

For calendar year 2017, or tax year beginning 01-01-2017, and ending 12-31-2017

Name of foundation BERGES FAMILY FOUNDATION		A Employer identification number 47-1426743	
Number and street (or P O box number if mail is not delivered to street address) 21 MARKER WAY		Room/suite	B Telephone number (see instructions) (314) 406-1995
City or town, state or province, country, and ZIP or foreign postal code VERO BEACH, FL 32963		C If exemption application is pending, check here ☐	
G Check all that apply ☐ Initial return ☐ Final return ☐ Address change ☐ Initial return of a former public charity ☐ Amended return ☐ Name change		D 1. Foreign organizations, check here ☐ 2 Foreign organizations meeting the 85% test, check here and attach computation ☐	
H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐	
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 43,306,496	J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) (Part I, column (d) must be on cash basis)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐	

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)	13,489,853			
	2 Check ☐ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	62,738	62,738		
	4 Dividends and interest from securities	442,882	442,882		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	6,103,844			
	b Gross sales price for all assets on line 6a 23,200,091				
	7 Capital gain net income (from Part IV, line 2)		6,103,844		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
Operating and Administrative Expenses	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)	94,599	94,599	0	
	12 Total. Add lines 1 through 11	20,193,916	6,704,063	0	
	13 Compensation of officers, directors, trustees, etc	0	0	0	0
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)	8,293	8,293	0	0
	b Accounting fees (attach schedule)	13,850	13,850	0	0
	c Other professional fees (attach schedule)	164,749	164,749	0	0
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	16,285	16,285	0	0
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)	101,515	101,515	0	0
	24 Total operating and administrative expenses. Add lines 13 through 23	304,692	304,692	0	0
	25 Contributions, gifts, grants paid	4,032,409			4,032,409
	26 Total expenses and disbursements. Add lines 24 and 25	4,337,101	304,692	0	4,032,409
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	15,856,815			
	b Net investment income (if negative, enter -0-)		6,399,371		
c Adjusted net income(if negative, enter -0-)				0	

Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing			
	2 Savings and temporary cash investments	2,135,771	9,856,789	9,856,789
	3 Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4 Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments—U S and state government obligations (attach schedule)	2,134,182	888,402	888,402
	b Investments—corporate stock (attach schedule)	20,920,706	30,552,701	30,552,701
	c Investments—corporate bonds (attach schedule)			
	11 Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12 Investments—mortgage loans			
	13 Investments—other (attach schedule)	2,910,819	2,008,604	2,008,604
	14 Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
15 Other assets (describe ▶ _____)				
16 Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	28,101,478	43,306,496	43,306,496	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶ _____)			
	23 Total liabilities (add lines 17 through 22)	0	0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	0	0	
	28 Paid-in or capital surplus, or land, bldg , and equipment fund	0	0	
	29 Retained earnings, accumulated income, endowment, or other funds	28,101,478	43,306,496	
30 Total net assets or fund balances (see instructions)	28,101,478	43,306,496		
31 Total liabilities and net assets/fund balances (see instructions) .	28,101,478	43,306,496		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	28,101,478
2 Enter amount from Part I, line 27a	2	15,856,815
3 Other increases not included in line 2 (itemize) ▶ _____	3	0
4 Add lines 1, 2, and 3	4	43,958,293
5 Decreases not included in line 2 (itemize) ▶ _____	5	651,797
6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 .	6	43,306,496

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a See Additional Data Table			
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a See Additional Data Table			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a See Additional Data Table			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss) If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	6,103,844
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?



