## Return of Private Foundation or Section 4947(a)(1) Trust Treated as Private Foundation

► Do not enter social security numbers on this form as it may be made public. ► Go to www.irs.gov/Form990PF for instructions and the latest information

OMB No 1545 0052

| Departme<br>Internal F | ent of the Treasury<br>Revenue Service                  | ► Go to www.irs.g  | ov/Form990PF for instruc                         | tions and the latest info             |   | Open to Public Inspection  |
|------------------------|---|--|--|---------------------------------------|---|--|
| For cal                | endar year 2017 or                                      | tax year beginning   | , 2017   | , and ending                          | ,   |  |
| 2352                   | "VIA" CAPRI C   |  | ON, INC.   | A<br>B                                |   | instructions)  |
| LA J                   | OLLA, CA 920  | 37   |  |                                       | (858) 354-7                                       | 7900   |
| G Che                  | eck all that apply                                      | Initial return   | Initial return of a form                         | mer public charity                    | If exemption application 1 Foreign organizations, | n is pending, check here   |
|                        |   | Final return Address change  | Amended return Name change                       |                                       | 2 Foreign organizations i                         | meeting the 85% test, check  |
| H Che                  | eck type of organiza<br>Section 4947(a)(                | ation X Section 50 1) nonexempt charitable                                 | 01(c)(3) exempt private t<br>trust Other taxable | foundation () E                       |   | atus was terminated  |
|                        | market value of all asse<br>m Part II, column (c), line |  | counting method. X C                             | ash Accrual                           | — under section 507(b)(1                          | ···  |
| <b>→</b> s             | <u> </u>  | (Part  | Other (specify)  I, column (d) must be or        | cash basis)                           | If the foundation is in under section 507(b)(1    | a 60-month termination<br>)(B), check here                           |
| Part                   | Expenses (The Columns (b), (c)                          | ne total of amounts in<br>, and (d) may not neces<br>amounts in column (a) | (a) Revenue and expenses per books               | (b) Net investment income             | (c) Adjusted net income                           | (d) Disbursements<br>for charitable<br>purposes<br>(cash basis only) |
|                        |   | rants, etc., received (attach schedule)                                    | 530.   |                                       |   |  |
|                        | 2 Check ► X if the                                      | foundation is <b>not</b> required to attach Sch                            | B  |                                       |   | *  |
|                        |   | d temporary cash investments   |  |                                       |   | ,  |
| 2A)                    | Dividends and interest  5 a Gross rents                 | t from securities  |  |                                       |   |  |
| Ö                      | <b>b</b> Net rental income                              | 9  |  |                                       | <del> </del>                                      |  |
| SCANNED                | or (loss)  6 a Net gain or (loss) from                  | m sale of assets not on line 10  |  |                                       | *   |  |
| E                      | <b>b</b> Gross sales price assets on line 6a            |  |  |                                       | REC   | TEVED  |
| Ŭ.E                    | _   | ncome (from Part IV, line 2)   |  | <u></u>                               |   |  |
| N                      | 8 Net short term ca                                     |  |  | *                                     | 88  | 1 7 0000   |
| J. J. E                | 9 Income modification 10 a Gross sales less             | ions.  |  |                                       | (Q) WIF(T   | 1111   |
| ==                     | returns and allowances                                  |  |  | •                                     |   | !  |
| [==2                   | <b>b</b> Less Cost of goods sold                        |  |  |                                       | 1 11 21   | 7E 81  |
| <b>©</b>               | C Gross profit or (loss) (                              | (attach schedule)  |  |                                       | 5 A W   | <u> </u>   |
| 2018                   | 11 Other income (att                                    | tach schedule)   |  |                                       |   |  |
| ම්                     |   |  |  |                                       |   | •  |
|                        | 12 Total. Add lines                                     |  | 530.   | 0.                                    |   | ).   |
|                        | 1 '   | officers, directors, trustees, etc<br>salaries and wages                   | 0.   |                                       | ļ   | <del></del>  |
|                        | 15 Pension plans, er                                    | •  |  | <del></del>                           |   |  |
|                        | 16 a Legal fees (attach                                 | ·  |  |                                       | ļ   |  |
| A<br>D                 | <b>b</b> Accounting fees (                              | CDD CM 1   | 530.   | · · · · · · · · · · · · · · · · · · · |   | 530.   |
| M<br>i                 | c Other professional fees                               | s (attach sch)   |  |                                       | <del> </del>                                      | 330.   |
| O I                    | 17 Interest   |  |  |                                       |   |  |
| P S<br>E T<br>R R      | 18 Taxes (attach schedule                               |  |  |                                       |   |  |
| A A                    | 19 Depreciation (atta schedule) and dep                 |  |  |                                       |   |  |
| ii                     | 20 Occupancy  |  |  |                                       | <del> </del>                                      |  |
| ĜĚ                     | 21 Travel, conference                                   | es, and meetings   |  |                                       | <del></del>                                       | <del></del>  |
| A E<br>N X<br>D P      | 22 Printing and public                                  |  |  |                                       |   |  |
| Ď P<br>E               | 23 Other expenses (a                                    | attach schedule)   |  |                                       |   |  |
| N                      | 24 Total operating a                                    | nd administrativo  | <u> </u>   |                                       |   | <del></del>  |
| S<br>E<br>S            | expenses. Add lin                                       |  | 530.   |                                       |   | 530.   |
| 3                      | 25 Contributions, gifts, gra                            | ants paid  | 330.   |                                       |   | <del></del>  |
|                        | 26 Total expenses at<br>Add lines 24 and 2              | nd disbursements.<br>25  | 530.   | 0.                                    |   | 530.   |
|                        | 27 Subtract line 26 fr                                  |  |  |                                       |   |  |
|                        | a Excess of revenue<br>and disbursemen                  |  | 0.   |                                       |   |  |
|                        |   | come (if negative, enter -0-)  | 0.   | 0.                                    | <del> </del>                                      |  |
|                        | 1   | me (if negative, enter 0-).  |  |                                       | <del> </del>                                      | ).   |



| Day                           |                  | Balance Sheets  | Attached schedules and amounts in the description column should be for end-of-year amounts only | Beginning of year                            | End o                                   | f year                |
|-------------------------------|------------------|---|---|--|---|-----------------------|
| Par                           |                  |   | (See instructions )   | (a) Book Value                               | (b) Book Value                          | (c) Fair Market Value |
|                               | 1                | Cash - non-interest   | -bearing  |  |   |                       |
|                               | 2                | •   | ary cash investments  |  |   |                       |
|                               | 3                | Accounts receivable   | <b>-</b>  |  |   |                       |
|                               |                  | Less allowance for  | doubtful accounts >   |  |   |                       |
|                               | 4                | Pledges receivable  | •   |  |   |                       |
|                               | 1                | Less allowance for  | doubtful accounts   |  |   |                       |
|                               | 5                | Grants receivable   |   |  |   |                       |
|                               | 6                | Receivables due from official disqualified persons (atta                | cers, directors, trustees, and other<br>ch schedule) (see instructions)                         |  |   |                       |
|                               | 7                | Other notes and loans rec   | eivable (attach sch)  |  |   |                       |
| A<br>S                        |                  | Less allowance for  | doubtful accounts •   |  |   |                       |
| A<br>S<br>S<br>E              | 8                | Inventories for sale  | or use  |  |   |                       |
| — <u>Е</u><br>Т               | <del>- 9</del> - | Prepaid expenses a  | 5   |  |   |                       |
| Š                             | 10 a             | Investments – US obligations (attach s                                  | and state government schedule)  |  |   |                       |
|                               | Ь                | Investments — corporate   | stock (attach schedule)   |  |   |                       |
|                               | C                | : Investments — corporate   | bonds (attach schedule)   |  |   |                       |
|                               | 11               | Investments - land equipment basis                                      | , buildings, and  |  | _ %4                                    |                       |
|                               |                  | Less accumulated depred (attach schedule)                               | ciation •   |  |   |                       |
|                               | 12               | Investments - mort  | gage loans  |  |   |                       |
|                               | 13               | Investments - other   | r (attach schedule)   |  |   |                       |
|                               | 14               | Land, buildings, and  |   |  |   | `                     |
|                               |                  | Less accumulated deprecedant (attach schedule).                         | <b>•</b>  |  |   | ·                     |
|                               | 15<br>16         | Other assets (descri<br><b>Total assets</b> (to be see the instructions | ibe • ) completed by all filers — ) Also, see page 1, item I)                                   | 0.   | (X <sub>0</sub> .                       | <pre>/★ 0.</pre>      |
|                               | 17               |   | nd accrued expenses   | 0.   | ( 10.                                   |                       |
| Į,                            | 18               | Grants payable  | ·   |  |   |                       |
| A<br>B                        | 19               | Deferred revenue  |   | -  |   | <b>\</b>              |
| ŀ                             | 20               | Loans from officers, direc  | ctors, trustees, & other disqualified persons.  |  | *************************************** |                       |
| Ī                             | 21               | Mortgages and other note  | s payable (attach schedule)   |  |   | >                     |
| T                             | 22               | Other liabilities (des  | cribe► )  |  |   | *                     |
| É<br>S                        | 23               | Total liabilities (add  | I lines 17 through 22)  | 0.   | 0.                                      |                       |
|                               |                  | Foundations that fo   | llow SFAS 117, check here 24 through 26, and lines 30 and 31.                                   |  |   |                       |
| ΝF                            | 24               | Unrestricted  |   |  |   |                       |
| N F<br>E U<br>T N             | 25               | Temporarily restricted  | ed  |  |   |                       |
| D                             | 26               | Permanently restrict  | red   |  |   |                       |
| A<br>S B<br>S A<br>E L<br>T A |                  | Foundations that do and complete lines                                  | o not follow SFAS 117, check here 27 through 31.  |  |   |                       |
| ĘĹ                            | 27               | Capital stock, trust p  | principal, or current funds   |  |   |                       |
| TA                            | 28               |   | , or land, bldg , and equipment fund  |  |   |                       |
| O E                           | 29               | Retained earnings, accumi   | ulated income, endowment, or other funds  |  |   |                       |
| ŔŠ                            | 30               |   | und balances (see instructions)   | 0.   | 0.                                      |                       |
|                               | 31               | Total liabilities and (see instructions)                                | net assets/fund balances  | 0  |   |                       |
| Par                           | + 111 /          | <u> </u>  | ges in Net Assets or Fund Balanc  | 0.   | 0.                                      |                       |
| 1                             |                  |   | alances at beginning of year - Part II, colu  | <del></del>                                  | roe with                                |                       |
| ı                             | end-c            | of-year figure reported   | d on prior year's return)   | mm (a), mie so (must agi                     | ree with 1                              | 0.                    |
| 2                             | Enter            | amount from Part I,   | line 27a  |  | 2                                       | 0.                    |
| 3                             | Other I          | ncreases not included in li   | ne 2 (Itemize)  |  | 3                                       |                       |
| 4                             | Add I            | ines 1, 2, and 3  |   |  | 4                                       | 0.                    |
| 5                             |                  | ses not included in line 2 (  |   |  | 5                                       |                       |
| 6                             | Total            | net assets or fund ba   | alances at end of year (line 4 minus line 5)  | <ul> <li>Part II, column (b), Iir</li> </ul> | ne 30 <b>6</b>                          | 0.                    |
|                               |                  |   |   |  |   |                       |

