

EXTENDED TO NOVEMBER 15, 2018

## Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

OMB No 1545-0052

2017

Open to Public Inspection

Form 990-PF

Department of the Treasury  
Internal Revenue Service

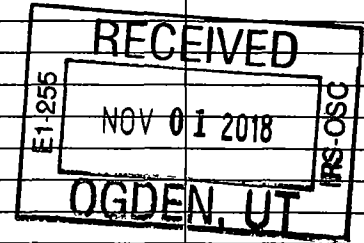
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Go to www.irs.gov/Form990PF for instructions and the latest information

For calendar year 2017 or tax year beginning

, and ending

Name of foundation <b>GLADYS AND ROLAND HARRIMAN FOUNDATION</b>		A Employer identification number <b>51-0193915</b>
Number and street (or P.O. box number if mail is not delivered to street address) <b>C/O BBH &amp; CO, 140 BROADWAY-4TH FL.</b>	Room/suite	B Telephone number <b>(212) 493-8185</b>
City or town, state or province, country, and ZIP or foreign postal code <b>NEW YORK, NY 10005</b>		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		D 1 Foreign organizations, check here <input type="checkbox"/>
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		2 Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) <b>\$ 138,854,922.</b>		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
J Accounting method <input type="checkbox"/> Cash <input type="checkbox"/> Accrual <input checked="" type="checkbox"/> Other (specify) <b>MODIFIED CASH</b>		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received				N/A	
2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. B					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities		2,382,144.	2,382,144.		STATEMENT 1
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		7,653,986.			
b Gross sales price for all assets on line 6a <b>13,393,341.</b>					
7 Capital gain net income (from Part IV, line 2)			7,653,986.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income		123,582.	64,260.		STATEMENT 2
12 Total. Add lines 1 through 11		10,159,712.	10,100,390.		
13 Compensation of officers, directors, trustees, etc		69,160.	0.		69,160.
14 Other employee salaries and wages		114,000.	0.		114,000.
15 Pension plans, employee benefits		48,366.	0.		48,366.
16a Legal fees					
b Accounting fees STMT 3		30,820.	0.		30,820.
c Other professional fees STMT 4		479,735.	479,735.		0.
17 Interest					
18 Taxes STMT 5		310,905.	80,487.		1,096.
19 Depreciation and depletion					
20 Occupancy		29,020.	0.		29,020.
21 Travel, conferences, and meetings		4,777.	0.		4,777.
22 Printing and publications		155.	0.		155.
23 Other expenses STMT 6		499,051.	486,345.		12,706.
24 Total operating and administrative expenses. Add lines 13 through 23		1,585,989.	1,046,567.		310,100.
25 Contributions, gifts, grants paid		7,445,226.			7,445,226.
26 Total expenses and disbursements. Add lines 24 and 25		9,031,215.	1,046,567.		7,755,326.
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements		1,128,497.			
b Net investment income (if negative, enter -0-)			9,053,823.		
c Adjusted net income (if negative, enter -0-)				N/A	



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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end of year amounts only	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing	8,894.		
	2	Savings and temporary cash investments	3,903,630.	6,130,612.	6,130,612.
	3	Accounts receivable ▶			
		Less: allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less: allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons			
	7	Other notes and loans receivable ▶			
		Less: allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments - U.S. and state government obligations			
	b	Investments - corporate stock <span style="float:right">STMT 7</span>	34,715,271.	34,224,620.	58,818,315.
	c	Investments - corporate bonds			
	11	Investments - land, buildings, and equipment basis ▶			
Liabilities		Less: accumulated depreciation ▶			
	12	Investments - mortgage loans			
	13	Investments - other <span style="float:right">STMT 8</span>	62,339,186.	61,642,412.	73,767,267.
	14	Land, buildings, and equipment basis ▶			
		Less: accumulated depreciation ▶			
	15	Other assets (describe <span style="float:right">STATEMENT 9</span> )	40,894.	138,728.	138,728.
	16	Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)	101,007,875.	102,136,372.	138,854,922.
	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable			
	22	Other liabilities (describe ▶)			
	23	Total liabilities (add lines 17 through 22)	0.	0.	
Net Assets or Fund Balances		Foundations that follow SFAS 117, check here <input type="checkbox"/> and complete lines 24 through 26, and lines 30 and 31			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here <input checked="" type="checkbox"/> and complete lines 27 through 31			
	27	Capital stock, trust principal, or current funds	90,563,278.	90,563,278.	
	28	Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	29	Retained earnings, accumulated income, endowment, or other funds	10,444,597.	11,573,094.	
	30	Total net assets or fund balances	101,007,875.	102,136,372.	
	31	Total liabilities and net assets/fund balances	101,007,875.	102,136,372.	

## Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	101,007,875.
2	Enter amount from Part I, line 27a	2	1,128,497.
3	Other increases not included in line 2 (itemize) ▶	3	0.
4	Add lines 1, 2, and 3	4	102,136,372.
5	Decreases not included in line 2 (itemize) ▶	5	0.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	102,136,372.

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a			
b SEE ATTACHED STATEMENTS			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) ((e) plus (f) minus (g))
a			
b			
c			
d			
e 13,393,341.		5,739,355.	7,653,986.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69.

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			
b			
c			
d			
e			7,653,986.

2 Capital gain net income or (net capital loss)	<div> <div>If gain, also enter in Part I, line 7</div> <div>If (loss), enter -0- in Part I, line 7</div> </div>	2	7,653,986.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)	<div> <div>If gain, also enter in Part I, line 8, column (c).</div> <div>If (loss), enter -0- in Part I, line 8</div> </div>	3	N/A

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation doesn't qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2016	7,084,523.	120,866,122.	.058615
2015	7,499,267.	128,598,970.	.058315
2014	7,723,593.	132,403,177.	.058334
2013	7,217,457.	122,993,975.	.058681
2012	6,711,206.	114,041,944.	.058849

2 Total of line 1, column (d)	2	.292794
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 50, or by the number of years the foundation has been in existence if less than 5 years	3	.058559
4 Enter the net value of noncharitable-use assets for 2017 from Part X, line 5	4	129,566,551.
5 Multiply line 4 by line 3	5	7,587,288.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	90,538.
7 Add lines 5 and 6	7	7,677,826.
8 Enter qualifying distributions from Part XII, line 4	8	7,755,326.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.  
See the Part VI instructions.

