# Form **9905PF**



EXTENDED TO NOVEMBER 15, 20 Return of Private Foundation 2018

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public. • ► Go to www.irs.gov/Form990PF for instructions and the latest information.

Dep	artmen	of the treasury	social security numbers on				2011
_		venue Service	irs.gov/Form990PF for inst	and ending ,		ormauon.	Open to Public Inspection
_		f foundation		, and ending		A Employer identification	numher
		MONA FOUNDATION				A Employer Identification	HUMBEI
_		MONA FOUNDATION FOUST I	MEEKER TEAM)			47-4108450	
		and street (or P O box number if mail is not delivered to street		Roor	n/suite	B Telephone number	<u> </u>
		CALIFORNIA STREET	,	25		(415) 829-	4103 ·
		own, state or province, country, and ZIP or foreign	nostal code	2.3	75	C If exemption application is p	
	-	FRANCISCO, CA 94111	postar code			o if exemption application is p	ending, check here
G	Check	k all that apply: Initial return	Initial return of a fo	ormer public charity	y	D 1 Foreign organizations	s, check here
?		Final return	Amended return			2 Faraign arganizations ma	oting the 95% test
<u></u>		Address change	Name change		-	2 Foreign organizations me check here and attach co	mputation PL
₽.H	_		exempt private foundation		$g \Psi$	E If private foundation stat	
<b>\</b> _		ection 4947(a)(1) nonexempt charitable trust	Other taxable private founda			under section 507(b)(1)	(A), check here
		·   —	ting method: L Cash	X Accrual		F If the foundation is in a (	
			Other (specify)	- \		under section 507(b)(1)	(B), check here
	<u> </u>	12,872,641. (Part I, colu	mn (a) must be on cash basi	S.)	<u>'</u>		(4) -
P	art I	Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))	(a) Revenue and expenses per books	( <b>b)</b> Net investri income	nent	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
	1	Contributions, gifts, grants, etc., received	15,280.			N/A ···· ··	yer on morning in annualist to accommon a
	2	Check If the foundation is not required to attach Sch. B					ļ
	3	Interest on savings and temporary cash investments	117.		<u> 117.</u>		STATEMENT 1
	4	Dividends and interest from securities	482,706.	460,	<u>857.</u>		STATEMENT 2
	5a	Gross rents			,		
	b	Net rental income or (loss)	·				
<u>a</u>	6a	Net gain or (loss) from sale of assets not on line 10	<29,087.	<u> </u>			
5	h	Gross sales price for all 515,997.	RECEIV	ED			
Revenue	7		1,1		0.		
DO ANNTO	0	Net short-term capital gain	NOV 0 8 2	018   <del> </del>			
Ź	9	Income modifications Gross sales less returns	(C) 1/0 / 0 0 E	38			
Z	10a			<del></del>			
3		Cross Cost of goods sold	OGDEN,	<del>U1</del>			
		Other income					
<u> </u>	12	Total Add lines 1 through 11	469,016.	460,	974.		
 ల	13	Compensation of officers, directors, trustees, etc	0.		0.		) 0.
•	14	Other employee salaries and wages		•			
2	15	Pension plans, employee benefits .	`				1
) ) (8)	16a	Legal fees					
Ü	b	Accounting fees STMT 3	7,920.		0.		0.
Ä	C	Other professional fees STMT 4	28,316.	28,	316.		0.
<u>8</u>	17	Interest			,		
Ī	18	Taxes STMT 5	3,806.		0.		0.
	19	Depreciation and depletion					
Ë	20	Occupancy		•	_		
Onerating and Administrative Expenses	21		_			.==	
Ĭ	22	•					
Ü	23	·	-				<del></del> -
ir at	24	Total operating and administrative	40,042.	20	216		^
Ö	اا	expenses. Add lines 13 through 23	167,500.	40,	316.		0. 167,500.
	25	Contributions, gifts, grants paid	107,500.				107,500.
	26	Total expenses and disbursements.  Add lines 24 and 25	207,542.	28,	316		167,500.
_	27	Subtract line 26 from line 12.	201,342.	20,	<u> </u>		107,300
		Suptract line zo iron line 12.	261,474.	90.0 s	* '	8 19 milai 11 1911 a.j.	7 7875 1111 1111 7 1 111 12
		Net investment income (if negative, enter -0-)	201/2/4	432,	658		
		Adjusted net income (if negative, enter -0-)				N/A	- [
_				<del></del>		<u> </u>	200 DE

	ST MEEKER TEAM Beginning of year	End of	<b>4108450</b> Page
Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only	(a) Book Value	(b) Book Value	(c) Fair Market Value
1 Cash - non-interest-bearing			
2 Savings and temporary cash investments	11,688,299.	32,580.	32,580
3 Accounts receivable ►			
Less: allowance for doubtful accounts ▶			
4 Pledges receivable ►			
Less: allowance for doubtful accounts		<del>-</del>	
5 Grants receivable			
6 Receivables due from officers, directors, trustees, and other			
disqualified persons			
7 Other notes and loans receivable			
Less: allowance for doubtful accounts		-	
8 Inventories for sale or use			
9 Prepaid expenses and deferred charges			
10a Investments - U.S. and state government obligations b Investments - corporate stock STMT 6	0.	11 701 620	12 040 061
1	0	11,791,639.	12,840,061
c Investments - corporate bonds  11 Investments - land, buildings, and equipment basis		,	
Less accumulated depreciation  12 Investments - mortgage loans			
13 Investments - other			
14 Land, buildings, and equipment basis			
Less accumulated depreciation			
15 Other assets (describe	\		
16 Total assets (to be completed by all filers - see the	′ <del> </del>		
instructions. Also, see page 1, item I)	11,688,299.	11,824,219.	12,872,641
17 Accounts payable and accrued expenses			
18 Grants payable			
19 Deferred revenue			
20 Loans from officers, directors, trustees, and other disqualified persons			
21 Mortgages and other notes payable			
22 Other liabilities (describe STATEMENT 7	125,554.	0.	
23 Total liabilities (add lines 17 through 22)	125,554.	0.	
Foundations that follow SFAS 117, check here			
and complete lines 24 through 26, and lines 30 and 31.		•	
24 Unrestricted			
25 Temporarily restricted			
26 Permanently restricted			
Foundations that do not follow SFAS 117, check here			
and complete lines 27 through 31		`	
27 Capital stock, trust principal, or current funds	0.	0.	
28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	11 024 210	
29 Retained earnings, accumulated income, endowment, or other funds	11,562,745.	11,824,219.	
30 Total net assets or fund balances	11,562,745.	11,824,219.	
31 Total liabilities and net assets/fund balances	11,688,299.	11,824,219.	
Part III Analysis of Changes in Net Assets or Fund			
Total net assets or fund balances at beginning of year - Part II, column (a), lir	ne 30		,
(must agree with end-of-year figure reported on prior year's return)		, 1	11,562,745
Enter amount from Part I, line 27a		2	261,474

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30		•
	(must agree with end-of-year figure reported on prior year's return)	1	11,562,745.
2	Enter amount from Part I, line 27a	2	261,474.
3	Other increases not included in line 2 (itemize)	3	0.
4	Add lines 1, 2, and 3	4	11,824,219.
5	Decreases not included in line 2 (itemize)	5	0.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	11,824,219.