Yes



No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2016	2,986,666	22,886,037	0 130502
2015	1,694,058	12,604,870	0 134397
2014	0	6,589,714	0 000000
2013			
2012			

2 Total of line 1, column (d)	2	0 264899
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 088300
4 Enter the net value of noncharitable-use assets for 2017 from Part X, line 5	4	31,663,440
5 Multiply line 4 by line 3	5	2,795,882
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	63,994
7 Add lines 5 and 6	7	2,859,876
8 Enter qualifying distributions from Part XII, line 4	8	4,032,409

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b	1	63,994
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0
3	Add lines 1 and 2.	3	63,994
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	63,994
6	Credits/Payments		
a	2017 estimated tax payments and 2016 overpayment credited to 2017	6a	38,226
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	0
7	Total credits and payments. Add lines 6a through 6d.	7	148,226
8	Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8	0
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	84,232
11	Enter the amount of line 10 to be Credited to 2018 estimated tax ☐ 84,232 Refunded ☐	11	0

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a	No
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>	1b	No
c Did the foundation file Form 1120-POL for this year?	1c	No
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ☐ \$ 0 (2) On foundation managers ☐ \$ 0		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☐ \$ 0		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities</i>	2	No
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>	3	No
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a	Yes
b If "Yes," has it filed a tax return on Form 990-T for this year?	4b	Yes
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T</i>	5	No
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col (c), and Part XV</i>	7	Yes
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☐ FL		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation</i> .	8b	Yes
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2017 or the taxable year beginning in 2017 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>	9	No
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i> 	10	Yes

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address BERGESFAMILYFOUNDATION.ORG	13	Yes	
14	The books are in care of JAMES BERGES Telephone no (314) 406-1995			

Located at **21 MARKER WAY VERO BEACH FL** ZIP+4 **32963**

15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here ☐ and enter the amount of tax-exempt interest received or accrued during the year 15			
16	At any time during calendar year 2017, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR). If "Yes," enter the name of the foreign country ▶	16	Yes	No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a	During the year did the foundation (either directly or indirectly)		Yes	No
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? ☐ 1b			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2017? ☐ 1c			No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2017, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2017? ☐ Yes ☒ No If "Yes," list the years ▶ 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions). ☐ 2b			
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2017 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2017). ☐ Yes ☒ No 3b			
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? 4a			No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2017? 4b			No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (Continued)

5a During the year did the foundation pay or incur any amount to (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No (2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No (4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions). ☐ Yes ☒ No (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No			
b If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? ☐ Yes ☒ No Organizations relying on a current notice regarding disaster assistance check here. ☐	5b		
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No <i>If "Yes," attach the statement required by Regulations section 53.4945–5(d)</i>			
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No <i>If "Yes" to 6b, file Form 8870</i>	6b		No
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No	7b		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
JAMES G BERGES 21 MARKER WAY VERO BEACH, FL 32963	SETTLOR AND TRUSTEE 0 00	0	0	0
CATHERINE B BERGES 21 MARKER WAY VERO BEACH, FL 32963	SETTLOR AND TRUSTEE 0 00	0	0	0
KEVIN JAMES BERGES 4200 LACLEDE AVE 201 ST LOUIS, MO 63108	TRUSTEE 0 00	0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	Title, and average hours per week (b) devoted to position	(c) Compensation	Contributions to employee benefit plans and deferred compensation (d)	Expense account, (e) other allowances
NONE				

Total number of other employees paid over \$50,000. **0****3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services. **0****Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3	0

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities.	1a	27,245,691
b	Average of monthly cash balances.	1b	2,481,476
c	Fair market value of all other assets (see instructions).	1c	2,418,457
d	Total (add lines 1a, b, and c).	1d	32,145,624
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	32,145,624
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	482,184
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4.	5	31,663,440
6	Minimum investment return. Enter 5% of line 5.	6	1,583,172

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	1,583,172
2a	Tax on investment income for 2017 from Part VI, line 5.	2a	63,994
b	Income tax for 2017 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	63,994
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	1,519,178
4	Recoveries of amounts treated as qualifying distributions.	4	0
5	Add lines 3 and 4.	5	1,519,178
6	Deduction from distributable amount (see instructions).	6	0
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	1,519,178

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	4,032,409
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4.	4	4,032,409
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions).	5	63,994
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	3,968,415