Form 990-PF (2017) THE BOB AND PAT WHALEN FOUNDATION, INC.

Page 2

47-4086713

|   |                          | AND PAT WHALEN FOUNDATI   |   |                               | 47-4086713   | Page                             |
|---|--------------------------|---|---|-------------------------------|--|----------------------------------|
|   |                          | Losses for Tax on Investmen   |   |                               |  |                                  |
| (a) L   | st and describe          | the kind(s) of property sold (for examp<br>nouse, or common stock, 200 shares | ole, real estate,                                   | (b) How acquired P — Purchase | (C) Date acquired (mo day, yr)                       | (d) Date sold<br>(mo , day, yr ) |
| 2-51  | ory brick warer          | louse, or common stock, 200 shares  |   | D — Donation                  | (iiio day, yi )                                      | (iiio , day, yi )                |
| 1a N/A  |                          |   |   |                               | <u> </u>   |                                  |
| b .   |                          |   |   |                               |  |                                  |
| c   |                          |   |   |                               |  |                                  |
| d   |                          |   |   |                               |  |                                  |
| e   |                          |   |   |                               |  |                                  |
| (e) Gross sal                                     | es price                 | (f) Depreciation allowed (or allowable)                                       | (g) Cost or other ba<br>plus expense of sa          |                               | (h) Gain or<br>((e) plus (f) m                       |                                  |
| a   |                          |   |   |                               |  |                                  |
| b   |                          |   |   |                               |  |                                  |
| С   |                          |   |   |                               |  |                                  |
| d   |                          |   |   |                               |  |                                  |
| e   |                          |   |   |                               |  |                                  |
| Com plete only                                    | for assets show          | wing gain in column (h) and owned b   | by the foundation on 12/31.                         | /69                           | (I) Gains (Col                                       | (h)                              |
| (i) FMV as of                                     | 12/31/69                 | (j) Adjusted basis<br>as of 12/31/69  | (k) Excess of col (l) over col (j), if any          |                               | aın minus col (k), b<br>an -0-) <b>or</b> Losses (fi | out not less                     |
| а   |                          |   |   |                               |  |                                  |
| b   |                          |   |   |                               |  | _                                |
| Ç   |                          |   |   |                               |  |                                  |
| d   |                          |   |   |                               |  |                                  |
| е   |                          |   |   |                               |  |                                  |
| • • • • •   |                          |   | enter in Part I, line 7                             |                               | T  |                                  |
| 2 Capital gain ne                                 | et income or (n          |   | ter -0- in Part I, line 7                           | _ 2                           |  |                                  |
| 3 Net short-term                                  | capital gain or          | (loss) as defined in sections 1222(5  | and (6)   |                               |  |                                  |
| lf main also as                                   | ston im Dont I lie       | as 8 column (a). See unstructures 16  | (4)t 0 ¬  |                               |  |                                  |
| in Part I, line 8                                 | iter in Part I, ili<br>B | ne 8, column (c) See instructions If  | (loss), enter -U-                                   | - 3                           |  |                                  |
|   |                          | er Section 4940(e) for Reduce   | od Tay on Net Investm                               |                               | <del></del>  |                                  |
|   |                          | foundations subject to the section 4940                                       |   |                               | N/A  |                                  |
| (i or optional doc b) a                           | omosto privato           | isotrodiction subject to the council 1510                                     | (a) tax on het investment inc                       | ,ome )                        | N/A  |                                  |
| If section 4940(d)(2)                             | applies, leave           | this part blank   |   |                               |  |                                  |
| NAZ Naz - Karamana Arama                          |                          | and a second AOAO Annu and allowed the second                                 |   | 12                            | $\Box$   |                                  |
|   |                          | ection 4942 tax on the distributable a  |   | ase period?                   | Yes  | ∐ No                             |
|   |                          | lify under section 4940(e) Do not co  |   |                               |  |                                  |
| 1 Enter the appro                                 | priate amount in         | each column for each year, see the in   | structions before making any                        | entries                       |  |                                  |
| (a)<br>Base period<br>Calendar year (<br>beginnin | or tax year              | Adjusted qualifying distributions   | <b>(c)</b><br>Net value of<br>noncharitable-use as: | sets                          | <b>(d)</b><br>Distribution<br>(col (b) divided       | ratio<br>by col (c))             |
| 2016  |                          |   |   |                               |  |                                  |
| 2015  |                          |   | <del></del>   |                               |  |                                  |
| 2014  |                          | <del>                                     </del>                              |   |                               |  |                                  |
| 2013  |                          |   |   |                               |  |                                  |
| 2012  |                          | <del>                                     </del>                              | <del></del>   | <del></del>                   |  | <del></del>                      |
|   |                          | <del></del>   |   | <del></del>                   |  |                                  |
| 2 Total of line 1,                                | column (d)               |   |   | 2                             |  |                                  |
|   | ` '                      | . F   |   | <u>-</u>                      |  |                                  |
| 3 Average distribu                                | rs the foundation        | : 5-year base period – divide the total on has been in existence if less than | on line 2 by 5 0, or by the                         | 3                             |  |                                  |
| manniber or joe.                                  |                          | mas boot in existence in loss than  | 3 years   |                               |  |                                  |
| 4 Enter the net v                                 | alue of noncha           | ritable-use assets for 2017 from Par  | t X, line 5   | 4                             |  |                                  |
|   |                          |   |   |                               |  |                                  |
| 5 Multiply line 4 l                               | by line 3                |   |   | 5                             |  |                                  |
| 6 Enter 1% of ne                                  | t investment ir          | scome (1% of Part I, line 27b)  |   | 6                             |  |                                  |
| 7 Add lines 5 and                                 | 16                       |   |   | 7                             |  |                                  |
| 8 Enter qualifying                                | distributions f          | rom Part XII, line 4  |   | 8                             |  |                                  |
| If line 8 is equal<br>Part VI instruct            | to or greater tha        | an line 7, check the box in Part VI, line                                     | 1b, and complete that part u                        | ising a 1% tax ra             | ate See the  |                                  |