Page 3

C/O MERRILL LYNCH (FOUST MEEKER TEAM)

P	art IV Capital Gair	ns and L	osses for Tax on In	vestmen	t Income						
	(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)  (b) How acquired P - Purchase D - Donation					(c) Date (mo., d	acquired ay, yr.)	(d) Date sold (mo., day, yr.)			
1a	PUTNAM SHT D	JR INC	OME 11,976.6	9 SHS				P_			05/12/17
	ISHARES S&P					_		P			09/19/17
	ALLIANZGI SH					<u> </u>		P			10/20/17
d	ALLIANZGI SH	ORT DU	RATION 6,662	2.225	SHS			P			12/29/17
e		<u></u>				<u> </u>					
_	(e) Gross sales price		Depreciation allowed (or allowable)		st or other basis expense of sale					ain or (loss s (f) minus	(g))
a					120,36						120.
b					298,23						<27,727.
C	440			<u> </u>	25,14					_	<148.
<u>d</u>	100,00	0.			101,33	2.				-	<1,332.
<u>e</u>				the femadation	10/01/00		_			-1 (-)	
	Complete only for assets sh	owing gain ir						(I) col	(k), hut r	iol. (h) gain not less tha	ı mınus ın -0-) <b>or</b>
	(i) FMV as of 12/31/69		(j) Adjusted basis as of 12/31/69		cess of col. (i) col. (j), if any				Losses	(from col.	(h))
_a	<u>.                                    </u>	<u>-</u>									120.
<u>_b</u>											<27,727.
_ <u>c</u>									•		<148.
<u>d</u>				<u> </u>						· · ·	<1,332.
<u>e</u>						_					
2	Capital gain net income or (n	et capital los:	$\begin{cases} \text{If gain, also enter} \\ \text{If (loss), enter} \\ \end{cases}$			<u>}</u>	2				<29,087.
3	Net short-term capital gain or	(loss) as de	fined in sections 1222(5) an	nd (6):		`					
	If gain, also enter in Part I, Iir		(c).								
_	If (loss), enter -0- in Part I, li	ne 8	Continu 4040(a) for	Daduasa	Toy on Not	1	3	ant Inc		N/A	<u> </u>
			Section 4940(e) for				-	ient inc	OITIE		
(Fo	or optional use by domestic pr	ivate founda	tions subject to the section 4	4940(a) tax or	net investment in	icome	e.)				
If s	section 4940(d)(2) applies, lea	ve this part b	olank.								
	as the foundation liable for the				•	rıod?					Yes X No
_	Yes," the foundation doesn't o										<del></del>
1_	Enter the appropriate amoun	t in each coil		nstructions be	nore making any e				_		(4)
	Base periód years		(b) Adjusted qualifying dis	trihiitions	Net value of no	(C) Inchai	ı rıtahle-	use assets		Distri	(d) bution ratio
	Calendar year (or tax year beg	inning in)			1101 14100 01 110			8,781		(col. (b) di	vided by col. (c)) • 004891
_	2016			1,806.		- 4	,45	0,76 <u>1</u> 0	_		.000000
	2015 2014	<del></del>		<u> </u>					+		
_	2013								+		
_	2013										
_	2012			<u>_</u>							<del></del>
2	Total of line 1, column (d)								2		.004891
	Average distribution ratio for	the 5-year h	ase period - divide the total (	on line 2 hv 5	O or by the numb	er of	vears				
J	the foundation has been in ex			on mio 2 by o.	o, or by the name	0, 0,	,		3		.002446
	the loundation has been in ex	astorioo n ios	3 man 5 years								
4	Enter the net value of noncha	rıtable-use a	ssets for 2017 from Part X,	line 5					4	1	2,104,511.
5	Multiply line 4 by line 3								5		29,608.
6	t Enter 1% of net investment ii	ncome (1% c	of Part I, line 27b)						6		4,327.
		,	. ,								
7	Add lines 5 and 6								7		33,935.
8	Enter qualifying distributions								8		167,500.
	If line 8 is equal to or greater See the Part VI instructions.	than line 7, o	theck the box in Part VI, line	1b, and comp	olete that part usin	ıg a 1'	% tax r	ate.			

CREMONA FOUNDATION C/O MERRILL LYNCH (FOUST MEEKER TEAM Form 990-PF (2017) Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions) Part VI 1a Exempt operating foundations described in section 4940(d)(2), check here and enter "N/A" on line 1. (attach copy of letter if necessary-see instructions) Date of ruling or determination letter: b Domestic foundations that meet the section 4940(e) requirements in Part V, check here 🕨 🗶 and enter 1% 4,327. 1 of Part I, line 27b c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations, enter 4% of Part I, line 12, col. (b). 2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-) 327 3 4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-) 4,327 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-Credits/Payments: 3,806. a 2017 estimated tax payments and 2016 overpayment credited to 2017 6a 6b b Exempt foreign organizations - tax withheld at source 3,600 c Tax paid with application for extension of time to file (Form 8868) 6c d Backup withholding erroneously withheld 6d 7.406. 7 Total credits and payments. Add lines 6a through 6d 0. Enter any penalty for underpayment of estimated tax. Check here \( \textbf{X} \) if Form 2220 is attached 8 Tax due If the total of lines 5 and 8 is more than line 7, enter amount owed 3,079. 10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid 3.079. Refunded Enter the amount of line 10 to be: Credited to 2018 estimated tax Part VII-A Statements Regarding Activities No Yes 1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in X 1a any political campaign? X 1b b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities. X c Did the foundation file Form 1120-POL for this year? 1c d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. > \$\_ 0 • (2) On foundation managers. ► \$ e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. > \$ X 2 2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities. 3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or 3 bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes X 4a Did the foundation have unrelated business gross income of \$1,000 or more during the year? 4a N/A b If "Yes," has it filed a tax return on Form 990-T for this year? 4b X 5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? 5 If "Yes," attach the statement required by General Instruction T 6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: By language in the governing instrument, or By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law X 6 remain in the governing instrument? X 7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV 8a Enter the states to which the foundation reports or with which it is registered. See instructions.