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2016	(c) 2016	(d) 2017
1 Distributable amount for 2017 from Part XI, line 7				1,519,178
2 Undistributed income, if any, as of the end of 2017				
a Enter amount for 2016 only.			0	
b Total for prior years 20____, 20____, 20____		0		
3 Excess distributions carryover, if any, to 2017				
a From 2012.				
b From 2013.				
c From 2014.				
d From 2015.				986,950
e From 2016.				2,986,666
f Total of lines 3a through e.	3,973,616			
4 Qualifying distributions for 2017 from Part XII, line 4 ▶ \$ <u>4,032,409</u>				
a Applied to 2016, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2017 distributable amount.				1,519,178
e Remaining amount distributed out of corpus	2,513,231			
5 Excess distributions carryover applied to 2017 (If an amount appears in column (d), the same amount must be shown in column (a))	0			0
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	6,486,847			
b Prior years' undistributed income Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d Subtract line 6c from line 6b Taxable amount—see instructions		0		
e Undistributed income for 2016 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2017 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2018				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).	0			
8 Excess distributions carryover from 2012 not applied on line 5 or line 7 (see instructions).	0			
9 Excess distributions carryover to 2018. Subtract lines 7 and 8 from line 6a	6,486,847			
10 Analysis of line 9				
a Excess from 2013.				
b Excess from 2014.				
c Excess from 2015.				986,950
d Excess from 2016.				2,986,666
e Excess from 2017.				2,513,231

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2017, enter the date of the ruling. ▶					
b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)					
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed	Tax year	Prior 3 years			(e) Total
	(a) 2017	(b) 2016	(c) 2015	(d) 2014	
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . .					
c "Support" alternative test—enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:	
a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2)) JAMES G BERGES	
b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest	
2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:	
Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d	
a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed JIM BERGES 21 MARKER WAY VERO BEACH, FL 32963 (314) 406-1995	
b The form in which applications should be submitted and information and materials they should include WRITTEN COMMUNICATION INCLUDING DETAILED REQUEST AND REQUESTING	
c Any submission deadlines NO SUBMISSION DEADLINES	
d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors NO	

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i> See Additional Data Table				
Total			3a	4,032,409
b <i>Approved for future payment</i>				
Total			3b	0

Enter gross amounts unless otherwise indicated

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Form **990-PF** (2017)

Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

	Yes	No
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1a(1)	No
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1a(2)	No
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1b(1)	No
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1b(2)		No
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1b(3)		No
--------------	--	-----------

1b(4)		No
--------------	--	-----------

1b(5)		No
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1b(6)		No
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1c		No
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value
ue

[illegible]

described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

**Sign
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

2018-11-14

May the IRS discuss this return with the preparer shown below

(see instr)? ☒ Yes ☐ No

Signature of officer or trustee

Date _____

Title

**Paid
Preparer
Use Only**

Paid Preparer Use Only	Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ☐	PTIN P01311501
	MARY KAY KREITLER				
	Firm's name ▶ PRICEWATERHOUSECOOPERS LLP				Firm's EIN ▶ 13-4008324
	Firm's address ▶ 800 MARKET ST SUITE 1900 ST LOUIS, MO 63101				Phone no (314) 206-8500

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
WELLS FARGO #5300	P	2017-01-01	2017-12-31
WELLS FARGO #5300	P	2016-01-01	2017-12-31
WELLS FARGO #5300	P	2016-01-01	2017-12-31
HD SUPPLY HOLDINGS	P	2015-09-11	2017-10-03
CAESARS ENTERTAINMENT CORP	P	2017-01-31	2017-09-22
MORGAN STANLEY #013728	P	2017-01-01	2017-11-14
MORGAN STANLEY #013728	P	2016-01-01	2017-12-31
MORGAN STANLEY #013728	P	2016-01-01	2017-12-29
WF #5300 CAPITAL GAIN DISTRIBUTION	P		
COLONY DISTRESSED CREDIT IV ACCESS ASP FUND	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,500,764		1,403,209	97,555
11,752,426		8,892,318	2,860,108
1,605,133		1,600,000	5,133
307,590		271,935	35,655
1,590,851		1,044,185	546,666
909,835		643,077	266,758
5,453,055		3,272,108	2,180,947
80,437		25,908	54,529
			56,451
			-18

