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EXTENDED TO NOVEMBER 15, 2018

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

- ▶ Do not enter social security numbers on this form as it may be made public.
▶ Go to www.irs.gov/Form990PF for instructions and the latest information.

OMB No 1545-0052

2017

Open to Public Inspection

Form 990-PF

Department of the Treasury
Internal Revenue Service

For calendar year 2017 or tax year beginning

, and ending

Name of foundation THE G KENNETH AND ANN BAUM PHILANTHROPIC FUND		A Employer identification number 46-4198523
Number and street (or P.O. box number if mail is not delivered to street address) 4801 MAIN STREET	Room/suite 500	B Telephone number (816) 842-0944
City or town, state or province, country, and ZIP or foreign postal code KANSAS CITY, MO 64112		C If exemption application is pending, check here ☐
G Check all that apply: ☐ Initial return ☐ Final return ☐ Address change ☐ Initial return of a former public charity ☐ Amended return ☐ Name change		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐
H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 18,367,202.	J Accounting method ☐ Cash ☒ Accrual ☐ Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)	
Revenue	1 Contributions, gifts, grants, etc., received	2,009,582.		N/A		
	2 Check ☐ if the foundation is not required to attach Sch. B					
	3 Interest on savings and temporary cash investments					
	4 Dividends and interest from securities	228,945.	228,945.		STATEMENT 1	
	5a Gross rents					
	b Net rental income or (loss)					
	6a Net gain or (loss) from sale of assets not on line 10	1,198,454.				
	b Gross sales price for all assets on line 6a	4,279,226.				
	7 Capital gain net income (from Part IV, line 2)		1,198,454.			
	8 Net short-term capital gain					
	9 Income modifications					
	10a Gross sales less returns and allowances					
b Less Cost of goods sold						
c Gross profit or (loss)						
11 Other income		-76,986.	-82,369.		STATEMENT 2	
12 Total. Add lines 1 through 11		3,359,995.	1,345,030.			
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	0.	0.		0.	
	14 Other employee salaries and wages					
	15 Pension plans, employee benefits					
	16a Legal fees					
	b Accounting fees	STMT 3	4,595.	2,297.		2,298.
	c Other professional fees					
	17 Interest					
	18 Taxes	STMT 4	4,768.	2,327.		0.
	19 Depreciation and depletion					
	20 Occupancy					
	21 Travel, conferences, and meetings					
	22 Printing and publications					
	23 Other expenses	STMT 5	187,908.	153,343.		34,469.
	24 Total operating and administrative expenses. Add lines 13 through 23		197,271.	157,967.		36,767.
25 Contributions, gifts, grants paid		1,263,000.			1,263,000.	
26 Total expenses and disbursements. Add lines 24 and 25		1,460,271.	157,967.		1,299,767.	
27 Subtract line 26 from line 12:						
a Excess of revenue over expenses and disbursements		1,899,724.				
b Net investment income (if negative, enter -0-)			1,187,063.			
c Adjusted net income (if negative, enter -0-)				N/A		

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		Beginning of year	End of year	
				(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing			1.	1.
	2	Savings and temporary cash investments		279,049.	600,470.	600,470.
	3	Accounts receivable	7,159.			
		Less: allowance for doubtful accounts		7,397.	7,159.	7,159.
	4	Pledges receivable				
		Less: allowance for doubtful accounts				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons				
	7	Other notes and loans receivable				
		Less: allowance for doubtful accounts				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges				
	10a	Investments - U.S. and state government obligations				
	b	Investments - corporate stock	STMT 8	10,669,095.	11,263,419.	14,335,553.
	c	Investments - corporate bonds				
	11	Investments - land, buildings, and equipment basis				
	Less: accumulated depreciation					
12	Investments - mortgage loans					
13	Investments - other	STMT 9	3,050,519.	3,424,019.	3,424,019.	
14	Land, buildings, and equipment: basis					
	Less: accumulated depreciation					
15	Other assets (describe)					
16	Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)		14,006,060.	15,295,068.	18,367,202.	
Liabilities	17	Accounts payable and accrued expenses				
	18	Grants payable				
	19	Deferred revenue				
	20	Loans from officers, directors, trustees, and other disqualified persons				
	21	Mortgages and other notes payable				
	22	Other liabilities (describe)				
23	Total liabilities (add lines 17 through 22)		0.	0.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here and complete lines 24 through 26, and lines 30 and 31. ☐					
	24	Unrestricted				
	25	Temporarily restricted				
	26	Permanently restricted				
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ☒					
	27	Capital stock, trust principal, or current funds		0.	0.	
	28	Paid-in or capital surplus, or land, bldg., and equipment fund		0.	0.	
	29	Retained earnings, accumulated income, endowment, or other funds		14,006,060.	15,295,068.	
30	Total net assets or fund balances		14,006,060.	15,295,068.		
31	Total liabilities and net assets/fund balances		14,006,060.	15,295,068.		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	14,006,060.
2	Enter amount from Part I, line 27a	2	1,899,724.
3	Other increases not included in line 2 (itemize) SEE STATEMENT 6	3	383,200.
4	Add lines 1, 2, and 3	4	16,288,984.
5	Decreases not included in line 2 (itemize) SEE STATEMENT 7	5	993,916.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	15,295,068.

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Part IV Capital Gains and Losses for Tax on Investment Income **SEE ATTACHED STATEMENTS**

(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a			
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) ((e) plus (f) minus (g))
a			
b			
c			
d			
e 4,279,226.		3,080,772.	1,198,454.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69.			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			
b			
c			
d			
e			1,198,454.

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	1,198,454.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8		3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation doesn't qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2016	1,487,113.	12,892,679.	.115346
2015	1,181,716.	15,334,275.	.077064
2014			
2013			
2012			

2 Total of line 1, column (d)	2	.192410
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years	3	.096205
4 Enter the net value of noncharitable-use assets for 2017 from Part X, line 5	4	16,336,643.
5 Multiply line 4 by line 3	5	1,571,667.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	11,871.
7 Add lines 5 and 6	7	1,583,538.
8 Enter qualifying distributions from Part XII, line 4	8	1,299,767.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.
See the Part VI instructions.