Form **990-PF** (2017)

X

8b

b if the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate)

10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses

year 2017 or the tax year beginning in 2017? See the instructions for Part XIV. If "Yes," complete Part XIV

9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar

of each state as required by General Instruction G? If "No," attach explanation

11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section \$12(b)(13)? If "Yes," attach schedule. See instructions 12 Did the foundation make a delibibilities of the foundation or ad delibibilities of a delibibilities of the foundation or additional part of the foundation comply with the public inspection requirements for its annual returns and exemption application? 13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? 14 The books are in care of \$\infty\$ FRANK, RIMERMAN + CO. LLP Telephone no \$\infty\$ (550) 845 - 81.00 \text{ LCated at \$\infty\$} \text{ Mo PRANK, RIMERMAN + CO. LLP} Telephone no \$\infty\$ (550) 845 - 81.00 \text{ LCated at \$\infty\$} \text{ Mo PRANK, RIMERMAN + CO. LLP} Telephone no \$\infty\$ (550) 845 - 81.00 \text{ LCated at \$\infty\$} \text{ Mo PRANK, RIMERMAN + CO. LLP} Telephone no \$\infty\$ (550) 845 - 81.00 \text{ LCated at \$\infty\$} \text{ LCated at \$\infty\$} \text{ Mo PRANK, RIMERMAN + CO. LLP} Telephone no \$\infty\$ (550) 845 - 81.00 \text{ LCated at \$\infty\$}  LCated at \$\inft	Pa	rt VII-A	Statements Regarding Activities (continued)			
11 Al any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If Yes, statisch schedule. See instructions 12 Did the foundation make a distribution in a direct which the foundation or a disqualified person had advisory privileges? 12 If Yes, statisch statienters. See instructions 13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? 15 Website address ► N/A 16 The books are in our or of ► PRANK, RIMERMAN + CO. LLP 17 Telephone no. ► (650) 845−8100 18 Costed at ► 1801 PAGE MILL ROAD, PALO ALTO, CA 19 PALO ALTO, CA 19 PAGE MILL ROAD, PALO ALTO		•			Yes	No
section 5/2(b)(13)? If Yes, statish schedule. See instructions If Yes, attach statement. See instructions If Yes, attach statement is the year any own of the attachment is year. Year. Attachment is year. Attachment is year. Attachment is	11	At any time	e during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of			
12 Did the foundation make a disfribution to a dinor advised fund over which the foundation or a disqualified person had advisory privileges?  13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application?  Webate address № N/A  13 The books are in orar of ▶ FRANK, RIMERMAN + CO. LLP  Located at ▶ 1801 PAGE MILL ROAD, PAIO ALTO, CA  12P4 ▶ 94304  15 Section 4947(4) nonexempt charable trusts likeling form 994 Fir like to 15 From 1941 - check here and enter the amount of its exempt interest received or accrued during the year 3 A lary time during clandary are 2017, did the foundation have an interest in or a signature or other subtrary over a bank, securities, or other financial account in a foreign country?  See the instructions for exceptions and fining requirements for inficial Form 441-11 Pryss,* enter the name of the foreign country.  Perart VIII-B   Statements Regarding Activities for Which Form 4720 May Be Required  File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.  1a During the year, did the foundation (lather drestly or indirectly):  (1) Engage in the sale or exchange, or lessing of procept with a disqualited person?  (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?  (3) Furnish goods, services, or lacinises to of caccept them from) a disqualified person?  (4) Peys compensation to, or pay or reimburse the expenses of, a disqualified person?  (5) Transfer any income or assets to a disqualified person (or make any of either available to the theelenth or use of ad Scaladiled person?  (6) Agree to pay money or property to a government official? (seepsion, Chesk No' of the foundation agreed to make a grant to or to employ by indical for a prior date termination of government service, it termination with 90 days.)  1a At the end of the vary according diseaster assistance, check here  Of bid the foundation agreed to make a grant to or to employ the order t		-		11		Х
If Yes, attach statements. See instructions    12	12					
13   Did the foundation camply with the public inspection requirements for its annual returns and exemption application?				12		x
Website address \bigstar   N/A   1 The books are in care of \bigstar   FRANK, RIMERMAN + CO. LLP   Telephone no. \bigstar   G.50   845 - 8100   15 Section 947(a)(1) nonexempl characteristic trusts filing form 990/PF in lieu of Form 1041 - check here and enter the amount of tax-exempt interest reserved or accrued during the year   18 A any time during calendar year 2017, did the foundation have an interest in or a signature or other authority over a bank, securities, or other francale account in a foreign country?  See the instructions for exceptions and filing requirements for FinCEN Form 114. If Yes, *enter the name of the foreign country   19 Part VIII-B   Statements Regarding Activities for Which Form 4720 May Be Required  File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.  10 Duming the year, did the foundation (either directly or indirectly):  11 Engage in the sate or exchange, or leasing of property with a disqualified person?  12 Borrow money from, lend money to, or otherwise excent or certain to, or accept them from) a disqualified person?  13 Furnish poods, services, or facilities to (or accept them from) a disqualified person?  14 Fee Signary in the sate or a signal file person or lend to use of a disqualified person or make any of either available for the benefit or use of a disqualified person or lend to use of a disqualified person or make any of either available for the benefit or use of a disqualified person or lend to use of the lend to use of a dis	13			-	x	
14. The books are in care of ▶ FRANK, RIMERMAN + CO. LLP Telephone no ▶ (650)845-8100 Located al ▶ 1801 PAGE MILL ROAD, PALO ALTO, CA  15. Section 497(a)(1) noneximpt charatable trusts fling form 990 FF in lieu of Form 1041 - check here and enter the amount of tak-exempt interest received or accrued during the year  16. At any time during cleaner year 2017, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?  See the instructions for exceptions and fling regimements for FinCEN Form 114. If Yes, either the name of the foreign country.  Part VII-B   Statements Regarding Activities for Which Form 4720 May Be Required  File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.  1a During the year, did the foundation (either directly or indirectly);  1) Engage in the sale or exchange, or lessing of property with a disqualified person?  (2) Borrow money fron, lend money to, or otherwise extend credit to (or accept it from)  a disqualided person?  (3) Furnish goods, services, or footities to (or accept them from) a disqualified person?  (3) Furnish goods, services, or footities to (or accept them from) a disqualified person?  (3) Furnish goods, services, or footities to (or accept them from) a disqualified person?  (4) Pay compensation to, or pay or remiburse the expenses of, a disqualified person?  (5) Transfer any income or assets to a disqualified person?  (6) Agree to pay money or propriety to a government officia? (Exception, Check No  if the foundation agreed to make a grant to or to employ the official for a period after termination of povernment service, iterminating within 90 days.)  b If any answer is "Yes" to 1a(1)-(5), did any of the act's fail to qualify under the exceptions described in Regulations section 53.494 (16)-3 or in a current notice regarding dissater assistance? See instructions.  b If the foundation engage in a prior year in any of the act's fail to qualify under the ex						
Located at N 1801 PAGE MILL ROAD, PALO ALTO, CA    Section 4947(a)(1) nonexempt charitable trusts filting form 990-PF in leu of Form 1041 - check here and enter the amount of tax-everpt interest received or accrued during the year  16. At any time during calendary year 2017, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial calculation of the financial science of the financial	14			45-	810	n
15 Section 4947(a)(1) nonexempt charitable trusts fating Form 990-PF in lieu of Form 1041 - check here and enter the amount of tax-exempts interest received on accorded during the year.  16 At any time during calendar year 2017, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?  See the instructions for exceptions and fining requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country.  Part VII-B   Statements Regarding Activities for Which Form 4720 May Be Required  File Form 4720 of any item is checked in the "Yes" column, unless an exception applies.  18 Degree of The File Form 4720 of any item is checked in the "Yes" column, unless an exception applies.  19 Degree of The Statements Regarding Activities for Which Form 4720 May Be Required  File Form 4720 of any item is school or exchange, or leasing of property with a disqualified person?  19 Degree of the Statements of the condition (either directly or indirectly):  10 Engage in the sale or exchange, or leasing for property with a disqualified person?  11 Degree of the Statement of Statement of Statement of the Statement of Stat	17					<del>-</del>
and enter the amount of tax-exempt interest received or accounted during the year  6 At any time during calendar year 2017, did the foundation have an interest in or a signature or other authority over a bank, scurdes, or other inancial account in a foreign country?  See the instructions for exceptions and thing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country! ▶  Part VII-B   Statements Regarding Activities for Which Form 4720 May Be Required  File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.  1a During the year, did the foundation (either directly or indirectly):  (1) Engage in the sale or exchange, or leasing of properly with a disqualified person?  (2) Borrow money from, lend money to, or otherwise extend credit to (or accept throm)  a disqualified person?  (3) Furnish poods, services, or facilities to (or accept them from) a disqualified person?  (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?  (5) Transfer any income or assets to a disqualified person (or make any or either available for the benefit or use of a disqualified person (fine)? (Exception. Check 'No'  if the foundation argeed to make a grant to or benipoly the official for a period after termination of government service, if terminating within 90 days.)  b If any answer is "Yes" to fat(1)-(6), did any of the acits fail to qualify under the exceptions described in Regulations section 53-48 (4)(7)-30 in a current notice regarding disaster assistance, check here  0 but the foundation engage in a more year any or the acits fail to qualify under the exceptions described in Regulations section 4942(1)(3) or 4942(1)(3) or 4942(1)(3) or 4942(1)(3) or 4942(1)(4) or 10 and	15			<u> </u>	_	$\Box$
No securities, or other financial account in a foreign country	10			N	/ <b>A</b>	
Securities, or other financial account in a foreign country?  See the instructions for exceptions and thing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country! ▶  Part VII-B   Statements Regarding Activities for Which Form 4720 May Be Required  File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.  1 a During the year, did the foundation (either directly or indirectly):  (1) Engage in the sale or exchange, or leasing of property with a disqualified person?   Yes   X No    (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from)   a disqualified person?   Yes   X No    (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?   Yes   X No    (4) Pay compensation to, or pay or reimburs the expenses of, a disqualified person?   Yes   X No    (5) Transfer any income or assets to a disqualified person)?   Yes   X No    (6) Agree to pay money or property to a government official? (Exception, Check "No"   if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)    If a property is a government official? (Exception, Check "No"   if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)    If a property is a government official? (Exception, Check "No"   if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)    If a property is a government official? (Exception, Check "No"   if the foundation service, if terminating within 90 days.)    If a property is a government official? (Exception, Check "No"   if the foundation agreed to make a grant to or to employ the order of a period after termination of government in the control of the exception of days.)    If a property is a property is a government offic	16					No
See the instructions for exceptions and filing requirements for FinCEN Form 114. If Yes, "enter the name of the topicing country Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required  File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.  1a During the year, did the foundation (either directly or indirectly):  (1) Engage in the sale or exchange, or leasing of property with a disqualified person?  (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?  (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?  (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?  (5) Transfer any income or assets to a disqualified person or yes \textbf{X} No  (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?  (5) Transfer any income or assets to a disqualified person)?  (6) Agree to pay money or property to a government official? (Exception, Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)  b If any answer is 'Yes' to fa(1)-(6), ind any of the acts faul to qualify under the exceptions described in Regulations section 53.4841(0)-3 or in a current notice regarding disaster assistance, check here  c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2017?  2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942((3)) 4942((3))  1 If 'yes, list the years \begin{array}{c} b Ar the end of tax year 2017, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2017?  1 If 'yes, list the years \begin{array}{c} b Ar the end of tax years	10			16		_
Part VII-B   Statements Regarding Activities for Which Form 4720 May Be Required   File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.   Yes   No   1a During the year, did the foundation (either directly or indirectly);   (1) Engage in the sale or exchange, or leasing of property with a disqualified person?   Yes   X No   Yes   X				<del> </del>		
