

EXTENDED TO NOVEMBER 15, 2018

## Return of Private Foundation

2017

176  
 ► Do not enter social security numbers on this form as it may be made public.  
 ► Go to [www.irs.gov/Form990PF](http://www.irs.gov/Form990PF) for instructions and the latest information.

For calendar year 2017 or tax year beginning

, and ending

Name of foundation		A Employer identification number <b>45-4111437</b>		
<b>AL &amp; CHAR HATFIELD FAMILY FOUNDATION</b>				
Number and street (or P O box number if mail is not delivered to street address) <b>4800 OCEAN BLVD</b>		Room/suite		
City or town, state or province, country, and ZIP or foreign postal code <b>SARASOTA, FL 34242</b>		C If exemption application is pending, check here ► <b>6</b>		
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		D 1 Foreign organizations, check here ► <b>1</b>		
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		2 Foreign organizations meeting the 85% test, check here and attach computation ► <b>1</b>		
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) <b>\$ 6,528,134.</b> (Part I, column (d) must be on cash basis.)		E If private foundation status was terminated under section 507(b)(1)(A), check here ► <b>1</b>		
J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ► <b>1</b>		
<b>Part I Analysis of Revenue and Expenses</b> (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income
1 Contributions, gifts, grants, etc., received				<b>N/A</b>
2 Check ► <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B Interest on savings and temporary cash investments				
3 Dividends and interest from securities		<b>148,709.</b>	<b>148,709.</b>	<b>STATEMENT 1</b>
5a Gross rents				
b Net rental income or (loss)				
6a Net gain or (loss) from sale of assets not on line 10 b Gross sales price for all assets on line 6a <b>516,678.</b>		<b>4,017.</b>		
7 Capital gain net income (from Part IV, line 2)		<b>4,017.</b>		
8 Net short-term capital gain				
9 Income modifications Gross sales less returns and allowances				
10a Less Cost of goods sold				
c Gross profit or (loss)				
11 Other income		<b>20,500.</b>	<b>0.</b>	<b>STATEMENT 2</b>
12 Total. Add lines 1 through 11		<b>173,226.</b>	<b>152,726.</b>	
<b>Revenue</b>				
13 Compensation of officers, directors, trustees, etc		<b>0.</b>	<b>0.</b>	<b>0.</b>
14 Other employee salaries and wages				
15 Pension plans, employee benefits				
16a Legal fees				
b Accounting fees		<b>STMT 3</b>	<b>7,295.</b>	<b>7,295.</b>
c Other professional fees		<b>STMT 4</b>	<b>16,520.</b>	<b>0.</b>
17 Interest				
18 Taxes		<b>STMT 5</b>	<b>3,489.</b>	<b>0.</b>
19 Depreciation and depletion				
20 Occupancy				
21 Travel, conferences, and meetings				
22 Printing and publications				
23 Other expenses		<b>STMT 6</b>	<b>36,347.</b>	<b>0.</b>
24 Total operating and administrative expenses. Add lines 13 through 23			<b>63,651.</b>	<b>7,295.</b>
25 Contributions, gifts, grants paid			<b>350,500.</b>	<b>350,500.</b>
26 Total expenses and disbursements. Add lines 24 and 25			<b>414,151.</b>	<b>357,795.</b>
<b>Operating and Administrative Expenses</b>				
27 Subtract line 26 from line 12.				
a Excess of revenue over expenses and disbursements		<b>&lt;240,925. &gt;</b>		
b Net investment income (if negative, enter -0-)			<b>96,370.</b>	
c Adjusted net income (if negative, enter -0-)				<b>N/A</b>