| Form | 990-PF (2017) THE BOB AND PAT WHALEN FOUNDATION, INC. 47-4086713   |               | Pa      | age 4     |   |
|------|--|---------------|---------|-----------|---|
| Parl | VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see instruction   | 15)           | _       |           |   |
| 1 a  | Exempt operating foundations described in section 4940(d)(2), check here   |               |         |           |   |
|      | Date of ruling or determination letter (attach copy of letter if necessary — see instructions)   |               |         |           |   |
|      | Domestic foundations that meet the section 4940(e) requirements in Part V,   |               |         | 0.        |   |
|      | check here ► and enter 1% of Part I, line 27b  |               |         |           |   |
| С    | All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b).   |               |         |           |   |
|      | Tax under section 511 (domestic section 4947(a)(1) trusts and taxable  |               |         |           |   |
|      | foundations only, others, enter -0-)   |               |         | <u>0.</u> |   |
| 3    | Add lines 1 and 2  |               | _       | <u>0.</u> |   |
| 4    | Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-)  Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-  5   |               |         | 0.        |   |
| 5    | Credits/Payments   |               |         | <u> </u>  |   |
|      | 2017 estimated tax pmts and 2016 overpayment credited to 2017 6 a  |               |         |           |   |
|      | Exempt foreign organizations — tax withheld at source  |               |         |           |   |
|      | Tax paid with application for extension of time to file (Form 8868)  6c  |               | ·       |           |   |
|      | Backup withholding erroneously withheld  6d  |               |         |           |   |
|      | Total credits and payments Add lines 6a through 6d   |               |         | 0.        |   |
| 8    | Enter any penalty for underpayment of estimated tax. Check here  |               |         |           |   |
| 9    | Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed  |               |         | 0.        |   |
| 10   | Overpayment If line 7 is more than the total of lines 5 and 8, enter the amount overpaid   |               |         |           |   |
|      | Enter the amount of line 10 to be Credited to 2018 estimated tax   |               |         |           |   |
|      | t VII-A Statements Regarding Activities  |               |         |           |   |
| 1 a  | During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?   | 1 a           | Yes     | No<br>X   |   |
| b    | Did it spend more than \$100 during the year (either directly or indirectly) for political purposes?  See the instructions for the definition  | 1 b           |         | X         |   |
|      | If the answer is 'Yes' to 1a or 1b, attach a detailed description of the activities and copies of any materials published  |               |         |           |   |
| _    | or distributed by the foundation in connection with the activities   | 1.            |         | v         |   |
|      | Did the foundation file Form 1120-POL for this year?  Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year  | 1 c           |         | <u> X</u> |   |
| _    | (1) On the foundation $\Rightarrow$ 0. (2) On foundation managers $\Rightarrow$ \$ 0.  |               |         | . ,       |   |
| е    | Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on   | 1 1           |         |           |   |
| _    | foundation managers •\$ 0.   |               |         |           |   |
| 2    | Has the foundation engaged in any activities that have not previously been reported to the IRS? If 'Yes,' attach a detailed description of the activities  | 2             |         | <u>X</u>  |   |
| 3    | Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If 'Yes,' attach a conformed copy of the changes.                        | 3             |         | х         |   |
| 4 a  | Did the foundation have unrelated business gross income of \$1,000 or more during the year?  | 4 a           |         | X         |   |
| b    | If 'Yes,' has it filed a tax return on Form 990-T for this year?   | 4 b           | N       |           |   |
| 5    | Was there a liquidation, termination, dissolution, or substantial contraction during the year?   | 5             |         | X         |   |
|      | If 'Yes,' attach the statement required by General Instruction T   |               |         |           |   |
| 6    | Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either   |               |         | £         |   |
|      | By language in the governing instrument, or  |               |         |           |   |
|      | <ul> <li>By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict<br/>with the state law remain in the governing instrument?</li> </ul>   | 6             |         | Х         |   |
| 7    | Did the foundation have at least \$5,000 in assets at any time during the year? If 'Yes,' complete Part II, col (c), and Part XV   | 7             |         | X         |   |
| 8 a  | Enter the states to which the foundation reports or with which it is registered. See instructions  |               |         |           |   |
|      | N/A  |               |         |           |   |
| b    | If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by <i>General Instruction G</i> <sup>2</sup> If 'No,' attach explanation                     | 8 b           | N       | Ά         |   |
| 9    | Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2017 or the tax year beginning in 2017? See the instructions for Part XIV If 'Yes,' complete Part XIV | 9             |         | Х         | 2 |
| 10   | Did any persons become substantial contributors during the tax year? If 'Yes,' attach a schedule listing their names   |               |         |           |   |
|      | and addresses  | 10            |         | <u>X</u>  |   |
| BAA  | Fo   | rm <b>990</b> | ·PF (2( | H/)       |   |

| I ai | C VII 24 State III S Togaraning / total villo S (commission)   |        |        |         |
|------|--|--------|--------|---------|
| 11   | At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes,' attach schedule See instructions  | 11     | Yes    | No<br>X |
| 12   | Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If 'Yes,' attach statement See instructions   | 12     | ,      | Х       |
| 13   | Did the foundation comply with the public inspection requirements for its annual returns and exemption application?  Website address  N/A  | 13     | X      |         |
| 14   | The backs are in core of P DODEDE EDANY  | 354    |        | 0_      |
|      | Located at > 2352 VIA CAPRI COURT LA JOLLA CA ZIP + 4 > 92037  Section 4047(a)(1) personent charitable trusts filing Form 900 PE in liquid Form 1041 — check here  |        |        |         |
| 15   | Section 4947(a)(1) honexempt character trusts ming from 35041 in field of 10th 1041 — theck here   | N/A    |        |         |
|      | and enter the amount of tax-exempt interest received or accrued during the year  |        |        | N/A     |
| 16   | At any time during calendar year 2017, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?  | 16     | Yes    | No<br>X |
|      | See the instructions for exceptions and filing requirements for FinCEN Form 114. If 'Yes,' enter the name of the foreign country   | 1      |        |         |
| Par  | rt VII-B   Statements Regarding Activities for Which Form 4720 May Be Required   | 1      |        |         |
| L    | File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.  |        | Yes    | No      |
| 1 a  | a During the year, did the foundation (either directly or indirectly)  |        |        |         |
|      | (1) Engage in the sale or exchange, or leasing of property with a disqualified person?  Yes X No   |        |        |         |
|      | (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? Yes X No  |        |        |         |
|      | (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? Yes X No  |        |        |         |
|      | (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? Yes X No  |        |        |         |
|      | (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?  Yes X No  |        |        |         |
|      | (6) Agree to pay money or property to a government official? (Exception. Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days )  Yes X No  |        |        |         |
| ı    | b If any answer is 'Yes' to 1a(1)—(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance? See instructions   | 1 Ь    | N,     | /A      |
|      | Orga nizations relying on a current notice regarding disaster assistance, check here   |        |        |         |
| •    | c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2017?  | 1 c    |        | Х       |
| 2    | Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section $4942(j)(3)$ or $4942(j)(5)$ )   |        |        |         |
| i    | a At the end of tax year 2017, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2017?  Yes X No  |        |        |         |
|      | If 'Yes,' list the years ► 20 , 20 , 20 , 20   |        |        |         |
|      | <b>b</b> Are there any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to  |        |        |         |
|      | all years listed, answer 'No' and attach statement - see instructions )  | 2 b    | N      | /A      |
| •    | c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here  20 , 20 , 20 , 20   |        |        |         |
| 3 8  | a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?  Yes X No   |        |        |         |
| ı    | b If 'Yes,' did it have excess business holdings in 2017 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2017) | 3 b    | N      | /A      |
| 4 :  | a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?  | 4a     |        | Х       |
| I    | b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2017?  | 4 b    |        | Х       |
| BAA  | A Fe   | rm 990 | )-PF ( |         |

| Form 990-PF (2017) THE BOB AND PAT WIP Part VII-B   Statements Regarding Activit   |   |   | 47-40  | 86713                 | Р                | age 6         |
|--|---|---|--|-----------------------|------------------|---------------|
| 5a During the year, did the foundation pay or incur a  |   | 14/20 May be Req                                | ulreu (continueu)  |                       | V 1              |               |
| (1) Carry on propaganda, or otherwise attempt  | -   | on (section 4945(e))?                           | ☐ Yes X  | No                    | Yes              | No            |
| (2) Influence the outcome of any specific pub  | lic election (see section                                 |   |  |                       |                  |               |
| on, directly or indirectly, any voter registra  (3) Provide a grant to an individual for travel,   |   | purposes?                                       | Yes X  | No<br>No              |                  |               |
| (4) Provide a grant to an organization other than in section 4945(d)(4)(A)? See instructions   | a charitable, etc., organi                                | ization described                               | ☐ Yes X  | No                    |                  |               |
| (5) Provide for any purpose other than religio educational purposes, or for the prevention   | us, charitable, scientific<br>n of cruelty to children    | c, literary, or or animals?                     | ☐ Yes [X   | No                    |                  |               |
| <b>b</b> If any answer is 'Yes' to 5a(1)—(5), did <b>any</b> of described in Regulations section 53 4945 or in a constructions.                    | the transactions fail to<br>current notice regarding c    | qualify under the exce<br>disaster assistance?  | بہا لیا  | 5 b                   | N                | /A            |
| Organizations relying on a current notice rega   |   | <u> </u>  | •  | ]                     |                  | ym.           |
| c If the answer is 'Yes' to question 5a(4), does<br>tax because it maintained expenditure respon<br>If 'Yes,' attach the statement required by Req | sibility for the grant?                                   | •   | N/A Yes  | No                    |                  | 4             |
| 6a Did the foundation, during the year, receive at on a personal benefit contract?   |   |   | s Yes X  | No                    |                  |               |
| <b>b</b> Did the foundation, during the year, pay prem   | iums, directly or indirec                                 | tly, on a personal bene                         |  | 6 b                   |                  | X             |
| If 'Yes' to 6b, file Form 8870  7a At any time during the tax year, was the found  | dation a party to a proh                                  | iibited tax shelter transa                      | action? Yes X  | No                    | ~                | ,             |
| <b>b</b> If 'Yes,' did the foundation receive any procee   |   |   | transaction? ]   | V/A 7b                |                  |               |
| Part VIII Information About Officers, D and Contractors  | irectors, Trustees,                                       | Foundation Manag                                | gers, Highly Paid E  | mployee               | 5,               |               |
| 1 List all officers, directors, trustees, and found  | dation managers and th                                    | neir compensation. See                          | instructions.  |                       |                  |               |
| (a) Name and address   | (b) Title, and average hours per week devoted to position | (c) Compensation<br>(If not paid,<br>enter -0-) | (d) Contributions to<br>employee benefit<br>plans and deferred<br>compensation | (e) Expens            |                  |               |
| ROBERT J WHALEN 2352 VIA CAPRI COURT   | CEO<br>0  | 0.  | 0.   |                       |                  | 0.            |
| LA JOLLA, CA 92037 ROBERT D FRANK 2352 VIA CAPRI COURT   | SECRETARY<br>0  | 0.  | 0.   |                       |                  | 0.            |
| LA JOLLA, CA 92037   |   |   |  |                       | -                |               |
|  |   | ·   |  |                       |                  |               |
|  |   |   |  |                       |                  |               |
| 2 Compensation of five highest-paid employees (of  | her than those included o                                 | on line 1 – see instructio                      | ns). If none, enter 'NONE  |                       |                  |               |
| (a) Name and address of each employee paid more than \$50,000  | (b) Title, and average hours per week devoted to position | (c) Compensation                                | (d)Contributions to<br>employee benefit<br>plans and deferred<br>compensation  | (e) Expens<br>other a | e acco<br>Ilowan | ount,<br>ices |
| NONE   |   |   |  |                       |                  |               |
|  |   |   |  |                       |                  |               |
|  |   |   |  |                       |                  |               |
|  |   |   |  |                       |                  | _             |
|  |   |   |  |                       |                  | <del></del>   |
|  |   |   |  |                       |                  |               |
|  |   |   |  |                       |                  |               |
|  |   |   |  |                       |                  |               |
| Total number of other employees paid over \$50,000   | TEEA0306L 08  | 8/24/17   | <u> </u>   | Form <b>990</b> -     | PF (20           | 0             |

| Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid E and Contractors (continued)   | imployees,                |
|---|---------------------------|
| 3 Five highest-paid independent contractors for professional services. See instructions. If none, enter 'NONE.'   |                           |
| (a) Name and address of each person paid more than \$50,000 (b) Type of service   | (c) Compensation          |
| NONE .  |                           |
|   | H                         |
|   |                           |
|   |                           |
|   |                           |
|   |                           |
|   |                           |
|   |                           |
|   |                           |
|   |                           |
|   |                           |
|   |                           |
|   |                           |
| Total number of others receiving over \$50,000 for professional services.   | 0                         |
| Part IX-A Summary of Direct Charitable Activities   |                           |
| List the found ation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc. | Expenses                  |
| 1 N/A   |                           |
| N/A   |                           |
|   |                           |
| 2   |                           |
|   |                           |
|   | <del></del>               |
| 3   |                           |
|   |                           |
| 4   | <del> </del>              |
| <b>7</b>  |                           |
|   |                           |
| Part IX-B   Summary of Program-Related Investments (see instructions)   |                           |
| Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2  | Amount                    |
| 1 <u>N/A</u>  |                           |
|   |                           |
| 2   |                           |
|   |                           |
|   |                           |
| All other program-related investments See instructions  |                           |
| 3   |                           |
|   |                           |
|   |                           |
| Total. Add lines 1 through 3  | 0.                        |
| BAA   | Form <b>990-PF</b> (2017) |

47-4086713

Page 7

Form 990-PF (2017) THE BOB AND PAT WHALEN FOUNDATION, INC.

| Form   | 990-PF (2017) THE BOB AND PAT WHALEN FOUNDATION, INC.   | 47-4086713         | Page 8             |
|--------|---|--------------------|--------------------|
| Par    | Minimum Investment Return (All domestic foundations must complete this part. see instructions.)   | Foreign foundation | ons,               |
| 1<br>a | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes Average monthly fair market value of securities                        | 1 a                |                    |
| b      | Average of monthly cash balances  | 1 b                |                    |
| С      | Fair market value of all other assets (see instructions)  | 1 c                |                    |
| d      | Total (add lines 1a, b, and c)  | 1 d                | 0.                 |
| е      | Reduction claimed for blockage or other factors reported on lines 1a and  |                    |                    |
|        | 1c (attach detailed explanation)  |                    |                    |
| 2      | Acquisition indebtedness applicable to line 1 assets  | 2                  |                    |
| 3      | Subtract line 2 from line 1d  | 3                  |                    |
| 4      | Cash deemed held for charitable activities Enter 1-1/2% of line 3 (for greater amount, see instructions).   | 4                  |                    |
| 5      | Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4   | 5                  | 0.                 |
| 6-     | Minimum investment return. Enter 5% of line 5   | 6                  | 0.                 |
| Par    | t XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operand certain foreign organizations, check here ► and do not complete this part             |                    |                    |
| 1      | Minimum investment return from Part X, line 6   | 1                  |                    |
| 2 a    | Tax on investment income for 2017 from Part VI, line 5  |                    | <del> </del>       |
| b      | Income tax for 2017 (This does not include the tax from Part VI)  |                    |                    |
| c      | Add lines 2a and 2b   | 2 c                |                    |
| 3      | Distributable amount before adjustments Subtract line 2c from line 1  | 3                  |                    |
| 4      | Recoveries of amounts treated as qualifying distributions   | 4                  |                    |
| 5      | Add lines 3 and 4   | 5                  |                    |
| 6      | Deduction from distributable amount (see instructions)  | 6                  |                    |
| 7      | Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1  | 7                  | 0.                 |
| Par    | t XII Qualifying Distributions (see instructions)   |                    |                    |
|        | Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes Expenses, contributions, gifts, etc. — total from Part I, column (d), line 26           | 1 a                | 530.               |
| b      | Program-related investments — total from Part IX-B  | 1 b                |                    |
| 2      | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes   | 2                  |                    |
| 3<br>a | Amounts set aside for specific charitable projects that satisfy the Suitability test (prior IRS approval required)  | 3 a                |                    |
| b      | Cash distribution test (attach the required schedule)   | 3 b                |                    |
| 4      | Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4   | 4                  | 530.               |
| 5      | Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b See instructions                                 | 5                  |                    |
| 6      | Adjusted qualifying distributions. Subtract line 5 from line 4  | 6                  | 530.               |
|        | Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the qualifies for the section 4940(e) reduction of tax in those years | foundation         |                    |
| BAA    |   | Form <b>990</b>    | <b>)-PF</b> (2017) |

## Part XIII Undistributed Income (see instructions)

| •  | <b>(a)</b><br>Corpus                  | (b)<br>Years prior to 2016 | <b>(c)</b><br>2016 | <b>(d)</b><br>2017 |
|--|---------------------------------------|----------------------------|--------------------|--------------------|
| Distributable amount for 2017 from Part XI, line 7   |                                       |                            |                    | 0                  |
| 2 Undistributed income, if any, as of the end of 2017  |                                       |                            |                    | 0.                 |
| a Enter amount for 2016 only   |                                       |                            | 0.                 |                    |
| <b>b</b> Total for prior years 20 , 20 , 20  |                                       | 0.                         |                    | · /u .             |
| 3 Excess distributions carryover, if any, to 2017  |                                       |                            |                    |                    |
| a From 2012  |                                       |                            |                    |                    |
| <b>b</b> From 2013   |                                       |                            |                    |                    |
| <b>c</b> From 2014   |                                       |                            |                    |                    |
| <b>d</b> From 2015   |                                       |                            |                    |                    |
| <b>e</b> From 2016 1,463.  |                                       |                            |                    |                    |
| f Total of lines 3a through e  | 1,463.                                |                            |                    |                    |
| 4 Qualifying distributions for 2017 from Part  |                                       |                            |                    |                    |
| XII, line 4 • \$ 530.  |                                       | 1                          |                    |                    |
| a Applied to 2016, but not more than line 2a   |                                       |                            | 0.                 |                    |
| <b>b</b> Applied to undistributed income of prior years (Election required – see instructions) |                                       | 0.                         |                    |                    |
| c Treated as distributions out of corpus   | _                                     |                            |                    |                    |
| (Election required – see instructions)   | 0.                                    |                            |                    |                    |
| d Appli ed to 2017 distributable amount  |                                       |                            |                    | <u> </u>           |
| e Remaining amount distributed out of corpus  5 Excess distributions carryover applied to 2017 | 530.                                  |                            |                    | *                  |
| (If an amount appears in column (d), the   | 0.                                    |                            | * 9 * 1            | 0.                 |
| same amount must be shown in column (a) )  | ŕ                                     | ,                          | · .                |                    |
| _  |                                       | Ř,                         | . 3                |                    |
| 6 Enter the net total of each column as indic ated below:                                      |                                       | ,                          | * 1                | *                  |
| a Corpus Add lines 3f, 4c, and 4e Subtract line 5  | 1,993.                                | ,                          | `                  |                    |
| · · ·  | 1, 555.                               | `                          |                    |                    |
| b Prior years' undistributed income Subtract line 4b from line 2b                              |                                       | 0.                         |                    | \$                 |
| c Enter the amount of prior years' undistributed   |                                       |                            |                    | 4                  |
| income for which a notice of deficiency has been issued, or on which the section 4942(a)       | ٠                                     |                            | •                  | 4                  |
| tax has been previously assessed   |                                       | 0.                         |                    |                    |
| d Subtract line 6c from line 6b Taxable  |                                       |                            |                    |                    |
| amount – see instructions  |                                       | 0.                         |                    |                    |
| e Undistributed income for 2016 Subtract line 4a from  |                                       |                            |                    |                    |
| line 2a. Taxable amount — see instructions   |                                       |                            | 0.                 |                    |
| f Undistributed income for 2017 Subtract lines   |                                       |                            |                    |                    |
| 4d and 5 from line 1. This amount must be distributed in 2018.                                 | 7 7 7 7 7 7 7 7 7 7 7 7 7 7 7 7 7 7 7 |                            |                    | 0.                 |
| 7 Amounts treated as distributions out of  |                                       |                            |                    |                    |
| corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election         |                                       |                            |                    |                    |
| may be required — see instructions)  | 0.                                    |                            |                    |                    |
| 8 Excess distributions carryover from 2012 not   |                                       |                            |                    |                    |
| applied on line 5 or line 7 (see instructions).  | 0.                                    |                            |                    |                    |
| 9 Excess distributions carryover to 2018.<br>Subtract lines 7 and 8 from line 6a               | 1,993.                                |                            |                    |                    |
| 10 Analysis of line 9  |                                       |                            |                    |                    |
| a Excess from 2013   |                                       |                            |                    |                    |
| <b>b</b> Excess from 2014  |                                       |                            |                    |                    |
| c Excess from 2015   |                                       |                            |                    |                    |
| <b>d</b> Excess from 2016 1, 463.  |                                       |                            |                    |                    |
| e Excess from 2017 530.  |                                       |                            |                    | Form 900 BF (2017) |