File Form 4720 if any Item is checked in the "Yes" column, unless an exception applies.  1 a During the year, did the foundation (either directly or indirectly):  (1) Engage in the sale or exchange, or leasing of property with a disqualified person?  (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?  (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?  (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?  (5) Transfer any income or assets to a disqualified person or make any of either available for the benefit or use of a disqualified person or make any of either available for the benefit or use of a disqualified person)?  (6) Agree to pay money or property to a government official? (Exception, Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)  b If any answer is "Set" to 161/16, did any of the acts fail to quality under the exceptions described in Regulations  Section 53.4941(0)-3 or in a current notice regarding disaster assistance, check here  1 b If any answer is "Set" to 161/16, did any of the acts fail to quality under the exceptions described in Regulations  N / A  1 c Organizations relying on a current notice regarding disaster assistance, which the foundation was a private operating foundation defined in section 4942(16)-6, did any of the acts fail to quality under the exceptions described in Regulations  N / A  1 c X  2 Taxes on fadure to distribute income (section 4942) for years the foundation was a private operating foundation defined in section 4942(16) of 4942(16).  1 a At the end of tax year 2017, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2017?  1 f Sit the years   1						
File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.  1a During the year, did the foundation (either directly or indirectly):  (1) Engage in the sale or exchange, or leasing of property with a disqualified person?  (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?  (3) Furnsh goods, services, or facilities to (or accept them from) a disqualified person?  (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?  (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?  (6) Agree to pay money or property to a government official? (Exception, Check *No* if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)  b if any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.491 (fig.) 3 or in a current notice regarding dissater assistance, check here  c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2017?  2 Taxes on failure to distribute income (section 44942) (does not apply for years the foundation was a private operating foundation defined in section 4942(i)(3) or 4942(i)(5):  a At the end of tax year 2017, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2017?  b Are there any years listed in 2s for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer 'No* and attach statement - see instructions.)  b Are there any years listed in 2s for which the foundation is not applying the provisions of sec	Pa					<u>'</u>
1a During the year, did the foundation (either directly or indirectly):  (1) Engage in the sale or exchange, or leasing of property with a disqualified person?  (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?  (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?  (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?  (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person) (or make any of either available for the benefit or use of a disqualified person) (or make any of either available for the benefit or use of a disqualified person) (or make any of either available for the benefit or use of a disqualified person) (or make any of either available for the benefit or use of a disqualified person) (or make any of either available for the benefit or use of a disqualified person) (or make any of either available for the benefit or use of a disqualified person) (or make any of either available for the benefit or use of a disqualified person) (or make any of either available for the benefit or use of a disqualified person) (or make any of either available for the benefit or use of a disqualified person) (or make any or either available for the benefit or use of a disqualified person) (or make any or either available for the benefit or use of a disqualified person) (or make any or either available or a person of a disqualified person of a grant any or property to a disqualified person of a grant any or and attach section 4942(a)(3) or any or property to a disaster assistance, check here  or Did the foundation engage in a prior year in any of the acts static excepted acts, that were not corrected before the first day of the tax year beginning in 2017?  1c X  2 Taxes on faiture to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 49					Yes	No
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(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?  (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?  (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?  (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?  (6) Agree to pay money or property to a government official? (Exception. Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)  b If any answer is 'Yes' to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.491(1)-3 or in a current notice regarding disaster assistance? See instructions  b If any answer is 'Yes' to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.491(1)-3 or in a current notice regarding disaster assistance? See instructions  N/A  1b  Cranizations relying on a current notice regarding disaster assistance? See instructions  N/A  1b  Cranization failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(1) or 4942(1)(5):  a At the end of tax year 2017, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2017?  If 'Yes,' list the years b  b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer 'No' and attach statement - see instructions.)  b If 'Yes,' did if have excess business holdings in 2017 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period	ıa	=				
a disqualified person?  (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?  (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?  (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?  (6) Agree to pay money or property to a government official? (Exception, Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)  b If any answer is 'Yes' to 1a(1)'-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)'-3 or in a current notice regarding disaster assistance? See instructions  b If any answer is 'Yes' to 1a(1)'-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)'-3 or in a current notice regarding disaster assistance? See instructions  C Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2017?  2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(i)(3) or 4942(i)(5)):  a At the end of tax year 2017, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2017?  If 'Yes,' list the years \interest of the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer 'No' and attach statement - see instructions.)  b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer 'No' and attach statement - see instructions.)  b Are there any years listed in 2a		• •				
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(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?  (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?  (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)  If any answer is "Yes" to 1a(1)-(6), did any of the acts fall to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions  Social States and a current ontice regarding disaster assistance, check here  C Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2017?  Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(i)(3) or 4942(i)(5)):  a At the end of tax year 2017, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2017?  If "Yes," list the years \[ \]  b Are there any years Islated in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (if applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)  If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.  Are during the year?  If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.  Are during the year?  If the provisions of section 4943(c)(7) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Us		•				}
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?  (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)  b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(g)-3 or in a current notice regarding disaster assistance? See instructions  Organizations relying on a current notice regarding disaster assistance? See instructions  Organizations relying on a current notice regarding disaster assistance, check here  c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2017?  1 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):  a At the end of tax year 2017, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2017?  If "Yes," list the years \[ Yes \] No  b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)  If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.  And 2b If the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?  If yes \[ \] No  b If "Yes," did it have excess business holdings in 2017 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) th						1
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(6) Agree to pay money or property to a government official? (Exception. Check *No*  If the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)  b If any answer is *Yes* to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions  N/A  Organizations relying on a current notice regarding disaster assistance, check here  c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2017?  2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):  a At the end of tax year 2017, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2017?  If *Yes,* list the years *>  b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer *No* and attach statement - see instructions.  N/A  2b If *Yes,* list the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer *No* and attach statement - see instructions.  N/A  2b If *Yes,* list the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer *No* and attach statement - see instructions.  N/A  2b If *Yes,* list the year's undistributed income? (If applying section 4942(a)(2) to all years listed in 2a, list the years here.  2d If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.  2d If *Yes,* list the year's here.  2d If the provisions of section 4943(a)(a			b banafit or use of a disqualified person?			1
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section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions Organizations relying on a current notice regarding disaster assistance, check here c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2017?  2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(i)(3) or 4942(i)(5)): a At the end of tax year 2017, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2017? If Yes, 'list the years  b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer 'No' and attach statement - see instructions.)  c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.	h					1
Organizations relying on a current notice regarding disaster assistance, check here  c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2017?  1c				1h		
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2017?  Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(i)(3) or 4942(i)(5)):  a At the end of tax year 2017, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2017?  If Yes, list the years \				''		1
before the first day of the tax year beginning in 2017?  2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):  a At the end of tax year 2017, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2017?  If 'Yes,' list the years \( \)	•	-				ļ
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):  a At the end of tax year 2017, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2017?  If Yes," list the years   b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)  c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.	·			10		
defined in section 4942(j)(3) or 4942(j)(5)):  a At the end of tax year 2017, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2017?  If "Yes," list the years . , , , , , , , , , , , , , , , , , ,	9			"		
a At the end of tax year 2017, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2017?  If "Yes," list the years    b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)  c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.	_					1
before 2017?  If "Yes," list the years \	a					·
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)  C if the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.	٠					ì
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)  c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.						j
valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)  c if the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.	h	Are there a	inv years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect			l
statement - see instructions.)  c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.	•					
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.				2b		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?  b If "Yes," did it have excess business holdings in 2017 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the .10-, .15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2017.)  4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?  4b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2017?	c		·			]
during the year?  b If "Yes," did it have excess business holdings in 2017 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2017.)  1 A Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?  2 b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2017?	•	<b>•</b>				İ
during the year?  b If "Yes," did it have excess business holdings in 2017 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2017.)  1 A Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?  2 b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2017?	3a	Did the fou	andation hold more than a 2% direct or indirect interest in any business enterprise at any time			
b If "Yes," did it have excess business holdings in 2017 as a result of (1) any purchase by the foundation or disqualified persons after  May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the .10-, .15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2017.)  1 A Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?  2 b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2017?  3 b  3 c  4 a X  X	-					
May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the .10-, .15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2017.)  4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?  4b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2017?  4b X	h					
of holdings acquired by gift or bequest; or (3) the lapse of the .10-, 15-, or 20-year first phase holding period? (Use Schedule C,  Form 4720, to determine if the foundation had excess business holdings in 2017.)  4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?  4b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2017?  4b X						
Form 4720, to determine if the foundation had excess business holdings in 2017.)  4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?  4a X  b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2017?  4b X		-				İ
4aXb Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?4aXb Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2017?4bX		_		3b		
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that  had not been removed from jeopardy before the first day of the tax year beginning in 2017?  4b X	42					X
had not been removed from jeopardy before the first day of the tax year beginning in 2017?						
	•			4b		<u>X</u> ,
					)-PF	

