)(3) Supporting Organizations (continued)**

<b>Section D - Distributions</b>	<b>Current Year</b>
<b>1</b> Amounts paid to supported organizations to accomplish exempt purposes	
<b>2</b> Amounts paid to perform activity that directly furthers exempt purposes of supported organizations, in excess of income from activity	
<b>3</b> Administrative expenses paid to accomplish exempt purposes of supported organizations	
<b>4</b> Amounts paid to acquire exempt-use assets	
<b>5</b> Qualified set-aside amounts (prior IRS approval required)	
<b>6</b> Other distributions (describe in <b>Part VI</b> ) See instructions	
<b>7 Total annual distributions.</b> Add lines 1 through 6	
<b>8</b> Distributions to attentive supported organizations to which the organization is responsive (provide details in <b>Part VI</b> ) See instructions	
<b>9</b> Distributable amount for 2017 from Section C, line 6	
<b>10</b> Line 8 amount divided by Line 9 amount	

<b>Section E - Distribution Allocations (see instructions)</b>	<b>(i) Excess Distributions</b>	<b>(ii) Underdistributions Pre-2017</b>	<b>(iii) Distributable Amount for 2017</b>
<b>1</b> Distributable amount for 2017 from Section C, line 6			
<b>2</b> Underdistributions, if any, for years prior to 2017 (reasonable cause required-- explain in Part VI) See instructions			
<b>3</b> Excess distributions carryover, if any, to 2017			
<b>a</b>			
<b>b</b> From 2013. . . . .			
<b>c</b> From 2014. . . . .			
<b>d</b> From 2015. . . . .			
<b>e</b> From 2016. . . . .			
<b>f</b> Total of lines 3a through e			
<b>g</b> Applied to underdistributions of prior years			
<b>h</b> Applied to 2017 distributable amount			
<b>i</b> Carryover from 2012 not applied (see instructions)			
<b>j</b> Remainder Subtract lines 3g, 3h, and 3i from 3f			
<b>4</b> Distributions for 2017 from Section D, line 7			
\$			
<b>a</b> Applied to underdistributions of prior years			
<b>b</b> Applied to 2017 distributable amount			
<b>c</b> Remainder Subtract lines 4a and 4b from 4			
<b>5</b> Remaining underdistributions for years prior to 2017, if any Subtract lines 3g and 4a from line 2 If the amount is greater than zero, explain in Part VI See instructions			
<b>6</b> Remaining underdistributions for 2017 Subtract lines 3h and 4b from line 1 If the amount is greater than zero, explain in Part VI See instructions			
<b>7 Excess distributions carryover to 2018.</b> Add lines 3j and 4c			
<b>8</b> Breakdown of line 7			
<b>a</b> Excess from 2013. . . . .			
<b>b</b> Excess from 2014. . . . .			
<b>c</b> Excess from 2015. . . . .			
<b>d</b> Excess from 2016. . . . .			
<b>e</b> Excess from 2017. . . . .			

**Part VI Supplemental Information.** Provide the explanations required by Part II, line 10, Part II, line 17a or 17b, Part III, line 12, Part IV, Section A, lines 1, 2, 3b, 3c, 4b, 4c, 5a, 6, 9a, 9b, 9c, 11a, 11b, and 11c, Part IV, Section B, lines 1 and 2, Part IV, Section C, line 1, Part IV, Section D, lines 2 and 3, Part IV, Section E, lines 1c, 2a, 2b, 3a and 3b, Part V, line 1, Part V, Section B, line 1e, Part V Section D, lines 5, 6, and 8, and Part V, Section E, lines 2, 5, and 6. Also complete this part for any additional information. (See instructions)

<b>Facts And Circumstances Test</b>

**990 Schedule A, Supplemental Information**

Return Reference	Explanation
SCHEDULE A, PART II, LINE 10, EXPLANATION OF OTHER INCOME	OTHER INCOME - 2013 AMOUNT \$ 3,296 2014 AMOUNT \$ 24,773 2015 AMOUNT \$ 17,452 2016 AMOUNT \$ 566 2017 AMOUNT \$ 0

Schedule A Form 990 or 990-E 2012

**SCHEDULE C**  
(Form 990 or 990-EZ)  
  
Department of the Treasury  
Internal Revenue Service

**Political Campaign and Lobbying Activities**  
For Organizations Exempt From Income Tax Under section 501(c) and section 527  
  
▶Complete if the organization is described below. ▶Attach to Form 990 or Form 990-EZ.  
▶Information about Schedule C (Form 990 or 990-EZ) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

OMB No 1545-0047  
  
**2017**  
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**If the organization answered "Yes" on Form 990, Part IV, Line 3, or Form 990-EZ, Part V, line 46 (Political Campaign Activities), then**  
 ● Section 501(c)(3) organizations Complete Parts I-A and B Do not complete Part I-C  
 ● Section 501(c) (other than section 501(c)(3)) organizations Complete Parts I-A and C below Do not complete Part I-B  
 ● Section 527 organizations Complete Part I-A only  
**If the organization answered "Yes" on Form 990, Part IV, Line 4, or Form 990-EZ, Part VI, line 47 (Lobbying Activities), then**  
 ● Section 501(c)(3) organizations that have filed Form 5768 (election under section 501(h)) Complete Part II-A Do not complete Part II-B  
 ● Section 501(c)(3) organizations that have NOT filed Form 5768 (election under section 501(h)) Complete Part II-B Do not complete Part II-A  
**If the organization answered "Yes" on Form 990, Part IV, Line 5 (Proxy Tax) (see separate instructions) or Form 990-EZ, Part V, line 35c (Proxy Tax) (see separate instructions), then**  
 ● Section 501(c)(4), (5), or (6) organizations Complete Part III

Name of the organization OCEANA INC	<b>Employer identification number</b> 51-0401308
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**Part I-A Complete if the organization is exempt under section 501(c) or is a section 527 organization.**

- 1 Provide a description of the organization's direct and indirect political campaign activities in Part IV (see instructions for definition of "political campaign activities")
- 2 Political campaign activity expenditures (see instructions) ▶ \$ \_\_\_\_\_
- 3 Volunteer hours for political campaign activities (see instructions) \_\_\_\_\_

**Part I-B Complete if the organization is exempt under section 501(c)(3).**

- 1 Enter the amount of any excise tax incurred by the organization under section 4955 ▶ \$ \_\_\_\_\_
- 2 Enter the amount of any excise tax incurred by organization managers under section 4955 ▶ \$ \_\_\_\_\_
- 3 If the organization incurred a section 4955 tax, did it file Form 4720 for this year?  Yes  No
- 4a Was a correction made?  Yes  No
- b If "Yes," describe in Part IV

**Part I-C Complete if the organization is exempt under section 501(c), except section 501(c)(3).**

- 1 Enter the amount directly expended by the filing organization for section 527 exempt function activities ▶ \$ \_\_\_\_\_
- 2 Enter the amount of the filing organization's funds contributed to other organizations for section 527 exempt function activities ▶ \$ \_\_\_\_\_
- 3 Total exempt function expenditures Add lines 1 and 2 Enter here and on Form 1120-POL, line 17b ▶ \$ \_\_\_\_\_
- 4 Did the filing organization file **Form 1120-POL** for this year?  Yes  No
- 5 Enter the names, addresses and employer identification number (EIN) of all section 527 political organizations to which the filing organization made payments For each organization listed, enter the amount paid from the filing organization's funds Also enter the amount of political contributions received that were promptly and directly delivered to a separate political organization, such as a separate segregated fund or a political action committee (PAC) If additional space is needed, provide information in Part IV

(a) Name	(b) Address	(c) EIN	(d) Amount paid from filing organization's funds If none, enter -0-	(e) Amount of political contributions received and promptly and directly delivered to a separate political organization If none, enter -0-
1				
2				
3				
4				
5				
6				

**Part II-A Complete if the organization is exempt under section 501(c)(3) and filed Form 5768 (election under section 501(h)).**

**A** Check  if the filing organization belongs to an affiliated group (and list in Part IV each affiliated group member's name, address, EIN, expenses, and share of excess lobbying expenditures)

**B** Check  if the filing organization checked box A and "limited control" provisions apply

<b>Limits on Lobbying Expenditures</b> (The term "expenditures" means amounts paid or incurred.)		(a) Filing organization's totals	(b) Affiliated group totals
<b>1a</b>	Total lobbying expenditures to influence public opinion (grass roots lobbying)	130,278	
<b>b</b>	Total lobbying expenditures to influence a legislative body (direct lobbying)	141,158	
<b>c</b>	Total lobbying expenditures (add lines 1a and 1b)	271,436	
<b>d</b>	Other exempt purpose expenditures	37,364,156	
<b>e</b>	Total exempt purpose expenditures (add lines 1c and 1d)	37,635,592	
<b>f</b>	Lobbying nontaxable amount Enter the amount from the following table in both columns	1,000,000	
<b>If the amount on line 1e, column (a) or (b) is:</b>		<b>The lobbying nontaxable amount is:</b>	
Not over \$500,000		20% of the amount on line 1e	
Over \$500,000 but not over \$1,000,000		\$100,000 plus 15% of the excess over \$500,000	
Over \$1,000,000 but not over \$1,500,000		\$175,000 plus 10% of the excess over \$1,000,000	
Over \$1,500,000 but not over \$17,000,000		\$225,000 plus 5% of the excess over \$1,500,000	
Over \$17,000,000		\$1,000,000	
<b>g</b>	Grassroots nontaxable amount (enter 25% of line 1f)	250,000	
<b>h</b>	Subtract line 1g from line 1a If zero or less, enter -0-	0	
<b>i</b>	Subtract line 1f from line 1c If zero or less, enter -0-	0	
<b>j</b>	If there is an amount other than zero on either line 1h or line 1i, did the organization file Form 4720 reporting section 4911 tax for this year?	<input type="checkbox"/> Yes <input type="checkbox"/> No	

**4-Year Averaging Period Under section 501(h)**

(Some organizations that made a section 501(h) election do not have to complete all of the five columns below. See the separate instructions for lines 2a through 2f.)

**Lobbying Expenditures During 4-Year Averaging Period**

Calendar year (or fiscal year beginning in)	(a) 2014	(b) 2015	(c) 2016	(d) 2017	(e) Total
<b>2a</b> Lobbying nontaxable amount	1,000,000	1,000,000	1,000,000	1,000,000	4,000,000
<b>b</b> Lobbying ceiling amount (150% of line 2a, column(e))					6,000,000
<b>c</b> Total lobbying expenditures	199,471	281,792	190,211	271,436	942,910
<b>d</b> Grassroots nontaxable amount	250,000	250,000	250,000	250,000	1,000,000
<b>e</b> Grassroots ceiling amount (150% of line 2d, column (e))					1,500,000
<b>f</b> Grassroots lobbying expenditures	4,671	62,815	74,264	130,278	272,028

**Part II-B Complete if the organization is exempt under section 501(c)(3) and has NOT filed Form 5768 (election under section 501(h)).**

For each "Yes" response on lines 1a through 1i below, provide in Part IV a detailed description of the lobbying activity

	(a)		(b)
	Yes	No	Amount
<b>1</b> During the year, did the filing organization attempt to influence foreign, national, state or local legislation, including any attempt to influence public opinion on a legislative matter or referendum, through the use of			
<b>a</b> Volunteers?			
<b>b</b> Paid staff or management (include compensation in expenses reported on lines 1c through 1i)?			
<b>c</b> Media advertisements?			
<b>d</b> Mailings to members, legislators, or the public?			
<b>e</b> Publications, or published or broadcast statements?			
<b>f</b> Grants to other organizations for lobbying purposes?			
<b>g</b> Direct contact with legislators, their staffs, government officials, or a legislative body?			
<b>h</b> Rallies, demonstrations, seminars, conventions, speeches, lectures, or any similar means?			
<b>i</b> Other activities?			
<b>j</b> Total Add lines 1c through 1i			
<b>2a</b> Did the activities in line 1 cause the organization to be not described in section 501(c)(3)?			
<b>b</b> If "Yes," enter the amount of any tax incurred under section 4912			
<b>c</b> If "Yes," enter the amount of any tax incurred by organization managers under section 4912			
<b>d</b> If the filing organization incurred a section 4912 tax, did it file Form 4720 for this year?			

**Part III-A Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6).**

	Yes	No
<b>1</b> Were substantially all (90% or more) dues received nondeductible by members?	<b>1</b>	
<b>2</b> Did the organization make only in-house lobbying expenditures of \$2,000 or less?	<b>2</b>	
<b>3</b> Did the organization agree to carry over lobbying and political expenditures from the prior year?	<b>3</b>	

**Part III-B Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6) and if either (a) BOTH Part III-A, lines 1 and 2, are answered "No" OR (b) Part III-A, line 3, is answered "Yes."**

<b>1</b> Dues, assessments and similar amounts from members	<b>1</b>
<b>2</b> Section 162(e) nondeductible lobbying and political expenditures (do not include amounts of political expenses for which the section 527(f) tax was paid).	
<b>a</b> Current year	<b>2a</b>
<b>b</b> Carryover from last year	<b>2b</b>
<b>c</b> Total	<b>2c</b>
<b>3</b> Aggregate amount reported in section 6033(e)(1)(A) notices of nondeductible section 162(e) dues	<b>3</b>
<b>4</b> If notices were sent and the amount on line 2c exceeds the amount on line 3, what portion of the excess does the organization agree to carryover to the reasonable estimate of nondeductible lobbying and political expenditure next year?	<b>4</b>
<b>5</b> Taxable amount of lobbying and political expenditures (see instructions)	<b>5</b>

**Part IV Supplemental Information**

Provide the descriptions required for Part I-A, line 1, Part I-B, line 4, Part I-C, line 5, Part II-A (affiliated group list), Part II-A, lines 1 and 2 (see instructions), and Part II-B, line 1 Also, complete this part for any additional information

Return Reference	Explanation
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**SCHEDULE D**  
(Form 990)  
  
Department of the Treasury  
Internal Revenue Service

**Supplemental Financial Statements**  
**► Complete if the organization answered "Yes," on Form 990, Part IV, line 6, 7, 8, 9, 10, 11a, 11b, 11c, 11d, 11e, 11f, 12a, or 12b. Attach to Form 990.**  
**Information about Schedule D (Form 990) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).**

OMB No 1545-0047  
**2017**  
**Open to Public Inspection**

**Name of the organization**  
OCEANA INC

**Employer identification number**  
51-0401308

**Part I Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts.**  
Complete if the organization answered "Yes" on Form 990, Part IV, line 6.

	(a) Donor advised funds	(b) Funds and other accounts
<b>1</b> Total number at end of year		
<b>2</b> Aggregate value of contributions to (during year)		
<b>3</b> Aggregate value of grants from (during year)		
<b>4</b> Aggregate value at end of year		
<b>5</b> Did the organization inform all donors and donor advisors in writing that the assets held in donor advised funds are the organization's property, subject to the organization's exclusive legal control?	<input type="checkbox"/> Yes <input type="checkbox"/> No	
<b>6</b> Did the organization inform all grantees, donors, and donor advisors in writing that grant funds can be used only for charitable purposes and not for the benefit of the donor or donor advisor, or for any other purpose conferring impermissible private benefit?	<input type="checkbox"/> Yes <input type="checkbox"/> No	

**Part II Conservation Easements.** Complete if the organization answered "Yes" on Form 990, Part IV, line 7.

**1** Purpose(s) of conservation easements held by the organization (check all that apply)

Preservation of land for public use (e g , recreation or education)       Preservation of an historically important land area

Protection of natural habitat       Preservation of a certified historic structure

Preservation of open space

**2** Complete lines 2a through 2d if the organization held a qualified conservation contribution in the form of a conservation easement on the last day of the tax year

	Held at the End of the Year	
<b>a</b> Total number of conservation easements	<b>2a</b>	
<b>b</b> Total acreage restricted by conservation easements	<b>2b</b>	
<b>c</b> Number of conservation easements on a certified historic structure included in (a)	<b>2c</b>	
<b>d</b> Number of conservation easements included in (c) acquired after 8/17/06, and not on a historic structure listed in the National Register	<b>2d</b>	

**3** Number of conservation easements modified, transferred, released, extinguished, or terminated by the organization during the tax year ► \_\_\_\_\_

**4** Number of states where property subject to conservation easement is located ► \_\_\_\_\_

**5** Does the organization have a written policy regarding the periodic monitoring, inspection, handling of violations, and enforcement of the conservation easements it holds?  Yes  No

**6** Staff and volunteer hours devoted to monitoring, inspecting, handling of violations, and enforcing conservation easements during the year ► \_\_\_\_\_

**7** Amount of expenses incurred in monitoring, inspecting, handling of violations, and enforcing conservation easements during the year ► \$ \_\_\_\_\_

**8** Does each conservation easement reported on line 2(d) above satisfy the requirements of section 170(h)(4)(B)(i) and section 170(h)(4)(B)(ii)?  Yes  No

**9** In Part XIII, describe how the organization reports conservation easements in its revenue and expense statement, and balance sheet, and include, if applicable, the text of the footnote to the organization's financial statements that describes the organization's accounting for conservation easements

**Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets.**  
Complete if the organization answered "Yes" on Form 990, Part IV, line 8.