Part II	Balance Sheets	Attached schedules and amounts in the description column should be for end-of-year amounts only	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
	1 Cash - non-interest-bearing				
	2 Savings and temporary cash investments		103,665.	91,000.	91,000.
	3 Accounts receivable ►				
	Less allowance for doubtful accounts ►				
	4 Pledges receivable ►				
	Less: allowance for doubtful accounts ►				
	5 Grants receivable				
	6 Receivables due from officers, directors, trustees, and other disqualified persons				
	7 Other notes and loans receivable ►				
	Less: allowance for doubtful accounts ►		120,000.	0.	0.
	8 Inventories for sale or use				
	9 Prepaid expenses and deferred charges				
	10a Investments - U.S. and state government obligations				
	b Investments - corporate stock	STMT 8	3,397,967.	2,999,609.	2,999,609.
	c Investments - corporate bonds				
	11 Investments - land, buildings, and equipment basis ►				
	Less accumulated depreciation ►				
	12 Investments - mortgage loans				
	13 Investments - other	STMT 9	3,144,009.	3,437,525.	3,437,525.
	14 Land, buildings, and equipment basis ►				
	Less accumulated depreciation ►				
	15 Other assets (describe ► )				
	16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)		6,765,641.	6,528,134.	6,528,134.
	17 Accounts payable and accrued expenses				
	18 Grants payable				
	19 Deferred revenue				
	20 Loans from officers, directors, trustees, and other disqualified persons				
	21 Mortgages and other notes payable			65,000.	
	22 Other liabilities (describe ► )				
	23 Total liabilities (add lines 17 through 22)		0.	65,000.	
	Foundations that follow SFAS 117, check here ► <input type="checkbox"/>				
	and complete lines 24 through 26, and lines 30 and 31				
	24 Unrestricted				
	25 Temporarily restricted				
	26 Permanently restricted				
	Foundations that do not follow SFAS 117, check here ► <input checked="" type="checkbox"/>				
	and complete lines 27 through 31				
	27 Capital stock, trust principal, or current funds		0.	0.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund		0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds		6,765,641.	6,463,134.	
	30 Total net assets or fund balances		6,765,641.	6,463,134.	
	31 Total liabilities and net assets/fund balances		6,765,641.	6,528,134.	

## Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1 6,765,641.
2 Enter amount from Part I, line 27a	2 <240,925.>
3 Other increases not included in line 2 (itemize) ►	3 0.
4 Add lines 1, 2, and 3	4 6,524,716.
5 Decreases not included in line 2 (itemize) ►	5 61,582.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6 6,463,134.

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)			(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a					
b	SEE ATTACHED STATEMENT				
c					
d					
e					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g))		
a					
b					
c					
d					
e	516,678.	512,661.	4,017.		
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69.			(I) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))		
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any			
a					
b					
c					
d					
e					4,017.
2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }		2	4,017.	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8			3	N/A	

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

 Yes  No

If "Yes," the foundation doesn't qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2016	419,773.	7,767,437.	.054043
2015	385,190.	7,528,913.	.051161
2014	468,066.	8,144,859.	.057468
2013	259,541.	6,960,750.	.037286
2012	500.	5,627,768.	.000089

2 Total of line 1, column (d)

2 .200047

3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years

3 .040009

4 Enter the net value of noncharitable-use assets for 2017 from Part X, line 5

4 6,745,927.

5 Multiply line 4 by line 3

5 269,898.

6 Enter 1% of net investment income (1% of Part I, line 27b)

6 964.

7 Add lines 5 and 6

7 270,862.

8 Enter qualifying distributions from Part XII, line 4

8 357,795.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.  
See the Part VI instructions

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**

1a	Exempt operating foundations described in section 4940(d)(2), check here ► <input type="checkbox"/> and enter "N/A" on line 1	(attach copy of letter if necessary-see instructions)	1	964.
Date of ruling or determination letter.				
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ► <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b			
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations, enter 4% of Part I, line 12, col. (b).			
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)	2	0.	
3	Add lines 1 and 2	3	964.	
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)	4	0.	
5	<b>Tax based on investment income</b> Subtract line 4 from line 3. If zero or less, enter -0-	5	964.	
6	<b>Credits/Payments:</b>			
a	2017 estimated tax payments and 2016 overpayment credited to 2017	6a	4,450.	
b	Exempt foreign organizations - tax withheld at source	6b	0.	
c	Tax paid with application for extension of time to file (Form 8868)	6c	4,500.	
d	Backup withholding erroneously withheld	6d	0.	
7	Total credits and payments. Add lines 6a through 6d	7	8,950.	
8	Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8	0.	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10	Overpayment If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	7,986.	
11	Enter the amount of line 10 to be: Credited to 2018 estimated tax ►	11	0.	