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary-see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b	1	90,538.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations, enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)	2	0.
3	Add lines 1 and 2	3	90,538.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)	4	0.
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	90,538.
6	Credits/Payments.		
a	2017 estimated tax payments and 2016 overpayment credited to 2017	6a	99,322.
b	Exempt foreign organizations - tax withheld at source	6b	0.
c	Tax paid with application for extension of time to file (Form 8868)	6c	25,000.
d	Backup withholding erroneously withheld	6d	0.
7	Total credits and payments. Add lines 6a through 6d	7	124,322.
8	Enter any penalty for underpayment of estimated tax. Check here <input checked="" type="checkbox"/> if Form 2220 is attached	8	86.
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	33,698.
11	Enter the amount of line 10 to be Credited to 2018 estimated tax <input checked="" type="checkbox"/> 33,698. Refunded <input type="checkbox"/>	11	0.

**Part VII-A Statements Regarding Activities**

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition. If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
1c Did the foundation file Form 1120-POL for this year?		X
2 Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year. (1) On the foundation <input checked="" type="checkbox"/> \$ 0. (2) On foundation managers <input checked="" type="checkbox"/> \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input checked="" type="checkbox"/> \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered. See instructions <input checked="" type="checkbox"/> NY		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2017 or the tax year beginning in 2017? See the instructions for Part XIV. If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

**Part VII-A** Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions	11	X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions	12	X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A	13	X
14 The books are in care of ► LOTUS WU Telephone no. ► 212-493-8182 Located at ► 140 BROADWAY - 16TH FL., NEW YORK, NY ZIP+4 ► 10005		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - check here and enter the amount of tax-exempt interest received or accrued during the year	15	N/A
16 At any time during calendar year 2017, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ►	16	X

**Part VII-B** Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year, did the foundation (either directly or indirectly): (1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (6) Agree to pay money or property to a government official? (Exception Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions Organizations relying on a current notice regarding disaster assistance, check here	N/A	1b
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2017?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2017, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2017? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ►		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions)	N/A	2b
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ►		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If "Yes," did it have excess business holdings in 2017 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2017.)	N/A	3b
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2017?	4b	X

**Part VII-B** Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year, did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions

☒ Yes ☐ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions

5b

X

Organizations relying on a current notice regarding disaster assistance, check here

☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

SEE STATEMENT 11

☒ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

6b

X

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, and foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 10		69,160.	7,366.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0



**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	128,391,693.
b	Average of monthly cash balances	1b	3,147,953.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	131,539,646.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	131,539,646.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	1,973,095.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	129,566,551.
6	Minimum investment return. Enter 5% of line 5	6	6,478,328.

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	6,478,328.
2a	Tax on investment income for 2017 from Part VI, line 5	2a	90,538.
b	Income tax for 2017. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	90,538.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	6,387,790.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	6,387,790.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	6,387,790.

**Part XII Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	7,755,326.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8; and Part XIII, line 4	4	7,755,326.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	90,538.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	7,664,788.

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.



**Part XIII** Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2016	(c) 2016	(d) 2017
1 Distributable amount for 2017 from Part XI, line 7				6,387,790.
2 Undistributed income, if any, as of the end of 2017				
a Enter amount for 2016 only			0.	
b Total for prior years		0.		
3 Excess distributions carryover, if any, to 2017.				
a From 2012	1,125,231.			
b From 2013	1,361,042.			
c From 2014	1,305,060.			
d From 2015	1,280,206.			
e From 2016	1,163,113.			
f Total of lines 3a through e	6,234,652.			
4 Qualifying distributions for 2017 from Part XII, line 4: \$ 7,755,326.				
a Applied to 2016, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2017 distributable amount				6,387,790.
e Remaining amount distributed out of corpus	1,367,536.			
5 Excess distributions carryover applied to 2017 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	7,602,188.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2016. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2017. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2018				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2012 not applied on line 5 or line 7	1,125,231.			
9 Excess distributions carryover to 2018. Subtract lines 7 and 8 from line 6a	6,476,957.			
10 Analysis of line 9:				
a Excess from 2013	1,361,042.			
b Excess from 2014	1,305,060.			
c Excess from 2015	1,280,206.			
d Excess from 2016	1,163,113.			
e Excess from 2017	1,367,536.			



**Part XV** Supplementary Information (continued)**3** Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<b>a</b> Paid during the year				
SEE ATTACHED STATEMENT	NONE	PUBLIC	GENERAL SUPPORT	7,445,226.
<b>Total</b>			▶ 3a	7,445,226.
<b>b</b> Approved for future payment				
SEE ATTACHED STATEMENT	NONE	PUBLIC	GENERAL SUPPORT	5,652,755.
<b>Total</b>			▶ 3b	5,652,755.



**Part XVII Information Regarding Transfers to and Transactions and Relationships With Noncharitable Exempt Organizations**

- |          |  | Yes   | No |
|----------|--|-------|----|
| <b>1</b> | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?  |       |    |
| <b>a</b> | Transfers from the reporting foundation to a noncharitable exempt organization of:   |       |    |
|          | (1) Cash   | 1a(1) | X  |
|          | (2) Other assets   | 1a(2) | X  |
| <b>b</b> | Other transactions   |       |    |
|          | (1) Sales of assets to a noncharitable exempt organization   | 1b(1) | X  |
|          | (2) Purchases of assets from a noncharitable exempt organization   | 1b(2) | X  |
|          | (3) Rental of facilities, equipment, or other assets   | 1b(3) | X  |
|          | (4) Reimbursement arrangements   | 1b(4) | X  |
|          | (5) Loans or loan guarantees   | 1b(5) | X  |
|          | (6) Performance of services or membership or fundraising solicitations   | 1b(6) | X  |
| <b>c</b> | Sharing of facilities, equipment, mailing lists, other assets, or paid employees   | 1c    | X  |
| <b>d</b> | If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. |       |    |

[illegible]

- 2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

- b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

**Sign  
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer or trustee Donald T. Bennett

10-22-2018  
Date

**PRESIDENT**  
Title

May the IRS discuss this return with the preparer shown below? See instr.