**1a** If the organization elected, as permitted under SFAS 116 (ASC 958), not to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide, in Part XIII, the text of the footnote to its financial statements that describes these items

**b** If the organization elected, as permitted under SFAS 116 (ASC 958), to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide the following amounts relating to these items

**(i)** Revenue included on Form 990, Part VIII, line 1 ► \$ \_\_\_\_\_

**(ii)** Assets included in Form 990, Part X ► \$ \_\_\_\_\_

**2** If the organization received or held works of art, historical treasures, or other similar assets for financial gain, provide the following amounts required to be reported under SFAS 116 (ASC 958) relating to these items

**a** Revenue included on Form 990, Part VIII, line 1 ► \$ \_\_\_\_\_

**b** Assets included in Form 990, Part X ► \$ \_\_\_\_\_

**Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets** *(continued)*

- 3** Using the organization's acquisition, accession, and other records, check any of the following that are a significant use of its collection items (check all that apply)
- a**  Public exhibition
  - b**  Scholarly research
  - c**  Preservation for future generations
  - d**  Loan or exchange programs
  - e**  Other
- 4** Provide a description of the organization's collections and explain how they further the organization's exempt purpose in Part XIII
- 5** During the year, did the organization solicit or receive donations of art, historical treasures or other similar assets to be sold to raise funds rather than to be maintained as part of the organization's collection?  Yes  No

**Part IV Escrow and Custodial Arrangements.**

Complete if the organization answered "Yes" on Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21.

- 1a** Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X?  Yes  No
- b** If "Yes," explain the arrangement in Part XIII and complete the following table
- |  | Amount |
|--|--------|
| <b>c</b> Beginning balance             |        |
| <b>d</b> Additions during the year     |        |
| <b>e</b> Distributions during the year |        |
| <b>f</b> Ending balance                |        |
- 2a** Did the organization include an amount on Form 990, Part X, line 21, for escrow or custodial account liability?  Yes  No
- b** If "Yes," explain the arrangement in Part XIII Check here if the explanation has been provided in Part XIII . . . . .

**Part V Endowment Funds.** Complete if the organization answered "Yes" on Form 990, Part IV, line 10.

	(a) Current year	(b) Prior year	(c) Two years back	(d) Three years back	(e) Four years back
<b>1a</b> Beginning of year balance . . . . .					
<b>b</b> Contributions . . . . .					
<b>c</b> Net investment earnings, gains, and losses					
<b>d</b> Grants or scholarships . . . . .					
<b>e</b> Other expenditures for facilities and programs . . . . .					
<b>f</b> Administrative expenses . . . . .					
<b>g</b> End of year balance . . . . .					

- 2** Provide the estimated percentage of the current year end balance (line 1g, column (a)) held as
- a** Board designated or quasi-endowment ▶
  - b** Permanent endowment ▶
  - c** Temporarily restricted endowment ▶
- The percentages on lines 2a, 2b, and 2c should equal 100%
- 3a** Are there endowment funds not in the possession of the organization that are held and administered for the organization by
- |  |            |           |
|--|------------|-----------|
| <b>(i)</b> unrelated organizations . . . . .   | <b>Yes</b> | <b>No</b> |
| <b>3a(i)</b>   |            |           |
| <b>(ii)</b> related organizations . . . . .  |            |           |
| <b>3a(ii)</b>  |            |           |
| <b>b</b> If "Yes" on 3a(ii), are the related organizations listed as required on Schedule R? . . . . . |            |           |
| <b>3b</b>  |            |           |
- 4** Describe in Part XIII the intended uses of the organization's endowment funds

**Part VI Land, Buildings, and Equipment.**

Complete if the organization answered "Yes" on Form 990, Part IV, line 11a. See Form 990, Part X, line 10.

Description of property	(a) Cost or other basis (investment)	(b) Cost or other basis (other)	(c) Accumulated depreciation	(d) Book value
<b>1a</b> Land . . . . .				
<b>b</b> Buildings . . . . .				
<b>c</b> Leasehold improvements		2,488,277	1,910,716	577,561
<b>d</b> Equipment . . . . .		2,844,697	1,897,650	947,047
<b>e</b> Other . . . . .		1,658,814	1,576,187	82,627
<b>Total.</b> Add lines 1a through 1e (Column (d) must equal Form 990, Part X, column (B), line 10(c)) . . . ▶				1,607,235

**Part VII Investments—Other Securities.** Complete if the organization answered "Yes" on Form 990, Part IV, line 11b. See Form 990, Part X, line 12.

(a) Description of security or category (including name of security)	(b) Book value	(c) Method of valuation Cost or end-of-year market value
(1) Financial derivatives . . . . .		
(2) Closely-held equity interests . . . . .		
(3) Other _____		
(A)		
(B)		
(C)		
(D)		
(E)		
(F)		
(G)		
(H)		
<b>Total.</b> (Column (b) must equal Form 990, Part X, col (B) line 12 )	▶	

**Part VIII Investments—Program Related.** Complete if the organization answered "Yes" on Form 990, Part IV, line 11c. See Form 990, Part X, line 13.

(a) Description of investment	(b) Book value	(c) Method of valuation Cost or end-of-year market value
(1)		
(2)		
(3)		
(4)		
(5)		
(6)		
(7)		
(8)		
(9)		
<b>Total.</b> (Column (b) must equal Form 990, Part X, col (B) line 13 )	▶	

**Part IX Other Assets.** Complete if the organization answered "Yes" on Form 990, Part IV, line 11d. See Form 990, Part X, line 15

(a) Description	(b) Book value
(1)	
(2)	
(3)	
(4)	
(5)	
(6)	
(7)	
(8)	
(9)	
<b>Total.</b> (Column (b) must equal Form 990, Part X, col (B) line 15 )	▶

**Part X Other Liabilities.** Complete if the organization answered "Yes" on Form 990, Part IV, line 11e or 11f. See Form 990, Part X, line 25.

1. (a) Description of liability	(b) Book value
(1) Federal income taxes	
DEFERRED RENT AND LEASE INCENTIVE	122,867
DUE TO AFFILIATE	1,008
(3)	
(4)	
(5)	
(6)	
(7)	
(8)	
(9)	
<b>Total.</b> (Column (b) must equal Form 990, Part X, col (B) line 25 )	▶ 123,875

**2.** Liability for uncertain tax positions In Part XIII, provide the text of the footnote to the organization's financial statements that reports the organization's liability for uncertain tax positions under FIN 48 (ASC 740) Check here if the text of the footnote has been provided in Part XIII

**Part XI Reconciliation of Revenue per Audited Financial Statements With Revenue per Return**

Complete if the organization answered 'Yes' on Form 990, Part IV, line 12a.

<b>1</b>	Total revenue, gains, and other support per audited financial statements . . . . .	<b>1</b>	50,062,096
<b>2</b>	Amounts included on line 1 but not on Form 990, Part VIII, line 12		
<b>a</b>	Net unrealized gains (losses) on investments . . . . .	<b>2a</b>	50,733
<b>b</b>	Donated services and use of facilities . . . . .	<b>2b</b>	1,547,765
<b>c</b>	Recoveries of prior year grants . . . . .	<b>2c</b>	
<b>d</b>	Other (Describe in Part XIII ) . . . . .	<b>2d</b>	183,869
<b>e</b>	Add lines <b>2a</b> through <b>2d</b> . . . . .	<b>2e</b>	1,782,367
<b>3</b>	Subtract line <b>2e</b> from line <b>1</b> . . . . .	<b>3</b>	48,279,729
<b>4</b>	Amounts included on Form 990, Part VIII, line 12, but not on line 1		
<b>a</b>	Investment expenses not included on Form 990, Part VIII, line 7b . . . . .	<b>4a</b>	
<b>b</b>	Other (Describe in Part XIII ) . . . . .	<b>4b</b>	
<b>c</b>	Add lines <b>4a</b> and <b>4b</b> . . . . .	<b>4c</b>	0
<b>5</b>	Total revenue Add lines <b>3</b> and <b>4c</b> . (This must equal Form 990, Part I, line 12 ) . . . . .	<b>5</b>	48,279,729

**Part XII Reconciliation of Expenses per Audited Financial Statements With Expenses per Return.**

Complete if the organization answered 'Yes' on Form 990, Part IV, line 12a.

<b>1</b>	Total expenses and losses per audited financial statements . . . . .	<b>1</b>	39,612,215
<b>2</b>	Amounts included on line 1 but not on Form 990, Part IX, line 25		
<b>a</b>	Donated services and use of facilities . . . . .	<b>2a</b>	1,547,765
<b>b</b>	Prior year adjustments . . . . .	<b>2b</b>	
<b>c</b>	Other losses . . . . .	<b>2c</b>	
<b>d</b>	Other (Describe in Part XIII ) . . . . .	<b>2d</b>	183,869
<b>e</b>	Add lines <b>2a</b> through <b>2d</b> . . . . .	<b>2e</b>	1,731,634
<b>3</b>	Subtract line <b>2e</b> from line <b>1</b> . . . . .	<b>3</b>	37,880,581
<b>4</b>	Amounts included on Form 990, Part IX, line 25, but not on line 1:		
<b>a</b>	Investment expenses not included on Form 990, Part VIII, line 7b . . . . .	<b>4a</b>	
<b>b</b>	Other (Describe in Part XIII ) . . . . .	<b>4b</b>	
<b>c</b>	Add lines <b>4a</b> and <b>4b</b> . . . . .	<b>4c</b>	0
<b>5</b>	Total expenses Add lines <b>3</b> and <b>4c</b> . (This must equal Form 990, Part I, line 18 ) . . . . .	<b>5</b>	37,880,581

**Part XIII Supplemental Information**

Provide the descriptions required for Part II, lines 3, 5, and 9, Part III, lines 1a and 4, Part IV, lines 1b and 2b, Part V, line 4, Part X, line 2, Part XI, lines 2d and 4b, and Part XII, lines 2d and 4b Also complete this part to provide any additional information

Return Reference	Explanation
See Additional Data Table	

**Part XIII** Supplemental Information *(continued)*

Return Reference	Explanation

## Additional Data

**Software ID:**

**Software Version:**

**EIN:** 51-0401308

**Name:** OCEANA INC

## Supplemental Information

Return Reference	Explanation
PART X, LINE 2	OCEANA PERFORMED AN EVALUATION OF UNCERTAINTY IN INCOME TAXES FOR THE YEAR ENDED DECEMBER 31, 2017, AND DETERMINED THAT THERE WERE NO MATTERS THAT WOULD REQUIRE RECOGNITION IN THE CONSOLIDATED FINANCIAL STATEMENTS OR THAT MAY HAVE ANY EFFECT ON ITS TAX- EXEMPT STATUS

# Supplemental Information

Return Reference	Explanation
PART XI, LINE 2D - OTHER ADJUSTMENTS	SPECIAL EVENT EXPENSES 183,869

# Supplemental Information

Return Reference	Explanation
PART XII, LINE 2D - OTHER ADJUSTMENTS	SPECIAL EVENT EXPENSES 183,869

**SCHEDULE F  
(Form 990)**

Department of the Treasury  
Internal Revenue Service

# Statement of Activities Outside the United States

▶ Complete if the organization answered "Yes" to Form 990, Part IV, line 14b, 15, or 16.  
▶ Attach to Form 990.

▶ Information about Schedule F (Form 990) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

OMB No 1545-0047

# 2017

**Open to Public Inspection**

Name of the organization  
OCEANA INC

**Employer identification number**  
51-0401308

**Part I** **General Information on Activities Outside the United States.** Complete if the organization answered "Yes" to Form 990, Part IV, line 14b.

- For grantmakers.** Does the organization maintain records to substantiate the amount of its grants and other assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance?  Yes  No
- For grantmakers.** Describe in Part V the organization's procedures for monitoring the use of its grants and other assistance outside the United States
- Activities per Region (The following Part I, line 3 table can be duplicated if additional space is needed )

(a) Region	(b) Number of offices in the region	(c) Number of employees, agents, and independent contractors in region	(d) Activities conducted in region (by type) (e.g., fundraising, program services, investments, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for and investments in region
( 1) See Add'l Data					
( 2)					
( 3)					
( 4)					
( 5)					
<b>3a</b> Sub-total	10	110			13,866,020
<b>b</b> Total from continuation sheets to Part I					14,107
<b>c</b> Totals (add lines 3a and 3b)	10	110			13,880,127

**Part II Grants and Other Assistance to Organizations or Entities Outside the United States.** Complete if the organization answered "Yes" to Form 990, Part IV, line 15, for any recipient who received more than \$5,000. Part II can be duplicated if additional space is needed.

<b>1</b>	<b>(a)</b> Name of organization	<b>(b)</b> IRS code section and EIN (if applicable)	<b>(c)</b> Region	<b>(d)</b> Purpose of grant	<b>(e)</b> Amount of cash grant	<b>(f)</b> Manner of cash disbursement	<b>(g)</b> Amount of non-cash assistance	<b>(h)</b> Description of non-cash assistance	<b>(i)</b> Method of valuation (book, FMV, appraisal, other)
<b>( 1 )</b>			EAST ASIA AND THE PACIFIC	TO SUPPORT AN INVESTIGATION INTO SEAFOOD FRAUD IN JAPAN	8,400	CHECK			
<b>( 2 )</b>			SOUTH AMERICA	TO CONTRIBUTE TO THE SUSTAINABLE DEVELOPMENT OF JUAN FERNANDEZ ISLAND AND TO CREATE A CLOSER BOND BETWEEN THE COMMUNITY AND THE SEA	0		5,707	WILDERNESS SYSTEMS TSUNAMI 140, HARMONY SEA PASSAGE PADDLE AND NRS CATALINA SKIRT	COST
<b>( 3 )</b>			SOUTH ASIA	TO CARRY OUT THE RESEARCH AND SCIENTIFIC OBJECTIVE SET FORTH IN THE PEW MARINE FELLOWSHIP PROJECT	50,000	WIRE	0		
<b>( 4 )</b>			NORTH AMERICA - CANADA AND MEXICO, BUT NOT THE UNITED STATES	TO SUPPORT OCEANA CANADA'S MISSION TO RESTORE CANADIAN OCEANS	994,624	WIRE			

2 Enter total number of recipient organizations listed above that are recognized as charities by the foreign country, recognized as tax-exempt by the IRS, or for which the grantee or counsel has provided a section 501(c)(3) equivalency letter . . . . . **▶** 1

3 Enter total number of other organizations or entities . . . . . **▶** 3

**Part III** **Grants and Other Assistance to Individuals Outside the United States.** Complete if the organization answered "Yes" to Form 990, Part IV, line 16.

Part III can be duplicated if additional space is needed.

<b>(a)</b> Type of grant or assistance	<b>(b)</b> Region	<b>(c)</b> Number of recipients	<b>(d)</b> Amount of cash grant	<b>(e)</b> Manner of cash disbursement	<b>(f)</b> Amount of non-cash assistance	<b>(g)</b> Description of non-cash assistance	<b>(h)</b> Method of valuation (book, FMV, appraisal, other)
( 1 )							
( 2 )							
( 3 )							
( 4 )							
( 5 )							
( 6 )							
( 7 )							
( 8 )							
( 9 )							
( 10 )							
( 11 )							
( 12 )							
( 13 )							
( 14 )							
( 15 )							
( 16 )							
( 17 )							
( 18 )							

**Part IV Foreign Forms**

- 1 Was the organization a U S transferor of property to a foreign corporation during the tax year? *If "Yes," the organization may be required to file Form 926, Return by a U S Transferor of Property to a Foreign Corporation (see Instructions for Form 926)*  Yes  No
- 2 Did the organization have an interest in a foreign trust during the tax year? *If "Yes," the organization may be required to separately file Form 3520, Annual Return to Report Transactions with Foreign Trusts and Receipt of Certain Foreign Gifts, and/or Form 3520-A, Annual Information Return of Foreign Trust With a U S Owner (see Instructions for Forms 3520 and 3520-A, do not file with Form 990)*  Yes  No
- 3 Did the organization have an ownership interest in a foreign corporation during the tax year? *If "Yes," the organization may be required to file Form 5471, Information Return of U S Persons with Respect to Certain Foreign Corporations (see Instructions for Form 5471)*  Yes  No
- 4 Was the organization a direct or indirect shareholder of a passive foreign investment company or a qualified electing fund during the tax year? *If "Yes," the organization may be required to file Form 8621, Information Return by a Shareholder of a Passive Foreign Investment Company or Qualified Electing Fund (see Instructions for Form 8621)*  Yes  No
- 5 Did the organization have an ownership interest in a foreign partnership during the tax year? *If "Yes," the organization may be required to file Form 8865, Return of U S Persons with Respect to Certain Foreign Partnerships (see Instructions for Form 8865)*  Yes  No
- 6 Did the organization have any operations in or related to any boycotting countries during the tax year? *If "Yes," the organization may be required to separately file Form 5713, International Boycott Report (see Instructions for Form 5713, do not file with Form 990)*  Yes  No

**Part V Supplemental Information**

Provide the information required by Part I, line 2 (monitoring of funds); Part I, line 3, column (f) (accounting method; amounts of investments vs. expenditures per region); Part II, line 1 (accounting method); Part III (accounting method); and Part III, column (c) (estimated number of recipients), as applicable. Also complete this part to provide any additional information (see instructions).

Return Reference	Explanation
PART I, LINE 2	OCEANA INFREQUENTLY MAKES GRANTS TO FOREIGN ORGANIZATIONS OR INDIVIDUALS. HOWEVER, ON OCCASION OCEANA WILL MAKE A GRANT IN FURTHERANCE OF ITS MISSION. IN DOING SO, OCEANA MONITORS THE USE OF THE GRANT IN A MANNER APPROPRIATE UNDER THE CIRCUMSTANCES, INCLUDING THE NATURE OF THE GRANTEE AND THE PURPOSE OF THE GRANT.