**Part VII-A Statements Regarding Activities**

	Yes	No
1a	X	
1b	X	
1c	X	
2	X	
3	X	
4a	X	
4b	X	
5	X	
6	X	
7	X	
8b	X	
9	X	
10	X	

1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?

b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition. If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.

c Did the foundation file Form 1120-POL for this year?

d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year:  
(1) On the foundation. ► \$ 0. (2) On foundation managers. ► \$ 0.

e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ► \$ 0.

2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities

3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes

4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?

b If "Yes," has it filed a tax return on Form 990-T for this year?

5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by *General Instruction T*

6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:  
• By language in the governing instrument, or  
• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?

7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV

8a Enter the states to which the foundation reports or with which it is registered. See instructions. ► MN

b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G*? If "No," attach explanation

9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2017 or the tax year beginning in 2017? See the instructions for Part XIV. If "Yes," complete Part XIV

10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses

## Part VII-A Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions	11	X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions	12	X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X
Website address ► <b>N/A</b>		
14 The books are in care of ► <b>THE ORGANIZATION</b> Located at ► <b>4800 OCEAN BLVD, SARASOTA, FL</b>	Telephone no. ► <b>612-810-8082</b>	ZIP+4 ► <b>34242</b>
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - check here and enter the amount of tax-exempt interest received or accrued during the year	► 15	N/A
16 At any time during calendar year 2017, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ►	16	X

## Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

	Yes	No
File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.		
1a During the year, did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes	X No
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes	X No
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes	X No
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input type="checkbox"/> Yes	X No
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes	X No
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	<input type="checkbox"/> Yes	X No
b If any answer is "Yes" to 1a(1)-(6), did <b>any</b> of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions	N/A	
Organizations relying on a current notice regarding disaster assistance, check here	►	
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2017?	1b	
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):	1c X	
a At the end of tax year 2017, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2017?	<input type="checkbox"/> Yes	X No
If "Yes," list the years ► _____, _____, _____, _____, _____	2b	
b Are there any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to <b>all</b> years listed, answer "No" and attach statement - see instructions.)	N/A	
c If the provisions of section 4942(a)(2) are being applied to <b>any</b> of the years listed in 2a, list the years here.	3a	
► _____, _____, _____, _____, _____	<input type="checkbox"/> Yes	X No
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	3b	
b If "Yes," did it have excess business holdings in 2017 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2017.)	4a X	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4b X	
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2017?		

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**

5a During the year, did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?  
 Yes  No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?  
 Yes  No

(3) Provide a grant to an individual for travel, study, or other similar purposes?  
 Yes  No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions  
 Yes  No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?  
 Yes  No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions

Organizations relying on a current notice regarding disaster assistance, check here

N/A

► 

5b

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?  
 Yes  No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

N/A

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?  
 Yes  Nob Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?  
If "Yes" to 6b, file Form 8870.

6b

X

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?  
 Yes  No

N/A

7b

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

1 List all officers, directors, trustees, and foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
M. ALLEN HATFIELD 4800 OCEAN BLVD SARASOTA, FL 34242	DIRECTOR 1.00	0.	0.	0.
CHARLENE DUBOIS HATFIELD 4800 OCEAN BLVD SARASOTA, FL 34242	DIRECTOR 1.00	0.	0.	0.
LARRY JEDELLOH 4800 OCEAN BLVD SARASOTA, FL 34242	DIRECTOR 1.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

►

0

**Part VIII** **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**
**3 Five highest-paid independent contractors for professional services. If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

**Total number of others receiving over \$50,000 for professional services**

**0**
**Part IX-A** **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

**Expenses**

1	N/A	
2		
3		
4		

**Part IX-B** **Summary of Program-Related Investments**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

**Amount**

1	N/A	
2		
3		
4		
<b>Total. Add lines 1 through 3</b>		<b>0.</b>

**Form 990-PF (2017)**

**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	4,660,176.
b	Average of monthly cash balances	1b	192,332.
c	Fair market value of all other assets	1c	1,996,149.
d	<b>Total</b> (add lines 1a, b, and c)	1d	6,848,657.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	6,848,657.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	102,730.
5	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3 Enter here and on Part V, line 4	5	6,745,927.
6	<b>Minimum investment return.</b> Enter 5% of line 5	6	337,296.