☒ Yes ☐ No

**Paid  
Preparer  
Use Only**

Print/Type preparer's name

KENNETH MOY

Preparer's signature

Kg

Date

10/22/18

Check ☐ self-employed

PTIN	
------	--

P01291332

Firm's name ► BROWN BROTHERS HARRIMAN & CO.

Firm's EIN ▶ 13-4973745

Firm's address ► 140 BROADWAY - 4TH FL  
NEW YORK, NY 10005

Phone no 212-493-8000

GLADYS AND ROLAND HARRIMAN FOUNDATION

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a LITIGATION SETTLEMENT	P	VARIOUS	VARIOUS
b BROWN BROTHERS HARRIMAN & CO 1530	P	VARIOUS	VARIOUS
c BROWN BROTHERS HARRIMAN & CO 1530	P	VARIOUS	VARIOUS
d BROWN BROTHERS HARRIMAN & CO 1406	P	VARIOUS	VARIOUS
e BROWN BROTHERS HARRIMAN & CO 1399	P	VARIOUS	VARIOUS
f WEALTH STR. LLC ALTAROCK PTNERS	P	VARIOUS	VARIOUS
g WEALTH STR. LLC BARES	P	VARIOUS	VARIOUS
h WEALTH STR. LLC BURGUNDY	P	VARIOUS	VARIOUS
i WEALTH STR. LLC CLARKSTON CAP PTHERS	P	VARIOUS	VARIOUS
j WEALTH STR. LLC REMS	P	VARIOUS	VARIOUS
k WEALTH STR. LLC SELECT EQUITY	P	VARIOUS	VARIOUS
l REAL ESTATE INCOME FD I	P	VARIOUS	VARIOUS
m REAL ESTATE INCOME FD II	P	VARIOUS	VARIOUS
n BBH CAP PARTNERS III	P	VARIOUS	VARIOUS
o WEALTH STR. LLC ALTAROCK PTNERS	P	VARIOUS	VARIOUS

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 1,448.			1,448.
b 257,708.		193,384.	64,324.
c 3,165,041.		1,956,492.	1,208,549.
d 2,952,542.		80,046.	2,872,496.
e 3,195,000.		3,242,854.	<47,854.>
f 371,685.			371,685.
g 144,025.			144,025.
h		24,662.	<24,662.>
i 59,211.			59,211.
j		27,016.	<27,016.>
k 654,803.			654,803.
l		165,349.	<165,349.>
m 482,603.			482,603.
n 626,076.			626,076.
o 43,170.			43,170.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			1,448.
b			64,324.
c			1,208,549.
d			2,872,496.
e			<47,854.>
f			371,685.
g			144,025.
h			<24,662.>
i			59,211.
j			<27,016.>
k			654,803.
l			<165,349.>
m			482,603.
n			626,076.
o			43,170.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7  
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):  
If gain, also enter in Part I, line 8, column (c).  
If (loss), enter "-0-" in Part I, line 8

3

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	WEALTH STR. LLC BURGUNDY	P	VARIOUS	VARIOUS
b	WEALTH STR. LLC CLARKSTON CAP PTERS	P	VARIOUS	VARIOUS
c	WEALTH STR. LLC REMS	P	VARIOUS	VARIOUS
d	WEALTH STR. LLC SELECT EQUITY	P	VARIOUS	VARIOUS
e	CAPITAL GAINS DIVIDENDS			
f				
g				
h				
i				
j				
k				
l				
m				
n				
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a		19,314.	<19,314.>
b	2,362.		2,362.
c		30,238.	<30,238.>
d	891,032.		891,032.
e	546,635.		546,635.
f			
g			
h			
i			
j			
k			
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Losses (from col (h)) Gains (excess of col (h) gain over col. (k), but not less than "-0-")
a			<19,314.>
b			2,362.
c			<30,238.>
d			891,032.
e			546,635.
f			
g			
h			
i			
j			
k			
l			
m			
n			
o			

2 Capital gain net income or (net capital loss)

{ If gain, also enter in Part I, line 7  
If (loss), enter "-0-" in Part I, line 7 }

2

7,653,986.

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6).

If gain, also enter in Part I, line 8, column (c)

If (loss), enter "-0-" in Part I, line 8

3

N/A

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FORM 990-PF                      DIVIDENDS AND INTEREST FROM SECURITIES                      STATEMENT                      1

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SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
ALTERNATIVE INVESTMENT	247,296.	0.	247,296.	247,296.	
BROWN BROTHERS HARRIMAN & CO	341,392.	0.	341,392.	341,392.	
BROWN BROTHERS HARRIMAN & CO	303,815.	0.	303,815.	303,815.	
BROWN BROTHERS HARRIMAN & CO	546,635.	546,635.	0.	0.	
BROWN BROTHERS HARRIMAN & CO	386,627.	0.	386,627.	386,627.	
REAL ESTATE INCOME FD I	5,426.	0.	5,426.	5,426.	
REAL ESTATE INCOME FD II	114,541.	0.	114,541.	114,541.	
WEALTH STR. BARINGS LOAN SUB TR	42,101.	0.	42,101.	42,101.	
WEALTH STR. LLC ALTAROCK PTNERS	31,074.	0.	31,074.	31,074.	
WEALTH STR. LLC BARES	100,912.	0.	100,912.	100,912.	
WEALTH STR. LLC BURGUNDY	214,313.	0.	214,313.	214,313.	
WEALTH STR. LLC CLARKSTON PTNERS	59,685.	0.	59,685.	59,685.	
WEALTH STR. LLC CR PTNER III	219,833.	0.	219,833.	219,833.	
WEALTH STR. LLC REMS	52,381.	0.	52,381.	52,381.	
WEALTH STR. LLC SELECT EQUITY	262,748.	0.	262,748.	262,748.	
TO PART I, LINE 4	<u>2,928,779.</u>	<u>546,635.</u>	<u>2,382,144.</u>	<u>2,382,144.</u>	



FORM 990-PF	OTHER INCOME	STATEMENT	2
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DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
MULTIPLE PORTFOLIO INCOME	64,260.	64,260.	
PRIOR YEAR OVERPAYMENT	59,322.	0.	
TOTAL TO FORM 990-PF, PART I, LINE 11	123,582.	64,260.	