Part VII-B   Statements Regarding	Activities for Which F	Form 4720 May E	3e Req	uired (continu	ied)			
5a During the year, did the foundation pay or incu	ir any amount to:		<del>-</del>			1	/es	No
(1) Carry on propaganda, or otherwise attemp	ot to influence legislation (section	1 4945(e))?		☐ Ye	s 🗓 No			
(2) Influence the outcome of any specific pub			indirectly,	1				
any voter registration drive?	,				s 🗓 No			}
(3) Provide a grant to an individual for travel,	study, or other similar purposes'	?		☐ Ye	s X No			ł
(4) Provide a grant to an organization other th								1
4945(d)(4)(A)? See instructions	· · · · ·			☐ Ye	s X No			
(5) Provide for any purpose other than religio	ous, charitable, scientific, literary,	or educational purposes	s, or for					1
the prevention of cruelty to children or an		•		Ye	s X No			Ì
b If any answer is "Yes" to 5a(1)-(5), did any of t		der the exceptions descri	ibed in Re	gulations	l.			i
section 53.4945 or in a current notice regarding					N/A	5b		
Organizations relying on a current notice regains					▶□		Ī	
c If the answer is "Yes" to question 5a(4), does t			aintained					1
expenditure responsibility for the grant?	,		N/A		s 🔲 No			ł
If "Yes," attach the statement required by Regu	lations section 53.4945-5(d).							Į
6a Did the foundation, during the year, receive an		pay premiums on						į
a personal benefit contract?				☐ Ye	s X No			]
<b>b</b> Did the foundation, during the year, pay premi	ums, directly or indirectly, on a p	ersonal benefit contract	?			6b		X
If "Yes" to 6b, file Form 8870.								
7a At any time during the tax year, was the found	ation a party to a prohibited tax s	helter transaction?		☐ Ye	s X No			į
b If "Yes," did the foundation receive any procee			?		N/A	7b		
Part VIII Information About Offi	cers, Directors, Trust	ees, Foundation	Mana	gers, Highly	<b>/</b> ş,			
Paid Employees, and (	Contractors							
List all officers, directors, trustees, and	foundation managers and t	heir compensation.						
a sas		(b) Title, and average hours per week devo	je (c)	Compensation (If not paid,	(d) Contributions to employee benefit plans and deferred compensation	(e	) Expe	ense other
(a) Name and addres	iS	to position	ieu	enter -0-)	compensation	a	llowan	ces
DAVID HUNG		DIRECTOR, E	PRESI	DENT		-		
C/O MERRILL LYNCH 101 C	ALIFORNIA ST.							
SAN FRANCISCO, CA 94111		1.00		0.	0			0.
STEVEN OKUHN		DIRECTOR, S	SECRE	TARY, T	REASUREI	₹		
L80 STEAURT STREET, #19	2107							
SAN FRANCISCO, CA 94105	j	1.00		0.	0			0.
Compensation of five highest-paid emp	oyees (other than those inc	1		er "NONE."	(d) Contributions to	1		
(a) Name and address of each employee p	oald more than \$50,000	(b) Title, and average hours per week	ge (c)	Compensation	(d) Contributions to employee benefit plans and deferred	e (e	Expe	ense other
(a) Name and desired of days of project p		devoted to position	n (0,		compensation	a	llowan	ces
NONE		_				ļ		
						ļ		
						1		
	·					-		
		•						
						1		
				_				
Total number of other employees paid over \$50,00	)0	•			<b>•</b>			0
				,	. Forn	n <b>990</b> -	-PF (	2017)