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			97,555
			2,860,108
			5,133
			35,655
			546,666
			266,758
			2,180,947
			54,529
			56,451
			-18

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
CARLYLE DISTRESSED OPPORTUNITIES IV ASP FUND	P		
CARLYLE DISTRESSED OPPORTUNITIES IV ASP FUND	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
			1,967
			-1,907

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			1,967
			-1,907

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
ANNIE MALONE CHILDREN AND FAMILY 2612 ANNIE MALONE DR ST LOUIS, MO 63113	NONE, 501(C)(3)EXEMPT	501(C)(3) EXEMPT	GENERAL SUPPORT	16,666
BOYS HOPE GIRLS HOPE OF ST LOUIS 755 S NEW BALLAS RD 120 ST LOUIS, MO 63141	NONE, 501(C)(3)EXEMPT	501(C)(3) EXEMPT	GENERAL SUPPORT	150,000
GIRL SCOUTS OF EASTERN MO 2300 BALL DR ST LOUIS, MO 63146	NONE	501(C)(3) EXEMPT	GENERAL SUPPORT	313,000
Total ▶ 3a				4,032,409

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
HAWTHORN LEADERSHIP SCHOOL FOR GIRLS 1901 N KINGSHIGHWAY BLVD ST LOUIS, MO 63113	NONE	501(C)(3) EXEMPT	GENERAL SUPPORT	100,000
MERCY HEALTH FOUNDATION 615 S NEW BALLAS RD ST LOUIS, MO 63141	NONE	501(C)(3) EXEMPT	GENERAL SUPPORT	100,000
METRO THEATRE 3311 WASHINGTON AVE ST LOUIS, MO 63103	NONE	501(C)(3) EXEMPT	GENERAL SUPPORT	75,000
Total ▶ 3a				4,032,409

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
MIRIAM SCHOOL501 BACON AVE ST LOUIS, MO 63119	NONE	501(C)(3) EXEMPT	GENERAL SUPPORT	250,000
ST LOUIS UNIVERSITY HIGH SCHOOL 4970 OAKLAND AVE ST LOUIS, MO 63110	NONE	501(C)(3) EXEMPT	GENERAL SUPPORT	50,000
THE MISSION CONTINUES 1141 S 7TH ST ST LOUIS, MO 63104	NONE	501(C)(3) EXEMPT	GENERAL SUPPORT	200,000
Total 				4,032,409
3a				

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
TENNESSEE WILLIAMS FESTIVAL ST LOUIS 3224 LOCUST ST 2J ST LOUIS, MO 63103	NONE	501(C)(3) EXEMPT	GENERAL SUPPORT	10,000
ST LOUIS POLICE FOUNDATION 9761 CLAYTON RD ST LOUIS, MO 63124	NONE	501(C)(3) EXEMPT	GENERAL SUPPORT	350,000
AIM HIGH755 S PRICE RD ST LOUIS, MO 63124	NONE	501(C)(3) EXEMPT	GENERAL SUPPORT	10,000
Total 3a				4,032,409

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
BACKSTOPPERS 10411 CLAYTON RD SUITE A5 ST LOUIS, MO 63131	NONE	501(C)(3) EXEMPT	GENERAL SUPPORT	100,000
THE REPERTORY THEATRE OF ST LOUIS 130 EDGAR RD WEBSTER GROVES, MO 63119	NONE	501(C)(3) EXEMPT	GENERAL SUPPORT	150,000
ALMOST HOME3200 ST VINCENT AVE ST LOUIS, MO 63104	NONE	501(C)(3) EXEMPT	GENERAL SUPPORT	15,000
Total ▶ 3a				4,032,409