| The foundation has received a ruling or decormenation letter that it is a private operating foundation, and the ruling is effective for 2017, either the day of the ruling.  B Check box to indicate whether the foundation is a private operating foundation described in section   3942(0)(3) or   7942(0)(5)    2 A Enfer the leaser of the adjusted net inchire from Part I to 10 th mitimum (a) 2017   202016   2015   2014   2016   2017   2016   2017   2016   2017   2016   2017   2016   2017   2016   2017   2016   2017   2016   2017   2016   2017   2016   2017   2016   2017   2016   2017   2016   2017   2016   2017   201          | Form 990-PF (2017) THE BOB AND PAT Part XIV   Private Operating Founda  |  |   | VII-A. guestion                             | 47-408671<br>9)       | 3 Page <b>10</b>          |
|---|---|--|---|---|-----------------------|---------------------------|
| 2a Enfort the lesser of the adjusted net inchme from Part is or the management inchme from Part XV for each part is a control of the part of the          | 1 a If the foundation has received a ruling or det is effective for 2017, enter the date of the                   | ermination letter that<br>e ruling             | it is a private operati                         | ng foundation, and th                       | ne ruling             |                           |
| incharge from Part I of the minimum investment repair of the minimum investment repair from Part X for the part of the part X for the p          |   | ition is a private ope                         | erating foundation d                            | escribed in section                         | 4942(J)(3) or         | 4942(J)(5)                |
| investment return from Part X for each year listed  8 85% of line 2a  Coultings distributions from Part X little 18 85% of line 2a  Coultings distributions from Part X little 18 85% of line 2a  Coultings distributions from Part X little 18 85% of line 2a  Coultings distributions from Part X little 18 85% of line 2a  Countings distributions from Part X little 18 85% of l          | 2a Enter the lesser of the adjusted net   | Tax year                                       |   | Prior 3 years                               |                       | (e) Total                 |
| c Qualifying distributions from Part XII, tine 4 for each year Isted d Amounts included in line 2 and used directly for active conduct of exempt strives e Qualifying distributions made directly for active conduct of exempt actives Subtract line 2d from line 2c 3 Complete Sab. 6 ard for the a Assertis attendation with a center (1) Value of all assets (2) Value of assets qualifying under section 4920(3)(20)(0) b Indovinent alternative test – enter (1) Total support other than gross investment income (interest, chividents, sents, payments (2) Value of assets qualifying under section 4920(3)(20)(0) c Support fine great jable and 5 or investment income (interest, chividents, sents, payments (3) Largest amount of support from an exempt organization (3) Largest amount of support from an exempt organization (4) Cross investment income Part XV   Supplementary Information (Complete this part only if the foundation had \$5,000 or more in a sets at any time during the year – see instructions.)  Information Regarding Foundation Managers: a List any managers of the foundation who new continuited more than \$5,000 (See section 507(d)(2))  b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest  2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs: Chack here *   | investment return from Part X for   | <b>(a)</b> 2017                                | <b>(b)</b> 2016                                 | (c) 2015                                    | (d) 20,14°            |                           |
| Inter 4 for each year islated d Announs maidsted in lie 2 and used directly for a trive constant of exercit activities  Qualifying distributions made directly Subtract line 2 for the mine 2.5  Complete 3a, b, c, c, for lite alternative test relied upon: a Asserts' atternative test relied upon: b Endowment afternative test - enter (1) Value of asserts custifying under section 4942(0)(36)(0) b Endowment afternative test - enter 27 of minimum investment returns arown in Part X, inter 5 to reach year instal c Support alternative test - enter 27 of minimum investment returns arown in Part X, inter 5 to reach year instal c Support alternative test - enter 27 of minimum investment returns a single and 5 or minimum investment increase an executive Sionals (eaction 51/2(a)(5)), or royalites)  (2) Support from genaria plus and 5 or minimum investment increase an executive Sionals (eaction 51/2(a)(5)), or royalites)  (3) Largest amount of support from an exempt cyminations as powder in setting 494(0)(38)(iii)  (3) Largest amount of support from an exempt cyminations as powder in setting 494(0)(38)(iii)  (3) Largest amount of support from an exempt cyminations as powder in setting 494(0)(38)(iii)  (3) Largest amount of support from an exempt cyminations as powder in setting 494(0)(38)(iii)  (4) Caroas investment increase a transmit cymination of support from an exempt cyminations and increase in a setting at the support of the foundation Minages; a List any managers of the foundation minimation to present them 55,000 (Ges section 507(0)(2))  b List any managers of the foundation wino own 10% or more of the stock of a corporation (or an equally large portion of the ownership of aparthership or other directly) of which the foundation has a 10% or greater interest.  2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs: Check here *            | <b>b</b> 85% of line 2a   |  |   |   |                       |                           |
| for active conduct of exempt activities  Conduct of exempt activities  Subtract line 2d from line 2d  Complete 3a, b, or c for the alternative test relied upon  a 'Assets' alternative test - enter  (1) Value of all assets  (2) Value of all assets  (3) Value of all assets  (4) Value of all assets  (5) Value of assets cualifying under section 49820(3)(3(6))  b' Endivorment alternative test - enter  (1) Total support other thing gross investment income (interest), children, rents, aparents on securities loans (section  512(a)(5), or reyalters  (6) Support alternative test in some of activities on securities loans (section  512(a)(5), or reyalters  (7) Example of the thing gross investment income (interest), childrends, rents, aparents on securities loans (section  512(a)(5), or reyalters  (8) Support from general public and 5 or insetion 492(0)(3(6)(6))  (9) Cross investment income  Part XV   Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see instructions.)  N/A  1 Information Regarding Foundation Managers:  a List a ry managers of the foundation who have contributed more than \$5,000) (See section \$507(0)(2))  b List a ry managers of the foundation who have contributed more than \$5,000) (See section \$507(0)(2))  c)  List ary managers of the foundation who have contributed more than \$5,000) (See section \$507(0)(2))  b List a ry managers of the foundation who have contributed more than \$5,000) (See section \$507(0)(2))  c)  Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:  Check here *   the foundation makes gifts, grants, etc. to individuals or organizations and does not accept unsolicited requests for funds if the foundation makes gifts, grants, etc. to individuals or organizations and does not accept unsolicited requests for funds if the foundation makes gifts, grants, etc. to individuals or organizations and does not accept unsolicited requests for funds if the foundation makes gifts, grants, etc. t | c Qualifying distributions from Part XII,<br>line 4 for each year listed  |  |   |   |                       |                           |
| for active conduct of exempl activities Subtract ince 2d from line 2d from line 2d 3. Complete 3a, b, or of for the alternative test relied upon a 'Assests' atternative test - enter (1) Value of all assets (2) Value of all assets (3) Value of assets qualitying under section 942(0)(3)(8)(9) b 'Endowmen' alternative test - enter (1) Total support other him gross investment class about in Park investment income (interest, classed, classed, rents, payments on securities loans (section 5)(2)(3)(5), or royaltest on securities loans (section 5)(2)(3)(5), or royaltest on section 4942(0)(3)(8)(ii)  (2) Support from general public and 5 or river seemed organizations as growdd in section 4942(0)(3)(8)(iii)  (3) Largest amount of support from an exempl organization income (4) Gross investment income (4) Gross investment income (5)  1 Information Regarding Foundation Managers; a last an ry managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than 55,000) (See section 507(0)(2))  1 List any managers of the foundation who have contributed more than 2% of the total contributions precisely by the foundation before the close of any tax year (but only if they have contributed more than 2% of the total contributions precisely by the foundation before the close of any tax year (but only if they have contributed more than 2% of the total contributions precised by the foundation before the close of any tax year (but only if they have contributed more than 2% of the total contributions precised by the foundation before the close of any tax year (but only if they have contributed more than 2% of the total contributions precised by the foundation before the close of any tax year (but only if they have contributed more than 2% of the total contributions and does not accept unsolicited requests for funds if the foundation makes gifts, grants, etc. Inordividuals or organizations under other conditions, comp          |   |  |   |   |                       |                           |
| alternative test relied upon: a Assets attremative test reinter (1) Value of all assets (2) Value of all assets (2) Value of assets qualifying under section 4942()(3)(3)(3)(3)(3)(3)(3)(3)(3)(3)(3)(3)(3   | for active conduct of exempt activities   |  |   |   |                       |                           |
| a 'Assets' alternative lest – enter (1) Value of assets qualifying under section 4942()(3(B)(5)) b 'Lindwinner' alternative lest – enter 2/3 dt interest entered test – enter 2/3 dt interest entered test – enter 2/3 dt interest entered test – enter 2/3 dt interest for each year listed (1) 'Total support other than gross in restriction of the state of the           |   |  |   |   |                       |                           |
| (2) Value of all assets (2) Value of assets qualifying under section 49A(2)(6/80) b Extowment alternative lest—ener 2/3 districts for each year Istad c Support alternative lest—ener (1) Total support other than arcss investment income (interest chividends, reals, payments on securities loans (section 512(a)(5)), or royalties)  (2) Support alternative lest—ener (3) Total support other than arcss investment income (interest chividends, reals, payments on securities loans (section 512(a)(5)), or royalties)  (3) Support and public and 5 or increase grounds in section 49A(0)(3)(8)(1) or groyalties)  (3) Largest amount of support from an exempt organization are seen instructions.)  1 Information Regarding Foundation Managers: a List a rry managers of the foundation who have contributed more than \$5,000) (See section \$07(d)(2))  b List a rry managers of the foundation who have contributed more than \$5,000) (See section \$07(d)(2))  b List a rry managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partimership or other entity) of which the foundation has a 10% or greater interest  2 Information Regarding Contribution, Grant, Giff, Loan, Scholarship, etc., Programs: Check here ** If the foundation only makes contributions to preselected chantable organizations under other conditions, complete items 2a, b, c, and d See instructions  a The name, address, and telephore number or email address of the person to whom applications should be addressed  b The form in which applications should be submitted and information and materials they should include  c Any submission deadlines  | •   |  | /   |   |                       |                           |