C/0	MERRILL	LYNCH	(FOUST	MEEKER	TEAM)

47-4108450

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Paid Employees, and Contractors (continued)	, Highly	
3 Five highest-paid independent contractors for professional services. If none, enter "NONE."		
	pe of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services		▶ 0
Part IX-A Summary of Direct Charitable Activities		
List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such a number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	as the	Expenses
1 N/A		
2		<del></del>
3		
*		
Part IX-B Summary of Program-Related Investments		
Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.  1 N/A		Amount
2		
All other program-related investments. See instructions.  3		
<del></del>		
Total. Add lines 1 through 3	<b>)</b>	0.
		Form <b>990-PF</b> (2017)

P	art X. Minimum Investment Return (All domestic foundations must complete this part. Foreign four	ndations	s, see instructions)
1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		<del></del>
·		1a	11.200.714.
b		1b	11,200,714. 1,088,130.
C		1c	2/000/1000
d		1d	12,288,844.
	Reduction claimed for blockage or other factors reported on lines 1a and	- 14	11,200,011.
٠	1c (attach detailed explanation) 1e 0 •		•
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	12,288,844.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	184,333.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	12,104,511.
	Minimum investment return Enter 5% of line 5	6	605,226.
6	Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and		
Ŀ	foreign organizations, check here  and do not complete this part.)	iu certairi	
1	Minimum investment return from Part X, line 6	1	605,226.
2a	Tax on investment income for 2017 from Part VI, line 5		
b	Income tax for 2017. (This does not include the tax from Part VI.)		
C	Add lines 2a and 2b	2c	4,327.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	600,899.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	600,899.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	600,899.
P	art XII Qualifying Distributions (see instructions)		
1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
а	Expenses, contributions, gifts, etc total from Part I, column (d), line 26	1a	167,500.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:	_	
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions Add lines 1a through 3b. Enter here and on Part V, line 8; and Part XIII, line 4	4	167,500.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment	7	
	income. Enter 1% of Part I, line 27b	5	4,327.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	163,173.
	Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation of	jualifies f	or the section

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2016	(c) 2016	(d) 2017
1 Distributable amount for 2017 from Part XI,		104.5 \$1.00		
line 7				600,899.
2 Undistributed income, if any, as of the end of 2017				00070331
a Enter amount for 2016 only			0.	
b Total for prior years:		<u> </u>		
y rotal to prior years.		0.		
3 Excess distributions carryover, if any, to 2017:				
a From 2012	. •		,	
<b>b</b> From 2013			, The state of the	
c From 2014				
d From 2015				
e From 2016 35, 255.				
f Total of lines 3a through e	35,255.			
4 Qualifying distributions for 2017 from	337233			
Part XII, line 4: ►\$ 167,500.				
a Applied to 2016, but not more than line 2a			0.	
b Applied to undistributed income of prior				
years (Election required - see instructions)		0.		
c Treated as distributions out of corpus				
(Election required - see instructions)	0.			,
d Applied to 2017 distributable amount				167,500.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2017	35,255.	•		35,255.
(If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as				,
indicated below:	0.			ļ
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.			
b Prior years' undistributed income. Subtract		0.	!	ļ
line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of				1
deficiency has been issued, or on which				Í
the section 4942(a) tax has been previously		0.		
assessed	- · · · -	<u>U•</u>		
d Subtract line 6c from line 6b. Taxable		0.		
amount - see instructions		0.		
e Undistributed income for 2016. Subtract line			0.	
4a from line 2a. Taxable amount - see instr.				
f Undistributed income for 2017. Subtract				
lines 4d and 5 from line 1. This amount must be distributed in 2018		•		398,144.
7 Amounts treated as distributions out of				370,144.
corpus to satisfy requirements imposed by				
section 170(b)(1)(F) or 4942(g)(3) (Election				, .
	0.			
may be required - see instructions)  8 Excess distributions carryover from 2012	<u></u>			
not applied on line 5 or line 7	0.			
	<u> </u>			
9 Excess distributions carryover to 2018 Subtract lines 7 and 8 from line 6a	0.			
	U •			
10 Analysis of line 9: a Excess from 2013				ł
b Excess from 2014				 
c Excess from 2015				
d Excess from 2016				I
e Excess from 2017				1

723581 01-03-18

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

## DAVID HUNG

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

#### NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here LXI if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc., to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or email address of the person to whom applications should be addressed:

**b** The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Form 990-PF (2017) C/O MERRILL LYNCH (
Part XV | Supplementary Information (continued) C/O MERRILL LYNCH (FOUST\_MEEKER TEAM)