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Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)		
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b		1 23,741.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations, enter 4% of Part I, line 12, col. (b).		
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)		2 0.
3 Add lines 1 and 2		3 23,741.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)		4 0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5 23,741.
6 Credits/Payments:		
a 2017 estimated tax payments and 2016 overpayment credited to 2017	6a 1,240.	
b Exempt foreign organizations - tax withheld at source	6b 0.	
c Tax paid with application for extension of time to file (Form 8868)	6c 1,240.	
d Backup withholding erroneously withheld	6d 0.	
7 Total credits and payments. Add lines 6a through 6d	7 2,480.	
8 Enter any penalty for underpayment of estimated tax. Check here ☒ if Form 2220 is attached	8 13.	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9 SEE STATEMENT 10	21,274.
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	
11 Enter the amount of line 10 to be: Credited to 2018 estimated tax ☐ Refunded ☐	11	

Part VII A Statements Regarding Activities

1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	Yes	No
1a		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition. If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
1b		X
c Did the foundation file Form 1120-POL for this year?		X
1c		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. \$ 0. (2) On foundation managers. \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
2		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
3		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
4a		X
b If "Yes," has it filed a tax return on Form 990-T for this year?	N/A	
4b		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
5		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?		
6	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV		X
7	X	
8a Enter the states to which the foundation reports or with which it is registered. See instructions. MO		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation		
8b	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2017 or the tax year beginning in 2017? See the instructions for Part XIV. If "Yes," complete Part XIV		X
9		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X
10		X

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Part VII-A Statements Regarding Activities (continued)

- 11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions
- 12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions
- 13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application?

	Yes	No
11		X
12		X
13	X	

Website address **N/A**

- 14 The books are in care of **GREATER KC COMMUNITY FDN** Telephone no. **816-842-0944**
Located at **1055 BROADWAY, STE 130, KANSAS CITY, MO** ZIP+4 **64105**

- 15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - check here and enter the amount of tax-exempt interest received or accrued during the year

15 **N/A**

- 16 At any time during calendar year 2017, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?

	Yes	No
16		X

See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

- 1a During the year, did the foundation (either directly or indirectly):

- (1) Engage in the sale or exchange, or leasing of property with a disqualified person?
- (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?
- (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?
- (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?
- (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?
- (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)

☐ Yes ☒ No

☐ Yes ☒ No

☐ Yes ☒ No

☐ Yes ☒ No

☐ Yes ☒ No

☐ Yes ☒ No

- b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions
- Organizations relying on a current notice regarding disaster assistance, check here

N/A

☐

- c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2017?

	Yes	No
1b		
1c		X

- 2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):

- a At the end of tax year 2017, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2017?

☐ Yes ☒ No

If "Yes," list the years

- b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)

N/A

- c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.

2b		
----	--	--

- 3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?

☐ Yes ☒ No

- b If "Yes," did it have excess business holdings in 2017 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2017.)

N/A

- 4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?

4a		X
----	--	---

- b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2017?

4b		X
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(continued)

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

Total number of other employees paid over \$50,000	0
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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

[illegible]

Total number of others receiving over \$50,000 for professional services

0

Part IX-A	Summary of Direct Charitable Activities
------------------	--

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

Expenses

1	N/A	
2		
3		
4		

Part IX-B	Summary of Program-Related Investments
-----------	--

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

Amount

1	N/A	
2		
	All other program-related investments. See instructions.	
3		

Total. Add lines 1 through 3

0.

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	16,178,562.
b	Average of monthly cash balances	1b	406,862.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	16,585,424.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	16,585,424.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	248,781.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	16,336,643.
6	Minimum investment return. Enter 5% of line 5	6	816,832.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	816,832.
2a	Tax on investment income for 2017 from Part VI, line 5	2a	23,741.
b	Income tax for 2017. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	23,741.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	793,091.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	793,091.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	793,091.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	1,299,767.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	1,299,767.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	1,299,767.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2016	(c) 2016	(d) 2017
1 Distributable amount for 2017 from Part XI, line 7				793,091.
2 Undistributed income, if any, as of the end of 2017:				
a Enter amount for 2016 only			0.	
b Total for prior years.		0.		
3 Excess distributions carryover, if any, to 2017:				
a From 2012				
b From 2013				
c From 2014				
d From 2015	415,002.			
e From 2016	844,881.			
f Total of lines 3a through e	1,259,883.			
4 Qualifying distributions for 2017 from Part XII, line 4: ▶ \$ 1,299,767.				
a Applied to 2016, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2017 distributable amount				793,091.
e Remaining amount distributed out of corpus	506,676.			
5 Excess distributions carryover applied to 2017 (If an amount appears in column (d) the same amount must be shown in column (a).)	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	1,766,559.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2016. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2017. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2018				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2012 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2018. Subtract lines 7 and 8 from line 6a	1,766,559.			
10 Analysis of line 9:				
a Excess from 2013				
b Excess from 2014				
c Excess from 2015	415,002.			
d Excess from 2016	844,881.			
e Excess from 2017	506,676.			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2017, enter the date of the ruling



b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

- 2 a** Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed
- b** 85% of line 2a
- c** Qualifying distributions from Part XII, line 4 for each year listed
- d** Amounts included in line 2c not used directly for active conduct of exempt activities
- e** Qualifying distributions made directly for active conduct of exempt activities.

[illegible]

Part XV **Supplementary Information** (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc., to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or email address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines?