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here ►  and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	337,296.
2a	Tax on investment income for 2017 from Part VI, line 5	2a	964.
b	Income tax for 2017. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	964.
3	Distributable amount before adjustments Subtract line 2c from line 1	3	336,332.
4	Recoveries of amounts treated as qualifying distributions	4	20,500.
5	Add lines 3 and 4	5	356,832.
6	Deduction from distributable amount (see instructions)	6	0.
7	<b>Distributable amount as adjusted.</b> Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	356,832.

**Part XII Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	357,795.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	<b>Qualifying distributions</b> Add lines 1a through 3b. Enter here and on Part V, line 8; and Part XIII, line 4	4	357,795.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	964.
6	<b>Adjusted qualifying distributions</b> Subtract line 5 from line 4	6	356,831.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

**Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2016	(c) 2016	(d) 2017
1 Distributable amount for 2017 from Part XI, line 7				356,832.
2 Undistributed income, if any, as of the end of 2017			0.	
a Enter amount for 2016 only			0.	
b Total for prior years		0.		
3 Excess distributions carryover, if any, to 2017:				
a From 2012				
b From 2013				
c From 2014	69,941.			
d From 2015	13,770.			
e From 2016	32,423.			
f Total of lines 3a through e	116,134.			
4 Qualifying distributions for 2017 from Part XII, line 4. ► \$ 357,795.			0.	
a Applied to 2016, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2017 distributable amount				356,832.
e Remaining amount distributed out of corpus	963.			
5 Excess distributions carryover applied to 2017 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:	117,097.			
a Corpus Add lines 3f, 4c, and 4e Subtract line 5			0.	
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2016. Subtract line 4a from line 2a. Taxable amount - see instr.				0.
f Undistributed income for 2017. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2018				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2012 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2018. Subtract lines 7 and 8 from line 6a	117,097.			
10 Analysis of line 9:				
a Excess from 2013				
b Excess from 2014	69,941.			
c Excess from 2015	13,770.			
d Excess from 2016	32,423.			
e Excess from 2017	963.			

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2017, enter the date of the ruling ►

b Check box to indicate whether the foundation is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)  4942(j)(3) or  4942(j)(5)

**Part XV** **Supplementary Information** (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)

## 1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

SEE STATEMENT 10

**b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

**NONE**

## 2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ►  if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc., to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or email address of the person to whom applications should be addressed:

b. The form in which applications should be submitted and information and materials they should include:

### c. Any submission deadlines:

d. Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

## Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<b>a Paid during the year</b>				
AGAINST MALARIA FOUNDATION 310 W. 20TH STREET KANSAS CITY, MO 64108	NONE	501(C)(3)	PROGRAM SUPPORT	7,000.
ALL FAITH'S FOOD BANK 8171 BLAIKIE CT SARASOTA, FL 34240	NONE	501(C)(3)	PROGRAM SUPPORT	1,000.
COMMON HOPE 1400 ENERGY PARK DRIVE #23 ST. PAUL, MN 55108	NONE	501(C)(3)	PROGRAM SUPPORT	1,000.
GIVE DIRECT INC PO BOX 3221 NEW YORK, NY 10008	NONE	501(C)(3)	PROGRAM SUPPORT	1,000.
HAITI OUTREACH 15119 MINNETONKA BLVD MINNETONKA, MN 55345	NONE	501(C)(3)	PROGRAM SUPPORT	5,000.
<b>Total</b>	<b>SEE CONTINUATION SHEET(S)</b>			► 3a 350,500.
<b>b Approved for future payment</b>				
NONE				
<b>Total</b>				► 3b 0.

## Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income
	(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount	
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	148,709.	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	4,017.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a RECOVERY OF PRIOR					
b QUALIFYING DISTRIBUTION					20,500.
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)		0.		152,726.	20,500.
13 Total. Add line 12, columns (b), (d), and (e)			13		173,226.
(See worksheet in line 13 instructions to verify calculations.)					