| section 4942()(3)(8)(1) b Eadoward alternate test = nether 27 of mammum investment returns shown in Part X, time 5 for each year Isted c Support alternative test = enter (1) Total support other than gross investment income (interest, chividends, rents, payments) on securities loans (section 512(a)(5)), or royalties) (2) Support from general public and 5 or more exempt organizations as provided in section 4942(X)(X)(B)(n). (3) Largest amount of support from an exempt organization (4) Gross investment income  Part XV: Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year — see instructions.)  Information Regarding Foundation Managers: a List any managers of the foundation who have contributed more than \$5,000) (See section 507(d)(2))  b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a part inership or other entity) of which the foundation has a 10% or greater interest  2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs: Check here * _ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes grifts, grants, etc. to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions  a The name, address, and telephore number or email address of the person to whom applications should be addressed  b The form in which applications should be submitted and information and materials they should include  c Any submission deadlines  |   |  | 1   |   |                       |                           |
| minimum mestment return shown in Part X, time 6 for each year listed c Support alternative test – enter (1) Total support other than gross investment income (interest, dividends, rents, payments on securities toans (section 512(a)G)), or royalities)  (2) Support from general public and 5 or more seared organizations as provided in setting 494(X)(X)(E)(w)  (3) Largest amount of support from an exempt organization (4) Gross investment income  Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year — see instructions.)  Information Regarding Foundation Managers: a List a ny managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))  b List a ny managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a part thership or other entity) of which the foundation has a 10% or greater interest  2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:  Check here I if the foundation makes gifts, grants, etc to individuals or organizations and does not accept unsolicited requests for funds if the foundation makes gifts, grants, etc to individuals or organizations under other conditions, complete items 2a, b, c, and di See instructions  a The name, address, and telephone number or email address of the person to whom applications should be addressed  b The form in which applications should be submitted and information and materials they should include  c Any submission deadlines  |   |  |   |   |                       |                           |
| (i) Total support other than gross investment concern (interest, dividends, rents, payments on securities loans (section \$12(a)(5)), or royalties)  (2) Support from general public and 6 or rance seems of organizations is provided in section \$43(2)(3)(6)(6)(6)(7) or royalties)  (3) Largest amount of support from an exempt organization (4) Caross investment income  Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year — see instructions.)  1 Information Regarding Foundation Managers: a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section \$57(d)(2))  b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest  2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:  Check here I if the foundation makes gifts, grants, etc. to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions a The name, address, and telephone number or email address of the person to whom applications should be addressed  b The form in which applications should be submitted and information and materials they should include  c Any submission deadlines  | minim um investment return shown in Part X,   |  |   |   |                       |                           |
| investment income (interest, cludends, rents, payments on securities loans (section \$12(a)(5)), or royalties)  (2) Support from pearal public and 5 or rorner everant organizations as provided in section \$45(k)(3/8(iii))  (3) Largest amount of support from an exempt organization (4) Caross investment income  Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year — see instructions.)  1 Information Regarding Foundation Managers: a List any managers of the foundation who have contributed more than \$5,000) (See section 507(d)(2))  b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest  2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs: Check here  if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. to individuals or organizations under other conditions, complete items 2a, b, c, and d See instructions  a The name, address, and telephone number or email address of the person to whom applications should be addressed  b The form in which applications should be submitted and information and materials they should include  c Any submission deadlines  | c 'Support' alternative test - enter  |  |   |   |                       |                           |
| (2) Support from general public and 5 or more exempt organizations as provided in section 492(0)(30)(80)(1)  (3) Largest amount of support from an exempt organization (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year — see instructions.)  N/A  1 Information Regarding Foundation Managers: a List any managers of the foundation who have contributed more than \$5,000 (See section \$07(d)(2))  b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a part thership or other entity) of which the foundation has a 10% or greater interest  2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:  Check here P if the foundation only makes contributions to preselected chantable organizations and does not accept unsolicited requests for funds if the foundation makes gifts, grants, etc. to individuals or organizations under other conditions, complete items 2a, b, c, and d See instructions a The name, address, and telephone number or email address of the person to whom applications should be addressed  b The form in which applications should be submitted and information and materials they should include  c Any submission deadlines  | investment income (interest,<br>dividends, rents, payments<br>on securities loans (section                        |  |   |   |                       |                           |
| an exempt organization  (4) Gross investment income  Part XV   Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year — see instructions.)  1 Information Regarding Foundation Managers: a List a ny managers of the foundation who have contributed more than \$5,000 (See section 507(d)(2))  b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a part thership or other entity) of which the foundation has a 10% or greater interest  2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs: Check here   fifthe foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions a The name, address, and telephone number or email address of the person to whom applications should be addressed  b The form in which applications should be submitted and information and materials they should include  c Any submission deadlines  | (2) Support from general public and 5 or more exempt organizations as provided                                    |  |   |   |                       |                           |
| Part XV   Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year — see instructions.)  1  |   |  |   |   |                       |                           |
| assets at any time during the year — see instructions.)  Information Regarding Foundation Managers: a List any managers of the foundation who have contributed more than \$5,000) (See section 507(d)(2))  b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a par thership or other entity) of which the foundation has a 10% or greater interest  Check here Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs: Check here If the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds if the foundation makes gifts, grants, etc to individuals or organizations under other conditions, complete items 2a, b, c, and d See instructions a The name, address, and telephone number or email address of the person to whom applications should be addressed  b The form in which applications should be submitted and information and materials they should include  c Any submission deadlines  d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors   |   |  |   |   |                       |                           |
| 1 Information Regarding Foundation Managers: a List a ny managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))  b List a ny managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest  2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs: Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. to individuals or organizations under other conditions, complete items 2a, b, c, and d See instructions a The name, address, and telephone number or email address of the person to whom applications should be addressed  b The form in which applications should be submitted and information and materials they should include  c Any submission deadlines   |   |  |   | foundation had                              | \$5,000 or more       |                           |
| a List a ny managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))  b List a ny managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest  2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:  Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds if the foundation makes gifts, grants, etc to individuals or organizations under other conditions, complete items 2a, b, c, and d See instructions  a The name, address, and telephone number or email address of the person to whom applications should be addressed  b The form in which applications should be submitted and information and materials they should include  c Any submission deadlines  |   |  | structions.)                                    |   |                       | N/A                       |
| 2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs: Check here  if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions  a The name, address, and telephone number or email address of the person to whom applications should be addressed  b The form in which applications should be submitted and information and materials they should include  c Any submission deadlines  d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors  | a List a ny managers of the foundation who have   | e contributed more th                          | nan 2% of the total co<br>than \$5,000) (See    | ontributions received<br>section 507(d)(2)) | by the foundation be  | fore the                  |
| Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions.  a The name, address, and telephone number or email address of the person to whom applications should be addressed.  b The form in which applications should be submitted and information and materials they should include.  c Any submission deadlines.  d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors.  | <b>b</b> List a ny managers of the foundation who ow<br>a partnership or other entity) of which the               | n 10% or more of the foundation has a 1        | stock of a corporation 0% or greater interest   | n (or an equally large<br>est               | e portion of the owne | rship of                  |
| b The form in which applications should be submitted and information and materials they should include  c Any submission deadlines  d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors  | Check here  ☐ If the foundation only m requests for funds If the foundation make 2a, b, c, and d See instructions | akes contributions to<br>es gifts, grants, etc | preselected charitable<br>to individuals or org | ganizations under o                         | ther conditions, cor  |                           |
| c Any submission deadlines  d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors  | a The name, address, and telephone number of  | or email address of th                         | e person to whom ap                             | plications should be                        | addressed             |                           |
| <b>d</b> Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors   | <b>b</b> The form in which applications should be   | submitted and infor                            | mation and materia                              | ls they should inclu                        | de                    |                           |
|   | c Any submission deadlines  |  |   |   |                       |                           |
| BAA TFFA03101 09/14/17 Form <b>990-PF</b> (2017)  | d Any restrictions or limitations on awards,  | such as by geograp                             | hical areas, charital                           | ole fields, kinds of i                      | nstitutions, or other | factors                   |
|   | BAA   | TE   | FA03101 09/14/17                                |   |                       | Form <b>990-PF</b> (2017) |