<b>Return Reference</b>	<b>Explanation</b>
PART I, LINE 3	OCEANA REPORTED THE EXPENDITURES BASED ON THE ACCOUNTING METHOD USED IN ITS AUDITED FINANCIAL STATEMENTS WHICH IS ON AN ACCRUAL BASIS

## Additional Data

**Software ID:**

**Software Version:**

**EIN:** 51-0401308

**Name:** OCEANA INC

### Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
EUROPE (INCLUDING ICELAND & GREENLAND)	3	41	PROGRAM SERVICES	MARINE SCIENCE, POLICY, AND COMMUNICATIONS	5,739,235
SOUTH AMERICA	3	38	PROGRAM SERVICES	MARINE SCIENCE, POLICY AND COMMUNICATIONS	4,397,108

**Form 990 Schedule F Part I - Activities Outside The United States**

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
SOUTH ASIA	1	15	PROGRAM SERVICES	MARINE SCIENCE, POLICY AND COMMUNICATIONS	1,415,986
NORTH AMERICA - CANADA AND MEXICO, BUT NOT THE UNITED STATES	0	0	GRANTS TO RECIPIENTS LOCATED IN REGION		994,624

**Form 990 Schedule F Part I - Activities Outside The United States**

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
CENTRAL AMERICA AND THE CARIBBEAN	1	6	PROGRAM SERVICES	MARINE SCIENCE, POLICY AND COMMUNICATIONS	626,681
EUROPE (INCLUDING ICELAND & GREENLAND)	0	0	FUNDRAISING		412,357

**Form 990 Schedule F Part I - Activities Outside The United States**

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
NORTH AMERICA - CANADA AND MEXICO, BUT NOT THE UNITED STATES	2	10	PROGRAM SERVICES	MARINE SCIENCE, POLICY AND COMMUNICATIONS	230,029
SOUTH ASIA	0	0	GRANTS TO RECIPIENTS LOCATED IN REGION		50,000

**Form 990 Schedule F Part I - Activities Outside The United States**

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
EAST ASIA AND THE PACIFIC	0	0	GRANTS TO RECIPIENTS LOCATED IN REGION		8,400
SOUTH AMERICA	0	0	GRANTS TO RECIPIENTS LOCATED IN REGION		5,707

**SCHEDULE G**  
**(Form 990 or 990-EZ)**

Department of the Treasury  
Internal Revenue Service

## Supplemental Information Regarding Fundraising or Gaming Activities

Complete if the organization answered "Yes" on Form 990, Part IV, lines 17, 18, or 19, or if the organization entered more than \$15,000 on Form 990-EZ, line 6a  
▶ Attach to Form 990 or Form 990-EZ.

▶ Information about Schedule G (Form 990 or 990-EZ) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

OMB No 1545-0047

# 2017

**Open to Public  
Inspection**

Name of the organization  
OCEANA INC

**Employer identification number**  
51-0401308

**Part I Fundraising Activities.** Complete if the organization answered "Yes" on Form 990, Part IV, line 17. Form 990-EZ filers are not required to complete this part.

- 1** Indicate whether the organization raised funds through any of the following activities. Check all that apply.
- |   |   |
|---|---|
| <p><b>a</b> <input checked="" type="checkbox"/> Mail solicitations</p> <p><b>b</b> <input checked="" type="checkbox"/> Internet and email solicitations</p> <p><b>c</b> <input checked="" type="checkbox"/> Phone solicitations</p> <p><b>d</b> <input checked="" type="checkbox"/> In-person solicitations</p> | <p><b>e</b> <input checked="" type="checkbox"/> Solicitation of non-government grants</p> <p><b>f</b> <input type="checkbox"/> Solicitation of government grants</p> <p><b>g</b> <input checked="" type="checkbox"/> Special fundraising events</p> |
|---|---|
- 2a** Did the organization have a written or oral agreement with any individual (including officers, directors, trustees or key employees listed in Form 990, Part VII) or entity in connection with professional fundraising services?  Yes  No
- b** If "Yes," list the ten highest paid individuals or entities (fundraisers) pursuant to agreements under which the fundraiser is to be compensated at least \$5,000 by the organization

(i) Name and address of individual or entity (fundraiser)	(ii) Activity	(iii) Did fundraiser have custody or control of contributions?		(iv) Gross receipts from activity	(v) Amount paid to (or retained by) fundraiser listed in col (i)	(vi) Amount paid to (or retained by) organization
		Yes	No			
1 M R STRATEGIC SERVICES INC 1901 L STREET NW SUITE 800 WASHINGTON, DC 20036	FUNDRAISING COUNSEL		No	543,773	113,010	430,763
2 CHARITY BUZZ 437 FIFTH AVENUE 11TH FLOOR NEW YORK, NY 10016	PROFESSIONAL SOLICITOR/FUNDRAISER	Yes		151,000	52,720	98,280
3 THE HOLMAN GROUP LLC 1854 NORTH DOHENY DRIVE WEST HOLLYWOOD, CA 90069	FUNDRAISING COUNSEL		No	35,000	36,600	-1,600
4 DONOR SERVICES GROUP LLC 6715 WEST SUNSET BOULEVARD LOS ANGELES, CA 90028	PROFESSIONAL SOLICITOR		No	5,001	24,909	-19,908
5						
6						
7						
8						
9						
10						
<b>Total</b>				734,774	227,239	507,535

**3** List all states in which the organization is registered or licensed to solicit contributions or has been notified it is exempt from registration or licensing

AL, AK, AZ, AR, CA, CO, CT, DE, FL, GA, HI, ID, IL, IN, IA, KS, KY, LA, ME, MD, MA, MI, MN, MS, MO, MT, NE, NV, NH, NJ, NM, NY, NC, ND, OH, OK, OR, PA, RI, SC, SD, TN, TX, UT, VT, VA, WA, WV, WI, WY, DC

**Part II Fundraising Events.** Complete if the organization answered "Yes" on Form 990, Part IV, line 18, or reported more than \$15,000 of fundraising event contributions and gross income on Form 990-EZ, lines 1 and 6b. List events with gross receipts greater than \$5,000.

Revenue		(a) Event #1	(b) Event #2	(c) Other events	(d)
		<b>SEACHANGE</b> (event type)	<b>NEW YORK CITY BENEFIT</b> (event type)	<b>1</b> (total number)	Total events (add col (a) through col (c))
<b>1</b>	Gross receipts . . . . .	1,272,868	1,131,855	826,066	3,230,789
<b>2</b>	Less Contributions . . . . .	1,218,580	1,081,870	778,368	3,078,818
<b>3</b>	Gross income (line 1 minus line 2) . . . . .	54,288	49,985	47,698	151,971
Direct Expenses	<b>4</b> Cash prizes . . . . .				
	<b>5</b> Noncash prizes . . . . .				
	<b>6</b> Rent/facility costs . . . . .		7,500		7,500
	<b>7</b> Food and beverages . . . . .	67,858	64,900	18,217	150,975
	<b>8</b> Entertainment . . . . .	7,750			7,750
	<b>9</b> Other direct expenses . . . . .	7,766		9,878	17,644
	<b>10</b> Direct expense summary Add lines 4 through 9 in column (d) . . . . . ▶				183,869
<b>11</b> Net income summary Subtract line 10 from line 3, column (d) . . . . . ▶				-31,898	

**Part III Gaming.** Complete if the organization answered "Yes" on Form 990, Part IV, line 19, or reported more than \$15,000 on Form 990-EZ, line 6a.

Revenue		(a) Bingo	(b) Pull tabs/Instant bingo/progressive bingo	(c) Other gaming	(d) Total gaming (add col (a) through col (c))
		<b>1</b>	Gross revenue . . . . .		
Direct Expenses	<b>2</b> Cash prizes . . . . .				
	<b>3</b> Noncash prizes . . . . .				
	<b>4</b> Rent/facility costs . . . . .				
	<b>5</b> Other direct expenses . . . . .				
	<b>6</b> Volunteer labor . . . . .	<input type="checkbox"/> Yes _____% <input type="checkbox"/> No	<input type="checkbox"/> Yes _____% <input type="checkbox"/> No	<input type="checkbox"/> Yes _____% <input type="checkbox"/> No	
<b>7</b>	Direct expense summary Add lines 2 through 5 in column (d) . . . . . ▶				
<b>8</b>	Net gaming income summary Subtract line 7 from line 1, column (d) . . . . . ▶				

**9** Enter the state(s) in which the organization conducts gaming activities \_\_\_\_\_

**a** Is the organization licensed to conduct gaming activities in each of these states?  Yes  No

**b** If "No," explain \_\_\_\_\_

-----

**10a** Were any of the organization's gaming licenses revoked, suspended or terminated during the tax year?  Yes  No

**b** If "Yes," explain \_\_\_\_\_

-----

- 11** Does the organization conduct gaming activities with nonmembers?  Yes  No
- 12** Is the organization a grantor, beneficiary or trustee of a trust or a member of a partnership or other entity formed to administer charitable gaming?  Yes  No
- 13** Indicate the percentage of gaming activity conducted in
- |            |  |   |
|------------|--|---|
| <b>13a</b> |  | % |
| <b>13b</b> |  | % |
- a** The organization's facility
- b** An outside facility
- 14** Enter the name and address of the person who prepares the organization's gaming/special events books and records
- Name ▶ .....
- Address ▶ .....
- 15a** Does the organization have a contract with a third party from whom the organization receives gaming revenue?  Yes  No
- b** If "Yes," enter the amount of gaming revenue received by the organization ▶ \$ \_\_\_\_\_ and the amount of gaming revenue retained by the third party ▶ \$ \_\_\_\_\_
- c** If "Yes," enter name and address of the third party
- Name ▶ .....
- Address ▶ .....
- 16** Gaming manager information
- Name ▶ .....
- Gaming manager compensation ▶ \$ .....
- Description of services provided ▶ .....
- Director/officer       Employee       Independent contractor
- 17** Mandatory distributions
- a** Is the organization required under state law to make charitable distributions from the gaming proceeds to retain the state gaming license?  Yes  No
- b** Enter the amount of distributions required under state law distributed to other exempt organizations or spent in the organization's own exempt activities during the tax year ▶ \$ \_\_\_\_\_

**Part IV Supplemental Information.** Provide the explanations required by Part I, line 2b, columns (iii) and (v); and Part III, lines 9, 9b, 10b, 15b, 15c, 16, and 17b, as applicable. Also provide any additional information (see instructions).

Return Reference	Explanation
PART I, LINE 2B, COLUMN III	CHARITY BUZZ COLLECTED AND PROCESSED THE WINNING AUCTION BID PAYMENTS FOR OCEANA'S FUNDRAISING EVENT, SEACHANGE

Schedule I (Form 990)

Grants and Other Assistance to Organizations, Governments and Individuals in the United States

OMB No 1545-0047

2017

Open to Public Inspection

Department of the Treasury Internal Revenue Service Name of the organization OCEANA INC

Complete if the organization answered "Yes," on Form 990, Part IV, line 21 or 22. Attach to Form 990. Information about Schedule I (Form 990) and its instructions is at www.irs.gov/form990.

Employer identification number 51-0401308

Part I General Information on Grants and Assistance

- 1 Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance?
2 Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States

Part II Grants and Other Assistance to Domestic Organizations and Domestic Governments. Complete if the organization answered "Yes" on Form 990, Part IV, line 21, for any recipient that received more than \$5,000. Part II can be duplicated if additional space is needed

Table with 8 columns: (a) Name and address of organization or government, (b) EIN, (c) IRC section (if applicable), (d) Amount of cash grant, (e) Amount of non-cash assistance, (f) Method of valuation (book, FMV, appraisal, other), (g) Description of non-cash assistance, (h) Purpose of grant or assistance. Rows 1-12.

2 Enter total number of section 501(c)(3) and government organizations listed in the line 1 table. 4
3 Enter total number of other organizations listed in the line 1 table. 1

**Part III Grants and Other Assistance to Domestic Individuals.** Complete if the organization answered "Yes" on Form 990, Part IV, line 22

Part III can be duplicated if additional space is needed

(a) Type of grant or assistance	(b) Number of recipients	(c) Amount of cash grant	(d) Amount of noncash assistance	(e) Method of valuation (book, FMV, appraisal, other)	(f) Description of noncash assistance
(1)					
(2)					
(3)					
(4)					
(5)					
(6)					
(7)					

**Part IV Supplemental Information.** Provide the information required in Part I, line 2; Part III, column (b); and any other additional information.

Return Reference	Explanation
PART I, LINE 2	OCEANA INFREQUENTLY MAKES GRANTS TO ORGANIZATIONS OR INDIVIDUALS HOWEVER, ON OCCASION OCEANA WILL MAKE A GRANT IN FURTHERANCE OF ITS MISSION IN DOING SO, OCEANA MONITORS THE USE OF THE GRANT IN A MANNER APPROPRIATE UNDER THE CIRCUMSTANCES, INCLUDING THE NATURE OF THE GRANTEE AND THE PURPOSE OF THE GRANT

**Additional Data**

**Software ID:**  
**Software Version:**  
**EIN:** 51-0401308  
**Name:** OCEANA INC

**Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.**

<b>(a)</b> Name and address of organization or government	<b>(b)</b> EIN	<b>(c)</b> IRC section if applicable	<b>(d)</b> Amount of cash grant	<b>(e)</b> Amount of non-cash assistance	<b>(f)</b> Method of valuation (book, FMV, appraisal, other)	<b>(g)</b> Description of non-cash assistance	<b>(h)</b> Purpose of grant or assistance
SKYTRUTH 213 WEST WASHINGTON STREET WV SHEPHERDSTOWN, WV 254433283	54-2059475	501(C)(3)	657,158				DEVELOPMENT PARTNERSHIP TO CREATE GLOBAL FISHING WATCH SOFTWARE THAT WILL ENABLE ITS USERS TO TRACK GLOBAL COMMERCIAL FISHING ACTIVITY OVER TIME THROUGH A HEATMAP, VIEW INDIVIDUAL VESSEL TRACKS, DOWNLOAD SUMMARY DATA, VIEW FISHERIES AND OTHER DATA OVERLAYS, AND MORE
GLOBAL FISHING WATCH INC 1025 CONNECTICUT AVENUE NW 200 WASHINGTON, DC 20036	81-5461345	501(C)(3)	3,041,015				TO OPERATE AND FURTHER DEVELOP THE GLOBAL FISHING WATCH WEB PLATFORM

**Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.**

<b>(a)</b> Name and address of organization or government	<b>(b)</b> EIN	<b>(c)</b> IRC section if applicable	<b>(d)</b> Amount of cash grant	<b>(e)</b> Amount of non-cash assistance	<b>(f)</b> Method of valuation (book, FMV, appraisal, other)	<b>(g)</b> Description of non-cash assistance	<b>(h)</b> Purpose of grant or assistance
SURF INDUSTRY MANUFACTURERS ASSOCIATION (SIMA) 8 ARGONAUT SUITE 170 ALISO VIEJO, CA 92656	33-0385201	501(C)(6)	30,000				TO HELP LOCAL ECOLOGICAL AND ENVIRONMENTAL ORGANIZATIONS WHOSE EFFORTS ARE FOCUSED ON ENHANCING THE OCEANIC ENVIRONMENT
GREENFAITH 101 S 3RD AVENUE UNIT 12 HIGHLAND PARK, NJ 08904	22-3452273	501(C)(3)	10,000				TO PROMOTE GOOD CITIZENSHIP

**Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.**

<b>(a)</b> Name and address of organization or government	<b>(b)</b> EIN	<b>(c)</b> IRC section if applicable	<b>(d)</b> Amount of cash grant	<b>(e)</b> Amount of non-cash assistance	<b>(f)</b> Method of valuation (book, FMV, appraisal, other)	<b>(g)</b> Description of non-cash assistance	<b>(h)</b> Purpose of grant or assistance
WALDEN WOODS PROJECT 44 BAKER FARM ROAD LINCOLN, MA 01773	95-4292658	501(C)(3)	200,000				TO SUPPORT WALDEN WOODS PROJECT WORK OF PRESERVING THE LAND, LITERATURE AND LEGACY OF HENRY DAVID THOREAU

**Schedule J**  
**(Form 990)**

**Compensation Information**

OMB No 1545-0047

**For certain Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees**  
▶ **Complete if the organization answered "Yes" on Form 990, Part IV, line 23.**  
▶ **Attach to Form 990.**  
▶ **Information about Schedule J (Form 990) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).**