FORM 990-PF	ACCOUNTING FEES	STATEMENT	3
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	12,520.	0.		12,520.
AUDITING FEES	18,300.	0.		18,300.
TO FORM 990-PF, PG 1, LN 16B	30,820.	0.		30,820.

FORM 990-PF	OTHER PROFESSIONAL FEES	STATEMENT	4
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT ADVISORY FEES	479,735.	479,735.		0.
TO FORM 990-PF, PG 1, LN 16C	479,735.	479,735.		0.

FORM 990-PF	TAXES	STATEMENT	5
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FEDERAL EXCISE TAX	170,000.	0.		0.
FOREIGN TAXES PAID	80,487.	80,487.		0.
PRIOR YEAR OVERPAYMENT APPLIED	59,322.	0.		0.
PAYROLL TAXES	1,096.	0.		1,096.
TO FORM 990-PF, PG 1, LN 18	310,905.	80,487.		1,096.

FORM 990-PF	OTHER EXPENSES			STATEMENT 6
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ADMINISTRATIVE EXPENSES	5,474.	0.		5,474.
PORTFOLIO DEDUCTIONS FROM PASS-THROUGH ENTITIES	483,671.	483,671.		0.
D&O LIABILITY INSURANCE	5,732.	0.		5,732.
FILING FEES	1,500.	0.		1,500.
INVESTMENT INTEREST EXPENSE	2,239.	2,239.		0.
TRANSACTION FEE	435.	435.		0.
TO FORM 990-PF, PG 1, LN 23	499,051.	486,345.		12,706.

FORM 990-PF	CORPORATE STOCK		STATEMENT 7
DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE	
SEE ATTACHED STATEMENT	11,635,064.	19,253,480.	
SEE ATTACHED STATEMENT	22,205,333.	23,472,835.	
UNION PACIFIC CORP	384,223.	16,092,000.	
TOTAL TO FORM 990-PF, PART II, LINE 10B	34,224,620.	58,818,315.	

FORM 990-PF	OTHER INVESTMENTS		STATEMENT 8
DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
3BH REAL ESTATE INCOME FD II	COST	2,056,115.	2,961,640.
3BH CAPITAL PARTNERS QP IV	COST	1,824,416.	3,029,820.
3BH WEALTH STR. LLC REMS	COST	2,564,509.	2,627,472.
3BH LBC CREDIT PARTNERS III	COST	2,288,834.	2,027,263.
3BH SELECT EQUITY	COST	16,419,130.	18,783,254.
3BH BARES	COST	10,757,844.	14,129,648.
3BH BURGUNDY	COST	5,885,568.	6,771,732.
3BH ALTAROCK	COST	5,800,202.	7,351,115.
3BH CLARKSTON	COST	3,654,677.	4,594,246.
3BH OAKTREE OPP. SUB-TR.	COST	206,632.	245,772.
3BH HITCHWOOD CAP SUB-TR.	COST	5,000,000.	5,095,970.
3BH CAPITAL PARTNERS V-1	COST	2,345,629.	2,360,872.
3BH SANDTON CREDIT SOLUTIONS IV	COST	679,716.	666,544.
WEALTH STR. BARING LOAN SUB-TR	COST	1,941,685.	1,992,083.

## GLADYS AND ROLAND HARRIMAN FOUNDATION

51-0193915

CPIV ECX LLC	COST	217,455.	248,321.
BBH CAPITAL PARTNERS III	COST	0.	881,515.
TOTAL TO FORM 990-PF, PART II, LINE 13		61,642,412.	73,767,267.

FORM 990-PF	OTHER ASSETS	STATEMENT	9
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DESCRIPTION	BEGINNING OF YR BOOK VALUE	END OF YEAR BOOK VALUE	FAIR MARKET VALUE
DUE FROM FOUNDATION	40,894.	39,393.	39,393.
DUE FROM BROKER	0.	99,335.	99,335.
TO FORM 990-PF, PART II, LINE 15	40,894.	138,728.	138,728.

FORM 990-PF	PART VIII - LIST OF OFFICERS, DIRECTORS TRUSTEES AND FOUNDATION MANAGERS	STATEMENT	10
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NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
ELBRIDGE T. GERRY, JR C/O 140 BROADWAY - 16TH FL. NEW YORK, NY 10005	PRESIDENT/DIRECTOR 1.00	0.	0.	0.
THOMAS F. DIXON C/O 140 BROADWAY - 16TH FL. NEW YORK, NY 10005	CHAIRMAN/DIRECTOR 1.00	0.	0.	0.
ANNA T. KORNICZKY C/O 140 BROADWAY - 16TH FL. NEW YORK, NY 10005	TREASURER 1.00	0.	0.	0.
BARBARA O'CONNELL C/O 140 BROADWAY - 16TH FL. NEW YORK, NY 10005	SECRETARY 35.00	69,160.	7,366.	0.
HILLARY A. DIXON C/O 140 BROADWAY - 16TH FL. NEW YORK, NY 10005	DIRECTOR 1.00	0.	0.	0.
MARJORIE NORTHROP FRIEDMAN C/O 140 BROADWAY - 16TH FL. NEW YORK, NY 10005	DIRECTOR 1.00	0.	0.	0.

## GLADYS AND ROLAND HARRIMAN FOUNDATION

51-0193915

WILHELM E. NORTHROP C/O 140 BROADWAY - 16TH FL. NEW YORK, NY 10005	DIRECTOR 1.00	0.	0.	0.
ANTHONY T. ENDERS C/O 140 BROADWAY - 16TH FL. NEW YORK, NY 10005	DIRECTOR 1.00	0.	0.	0.
ELBRIDGE T. GERRY III C/O 140 BROADWAY - 16TH FL. NEW YORK, NY 10005	VP/DIRECTOR 1.00	0.	0.	0.
WILLIAM B. TYREE C/O 140 BROADWAY - 16TH FL. NEW YORK, NY 10005	DIRECTOR 1.00	0.	0.	0.
ADAM H. DIXON C/O 140 BROADWAY - 16TH FL. NEW YORK, NY 10005	DIRECTOR 1.00	0.	0.	0.

TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII

69,160.	7,366.	0.
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FORM 990-PF

EXPENDITURE RESPONSIBILITY STATEMENT  
PART VII-B, LINE 5C

STATEMENT 11

GRANTEE'S NAME

CAMPFIRE FOUNDATION INC.

GRANTEE'S ADDRESSP.O. BOX 6421  
KETCHUM, ID 83340

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
6,000.	01/12/17	

PURPOSE OF GRANT

EDUCATION

DATES OF REPORTS BY GRANTEE

04/27/2017

RESULTS OF VERIFICATIONTHE DATE AND RESULTS OF ANY VERIFICATION OF THE GRANTEE'S REPORTS  
JNDERTAKEN BY THE GRANTOR.THE FOUNDATION HAD NO REASON TO DOUBT THE ACCURACY OR RELIABILITY OF THE  
REPORTS RECEIVED, THERFORE NO INDEPENDENT VERIFICATION IS REQUIRED TO BE  
JNDERTAKEN.

---

FORM 990-PF	GRANT APPLICATION SUBMISSION INFORMATION	STATEMENT 12
	PART XV, LINES 2A THROUGH 2D	

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NAME AND ADDRESS OF PERSON TO WHOM APPLICATIONS SHOULD BE SUBMITTED

---

BARBARA O'CONNELL  
140 BROADWAY, 16TH FLOOR  
NEW YORK, NY 10005

TELEPHONE NUMBER

---

212-493-8185

FORM AND CONTENT OF APPLICATIONS

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WRITTEN SUMMARY IN LETTER FORM WITH BRIEF DESCRIPTION OF ORGANIZATION AND THEIR FUNDING REQUIREMENTS. MUST INCLUDE COPY OF 501(C)(3).

ANY SUBMISSION DEADLINES

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REQUESTS MUST BE RECEIVED BY OCTOBER 1ST.

RESTRICTIONS AND LIMITATIONS ON AWARDS

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ONLY US CHARITIES WITH 501(C)(3). NO FUNDING OUTSIDE USA. NO MATCHING OF US GOVERNMENT FUNDS. NO FUNDS TO INDIVIDUALS.

# GLADYS & ROLAND HARRIMAN FOUNDATION

Grants Paid

January through December 2017

Date	Num	Name	Address	Paid Amount	Pledges
01/12/2017	625746	AMERICAN ASSEMBLY	475 Riverside Dr New York, NY 10115-0456	30,000.00	
01/12/2017	625757	AMERICAN MUSEUM of NATURAL HISTORY	Central Park West at 79th Street New York, NY 10024-5192	15,000.00	
02/23/2017	627210	AMERICAN RED CROSS	430 17th Street NW GL-31 Washington DC 20006	5,500.00	
01/12/2017	625784	AMERICAN RED CROSS	430 17th Street NW GL-31 Washington, DC 20006	100,000.00	
06/16/2017	633805	AMERICAN RED CROSS	430 17th Street NW GL-31 Washington, DC 20006	400,000.00	1,200,000.00
08/29/2017	636074	AMERICAN RED CROSS	430 17th Street NW GL-31 Washington, DC 20006	200,000.00	
12/15/2017	102736	AMERICAN RED CROSS CASCADES REGION	3131 N Vancouver Avenue Portland, OR 97277	25,000.00	
01/12/2017	625765	AMERICAN RED CROSS IN GREATER NEW YORK	520 West 49th Street New York, NY 10019	50,000.00	
06/16/2017	633804	AMERICAN RED CROSS IN GREATER NEW YORK	520 West 49th Street New York, NY 10019	500,000.00	
01/12/2017	625805	AMERICAN RED CROSS MID COAST CHAPTER	16 Community Way Topsham, ME 04086	25,000.00	
02/23/2017	627211	AMERICAN RED CROSS OF GREATER IDAHO	404 South 8th Street Boise, ID 83702	4,500.00	
06/16/2017	633790	APPALACHIAN MOUNTAIN CLUB	5 Joy Street Boston, MA 02108	60,000.00	
12/15/2017	102753	APPALACHIAN MOUNTAIN CLUB	5 Joy Street Boston, MA 02108	60,000.00	
01/12/2017	625806	BATES COLLEGE	2 Andrews Rd Lewiston, ME 04240	12,500.00	
06/16/2017	633791	BATES COLLEGE	2 Andrews Rd Lewiston, ME 04240	250,000.00	500,000.00
06/16/2017	633806	BISHOP JOHN T WALKER SCHOOL FOR BOYS	1801 Mississippi Avenue SE Washington, DC 20020	150,000.00	50,000.00
02/23/2017	627278	BISHOP KELLY FOUNDATION	7009 Franklin Rd Boise, ID 83709	1,000.00	
12/15/2017	102739	BOISE STATE UNIVERSITY	1910 University Drive Boise, ID 83725	250,000.00	250,000.00
01/12/2017	625786	BOWDOIN INTERNATIONAL MUSIC FESTIVAL	6300 College Station Brunswick ME 04011-8463	17,000.00	
02/27/2017	EFT	BOYS CLUB OF NEW YORK	287 East 10th Street New York NY 10009	1,000.00	
04/28/2017	EFT	BOYS CLUB OF NEW YORK	287 East 10th Street New York, NY 10009	5,000.00	
01/12/2017	EFT	BOYS CLUB OF NEW YORK	287 East 10th Street New York, NY 10009	200,000.00	
06/16/2017	EFT	BOYS CLUB OF NEW YORK	287 East 10th Street New York NY 10009	50,000.00	
06/16/2017	633792	CABIN	801 S Capitol Blvd Boise, ID 83702	20,000.00	
01/12/2017	625781	CAMPFIRE FOUNDATION INC	PO Box 6421 Ketchum, ID 83340	6,000.00	
01/12/2017	625777	CANTERBURY SCHOOL	101 Aspeluck Avenue New Milford, CT 06776	5,000.00	
06/16/2017	633801	CHESAPEAKE BAY FOUNDATION	6 HERNDON AVENUE ANNAPOLIS, MD 21403	1,000.00	
01/12/2017	625778	CHINESE CENTER ON LONG ISLAND, INC	395 Hempstead Tpke West Hempstead, NY 11552	5,000.00	
12/15/2017	102742	CLASSROOM INC	245 FIFTH AVENUE NEW YORK, NY 10016	100,000.00	
12/15/2017	102743	COLD SPRING HARBOR LABORATORY	One Bungtown Rd Cold Spring Harbor, NY 11724	300,000.00	300,000.00
06/16/2017	633813	COLGATE UNIVERSITY	13 Oak Drive Hamilton, NY 13346	500.00	
12/15/2017	102730	COLORADO ROCKY MOUNTAIN SCHOOL	1493 County Road 106 Carbondale, CO 81623	50,000.00	
02/23/2017	627279	COMMUNITY FOUNDATION OF EASTERN CONNECTICUT	OF EASTERN CONNECTICUT 147 STATE STREET NEW LONDON, CT 06320	1,000.00	
06/16/2017	633921	COMMUNITY FOUNDATION OF EASTERN CONNECTICUT	OF EASTERN CONNECTICUT 147 STATE STREET NEW LONDON, CT 06320	1,000.00	
04/28/2017	631367	CONNECTICUT COLLEGE	270 Mohegan Avenue New London, CT 06320	1,000.00	
12/15/2017	102754	CONNECTICUT COLLEGE	270 Mohegan Avenue New London CT 06320	37,500.00	
02/23/2017	627281	CORPUS CHRISTI HOUSE, INC	525 Americana Blvd Boise, ID 83703	1,000.00	
01/12/2017	625787	COVENANT HOUSE NEW YORK	460 West 41st Street New York, NY 10011-5002	15,000.00	
07/11/2017	634596	EAGLE HILL SCHOOL	214 Main St Southport, CT 06890	500.00	
12/15/2017	102731	EAST SIDE HOUSE SETTLEMENT	337 Alexander Avenue Bronx, NY 10454	75,000.00	
06/16/2017	633814	ECKERD COLLEGE	4200 54th Ave South St Petersburg, FL 33711	1,000.00	
06/16/2017	633807	EMORY & HENRY COLLEGE	PO Box 947 Emory, VA 24327	100,000.00	200,000.00
01/12/2017	625790	EPIC LONG ISLAND	1500 Hempstead Turnpike East Meadow NY 11554	25,000.00	