2 Occasional Contributions Point Design About				
3 Grants and Contributions Paid During the	If recipient is an individual,	ayment	<del></del>	<del></del>
Recipient  Name and address (home or business)	show any relationship to any foundation manager or substantial contributor	Foundation status of	Purpose of grant or contribution	Amount
	or substantial contributor	recipient		
a Paid during the year		•		
YMCA OF THE USA	NONE		CHARITABLE DONATION	
101 N WACKER DRIVE				500
CHICAGO, IL 60606			<del></del>	500.
(				
ALAMEDA WORLD TOURNAMENT BASEBALL	NONE		CHARITABLE DONATION	
C/O VALI EBERT 1612 CORNELL DR				
ALAMEDA, CA 94501				2,000,
	<u>'</u>			
VALLEY OF THE MOON MUSIC FESTIVAL	NONE		CHARITABLE DONATION	
PO BOX 538	•			
SONOMA, CA 95476		· · · · · · · · · · · · · · · · · · ·	-	15,000.
			1	
BISHOP O'DOWD HIGH SCHOOL	NONE		CHARITABLE DONATION	
9500 STEARNS AVENUE				
OAKLAND, CA 94605				50,000,
MAUNALANI FOUNDATION	NONE		CHARITABLE DONATION	
5113 MAUNALANI CIRCLE	NONE		CHARTIMAD DOMITION	
HONOLULU HI 96816				100,000.
Total			▶_ 3a	167,500.
b Approved for future payment				•
				•
NONE				
•				
				•
-				
	`			
	ς			
Total			<b>▶</b> 3b	0.

Form 990-PF (2017) C/O MERRILL L'	YNCH (FOU	ST MEEKER T	'EAM)	47-4	108450 Page 12
Part XVI-A Analysis of Income-Prod	ucing Activitie	es			
Enter gross amounts unless otherwise indicated.	Unrelated	business income		y section 512, 513, or 514	(e)
•	(a) Business	(b)	(c) Exclu-	(d)	Related or exempt
1 Program service revenue:	code	Amount	sion code	Amount	function income
a					
b	_				
c	1				
d					
e	l l				
f	_				
g Fees and contracts from government agencies				•	
2 Membership dues and assessments					
3 Interest on savings and temporary cash					
investments			14	117.	
4 Dividends and interest from securities			14	482,706.	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal					
property					
7 Other investment income		***	ļ <u>ļ.</u>		
8 Gain or (loss) from sales of assets other					
than inventory			18	<29,087.	•
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a	_				
b	_	_			
c	_		ļļ		
d	_				<del></del>
e					
12 Subtotal. Add columns (b), (d), and (e)	<u> </u>	0.		453,736.	0.
13 Total Add line 12, columns (b), (d), and (e)				13	453,736.
(See worksheet in line 13 instructions to verify calculations.)	)				
Part XVI-B Relationship of Activities	to the Accon	nplishment of Ex	kempt P	ırposes	
Explain below how each activity for which in the foundation's exempt purposes (other the			contributed	importantly to the accomp	dishment of
the foundation's exempt purposes (other th	an by providing fund	s for such purposes).			
	<u> </u>	<del></del>		<del>-</del>	
	<del>-</del>			<del></del>	
			-		
			•		
					<del></del>
					· <del></del> -
		-			

Part XVII	Information Re Exempt Organ		isters to a	ind Transactions a	ind Relations	hips With Nonc	naritable	<b>;</b>	
1 Did the c			of the following	ng with any other organizati	on described in sec	tion 501(c)		Yes	No
	-			g to political organizations?		11011 30 1(0)			1.00
•	s from the reporting found	•	· ·	· ·					
(1) Casi	· · · · · · · · · · · · · · · · · · ·		iono onompi or	gameanon on			1a(1)		<u>x</u>
(2) Othe							1a(2)		X
<b>b</b> Other tra							1.54.57		
	s of assets to a noncharita	ble exempt organiza	tion				1b(1)		X.
(2) Puro	chases of assets from a no	ncharitable exempt	organization				1b(2)		X
(3) Ren	tal of facilities, equipment,	or other assets	•				1b(3)		X
, ,	nbursement arrangements						1b(4)		X
(5) Loa	ns or loan guarantees						1b(5)		X
(6) Perf	ormance of services or me	embership or fundra	ising solicitatio	ons			1b(6)		X
c Sharing	of facilities, equipment, ma	uling lists, other assi	ets, or paid em	ployees			1c		X
d If the ans	swer to any of the above is	"Yes," complete the	following sche	edule. Column (b) should al	ways show the fair	market value of the goo	ds, other ass	sets,	
or servic	es given by the reporting f	oundation. If the fou	ndation receiv	ed less than fair market vali	ue in any transactio	n or sharing arrangeme	nt, show in		
column (	d) the value of the goods,	other assets, or serv	rices received.						
(a) Line no	(b) Amount involved	(c) Name o		e exempt organization	(d) Description	on of transfers, transactions,	and sharing ar	rangeme	nts
			N/A						
	<u>-</u>								
	,								
			<del></del>	<del></del>			•		
	<u>-</u>								
						· · · · · · · · · · · · · · · · · · ·	<del></del>		
	•	•	=	or more tax-exempt organ	izations described				٦.
	n 501(c) (other than sectio		ection 52/7				L Yes	L.X.	No
b It "Yes," (	complete the following sch			(h) Type of ergenization	1	(a) Description of relati			
	(a) Name of org	janization		(b) Type of organization		(c) Description of relati	onsnip		
	N/A					<del></del>			
						<del></del>			
						<del>-</del>			
Linde	r penalties of perion. I declare	that I have examined th	is return, includin	l ng accompanying schedules and	statements and to the	hest of my knowledge			
Sign and t	pelief, it is true, correct, and cor	nplete Declaration of pr	eparer (other tha	n taxpayer) is based on all inform	nation of which prepare	r has any knowledge	May the IRS of return with the	discuss t	:his er
Here	Dans	Home	$\sim$	116/29/18			shown below	? See ins	str
	nature of officer or trustee	7 7 7		Date	PRESI:	DENT	X Yes		J No
l Sil	Print/Type preparer's na		Preparer's s		Date	Check If PT	'IN		
	Fillib Type preparer 5 na	11116			Date	self- employed	IIV		
Paid	WADDAT TEAT	7D70	Kr (	M	10/22/10		200524	214	
Preparer	KAREN VALL				10/22/18		200534		
Use Only	Firm's name ► FRA	NK' KIWE	TATATA &	CO. LLP		Firm's EIN ► 94-	.13410	42	
Joe Only		01 5305	TTT 50			<del> </del>			
	Firm's address ► 18					1656	045	01	0.0
-	<u> </u>	LO ALTO,	CA 943	U4		Phone no. (650	) 845 - 200		
							Form <b>990</b>	)-PF (	(2017)

## Schedule B (Form 990, 990-EŽ, or 990-PF)

Department of the Treasury Internal Revenue Service

Name of the organization

CREMONA FOUNDATION

## **Schedule of Contributors**

Attach to Form 990, Form 990-EZ, or Form 990-PF.

► Go to www.irs.gov/Form990 for the latest information.