**2017**

**Open to Public Inspection**

Department of the Treasury  
Internal Revenue Service

Name of the organization  
OCEANA INC

Employer identification number  
51-0401308

**Part I Questions Regarding Compensation**

	Yes	No								
<p><b>1a</b> Check the appropriate box(es) if the organization provided any of the following to or for a person listed on Form 990, Part VII, Section A, line 1a Complete Part III to provide any relevant information regarding these items</p> <table border="0"> <tr> <td><input type="checkbox"/> First-class or charter travel</td> <td><input type="checkbox"/> Housing allowance or residence for personal use</td> </tr> <tr> <td><input type="checkbox"/> Travel for companions</td> <td><input type="checkbox"/> Payments for business use of personal residence</td> </tr> <tr> <td><input type="checkbox"/> Tax indemnification and gross-up payments</td> <td><input type="checkbox"/> Health or social club dues or initiation fees</td> </tr> <tr> <td><input type="checkbox"/> Discretionary spending account</td> <td><input type="checkbox"/> Personal services (e.g., maid, chauffeur, chef)</td> </tr> </table>	<input type="checkbox"/> First-class or charter travel	<input type="checkbox"/> Housing allowance or residence for personal use	<input type="checkbox"/> Travel for companions	<input type="checkbox"/> Payments for business use of personal residence	<input type="checkbox"/> Tax indemnification and gross-up payments	<input type="checkbox"/> Health or social club dues or initiation fees	<input type="checkbox"/> Discretionary spending account	<input type="checkbox"/> Personal services (e.g., maid, chauffeur, chef)		
<input type="checkbox"/> First-class or charter travel	<input type="checkbox"/> Housing allowance or residence for personal use									
<input type="checkbox"/> Travel for companions	<input type="checkbox"/> Payments for business use of personal residence									
<input type="checkbox"/> Tax indemnification and gross-up payments	<input type="checkbox"/> Health or social club dues or initiation fees									
<input type="checkbox"/> Discretionary spending account	<input type="checkbox"/> Personal services (e.g., maid, chauffeur, chef)									
<b>b</b> If any of the boxes in line 1a are checked, did the organization follow a written policy regarding payment or reimbursement or provision of all of the expenses described above? If "No," complete Part III to explain	<b>1b</b>									
<b>2</b> Did the organization require substantiation prior to reimbursing or allowing expenses incurred by all directors, trustees, officers, including the CEO/Executive Director, regarding the items checked in line 1a?	<b>2</b>									
<p><b>3</b> Indicate which, if any, of the following the filing organization used to establish the compensation of the organization's CEO/Executive Director Check all that apply Do not check any boxes for methods used by a related organization to establish compensation of the CEO/Executive Director, but explain in Part III</p> <table border="0"> <tr> <td><input checked="" type="checkbox"/> Compensation committee</td> <td><input type="checkbox"/> Written employment contract</td> </tr> <tr> <td><input type="checkbox"/> Independent compensation consultant</td> <td><input checked="" type="checkbox"/> Compensation survey or study</td> </tr> <tr> <td><input checked="" type="checkbox"/> Form 990 of other organizations</td> <td><input checked="" type="checkbox"/> Approval by the board or compensation committee</td> </tr> </table>	<input checked="" type="checkbox"/> Compensation committee	<input type="checkbox"/> Written employment contract	<input type="checkbox"/> Independent compensation consultant	<input checked="" type="checkbox"/> Compensation survey or study	<input checked="" type="checkbox"/> Form 990 of other organizations	<input checked="" type="checkbox"/> Approval by the board or compensation committee				
<input checked="" type="checkbox"/> Compensation committee	<input type="checkbox"/> Written employment contract									
<input type="checkbox"/> Independent compensation consultant	<input checked="" type="checkbox"/> Compensation survey or study									
<input checked="" type="checkbox"/> Form 990 of other organizations	<input checked="" type="checkbox"/> Approval by the board or compensation committee									
<b>4</b> During the year, did any person listed on Form 990, Part VII, Section A, line 1a, with respect to the filing organization or a related organization										
<b>a</b> Receive a severance payment or change-of-control payment?	<b>4a</b>	No								
<b>b</b> Participate in, or receive payment from, a supplemental nonqualified retirement plan?	<b>4b</b>	No								
<b>c</b> Participate in, or receive payment from, an equity-based compensation arrangement? If "Yes" to any of lines 4a-c, list the persons and provide the applicable amounts for each item in Part III	<b>4c</b>	No								
<b>Only 501(c)(3), 501(c)(4), and 501(c)(29) organizations must complete lines 5-9.</b>										
<b>5</b> For persons listed on Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the revenues of										
<b>a</b> The organization?	<b>5a</b>	No								
<b>b</b> Any related organization? If "Yes," on line 5a or 5b, describe in Part III	<b>5b</b>	No								
<b>6</b> For persons listed on Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the net earnings of										
<b>a</b> The organization?	<b>6a</b>	No								
<b>b</b> Any related organization? If "Yes," on line 6a or 6b, describe in Part III	<b>6b</b>	No								
<b>7</b> For persons listed on Form 990, Part VII, Section A, line 1a, did the organization provide any nonfixed payments not described in lines 5 and 6? If "Yes," describe in Part III	<b>7</b>	No								
<b>8</b> Were any amounts reported on Form 990, Part VII, paid or accrued pursuant to a contract that was subject to the initial contract exception described in Regulations section 53.4958-4(a)(3)? If "Yes," describe in Part III	<b>8</b>	No								
<b>9</b> If "Yes" on line 8, did the organization also follow the rebuttable presumption procedure described in Regulations section 53.4958-6(c)?	<b>9</b>									



**Part III** **Supplemental Information**

Provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 3, 4a, 4b, 4c, 5a, 5b, 6a, 6b, 7, and 8, and for Part II. Also complete this part for any additional information.

Return Reference	Explanation
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**Schedule L**  
(Form 990 or 990-EZ)

**Transactions with Interested Persons**

OMB No 1545-0047

▶ **Complete if the organization answered "Yes" on Form 990, Part IV, lines 25a, 25b, 26, 27, 28a, 28b, or 28c, or Form 990-EZ, Part V, line 38a or 40b.**  
 ▶ **Attach to Form 990 or Form 990-EZ.**  
 ▶ **Information about Schedule L (Form 990 or 990-EZ) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).**

**2017**

**Open to Public Inspection**

Department of the Treasury  
Internal Revenue Service

Name of the organization OCEANA INC	Employer identification number 51-0401308
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**Part I Excess Benefit Transactions** (section 501(c)(3), section 501(c)(4), and 501(c)(29) organizations only)  
 Complete if the organization answered "Yes" on Form 990, Part IV, line 25a or 25b, or Form 990-EZ, Part V, line 40b

1	(a) Name of disqualified person	(b) Relationship between disqualified person and organization	(c) Description of transaction	(d) Corrected?	
				Yes	No

2 Enter the amount of tax incurred by organization managers or disqualified persons during the year under section 4958 . . . . . ▶ \$ \_\_\_\_\_

3 Enter the amount of tax, if any, on line 2, above, reimbursed by the organization . . . . . ▶ \$ \_\_\_\_\_

**Part II Loans to and/or From Interested Persons.**  
 Complete if the organization answered "Yes" on Form 990-EZ, Part V, line 38a, or Form 990, Part IV, line 26, or if the organization reported an amount on Form 990, Part X, line 5, 6, or 22

(a) Name of interested person	(b) Relationship with organization	(c) Purpose of loan	(d) Loan to or from the organization?		(e) Original principal amount	(f) Balance due	(g) In default?		(h) Approved by board or committee?		(i) Written agreement?	
			To	From			Yes	No	Yes	No	Yes	No
Total						▶	\$					

**Part III Grants or Assistance Benefiting Interested Persons.**  
 Complete if the organization answered "Yes" on Form 990, Part IV, line 27.

(a) Name of interested person	(b) Relationship between interested person and the organization	(c) Amount of assistance	(d) Type of assistance	(e) Purpose of assistance

**Part IV Business Transactions Involving Interested Persons.**

Complete if the organization answered "Yes" on Form 990, Part IV, line 28a, 28b, or 28c.

(a) Name of interested person	(b) Relationship between interested person and the organization	(c) Amount of transaction	(d) Description of transaction	(e) Sharing of organization's revenues?	
				Yes	No
(1) SARAH BEDOLFE	SARAH IS THE DAUGHTER OF BOARD DIRECTOR, HERBERT M BEDOLFE, III	40,587	SARAH BEDOLFE IS AN EMPLOYEE OF OCEANA AND HER TOTAL COMPENSATION PAID FOR THE YEAR ENDED DECEMBER 31, 2017 WAS \$40,587		No

**Part V Supplemental Information**

Provide additional information for responses to questions on Schedule L (see instructions)

Return Reference	Explanation

**SCHEDULE M  
(Form 990)**

**Noncash Contributions**

OMB No 1545-0047

**2017**

▶ **Complete if the organizations answered "Yes" on Form 990, Part IV, lines 29 or 30.**

▶ **Attach to Form 990.**

▶ **Information about Schedule M (Form 990) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990)**

**Open to Public Inspection**

Department of the Treasury  
Internal Revenue Service

Name of the organization  
OCEANA INC

Employer identification number  
51-0401308

**Part I Types of Property**

	(a) Check if applicable	(b) Number of contributions or items contributed	(c) Noncash contribution amounts reported on Form 990, Part VIII, line 1g	(d) Method of determining noncash contribution amounts
1 Art—Works of art . . . . .				
2 Art—Historical treasures . . . . .				
3 Art—Fractional interests . . . . .				
4 Books and publications . . . . .				
5 Clothing and household goods . . . . .				
6 Cars and other vehicles . . . . .				
7 Boats and planes . . . . .				
8 Intellectual property . . . . .				
9 Securities—Publicly traded . . . . .	X	1,244	83,577	FAIR MARKET VALUE
10 Securities—Closely held stock . . . . .				
11 Securities—Partnership, LLC, or trust interests . . . . .	X	1	793,850	FAIR MARKET VALUE
12 Securities—Miscellaneous . . . . .				
13 Qualified conservation contribution—Historic structures . . . . .				
14 Qualified conservation contribution—Other . . . . .				
15 Real estate—Residential . . . . .				
16 Real estate—Commercial . . . . .				
17 Real estate—Other . . . . .				
18 Collectibles . . . . .				
19 Food inventory . . . . .				
20 Drugs and medical supplies . . . . .				
21 Taxidermy . . . . .				
22 Historical artifacts . . . . .				
23 Scientific specimens . . . . .				
24 Archeological artifacts . . . . .				
25 Other ▶ ( _____ )				
26 Other ▶ ( _____ )				
27 Other ▶ ( _____ )				
28 Other ▶ ( _____ )				

29 Number of Forms 8283 received by the organization during the tax year for contributions for which the organization completed Form 8283, Part IV, Donee Acknowledgement **29**

	Yes	No
30a During the year, did the organization receive by contribution any property reported in Part I, lines 1 through 28, that it must hold for at least three years from the date of the initial contribution, and which is not required to be used for exempt purposes for the entire holding period? . . . . .		No
b If "Yes," describe the arrangement in Part II		
31 Does the organization have a gift acceptance policy that requires the review of any nonstandard contributions?		No
32a Does the organization hire or use third parties or related organizations to solicit, process, or sell noncash contributions? . . . . .	Yes	
b If "Yes," describe in Part II		
33 If the organization did not report an amount in column (c) for a type of property for which column (a) is checked, describe in Part II		

**Part II Supplemental Information.**

Provide the information required by Part I, lines 30b, 32b, and 33, and whether the organization is reporting in Part I, column (b), the number of contributions, the number of items received, or a combination of both. Also complete this part for any additional information.

Return Reference	Explanation
PART I, COLUMN (B)	OCEANA REPORTS THE NUMBER OF ITEMS CONTRIBUTED IN PART I, COLUMN (B)
PART I, LINE 32B	CHARITY BUZZ PROMOTED, COLLECTED, AND PROCESSED NON-CASH DONATIONS RELATED TO OCEANA'S FUNDRAISING EVENTS

**SCHEDULE O**  
(Form 990 or 990-EZ)

**Supplemental Information to Form 990 or 990-EZ**

OMB No 1545-0047

Complete to provide information for responses to specific questions on Form 990 or 990-EZ or to provide any additional information.

▶ Attach to Form 990 or 990-EZ.

▶ Information about Schedule O (Form 990 or 990-EZ) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

**2017**

**Open to Public Inspection**

Department of the Treasury  
Internal Revenue Service  
Name of the organization  
OCEANA INC

Employer identification number

51-0401308

**990 Schedule O, Supplemental Information**

Return Reference	Explanation
<p>FORM 990, PART III, LINE I, DESCRIPTION OF ORGANIZATION OF MISSION</p>	<p>OCEANA WAS FOUNDED IN 2001 BY AN INTERNATIONAL GROUP OF FOUNDATIONS DESPITE REPEATED SCIENTIFIC REPORTS OF WIDESPREAD FISHERY COLLAPSE CAUSED BY OVERFISHING AND POLLUTION, THE FOUNDERS DISCOVERED THAT LEADING ENVIRONMENTAL FOUNDATIONS SPENT LESS THAN HALF OF ONE PERCENT OF THEIR RESOURCES ON OCEAN CONSERVATION HEALTHY OCEANS CONTRIBUTE SIGNIFICANTLY TO FEEDING A GROWING WORLD POPULATION, YET NO INTERNATIONAL ORGANIZATION WAS WORKING EXCLUSIVELY TO PROTECT AND RESTORE ABUNDANT OCEAN FISHERIES OCEANA'S FOUNDERS ENVISIONED AN ORGANIZATION DEDICATED SOLELY TO ACHIEVING SIGNIFICANT IMPROVEMENTS IN OCEAN MANAGEMENT THROUGH A SCIENCE-BASED APPROACH, USING CAREFULLY CHOSEN NATIONAL CAMPAIGNS WITH FIXED DEADLINES AND MEASURABLE GOALS TODAY, OCEANA HAS GROWN FROM AN AMBITIOUS START-UP TO AN INTERNATIONAL ORGANIZATION WITH A RECORD OF RESULTS ON FOUR CONTINENTS TOGETHER WITH OUR ALLIES, WE HAVE WON NEARLY 200 SIGNIFICANT POLICY VICTORIES AND PROTECTED ALMOST 9 1 MILLION SQUARE KILOMETERS OF OCEANS OCEANA SUPPORTS SCIENCE-DRIVEN OCEAN MANAGEMENT IN THE MOST PRODUCTIVE PARTS OF THE WORLD'S OCEANS TODAY, OCEANA TEAMS ARE WORKING IN COUNTRIES WHICH CONTROL ABOUT A THIRD OF THE WORLD'S WILD OCEAN FISHERIES (BY WEIGHT) THE UNITED STATES, THE EUROPEAN UNION, BELIZE, BRAZIL, CANADA, CHILE, PERU, MEXICO, AND THE PHILIPPINES OCEANA'S CAMPAIGNS CARRY OUT FOUR KEY STRATEGIES -STOP OVERFISHING THROUGH SCIENCE-BASED FISHERY MANAGEMENT, AND BY DETERRING ILLEGAL FISHING, -REDUCE BYCATCH, OR THE UNINTENDED CATCH OF FISH AND MARINE ANIMALS, -PROTECT HABITAT AND THE ECOSYSTEMS THAT DEPEND ON THEIR HEALTH, AND -CURB POLLUTION, BY STOPPING THE EXPANSION OF OFFSHORE OIL DRILLING AND REDUCING THE PRODUCTION OF SINGLE-USE PLASTICS OCEANA'S CAMPAIGNS HAVE DEMONSTRATED THE EFFECTIVENESS OF THESE STRATEGIES FOR RESTORING OCEANS WITH SOUND POLICIES IN PLACE, OCEAN ECOSYSTEMS RECOVER, OFTEN RAPIDLY, AND ABUNDANT FISHERIES RETURN, EVEN EXCEEDING FORMER LEVELS A FULLY PRODUCTIVE OCEAN CAN PROVIDE A MEAL A DAY FOR A BILLION PEOPLE, FOREVER OCEANA IS WORKING WITH COUNTRIES TO PROVIDE THIS BOUNTY TO THEIR CITIZENS TOGETHER WITH OUR ALLIES, WE ARE SAVING THE OCEANS TO FEED THE WORLD</p>

**990 Schedule O, Supplemental Information**

<b>Return Reference</b>	<b>Explanation</b>
FORM 990, PART III, LINE 3	EFFECTIVE JUNE 30, 2017, OCEANA TRANSFERRED OPERATIONAL CONTROL FOR ITS GLOBAL FISHING WATCH PROGRAM TO GLOBAL FISHING WATCH, INC , A NEWLY FORMED 501(C)(3) ORGANIZATION GLOBAL FISHINGWATCH, INC OPERATES AND FURTHER DEVELOPS THE GLOBAL FISHING WATCH WEB PLATFORM OCEANA UTILIZES THE WEB PLATFORM AND THE ENHANCEMENTS TO FURTHER ITS MISSION