# GLADYS & ROLAND HARRIMAN FOUNDATION

Grants Paid

January through December 2017

Date	Num	Name	Address	Paid Amount	Pledges
11/06/2017	639459	EPISCOPAL CHURCH FOUNDATION	815 Second Avenue New York NY 10017-4564	5,000 00	
01/12/2017	625791	FAMILY AND CHILDREN'S ASSOCIATION	100 East Old Country Rd Mineola NY 11501	15,000 00	
06/16/2017	633793	FRESH AIR FUND	633 Third Avenue New York, NY 10017	50,000 00	
02/23/2017	627280	FRIENDS OF IDAHO PUBLIC TELEVISION	1455 North Orchard Street Boise, ID 83706	110,000 00	
02/23/2017	627282	GIRL SCOUTS OF SILVER SAGE COUNCIL	1410 Ehlerdage Lane Boise ID 83704	2,000 00	
01/12/2017	625747	GIRL SCOUT COUNCIL OF GREATER NY	43 West 23rd Street New York NY 10010-4283	20,000 00	85,000 00
04/28/2017	631368	GOSHEN HISTORIC TRACK	44 Park Place Goshen NY 10924	20,915 00	200,000 00
01/12/2017	625783	GOSHEN HISTORIC TRACK	44 Park Place Goshen, NY 10924	14,507 28	
01/12/2017	625788	GOSHEN HISTORIC TRACK	44 Park Place Goshen, NY 10924	85,000 00	
07/11/2017	634595	GOSHEN HISTORIC TRACK	44 Park Place Goshen NY 10924	39,455 00	
10/13/2017	638675	GOSHEN HISTORIC TRACK	44 Park Place Goshen, NY 10924	41,611 00	
01/12/2017	625748	GRACE OPPORTUNITY PROJECT	86 Fourth Ave New York, NY 100034	10,000 00	
11/06/2017	639460	GREATER NY COUNCILS BSA	350 Fifth Avenue New York NY 10118-0350	15,000 00	
01/12/2017	625749	GRENVILLE BAKER BOYS & GIRLS CLUB	135 Forest Avenue Locust Valley, NY 11560	10,000 00	
02/22/2017	EFT	HARNESS RACING MUSEUM	240 Main St PO Box 590 Goshen, NY 10924	125,586 03	
04/28/2017	EFT	HARNESS RACING MUSEUM	240 Main St PO Box 590 Goshen, NY 10924	46,234 38	
01/31/2017	EFT	HARNESS RACING MUSEUM	240 Main St PO Box 590 Goshen, NY 10924	5,000 00	
08/01/2017	EFT	HARNESS RACING MUSEUM	240 Main St PO Box 590 Goshen, NY 10924	50,319 25	
12/15/2017	BBH ACCT	HARNESS RACING MUSEUM	240 Main St PO Box 590 Goshen, NY 10924	24,578 53	
04/28/2017	631369	HARVARD UNIVERSITY	JOHN F KENNEDY SCHOOL 79 JFK Street Mailbox 123 Cambridge, MA 02138	20,000 00	
06/16/2017	633815	HARVEY SCHOOL	260 JAY STREET KATONAH, NY 10536	1,000 00	
01/12/2017	625750	HEARING HEALTH FOUNDATION	363 Seventh Avenue New York NY 10001	15,000 00	
02/23/2017	627283	HOLDERNESS SCHOOL	Chapel La PO Box 1879 Plymouth, NH 03264-1879	1,000 00	
01/12/2017	625751	HOLDERNESS SCHOOL	Chapel La PO Box 1879 Plymouth, NH 03264-1879	10,000 00	
06/16/2017	633794	HORIZONS NATIONAL	1 Park Street Norwalk, CT 06851	75,000 00	
12/15/2017	102737	HORIZONS NATIONAL	1 Park Street Norwalk, CT 06851	75,000 00	
01/12/2017	625752	I GUILLARI DI PIAZZA	The Cathedral of St. John the Devine 1047 Amsterdam Avenue New York, NY 10025	10,000 00	
06/16/2017	633808	IDAHO YOUTH RANCH	5465 W Irving Street Boise, ID 83706	250,000 00	500,000 00
04/28/2017	631370	LAKE DELAWARE BOYS CAMP	PO Box 31 Lake Delaware Delhi, NY 13753	5,000 00	
01/12/2017	625753	LAKE DELAWARE BOYS CAMP	PO Box 31 Lake Delaware Delhi, NY 13753	20,000 00	
08/16/2017	633816	LAKE DELAWARE BOYS CAMP	PO Box 31 Lake Delaware Delhi, NY 13753	5,000 00	
01/12/2017	625782	LEARNING LAB, INC	308 East 36th St Garden City, ID 83714	1,000 00	50,000 00
08/16/2017	633795	LEARNING LAB, INC	308 East 36th St Garden City, ID 83714	50,000 00	50,000 00
02/23/2017	627284	LEWIS & CLARK COLLEGE	0615 SW Palatine Hill Rd Portland OR 97219	2,000 00	
06/16/2017	633796	LEWIS & CLARK COLLEGE	0615 SW Palatine Hill Rd Portland, OR 97219	175,000 00	350,000 00
02/23/2017	627285	LIBRARY FOUNDATION	620 SW 5th Avenue #1025 Portland, OR 97204	2,000 00	
01/12/2017	625779	LONG ISLAND CARES, INC	The Harry Chapin Food Bank 10 Davids Dr Hauppauge, NY 11788	5,000 00	
02/23/2017	627286	LYMAN ALLYN ART MUSEUM	625 William Street New London, CT 06320	10,000 00	
06/16/2017	633823	LYMAN ALLYN ART MUSEUM	625 William Street New London, CT 06320	60,000 00	60,000 00
06/16/2017	633809	MEMORIAL SLOAN KETTERING CANCER CENTER	1275 First Ave New York, NY 10021	100,000 00	100,000 00
01/12/2017	625754	METROPOLITAN MUSEUM OF ART	1000 Fifth Avenue New York, NY 10028-0198	10,000 00	
12/15/2017	102744	MIDDLESEX SCHOOL	1400 Lowell Road Concord, MA 01742	122,520 00	397,755 00
02/23/2017	627287	MONASTERY OF ST GERTRUDE	465 Kauterville Road Cottonwood, ID 83522	1,500 00	