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
LOGOS SCHOOL 9137 OLD BONHOMME RD ST LOUIS, MO 63132	NONE	501(C)(3) EXEMPT	GENERAL SUPPORT	50,000
EYE CARE CHARITY OF MID-AMERICA 732 GODDARD AVE CHESTERFIELD, MO 63005	NONE	501(C)(3) EXEMPT	GENERAL SUPPORT	250,000
MAKE-A-WISH FOUNDATION OF MISSOURI 13523 BARRETT PKWY DR 241 BALLWIN, MO 63201	NONE	501(C)(3) EXEMPT	GENERAL SUPPORT	25,000
Total ► 3a				4,032,409

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
GLOBALHACK 911 WASHINGTON AVE 657 ST LOUIS, MO 63101	NONE	501(C)(3) EXEMPT	GENERAL SUPPORT	10,000
ASSISTANCE LEAGUE OF ST LOUIS 30 HENRY AVE ELLISVILLE, MO 63011	NONE	501(C)(3) EXEMPT	GENERAL SUPPORT	10,000
COCA524 TRINITY AVE UNIVERSITY CITY, MO 63130	NONE	501(C)(3) EXEMPT	GENERAL SUPPORT	833,333
Total ▶ 3a				4,032,409

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
MARYGROVE2705 MULLANPHY RD FLORISSANT, MO 63031	NONE	501(C)(3) EXEMPT	GENERAL SUPPORT	175,000
ST LOUIS CATHEDRAL CONCERTS 4431 LINDELL BLVD ST LOUIS, MO 63108	NONE	501(C)(3) EXEMPT	GENERAL SUPPORT	10,000
CENTER FOR HEARING & SPEECH 9835 MANCHESTER RD ST LOUIS, MO 63119	NONE	501(C)(3) EXEMPT	GENERAL SUPPORT	20,020
Total ▶ 3a				4,032,409

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
FLANCE EARLY LEARNING CENTER 1908 OFALLON ST ST LOUIS, MO 63106	NONE	501(C)(3) EXEMPT	GENERAL SUPPORT	25,000
KIDSMART12175 BRIDGETON SQUARE HAZELWOOD, MO 63044	NONE	501(C)(3) EXEMPT	GENERAL SUPPORT	50,000
ST FRANCIS COMMUNITY PROJECT 4445 LINDELL BLVD ST LOUIS, MO 63108	NONE	501(C)(3) EXEMPT	GENERAL SUPPORT	25,000
Total ▶ 3a				4,032,409

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
ARTWORKS5959 DELMAR BLVD ST LOUIS, MO 63112	NONE	501(C)(3) EXEMPT	GENERAL SUPPORT	50,000
THE SHELDON3648 WASHINGTON BLVD ST LOUIS, MO 63108	NONE	501(C)(3) EXEMPT	GENERAL SUPPORT	50,000
NETWORK FOR TEACHING ENTREPRENEURSHIP 120 WALL ST 18TH FLOOR NEW YORK, NY 10005	NONE	501(C)(3) EXEMPT	GENERAL SUPPORT	25,000
Total ▶ 3a				4,032,409

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
ST JOSEPH'S INSTITUTE FOR THE DEAF 1314 STRASSNER DR BRENTWOOD, MO 63144	NONE	501(C)(3) EXEMPT	GENERAL SUPPORT	75,000
AMERICAN RED CROSS OF EASTERN MISSOURI 10195 CORPORATE SQUARE DR ST LOUIS, MO 63132	NONE	501(C)(3) EXEMPT	GENERAL SUPPORT	50,000
RANKEN TECHNICAL COLLEGE 4431 FINNEY AVE ST LOUIS, MO 63113	NONE	501(C)(3) EXEMPT	GENERAL SUPPORT	9,390
Total ► 3a				4,032,409