**990 Schedule O, Other Information**

Return Reference	Explanation
<p>FORM 990, PART III, LINE 4B, PROGRAM SERVICE ACCOMPLISHMENTS, CONT</p>	<p>BELIZE THE INTENSE PUBLIC OUTCRY OVER THE SECRET APPROVAL OF SEISMIC STUDIES COMPELLED THE GOVERNMENT OFFICIALLY TO RE-AFFIRM THAT A "POLICY-BASED" MORATORIUM WAS IN EFFECT OCEANA FORCEFULLY ADVOCATED THAT THE GOVERNMENT PUT ITS MORATORIUM INTO LAW AND IN 2017 THE BELIZEAN GOVERNMENT ANNOUNCED ITS INTENTION TO INTRODUCE CRITICAL LEGISLATION TO ESTABLISH AN INDEFINITE MORATORIUM ON OFFSHORE OIL ACTIVITY THE BILL WAS INTRODUCED IN OCTOBER AND SIGNED INTO LAW IN DECEMBER BELIZE COMMUNITY ENGAGEMENT OCEANA'S STRONG SOCIAL MEDIA FOLLOWING ILLUSTRATES OCEANA'S COMMUNITY REACH, ESPECIALLY WITH YOUNG PEOPLE IN THE 18- TO 34-AGE RANGE WHO ARE POLITICALLY AND SOCIALLY ACTIVE AND CAN VOTE SOCIAL MEDIA OCEANA'S CURRENT FACEBOOK FOLLOWING OF OVER 20,000, SIGNIFICANTLY OUTPACES OTHER NGOS IN BELIZE AS WELL AS THE NATIONAL TELECOMMUNICATIONS COMPANY OF BELIZE SIMILARLY, OCEANA HAS APPROXIMATELY 16,000 INSTAGRAM FOLLOWERS GRASSROOTS MOBILIZATION IN 2017, OCEANA CONTINUED TO ENGAGE THE LOCAL POPULATION INCLUDING REEF WEEK (A WEEK-LONG SERIES OF EVENTS THAT PROMOTE THE IMPORTANCE OF BELIZE'S REEF), OCEAN HEROES, WHICH RECOGNIZES BELIZEANS AS PROTECTORS OF BELIZE'S MARINE RESOURCES, HANDS ACROSS THE SAND, AND ANNUAL WAVEMAKER RALLIES IN 2017, OCEANA LOCAL ORGANIZERS VISITED 246 FISHING VILLAGES IN BRAZIL SCIENCE-BASED RECOVERY PLANS OCEANA'S GOAL IN BRAZIL IS TO ESTABLISH A MODERN FISHERIES MANAGEMENT SYSTEM BASED ON SCIENTIFIC PRINCIPLES THE HEART OF THE WORK IS OCEANA'S CAMPAIGN TO REQUIRE SCIENCE-BASED RECOVERY PLANS HIGHLIGHTED BELOW ARE KEY ACCOMPLISHMENTS -THE RED LIST IS BRAZIL'S LEGAL PROTECTION FOR 475 ENDANGERED FISH AND AQUATIC INVERTEBRATE SPECIES OVER THE PAST THREE YEARS, OCEANA HAS BEEN WORKING TO SAFEGUARD THESE PROTECTIONS IN THE FACE OF INDUSTRY EFFORTS TO REMOVE THEM WE ARE AMICUS CURIAE IN IMPORTANT LITIGATION AND WE ARE MAKING PROGRESS IN THE MEETINGS OF THE WORKING GROUP TO DISCUSS SOLUTIONS FOR THE RED LIST THIS WORKING GROUP IS OUR MAIN OPPORTUNITY AND STRATEGY TO PUSH FOR MONITORING AND RECOVERY PLANS, AND OCEANA HAS PLAYED A MAJOR ROLE AND EXPENDED SIGNIFICANT EFFORT ON PLANNING THE MEETINGS AND SHAPING THE AGENDAS -WE GOT THE FISHERIES SECRETARIAT TO HIRE CONSULTANTS TO WORK ON A TUNA MANAGEMENT PLAN FOR BRAZIL -OCEANA ADVOCACY PERSUADED THE GOVERNMENT TO PUBLISH A REGULATION REQUIRING MANDATORY USE OF CIRCLE HOOKS IN LONGLINERS THAT OPERATE IN TUNA FISHERIES TO PROTECT SEA TURTLES AND SHARKS TRANSPARENCY AND SCIENCE-BASED DECISIONS ON FISHERIES OCEANA SEEKS TO RESTORE THE SCIENTIFIC BASIS FOR FISHERIES MANAGEMENT DECISIONS, INCLUDING REINSTATING LANDINGS MONITORING FOR AT LEAST ONE STATE OR FISHERY AND NATIONAL LOGBOOK AND OBSERVER PROGRAMS BY 2020 OCEANA HAD SEVERAL IMPORTANT SUCCESSSES RELATED TO ENSURING PUBLIC ACCESS TO KEY DATA -BECAUSE OF OCEANA, THE GOVERNMENT RELEASED ALL TAINHA LOGBOOKS FROM 2012 TO 2016, THE FIRST TIME AN ORGANIZATION OTHER THAN GOVERNMENT HAS HAD ACCESS TO THIS INFORMATION, WE USED THE N</p>

**990 Schedule O, Organizational Information**

Return Reference	Explanation
<p>FORM 990, PART III, LINE 4B, PROGRAM SERVICE ACCOMPLISHMENTS, CONT</p>	<p>ATIONAL FREEDOM OF INFORMATION ACT TO DEMONSTRATE THAT THIS INFORMATION SHOULD NOT BE KEPT IN SECRET FROM SOCIETY -OCEANA ALSO GOT THE GENERAL OMBUDSMAN TO FORMALLY DECLARE THAT THE FISHERIES SECRETARIAT MUST RELEASE TO OCEANA ALL VMS DATA FROM THE FOLLOWING FISHERIES FOR THE BOTTOM TRAWLING FISHERY, BOTTOM LONGLINE AND GILLNET FISHERIES -THE FISHERIES SECRETARIAT MADE THE FISHERIES GENERAL REGISTRY DATA (RGP) AVAILABLE TO THE PUBLIC ON ITS WEB SITE THIS INFORMATION REGARDS FISHING FLEET/VESSEL TYPE AND SIZE, FISHING GEAR, TARGETS AND SECONDARY TARGET SPECIES AND WAS COMPLETELY INACCESSIBLE TO SOCIETY SINCE THE ELIMINATION OF THE MINISTRY OF FISHERIES IN 2015 -THE FISHERIES SECRETARIAT ALSO FORMALLY COMMITTED TO REESTABLISH THE ONBOARD OBSERVERS PROGRAM, WHICH WAS ILLEGALLY SUSPENDED BY THE GOVERNMENT IN 2011 THIS IS A VERY IMPORTANT STEP TOWARD OUR GOAL OF RESTORING THE SCIENTIFIC BASIS FOR MANAGEMENT DECISIONS IN FISHERIES, INCLUDING ONBOARD OBSERVER PROGRAMS PROTECT TAINHA CAMPAIGN OCEANA SECURED THE COMMITMENT OF FISHERIES SECRETARIAT DAVYSON DE SOUZA FOR THE ADOPTION OF CATCH LIMITS FOR TAINHA PER OUR RECOMMENDATIONS PROTECT VULNERABLE HABITATS AND SPECIES FROM BOTTOM TRAWLING OCEANA SECURED THE COMMITMENT OF THE GOVERNMENT OF RIO GRANDE DO SUL TO COMMIT TO THE CREATION OF A SUSTAINABLE USE MARINE PROTECTED AREA (15,000 KM), INCLUDING A COMPLETE BOTTOM TRAWL BAN, THAT WILL ENSURE PROTECTION FOR ONE OF THE MOST IMPORTANT MARINE BIODIVERSITY HOTSPOTS AND SMALL-SCALE COASTAL FISHING AREAS IN BRAZIL OCEANA CANADA IN 2017, OCEANA INC PROVIDED CHARITABLE CONTRIBUTIONS AND IN-KIND SUPPORT TO OCEANA CANADA, AN INDEPENDENT NONPROFIT ORGANIZATION INCORPORATED UNDER CANADIAN LAW WITH OUR SUPPORT, OCEANA CANADA ACHIEVED THE FOLLOWING VICTORIES INCREASE GOVERNMENT TRANSPARENCY -AFTER CAMPAIGNING BY OCEANA CANADA AND OUR PARTNERS, FISHERIES AND OCEANS CANADA RELEASED - FOR THE FIRST TIME - A COMPREHENSIVE REVIEW OF THE STATUS OF CANADIAN FISHERIES IN DECEMBER THE CANADIAN FEDERAL GOVERNMENT DEPARTMENT ALSO ANNOUNCED THAT IT WILL DEVELOP AND IMPLEMENT REBUILDING PLANS FOR 19 FISHERIES, ALL TO BE COMPLETED BY MARCH 2021 THESE FIRST STEPS ARE MAJOR LEAPS FORWARD FOR INCREASING FISHERIES MANAGEMENT TRANSPARENCY AND ACCOUNTABILITY IN CANADA REBUILD CANADA'S FISHERIES -IN THE SPRING 2017, THE CANADIAN GOVERNMENT COMMITTED TO REBUILDING PLANS FOR REDFISH AND ROCKFISH BY MARCH, 2018, AND NORTHERN COD BY MARCH 2019 IN OCTOBER, IT COMMITTED TO TWO ADDITIONAL REBUILDING PLANS FOR STOCKS CO-MANAGED WITH THE U S IN THE GULF OF MAINE GEORGES BANK COD AND YELLOWTAIL FLOUNDER UNDER THE GOVERNMENT HAS NOW COMMITTED TO COMPLETING SEVEN NEW REBUILDING PLANS FOR STOCKS IN THE CRITICAL ZONE PROTECTING OCEAN HABITAT IN CANADA GULF OF ST LAWRENCE -DUE TO OCEANA GROUND-BREAKING BENTHIC RESEARCH, THE CANADIAN GOVERNMENT ANNOUNCED THAT TWO AREAS IN THE GULF OF ST LAWRENCE WERE CLOSED TO ALL FISHING THAT USES BOTTOM-CONTACT GEAR THE 2,761 SQUARE KILOMETER GULF-EA</p>

**990 Schedule O, Supplemental Information**

Return Reference	Explanation
<p>FORM 990, PART III, LINE 4B, PROGRAM SERVICE ACCOMPLISHMENTS, CONT</p>	<p>ST AND HONDGUEDO-EAST SITES ARE NOW CLOSED USING BINDING AND ENFORCEABLE FISHERIES MANAGEMENT TOOLS THE GOVERNMENT HAS INDICATED IT WILL INTRODUCE REGULATIONS PROTECTING THESE AND OTHER SITES IN 2018 TO PROVIDE LONG-TERM CERTAINTY -OCEANA CARRIED OUT AN EXPEDITION IN THE GULF OF ST LAWRENCE, INCLUDING FILMING, MEDIA AND PROMOTIONAL EVENTS STOP SEAFOOD FRAUD IN CANADA OCEANA COMPLETED MANY ACTIVITIES IN SUPPORT OF OUR SEAFOOD FRAUD CAMPAIGN, INCLUDING THE FOLLOWING -CONDUCT INDEPENDENT RESEARCH TO CONFIRM CANADIAN REQUIREMENTS FOR THE DOMESTIC SALE OF WILD AND AQUACULTURE FISH LABELLING, DOMESTIC CATCH REPORTING, IMPORT CONTROLS (CANADA, EU AND U S COMPARISONS), FOOD SAFETY LAWS, AND IMPACT OF IUU FISHING ON CANADIAN IMPORTS AND EXPORTS -CONDUCT INDEPENDENT DNA TESTING OF SEAFOOD SAMPLES IN RESTAURANTS AND GROCERY STORES IN SEVEN CANADIAN CITIES -ENGAGE WITH FISHERS, CHEFS, SEAFOOD INDUSTRY REPRESENTATIVES AND LIKE-MINDED NON-GOVERNMENTAL ORGANIZATIONS AS KEY INFLUENCERS TO BUILD THE CASE FOR STRONGER SEAFOOD TRACEABILITY REGULATIONS -LAUNCH TESTING RESULTS AS PART OF A LARGER REPORT THAT OUTLINES THE EXTENT AND THE ECONOMIC, HEALTH AND CONSERVATION RISKS OF SEAFOOD FRAUD IN CANADA CHILE HABITAT PROTECTION OCEANA IS WORKING TO PROTECT AT LEAST 20 PERCENT OF CHILE'S EXCLUSIVE ECONOMIC ZONE (EEZ) AS MARINE PROTECTED AREAS (MPAS) BY THE END OF 2017, WE HAD EXCEEDED THIS GOAL, SECURING 25 PERCENT OF THE EEZ -ON JUNE 1, PRESIDENT MICHELLE BACHELET ANNOUNCED THAT CHILE WILL CREATE A NO-TAKE MARINE PARK OF 485,000 SQUARE KILOMETERS AROUND JUAN FERNANDEZ ARCHIPELAGO THIS PROPOSAL PROTECTS FISHERIES AND TOURISM, THE TWO MAIN SOURCES OF LIVELIHOOD ON THE ONLY INHABITED ISLAND IN THE ARCHIPELAGO, ROBINSON CRUSOE ISLAND THE NO-TAKE ZONE WILL EXTEND AN EXISTING MULTI-USE MARINE RESERVE THAT ALLOWS SOME FISHING FROM 12 TO 24 NAUTICAL MILES AND MAKES THE REST OF THE EEZ A NO-TAKE AREA -FOLLOWING PRESSURE FROM OCEANA AND ITS ALLIES, CHILE'S MINISTERIAL COMMITTEE CONFIRMED THE REJECTION OF PORT MINING PROJECT DOMINGA IN AUGUST 2017 THE ANDES IRON PROJECT HAD ALREADY BEEN REJECTED BY THE ENVIRONMENTAL ASSESSMENT COMMISSION OF COQUIMBO IN MARCH 2017, BUT THE MINING COMPANY APPEALED THE DECISION, CAUSING THE FINAL VERDICT TO FALL ON THE MINISTERIAL COMMITTEE DOMINGA'S ENVIRONMENTAL IMPACT ASSESSMENT WAS STRONGLY QUESTIONED BY SCIENTISTS, OCEANA AND ITS ALLIES BECAUSE IT DID NOT INCLUDE BASIC REQUIRED SCIENTIFIC INFORMATION AND DIDN'T GAUGE THE IMPACTS THAT TWO OPEN PIT MINES AND A MEGA PORT WOULD HAVE ON THE MARINE ECOSYSTEM AND THE WORLD-RENOWNED HUMBOLDT PENGUIN NATIONAL RESERVE</p>

**990 Schedule O, Organizational Information**

Return Reference	Explanation
<p>FORM 990, PART III, LINE 4B, PROGRAM SERVICE ACCOMPLISHMENTS, CONT</p>	<p>FISHERIES MANAGEMENT OCEANA IS SEEKING TO IMPROVE FISHERIES MANAGEMENT IN CHILE WITH A FOCUS ON THE RECOVERY OF THE COMMON HAKE, JACK MACKEREL AND ANCHOVETA FISHERIES, AND CONTROL LING ILLEGAL, UNREPORTED, AND UNREGULATED FISHING FOLLOWING YEARS OF STRONG ADVOCACY AND IRREFUTABLE SCIENCE, TWO CRUCIAL FISHERIES HAVE OBTAINED SUSTAINABLE STATUS -JACK MACKEREL HAS RAPIDLY RECOVERED, RETURNING FROM COLLAPSE IN THE YEARS THAT OCEANA HAS BEEN CAMPAIGNING FOR ITS SCIENTIFIC AND APPROPRIATELY PRECAUTIONARY MANAGEMENT OF THIS FISHERY THIS FISHERY IS MANAGED BY THE SOUTHERN PACIFIC REGIONAL FISHERY MANAGEMENT ORGANIZATION (SPRFMO), WHERE WE HAVE HELD OBSERVER STATUS SINCE 2014 WE PRESENTED SCIENTIFIC REPORTS ON THE STATE OF THE FISHERY IN 2014 AND 2015, AND ADVOCATED FOR A MORE PRECAUTIONARY APPROACH TO SETTING QUOTAS -SCIENTIFIC ACOUSTIC STUDIES SHOW THAT THE COMMON HAKE IS MOVING AWAY FROM THE COLLAPSE IT EXPERIENCED IN 2002 IMPLEMENTING THE NEW FISHERIES LAW PASSED IN 2013 WITH OCEANA'S SUPPORT, THE GOVERNMENT CUT QUOTAS FOR COMMON HAKE BY HALF TO ALLOW FOR REBUILDING STOP BOTTOM TRAWLING OCEANA SUCCESSFULLY PERSUADED THE FISHERIES AGENCY TO ANNOUNCE A BAN ON BOTTOM TRAWLING IN 97 PERCENT OF CHILE'S EEZ SALMON FARMING -FOLLOWING A FOUR-YEAR LEGAL BATTLE LED BY OCEANA, THE CHILEAN SUPREME COURT RULED THAT THE CHILEAN SALMON FARMING INDUSTRY MUST DISCLOSE INFORMATION ABOUT ITS USE OF ANTIBIOTICS IN AQUACULTURE OCEANA HAS FOUGHT FOR TRANSPARENCY IN THE CHILEAN SALMON FARMING INDUSTRY WHICH HAS USED ALARMING AMOUNTS OF ANTIBIOTICS, IS A MAJOR CAUSE OF HABITAT DEGRADATION, AND POSES RISKS TO HUMAN HEALTH EUROPE TRANSPARENCY OCEANA AIMS TO IMPROVE TRANSPARENCY AND ACCOUNTABILITY IN THE FISHERIES SECTOR IN EUROPE -ONE YEAR AHEAD OF THE DEADLINE FOR THIS GOAL, OCEANA SUCCEEDED IN GETTING 18 INSURANCE COMPANIES FROM AROUND THE WORLD (INSURERS, REINSURERS, BROKERS AND AGENTS) TO SIGN A STATEMENT COMMITTING TO NEITHER INSURE NOR FACILITATE THE INSURING OF ILLEGAL, UNREPORTED AND UNREGULATED (IUU) FISHING VESSELS THIS STATEMENT WAS CO-SPONSORED BY FIVE OF THE LARGEST, AND MOST RELEVANT INSURERS IN THE WORLD ALLIANZ AGCS, AXA, HANSEATIC UNDERWRITERS, GENERALI AND THE SHIPOWNERS' CLUB IN ADDITION, EIGHT SUPPORTING INSTITUTIONS (INSURANCE ASSOCIATIONS, CIVIL SOCIETY GROUPS, ETC) ALSO SIGNED THE STATEMENT -ON 20 JUNE 2017, THE COUNCIL OF THE EUROPEAN UNION, EUROPEAN PARLIAMENT AND THE EUROPEAN COMMISSION AGREED ON A NEW LEGAL FRAMEWORK FOR THE FUTURE OF EXTERNAL FISHING FLEET REGULATION OCEANA AND ITS PARTNERS IN THE EU IUU COALITION (ENVIRONMENTAL JUSTICE FOUNDATION, PEW AND WWF), SUCCEEDED IN HAVING ALL OUR PRIORITIES INCLUDED IN THE REVISED LAW THE REGULATION WILL INCLUDE A PUBLIC DATABASE OF ALL FISHING AUTHORIZATIONS IN NON-EU WATERS, MAKING THE EU FLEET THE MOST TRANSPARENT IN THE WORLD IT WILL ALSO STOP SO-CALLED ABUSIVE REFLAGGING, BY RESTRICTING AUTHORIZATIONS FOR VESSELS THAT CHANGE FLAGS RAPIDLY TO CIRCUMVENT CONSERVATION OR ENFORCEMENT</p>