# GLADYS & ROLAND HARRIMAN FOUNDATION

Grants Paid

January through December 2017

Date	Num	Name	Address	Paid Amount	Pledges
12/15/2017	102733	MONASTERY OF ST GERTRUDE	465 Kaulerville Road Cottonwood, ID 83522	25,000.00	
01/12/2017	625755	MONROE VOLUNTEER AMBULANCE CORP	PO Box 533 Monroe, NY 10950	8,000.00	
04/28/2017	631372	MOUNT SINAI HOSPITAL OF QUEENS	One Gustave L Levy Place PO Box 1049 New York, NY 10029-6574	5,000.00	
01/12/2017	625756	MUSEUM OF THE CITY OF NEW YORK	c/o Christie's 20 Rockefeller Plaza New York, NY 10020	10,000.00	
11/06/2017	639461	NATIONAL OUTDOOR LEADERSHIP SCHOOL	284 Lincoln Street Lander, WY 82520-2848	10,000.00	125,000.00
12/15/2017	102734	NATIONAL OUTDOOR LEADERSHIP SCHOOL	284 Lincoln Street Lander WY 82520-2848	125,000.00	
06/16/2017	633810	NETWORK FOR TEACHING - NFTE	120 WALL STREET NEW YORK, NY 10005	150,000.00	
06/16/2017	633818	NEW ENGLAND COLLEGE	98 Bodge Street Henniker, NH 03242	3,000.00	
01/12/2017	625758	NEW ENGLAND VILLAGE INC	664 SCHOOL STREET PEMBROKE, MA 02359	50,000.00	
02/23/2017	627288	NEW LONDON LANDMARKS	PO Box 1134 New London, CT 06320	1,000.00	
02/23/2017	627289	NEW LONDON MARITIME SOCIETY, INC	150 Bank Street New London, CT 06320	1,000.00	
06/16/2017	633797	NEW YORK-NEW JERSEY TRAIL CONFERENCE	156 Ramapo Valley Rd Mahwah, NJ 07430	25,000.00	
06/07/2017	632936	NEW YORK CENTER FOR CHILDREN	330 East 70th Street New York NY 10021	5,000.00	
01/12/2017	625759	NEW YORK CITY BALLET	20 Lincoln Center New York, NY 10023	15,000.00	
12/15/2017	102745	NEW YORK CITY BALLET	20 Lincoln Center New York NY 10023	100,000.00	200,000.00
01/12/2017	625760	NEW YORK LANDMARKS CONSERVANCY	One Whitehall Street New York, NY 10004	10,000.00	
01/12/2017	625761	NEW YORK PUBLIC LIBRARY	Fifth Avenue at 42 Street New York, NY 10018-2788	40,000.00	
01/12/2017	625762	NY SOCIETY PREVENTION CRUELTY TO CHILDREN	161 William Street New York, NY 10038	20,000.00	
06/16/2017	633798	NY SOCIETY PREVENTION CRUELTY TO CHILDREN	161 William Street New York, NY 10038	100,000.00	
10/13/2017	638676	NY SOCIETY PREVENTION CRUELTY TO CHILDREN	161 William Street New York, NY 10038	500.00	
01/12/2017	625785	ONE STONE INC	7025 W Emerald Street Boise ID 83704	1,000.00	
12/15/2017	102746	ONE STONE INC	7025 W Emerald Street Boise, ID 83704	50,000.00	
01/12/2017	625763	ORANGE COUNTY HISTORICAL SOCIETY	21 Clove Furnace Drive PO Box 55 ARDEN, NY 10910	20,000.00	
12/15/2017	102747	ORANGE REGIONAL MEDICAL CENTER FOUNDATION	4 Harman Drive Goshen, NY 10924	100,000.00	100,000.00
04/28/2017	631373	OREGON HEALTH & SCIENCE UNIVERSITY FD	1121 SW Salmon St Suite 100 Portland, OR 97205	2,500.00	
06/16/2017	633812	OREGON PUBLIC BROADCASTING	7140 SW Macadam Ave Portland, OR 97219	25,000.00	
06/16/2017	633822	OREGON PUBLIC BROADCASTING	7140 SW Macadam Ave Portland, OR 97219	2,500.00	
12/15/2017	102748	OREGON PUBLIC BROADCASTING	7140 SW Macadam Ave Portland, OR 97219	50,000.00	
01/12/2017	625764	PATTEN FREE LIBRARY	33 Summer Street Bath, ME 04530	20,000.00	
06/16/2017	633799	PATTEN FREE LIBRARY	33 Summer Street Bath, ME 04530	30,000.00	
06/16/2017	633802	PHILADELPHIA ORCHESTRA FOUNDATION	1 SOUTH BROAD ST 14TH FL PHILADELPHIA, PA 19107	1,000.00	
06/16/2017	633819	PROCTOR ACADEMY	PO Box 389 Andover, NH 03216	1,500.00	
12/15/2017	102735	PROCTOR ACADEMY	PO Box 389 Andover, NH 03216	125,000.00	250,000.00
12/15/2017	102749	RENSSELAERVILLE INSTITUTE	2 Oakwood Pl Delmar NY 12054	300,000.00	
07/11/2017	634597	SAINT JAMES' CHURCH	55 Lake Delaware Drive Delhi, NY 13753-7440	7,500.00	
04/28/2017	631371	SALVATION ARMY-BOISE CORPS	1904 W Bannock Street Boise, ID 83702	500.00	
01/12/2017	625766	SCENIC HUDSON, INC	One Civic Center Plaza Suite 200 Poughkeepsie, NY 12601-3156	30,000.00	100,000.00
01/12/2017	625789	SCENIC HUDSON, INC	One Civic Center Plaza Suite 200 Poughkeepsie, NY 12601-3156	1,000.00	
12/15/2017	102750	SCENIC HUDSON, INC	One Civic Center Plaza Suite 200 Poughkeepsie, NY 12601-3156	100,000.00	
01/12/2017	625767	ST JOHN'S CHURCH	c/o Arden Asset Management Company 375 Park Avenue 32nd Floor New York, NY 10152	8,000.00	
06/16/2017	633820	ST MARK'S SCHOOL	25 Marlborough Rd Southborough, MA 01772	1,000.00	
01/12/2017	625768	STANDARD BRED RETIREMENT FOUNDATION	108F Old York Rd Hamilton, NJ 08620	70,000.00	
01/12/2017	625769	STUDENT CONSERVATION ASSOCIATION	689 River Rd PO Box 550 Charlestown, NH 03603-0550	15,000.00	