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
SUPPORT DOGS10955 LINPAGE PLACE ST LOUIS, MO 63132	NONE	501(C)(3) EXEMPT	GENERAL SUPPORT	200,000
RANKEN JORDAN11365 DORSETT RD MARYLAND HEIGHTS, MO 63043	NONE	501(C)(3) EXEMPT	GENERAL SUPPORT	200,000
Total ▶ 3a				4,032,409

TY 2017 Accounting Fees Schedule**Name:** BERGES FAMILY FOUNDATION**EIN:** 47-1426743**Accounting Fees Schedule**

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING FEES	13,850	13,850	0	0

TY 2017 Investments Corporate Stock Schedule**Name:** BERGES FAMILY FOUNDATION**EIN:** 47-1426743

Name of Stock	End of Year Book Value	End of Year Fair Market Value
WELLS FARGO #5300 EQUITIES	30,552,701	30,552,701

TY 2017 Investments Government Obligations Schedule**Name:** BERGES FAMILY FOUNDATION**EIN:** 47-1426743**US Government Securities - End
of Year Book Value:**

888,402

**US Government Securities - End
of Year Fair Market Value:**

888,402

**State & Local Government
Securities - End of Year Book
Value:**

0

**State & Local Government
Securities - End of Year Fair
Market Value:**

0

TY 2017 Investments - Other Schedule

Name: BERGES FAMILY FOUNDATION

EIN: 47-1426743

Investments Other Schedule 2

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
INVESTMENTS-OTHER	AT COST	2,008,604	2,008,604

TY 2017 Legal Fees Schedule**Name:** BERGES FAMILY FOUNDATION**EIN:** 47-1426743

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
LEGAL FEES	8,293	8,293	0	0

TY 2017 Other Decreases Schedule

Name: BERGES FAMILY FOUNDATION
EIN: 47-1426743

Description	Amount
PRIOR PERIOD ADJUSTMENT	651,797

TY 2017 Other Expenses Schedule**Name:** BERGES FAMILY FOUNDATION**EIN:** 47-1426743**Other Expenses Schedule**

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
WF #95300 INVESTMENT EXPENSES	785	785	0	0
WF #95300 INVESTMENT INT EXP	5,030	5,030	0	0
MATLINPATTERSO SECURITIZED CREDIT II	3,152	3,152	0	0
CANYON BALANCED ASP FUND	3,858	3,858	0	0
STRATEGIC PARTNERS VIII ASP	8,643	8,643	0	0
MARSHALL WACE EUREKA ASP	11,360	11,360	0	0
MARSHALL WACE EUREKA ASP	-24,643	-24,643	0	0
TRICADIA CREDIT STRATEGIES II	1,574	1,574	0	0
CARLYLE REALTY PARTNERS VIII ASP	1,621	1,621	0	0
ORBIMED ASIA PARTNERS III ASP	45	45	0	0

Other Expenses Schedule

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ORBIMED ASIA PARTNERS III ASP	20,082	20,082	0	0
COLONY DISTRESSED CREDIT IV ACCESS ASP	400	400	0	0
CD&R FUND X ADVISOR WATERWORKS B	27	27	0	0
CD&R INDIA ASSOCIATES II	23	23	0	0
CD&R INVESTMENT HOLDINGS	6	6	0	0
CD&R INVESTMENT HOLDINGS	382	382	0	0
CARLYLE DISTRESSED OPPORTUNITIES IV ASP FUND	4,170	4,170	0	0
CARLYLE DISTRESSED OPPORTUNITIES IV ASP FUND	35,612	35,612	0	0
CARLYLE DISTRESSED OPPORTUNITIES IV ASP FUND	388	388	0	0
WF #0146 ASP PLACEMENT FEE	29,000	29,000	0	0

TY 2017 Other Income Schedule**Name:** BERGES FAMILY FOUNDATION**EIN:** 47-1426743**Other Income Schedule**

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
OTHER INCOME - WELLS FARGO #95300	16,941	16,941	
OTHER FLOW THROUGH	77,658	77,658	0