## 990 Schedule O, Open Information

Return Reference	Explanation
FORM 990, PART III, LINE 4B, PROGRAM SERVICE ACCOMPLISHMENTS, CONT	<p>T MEASURES, AND IT WILL ENFORCE EU STANDARDS FOR ACTIVITIES UNDER PRIVATE AGREEMENTS THE LATTER WILL END DECADES OF FISHING WITHOUT EU OVERSIGHT OR ADHERENCE TO EU MANAGEMENT REQUIREMENTS -DURING THE 2ND INTERNATIONAL CONFERENCE OF THE FISHERIES TRANSPARENCY INITIATIVE (FITI) HELD IN INDONESIA ON APRIL 27TH, MORE THAN 200 PARTICIPANTS FROM GOVERNMENT, INDUSTRY, SMALL-SCALE FISHING ASSOCIATIONS, CIVIL SOCIETY ORGANIZATIONS, ACADEMIA AND MEDIA ADOPTED THE FIRST FITI STANDARD THIS MARKS THE BEGINNING OF THE IMPLEMENTATION PHASE OF THE INITIATIVE THROUGH WHICH FITI COUNTRIES WILL INCREASE TRANSPARENCY AND PARTICIPATION IN FISHERIES GOVERNANCE FOR THE BENEFIT OF A MORE SUSTAINABLE MANAGEMENT OF MARINE FISHERIES RESTORING MEDITERRANEAN FISH STOCK OCEANA SEEKS TO ENSURE THAT EU FISH STOCKS IN THE NORTH AND MEDITERRANEAN SEA ARE FISHED AT SUSTAINABLE LEVELS BY 2020 ALSO, AS PART OF THE FISHERIES WORK, OCEANA IS CAMPAIGNING FOR SUBSTANTIALLY ELIMINATING IUU AND DESTRUCTIVE FISHING FROM EU MARKETS AND FLEETS -FOR THE FIRST TIME, THE RIPARIAN STATES IN THE MEDITERRANEAN AGREED TO A 10-YEAR ROADMAP WITH CLEAR STEPS AND TARGETS TO REVERSE OVERFISHING AND IMPLEMENT AN ECOSYSTEM-BASED APPROACH TO FISHERIES MANAGEMENT IN THE ENTIRE MEDITERRANEAN SEA THE MEDFISH4EVER DECLARATION WAS SIGNED IN MARCH 2017 IN MALTA, DURING THE MINISTERIAL CONFERENCE ON MEDITERRANEAN FISHERIES OCEANA PROVIDED INPUT AND ACTIVELY CONTRIBUTED TO THE DRAFTING OF THE DECLARATION, WHICH INCLUDES THE ESTABLISHMENT OF A NETWORK OF ESSENTIAL FISH HABITATS, SCIENCE-BASED MULTIANNUAL MANAGEMENT PLANS, AND THE PROTECTION OF VULNERABLE MARINE ECOSYSTEMS FROM DESTRUCTIVE FISHING IMPACTS HABITAT CAMPAIGN OCEANA AIMS TO SECURE PROTECTION FOR 20 PERCENT OF THE BALTIC SEA AND KATTEGAT, AND 10 PERCENT OF THE OTHER EUROPEAN WATERS WITH MPAS IN THE ATLANTIC OCEAN (INCLUDING THE NORTH SEA) AND MEDITERRANEAN SEA BY 2020 -THE DANISH GOVERNMENT DESIGNATED SIX NEW MARINE PROTECTED AREAS COVERING 590 KM2 IN KATTEGAT, IN WHICH BOTTOM TRAWLING WILL BE BANNED THE MPAS WILL PROTECT FRAGILE AND THREATENED SOFT BOTTOM SPECIES AND HABITATS, LIKE SEA PENS AND HAPLOOBS, WHICH ARE LARGELY UNPROTECTED THROUGHOUT EUROPEAN WATERS OCEANA INITIATED THIS PROCESS DURING OUR BALTIC SEA EXPEDITION IN 2011, WHEN WE FOUND REMNANTS OF THESE IMPORTANT SOFT BOTTOM COMMUNITIES THAT WERE THOUGHT TO HAVE GONE EXTINCT FROM KATTEGAT -AS A RESULT OF OCEANA'S ADVOCACY, FOUR DEEP-SEA CORAL SPECIES WILL NOW BE PROTECTED IN THE MEDITERRANEAN THE UN'S BARCELONA CONVENTION, A MULTI-COUNTRY REGIONAL SEA CONVENTION, VOTED IN FAVOR OF ADDING FOUR ADDITIONAL CORAL SPECIES - COCKSCOMB CUP CORAL, YELLOW-TREE CORAL, YELLOW CORAL AND BAMBOO CORAL - TO THE LIST OF ENDANGERED OR THREATENED SPECIES IN THE MEDITERRANEAN SEA THIS ACTION WILL PROTECT THESE ANIMALS AND HELP TO ENSURE THE SURVIVAL OF MARINE LIFE THAT LIVE AND DEPEND ON THESE UNDERWATER CORAL GARDENS -OCEANA CARRIED OUT A SUCCESSFUL EIGHT-WEEK NORTH SEA RESEARCH EXPEDITION FROM</p>

## 990 Schedule O, Organizational Information

Return Reference	Explanation
FORM 990, PART III, LINE 4B, PROGRAM SERVICE ACCOMPLISHMENTS, CONT	<p>             M JUNE-AUGUST, DOCUMENTING SEAFLOOR HABITATS AND SPECIES IN THE WATERS OF DENMARK, GERMANY, NETHERLANDS, NORWAY, AND THE UNITED KINGDOM. THE EXPEDITION AIMED TO GATHER VALUABLE FIRST-HAND DATA FROM 16 AREAS THAT ARE KNOWN OR BELIEVED TO BE ECOLOGICALLY IMPORTANT, BUT FROM WHICH SCIENTIFIC INFORMATION WAS LACKING. THESE INCLUDE BIODIVERSITY HOTSPOTS, AREAS WITH THREATENED SPECIES, AND POTENTIAL AREAS OF ESSENTIAL FISH HABITAT. THE GOAL OF THIS PROJECT IS TO STRENGTHEN THE NETWORK OF MPAS IN THE NORTH SEA. GLOBAL FISHING WATCH (GFW), LAUNCHED BY OCEANA AND ITS PARTNERS GOOGLE AND SKYTRUTH, IS THE FIRST AND ONLY FREE SOURCE OF DATA ON FISHING VESSEL TRACKING AVAILABLE TO ANYONE IN THE WORLD WITH AN INTERNET CONNECTION. GLOBAL FISHING WATCH PROVIDES A GLOBAL VIEW OF COMMERCIAL FISHING ACTIVITY, EXPOSING BOTH LEGAL AND POTENTIALLY ILLEGAL FISHING ACTIVITY. ON JULY 1, 2017, OCEANA, SKYTRUTH AND GOOGLE FORMALLY SPUN GLOBAL FISHING WATCH OFF INTO A NEW ENTITY: GLOBAL FISHING WATCH, INC. WAS SEEDDED WITH STAFF AND FUNDING FROM OCEANA AND SKYTRUTH. NO MORE HIDING AT SEA. TRANSSHIPPING EXPOSED. TRANSSHIPPING CAN INCREASE THE POTENTIAL FOR IUU FISHING AND HUMAN RIGHTS VIOLATIONS. WORKING WITH GLOBAL FISHING WATCH, OCEANA IDENTIFIED AND PUBLICIZED MORE THAN 5,000 LIKELY OR POTENTIAL TRANSSHIPPING RENDEZVOUS AND WE IDENTIFIED GLOBAL HOTSPOTS TO ALLOW AUTHORITIES TO FOCUS THEIR RESOURCES IN THESE AREAS. WE ALSO NAMED THE TOP COUNTRIES INVOLVED AND PORTS MOST FREQUENTED BY REFRIGERATED CARGO VESSELS. WE RELEASED THE REPORT AT THE ECONOMIST'S WORLD OCEAN SUMMIT IN BALI, INDONESIA. FISHING ON THE BOUNDARIES OF LAW. IN SEPTEMBER WE RELEASED A REPORT REVEALING THAT 19 EUROPEAN VESSELS APPEARED TO BE FISHING UNLAWFULLY IN THE GAMBIA AND EQUATORIAL GUINEA. OUR REPORT SHOWS THAT EVEN VESSELS FROM COUNTRIES WITH STRONG LEGAL FRAMEWORKS CAN POTENTIALLY ENGAGE IN UNLAWFUL PRACTICES, AND CALLS FOR COUNTRIES TO MAKE THEIR VESSEL TRACKING DATA AND FISHING AGREEMENTS PUBLIC. BECAUSE OF THE REPORT, THE GAMBIA HAS ANNOUNCED IT WILL USE CONTRACTORS TO BEEF UP ENFORCEMENT AND HAS ASKED OCEANA FOR ADVICE ON AN AIS USAGE POLICY. INCREASED TRANSPARENCY. PERU. IN JUNE, OCEANA'S PERUVIAN TEAM SUCCEEDED IN SECURING A COMMITMENT FROM THE PERUVIAN GOVERNMENT TO PUBLISH PERUVIAN VMS DATA IN THE GFW PLATFORM TO INCREASE THE TRANSPARENCY OF PERU'S FISHERIES. INDONESIA. THROUGH A PARTNERSHIP WITH GLOBAL FISHING WATCH, INDONESIA COMMITTED TO PUBLISHING THEIR VMS DATA IN THE GFW PLATFORM TO IMPROVE FISHING TRANSPARENCY. IT IS NOW AVAILABLE AS A PUBLIC WORKSPACE. <a href="http://GLOBALFISHINGWATCH.ORG/INDONESIA-VMS/">HTTP://GLOBALFISHINGWATCH.ORG/INDONESIA-VMS/</a> PERU. MANAGEMENT PLANS FOR SUSTAINABLE FISHERIES. OCEANA SIGNED AN AGREEMENT WITH THE VICE-MINISTRY OF FISHERIES TO COOPERATE ON THE REVIEW OF EXISTING FISHERIES REGULATIONS AND THE DEFINITION OF GUIDELINES FOR FUTURE MANAGEMENT INSTRUMENTS IN SEPTEMBER 2017.           </p>

**990 Schedule O, Other Information**

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<p>FORM 990, PART III, LINE 4B, PROGRAM SERVICE ACCOMPLISHMENTS, CONT</p>	<p>SHARK CAMPAIGN -BECAUSE OF SMART, STRATEGIC ADVOCACY BY OCEANA, THE PERUVIAN CONGRESS RATIFIED THE FAO'S PORT STATE MEASURES TO PREVENT, DETER AND ELIMINATE ILLEGAL, UNREPORTED AND UNREGULATED FISHING AGREEMENT IN JUNE 2017 ANCHOVETA RECOVERY CAMPAIGN A SIGNIFICANT GOAL OF OCEANA IN PERU IS TO IMPROVE MANAGEMENT OF ANCHOVETA AND TO ADDRESS THE CLIMATE-RELATED IMPACTS ON THE FISHERY, PARTICULARLY THROUGH EVENTS SUCH AS EL NINO -IN AN IMPORTANT VICTORY, OCEANA PERSUADED THE PERUVIAN GOVERNMENT TO SET AN ANCHOVETA QUOTA FOR THE ARTISANAL CATCH, WHICH IS INTENDED FOR DIRECT HUMAN CONSUMPTION, PREVIOUSLY, A QUOTA HAD BEEN ESTABLISHED ONLY FOR THE LARGER, INDUSTRIAL CATCH INTENDED FOR FISH MEAL AND FISH OIL REDUCTION WITH QUOTAS FOR BOTH FLEETS, THE STOCK CAN BE BETTER MANAGED TRANSPARENCY OCEANA IS CAMPAIGNING TO MAKE FISH STOCK ASSESSMENTS, LANDINGS, AND MANAGEMENT PLANS TRANSPARENT TO THE PUBLIC -THE GOVERNMENT OF PERU COMMITTED TO MAKE ITS NATIONAL VESSEL TRACKING DATA PUBLICLY AVAILABLE THE RESULT OF OCEANA'S COLLABORATION WITH THE PERUVIAN GOVERNMENT TO INCREASE TRANSPARENCY OF COMMERCIAL FISHING IN PERU'S WATERS, THE SIGNED MEMORANDUM WILL START THE PROCESS TO MAKE PERU'S VESSEL MONITORING SYSTEM (VMS) DATA PUBLICLY AVAILABLE THROUGH GLOBAL FISHING WATCH, WHICH PROVIDES THE FIRST GLOBAL VIEW OF COMMERCIAL FISHING ACTIVITY HABITAT PROTECTION OCEANA IS PARTNERING WITH THE PERUVIAN GOVERNMENT TO PROTECT THE WATERS AROUND MPAS IN THE ICA AND PIURA REGIONS THROUGH IMPROVED CONTROLS OF FISHING AND TOURISM -OCEANA SUPPORTED THE ORGANIZATION OF A MULTI-SECTORIAL WORKSHOP TO WORK ON FOUR PRIORITY ISSUES A) JOINT ENFORCEMENT PROTOCOL AMONG SEVERAL GOVERNMENT AGENCIES AGAINST ILLEGAL FISHING AND OTHER ACTIVITIES WITHIN MPA WATERS, B) REGULATIONS FOR SPORTS FISHING, C) WORK WITH GOVERNMENT AGENCIES ON LEGISLATION TO ENABLE THE DEVELOPMENT AND IMPLEMENTATION OF FISHERY MANAGEMENT PLANS INSIDE MPAS, AND D) REVISION OF NAUTICAL ROUTES TO MINIMIZE THE IMPACT OF LARGE VESSEL TRAFFIC ON THE LOCAL WILDLIFE AND HABITATS PHILIPPINES OCEANA IN THE PHILIPPINES IS WORKING TO STOP ILLEGAL FISHING BY COMMERCIAL FISHERS IN ORDER TO REBUILD FISH POPULATIONS AND TO PROTECT THE FISH CATCHES OF THE SMALL-SCALE FISHING SECTOR END COMMERCIAL FISHING IN TANON STRAIT OCEANA IS SEEKING TO PREVENT COMMERCIAL FISHING VESSELS FROM FISHING IN TANON STRAIT AS A KEY FIRST STEP TOWARDS PREVENTING ILLEGAL FISHING IN MUNICIPAL WATERS NATIONWIDE -AS A RESULT OF OCEANA ADVOCACY THE TANON STRAIT IS THE COUNTRY'S VERY FIRST PROTECTED SEASCAPE TO REQUIRE VESSEL MONITORING FOR ALL TRANSITING COMMERCIAL FISHING VESSELS VESSEL MONITORING, A KEY MEASURE FOR EFFECTIVE LAW ENFORCEMENT, WILL BE REQUIRED FOR ALL COMMERCIAL FISHING VESSELS ENTERING THE TANON STRAIT ONE OF THE PHILIPPINES' LARGEST MARINE PROTECTED AREAS, -OCEANA PUSHED FOR THE APPOINTMENT OF THE FIRST SPECIAL PROSECUTOR TO PROSECUTE ILLEGAL COMMERCIAL FISHING IN THE TANON STRAIT IN NOVEMBER 2017 PROTECT BENHAM RISE S</p>

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FORM 990, PART III, LINE 4B, PROGRAM SERVICE ACCOMPLISHMENTS, CONT	EAFLOOR HABITAT -THE BIODIVERSITY MANAGEMENT BUREAU DRAFTED A MANAGEMENT FRAMEWORK FOR BE NHAM RISE WITH BENHAM BANK AS A PROTECTED NO-TAKE ZONE AS KEY FIRST STEP FOR EVENTUAL ISSU ANCE OF A PRESIDENTIAL PROCLAMATION -OCEANA PUSHED FOR THE SENATE BILL ON BENHAM RISE TO BE ANCHORED ON A SUSTAINABLE MANAGEMENT FRAMEWORK THAT INTEGRATES STRONG CONSERVATION AND SUSTAINABLE DEVELOPMENT PLANS FOR BENHAM BANK THE MARINE PROTECTED AREAS SUPPORT NETWORK AND OTHER KEY STAKEHOLDERS ISSUED STATEMENT OF SUPPORT TO PROTECT BENHAM BANK, AS A RESULT OF OCEANA ADVOCACY INSTITUTIONALIZING VESSEL MONITORING MECHANISMS (VMM) FOCUSED ON ACH IEVING EVIDENCE OF "ON THE WATER" VESSEL MONITORING FOR COMMERCIAL VESSELS THROUGHOUT TANO N STRAIT AND OTHER KEY FISHERIES AREAS BY, OCEANA WORKED WITH THE FISHERIES BUREAU TO DRAF T EFFECTIVE IMPLEMENTING RULES ON VESSEL MONITORING FOR VESSELS WEIGHING 3 1 TO 30 GROSS T ONS BAN DESTRUCTIVE FISHING GEAR OCEANA PREPARED A POLICY BRIEF ON THE BIOLOGICAL, HABIT AT AND ECONOMIC IMPACTS OF BOTTOM TRAWL FISHERIES IN THE PHILIPPINES AS PART OF OUR CAMPAI GN TO BAN THE USE OF BOTTOM TRAWLS IN THE PHILIPPINES