Page 11

| 3 Grants and Contributions Paid During the | ear or Approved for Fut   | ure Paymer           | ıt                               | N/A          |
|--|---|----------------------|----------------------------------|--------------|
| Recipient                                  | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of | Purpose of grant or contribution | Amount       |
| Name and address (home or business)        | or substantial contributor  | , corpicin           |                                  | <del></del>  |
| a Paid during the year                     |   |                      |                                  |              |
|  |   |                      |                                  |              |
|  |   |                      |                                  |              |
|  |   |                      |                                  |              |
| Total                                      |   |                      | ▶ 3a                             | 1            |
| <b>b</b> Approved for future payment       |   |                      |                                  | <del> </del> |
|  |   |                      |                                  |              |
|  |   |                      |                                  |              |
| Til  |   |                      | <u> </u>                         |              |
| Total                                      |   |                      | ► 3b                             | )            |

|   | is amounts unless otherwise indicated  | Unrelated               | business income | Excluded by           | section 512, 513, or 51- | 4  |
|---|--|-------------------------|-----------------|-----------------------|--------------------------|--|
| J   | gram service revenue   | (a)<br>Business<br>code | (b)<br>Amount   | (c)<br>Exclu-<br>sion | (d)<br>Amount            | Related or exempt function income (See instructions) |
|   |  | <b> </b>                |                 | code                  |                          |  |
|   |  | 1                       |                 | <del></del>           |                          | <del></del>  |
| b   |  | <del> </del>            |                 |                       |                          |  |
| d   |  | <del> </del>            |                 |                       |                          |  |
|   |  | 1                       |                 |                       |                          | <del></del>  |
| e   |  | +                       |                 | <del>-     -</del>    |                          |  |
| a Fees                                      | s and contracts from government agencies   | 1                       |                 | +                     |                          |  |
| -   | nbership dues and assessments  | <del></del>             |                 | +                     |                          |  |
| _   | est on savings and temporary cash investments  | }                       |                 | 1                     |                          |  |
|   | dends and interest from securities   | <del> </del>            |                 | +                     | <del></del>              |  |
| • -   | rental income or (loss) from real estate.  |                         |                 |                       |                          |  |
|   | t-financed property  |                         |                 | +                     |                          | <del></del>  |
|   | debt-financed property   | <del> </del>            |                 | <del></del>           |                          | <del></del>  |
|   | ental income or (loss) from personal property  | <del> </del>            |                 | <del>-}</del>         |                          | <del></del>  |
|   | er investment income   | <del> </del>            |                 | <del></del>           |                          | +  |
|   | or (loss) from sales of assets other than inventory  | <del> </del>            |                 | <del> </del>          |                          | +  |
|   | income or (loss) from special events   | <b> </b>                |                 |                       | <del></del>              | <del></del>  |
| -   | es profit or (loss) from sales of inventory  | <b></b>                 |                 | <del></del>           |                          | <del></del>  |
|   | er revenue   |                         |                 | +                     |                          | <del></del>  |
|   | er revenue   | <b>}</b>                |                 | +                     |                          | <del></del>  |
| a   |  | <del>   -</del>         |                 | -}                    |                          | <del> </del>   |
|   |  | <del> </del>            | - — — — — —     |                       |                          | <del></del>  |
|   |  | <del> </del>            |                 |                       |                          |  |
| d   |  | <del> </del>            |                 | <del> </del>          | <del> </del>             | <del></del>  |
|   |  |                         |                 |                       |                          |  |
| e   |  | <del> </del>            |                 |                       |                          | <del></del>  |
|   | total Add columns (b), (d), and (e)  |                         |                 |                       |                          |  |
| 13 Tota                                     | I. Add line 12, columns (b), (d), and (e)  |                         |                 |                       | 13                       | 0.   |
| 13 Tota<br>(See work                        | al. Add line 12, columns (b), (d), and (e) sheet in line 13 instructions to verify calculate                                     |                         |                 |                       |                          | 0.   |
| 13 Tota<br>(See work<br>Part XV             | al. Add line 12, columns (b), (d), and (e) sheet in line 13 instructions to verify calculate Relationship of Activities to the   | Accomplis               |                 |                       | es                       |  |
| 13 Tota<br>(See work                        | al. Add line 12, columns (b), (d), and (e) sheet in line 13 instructions to verify calculate Relationship of Activities to the   | Accomplis               |                 |                       | es                       |  |
| 13 Tota<br>(See work<br>Part XV<br>Line No. | al. Add line 12, columns (b), (d), and (e) sheet in line 13 instructions to verify calculate   Relationship of Activities to the | Accomplis               |                 |                       | es                       |  |
| 13 Tota<br>(See work<br>Part XV<br>Line No. | al. Add line 12, columns (b), (d), and (e) sheet in line 13 instructions to verify calculate Relationship of Activities to the   | Accomplis               |                 |                       | es                       |  |
| 13 Tota<br>(See work<br>Part XV<br>Line No. | al. Add line 12, columns (b), (d), and (e) sheet in line 13 instructions to verify calculate Relationship of Activities to the   | Accomplis               |                 |                       | es                       |  |
| 13 Tota<br>(See work<br>Part XV<br>Line No. | al. Add line 12, columns (b), (d), and (e) sheet in line 13 instructions to verify calculate Relationship of Activities to the   | Accomplis               |                 |                       | es                       |  |
| 13 Tota<br>(See work<br>Part XV<br>Line No. | al. Add line 12, columns (b), (d), and (e) sheet in line 13 instructions to verify calculate Relationship of Activities to the   | Accomplis               |                 |                       | es                       |  |
| 13 Tota<br>(See work<br>Part XV<br>Line No. | al. Add line 12, columns (b), (d), and (e) sheet in line 13 instructions to verify calculate Relationship of Activities to the   | Accomplis               |                 |                       | es                       |  |
| 13 Tota<br>(See work<br>Part XV<br>Line No. | al. Add line 12, columns (b), (d), and (e) sheet in line 13 instructions to verify calculate Relationship of Activities to the   | Accomplis               |                 |                       | es                       |  |
| 13 Tota<br>(See work<br>Part XV<br>Line No. | al. Add line 12, columns (b), (d), and (e) sheet in line 13 instructions to verify calculate Relationship of Activities to the   | Accomplis               |                 |                       | es                       |  |
| 13 Tota<br>(See work<br>Part XV<br>Line No. | al. Add line 12, columns (b), (d), and (e) sheet in line 13 instructions to verify calculate Relationship of Activities to the   | Accomplis               |                 |                       | es                       |  |
| 13 Tota<br>(See work<br>Part XV<br>Line No. | al. Add line 12, columns (b), (d), and (e) sheet in line 13 instructions to verify calculate Relationship of Activities to the   | Accomplis               |                 |                       | es                       |  |
| 13 Tota<br>(See work<br>Part XV<br>Line No. | al. Add line 12, columns (b), (d), and (e) sheet in line 13 instructions to verify calculate Relationship of Activities to the   | Accomplis               |                 |                       | es                       |  |
| 13 Tota<br>(See work<br>Part XV<br>Line No. | al. Add line 12, columns (b), (d), and (e) sheet in line 13 instructions to verify calculate Relationship of Activities to the   | Accomplis               |                 |                       | es                       |  |
| 13 Tota<br>(See work<br>Part XV<br>Line No. | al. Add line 12, columns (b), (d), and (e) sheet in line 13 instructions to verify calculate Relationship of Activities to the   | Accomplis               |                 |                       | es                       |  |
| 13 Tota<br>(See work<br>Part XV<br>Line No. | al. Add line 12, columns (b), (d), and (e) sheet in line 13 instructions to verify calculate Relationship of Activities to the   | Accomplis               |                 |                       | es                       |  |
| 13 Tota<br>(See work<br>Part XV<br>Line No. | al. Add line 12, columns (b), (d), and (e) sheet in line 13 instructions to verify calculate Relationship of Activities to the   | Accomplis               |                 |                       | es                       |  |
| 13 Tota<br>(See work<br>Part XV<br>Line No. | al. Add line 12, columns (b), (d), and (e) sheet in line 13 instructions to verify calculate Relationship of Activities to the   | Accomplis               |                 |                       | es                       |  |
| 13 Tota<br>(See work<br>Part XV<br>Line No. | al. Add line 12, columns (b), (d), and (e) sheet in line 13 instructions to verify calculate Relationship of Activities to the   | Accomplis               |                 |                       | es                       |  |
| 13 Tota<br>(See work<br>Part XV<br>Line No. | al. Add line 12, columns (b), (d), and (e) sheet in line 13 instructions to verify calculate Relationship of Activities to the   | Accomplis               |                 |                       | es                       |  |
| 13 Tota<br>(See work<br>Part XV<br>Line No. | al. Add line 12, columns (b), (d), and (e) sheet in line 13 instructions to verify calculate Relationship of Activities to the   | Accomplis               |                 |                       | es                       |  |
| 13 Tota<br>(See work<br>Part XV<br>Line No. | al. Add line 12, columns (b), (d), and (e) sheet in line 13 instructions to verify calculate Relationship of Activities to the   | Accomplis               |                 |                       | es                       |  |
| 13 Tota<br>(See work<br>Part XV<br>Line No. | al. Add line 12, columns (b), (d), and (e) sheet in line 13 instructions to verify calculate Relationship of Activities to the   | Accomplis               |                 |                       | es                       |  |
| 13 Tota<br>(See work<br>Part XV<br>Line No. | al. Add line 12, columns (b), (d), and (e) sheet in line 13 instructions to verify calculate Relationship of Activities to the   | Accomplis               |                 |                       | es                       |  |
| 13 Tota<br>(See work<br>Part XV<br>Line No. | al. Add line 12, columns (b), (d), and (e) sheet in line 13 instructions to verify calculate Relationship of Activities to the   | Accomplis               |                 |                       | es                       |  |
| 13 Tota<br>(See work<br>Part XV<br>Line No. | al. Add line 12, columns (b), (d), and (e) sheet in line 13 instructions to verify calculate Relationship of Activities to the   | Accomplis               |                 |                       | es                       |  |
| 13 Tota<br>(See work<br>Part XV<br>Line No. | al. Add line 12, columns (b), (d), and (e) sheet in line 13 instructions to verify calculate Relationship of Activities to the   | Accomplis               |                 |                       | es                       |  |