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
ARNOLD GOLD FOUNDATION, INC 619 PALISADE AVENUE, 1ST FLOOR ENGLEWOOD CLIFFS, NJ 07632	NONE	501 (C)(3)	HEALTH CARE	2,000.
CARLETON COLLEGE ONE N COLLEGE STREET NORTHFIELD, MN 55057	NONE	501 (C)(3)	EDUCATIONAL	126,000.
UMKC CONSERVATORY OF MUSIC AND DANCE 4949 CHERRY STREET KANSAS CITY, MO 64110	NONE	501 (C)(3)	EDUCATIONAL	1,250.
GREATER KANSAS CITY COMMUNITY FOUNDATION, ELMWOOD CEMETARY PRESERVATION FUN 1055 BROADWAY BOULEVARD, SUITE 130 KANSAS CITY, MO 64105	NONE	501 (C)(3)	COMMUNITY IMPROVEMENT & CAPACITY BUILDING	1,500.
HARRY S TRUMAN LIBRARY INSTITUTE 500 WEST US HIGHWAY 24 INDEPENDENCE, MO 64050	NONE	501 (C)(3)	EDUCATIONAL	11,000.
Total	SEE CONTINUATION SHEET(S)			1,263,000.
b Approved for future payment				
NONE				
Total				0.

Enter gross amounts unless otherwise indicated.

(See worksheet in line 13 instructions to verify calculations.)

723621 01-03-18

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	SEE SCHEDULE ATTACHED	P		
b	SEE SCHEDULE ATTACHED	P		
c	SEE SCHEDULE ATTACHED	P		
d	SEE SCHEDULE ATTACHED	P		
e	SEE SCHEDULE ATTACHED	P		
f	SEE SCHEDULE ATTACHED	P		
g	SEE SCHEDULE ATTACHED	P		
h	SEE SCHEDULE ATTACHED	D		
i	SEE SCHEDULE ATTACHED	P		
j	SEE SCHEDULE ATTACHED	D		
k	SEE SCHEDULE ATTACHED	D		
l	FLINT HILLS SQ OPPORTUNITY	P		
m	FLINT HILLS SQ OPPORTUNITY	P		
n	SALIENT MLP TOTAL RETURN	P		
o	SALIENT MLP TOTAL RETURN	P		

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	8,814.	9,654.	-840.
b	803,014.	798,633.	4,381.
c	32,482.	35,782.	-3,300.
d	235,323.	250,990.	-15,667.
e	333,556.	326,820.	6,736.
f	577,013.	456,141.	120,872.
g	349,991.	283,786.	66,205.
h	18,981.	17,465.	1,516.
i	225,717.	225,991.	-274.
j	1,564,592.	672,952.	891,640.
k	3,930.	1,482.	2,448.
l	15,679.		15,679.
m	73,595.		73,595.
n		1,076.	-1,076.
o	2.		2.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			-840.
b			4,381.
c			-3,300.
d			-15,667.
e			6,736.
f			120,872.
g			66,205.
h			1,516.
i			-274.
j			891,640.
k			2,448.
l			15,679.
m			73,595.
n			-1,076.
o			2.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a CAPITAL GAINS DIVIDENDS				
b				
c				
d				
e				
f				
g				
h				
i				
j				
k				
l				
m				
n				
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 36,537.			36,537.
b			
c			
d			
e			
f			
g			
h			
i			
j			
k			
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			36,537.
b			
c			
d			
e			
f			
g			
h			
i			
j			
k			
l			
m			
n			
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	1,198,454.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	N/A

THE G KENNETH AND ANN BAUM PHILANTHROPIC
FUND

46-4198523

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
HARVESTERS - THE COMMUNITY FOOD NETWORK 3801 TOPPING AVENUE KANSAS CITY, MO 64129	NONE	501 (C)(3)	FOOD, AGRICULTURE & NUTRITION	55,000.
HOPE FAITH MINISTRIES, INC 705 VIRGINIA AVENUE KANSAS CITY, MO 64106	NONE	501 (C)(3)	HUMAN SERVICES	5,000.
HYMAN BRAND HEBREW ACADEMY 5801 W 115TH STREET OVERLAND PARK, KS 66211	NONE	501 (C)(3)	EDUCATIONAL	7,500.
ISLAND HOUSING TRUST 1366 MAINE 102 BAR HARBOR, ME 04609	NONE	501 (C)(3)	HOUSING PRESERVATION	1,000.
JEWISH COMMUNAL FUND 575 MADISON AVENUE, #703 NEW YORK, NY 10022	NONE	501 (C)(3)	PUBLIC & SOCIETAL BENEFIT	3,600.
JEWISH COMMUNITY CENTERS ASSOCIATION 2 MILLSTONE CAMPUS DRIVE ST LOUIS, MO 63146	NONE	501 (C)(3)	HUMAN SERVICES	1,000.
JEWISH COMMUNITY RELATIONS BUREAU/AMERICAN JEWISH COMMITTEE JCRB/AJC 5801 W 115TH STREET, SUITE 203 OVERLAND PARK, KS 66211	NONE	501 (C)(3)	CIVIL AND HUMAN RIGHTS	1,000.
JEWISH FAMILY SERVICES 5801 W 115TH STREET OVERLAND PARK, KS 66211	NONE	501 (C)(3)	HUMAN SERVICES	5,000.
JEWISH FEDERATION OF GREATER KANSAS CITY 5801 W 115TH STREET OVERLAND PARK, KS 66211	NONE	501 (C)(3)	PHILANTHROPY, VOLUNTEERISM & GRANTMAKING FOUNDATION	116,000.
JOHNSON COUNTY LIBRARY FOUNDATION PO BOX 2933 SHAWNEE MISSION, KS 66201	NONE	501 (C)(3)	EDUCATION	6,250.
Total from continuation sheets				1,121,250.