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<p>FORM 990, PART III, LINE 4C, PROGRAM SERVICE ACCOMPLISHMENTS, CONT</p>	<p>COMMUNICATIONS ACCOUNTS BRING OCEANA'S TOTAL SUPPORTER BASE TO 3 93 MILLION SUPPORTERS WORLDWIDE -OCEANA'S WAVEMAKERS, FACEBOOK/TWITTER/INSTAGRAM FOLLOWERS TOOK ACTION FOR OCEANA 8 MILLION TIMES -OCEANA HAD NEARLY 5 MILLION VISITS TO ITS MARCOMM/HQ WEBSITES- THIS INCLUDES 2 5 MILLION VISITS TO THE MAIN SITE AND TWO MILLION VISITS TO ITS WAVEMAKER ACTION PAGES PR AND MEDIA IN 2017, OCEANA WAS WIDELY FEATURED IN THE MEDIA TO RAISE THE PROFILE OF CAMPAIGNS AND THE ORGANIZATION THE STORIES GENERATED A TOTAL OF 9,880 PRESS HITS AS OF OCTOBER 2017 OCEANA AND ITS LEADERS GENERATED HIGH-PROFILE PRESS THROUGHOUT THE YEAR WE RECEIVED EXTENSIVE MEDIA COVERAGE AROUND OUR MAJOR EVENTS IN 2017, INCLUDING THE SEPTEMBER OCEANA NEW YORK GALA, OCEANA'S "ROCK UNDER THE STARS" EVENT, AND OCEANA BOARD MEMBER TED DANSON'S INTERVIEW WITH MARIO LOPEZ ON EXTRA TV ON OCTOBER 11TH, WHERE HE DISCUSSED OCEANA IN JUNE, NINA DOBREV FILMED A PUBLIC SERVICE ANNOUNCEMENT IN SUPPORT OF THE SHARK FIN TRADE ELIMINATION ACT THE PSA PREMIERED ON DISCOVERY CHANNEL (DURING SHARK WEEK) AND HAS BEEN VIEWED OVER 2 MILLION TIMES ON NINA'S INSTAGRAM ACCOUNT ADDITIONAL NEW CELEBRITY SUPPORTERS INCLUDE ACTRESS MODEL ABBEY LEE, PERUVIAN ACTRESS STEPHANIE CAYO AND SPANISH ACTRESS CHRISTINA OCHOA -AZULA COM - OCEANA'S "BUZZFEED FOR THE OCEANS" - GENERATED 3 65 MILLION PAGEVIEWS THE SITE'S AVERAGE FACEBOOK INTERACTIONS PER POST EXCEEDS THAT OF TREEHUGGER, OCEANA, MOTHER NATURE NETWORK, DISCOVERY CHANNEL AND NATIONAL GEOGRAPHIC OUR ENGAGEMENT ON TWITTER HAS INCREASED TENFOLD SINCE JANUARY, NOW GARNERING 10 INTERACTIONS PER TWEET VERSUS ONE IN JANUARY AND WE'VE INCREASED OUR INSTAGRAM INTERACTIONS PER POST FROM 35 IN JANUARY TO 49 IN NOVEMBER PUBLICATIONS OCEANA PUBLISHED 27 REPORTS IN 2017 INTERNATIONAL SAVE THE OCEANS FEED THE WORLD (JANUARY 2017) THIS BROCHURE OUTLINES HOW SAVING THE WORLD'S OCEANS CAN HELP TO FEED THE 9 BILLION PEOPLE PROJECTED TO BE ON EARTH BY 2050 UNITED STATES NO MORE HIDING AT SEA TRANSSHIPPING EXPOSED (FEBRUARY 2017) THE REPORT, WHICH WAS RELEASED AT THE ECONOMIST'S WORLD OCEAN SUMMIT IN BALI, INDONESIA, USES A NEW DATASET RELEASED BY GLOBAL FISHING WATCH AND OCEANA'S PARTNER SKYTRUTH TO IDENTIFY LIKELY TRANSSHIPPING HOTSPOTS AS WELL AS THE TOP COUNTRIES WHOSE VESSELS WERE INVOLVED IN SUSPECTED RENDEZVOUS AT SEA AND THE PORTS THEY MOST FREQUENTLY VISITED ECONOMIC IMPACT OF SHARK DIVING IN FLORIDA (MARCH 2017) THE INDEPENDENT REPORT, COMMISSIONED BY OCEANA, HIGHLIGHTS THE STARK CONTRAST BETWEEN THE VALUE OF SHARKS TO FLORIDA'S DIVE INDUSTRY (\$221 MILLION) AND THE TOTAL US SHARK FIN EXPORT MARKET (\$1 03 MILLION IN 2015) ECOLOGICAL ATLAS OF THE BERING, CHUKCHI, AND BEAUFORT SEAS (AUGUST 2017) THIS COMPREHENSIVE, BROAD-SCALE ATLAS SYNTHESIZES DATA FROM THE ALEUTIAN ISLANDS TO THE BEAUFORT SEA COMPLEMENTS OCEANA'S PREVIOUS MAPPING PROJECTS INCLUDING THE BERING STRAIT MARINE LIFE SYNTHESIS AND THE ARCTIC MARINE SYNTHESIS WHICH FOCUSED ON MORE DISCRETE</p>

**990 Schedule O, Supplemental Information**

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<p>FORM 990, PART III, LINE 4C, PROGRAM SERVICE ACCOMPLISHMENTS, CONT</p>	<p>E AREAS EXPLORING THE LIVING SEAFLOOR SOUTHERN CALIFORNIA EXPEDITION (SEPTEMBER 2017) THIS STUDY DOCUMENTS THE LOCATION OF FRAGILE LIVING SEAFLOOR HABITATS INCLUDING DEEPSEA CORALS AND SPONGES AND CHARACTERIZES AND COMPARES THE PHYSICAL AND BIOLOGICAL STRUCTURE ACROSS FIVE GEOGRAPHIC STUDY AREAS IN THE SOUTHERN CALIFORNIA BIGHT FISHING THE BOUNDARIES OF LAW HOW THE EXCLUSIVITY CLAUSE IN EU FISHERIES AGREEMENTS WAS UNDERMINED (SEPTEMBER 2017) THIS REPORT HIGHLIGHTS UNLAWFUL FISHING ACTIVITIES, AUTHORIZED BY FOUR EUROPEAN COUNTRIES, IN THE WATERS OF THE GAMBIA AND EQUATORIAL GUINEA BETWEEN APRIL 2012 AND AUGUST 2015 FISHING FOR ANSWERS HOW MARINE WILDLIFE AND COMMERCIAL FISHING OVERLAP (NOVEMBER 2017) THIS REPORT SHOWS THE OVERLAY OF FISHING ACTIVITY WITH TAGGED BLUE SHARKS, DEMONSTRATING A CUTTING-EDGE APPROACH TO STUDY THE IMPACTS OF COMMERCIAL FISHING ACTIVITIES ON MARINE WILDLIFE AND OPENING THE DOOR TO INFORM FUTURE CONSERVATION AND MANAGEMENT EFFORTS BRAZIL PARA ENTENDER O PLANO EM VIGOR / TO UNDERSTAND THE PLAN IN FORCE (NOVEMBER 2017) CANADA COLLATERAL DAMAGE HOW TO REDUCE BYCATCH IN CANADA'S COMMERCIAL FISHERIES (MAY 2017) OCEANACANADA'S REPORT REVEALED THAT THERE ARE BIG HOLES IN CANADIAN DATA, THAT OUR REGULATORY APPROACH IS INADEQUATE AND THAT HUNDREDS OF SPECIES END UP AS BYCATCH FISHERY AUDIT 2017 UNLOCKING CANADA'S POTENTIAL FOR ABUNDANT OCEANS (OCTOBER 2017) FISHERY AUDIT 2017 IS THE MOST COMPREHENSIVE REVIEW OF THE STATE OF CANADA'S FISHERIES AND THE FIRST ANNUAL ASSESSMENT OF HOW THE GOVERNMENT IS MANAGING THEM MYSTERY FISH SEAFOOD FRAUD IN CANADA AND HOW TO STOP IT (NOVEMBER 2017) THIS REPORT ADDRESSES THE ISSUE OF SEAFOOD FRAUD-INCLUDING THE MOTIVES BEHIND IT AND THE CONSEQUENCES TO OUR HEALTH, WALLETS AND OCEANS-AS WELL AS CONCRETE RECOMMENDATIONS FOR THE CANADIAN GOVERNMENT SEAFOOD FRAUD AND MISLABELING IN OTTAWA (NOVEMBER 2017) TO BETTER UNDERSTAND THE EXTENT OF SEAFOOD FRAUD IN THE NATION'S CAPITAL, OCEANACANADA TESTED RESTAURANTS AND GROCERY STORES IN OTTAWA BASED ON THEIR POPULARITY, INCLUDING AMONG POLITICIANS AND DECISION-MAKERS, AND THEIR PROXIMITY TO PARLIAMENT HILL, GOVERNMENT OFFICES AND MEDIA HEADQUARTERS MODERN SLAVERY IN SEAFOOD SUPPLY CHAINS BRIEF (DECEMBER 2017) OCEANA PRESENTATION TO THE HOUSE OF COMMONS SUBCOMMITTEE ON INTERNATIONAL HUMAN RIGHTS CHILE OBSERVACIONES ADENDA 3 PAC PROYECTO DOMINGA / OBSERVATIONS ADDENDUM 3 PAC DOMINGA PROJECT (JANUARY 2017) PROPUESTA PARA LA CREACION DEL REA MARINA COSTERA PROTEGIDA DE MULTIPLES USOS EN LA HIGUERA E ISLA CHAARAL / PROPOSAL FOR THE CREATION OF THE COASTAL MARINE PROTECTED AREA OF MULTIPLE USES IN LA HIGUERA AND ISLA CHAARAL (DECEMBER 2017) EUROPEAN UNION THE SOUND BIODIVERSITY, THREATS, AND TRANSBOUNDARY PROTECTION (MARCH 2017) THE REPORT PRESENTS THE FINDINGS OF OCEANA'S 2016 AT-SEA RESEARCH EXPEDITION TOWARDS A COHERENT, WELL-MANAGED NETWORK OF EU MARINE PROTECTED AREAS BY 2020 (JUNE 2017) THIS REPORT PRESENTS THE RESULTS OF AN EVALUATION</p>

**990 Schedule O, Supplemental Information**

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<p>FORM 990, PART III, LINE 4C, PROGRAM SERVICE ACCOMPLISHMENTS, CONT</p>	<p>OF A SET OF NATIONAL PROGRAMMES OF MEASURES (POMS) DEVELOPED UNDER THE FRAMEWORK OF THE MARINE STRATEGY FRAMEWORK DIRECTIVE (MSFD) BY EUROPEAN MEMBER STATES OCEANA'S RECOMMENDATIONS ON FISHING OPPORTUNITIES FOR 2018 BALTIC SEA STOCKS (AUGUST 2017) IN ADVANCE FISHERIES COUNCIL DECISION ON FISHING OPPORTUNITIES IN THE BALTIC SEA, OCEANA RELEASED ITS RECOMMENDATIONS FOR SETTING TOTAL ALLOWABLE CATCHES (TACS) FOR 2018, IN LINE WITH THE MOST RECENTLY AVAILABLE SCIENTIFIC ADVICE AND AIMING TO STOP OVERFISHING IN BALTIC FISHERIES BEFORE 2020 FISHING THE BOUNDARIES OF LAW (SEPTEMBER 2017) THIS REPORT DESCRIBES HOW THE EXCLUSIVITY CLAUSE IN EU FISHERIES AGREEMENTS WAS UNDERMINED FISHING INTENSITY IN MEDITERRANEAN NATURA 2000 HOW MONITORING CAN SUPPORT MANAGEMENT &amp; CONSERVATION (OCTOBER 2017) THE INCREASING AVAILABILITY OF DETAILED FISHERIES DATA, INCLUDING LOGBOOKS AND MONITORING SYSTEMS, ENABLES THE ANALYSIS OF THE RELATIONSHIPS BETWEEN FISHING ACTIVITIES, THEIR INTENSITY AND THEIR POTENTIAL ENVIRONMENTAL IMPACTS AT AN UNPRECEDENTED LEVEL OF DETAIL PERU SEGUNDA TEMPORADA DE PESCA DE ANCHOVETA 2016 DESEMBARQUES, CAPTURA DE JUVENILES Y ACCESO A LA INFORMACION PUBLICA / SECOND SEASON OF ANCHOVY FISHING 2016 LANDINGS, CAPTURE OF JUVENILES AND ACCESS TO PUBLIC INFORMATION (JANUARY 2017) LA TRANSPARENCIA EN EL SECTOR PESQUERO PERUANO / TRANSPARENCY IN THE PERUVIAN FISHING SECTOR (JULY 2017) WITH THE OBJECTIVE OF EVALUATING THE DEGREE OF TRANSPARENCY OF THE PERUVIAN FISHING SECTOR AND IDENTIFYING THE NECESSARY IMPROVEMENTS, OCEANA CONDUCTED A COMPARATIVE ANALYSIS OF THE FISHERIES MANAGEMENT REGULATIONS (ROP), THE MAIN MANAGEMENT INSTRUMENT FOR PERUVIAN FISHERIES, INTERVIEWED EXPERTS AND SURVEYED 202 PEOPLE RELATED TO THE PERUVIAN FISHING SECTOR AIS Y GESTION PESQUERA, CONDICIONES Y LOGROS / AIS AND FISHERIES MANAGEMENT, CONDITIONS AND ACHIEVEMENTS (AUGUST 2017) OCEANA AND THE FISHERIES ENGINEERING CHAPTER OF THE DEPARTMENTAL COUNCIL OF LIMA OF THE COLLEGE OF ENGINEERS OF PERU, BROUGHT TOGETHER EXPERTS FROM THE BUSINESS SECTOR, UNIVERSITIES, AND STATE ENTITIES LINKED TO FISHERIES, TO LEARN ABOUT THE PROGRESS AND CHALLENGE STILL FACING PERU ANALISIS PRIMERA TEMPORADA DE PESCA DE ANCHOVETA 2017 / ANALYSIS OF THE FIRST ANCHOVY FISHING SEASON OF 2017 (AUGUST 2017) IN THIS REPORT WE REVIEW THE RESULTS OF THE LAST ANCHOVY FISHING SEASON OF THE NORTH-CENTRAL STOCK BASED ON INFORMATION OBTAINED FROM THE FISHING ACTIVITY REPORTS PUBLISHED BY THE INSTITUTO DEL MAR DEL PER (IMARPE)</p>

**990 Schedule O, Supplemental Information**

Return Reference	Explanation
FORM 990, PART III, LINE 4C, PROGRAM SERVICE ACCOMPLISHMENTS, CON'T	ANLISIS INICIAL DE LA SEGUNDA TEMPORADA DE PESCA DE ANCHOVETA 2017 / INITIAL ANALYSIS OF THE SECOND ANCHOVY FISHING SEASON OF 2017 (NOVEMBER 2017) OCEANA CONSIDERS PART OF ITS WORK TO KEEP THE PUBLIC INFORMED ABOUT THE STATE OF OUR FISHERIES AND THE POSSIBLE CONSEQUENCES OF THE MANAGEMENT DECISIONS THAT ARE TAKEN ON THEM THEREFORE, BASED ON THE POPULATION ASSESSMENT REPORTS RECENTLY PUBLISHED BY IMARPE, WE PRESENT HERE OUR INITIAL ANALYSIS OF THE SECOND ANCHOVY FISHING SEASON AND THE CHALLENGES IT REPRESENTS PHILIPPINES AMENDED FISHERIES CODE PRIMER (MARCH 2017) THIS PRIMER ON THE FISHERIES CODE, AS AMENDED, WAS BORNE OUT OF OCEANA'S ADVOCACY TO POPULARIZE THE KNOWLEDGE OF AND MAINSTREAM THE IMPLEMENTATION OF OUR LAWS TO RESTORE THE ABUNDANCE OF OUR FISHERIES POLICY BRIEF ON BOTTOM TRAWLING IN THE PHILIPPINES (DECEMBER 2017)

# 990 Schedule O, Supplemental Information

Return Reference	Explanation
FORM 990, PART VI, SECTION A, LINE 2	BOARD MEMBERS, SUSAN ROCKEFELLER AND DAVID ROCKEFELLER, JR , HAVE A FAMILY RELATIONSHIP