## Form 990-PF (2017) THE BOB AND PAT WHALEN FOUNDATION, INC. 47-4086713 Part XVII Information Regarding Transfers to and Transactions and Relationships With Noncharitable Exempt Organizations

| de  | escribe  | organization direction section 501(cto political organization)             | c) of the Code (o   | ngage in any of th<br>ther than section 5                | e following with<br>01(c)(3) organi         | n any other organization<br>izations) or in section                 | on<br>527,                            |                    | Yes  | No          |
|---|--|--|---|--|---|---|---------------------------------------|--------------------|--|-------------|
| a Transfers from the reporting foundation to a noncharitable exempt organization of |  |  |   |  |   |   |                                       |                    |  | 1           |
| (1  | ) Cash   | ו  |   |  | -   |   |                                       | 1 a (1)            |  | Х           |
| (2) Other assets  |  |  |   |  |   |   |                                       | 1 a (2)            |  | X           |
| <b>b</b> Other transactions:  |  |  |   |  |   |   |                                       |                    |  |             |
| •   | •  |  |   | mpt organization   |   |   |                                       | 1 b (1)            |  | X           |
| •   | •  |  |   | ible exempt organi                                       | zation                                      |   |                                       | 1 b (2)            |  | X           |
| (3) Rental of facilities, equipment, or other assets                                |  |  |   |  |   |   |                                       | 1 b (3)            |  | X           |
| (4) Reimbursement arrangements  |  |  |   |  |   |   |                                       | 1 b (4)<br>1 b (5) |  | X           |
| (5) Loans or loan guarantee's   |  |  |   |  |   |   |                                       |                    |  | X           |
| (6) Performance of services or membership or fundraising solicitations              |  |  |   |  |   |   |                                       |                    |  | X           |
| c Sharing of facilities, equipment, mailing lists, other assets, or paid employees  |  |  |   |  |   |   |                                       |                    |  | X           |
| th  | e acod:  | s, other assets, or  | services given by   | the reporting founda                                     | ition If the found                          | Column (b) should alvertion received less that goods, other assets, | n fair market value in                |                    | ue of  |             |
| (a) Line  | Line no (b) Amount involved (c) Name of noncharitable exempt organization (d) Description of transfers, transactions |  |   |  |   |   | sfers, transactions, and              | sharing arrar      | gement                                       | .S          |
| N/A_  |  |  |   |  |   |   |                                       |                    |  |             |
|   |  |  |   |  |   |   | <u></u>                               | -                  |  |             |
|   |  |  |   |  |   | · · · · · · · · · · · · · · · · · · ·                               |                                       |                    |  |             |
|   |  |  |   | <del></del>  |   |   |                                       |                    |  |             |
|   |  |  |   |  |   |   |                                       |                    |  |             |
|   |  |  |   |  |   |   |                                       |                    |  |             |
|   |  |  |   |  | -   |   |                                       |                    |  | <del></del> |
|   |  |  |   |  |   |   |                                       |                    |  |             |
|   |  |  |   |  |   | · · · · ·   |                                       |                    |  |             |
|   |  |  |   |  |   |   |                                       |                    |  |             |
|   |  |  |   |  |   |   |                                       |                    |  |             |
|   |  |  |   |  |   | · ·   | <del></del>                           |                    |  |             |
| <del></del>   |  |  |   |  |   |   | · ·- ·- ·- ·- ·- ·- ·- ·- ·- ·- ·- ·- |                    |  |             |
|   |  | · · · · · · · · · · · · · · · · · · ·                                      |   |  |   | · · · · · · · · · · · · · · · · · · ·                               | · · · · · · · · · · · · · · · · · · · |                    |  |             |
|   |  |  |   |  |   |   | · · · · · · · · · · · · · · · · · · · |                    |  | —-          |
| d€  | escribe<br>'Yes,'  | d in section 501 (complete the follo                                       | c) of the Code (of<br>owing schedule  | i with, or related to,<br>ther than section 5            | one or more tax<br>01(c)(3)) or in          | e-exempt organizations section 527?                                 |                                       | Yes                | X  | <br>No      |
| (a) Name of organization  |  |  |   | (b) Type of organization                                 |   |   | (c) Description of relationship       |                    |  |             |
| N/A   |  |  |   |  |   |   |                                       |                    |  |             |
|   |  |  |   | 7.1.4.   |   |   |                                       |                    |  |             |
|   |  | <del></del>  |   |  |   |   |                                       |                    |  |             |
|   |  |  |   |  |   |   |                                       |                    |  |             |
|   |  | <del></del>  |   |  |   |   |                                       | <del></del> .      |  |             |
|   | Under po<br>correct.   | enalties of perjury, I de<br>and complete Declarat                         | clare that I have examinated that I have examinated the contraction of preparer (other that is not become a contraction of preparer that is not become a contraction of the contraction | ined this return, including<br>than taxpayer) is based o | g accompanying sch<br>on all information of | edules and statements, and to<br>which preparer has any know        | o the best of my knowledge<br>rledge  | e and belief, i    | t is true,                                   |             |
| Sign<br>Here  | ▶ v  | - M W -5/4/18 CEO  |   |  |   |   |                                       |                    | RS discu<br>with the<br>shown be<br>ictions, | elow?       |
|   | Signat   | Signature of officer or trustee Date Title                                 |   |  |   |   |                                       |                    | Yes  | No          |
|   |  | Print/Type preparer's name Preparer's signature Date Check                 |   |  |   |   |                                       | PTIN               |  |             |
| Paid<br>Preparer<br>Use Only  |  | JAMES D. DIGENAN  Preparer's signature  Date  Check X if  Slight Remployed |   |  |   |   |                                       |                    | 107  |             |
|   |  | Firm's name  |   | GENAN, CPA,  | JD (  |   | Firm's EIN ►                          |                    | <del></del> -                                |             |
|   |  | Firm's address 10174 AUSTIN DR #2741                                       |   |  |   |   |                                       | <del></del>        |  |             |
|   | ,  |  | SPRING VAL  |  | 79  |   | Phone no (619)                        | 244-5              | 5442   |             |
| BAA   |  |  |   |  |   |   |                                       | Form <b>990</b>    |  | 2017)       |

2017 **FEDERAL STATEMENTS** PAGE 1 47-4086713 **CLIENT 1064** THE BOB AND PAT WHALEN FOUNDATION, INC. 5/14/18 11 02AM STATEMENT 1 FORM 990-PF, PART I, LINE 16B ACCOUNTING FEES (A) EXPENSES PER BOOKS (B) NET INVESTMENT INCOME (C) ADJUSTED NET INCOME (D) CHARITABLE PURPOSES 530. 530. \$ 2016 TAX PREPARATION TOTAL \$\frac{\\$}{\\$} 530. 530.