THE G KENNETH AND ANN BAUM PHILANTHROPIC
FUND

46-4198523

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
JUNIOR ACHIEVMENT OF MIDDLE AMERICA, INC 4049 PENNSYLVANIA AVENUE, SUITE 150 KANSAS CITY, MO 64111	NONE	501 (C)(3)	YOUTH DEVELOPMENT	2,000.
JUVENILE DIABETES RESEARCH FOUNDATION OF KC CHAPTER 920 MAIN STREET KANSAS CITY, MO 64105	NONE	501 (C)(3)	MEDICAL RESEARCH	5,000.
KANSAS CITY ART INSTITUTE 4415 WARWICK BOULEVARDK KANSAS CITY, MO 64111	NONE	501 (C)(3)	EDUCATIONAL	8,000.
KANSAS CITY CARE CLINIC 3515 BROADWAY KANSAS CITY, MO 64111	NONE	501 (C)(3)	HEALTH CARE	1,250.
KANSAS CITY FRIENDS OF ALVIN AILEY 1714 E 18TH STREET KANSAS CITY, MO 64108	NONE	501 (C)(3)	ARTS, CULTURE & HUMANITIES	3,800.
KANSAS CITY HOSPICE INC & PALLIATIVE CARE 1500 MEADOW LANE PARKWAY, SUITE 200 KANSAS CITY, MO 64110	NONE	501 (C)(3)	HUMAN SERVICES	20,200.
KANSAS CITY PUBLIC LIBRARY 14 WEST 10TH STREET KANSAS CITY, MO 64105	NONE	501 (C)(3)	EDUCATIONAL	1,000.
KANSAS CITY REPERTORY THEATER INC 4825 TROOST AVENUE, SUITE 106 KANSAS CITY, MO 64110	NONE	501 (C)(3)	ARTS, CULTURE & HUMANITIES	10,000.
KANSAS CITY SYMPHONY 1703 WYANDOTTE STREET, SUITE 200 KANSAS CITY, MO 64108	NONE	501 (C)(3)	ARTS, CULTURE & HUMANITIES	77,500.
KANSAS CITY YOUNG AUDIENCES 5601 WYANDOTTE KANSAS CITY, MO 64113	NONE	501 (C)(3)	ARTS, CULTURE & HUMANITIES	3,000.
Total from continuation sheets				

THE G KENNETH AND ANN BAUM PHILANTHROPIC
FUND

46-4198523

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
KANSAS LAND TRUST 16 EAST 13TH STREET LAWRENCE, KS 66044	NONE	501 (C)(3)	ENVIRONMENT	2,500.
KAUFFMAN CENTER FOR THE PERFORMING ARTS 1601 BROADWAY BOULEVARD KANSAS CITY, MO 64108	NONE	501 (C)(3)	ARTS, CULTURE & HUMANITIES	2,500.
KEMPER MUSEUM OPERATING FOUNDATION 4420 WARWICK BOULEVARD KANSAS CITY, MO 64111	NONE	501 (C)(3)	ARTS, CULTURE & HUMANITIES	5,000.
LINDA HALL LIBRARY FOUNDATION 5109- CHERRY STREET KANSAS CITY, MO 64110	NONE	501 (C)(3)	EDUCATIONAL	1,000.
LYRIC OPERA OF KANSAS CITY 1725 HOLMES STREET KANSAS CITY, MO 64108	NONE	501 (C)(3)	ARTS, CULTURE & HUMANITIES	30,000.
MAINSTREAM EDUCATION FOUNDATION 5960 DEARBORN STREET, SUITE 213 SHAWNEE MISSION, KS 66202	NONE	501 (C)(3)	CIVIL RIGHTS, SOCIAL ACTION & ADVOCACY	1,000.
MARTHA'S VINEYARD COMMUNITY SERVICES 111 EDGARTOWN ROAD VINEYARD HAVEN, MA 02568	NONE	501 (C)(3)	EARLY CHILDHOOD PROGRAMS	10,000.
MARTHA'S VINEYARD HEBREW CENTER PO BOX 692 VINEYARD HAVEN, MA 02568	NONE	501 (C)(3)	RELIGIOUS	3,000.
MARTHA'S VINEYARD SHELLFISH GROUP, INC BOX 1552 OAK BLUFFS, MA 02557	NONE	501 (C)(3)	ANIMAL WELFARE	150.
MAYO CLINIC 200 FIRST STREET, SW ROCHESTER, MN 55905	NONE	501 (C)(3)	HEALTH CARE	40,000.
Total from continuation sheets				

THE G KENNETH AND ANN BAUM PHILANTHROPIC
FUND

46-4198523

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
METROPOLITAN ORGANIZATION TO COUNTER SEXUAL ASSAULT 3100 BROADWAY, SUITE 400 KANSAS CITY, MO 64111	NONE	501 (C)(3)	HUMAN SERVICES	3,000.
MIDWEST CENTER FOR HOLOCAUST EDUCATION, INC 5801 W 115TH STREET, SUITE 106 OVERLAND PARK, KS 66211	NONE	501 (C)(3)	ARTS, CULTURE & HUMANITIES	10,000.
NELSON GALLERY FOUNDATION 4525 OAK STREET KANSAS CITY, MO 64111	NONE	501 (C)(3)	ARTS, CULTURE & HUMANITIES	317,200.
NEW REFORM TEMPLE 7100 MAIN STREET KANSAS CITY, MO 64114	NONE	501 (C)(3)	RELIGIOUS	125,750.
OPERATION BREAKTHROUGH, INC 3039 TROOST AVENUE KANSAS CITY, MO 64109	NONE	501 (C)(3)	HUMAN SERVICES	18,000.
PEMBROOKE HILL SCHOOL 400 W 51ST STREET KANSAS CITY, MO 64112	NONE	501 (C)(3)	EDUCATIONAL	1,350.
PLANNED PARENTHOOD OF KANSAS & MID MISSOURI 4401 W 109TH STREET, SUITE 200 OVERLAND PARK, KS 66211	NONE	501 (C)(3)	HEALTH CARE	43,750.
POLLY HILL ARBORETUM PO BOX 561 WEST TISBURY, MA 02575	NONE	501 (C)(3)	ENVIRONMENT	1,000.
POWELL GARDENS, INC 1609 NY US HIGHWAY 50 KINGSVILLE, MO 64061	NONE	501 (C)(3)	ENVIRONMENT	10,000.
REACHING OUT FROM WITHIN, INC PO BOX 8527 PRAIRIE VILLAGE, KS 66208	NONE	501 (C)(3)	CRIME & LEGAL RELATED	500.
Total from continuation sheets				