**990 Schedule O, Supplemental Information**

<b>Return Reference</b>	<b>Explanation</b>
FORM 990, PART VI, SECTION B, LINE 11B	INFORMATION FOR THE FEDERAL FORM 990 COMES LARGELY FROM OCEANA'S INDEPENDENTLY AUDITED FINANCIAL STATEMENTS, WHICH CONSOLIDATES OCEANA'S ACTIVITIES ACROSS NATIONAL BOUNDARIES THE ACCOUNTING DEPARTMENT COLLECTS THIS AND OTHER INFORMATION NEEDED FOR THE FEDERAL FORM 990, WHICH IS REVIEWED AND PRESENTED IN DRAFT FORM BY A TAX ACCOUNTING FIRM AFTER APPROVAL BY SENIOR MANAGEMENT, THE FINAL DRAFT OF THE 990 IS PRESENTED TO THE BOARD FOR REVIEW BEFORE IT IS SUBMITTED TO THE INTERNAL REVENUE SERVICE

**990 Schedule O, Supplemental Information**

Return Reference	Explanation
FORM 990, PART VI, SECTION B, LINE 12C	<p>EVERY YEAR, OCEANA'S OFFICERS, DIRECTORS, AND KEY EMPLOYEES FILL OUT A DISCLOSURE REPORT ASKING THEM TO DISCLOSE ANY FAMILY OR BUSINESS RELATIONSHIPS THEY MAY HAVE WITH OTHER OCEANA OFFICERS, DIRECTORS, OR KEY EMPLOYEES, AS WELL AS ANY FINANCIAL CONFLICTS OF INTEREST THEY MAY HAVE IN ADDITION, OCEANA'S CONFLICT OF INTEREST POLICY REQUIRES ANY DIRECTOR OR OFFICER WHO IS AN INTERESTED PERSON WITH RESPECT TO A TRANSACTION OR ARRANGEMENT UNDER CONSIDERATION BY THE CORPORATION TO PROMPTLY DISCLOSE TO THE BOARD OF DIRECTORS OR THE BOARD'S DESIGNATE THE EXISTENCE AND NATURE OF HIS OR HER FINANCIAL INTEREST IN THE TRANSACTION OR ARRANGEMENT IF OCEANA IS CONSIDERING ENTERING INTO A TRANSACTION OR ARRANGEMENT IN WHICH AN INTERESTED PERSON HAS A FINANCIAL INTEREST, THE BOARD OF DIRECTORS APPOINTS A COMMITTEE OR SUBCOMMITTEE TO REVIEW THE TRANSACTION OR ARRANGEMENT NO INTERESTED PERSON WITH RESPECT TO THE TRANSACTION OR ARRANGEMENT UNDER REVIEW WILL BE A MEMBER OF THIS COMMITTEE OR SUBCOMMITTEE OR OTHERWISE PARTICIPATE IN ITS DELIBERATIONS, EXCEPT TO FURNISH SUCH FACTUAL INFORMATION AS THE COMMITTEE MAY REQUEST IF THE COMMITTEE FINDS THAT AN INTERESTED PERSON HAS A CONFLICT OF INTEREST WITH RESPECT TO A PROPOSED TRANSACTION OR ARRANGEMENT, THE COMMITTEE WILL OBTAIN RELIABLE INFORMATION ABOUT THE TERMS OF COMPARABLE TRANSACTIONS OR ARRANGEMENTS THAT ARE REASONABLY AVAILABLE TO OCEANA FROM UNRELATED PARTIES FREE OF ANY CONFLICTS OF INTEREST AFTER REVIEWING THIS COMPARABILITY INFORMATION, THE COMMITTEE WILL DETERMINE BY MAJORITY VOTE WHETHER THE PROPOSED TRANSACTION OR ARRANGEMENT IS FAIR AND REASONABLE TO OCEANA AND WHETHER IT WOULD BE IN THE OCEANA'S BEST INTEREST TO ENTER INTO IT ON THE TERMS PROPOSED IF THE BOARD OF DIRECTORS LEARNS THAT A DIRECTOR OR OFFICER HAS FAILED TO DISCLOSE A FINANCIAL INTEREST AS REQUIRED BY THIS POLICY, IT WILL INFORM THE INDIVIDUAL AND AFFORD AN OPPORTUNITY FOR THE INDIVIDUAL TO EXPLAIN THE ALLEGED FAILURE TO DISCLOSE AFTER HEARING THE INDIVIDUAL'S RESPONSE, THE BOARD WILL TAKE APPROPRIATE ACTION IF ANY ACTION IS NEEDED AS FOR THE STAFF, OCEANA'S EMPLOYEE MANUAL STATES ITS CONFLICT OF INTEREST POLICY ENFORCEMENT RULES EMPLOYEES MUST DISCLOSE ANY ACTUAL, POTENTIAL, OR APPARENT CONFLICT OF INTEREST TO THE GENERAL COUNSEL WHERE APPROPRIATE, THE GENERAL COUNSEL MAY REQUIRE SUITABLE REMEDIAL ACTION, SUCH AS DIVESTITURE OF ADVERSE INTERESTS, RECUSAL FROM CERTAIN DECISIONS, OR OTHER ACTION TO AVOID AN APPEARANCE OR EXISTENCE OF A CONFLICT THESE REPORTS ARE REVIEWED EACH YEAR BY THE BOARD'S FINANCE AND AUDIT COMMITTEE</p>

**990 Schedule O, Supplemental Information**

<b>Return Reference</b>	<b>Explanation</b>
FORM 990, PART VI, SECTION B, LINE 15	OCEANA'S PROCESS FOR DETERMINING COMPENSATION OF ITS CEO, OFFICERS, AND KEY EMPLOYEES IS AS FOLLOWS OCEANA PROVIDES THE FINANCE AND AUDIT COMMITTEE OF THE BOARD OF DIRECTORS WITH DATA FROM MULTIPLE SOURCES ON COMPARABLE SALARIES IN OTHER NONPROFIT ORGANIZATIONS, ESPECIALLY BUT NOT LIMITED TO THOSE IN THE CONSERVATION FIELD, FOR OCEANA'S CEO, TOP MANAGEMENT, OFFICERS, AND KEY EMPLOYEES THE COMMITTEE REVIEWS THESE DATA TO DETERMINE IF THE COMPENSATION IS REASONABLE AND THAT OCEANA HAS NOT ENGAGED IN AN EXCESS BENEFIT TRANSACTION WITH ANY INDIVIDUAL IN A POSITION TO SUBSTANTIALLY INFLUENCE THE ORGANIZATION'S AFFAIRS THE DISCUSSIONS ARE DOCUMENTED IN THE MINUTES OCEANA REGULARLY CONDUCTS COMPENSATION REVIEWS, MOST RECENTLY IN DECEMBER 2017

**990 Schedule O, Supplemental Information**

<b>Return Reference</b>	<b>Explanation</b>
FORM 990, PART VI, SECTION C, LINE 19	OCEANA POSTS ANNUAL REPORTS, ITS AUDITED FINANCIAL STATEMENTS, AND THE PUBLIC DISCLOSURE COPY OF ITS IRS FORM 990 ON ITS WEBSITE, WWW OCEANA ORG IT ALSO SHARES RELEVANT INFORMATION WITH INDEPENDENT "WATCHDOG ORGANIZATIONS SUCH AS GUIDESTAR, CHARITY NAVIGATOR AND THE BETTER BUSINESS BUREAU TO ALLOW THESE ORGANIZATIONS INDEPENDENT ASSESSMENT OF OCEANA'S ACCOUNTABILITY AND TRANSPARENCY OCEANA'S ARTICLES OF INCORPORATION, BY-LAWS AND FORM 1023 ARE AVAILABLE ON OCEANA'S WEBSITE AND TO MEMBERS OF THE PUBLIC UPON WRITTEN REQUEST OCEANA'S ARTICLES OF INCORPORATION, AS WELL AS A CERTIFICATE OF GOOD STANDING, ARE ALSO INDEPENDENTLY AVAILABLE THROUGH THE DEPARTMENT OF CONSUMER AND REGULATORY AFFAIRS FOR THE DISTRICT OF COLUMBIA (WHERE OCEANA, INC IS INCORPORATED), THOUGH THERE IS A FEE FOR THIS SERVICE

**990 Schedule O, Supplemental Information**

Return Reference	Explanation
FORM 990	THIS RETURN PRESENTS CONSOLIDATED FINANCIAL STATEMENTS FOR OCEANA, INC AND ITS NON-U S AFFILIATES OCEANA HAS FIELD OFFICES IN SPAIN, BRAZIL, DENMARK, BELGIUM, PHILIPPINES, BELIZE, CHILE AND PERU FOR THE PURPOSE OF BUILDING AN INTERNATIONAL MOVEMENT TO SAVE THE OCEANS THROUGH PUBLIC POLICY ADVOCACY, SCIENCE AND ECONOMICS, LEGAL ACTION, GRASSROOTS MOBILIZATION, AND PUBLIC EDUCATION THE OPERATIONS IN SPAIN, BELIZE, BRAZIL, AND THE UNITED KINGDOM FOLLOW THE HOST COUNTRY'S REGULATIONS AND, AS A RESULT, WERE INCORPORATED AS INDEPENDENT ENTITIES HOWEVER, THESE ENTITIES ARE DEPENDENT ON OCEANA FOR FUNDING, PARTICIPATE IN OCEANA ACTIVITIES AND DECISION-MAKING, AND CARRY OUT THE GENERAL MISSION AND INTERNATIONAL ACTIVITIES OF OCEANA

**SCHEDULE R  
(Form 990)**

**Related Organizations and Unrelated Partnerships**

OMB No 1545-0047

**2017**

**Open to Public  
Inspection**

▶ **Complete if the organization answered "Yes" on Form 990, Part IV, line 33, 34, 35b, 36, or 37.**  
▶ **Attach to Form 990.**  
▶ **Information about Schedule R (Form 990) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).**

Department of the Treasury  
Internal Revenue Service

Name of the organization  
OCEANA INC

**Employer identification number**

51-0401308

**Part I Identification of Disregarded Entities** Complete if the organization answered "Yes" on Form 990, Part IV, line 33.

(a) Name, address, and EIN (if applicable) of disregarded entity	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Total income	(e) End-of-year assets	(f) Direct controlling entity

**Part II Identification of Related Tax-Exempt Organizations** Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related tax-exempt organizations during the tax year.

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Exempt Code section	(e) Public charity status (if section 501(c)(3))	(f) Direct controlling entity	(g) Section 512(b)(13) controlled entity?	
						Yes	No
<b>(1)</b> OCEANA ADVOCACY RESOURCES INC 1025 CONNECTICUT AVENUE NW 200  WASHINGTON, DC 20036 31-1814181	LOBBYING	DC	501(C)(4)	N/A	OCEANA INC	Yes	
<b>(2)</b> FUNDACION OCEANA GRAN VIA 59 9TH FLOOR MADRID 28013 SP	PROTECTING THE WORLD'S OCEANS TO SUSTAIN THE CIRCLE OF LIFE	SP	N/A	N/A	OCEANA INC	Yes	
<b>(3)</b> OCEANA UK 2-6 CANNON STREET EC4M 6YH LONDON EC4M 6YH UK	PROTECTING THE WORLD'S OCEANS TO SUSTAIN THE CIRCLE OF LIFE	UK	N/A	N/A	OCEANA INC	Yes	
<b>(4)</b> OCEANA IN BELIZE 2385 HIBISCUS STREET BELMOPAN BH	PROTECTING THE WORLD'S OCEANS TO SUSTAIN THE CIRCLE OF LIFE	BH	N/A	N/A	OCEANA INC	Yes	
<b>(5)</b> FRIENDS OF OCEANA 58 AVENUE LOUIS-CASI CASE POSTALE, GENEVA SZ	PROTECTING THE WORLD'S OCEANS TO SUSTAIN THE CIRCLE OF LIFE	SZ	N/A	N/A	OCEANA INC	Yes	
<b>(6)</b> OCEANA BRASIL 1025 CONNECTICUT AVENUE NW 200  WASHINGTON, DC 20036	PROTECTING THE WORLD'S OCEANS TO SUSTAIN THE CIRCLE OF LIFE	BR	N/A	N/A	OCEANA INC	Yes	
<b>(7)</b> OCEANA PHILIPPINES INTERNATIONAL 1025 CONNECTICUT AVENUE NW 200  WASHINGTON, DC 20036	PROTECTING THE WORLD'S OCEANS TO SUSTAIN THE CIRCLE OF LIFE	DC	N/A	N/A	OCEANA INC	Yes	

**Part III Identification of Related Organizations Taxable as a Partnership** Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related organizations treated as a partnership during the tax year.

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Predominant income(related, unrelated, excluded from tax under sections 512- 514)	(f) Share of total income	(g) Share of end-of-year assets	(h) Disproportionate allocations?		(i) Code V-UBI amount in box 20 of Schedule K-1 (Form 1065)	(j) General or managing partner?		(k) Percentage ownership
							Yes	No		Yes	No	

**Part IV Identification of Related Organizations Taxable as a Corporation or Trust** Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related organizations treated as a corporation or trust during the tax year.

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Type of entity (C corp, S corp, or trust)	(f) Share of total income	(g) Share of end-of- year assets	(h) Percentage ownership	(i) Section 512(b) (13) controlled entity?	
								Yes	No

**Part V Transactions With Related Organizations** Complete if the organization answered "Yes" on Form 990, Part IV, line 34, 35b, or 36.

**Note.** Complete line 1 if any entity is listed in Parts II, III, or IV of this schedule

	Yes	No
<b>1</b> During the tax year, did the organization engage in any of the following transactions with one or more related organizations listed in Parts II-IV?		
<b>a</b> Receipt of <b>(i)</b> interest, <b>(ii)</b> annuities, <b>(iii)</b> royalties, or <b>(iv)</b> rent from a controlled entity . . . . .		No
<b>b</b> Gift, grant, or capital contribution to related organization(s) . . . . .	Yes	
<b>c</b> Gift, grant, or capital contribution from related organization(s) . . . . .		No
<b>d</b> Loans or loan guarantees to or for related organization(s) . . . . .		No
<b>e</b> Loans or loan guarantees by related organization(s) . . . . .		No
<b>f</b> Dividends from related organization(s) . . . . .		No
<b>g</b> Sale of assets to related organization(s) . . . . .		No
<b>h</b> Purchase of assets from related organization(s) . . . . .		No
<b>i</b> Exchange of assets with related organization(s) . . . . .		No
<b>j</b> Lease of facilities, equipment, or other assets to related organization(s) . . . . .		No
<b>k</b> Lease of facilities, equipment, or other assets from related organization(s) . . . . .		No
<b>l</b> Performance of services or membership or fundraising solicitations for related organization(s) . . . . .	Yes	
<b>m</b> Performance of services or membership or fundraising solicitations by related organization(s) . . . . .		No
<b>n</b> Sharing of facilities, equipment, mailing lists, or other assets with related organization(s) . . . . .		No
<b>o</b> Sharing of paid employees with related organization(s) . . . . .		No
<b>p</b> Reimbursement paid to related organization(s) for expenses . . . . .	Yes	
<b>q</b> Reimbursement paid by related organization(s) for expenses . . . . .		No
<b>r</b> Other transfer of cash or property to related organization(s) . . . . .		No
<b>s</b> Other transfer of cash or property from related organization(s) . . . . .		No

**2** If the answer to any of the above is "Yes," see the instructions for information on who must complete this line, including covered relationships and transaction thresholds

(a) Name of related organization	(b) Transaction type (a-s)	(c) Amount involved	(d) Method of determining amount involved
(1) FUNDACION OCEANA	B	2,169,290	COST
(2) OCEANA IN BELIZE	B	399,708	COST
(3) OCEANA BRASIL	B	1,998,262	COST
(4) OCEANA PHILLIPPINES INTERNATIONAL	B	1,110,266	COST



**Part VII** **Supplemental Information**

Provide additional information for responses to questions on Schedule R (see instructions)

# Additional Data

**Software ID:**  
**Software Version:**  
**EIN:** 51-0401308  
**Name:** OCEANA INC

## Form 990, Schedule R, Part II - Identification of Related Tax-Exempt Organizations

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Exempt Code section	(e) Public charity status (if section 501(c)(3))	(f) Direct controlling entity	(g) Section 512 (b)(13) controlled entity?	
						Yes	No
1025 CONNECTICUT AVENUE NW 200 WASHINGTON, DC 20036 31-1814181	LOBBYING	DC	501(C)(4)	N/A	OCEANA INC	Yes	
GRAN VIA 59 9TH FLOOR MADRID 28013 SP	PROTECTING THE WORLD'S OCEANS TO SUSTAIN THE CIRCLE OF LIFE	SP	N/A	N/A	OCEANA INC	Yes	
2-6 CANNON STREET EC4M 6YH LONDON EC4M 6YH UK	PROTECTING THE WORLD'S OCEANS TO SUSTAIN THE CIRCLE OF LIFE	UK	N/A	N/A	OCEANA INC	Yes	
2385 HIBISCUS STREET BELMOPAN BH	PROTECTING THE WORLD'S OCEANS TO SUSTAIN THE CIRCLE OF LIFE	BH	N/A	N/A	OCEANA INC	Yes	
58 AVENUE LOUIS-CASI CASE POSTALE, GENEVA SZ	PROTECTING THE WORLD'S OCEANS TO SUSTAIN THE CIRCLE OF LIFE	SZ	N/A	N/A	OCEANA INC	Yes	
1025 CONNECTICUT AVENUE NW 200 WASHINGTON, DC 20036	PROTECTING THE WORLD'S OCEANS TO SUSTAIN THE CIRCLE OF LIFE	BR	N/A	N/A	OCEANA INC	Yes	
1025 CONNECTICUT AVENUE NW 200 WASHINGTON, DC 20036	PROTECTING THE WORLD'S OCEANS TO SUSTAIN THE CIRCLE OF LIFE	DC	N/A	N/A	OCEANA INC	Yes	