C/O MERRILL LYNCH (FOUST MEEKER TEAM)

OMB No 1545-0047

2017

**Employer identification number** 

47-4108450

Organization type (check one)·							
Filers of:		Section:					
Form 990	or 990-EZ	501(c)( ) (enter number) organization					
		4947(a)(1) nonexempt charitable trust not treated as a private foundation					
		527 political organization					
Form 990	-PF	X 501(c)(3) exempt private foundation					
•		4947(a)(1) nonexempt charitable trust treated as a private foundation					
		501(c)(3) taxable private foundation					
_	_	covered by the <b>General Rule</b> or a <b>Special Rule</b> .  7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule See instructions					
General !	Rule	·					
	-	filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or one contributor Complete Parts I and II See instructions for determining a contributor's total contributions					
Special F	Rules	•					
:	sections 509(a)(1) a any one contributor	described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from r, during the year, total contributions of the greater of (1) \$5,000, or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, line 1 Complete Parts I and II.					
	year, total contribut	described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the tions of more than \$1,000 exclusively for religious, charitable, scientific, literary, or educational purposes, or for ruelty to children or animals. Complete Parts I, II, and III					
	year, contributions is checked, enter h purpose Don't con	described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the exclusively for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000 If this box ere the total contributions that were received during the year for an exclusively religious, charitable, etc., inplete any of the parts unless the General Rule applies to this organization because it received nonexclusively etc., contributions totaling \$5,000 or more during the year					
but it mu	st answer "No" on	at isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to ne filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)					

LHA For Paperwork Reduction Act Notice, see the instructions for Form 990, 990-EZ, or 990-PF. Schedule B (Form 990, 990-EZ, or 990-PF) (2017)

Name of organization

Employer identification number

CREMONA FOUNDATION

C/O MERRILL LYNCH (FOUST MEEKER TEAM)

47-4108450

Part I	Contributors (see instructions) Use duplicate copies of Part I if addition	al space is needed	
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	DAVID HUNG C/O MERRILL LYNCH 101 CALIFORNIA ST, NO. 2575  SAN FRANCISCO, CA 94111	\$15,280.	Person X Payroll
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person Payroll Noncash (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person Payroll Noncash (Complete Part II for noncash contributions.)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person Payroll Noncash (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person Payroll Noncash (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person Payroll Noncash  (Complete Part II for noncash contributions)

Name of organization

Employer identification number

CREMONA FOUNDATION

C/O MERRILL LYNCH (FOUST MEEKER TEAM)

47-4108450

-h	Ioncash Property (see instructions) Use duplicate copies of Pa	art ii ii additional space is needed		
(a) No. rom Part I	(b) Description of noncash property given	(c) . FMV (or estimate) (See instructions.)	(d) Date received	
_   _		  \$		
(a) No. rom	(b)  Description of noncash property given	(c) FMV (or estimate) (See instructions.)	(d) Date received	
-   - - -		\$	·	
(a) No. From	(b)  Description of noncash property given	(c) FMV (or estimate) (See instructions.)	(d) Date received	
_ = = = = = = = = = = = = = = = = = = =		\$	<del></del>	
(a) No. from Part I	(b)  Description of noncash property given	(c) FMV (or estimate) (See instructions.)	(d) Date received	
_   _		**************************************		
(a) No. rom Part I	(b)  Description of noncash property given	(c) FMV (or estimate) (See instructions.)	(d) Date received	
_   _		, , ,	<del></del>	
(a) No. rom	(b)  Description of noncash property given	(c) FMV (or estimate) (See instructions.)	(d) Date received	

Name of organization **Employer identification number** CREMONA FOUNDATION 47-4108450 C/O MERRILL LYNCH (FOUST MEEKER TEAM) Part III Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year (Enter this info once) Use duplicate copies of Part III if additional space is needed (a) No. from (b) Purpose of gift (c) Use of gift (d) Description of how gift is held Part I (e) Transfer of gift Transferee's name, address, and ZIP + 4 Relationship of transferor to transferee (a) No. (b) Purpose of gift (c) Use of gift (d) Description of how gift is held (e) Transfer of gift Transferee's name, address, and ZIP + 4 Relationship of transferor to transferee (a) No. (b) Purpose of gift (c) Use of gift (d) Description of how gift is held Part I (e) Transfer of gift Transferee's name, address, and ZIP + 4 Relationship of transferor to transferee (a) No. from (b) Purpose of gift (c) Use of gift (d) Description of how gift is held Part I (e) Transfer of gift Transferee's name, address, and ZIP + 4 Relationship of transferor to transferee

FORM 990-PF INTERES	T ON SAVI	NGS AND TEM	PORARY	CASH IN	VESTMENTS	STATEMENT	1
SOURCE	REVE	(A) REVENUE PER BOOKS		(B) VESTMENT COME	(C) ADJUSTED NET INCOME		
INTEREST INCOME		<u> </u>	117.	· <del></del>	117.		
TOTAL TO PART I, LIN	NE 3		117.		117.		1
FORM 990-PF	DIVIDEND	S AND INTER	EST FRO	M SECUR	ITIES	STATEMENT	2
SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDEND	RE	(A) EVENUE BOOKS	(B) NET INVES MENT INCO		
DIVIDEND INCOME TAX EXEPMT	460,857			160,857.			
DIVIDENDS 21,849			0.	21,849.	<u> </u>	<u>.                                    </u>	
TO PART I, LINE 4	482,706	·	0. 4	182,706.	460,85	======================================	
FORM 990-PF		ACCOUNTI	NG FEES	3		STATEMENT	3
DESCRIPTION		(A) EXPENSES PER BOOKS	(E NET IN MENT I	WEST-	(C) (ADJUSTEI NET INCOM		
ACCOUNTING FEES	-	7,920.		0.			0.
TO FORM 990-PF, PG	1, LN 16B	7,920.		0.		1	0.
FORM 990-PF	0	THER PROFES	SIONAL	FEES		STATEMENT	4
DESCRIPTION		(A) EXPENSES PER BOOKS	(H NET IN MENT I		(C) ADJUSTEI NET INCON		
INVESTMENT FEES	_	28,316.		28,316.			0.
TO FORM 990-PF, PG	_ 1, LN 16C	28,316.		28,316.			0.

FORM 990-PF	TAXES				STATEMENT	5
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVE MENT INC		(C) ADJUSTEI NET INCOM		
FEDERAL TAX PAYMENTS	3,806.		0.			0.
TO FORM 990-PF, PG 1, LN 18	3,806.		0.			0.
FORM 990-PF	CORPORAT	E STOCK			STATEMENT	6
DESCRIPTION		•	.BO	OK VALUE	FAIR MARKE VALUE	T
BONDS AND MUTUAL FUNDS - SEE	1:	1,791,639.	12,840,061.			
TOTAL TO FORM 990-PF, PART I	11,791,639.		12,840,061.			
FORM 990-PF	OTHER LIA	BILITIES			STATEMENT	7
DESCRIPTION			BO	AMOUNT	EOY AMOUNT	
ACCRUED ACCOUNTING FEES ACCRUED INCOME TAXES				7,360. 118,194.		0.
TOTAL TO FORM 990-PF, PART II, LINE 22				125,554.	<del></del>	0.