# GLADYS & ROLAND HARRIMAN FOUNDATION

Grants Paid

January through December 2017

Date	Num	Name	Address	Paid Amount	Pledges
12/15/2017	102740	THE BONE MARROW FOUNDATION	515 Madison Avenue New York NY 10022	25,000 00	
12/15/2017	102741	THE CABIN	801 S Capitol Blvd Boise, ID 83702	1,500 00	
08/16/2017	633817	THE LEARNING ALLIANCE	PO Box 643446 Vero Beach FL 32964	1 000 00	
12/15/2017	102732	THE LEARNING ALLIANCE	PO Box 643446 Vero Beach, FL 32964	60,000 00	
01/12/2017	625770	THIRTEENWNET NEW YORK	450 West 33 Street New York NY 10001	115,000 00	
06/16/2017	633811	TRUSTEES OF COLUMBIA UNIV	HERBERT IRVING PAVILLION 310 161 Fl Washington Avenue New York NY 10032	125 000 00	225,000 00
01/12/2017	625772	TUXEDO AMBULANCE CORPS	Box 726 Tuxedo Park, NY 10987	8 000 00	
01/12/2017	625771	TUXEDO PARK LIBRARY	227 Route 17 PO Box 776 Tuxedo Park, NY 10987	5,000 00	
02/23/2017	627290	TVRC EDUCATION FOUNDATION	PO Box 4915 Jackson, WY 83001	5,000 00	
02/23/2017	627291	TVRC EDUCATION FOUNDATION	PO Box 4915 Jackson WY 83001	2,500 00	
10/24/2017	639067	TVRC EDUCATION FOUNDATION	PO Box 4915 Jackson, WY 83001	50,000 00	
12/15/2017	102738	UNION PACIFIC RAILROAD MUSEUM	200 Pearl Street Council Bluffs, IA 51503	25,000 00	
12/15/2017	102751	UNITED NEGRO COLLEGE FUND	120 Wall Street New York, NY 10005	150,000 00	
01/12/2017	625773	VISITING NURSE SERVICE OF NY	107 EAST 70th STREET NEW YORK, NY 10021	10,000 00	
06/16/2017	633800	W O L F	PO BOX 1544 LAPORTE, CO 80535	25,000 00	
01/12/2017	625774	WEILL CORNELL MEDICINE	1300 York Avenue New York, NY 10065	25,000 00	
06/16/2017	633803	WEILL CORNELL MEDICINE	1300 York Avenue New York, NY 10065	1,000 00	
12/15/2017	102752	WEILL CORNELL MEDICINE	1300 York Avenue New York, NY 10065	250,000 00	
01/12/2017	625775	WOODBURY COMM AMBULANCE INC	376 Route 32 Box 561 Central Valley, NY 10917-0561	8,000 00	
01/12/2017	625776	WRITERS@HARRIMAN	Box 2525 Boise, ID 83701	15,000 00	
12/31/2017		Bob Woodruff Foundation	1359 Broadway Suite 800, New York, NY 10018		300,000 00
12/31/2017		Memorial Sloan-Kettering Cancer Center	243 Washington Street, Bath, ME 04530		60,000 00
TOTAL				7,445,226 47	5,652,755 00