THE G KENNETH AND ANN BAUM PHILANTHROPIC
FUND

46-4198523

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
SHERIFF'S MEADOW FOUNDATION 57 DAVID AVENUE VINEYARD HAVEN, MA 02568	NONE	501 (C)(3)	CONSERVATION	2,200.
THE METROPOLITAN OPERA ASSOCIATION, INC 30 LINCOLN CENTER NEW YORK, NY 10023	NONE	501 (C)(3)	ARTS, CULTURE & HUMANITIES	3,750.
THE NATURE CONSERVANCY 205 PORTLAND STREET, SUITE 400 BOSTON, MA 02114	NONE	501 (C)(3)	ENVIRONMENT	2,600.
THE TEMPLE CONGREGATION B'NAI JEHUDAH 12320 NALL OVERLAND PARK, KS 66209	NONE	501 (C)(3)	RELIGIOUS	600.
UNITED WAY OF GREATER KANSAS CITY 1080 WASHINGTON STREET KANSAS CITY, MO 64105	NONE	501 (C)(3)	HUMAN SERVICES	2,000.
UNIVERSITY ACADEMY C/O FRIENDS OF UNIVERSITY ACADEMY 4049 PENNSYLVANIA AVENUE, SUITE 400 KANSAS CITY, MO 64111	NONE	501 (C)(3)	EDUCATIONAL	10,000.
UNIVERSITY OF MISSOURI - KANSAS CITY 511 OAK STREET, ROOM 229 KANSAS CITY, MO 64112	NONE	501 (C)(3)	EDUCATIONAL	62,500.
VILLAGE SHALOM, INC 5500 W 123RD STREET OVERLAND PARK, KS 66209	NONE	501 (C)(3)	HUMAN SERVICES	1,000.
VILLAGE SHALOM SUPPORTING FOUNDATION 5500 W 123RD STREET OVERLAND PARK, KS 66209	NONE	501 (C)(3)	HUMAN SERVICES	25,000.
VINEYARD CONSERVATION SOCIETY, INC PO BOX 2189 VINEYARD HAVEN, MA 02568	NONE	501 (C)(3)	ENVIRONMENT	500.
Total from continuation sheets				

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
WAYSIDE WAIFS, INC 3901 MARTHA TRUMAN ROAD KANSAS CITY, MO 64137	NONE	501 (C)(3)	ANIMAL WELFARE	2,500.
WOMEN'S EMPLOYMENT NETWORK 920 MAIN STREET, SUITE 100 KANSAS CITY, MO 64105	NONE	501 (C)(3)	EMPLOYMENT	5,500.
WOMEN'S FOUNDATION OF GREATER KANSAS CITY 2100 CENTRAL STREET, SUITE 11E KANSAS CITY, MO 64108	NONE	501 (C)(3)	COMMUNITY IMPROVEMENT & CAPACITY BUILDING	5,000.
YOUTH SYMPHONY ASSOCIATION OF KANSAS CITY, INC 209 W 18TH STREET KANSAS CITY, MO 64108	NONE	501 (C)(3)	ARTS, CULTURE & HUMANITIES	100.
COTERIE THEATRE 2450 GRAND BLVD STE 144 KANSAS CITY, MO 64108	NONE	501 (C)(3)	ARTS, CULTURE	1,500.
HIRE KC YOUTH FUND/GREATER KANSAS CITY COMMUNITY FOUNDATION 1055 BROADWAY BOULEVARD, SUITE 130 KANSAS CITY, MO 64105	NONE	501 (C)(3)	IMPROVE ECONOMIC AND SOCIAL OUTCOMES	10,000.
JEWISH NATIONAL FUND 78 RANDALL AVENUE ROCKVILLE CENTRE, NY 11570	NONE	501 (C)(3)	CULTURAL AND HUMANITIES	250.
BIKEWALK KC 4741 CENTRAL ST STE 161 KANSAS CITY, MO 64112	NONE	501 (C)(3)	CULTURAL AND ACTIVE LIVING	2,000.
ROSEDALE DEVELOPMENT ASSOCIATION 1403 SOUTHWEST BLVD KANSAS CITY, KS 66103	NONE	501 (C)(3)	HELPING COMMUNITY MEMBERS LIVE IN SAFE ENVIRONMENT	2,000.
THE LITERACY LAB 1003 K ST NW WASHINGTON, DC 20001	NONE	501 (C)(3)	EDUCATIONAL	2,000.
Total from continuation sheets				

THE G KENNETH AND ANN BAUM PHILANTHROPIC
FUND

46-4198523

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
KCUR 89.3 4825 TROOST AVENUE, SUITE 202 KANSAS CITY, MO 64110	NONE	501 (C)(3)	ARTS AND CULTURE	15,000.
ACLU FOUNDATION OF KANSAS 6701 WEST 64TH STREET, STE 210 OVERLAND PARK, KS 66202	NONE	501 (C)(3)	PREVENTION OF THREAT TO CONSTITUTIONAL LIBERTIES	2,000.
FACING HISTORY AND OURSELVES 16 HURD ROAD BROOKLINE, MA 02445	NONE	501 (C)(3)	EDUCATIONAL RESOURCES AND SUPPORT	200.
HIGH ASPIRATIONS 6320 BROOKSIDE PLZ #263 KANSAS CITY, MO 64113	NONE	501 (C)(3)	CULTURAL AND HUMANITIES	500.
NORTHEAST COMMUNITY CENTER 544 WABASH AVE KANSAS CITY, MO 64124	NONE	501 (C)(3)	EDUCATIONAL, CULTURAL AND SOCIAL OPPORTUNITIES	1,000.
BROOKLYN HEIGHTS SYNAGOGUE 131 REMSEN ST BROOKLYN, NY 11201	NONE	501 (C)(3)	RELIGIOUS	500.
PARTNERS IN HEALTH PO BOX 996 FREDERICK, MD 21705-9942	NONE	501 (C)(3)	HEALTHCARE	250.
KEROSENE LAMP FOUNDATION PO BOX 127 ORINDA, CA 94563	NONE	501 (C)(3)	EDUCATION AND HEALTH AWARENESS	1,000.
UMKC FOUNDATION/HENRY W BLOCH 5115 OAK STREET KANSAS CITY, MO 64112	NONE	501 (C)(3)	EDUCATION	1,000.
Total from continuation sheets				

Schedule B(Form 990, 990-EZ,
or 990-PF)Department of the Treasury
Internal Revenue Service**Schedule of Contributors**

- ▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.
▶ Go to www.irs.gov/Form990 for the latest information.

OMB No 1545-0047

2017

Name of the organization

THE G KENNETH AND ANN BAUM PHILANTHROPIC
FUND

Employer identification number

46-4198523

Organization type (check one)

Filers of:

Section:

Form 990 or 990-EZ

☐ 501(c)() (enter number) organization☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation☐ 501(c)(3) taxable private foundationCheck if your organization is covered by the **General Rule** or a **Special Rule**.**Note:** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.**General Rule**

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

- ☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000, or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year ▶ \$ _____

Caution: An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

LHA For Paperwork Reduction Act Notice, see the instructions for Form 990, 990-EZ, or 990-PF. Schedule B (Form 990, 990-EZ, or 990-PF) (2017)

Name of organization THE G KENNETH AND ANN BAUM PHILANTHROPIC FUND	Employer identification number 46-4198523
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Part I Contributors (see instructions) Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	G. KENNETH AND ANN BAUM 4801 MAIN STREET, SUITE 510 KANSAS CITY, MO 64112	\$ 500,000.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
2	G. KENNETH AND ANN BAUM 4801 MAIN STREET, SUITE 510 KANSAS CITY, MO 64112	\$ 660,913.	Person ☐ Payroll ☐ Noncash ☒ (Complete Part II for noncash contributions.)
3	G. KENNETH AND ANN BAUM 4801 MAIN STREET, SUITE 510 KANSAS CITY, MO 64112	\$ 103,654.	Person ☐ Payroll ☐ Noncash ☒ (Complete Part II for noncash contributions.)
4	G. KENNETH AND ANN BAUM 4801 MAIN STREET, SUITE 510 KANSAS CITY, MO 64112	\$ 85,113.	Person ☐ Payroll ☐ Noncash ☒ (Complete Part II for noncash contributions.)
5	G. KENNETH AND ANN BAUM 4801 MAIN STREET, SUITE 510 KANSAS CITY, MO 64112	\$ 34,241.	Person ☐ Payroll ☐ Noncash ☒ (Complete Part II for noncash contributions.)
6	G. KENNETH AND ANN BAUM 4801 MAIN STREET, SUITE 510 KANSAS CITY, MO 64112	\$ 25,398.	Person ☐ Payroll ☐ Noncash ☒ (Complete Part II for noncash contributions.)

Name of organization
**THE G KENNETH AND ANN BAUM PHILANTHROPIC
 FUND**

Employer identification number

46-4198523

Part I Contributors (see instructions). Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
7	G. KENNETH AND ANN BAUM 4801 MAIN STREET, SUITE 510 KANSAS CITY, MO 64112	\$ 34,520.	Person ☐ Payroll ☐ Noncash ☒ (Complete Part II for noncash contributions.)
8	G. KENNETH AND ANN BAUM 4801 MAIN STREET, SUITE 510 KANSAS CITY, MO 64112	\$ 59,730.	Person ☐ Payroll ☐ Noncash ☒ (Complete Part II for noncash contributions.)
9	G. KENNETH AND ANN BAUM 4801 MAIN STREET, SUITE 510 KANSAS CITY, MO 64112	\$ 55,923.	Person ☐ Payroll ☐ Noncash ☒ (Complete Part II for noncash contributions.)
10	G. KENNETH AND ANN BAUM 4801 MAIN STREET, SUITE 510 KANSAS CITY, MO 64112	\$ 20,083.	Person ☐ Payroll ☐ Noncash ☒ (Complete Part II for noncash contributions.)
11	G. KENNETH AND ANN BAUM 4801 MAIN STREET, SUITE 510 KANSAS CITY, MO 64112	\$ 46,527.	Person ☐ Payroll ☐ Noncash ☒ (Complete Part II for noncash contributions.)
12	G. KENNETH AND ANN BAUM 4801 MAIN STREET, SUITE 510 KANSAS CITY, MO 64112	\$ 17,569.	Person ☐ Payroll ☐ Noncash ☒ (Complete Part II for noncash contributions.)

Name of organization THE G KENNETH AND ANN BAUM PHILANTHROPIC FUND	Employer identification number 46-4198523
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Part I Contributors (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
13	G. KENNETH AND ANN BAUM 4801 MAIN STREET, SUITE 510 KANSAS CITY, MO 64112	\$ 6,242.	Person ☐ Payroll ☐ Noncash ☒ (Complete Part II for noncash contributions.)
14	G. KENNETH AND ANN BAUM 4801 MAIN STREET, SUITE 510 KANSAS CITY, MO 64112	\$ 5,688.	Person ☐ Payroll ☐ Noncash ☒ (Complete Part II for noncash contributions.)
15	G. KENNETH AND ANN BAUM 4801 MAIN STREET, SUITE 510 KANSAS CITY, MO 64112	\$ 114,091.	Person ☐ Payroll ☐ Noncash ☒ (Complete Part II for noncash contributions.)
16	G. KENNETH AND ANN BAUM 4801 MAIN STREET, SUITE 510 KANSAS CITY, MO 64112	\$ 40,319.	Person ☐ Payroll ☐ Noncash ☒ (Complete Part II for noncash contributions.)
17	G. KENNETH AND ANN BAUM 4801 MAIN STREET, SUITE 510 KANSAS CITY, MO 64112	\$ 29,245.	Person ☐ Payroll ☐ Noncash ☒ (Complete Part II for noncash contributions.)
18	G. KENNETH AND ANN BAUM 4801 MAIN STREET, SUITE 510 KANSAS CITY, MO 64112	\$ 21,353.	Person ☐ Payroll ☐ Noncash ☒ (Complete Part II for noncash contributions.)

Name of organization THE G KENNETH AND ANN BAUM PHILANTHROPIC FUND	Employer identification number 46-4198523
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Part I Contributors (see instructions). Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
19	G. KENNETH AND ANN BAUM 4801 MAIN STREET, SUITE 510 KANSAS CITY, MO 64112	\$ 34,929.	Person ☐ Payroll ☐ Noncash ☒ (Complete Part II for noncash contributions)
20	G. KENNETH AND ANN BAUM 4801 MAIN STREET, SUITE 510 KANSAS CITY, MO 64112	\$ 77,134.	Person ☐ Payroll ☐ Noncash ☒ (Complete Part II for noncash contributions.)
21	G. KENNETH AND ANN BAUM 4801 MAIN STREET, SUITE 510 KANSAS CITY, MO 64112	\$ 16,984.	Person ☐ Payroll ☐ Noncash ☒ (Complete Part II for noncash contributions)
22	G. KENNETH AND ANN BAUM 4801 MAIN STREET, SUITE 510 KANSAS CITY, MO 64112	\$ 19,926.	Person ☐ Payroll ☐ Noncash ☒ (Complete Part II for noncash contributions.)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)

Name of organization THE G KENNETH AND ANN BAUM PHILANTHROPIC FUND	Employer identification number 46-4198523
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Part II **Noncash Property** (see instructions) Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions.)	(d) Date received
<u>2</u>	682 SHARES AMAZON.COM INC COMMON STOCK _____ _____ _____	\$ <u>660,913.</u>	<u>08/17/17</u>
<u>3</u>	750 SHARES AON PLC COMMON STOCK _____ _____ _____	\$ <u>103,654.</u>	<u>08/17/17</u>
<u>4</u>	360 SHARES BOEING CO COMMON STOCK _____ _____ _____	\$ <u>85,113.</u>	<u>08/17/17</u>
<u>5</u>	233 SHARES CHUBB LIMITED COMMON STOCK _____ _____ _____	\$ <u>34,241.</u>	<u>08/17/17</u>
<u>6</u>	312 SHARES DU PONT E I DE NEMOURS & CO COMMON STOCK _____ _____ _____	\$ <u>25,398.</u>	<u>08/17/17</u>
<u>7</u>	1225 SHARES CORNING INC COMMON STOCK _____ _____ _____	\$ <u>34,520.</u>	<u>08/17/17</u>

Name of organization

THE G KENNETH AND ANN BAUM PHILANTHROPIC
FUND

Employer identification number

46-4198523

Part II Noncash Property (see instructions). Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions.)	(d) Date received
8	65 SHARES ALPHABET INC CAP STK CL C COMMON STOCK	\$ 59,730.	08/17/17
9	680 SHARES GENUINE PARTS CO COMMON STOCK	\$ 55,923.	08/17/17
10	150 SHARES JOHNSON & JOHNSON COMMON STOCK	\$ 20,083.	08/17/17
11	510 SHARES JPMORGAN CHASE & CO COMMON STOCK	\$ 46,527.	08/17/17
12	85 SHARES 3M COMPANY COMMON STOCK	\$ 17,569.	08/17/17
13	100 SHARES MERCK & CO INC COMMON STOCK	\$ 6,242.	08/17/17

Name of organization THE G KENNETH AND ANN BAUM PHILANTHROPIC FUND	Employer identification number 46-4198523
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Part II Noncash Property (see instructions). Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions.)	(d) Date received
<u>14</u>	125 SHARES MORGAN STANLEY COMMON STOCK	\$ <u>5,688.</u>	<u>08/17/17</u>
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions.)	(d) Date received
<u>15</u>	1,560 SHARES MICROSOFT CORP COMMON STOCK	\$ <u>114,091.</u>	<u>08/17/17</u>
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions.)	(d) Date received
<u>16</u>	270 SHARES NEXTERA ENERGY INC COMMON STOCK	\$ <u>40,319.</u>	<u>08/17/17</u>
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions.)	(d) Date received
<u>17</u>	250 SHARES PHILIP MORRIS INTL INC COMMON STOCK	\$ <u>29,245.</u>	<u>08/17/17</u>
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions.)	(d) Date received
<u>18</u>	120 SHARES RAYTHEON CO COMMON STOCK	\$ <u>21,353.</u>	<u>08/17/17</u>
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions.)	(d) Date received
<u>19</u>	595 SHARES UNILEVER NV NY COMMON STOCK	\$ <u>34,929.</u>	<u>08/17/17</u>

Name of organization

THE G KENNETH AND ANN BAUM PHILANTHROPIC
FUND

Employer identification number

46-4198523

Part II **Noncash Property** (see instructions). Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions.)	(d) Date received
20	750 SHARES VISA INC COMMON STOCK	\$ 77,134.	08/17/17
21	250 SHARES BERKLEY W R CORP COMMON STOCK	\$ 16,984.	08/17/17
22	278 SHARES WELLTOWER INC COMMON STOCK	\$ 19,926.	08/17/17
		\$	
		\$	
		\$	

Name of organization

THE G KENNETH AND ANN BAUM PHILANTHROPIC
FUND

Employer identification number

46-4198523

Part III

Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this info once) ▶ \$

Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee

FORM 990-PF

DIVIDENDS AND INTEREST FROM SECURITIES

STATEMENT 1

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
FLINT HILLS SQ OPPORTUNITY	10,633.	0.	10,633.	10,633.	
FLINT HILLS SQ OPPORTUNITY - INT	195.	0.	195.	195.	
SALIENT MLP TOTAL RETURN	9,987.	0.	9,987.	9,987.	
SALIENT MLP TOTAL RETURN - INT	7,491.	0.	7,491.	7,491.	
UMB FINANCIAL SERVICES - 004840	8,502.	0.	8,502.	8,502.	
UMB FINANCIAL SERVICES - 004841	75,376.	0.	75,376.	75,376.	
UMB FINANCIAL SERVICES - 004842	28,299.	348.	27,951.	27,951.	
UMB FINANCIAL SERVICES - 004843	45,596.	0.	45,596.	45,596.	
UMB FINANCIAL SERVICES - 004844	79,403.	36,189.	43,214.	43,214.	
TO PART I, LINE 4	265,482.	36,537.	228,945.	228,945.	

FORM 990-PF

OTHER INCOME

STATEMENT 2

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
UMB FINANCIAL SERVICES - RETURN OF CAPITAL	5,294.	0.	
MISCELLANEOUS INCOME	89.	0.	
SALIENT MLP TOTAL RETURN - OTHER LOSS	-82,369.	-82,369.	
TOTAL TO FORM 990-PF, PART I, LINE 11	-76,986.	-82,369.	

FORM 990-PF

ACCOUNTING FEES

STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
CBIZ	4,595.	2,297.		2,298.
TO FORM 990-PF, PG 1, LN 16B	4,595.	2,297.		2,298.

FORM 990-PF

TAXES

STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAX	2,327.	2,327.		0.
EXCISE TAX - BALANCE DUE PRIOR YEAR	1,201.	0.		0.
EXCISE TAX - ESTIMATES	1,240.	0.		0.
TO FORM 990-PF, PG 1, LN 18	4,768.	2,327.		0.

FORM 990-PF

OTHER EXPENSES

STATEMENT 5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT MANAGEMENT FEES	76,032.	76,032.		0.
ADVISOR FEES	68,937.	34,468.		34,469.
ADR FEES	43.	43.		0.
SALIENT MLP TOTAL RETURN - OTHER DEDUCTIONS	9,041.	9,041.		0.
FLINT HILLS SQ OPPORTUNITY - PORTFOLIO DEDUCTIONS	33,759.	33,759.		0.
IRS PENALTY	96.	0.		0.
TO FORM 990-PF, PG 1, LN 23	187,908.	153,343.		34,469.

FORM 990-PF	OTHER INCREASES IN NET ASSETS OR FUND BALANCES	STATEMENT 6
DESCRIPTION		AMOUNT
NON-TAXABLE ADJUSTMENT FOR CURRENT YEAR FROM PASS THRU'S		383,200.
TOTAL TO FORM 990-PF, PART III, LINE 3		383,200.

FORM 990-PF	OTHER DECREASES IN NET ASSETS OR FUND BALANCES	STATEMENT 7
DESCRIPTION		AMOUNT
ADJUSTMENT OF CONTRIBUTED STOCK TO BASIS FROM MARKET		817,683.
ADJUSTMENT FOR RETURN OF CAPITAL - COMMON STOCKS		13,495.
ADJUSTMENT TO REVERSE PRIOR YEAR ADJUSTMENTS		162,738.
TOTAL TO FORM 990-PF, PART III, LINE 5		993,916.

FORM 990-PF	CORPORATE STOCK	STATEMENT 8
DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
INVESTMENTS AT PRAIRIE CAPITAL	11,263,419.	14,335,553.
TOTAL TO FORM 990-PF, PART II, LINE 10B	11,263,419.	14,335,553.

FORM 990-PF	OTHER INVESTMENTS		STATEMENT 9
DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
SALIENT MLB TOTAL RETURN	COST	1,078,423.	1,078,423.
FLINT HILLS SQ OPPORTUNITY	COST	2,345,596.	2,345,596.
TOTAL TO FORM 990-PF, PART II, LINE 13		3,424,019.	3,424,019.

FORM 990-PF	INTEREST AND PENALTIES	STATEMENT 10
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TAX DUE FROM FORM 990-PF, PART VI	21,261.
UNDERPAYMENT PENALTY	13.
LATE PAYMENT INTEREST	317.
LATE PAYMENT PENALTY	425.
TOTAL AMOUNT DUE	22,016.

FORM 990-PF	LATE PAYMENT PENALTY	STATEMENT 11
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DESCRIPTION	DATE	AMOUNT	BALANCE	MONTHS	PENALTY
TAX DUE	05/15/18	22,501.	22,501.		
EXTENSION PAYMENT	05/15/18	-1,240.	21,261.	4	425.
DATE FILED	08/31/18		21,261.		
TOTAL LATE PAYMENT PENALTY					425.

FORM 990-PF	LATE PAYMENT INTEREST	STATEMENT 12
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DESCRIPTION	DATE	AMOUNT	BALANCE	RATE	DAYS	INTEREST
TAX DUE	05/15/18	22,501.	22,501.	.0500		
EXTENSION PAYMENT	05/15/18	-1,240.	21,261.	.0500	108	317.
DATE FILED	08/31/18		21,578.			
TOTAL LATE PAYMENT INTEREST						317.

FORM 990-PF

PART VIII - LIST OF OFFICERS, DIRECTORS
TRUSTEES AND FOUNDATION MANAGERS

STATEMENT 13

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
G. KENNETH BAUM 4801 MAIN STREET 500 KANSAS CITY, MO 64112	CLASS A TRUSTEE 1.00	0.	0.	0.
ANN KAUFMANN BAUM 4801 MAIN STREET 500 KANSAS CITY, MO 64112	CLASS A TRUSTEE 1.00	0.	0.	0.
BRUCE C. DAVISON 4801 MAIN STREET 500 KANSAS CITY, MO 64112	CLASS B TRUSTEE 1.00	0.	0.	0.
ANN DRAKE 4801 MAIN STREET 500 KANSAS CITY, MO 64112	CLASS B TRUSTEE 1.00	0.	0.	0.
BARBARA HEAD 4801 MAIN STREET 500 KANSAS CITY, MO 64112	CLASS B TRUSTEE 1.00	0.	0.	0.

TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII

0.	0.	0.
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