TY 2017 Other Professional Fees Schedule**Name:** BERGES FAMILY FOUNDATION**EIN:** 47-1426743

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
WF #95300 ACCT MGMT FEES	129,070	129,070	0	0
CONSULTING FEE	18,203	18,203	0	0
ADVERTISING	3,000	3,000	0	0
ST LOUIS COMMUNITY FOUNDATION	14,476	14,476	0	0

**TY 2017 Substantial Contributors
Schedule****Name:** BERGES FAMILY FOUNDATION**EIN:** 47-1426743**Name****Address**

JAMES G BERGES REVOCABLE TRUST

21 MARKER WAY
VERO BEACH, FL 32963

TY 2017 Taxes Schedule**Name:** BERGES FAMILY FOUNDATION**EIN:** 47-1426743

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
WF #95300 FOREIGN TAXES PD	15,633	15,633	0	0
MARSHALL WACE EUREKA ASP	652	652	0	0

efile GRAPHIC print - DO NOT PROCESS		As Filed Data -		DLN: 93491319013258	
Schedule B (Form 990, 990-EZ, or 990-PF) Department of the Treasury Internal Revenue Service		Schedule of Contributors ▶ Attach to Form 990, 990-EZ, or 990-PF ▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at www.irs.gov/form990			OMB No 1545-0047 2017
Name of the organization BERGES FAMILY FOUNDATION				Employer identification number 47-1426743	
Organization type (check one)					
Filers of: Form 990 or 990-EZ ☐ 501(c)() (enter number) organization ☐ 4947(a)(1) nonexempt charitable trust not treated as a private foundation ☐ 527 political organization Form 990-PF ☒ 501(c)(3) exempt private foundation ☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation ☐ 501(c)(3) taxable private foundation					
Check if your organization is covered by the General Rule or a Special Rule. Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions					
General Rule ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or other property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.					
Special Rules ☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33¹ 3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II. ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 <i>exclusively</i> for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III. ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions <i>exclusively</i> for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an <i>exclusively</i> religious, charitable, etc., purpose. Don't complete any of the parts unless the General Rule applies to this organization because it received <i>nonexclusively</i> religious, charitable, etc., contributions totaling \$5,000 or more during the year. . . . ▶ \$					
Caution. An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it must answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).					
For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF		Cat No 30613X		Schedule B (Form 990, 990-EZ, or 990-PF) (2017)	

Name of organization BERGES FAMILY FOUNDATION	Employer identification number 47-1426743
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Part I Contributors (See instructions) Use duplicate copies of Part I if additional space is needed			
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	JAMES G BERGES REVOCABLE TRUST	\$ 8,418,083	Person ☒
	21 MARKER WAY		Payroll ☐
	VERO BEACH, FL 32963		Noncash ☒ (Complete Part II for noncash contributions)
2	JAMES G BERGES REVOCABLE TRUST	\$ 5,071,770	Person ☒
	21 MARKER WAY		Payroll ☐
	VERO BEACH, FL 32963		Noncash ☐ (Complete Part II for noncash contributions)
.		\$	Person ☐
			Payroll ☐
			Noncash ☐ (Complete Part II for noncash contributions)
.		\$	Person ☐
			Payroll ☐
			Noncash ☐ (Complete Part II for noncash contributions)
.		\$	Person ☐
			Payroll ☐
			Noncash ☐ (Complete Part II for noncash contributions)
.		\$	Person ☐
			Payroll ☐
			Noncash ☐ (Complete Part II for noncash contributions)

Name of organization BERGES FAMILY FOUNDATION	Employer identification number 47-1426743
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Part II **Noncash Property** (See instructions) Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
1	PUBLICLY TRADED SECURITIES	\$ 8 418,083	2017-12-31
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		\$	

Name of organization BERGES FAMILY FOUNDATION	Employer identification number 47-1426743
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Part III	Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once. See instructions.) ► \$ _____ Use duplicate copies of Part III if additional space is needed
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(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee