



Form **990-PF**

**Return of Private Foundation**  
or Section 4947(a)(1) Trust Treated as Private Foundation

OMB No 1545-0052

**2017**

Open to Public Inspection

Department of the Treasury  
Internal Revenue Service

Do not enter social security numbers on this form as it may be made public.  
Go to [www.irs.gov/Form990PF](http://www.irs.gov/Form990PF) for instructions and the latest information.

For calendar year 2017 or tax year beginning , and ending

Name of foundation  
**DONALD E DAU CHARITABLE TRUST**

Number and street (or P O box number if mail is not delivered to street address) Room/suite  
**P O BOX 1501, NJ2-130-03-31**

City or town, state or province, country, and ZIP or foreign postal code  
**PENNINGTON NJ 08534-1501**

Foreign country name Foreign province/state/county Foreign postal code

**A Employer identification number**  
46-0632784

**B Telephone number (see instructions)**  
609-274-6834

**C If exemption application is pending, check here**  b

**D 1. Foreign organizations, check here**   
**2. Foreign organizations meeting the 85% test, check here and attach computation**

**E If private foundation status was terminated under section 507(b)(1)(A), check here**

**F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here**

**G Check all that apply**  Initial return  Initial return of a former public charity  
 Final return  Amended return  
 Address change  Name change

**H Check type of organization**  Section 501(c)(3) exempt private foundation **OV**  
 Section 4947(a)(1) nonexempt charitable trust  Other taxable private foundation

**I Fair market value of all assets at end of year (from Part II, col (c), line 16)** \$ 1,967,200  
**J Accounting method**  Cash  Accrual  
 Other (specify) \_\_\_\_\_ (Part I, column (d) must be on cash basis)

3  
4  
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Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)				
	2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	50,843	50,533		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	104,631			
	b Gross sales price for all assets on line 6a 480,045				
	7 Capital gain net income (from Part IV, line 2)		104,631		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule)					
12 Total. Add lines 1 through 11	155,474	155,164	0		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	23,476	9,390		14,086
	14 Other employee salaries and wages				
	15 Pension plans employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)	2,500			2,500
	c Other professional fees (attach schedule)				
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	528	101		
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)	15			15
	24 Total operating and administrative expenses. Add lines 13 through 23	26,519	9,491	0	16,601
	25 Contributions, gifts, grants paid	223,581			223,581
26 Total expenses and disbursements. Add lines 24 and 25.	250,100	9,491	0	240,182	
27 Subtract line 26 from line 12					
a Excess of revenue over expenses and disbursements	-94,626				
b Net investment income (if negative, enter -0-)		145,673			
c Adjusted net income (if negative, enter -0-)			0		

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)			
		Beginning of year (a) Book Value	End of year (b) Book Value (c) Fair Market Value		
Assets	1	Cash—non-interest-bearing	1,352	-221,415	-221,415
	2	Savings and temporary cash investments	10,099	230,123	230,123
	3	Accounts receivable ▶			
		Less allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶			
		Less allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)	847,061	769,105	1,264,849
	c	Investments—corporate bonds (attach schedule)			
	11	Investments—land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶				
12	Investments—mortgage loans				
13	Investments—other (attach schedule)	625,064	608,829	693,643	
14	Land, buildings, and equipment basis ▶				
	Less accumulated depreciation (attach schedule) ▶				
15	Other assets (describe ▶)				
16	<b>Total assets</b> (to be completed by all filers—see the instructions Also, see page 1, item I)	1,483,576	1,386,642	1,967,200	
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	<b>Total liabilities</b> (add lines 17 through 22)	0	0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/>				
	and complete lines 24 through 26, and lines 30 and 31.				
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/>				
	and complete lines 27 through 31.				
27	Capital stock, trust principal, or current funds	1,483,576	1,386,642		
28	Paid-in or capital surplus, or land, bldg, and equipment fund				
29	Retained earnings, accumulated income, endowment, or other funds				
30	<b>Total net assets or fund balances</b> (see instructions)	1,483,576	1,386,642		
31	<b>Total liabilities and net assets/fund balances</b> (see instructions)	1,483,576	1,386,642		

Part III Analysis of Changes in Net Assets or Fund Balances		
1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1,483,576
2	Enter amount from Part I, line 27a	-94,626
3	Other increases not included in line 2 (itemize) ▶ See Attached Statement	239
4	Add lines 1, 2, and 3	1,389,189
5	Decreases not included in line 2 (itemize) ▶ COST BASIS ADJUSTMENT	2,547
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	1,386,642

**Part IV Capital Gains and Losses for Tax on Investment Income**

a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
<b>1a</b>	See Attached Statement			
<b>b</b>				
<b>c</b>				
<b>d</b>				
<b>e</b>				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
<b>a</b>				
<b>b</b>				
<b>c</b>				
<b>d</b>				
<b>e</b>				
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))	
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any		
<b>a</b>				
<b>b</b>				
<b>c</b>				
<b>d</b>				
<b>e</b>				
<b>2</b>	Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	<b>2</b>	104,631
<b>3</b>	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) See instructions If (loss), enter -0- in Part I, line 8	{ }	<b>3</b>	0

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?  Yes  No  
If "Yes," the foundation doesn't qualify under section 4940(e) Do not complete this part

**1** Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2016	77,235	1,781,487	0.043354
2015	80,191	1,769,501	0.045318
2014	93,382	1,797,505	0.051951
2013	52,143	1,661,073	0.031391
2012	12,427	1,532,893	0.008107
<b>2</b>	Total of line 1, column (d)		<b>2</b> 0.180121
<b>3</b>	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years		<b>3</b> 0.036024
<b>4</b>	Enter the net value of noncharitable-use assets for 2017 from Part X, line 5		<b>4</b> 1,965,325
<b>5</b>	Multiply line 4 by line 3		<b>5</b> 70,799
<b>6</b>	Enter 1% of net investment income (1% of Part I, line 27b)		<b>6</b> 1,457
<b>7</b>	Add lines 5 and 6		<b>7</b> 72,256
<b>8</b>	Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions		<b>8</b> 240,182

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)**

<b>1a</b>	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)			
<b>b</b>	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b	<b>1</b>	1,457	
<b>c</b>	All other domestic foundations enter 2% of line 27b Exempt foreign organizations, enter 4% of Part I, line 12, col (b)			
<b>2</b>	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-)	<b>2</b>	0	
<b>3</b>	Add lines 1 and 2	<b>3</b>	1,457	
<b>4</b>	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-)	<b>4</b>		
<b>5</b>	<b>Tax based on investment income.</b> Subtract line 4 from line 3. If zero or less, enter -0-	<b>5</b>	1,457	
<b>6</b>	<b>Credits/Payments</b>			
<b>a</b>	2017 estimated tax payments and 2016 overpayment credited to 2017	<b>6a</b>	427	
<b>b</b>	Exempt foreign organizations—tax withheld at source	<b>6b</b>		
<b>c</b>	Tax paid with application for extension of time to file (Form 8868)	<b>6c</b>		
<b>d</b>	Backup withholding erroneously withheld	<b>6d</b>		
<b>7</b>	Total credits and payments Add lines 6a through 6d	<b>7</b>	427	
<b>8</b>	Enter any <b>penalty</b> for underpayment of estimated tax Check here <input type="checkbox"/> if Form 2220 is attached	<b>8</b>		
<b>9</b>	<b>Tax due.</b> If the total of lines 5 and 8 is more than line 7, enter <b>amount owed</b>	<b>9</b>	1,030	
<b>10</b>	<b>Overpayment.</b> If line 7 is more than the total of lines 5 and 8, enter the <b>amount overpaid</b>	<b>10</b>	0	
<b>11</b>	Enter the amount of line 10 to be <b>Credited to 2018 estimated tax</b> <b>Refunded</b>	<b>11</b>	0	

**Part VII-A Statements Regarding Activities**

	Yes	No
<b>1a</b> During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
<b>b</b> Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition If the answer is "Yes" to <b>1a</b> or <b>1b</b> , attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
<b>c</b> Did the foundation file <b>Form 1120-POL</b> for this year?		X
<b>d</b> Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ▶ \$ _____ (2) On foundation managers ▶ \$ _____		
<b>e</b> Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ \$ _____		
<b>2</b> Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
<b>3</b> Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
<b>4a</b> Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
<b>b</b> If "Yes," has it filed a tax return on <b>Form 990-T</b> for this year?	N/A	
<b>5</b> Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by <i>General Instruction T</i>		X
<b>6</b> Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
<b>7</b> Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
<b>8a</b> Enter the states to which the foundation reports or with which it is registered. See instructions IL		
<b>b</b> If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by <i>General Instruction G</i> ? If "No," attach explanation	X	
<b>9</b> Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2017 or the tax year beginning in 2017? See the instructions for Part XIV. If "Yes," complete Part XIV		X
<b>10</b> Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

<b>Part VII-A Statements Regarding Activities (continued)</b>		Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule See instructions		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement See instructions		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶ N/A	X	
14	The books are in care of ▶ MLTC, A DIVISION OF BANK OF AMERICA, N A Telephone no ▶ 609-274-6834 Located at ▶ 1300 MERRILL LYNCH DRIVE PENNINGTON NJ ZIP+4 ▶ 08534-1501		
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041—check here and enter the amount of tax-exempt interest received or accrued during the year ▶ 15		<input type="checkbox"/>
16	At any time during calendar year 2017, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114 If "Yes," enter the name of the foreign country ▶		X

<b>Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required</b>		Yes	No
<b>File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.</b>			
1a	During the year, did the foundation (either directly or indirectly)		
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions Organizations relying on a current notice regarding disaster assistance, check here ▶ <input type="checkbox"/>	1b	X
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2017?	1c	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a	At the end of tax year 2017, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2017? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ▶ 20____, 20____, 20____, 20____		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions)	2b	N/A
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If "Yes," did it have excess business holdings in 2017 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2017)	3b	N/A
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2017?	4b	X

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**

**5a** During the year, did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?  Yes  No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?  Yes  No

(3) Provide a grant to an individual for travel, study, or other similar purposes?  Yes  No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions  Yes  No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?  Yes  No

**b** If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions **5b** N/A

Organizations relying on a current notice regarding disaster assistance, check here

**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?  Yes  No N/A

If "Yes," attach the statement required by Regulations section 53.4945–5(d)

**6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?  Yes  No

**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** X

If "Yes" to 6b, file Form 8870

**7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?  Yes  No

**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? **7b** N/A

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

**1 List all officers, directors, trustees, and foundation managers and their compensation. See instructions.**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
MLTC, A DIVISION OF BANK OF AMERICA, N.A. P O Box 1501, NJ2-130-03-31 Pennington, NJ 08534-	CO-TRUSTEE	2 00	23,476	
.....				
.....				
.....				

**2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."**

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE.....				
.....				
.....				
.....				
.....				

Total number of other employees paid over \$50,000 ▶ 0

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**

**3 Five highest-paid independent contractors for professional services. See instructions. If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
<b>Total number of others receiving over \$50,000 for professional services</b>		▶

**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 NONE	
2	
3	
4	

**Part IX-B Summary of Program-Related Investments (see instructions)**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount	
1 NONE		
2		
All other program-related investments See instructions		
3 NONE		
<b>Total. Add lines 1 through 3</b>		▶ 0

**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
<b>a</b>	Average monthly fair market value of securities	<b>1a</b>	1,969,179
<b>b</b>	Average of monthly cash balances	<b>1b</b>	26,075
<b>c</b>	Fair market value of all other assets (see instructions)	<b>1c</b>	
<b>d</b>	<b>Total</b> (add lines 1a, b, and c)	<b>1d</b>	1,995,254
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	<b>1e</b>	
<b>2</b>	Acquisition indebtedness applicable to line 1 assets	<b>2</b>	
<b>3</b>	Subtract line 2 from line 1d	<b>3</b>	1,995,254
<b>4</b>	Cash deemed held for charitable activities Enter 1½ % of line 3 (for greater amount, see instructions)	<b>4</b>	29,929
<b>5</b>	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3 Enter here and on Part V, line 4	<b>5</b>	1,965,325
<b>6</b>	<b>Minimum investment return.</b> Enter 5% of line 5	<b>6</b>	98,266

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here  and do not complete this part )

<b>1</b>	Minimum investment return from Part X, line 6	<b>1</b>	98,266
<b>2a</b>	Tax on investment income for 2017 from Part VI, line 5	<b>2a</b>	1,457
<b>b</b>	Income tax for 2017 (This does not include the tax from Part VI )	<b>2b</b>	
<b>c</b>	Add lines 2a and 2b	<b>2c</b>	1,457
<b>3</b>	Distributable amount before adjustments Subtract line 2c from line 1	<b>3</b>	96,809
<b>4</b>	Recoveries of amounts treated as qualifying distributions	<b>4</b>	
<b>5</b>	Add lines 3 and 4	<b>5</b>	96,809
<b>6</b>	Deduction from distributable amount (see instructions)	<b>6</b>	
<b>7</b>	<b>Distributable amount as adjusted</b> Subtract line 6 from line 5 Enter here and on Part XIII, line 1	<b>7</b>	96,809

**Part XII Qualifying Distributions** (see instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
<b>a</b>	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	<b>1a</b>	240,182
<b>b</b>	Program-related investments—total from Part IX-B	<b>1b</b>	
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	<b>2</b>	
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the		
<b>a</b>	Suitability test (prior IRS approval required)	<b>3a</b>	
<b>b</b>	Cash distribution test (attach the required schedule)	<b>3b</b>	
<b>4</b>	<b>Qualifying distributions.</b> Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	<b>4</b>	240,182
<b>5</b>	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b See instructions	<b>5</b>	1,457
<b>6</b>	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4	<b>6</b>	238,725

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

**Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2016	(c) 2016	(d) 2017
<b>1</b> Distributable amount for 2017 from Part XI, line 7				96,809
<b>2</b> Undistributed income, if any, as of the end of 2017				
<b>a</b> Enter amount for 2016 only			70,815	
<b>b</b> Total for prior years 20____, 20____, 20____				
<b>3</b> Excess distributions carryover, if any, to 2017				
<b>a</b> From 2012				
<b>b</b> From 2013				
<b>c</b> From 2014				
<b>d</b> From 2015				
<b>e</b> From 2016				
<b>f</b> Total of lines 3a through e	0			
<b>4</b> Qualifying distributions for 2017 from Part XII, line 4: $\$$ <u>240,182</u>				
<b>a</b> Applied to 2016, but not more than line 2a			70,815	
<b>b</b> Applied to undistributed income of prior years (Election required—see instructions)				
<b>c</b> Treated as distributions out of corpus (Election required—see instructions)				
<b>d</b> Applied to 2017 distributable amount				96,809
<b>e</b> Remaining amount distributed out of corpus	72,558			
<b>5</b> Excess distributions carryover applied to 2017 (If an amount appears in column (d), the same amount must be shown in column (a))				
<b>6</b> Enter the net total of each column as indicated below:				
<b>a</b> Corpus Add lines 3f, 4c, and 4e Subtract line 5	72,558			
<b>b</b> Prior years' undistributed income Subtract line 4b from line 2b		0		
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
<b>d</b> Subtract line 6c from line 6b Taxable amount—see instructions				
<b>e</b> Undistributed income for 2016 Subtract line 4a from line 2a Taxable amount—see instructions			0	
<b>f</b> Undistributed income for 2017 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2018				0
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required—see instructions)				
<b>8</b> Excess distributions carryover from 2012 not applied on line 5 or line 7 (see instructions)				
<b>9</b> Excess distributions carryover to 2018. Subtract lines 7 and 8 from line 6a	72,558			
<b>10</b> Analysis of line 9				
<b>a</b> Excess from 2013				
<b>b</b> Excess from 2014				
<b>c</b> Excess from 2015				
<b>d</b> Excess from 2016				
<b>e</b> Excess from 2017	72,558			



**Part XV Supplementary Information (continued)**

**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<p><b>a</b> <i>Paid during the year</i> See Attached Statement</p>				
<b>Total</b>				▶ <b>3a</b> 223,581
<p><b>b</b> <i>Approved for future payment</i> NONE</p>				
<b>Total</b>				▶ <b>3b</b> 0





DONALD E DAU CHARITABLE TRUST

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**Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year**

Recipient(s) paid during the year

<b>Name</b> MIDLAND UNIVERSITY			
<b>Street</b> 900 N CLARKSON ST			
<b>City</b> FREMONT	<b>State</b> NE	<b>Zip Code</b> 68025	<b>Foreign Country</b>
<b>Relationship</b> NONE	<b>Foundation Status</b> PC		
<b>Purpose of grant/contribution</b> GENERAL OPERATING			<b>Amount</b> 223,581

<b>Name</b>			
<b>Street</b>			
<b>City</b>	<b>State</b>	<b>Zip Code</b>	<b>Foreign Country</b>
<b>Relationship</b>	<b>Foundation Status</b>		
<b>Purpose of grant/contribution</b>			<b>Amount</b>

<b>Name</b>			
<b>Street</b>			
<b>City</b>	<b>State</b>	<b>Zip Code</b>	<b>Foreign Country</b>
<b>Relationship</b>	<b>Foundation Status</b>		
<b>Purpose of grant/contribution</b>			<b>Amount</b>

<b>Name</b>			
<b>Street</b>			
<b>City</b>	<b>State</b>	<b>Zip Code</b>	<b>Foreign Country</b>
<b>Relationship</b>	<b>Foundation Status</b>		
<b>Purpose of grant/contribution</b>			<b>Amount</b>

<b>Name</b>			
<b>Street</b>			
<b>City</b>	<b>State</b>	<b>Zip Code</b>	<b>Foreign Country</b>
<b>Relationship</b>	<b>Foundation Status</b>		
<b>Purpose of grant/contribution</b>			<b>Amount</b>

<b>Name</b>			
<b>Street</b>			
<b>City</b>	<b>State</b>	<b>Zip Code</b>	<b>Foreign Country</b>
<b>Relationship</b>	<b>Foundation Status</b>		
<b>Purpose of grant/contribution</b>			<b>Amount</b>

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Part I, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

Amount		Totals		Gross		Cost or Other Basis		Expense		Net Gain			
Long Term CG Distributions	9,024	Capital Gains/Losses	480,045	Sales	480,045	Deprecation and Adjustments	375,414	Improvements	Deprecation	Adjustments	or Loss		
Short Term CG Distributions	0	Other sales	0										
Description	CUSIP #	Check "X" to include in Part IV	Check "X" if Purchaser is a Business	Acquisition Method	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Valuation Method	Expense of Sale and Cost of Improvements	Deprecation	Adjustments	Net Gain or Loss
1	PEPSICO INC	713448108	X		4/1/2016	12/22/2017	474	408				0	66
2	PEPSICO INC	713448108	X		1/8/2016	12/22/2017	474	392				0	82
3	PEPSICO INC	713448108	X		5/1/2012	12/22/2017	4,266	2,472				0	1,794
4	PRINCIPAL GLOBAL	74254V232	X		10/8/2013	12/22/2017	3,394	3,396				0	66
5	PRINCIPAL GLOBAL	74254V232	X		10/8/2013	12/22/2017	6	6				0	1
6	PRINCIPAL GLOBAL	74254V232	X		11/21/2017	12/22/2017	1	1				0	0
7	PRINCIPAL GLOBAL REAL	74254V273	X		11/21/2017	12/22/2017	2	2				0	0
8	PRINCIPAL GLOBAL REAL	74254V273	X		3/12/2015	12/22/2017	2,995	2,936				0	59
9	PRINCIPAL GLOBAL REAL	74254V273	X		3/12/2015	12/22/2017	3	3				0	0
10	PROCTER & GAMBLE CO	742718109	X		2/17/2016	2/14/2017	527	490				0	37
11	PROCTER & GAMBLE CO	742718109	X		5/11/2012	2/14/2017	4,747	3,401				0	1,346
12	PROCTER & GAMBLE CO	742718109	X		6/11/2012	5/12/2017	17,716	12,573				0	4,743
13	PROCTER & GAMBLE CO	742718109	X		6/11/2012	12/22/2017	3,499	2,393				0	1,106
14	SPDR US DIVDND ARISTOCR	78464A763	X		12/30/2015	8/18/2017	880	747				0	133
15	SPDR US DIVDND ARISTOCR	78464A763	X		9/29/2015	8/18/2017	88	77				0	11
16	SPDR US DIVDND ARISTOCR	78464A763	X		4/29/2015	8/18/2017	88	73				0	15
17	SPDR US DIVDND ARISTOCR	78464A763	X		1/2/2014	8/18/2017	1,056	864				0	192
18	SPDR US DIVDND ARISTOCR	78464A763	X		10/10/2013	8/18/2017	20,154	15,803				0	4,351
19	SPDR US DIVDND ARISTOCR	78464A763	X		10/10/2013	8/18/2017	88	69				0	19
20	SPDR US DIVDND ARISTOCR	78464A763	X		12/30/2015	9/25/2017	8	7				0	1
21	VENTAS INC	92276F100	X		6/8/2017	12/22/2017	2,282	2,521				0	-239
22	VERIZON COMMUNICATNS C	92343V104	X		2/2/2016	12/22/2017	319	303				0	16
23	KRAFT (THE) HEINZ CO SHS	500754106	X		1/3/2015	12/22/2017	78	62				0	16
24	KRAFT (THE) HEINZ CO SHS	500754106	X		1/19/2016	12/22/2017	156	136				0	20
25	LORD ABBETT ALPHA	543915532	X		11/21/2017	12/22/2017	13	15				0	2
26	LORD ABBETT ALPHA	543915532	X		6/11/2012	12/22/2017	10	8				0	2
27	LORD ABBETT ALPHA	543915532	X		6/11/2012	12/22/2017	1,977	1,672				0	305
28	LORD ABBETT BOND	544004609	X		11/21/2017	12/22/2017	0	0				0	0
29	LORD ABBETT BOND	544004609	X		6/11/2012	12/22/2017	2,000	1,881				0	119
30	MCDONALDS CORP COM	580135101	X		3/18/2016	2/14/2017	502	494				0	8
31	MCDONALDS CORP COM	580135101	X		6/11/2012	2/14/2017	4,519	3,164				0	1,355
32	MCDONALDS CORP COM	580135101	X		6/11/2012	12/22/2017	6,167	3,164				0	3,003
33	MERCK AND CO INC SHS	58933Y105	X		4/8/2016	4/6/2017	63	55				0	8
34	MERCK AND CO INC SHS	58933Y105	X		4/8/2016	4/6/2017	190	167				0	23
35	MERCK AND CO INC SHS	58933Y105	X		1/11/2016	4/6/2017	294	205				0	49
36	MERCK AND CO INC SHS	58933Y105	X		7/9/2015	4/6/2017	63	58				0	5
37	MERCK AND CO INC SHS	58933Y105	X		1/9/2014	4/6/2017	1,332	1,043				0	289
38	MERCK AND CO INC SHS	58933Y105	X		6/14/2012	4/6/2017	317	193				0	124
39	MERCK AND CO INC SHS	58933Y105	X		6/11/2012	4/6/2017	26,698	16,253				0	10,445
40	MERCK AND CO INC SHS	58933Y105	X		6/11/2012	4/6/2017	63	39				0	24
41	MERCK AND CO INC SHS	58933Y105	X		4/29/2016	4/24/2017	3	0				0	0
42	VOYA MIDCAP	92913K850	X		1/3/2013	12/22/2017	15	15				0	0
43	VOYA MIDCAP	92913K850	X		1/3/2013	12/22/2017	4	3				0	1
44	VOYA MIDCAP	92913K850	X		1/3/2013	12/22/2017	2,283	1,958				0	325
45	VOYA INTERMEDIATE	92913L684	X		11/21/2017	12/22/2017	4	4				0	0
46	VOYA INTERMEDIATE	92913L684	X		1/23/2014	12/22/2017	1,996	1,952				0	44
47	VOYA INTERMEDIATE	92913L684	X		1/23/2014	12/22/2017	0	0				0	0
48	VOYA GLOBAL BOND FUND	92914A851	X		2/14/2017	11/21/2017	6,213	5,977				0	236
49	VERIZON COMMUNICATNS C	92343V104	X		2/25/2011	12/22/2017	1,914	1,288				0	626
50	VOYA GLOBAL BOND FUND	92914A851	X		1/6/2014	11/21/2017	7,952	7,840				0	112
51	VOYA GLOBAL BOND FUND	92914A851	X		5/14/2012	11/21/2017	368	359				0	9
52	VOYA GLOBAL BOND FUND	92914A851	X		6/14/2012	11/21/2017	10	10				0	0
53	VOYA GLOBAL BOND FUND	92914A851	X		6/11/2012	11/21/2017	9	9				0	0
54	VOYA GLOBAL BOND FUND	92914A851	X		6/11/2012	11/21/2017	37,464	38,451				0	-1,013
55	WELLS FARGO & CO NEW DS	949746101	X		3/2/2016	12/22/2017	431	341				0	90
56	WELLS FARGO & CO NEW DS	949746101	X		1/24/2013	12/22/2017	2,278	1,308				0	970
57	MEDTRONIC PLC SHS	59690L103	X		10/26/2017	12/22/2017	1	1				0	0
58	MICROSOFT CORP	594918104	X		3/1/2016	12/22/2017	428	264				0	164
59	MICROSOFT CORP	594918104	X		12/11/2015	12/22/2017	428	273				0	155
60	MICROSOFT CORP	594918104	X		9/1/2015	12/22/2017	514	259				0	255
61	MICROSOFT CORP	594918104	X		6/12/2015	12/22/2017	86	0				0	86
62	MICROSOFT CORP	594918104	X		6/12/2015	12/22/2017	428	231				0	197
63	MICROSOFT CORP	594918104	X		3/13/2015	12/22/2017	514	244				0	270
64	MICROSOFT CORP	594918104	X		6/11/2012	12/22/2017	2,140	735				0	1,405
65	MICROSOFT CORP	594918104	X		6/11/2012	12/22/2017	86	79				0	7
66	KIMBERLY CLARK	494368103	X		1/6/2016	5/12/2017	128	128				0	0
67	KIMBERLY CLARK	494368103	X		6/11/2012	5/12/2017	8,307	5,076				0	3,231
68	KIMBERLY CLARK	494368103	X		6/11/2012	5/12/2017	128	78				0	50
69	INTEL CORP	458140100	X		12/22/2015	12/22/2017	48	35				0	11
70	INTEL CORP	458140100	X		3/1/2013	12/22/2017	2,588	1,216				0	1,470
71	INTL BUSINESS MACHINES	458200101	X		3/1/2016	12/22/2017	153	142				0	11
72	KIMBERLY CLARK	494368103	X		6/11/2012	12/22/2017	2,171	1,406				0	765

Part I, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

Amount		Totals		Gross Sales		Cost or Other Basis, Expenses, Depreciation and Adjustments		Net Gain or Loss					
Long Term CG Distributions	9,024	Capital Gains/Losses	480,045	375,414	104,631	Other sales	0	0	0				
Short Term CG Distributions	0		0										
Description	CUSIP #	Check "X" to include in Part IV	Check "X" if Purchaser is a Business	Acquisition Method	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Valuation Method	Expense of Sale and Cost of Improvements	Depreciation	Adjustments	Net Gain or Loss
73 KRAFT (THE) HEINZ CO SHS	500754106	X			1/6/2015	12/22/2017	2,034	1,602					432
74 INTL BUSINESS MACHINES	459200101	X			6/1/2012	12/22/2017	153	190					-37
75 INTL BUSINESS MACHINES	459200101	X			6/1/2012	12/22/2017	3,660	4,691					-1,031
76 INTL BUSINESS MACHINES	459200101	X			6/1/2012	12/22/2017	152	195					-43
77 ISHARES RUSSELL MIDCAP	464287499	X			1/3/2013	12/22/2017	1,872	1,047					825
78 JOHNSON AND JOHNSON C	478180104	X			3/9/2016	12/22/2017	420	321					99
79 JOHNSON AND JOHNSON C	478180104	X			12/9/2015	12/22/2017	420	306					114
80 JOHNSON AND JOHNSON C	478180104	X			2/25/2011	12/22/2017	2,663	1,144					1,519
81 KIMBERLY CLARK	494358103	X			4/5/2016	5/12/2017	128	136					-8
82 MEDTRONIC PLC SHS	G5960L103	X			1/19/2017	12/22/2017	31	28					3
83 MEDTRONIC PLC SHS	G5960L103	X			1/20/2016	12/22/2017	82	74					8
84 MEDTRONIC PLC SHS	G5960L103	X			1/28/2015	12/22/2017	1,876	1,740					136
85 MEDTRONIC PLC SHS	G5960L103	X			1/28/2015	12/22/2017	50	47					3
86 MEDTRONIC PLC SHS	G5960L103	X			1/28/2015	12/22/2017	82	76					6
87 VOYA GLOBAL BOND FUND	92914A851	X			1/9/2014	11/21/2017	10	10					0
88 VOYA GLOBAL BOND FUND	92914A851	X			1/9/2014	11/21/2017	2,316	2,281					35
89 ABBVIE INC SHS	00287Y109	X			1/6/2014	4/6/2017	8,123	6,321					1,802
90 ABBVIE INC SHS	00287Y109	X			6/14/2012	4/6/2017	195	96					99
91 ABBVIE INC SHS	00287Y109	X			1/9/2014	4/6/2017	1,365	1,057					308
92 ABBVIE INC SHS	00287Y109	X			6/1/2012	4/6/2017	18,780	9,324					9,456
93 ABBVIE INC SHS	00287Y109	X			5/18/2015	4/6/2017	65	75					-10
94 ABBVIE INC SHS	00287Y109	X			2/17/2016	4/6/2017	260	217					43
95 ABBVIE INC SHS	00287Y109	X			6/11/2012	4/6/2017	65	32					33
96 ABBVIE INC SHS	00287Y109	X			2/17/2016	4/24/2017	21	18					3
97 BERKSHIRE HATHAWAY INC	084670702	X			6/11/2012	12/22/2017	7,333	3,004					4,329
98 BLACKROCK INC	09247X101	X			6/11/2012	12/22/2017	5,123	1,796					3,327
99 BLACKROCK INC	09247X101	X			6/11/2012	12/22/2017	512	174					338
100 BLACKROCK INC	09247X101	X			6/11/2012	12/22/2017	512	174					338
101 BLACKROCK EMERG MARKET	09256H260	X			1/9/2014	7/13/2017	9	10					-1
102 BLACKROCK EMERG MARKET	09256H260	X			2/14/2017	7/13/2017	5	5					0
103 BLACKROCK EMERG MARKET	09256H260	X			1/9/2014	7/13/2017	1,730	1,604					126
104 BLACKROCK EMERG MARKET	09256H260	X			2/15/2017	7/13/2017	1	0					1
105 BLACKROCK EMERG MARKET	09256H260	X			2/14/2017	7/13/2017	9	10					-1
106 BLACKROCK EMERG MARKET	09256H260	X			4/9/2015	7/13/2017	24,310	26,126					-1,816
107 BLACKROCK EMERG MARKET	09256H260	X			1/6/2014	7/13/2017	9,902	9,181					721
108 AMERICAN BOND FD OF	097873822	X			12/19/2016	12/22/2017	4	4					0
109 AMERICAN BOND FD OF	097873822	X			11/21/2017	12/22/2017	6	6					0
110 AMERICAN BOND FD OF	097873822	X			12/19/2016	12/22/2017	1,990	1,961					29
111 CATERPILAR INC DEL	149123101	X			2/25/2011	12/22/2017	4,200	2,751					1,449
112 CATERPILAR INC DEL	149123101	X			2/25/2011	12/22/2017	156	102					54
113 CATERPILAR INC DEL	149123101	X			2/25/2016	12/22/2017	311	134					177
114 CATERPILAR INC DEL	149123101	X			2/25/2011	12/22/2017	156	107					49
115 CLEARBRIDGE ENERGY MLP	184692101	X			6/14/2012	6/8/2017	93	98					-5
116 CLEARBRIDGE ENERGY MLP	184692101	X			1/9/2014	6/8/2017	493	697					-204
117 CLEARBRIDGE ENERGY MLP	184692101	X			6/1/2012	6/8/2017	9,421	10,223					-802
118 COCA COLA COM	191216100	X			6/11/2012	12/22/2017	2,051	1,698					353
119 COCA COLA COM	191216100	X			6/11/2012	12/22/2017	46	38					8
120 COCA COLA COM	191216100	X			4/4/2016	12/22/2017	273	281					-8
121 COCA COLA COM	191216100	X			12/16/2015	12/22/2017	273	260					13
122 CROWN CASTLE REIT INC	22822V101	X			6/8/2017	12/22/2017	868	805					63
123 DEERE CO	244199105	X			11/12/2015	12/22/2017	2,050	957					1,093
124 DEUTSCHE GLOBAL	25156A809	X			11/21/2017	12/22/2017	0	0					0
125 DISNEY (WALT) CO COM STK	254687106	X			4/24/2014	2/14/2017	4,288	3,108					1,180
126 DISNEY (WALT) CO COM STK	254687106	X			4/24/2014	12/22/2017	6,407	4,702					1,705
127 AMERICAN EURO PACIFIC	29875E100	X			4/6/2017	12/22/2017	2,950	2,604					346
128 AMERICAN EURO PACIFIC	29875E100	X			11/21/2017	12/22/2017	50	49					1
129 GENERAL ELECTRIC	369604103	X			4/26/2016	6/26/2017	21	24					-3
130 HALLIBURTON COMPANY	406216101	X			3/24/2016	12/22/2017	96	69					27
131 HALLIBURTON COMPANY	406216101	X			4/19/2015	12/22/2017	96	82					14
132 HALLIBURTON COMPANY	406216101	X			6/11/2012	12/22/2017	1,582	912					670
133 HALLIBURTON COMPANY	406216101	X			6/11/2012	12/22/2017	48	28					20
134 INTEL CORP	458140100	X			3/2/2016	12/22/2017	417	273					144
135 SHENKMAN SHORT DURATIC	00770X766	X			11/21/2017	12/22/2017	120	120					0
136 SHENKMAN SHORT DURATIC	00770X766	X			11/21/2017	12/22/2017	5	5					0
137 AMAZON COM INC COM	023135108	X			6/11/2012	12/22/2017	5,849	1,085					4,764
138 AMERICAN CENTURY MID CA	025076647	X			6/19/2015	12/22/2017	1,198	1,149					49
139 AMERICAN CENTURY MID CA	025076647	X			11/21/2017	12/22/2017	2	2					0
140 AMERICAN CENTURY	025066877	X			9/18/2017	12/22/2017	2,993	2,942					51
141 AMERICAN CENTURY	025066877	X			11/21/2017	12/22/2017	7	7					0
142 AMERICAN CENTURY	025066885	X			8/18/2017	9/18/2017	0	0					0
143 AMERICAN CENTURY	025066885	X			8/18/2017	9/18/2017	28,282	27,000					1,282
144 ABBVIE INC SHS	00287Y109	X			2/17/2016	4/6/2017	66	58					8

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Part I, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

Amount		Capital Gains/Losses		Gross Sales		Cost or Other Basis, Expenses, Depreciation and Adjustments		Net Gain or Loss						
Long Term CG Distributions	9,024	Capital Gains/Losses	Other sales	480,045	0	375,414	0	104,631	0					
Short Term CG Distributions	0	Other sales	Other sales	0	0	0	0	0	0					
Description	CUSIP #	Check "X" to include in Part IV	Purchaser	Check "X" if Purchaser is a Business	Acquisition Method	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Valuation Method	Expense of Sale and Cost of Improvements	Depreciation	Adjustments	Net Gain or Loss
145	ABBVIE INC SHS	00287Y109	X			1/14/2016	4/6/2017	2,339	1,886					453
146	AMGEN INC COM	031162100	X			5/12/2017	12/22/2017	2,294	2,079					215
147	ANGEL OAK MULTI STRATEG	03463K406	X			11/21/2017	12/22/2017	7	7					0
148	ANGEL OAK MULTI STRATEG	03463K406	X			11/21/2017	12/22/2017	90	90					0
149	ANGEL OAK MULTI STRATEG	03463K406	X			11/21/2017	12/22/2017	3	3					0
150	APPLE INC	037833100	X			6/11/2012	2/14/2017	135	84					51
151	APPLE INC	037833100	X			6/11/2012	2/14/2017	4,447	2,766					1,681
152	APPLE INC	037833100	X			2/12/2016	2/14/2017	404	283					121
153	APPLE INC	037833100	X			6/11/2012	12/22/2017	6,477	3,101					3,376
154	AUTOMATIC DATA PROC	053015103	X			1/5/2016	12/22/2017	233	185					48
155	AUTOMATIC DATA PROC	053015103	X			6/11/2012	12/22/2017	817	329					488
156	AUTOMATIC DATA PROC	053015103	X			6/11/2012	12/22/2017	117	47					70
157	AUTOMATIC DATA PROC	053015103	X			4/4/2016	12/22/2017	233	180					53
158	BERKSHIRE HATHAWAY INC	084670702	X			6/11/2012	2/14/2017	4,997	2,436					2,561
159	EXXON MOBIL CORP COM	30231G102	X			2/9/2015	12/22/2017	1,985	1,194					791
160	FEDER CORP DELAWARE CA	31428X106	X			12/22/2016	12/22/2017	4,750	3,633					1,117
161	FIDELITY ADV GROWTH OPP	315807883	X			11/21/2017	12/22/2017	7	7					0
162	FIDELITY ADV GROWTH OPP	315807883	X			1/6/2014	12/22/2017	32	25					7
163	FIDELITY ADV GROWTH OPP	315807883	X			1/6/2014	12/22/2017	4,261	3,312					949
164	FIDELITY ADV HEALTH CARE	315919821	X			3/12/2015	12/22/2017	2,960	2,931					29
165	FIDELITY ADV HEALTH CARE	315919821	X			3/12/2015	12/22/2017	20	20					0
166	FIDELITY ADV HEALTH CARE	315919821	X			11/21/2017	12/22/2017	20	20					0
167	FIDELITY ADV EMERGING	315920702	X			7/13/2017	12/22/2017	14	14					0
168	FIDELITY ADV EMERGING	315920702	X			7/13/2017	12/22/2017	2,986	2,986					0
169	FIDELITY ADV STRATEGIC	315920801	X			11/21/2017	12/22/2017	3	3					0
170	DEUTSCHE GLOBAL	25156A809	X			10/9/2013	12/22/2017	3,500	3,000					500
171	DISNEY (WALT) CO COM STM	254687106	X			1/12/2016	2/14/2017	660	606					54
172	FIDELITY ADV STRATEGIC	315920801	X			6/11/2012	12/22/2017	1,986	1,948					38
173	FIDELITY ADV STRATEGIC	315920801	X			6/11/2012	12/22/2017	9	9					0
174	FT NASDAQ TECH DVD INDE	33738R118	X			1/5/2016	2/14/2017	968	779					189
175	FT NASDAQ TECH DVD INDE	33738R118	X			1/3/2014	2/14/2017	3,995	3,123					872
176	FT NASDAQ TECH DVD INDE	33738R118	X			1/3/2014	2/14/2017	31	24					7
177	FT NASDAQ TECH DVD INDE	33738R118	X			1/3/2014	12/22/2017	16,263	11,200					5,063
178	FRANKLIN CONVERTIBLE	353612641	X			11/21/2017	12/22/2017	10	9					1
179	FRANKLIN CONVERTIBLE	353612641	X			6/11/2012	12/22/2017	2,985	2,174					811
180	FRANKLIN CONVERTIBLE	353612641	X			6/11/2012	12/22/2017	5	3					2
181	GENERAL ELECTRIC	369604103	X			11/13/2014	9/8/2017	28	26					2
182	GENERAL ELECTRIC	369604103	X			4/29/2016	6/8/2017	305	339					-34
183	GENERAL ELECTRIC	369604103	X			4/29/2016	6/8/2017	28	28					0
184	GENERAL ELECTRIC	369604103	X			1/29/2016	6/8/2017	335	337					-2
185	GENERAL ELECTRIC	369604103	X			10/27/2015	6/8/2017	28	28					0
186	GENERAL ELECTRIC	369604103	X			11/13/2014	9/8/2017	43,369	41,273					2,096

**Part I, Line 16b (990-PF) - Accounting Fees**

		2,500	0	0	2,500
Description		Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes (Cash Basis Only)
1	TAX PREP FEES	2,500			2,500

**Part I, Line 18 (990-PF) - Taxes**

		528	101	0	0
Description		Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
1	FOREIGN TAX WITHHELD	101	101		
2	ESTIMATED EXCISE PAYMENTS	427			

**Part I, Line 23 (990-PF) - Other Expenses**

		15	0	0	15
Description		Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
1	STATE AG FEES	15	0		15

**Part II, Line 10b (990-PF) - Investments - Corporate Stock**

		0	769,105	0	1,264,849	
	Description	Num Shares/ Face Value	Book Value Beg of Year	Book Value End of Year	FMV Beg of Year	FMV End of Year
1						
2	AMAZON COM INC	0		12,974		61,982
3	AMGEN INC	0		24,642		26,781
4	APPLE COMPUTER INC COM	0		45,972		93,421
5	AUTOMATIC DATA PROCESSING INC COM	0		18,990		45,594
6	BERKSHIRE HATHAWAY INC	0		32,915		77,504
7	BLACKROCK INC CL A	0		22,574		61,263
8	CATERPILLAR INC	0		31,130		54,724
9	COCA-COLA CO USD	0		31,707		37,169
10	CROWN CASTLE REIT INC	0		29,125		32,082
11	DEERE & COMPANY	0		18,827		39,754
12	DISNEY (WALT) COMPANY HOLDING CO	0		73,150		86,798
13	EXXON MOBIL CORP	0		10,014		9,117
14	FEDEX CORPORATION	0		23,951		31,192
15	MEDTRONIC PLC SHS	0		27,140		28,940
16	HALLIBURTON COMPANY COM	0		14,514		24,422
17	INTEL CORPORATION	0		22,829		46,941
18	INTERNATIONAL BUSINESS MACHINES C	0		34,136		30,106
19	JOHNSON & JOHNSON COM	0		26,511		59,175
20	KIMBERLY-CLARK CORP COM	0		12,055		17,455
21	KRAFT (THE) HEINZ CO SHS	0		18,049		22,654
22	MC DONALDS CORPORATION COMMON	0		48,728		93,727
23	MICROSOFT CORP COM	0		23,966		69,601
24	PEPSICO INC	0		46,778		66,025
25	PROCTER & GAMBLE CO COM	0		32,413		41,381
26	VENTAS INC	0		27,386		24,784
27	VERIZON COMMUNICATIONS INC	0		20,609		28,047
28	WELLS FARGO & CO NEW	0		38,020		54,210

**Part II, Line 13 (990-PF) - Investments - Other**

		0	608,829	693,643	
1	Asset Description	Basis of Valuation	Book Value Beg of Year	Book Value End of Year	FMV End of Year
1			0	0	
2	AMERICAN CENTURY MID CAP		0	5,721	5,910
3	AMERICAN CENTURY		0	26,242	27,071
4	ANGEL OAK MULTI STRATEGY		0	405	402
5	AMERICAN BOND FD OF		0	16,344	16,612
6	DEUTSCHE GLOBAL		0	61,592	68,128
7	AMERICAN EURO PACIFIC		0	27,815	31,667
8	FIDELITY ADV II GRWTH OPP INSTL 688		0	14,535	17,208
9	FIDELITY ADV HEALTH CARE		0	18,485	18,657
10	FIDELITY ADV EMERGING		0	33,509	33,460
11	FIDELITY ADVISOR STRATEGIC INCOME		0	50,955	51,899
12	FT NASDAQ TECH DVD INDEX		0	124,083	174,844
13	FRANKLIN CONVERTIBLE		0	12,036	16,105
14	SHENKMAN SHORT DURATION		0	633	631
15	ISHARES TR RUSSELL MIDCAP INDEX FD		0	13,487	23,291
16	LORD ABBETT ALPHA		0	20,775	23,958
17	LORD ABBETT BOND		0	18,725	19,661
18	PRINCIPAL GLOBAL		0	67,710	64,657
19	PRINCIPAL GLOBAL REAL		0	25,534	26,025
20	VOYA MIDCAP		0	12,945	14,799
21	VOYA INTERMEDIATE		0	57,298	58,658

**Part III (990-PF) - Changes in Net Assets or Fund Balances**

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**Line 3 - Other increases not included in Part III, Line 2**

1	MUTUAL FUND AND CTF INCOME ADDITION	1	8
2	FEDERAL EXCISE TAX REFUND	2	231
3	Total	3	239

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**Line 5 - Decreases not included in Part III, Line 2**

1	COST BASIS ADJUSTMENT	1	2,547
2	Total	2	2,547

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Part IV (990-PF) - Capital Gains and Losses for Tax on Investment Income

Long Term CG Distributions		Amount		471,021		0		0		375,414		95,607		0		0		0		95,607	
Short Term CG Distributions		9,024		0																	
Description of Property Sold	CUSIP #	Acquisition Method	Date Acquired	Date Sold	Gross Sales Price	Depreciation Allowed	Adjustments	Cost or Other Basis Plus Expense of Sale	Gain or Loss	FMV as of 12/31/09	Adjusted Basis as of 12/31/09	Excess of FMV Over Adjusted Basis	Gain Minus Excess FMV Over Adj Basis or Losses								
1	PEPSICO INC	713448108	4/1/2016	12/22/2017	474			408	66				66								
2	PEPSICO INC	713448108	1/8/2016	12/22/2017	474			392	82				82								
3	PEPSICO INC	713448108	6/11/2012	12/22/2017	4,266			2,472	1,794				1,794								
4	PRINCIPAL GLOBAL	74254V232	10/8/2013	12/22/2017	3,394			3,328	66				66								
5	PRINCIPAL GLOBAL	74254V232	10/8/2013	12/22/2017	6			5	1				1								
6	PRINCIPAL GLOBAL	74254V232	11/21/2017	12/22/2017	1			1	0				0								
7	PRINCIPAL GLOBAL REAL	74254V273	11/21/2017	12/22/2017	2			2	0				0								
8	PRINCIPAL GLOBAL REAL	74254V273	3/12/2015	12/22/2017	2,995			2,936	59				59								
9	PRINCIPAL GLOBAL REAL	74254V273	3/12/2015	12/22/2017	3			3	0				0								
10	PROCTER & GAMBLE CO	742718109	2/17/2018	2/14/2017	527			490	37				37								
11	PROCTER & GAMBLE CO	742718109	8/11/2012	2/14/2017	4,747			3,401	1,346				1,346								
12	PROCTER & GAMBLE CO	742718109	6/11/2012	5/12/2017	17,718			12,973	4,743				4,743								
13	PROCTER & GAMBLE CO	742718109	6/11/2012	12/22/2017	3,498			2,393	1,106				1,106								
14	SPDR US DVND ARISTOCR	78464A783	12/30/2015	8/18/2017	880			747	133				133								
15	SPDR US DVND ARISTOCR	78464A783	9/29/2015	8/18/2017	88			77	11				11								
16	SPDR US DVND ARISTOCR	78464A783	4/26/2015	8/18/2017	88			73	15				15								
17	SPDR US DVND ARISTOCR	78464A783	1/9/2014	8/18/2017	1,056			864	192				192								
18	SPDR US DVND ARISTOCR	78464A783	10/10/2013	8/18/2017	20,154			15,803	4,351				4,351								
19	SPDR US DVND ARISTOCR	78464A783	10/10/2013	8/18/2017	88			69	19				19								
20	SPDR US DVND ARISTOCR	78464A783	12/30/2015	9/25/2017	8			7	1				1								
21	VENTAS INC	92278F100	6/8/2017	12/22/2017	2,282			2,521	-239				-239								
22	VERIZON COMMUNICATNS C	92343V104	2/2/2016	12/22/2017	319			303	16				16								
23	KRAFT (THE) HEINZ CO SHS	500754106	1/8/2015	12/22/2017	78			62	16				16								
24	KRAFT (THE) HEINZ CO SHS	500754106	1/19/2016	12/22/2017	156			136	20				20								
25	LORD ABBETT ALPHA	543915532	11/21/2017	12/22/2017	13			15	-2				-2								
26	LORD ABBETT ALPHA	543915532	6/11/2012	12/22/2017	10			8	2				2								
27	LORD ABBETT ALPHA	543915532	6/11/2012	12/22/2017	1,977			1,672	305				305								
28	LORD ABBETT BOND	544004609	11/21/2017	12/22/2017	0			0	0				0								
29	LORD ABBETT BOND	544004609	6/11/2012	12/22/2017	2,000			1,881	119				119								
30	MCDONALDS CORP COM	580135101	3/18/2016	2/14/2017	502			494	8				8								
31	MCDONALDS CORP COM	580135101	6/11/2012	2/14/2017	4,519			3,164	1,355				1,355								
32	MCDONALDS CORP COM	580135101	6/11/2012	12/22/2017	6,167			3,164	3,003				3,003								
33	MERCK AND CO INC SHS	58933Y105	4/8/2016	4/6/2017	63			55	8				8								
34	MERCK AND CO INC SHS	58933Y105	4/8/2016	4/6/2017	190			167	23				23								
35	MERCK AND CO INC SHS	58933Y105	1/11/2016	4/6/2017	254			205	49				49								
36	MERCK AND CO INC SHS	58933Y105	7/9/2015	4/6/2017	63			55	8				8								
37	MERCK AND CO INC SHS	58933Y105	1/9/2014	4/6/2017	1,332			1,043	289				289								
38	MERCK AND CO INC SHS	58933Y105	6/14/2012	4/6/2017	317			193	124				124								
39	MERCK AND CO INC SHS	58933Y105	6/11/2012	4/6/2017	26,696			16,253	10,443				10,443								
40	MERCK AND CO INC SHS	58933Y105	6/11/2012	4/6/2017	63			39	24				24								
41	MERCK AND CO INC SHS	58933Y105	4/20/2016	4/24/2017	3			3	0				0								
42	VOYA MIDCAP	92913K850	11/21/2017	12/22/2017	13			15	-2				-2								
43	VOYA MIDCAP	92913K850	1/3/2013	12/22/2017	4			3	1				1								
44	VOYA MIDCAP	92913K850	1/3/2013	12/22/2017	2,283			1,958	325				325								
45	VOYA INTERMEDIATE	92913L884	11/21/2017	12/22/2017	4			4	0				0								
46	VOYA INTERMEDIATE	92913L884	1/23/2014	12/22/2017	1,996			1,852	144				144								
47	VOYA INTERMEDIATE	92913L884	1/23/2014	12/22/2017	0			0	0				0								
48	VOYA GLOBAL BOND FUND	92914A851	2/14/2017	11/21/2017	6,213			5,977	236				236								
49	VERIZON COMMUNICATNS C	92343V104	2/25/2011	12/22/2017	1,914			1,286	628				628								
50	VOYA GLOBAL BOND FUND	92914A851	1/6/2014	11/21/2017	7,952			7,840	112				112								
51	VOYA GLOBAL BOND FUND	92914A851	6/14/2012	11/21/2017	368			358	9				9								
52	VOYA GLOBAL BOND FUND	92914A851	6/14/2012	11/21/2017	10			10	0				0								
53	VOYA GLOBAL BOND FUND	92914A851	6/11/2012	11/21/2017	9			9	0				0								
54	VOYA GLOBAL BOND FUND	92914A851	6/11/2012	11/21/2017	37,464			36,451	1,013				1,013								
55	WELLS FARGO & CO NEW DE	949746101	3/2/2016	12/22/2017	431			341	90				90								
56	WELLS FARGO & CO NEW DE	949746101	1/24/2013	12/22/2017	2,278			1,308	970				970								
57	MEDTRONIC PLC SHS	65960L103	10/26/2017	12/22/2017	1			1	0				0								
58	MICROSOFT CORP	594918104	3/11/2016	12/22/2017	428			264	164				164								
59	MICROSOFT CORP	594918104	12/11/2015	12/22/2017	428			273	155				155								
60	MICROSOFT CORP	594918104	9/11/2015	12/22/2017	514			256	258				258								
61	MICROSOFT CORP	594918104	6/12/2015	12/22/2017	86			0	86				86								
62	MICROSOFT CORP	594918104	6/12/2015	12/22/2017	428			231	197				197								
63	MICROSOFT CORP	594918104	3/13/2015	12/22/2017	514			244	270				270								
64	MICROSOFT CORP	594918104	6/11/2012	12/22/2017	2,140			735	1,405				1,405								
65	MICROSOFT CORP	594918104	6/11/2012	12/22/2017	86			29	57				57								
66	KIMBERLY CLARK	494366103	1/9/2016	5/12/2017	128			128	0				0								
67	KIMBERLY CLARK	494366103	6/11/2012	5/12/2017	5,307			5,076	231				231								
68	KIMBERLY CLARK	494366103	6/11/2012	5/12/2017	128			78	50				50								
69	INTEL CORP	458140100	12/2/2015	12/22/2017	46			35	11				11								

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Part IV (990-PF) - Capital Gains and Losses for Tax on Investment Income

Long Term CG Distributions		Amount		Short Term CG Distributions													
		9,024		0		471,021		0		0		375,414		95,807		0	
Description of Property Sold	CUSIP #	Acquisition Method	Date Acquired	Date Sold	Gross Sales Price	Depreciation Allowed	Adjustments	Cost or Other Basis Plus Expense of Sale	Gain or Loss	FMV as of 12/31/09	Adjusted Basis as of 12/31/09	Excess of FMV Over Adjusted Basis	Gain or Loss	Excess FMV Over Adj Basis or Losses			
70	INTEL CORP	458140100	3/1/2013	12/22/2017	2,688		0	1,218	1,470	0	0	0	0	1,470			
71	INTEL BUSINESS MACHINES	459200101	3/1/2016	12/22/2017	153		0	142	11	0	0	0	0	11			
72	KIMBERLY CLARK	494388103	6/1/2012	12/22/2017	2,171		0	1,406	765	0	0	0	0	765			
73	KRAFT (THE) HEINZ CO SHS	500754108	1/8/2015	12/22/2017	2,034		0	1,602	432	0	0	0	0	432			
74	INTEL BUSINESS MACHINES	459200101	6/1/2012	12/22/2017	153		0	190	-37	0	0	0	0	-37			
75	INTEL BUSINESS MACHINES	459200101	6/1/2012	12/22/2017	3,660		0	4,691	-1,031	0	0	0	0	1,031			
76	INTEL BUSINESS MACHINES	459200101	6/1/2012	12/22/2017	152		0	195	-43	0	0	0	0	43			
77	ISHARES RUSSELL MIDCAP	484287499	1/3/2013	12/22/2017	1,872		0	1,047	825	0	0	0	0	825			
78	JOHNSON AND JOHNSON C	478160104	3/9/2016	12/22/2017	420		0	321	99	0	0	0	0	99			
79	JOHNSON AND JOHNSON C	478160104	12/9/2015	12/22/2017	420		0	306	114	0	0	0	0	114			
80	JOHNSON AND JOHNSON C	478160104	2/25/2011	12/22/2017	2,963		0	1,144	1,519	0	0	0	0	1,519			
81	KIMBERLY CLARK	494388103	4/5/2016	5/12/2017	128		0	136	-8	0	0	0	0	8			
82	MEDTRONIC PLC SHS	G5960L103	7/19/2017	12/22/2017	31		0	26	5	0	0	0	0	5			
83	MEDTRONIC PLC SHS	G5960L103	1/20/2016	12/22/2017	82		0	74	8	0	0	0	0	8			
84	MEDTRONIC PLC SHS	G5960L103	1/28/2015	12/22/2017	1,878		0	1,740	136	0	0	0	0	136			
85	MEDTRONIC PLC SHS	G5960L103	1/28/2015	12/22/2017	50		0	47	3	0	0	0	0	3			
86	MEDTRONIC PLC SHS	G5960L103	1/28/2015	12/22/2017	82		0	76	6	0	0	0	0	6			
87	VOYA GLOBAL BOND FUND	92914A851	1/9/2014	11/21/2017	10		0	10	0	0	0	0	0	0			
88	VOYA GLOBAL BOND FUND	92914A851	1/9/2014	11/21/2017	2,316		0	2,281	35	0	0	0	0	35			
89	ABBVIE INC SHS	00287Y109	0/2/2014	4/6/2017	8,123		0	6,321	1,602	0	0	0	0	1,602			
90	ABBVIE INC SHS	00287Y109	6/14/2012	4/6/2017	195		0	99	96	0	0	0	0	96			
91	ABBVIE INC SHS	00287Y109	1/9/2014	4/6/2017	1,365		0	1,057	308	0	0	0	0	308			
92	ABBVIE INC SHS	00287Y109	6/1/2012	4/6/2017	18,780		0	9,324	9,456	0	0	0	0	9,456			
93	ABBVIE INC SHS	00287Y109	5/18/2015	4/6/2017	65		0	75	-10	0	0	0	0	10			
94	ABBVIE INC SHS	00287Y109	2/17/2016	4/6/2017	260		0	217	43	0	0	0	0	43			
95	ABBVIE INC SHS	00287Y109	6/1/2012	4/6/2017	65		0	32	33	0	0	0	0	33			
96	ABBVIE INC SHS	00287Y109	2/17/2016	4/24/2017	21		0	18	3	0	0	0	0	3			
97	BERKSHIRE HATHAWAY INC	084870702	6/1/2012	12/22/2017	7,333		0	3,004	4,329	0	0	0	0	4,329			
98	BLACKROCK INC	09247X101	6/1/2012	12/22/2017	5,123		0	1,736	3,387	0	0	0	0	3,387			
99	BLACKROCK INC	09247X101	6/1/2012	12/22/2017	512		0	174	338	0	0	0	0	338			
100	BLACKROCK INC	09247X101	6/1/2012	12/22/2017	512		0	174	338	0	0	0	0	338			
101	BLACKROCK EMERG MARKET	09256H260	1/9/2014	7/13/2017	9		0	10	-1	0	0	0	0	1			
102	BLACKROCK EMERG MARKET	09256H260	2/14/2017	7/13/2017	9		0	10	-1	0	0	0	0	1			
103	BLACKROCK EMERG MARKET	09256H260	1/9/2014	7/13/2017	1,730		0	1,604	126	0	0	0	0	126			
104	BLACKROCK EMERG MARKET	09256H260	2/15/2017	7/13/2017	1		0	1	0	0	0	0	0	0			
105	BLACKROCK EMERG MARKET	09256H260	2/14/2017	7/13/2017	9		0	10	-1	0	0	0	0	1			
106	BLACKROCK EMERG MARKET	09256H260	4/9/2013	7/13/2017	24,310		0	26,126	-1,816	0	0	0	0	1,816			
107	BLACKROCK EMERG MARKET	09256H260	1/6/2014	7/13/2017	9,902		0	9,181	721	0	0	0	0	721			
108	AMERICAN BOND FD OF	097873822	12/19/2016	12/22/2017	4		0	4	0	0	0	0	0	0			
109	AMERICAN BOND FD OF	097873822	11/21/2017	12/22/2017	6		0	6	0	0	0	0	0	0			
110	AMERICAN BOND FD OF	097873822	12/19/2016	12/22/2017	1,990		0	1,961	29	0	0	0	0	29			
111	CATERPILLAR INC DEL	149123101	2/25/2011	12/22/2017	4,200		0	2,751	1,449	0	0	0	0	1,449			
112	CATERPILLAR INC DEL	149123101	2/25/2011	12/22/2017	156		0	102	54	0	0	0	0	54			
113	CATERPILLAR INC DEL	149123101	2/23/2016	12/22/2017	311		0	134	177	0	0	0	0	177			
114	CATERPILLAR INC DEL	149123101	2/25/2011	12/22/2017	156		0	107	49	0	0	0	0	49			
115	CLEARBRIDGE ENERGY MLF	184692101	6/14/2012	6/8/2017	83		0	98	-15	0	0	0	0	15			
116	CLEARBRIDGE ENERGY MLF	184692101	1/9/2014	6/8/2017	493		0	697	-204	0	0	0	0	204			
117	CLEARBRIDGE ENERGY MLF	184692101	6/1/2012	6/8/2017	9,421		0	10,223	-802	0	0	0	0	802			
118	COCA COLA COM	191218100	6/1/2012	12/22/2017	2,051		0	1,698	353	0	0	0	0	353			
119	COCA COLA COM	191218100	6/1/2012	12/22/2017	46		0	38	8	0	0	0	0	8			
120	COCA COLA COM	191218100	4/14/2018	12/22/2017	273		0	281	-8	0	0	0	0	8			
121	COCA COLA COM	191218100	12/18/2015	12/22/2017	273		0	250	23	0	0	0	0	23			
122	CROWN CASTLE REIT INC	22822V101	6/8/2017	12/22/2017	868		0	805	63	0	0	0	0	63			
123	DEERE CO	244199105	11/12/2015	12/22/2017	2,050		0	957	1,093	0	0	0	0	1,093			
124	DEUTSCHE GLOBAL	25156A809	11/21/2017	12/22/2017	0		0	0	0	0	0	0	0	0			
125	DISNEY (WALT) CO COM STM	254687106	4/24/2014	2/14/2017	4,288		0	3,108	1,180	0	0	0	0	1,180			
126	DISNEY (WALT) CO COM STM	254687106	4/24/2014	12/22/2017	6,407		0	4,702	1,705	0	0	0	0	1,705			
127	AMERICAN EURO PACIFIC	29875E100	4/6/2017	12/22/2017	2,950		0	2,604	346	0	0	0	0	346			
128	AMERICAN EURO PACIFIC	29875E100	11/21/2017	12/22/2017	90		0	49	41	0	0	0	0	41			
129	GENERAL ELECTRIC	399604103	4/26/2016	6/26/2017	21		0	24	-3	0	0	0	0	3			
130	HALLIBURTON COMPANY	406216101	3/24/2016	12/22/2017	96		0	69	27	0	0	0	0	27			
131	HALLIBURTON COMPANY	406216101	4/19/2015	12/22/2017	96		0	82	14	0	0	0	0	14			
132	HALLIBURTON COMPANY	406216101	6/11/2012	12/22/2017	1,582		0	912	670	0	0	0	0	670			
133	HALLIBURTON COMPANY	406216101	6/11/2012	12/22/2017	48		0	28	20	0	0	0	0	20			
134	INTEL CORP	458140100	3/2/2016	12/22/2017	417		0	273	144	0	0	0	0	144			
135	SHENKMAN SHORT DURATIO	00770X766	11/21/2017	12/22/2017	120		0	120	0	0	0	0	0	0			
136	SHENKMAN SHORT DURATIO	00770X766	11/21/2017	12/22/2017	5		0	5	0	0	0	0	0	0			
137	AMAZON COM INC COM	023135106	6/1/2012	12/22/2017	5,648		0	1,085	4,564	0	0	0	0	4,564			
138	AMERICAN CENTURY MID CA	025076647	6/19/2015	12/22/2017	1,198		0	1,149	49	0	0	0	0	49			

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Part IV (990-PF) - Capital Gains and Losses for Tax on Investment Income

Amount		471,021		0		0		375,414		95,607		0		0		95,607	
Long Term CG Distributions	9,024																
Short Term CG Distributions	0																
Description of Property Sold	CUSIP #	Acquisition Method	Date Acquired	Date Sold	Gross Sales Price	Depreciation Allowed	Adjustments	Cost or Other Basis Plus Expense of Sale	Gain or Loss	FMV as of 12/31/09	Adjusted Basis as of 12/31/09	Excess of FMV Over Adjusted Basis	Gain Minus Excess FMV Over Adj Basis or Losses				
139 AMERICAN CENTURY MID CA	025078947		11/21/2017	12/22/2017	2		0	2	0	0	0	0	0				
140 AMERICAN CENTURY	025086877		9/18/2017	12/22/2017	2,993		0	2,942	51	0	0	0	51				
141 AMERICAN CENTURY	025086877		11/21/2017	12/22/2017	7		0	7	0	0	0	0	0				
142 AMERICAN CENTURY	025086885		8/18/2017	9/18/2017	0		0	0	0	0	0	0	0				
143 AMERICAN CENTURY	025086885		8/18/2017	9/18/2017	28,282		0	27,000	1,282	0	0	0	1,282				
144 ABBVIE INC SHS	00287Y109		2/17/2016	4/6/2017	65		0	58	7	0	0	0	7				
145 ABBVIE INC SHS	00287Y109		1/14/2016	4/6/2017	2,339		0	1,886	453	0	0	0	453				
146 AMGEN INC COM	031182100		5/12/2017	12/22/2017	2,294		0	2,079	215	0	0	0	215				
147 ANGEL OAK MULTI STRATEG	03463K406		11/21/2017	12/22/2017	7		0	7	0	0	0	0	0				
148 ANGEL OAK MULTI STRATEG	03463K406		11/21/2017	12/22/2017	90		0	90	0	0	0	0	0				
149 ANGEL OAK MULTI STRATEG	03463K406		11/21/2017	12/22/2017	3		0	3	0	0	0	0	0				
150 APPLE INC	037833100		6/11/2012	2/14/2017	135		0	84	51	0	0	0	51				
151 APPLE INC	037833100		6/11/2012	2/14/2017	4,447		0	2,786	1,661	0	0	0	1,661				
152 APPLE INC	037833100		2/12/2016	2/14/2017	404		0	283	121	0	0	0	121				
153 APPLE INC	037833100		6/11/2012	12/22/2017	6,477		0	3,101	3,376	0	0	0	3,376				
154 AUTOMATIC DATA PROC	053015103		1/5/2016	12/22/2017	233		0	165	68	0	0	0	68				
155 AUTOMATIC DATA PROC	053015103		8/11/2012	12/22/2017	817		0	329	488	0	0	0	488				
156 AUTOMATIC DATA PROC	053015103		6/11/2012	12/22/2017	117		0	47	70	0	0	0	70				
157 AUTOMATIC DATA PROC	053015103		4/4/2016	12/22/2017	233		0	180	53	0	0	0	53				
158 BERKSHIRE HATHAWAY INC	084670702		6/11/2012	2/14/2017	4,997		0	2,436	2,561	0	0	0	2,561				
159 EXXON MOBIL CORP COM	30231G102		2/9/2015	12/22/2017	1,089		0	1,194	-105	0	0	0	105				
160 FEDEX CORP DELAWARE CA	31428X106		12/22/2016	12/22/2017	4,750		0	3,633	1,117	0	0	0	1,117				
161 FIDELITY ADV GROWTH OPP	315807883		11/21/2017	12/22/2017	7		0	7	0	0	0	0	0				
162 FIDELITY ADV GROWTH OPP	315807883		1/6/2014	12/22/2017	32		0	25	7	0	0	0	7				
163 FIDELITY ADV GROWTH OPP	315807883		1/6/2014	12/22/2017	4,261		0	3,312	949	0	0	0	949				
164 FIDELITY ADV HEALTH CARE	315918821		3/12/2015	12/22/2017	2,960		0	2,931	29	0	0	0	29				
165 FIDELITY ADV HEALTH CARE	315918821		3/12/2015	12/22/2017	20		0	20	0	0	0	0	0				
166 FIDELITY ADV HEALTH CARE	315918821		1/12/2017	12/22/2017	20		0	20	0	0	0	0	0				
167 FIDELITY ADV EMERGING	315920702		7/13/2017	12/22/2017	14		0	14	0	0	0	0	0				
168 FIDELITY ADV EMERGING	315920702		7/13/2017	12/22/2017	2,986		0	2,986	0	0	0	0	0				
169 FIDELITY ADV STRATEGIC	315920801		11/21/2017	12/22/2017	3		0	3	0	0	0	0	0				
170 DEUTSCHE GLOBAL	25156A809		10/8/2013	12/22/2017	3,500		0	3,000	500	0	0	0	500				
171 DISNEY (WALT) CO COM STK	254687106		1/12/2016	2/14/2017	660		0	606	54	0	0	0	54				
172 FIDELITY ADV STRATEGIC	315920801		6/11/2012	12/22/2017	1,988		0	1,948	40	0	0	0	40				
173 FIDELITY ADV STRATEGIC	315920801		6/11/2012	12/22/2017	9		0	9	0	0	0	0	0				
174 FT NASDAQ TECH DVD INDE	33738R118		1/5/2016	2/14/2017	968		0	779	189	0	0	0	189				
175 FT NASDAQ TECH DVD INDE	33738R118		1/3/2014	2/14/2017	3,995		0	3,123	872	0	0	0	872				
176 FT NASDAQ TECH DVD INDE	33738R118		1/3/2014	2/14/2017	31		0	24	7	0	0	0	7				
177 FT NASDAQ TECH DVD INDE	33738R118		1/3/2014	12/22/2017	16,253		0	11,200	5,053	0	0	0	5,053				
178 FRANKLIN CONVERTIBLE	353812641		11/21/2017	12/22/2017	10		0	9	1	0	0	0	1				
179 FRANKLIN CONVERTIBLE	353812641		6/11/2012	12/22/2017	2,985		0	2,174	811	0	0	0	811				
180 FRANKLIN CONVERTIBLE	353812641		8/11/2012	12/22/2017	5		0	3	2	0	0	0	2				
181 GENERAL ELECTRIC	369604103		11/13/2014	6/8/2017	28		0	26	2	0	0	0	2				
182 GENERAL ELECTRIC	369604103		4/26/2016	6/8/2017	305		0	339	-34	0	0	0	-34				
183 GENERAL ELECTRIC	369604103		4/26/2016	6/8/2017	28		0	28	0	0	0	0	0				
184 GENERAL ELECTRIC	369604103		1/28/2016	6/8/2017	333		0	337	-4	0	0	0	-4				
185 GENERAL ELECTRIC	369604103		10/27/2015	6/8/2017	28		0	28	0	0	0	0	0				
186 GENERAL ELECTRIC	369604103		11/13/2014	6/8/2017	43,369		0	41,273	2,096	0	0	0	2,096				

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Part VIII, Line 1 (990-PF) - Compensation of Officers, Directors, Trustees and Foundation Managers

Name	Check "X" if Business	Street	City	State	Zip Code	Foreign Country	Title	Avg Hrs Per Week	Compensation	Benefits	Expense Account
1 MLTC A DIVISION OF BANK OF	X	P O Box 1501, NJ2-130-03-31	Pennington	NJ	08534-1501		CO-TRUSTEE	2 00	23 476		
									23,476	0	0

**Part VI, Line 6a (990-PF) - Estimated Tax Payments**

	Date	Amount
1 Credit from prior year return		0
2 First quarter estimated tax payment	5/12/2017	427
3 Second quarter estimated tax payment		
4 Third quarter estimated tax payment		
5 Fourth quarter estimated tax payment		
6 Other payments		
7 Total		427

**Part XIII, Line 2a, Column C (990-PF) - Prior Year Undistributed Income**

1	Distributable amounts for 2016 that remained undistributed at the beginning of the 2017 tax year	1	70,815
2		2	
3		3	
4		4	
5		5	
6		6	
7		7	
8		8	
9		9	
10	Total	10	70,815