## **Relationship of Activities to the Accomplishment of Exempt Purposes**

Part XVII AL & CHAR HATFIELD FAMILY FOUNDATION 45-4111437  
Information Regarding Transfers to and Transactions and Relationships With Noncharitable  
Exempt Organizations

**1** Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?

**a** Transfers from the reporting foundation to a noncharitable exempt organization of:

- (1) Cash
- (2) Other assets

**b** Other transactions:

- (1) Sales of assets to a noncharitable exempt organization
- (2) Purchases of assets from a noncharitable exempt organization
- (3) Rental of facilities, equipment, or other assets
- (4) Reimbursement arrangements
- (5) Loans or loan guarantees
- (6) Performance of services or membership or fundraising solicitations

**c** Sharing of facilities, equipment, mailing lists, other assets, or paid employees

**d** If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing column (d) the value of the goods, other assets, or services received.

2a. Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described

Yes  No

**b. If "Yes," complete the following schedule.**

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

**Sign  
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

May the IRS discuss this return with the preparer shown below? See instr

10/31/18

## ► DIRECTOR

Date \_\_\_\_\_

**Title**

<b>Paid Preparer Use Only</b>	Print/Type preparer's name <b>RYAN VETTRUS, CPA</b>	Preparer's signature 	Date <b>10/31/18</b>	Check <input type="checkbox"/> if self-employed	PTIN <b>P01243596</b>
	Firm's name ► <b>OLSEN THIELEN &amp; CO., LTD</b>			Firm's EIN ► <b>41-1360831</b>	
	Firm's address ► <b>2675 LONG LAKE ROAD ST. PAUL, MN 55113</b>			Phone no. <b>651-483-4521</b>	

## Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a FROM PARTNERSHIP K-1'S-CAPITAL GAINS		P		
b FROM PARTNERSHIP K-1'S-CAPITAL GAINS		P		
c STIFEL X8058 - S/T SEE ATTACHED SCHEDULE				
d STIFEL X8058 - L/T SEE ATTACHED SCHEDULE				
e TEEKAY LNG PARTNERS L.P. - ADJ TO BASIS-SOLD		P		
f CAPITAL GAINS DIVIDENDS				
g				
h				
i				
j				
k				
l				
m				
n				
o				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
a 577.			577.	
b 3,310.			3,310.	
c 60,234.		60,542.	<308. >	
d 388,652.		452,119.	<63,467. >	
e 41,516.			41,516.	
f 22,389.			22,389.	
g				
h				
i				
j				
k				
l				
m				
n				
o				
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")	
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any		
a			577.	
b			3,310.	
c			<308. >	
d			<63,467. >	
e			41,516.	
f			22,389.	
g				
h				
i				
j				
k				
l				
m				
n				
o				
2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }			2	4,017.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 } 3				N/A

## Part XV Supplementary Information

## 3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
HEIFER PROJECT INTERNATIONAL 1 WORLD AVE LITTLE ROCK, AR 72202	NONE	501(C)(3)	PROGRAM SUPPORT	10,000.
HUMANE SOCIETY OF SARASOTA 2331 15TH ST SARASOTA, FL 34237	NONE	501(C)(3)	PROGRAM SUPPORT	3,000.
IMPERIAL COLLEGE TRUST KENSINGTON, SW7 2AZ LONDON, UNITED KINGDOM	NONE	REGISTERED CHARITY IN UK	PROGRAM SUPPORT	6,000.
INTERNATIONAL WOLF CENTER 3410 WINNETKA AVE N MINNEAPOLIS, MN 55427	NONE	501(C)(3)	PROGRAM SUPPORT	1,000.
LAKE VERMILLION FIRE BRIGADE P.O. BOX 490 COOK, MN 55723	NONE	501(C)(3)	PROGRAM SUPPORT	5,000.
MARIE SELBY BOTANICAL GARDENS 811 S PALM AVE SARASOTA, FL 34236	NONE	501(C)(3)	PROGRAM SUPPORT	500.
MAYO CLINIC 200 FIRST STREET SW ROCHESTER, MN 55905	NONE	501(C)(3)	PROGRAM SUPPORT	200,000.
MINNESOTANS AGAINST TERRORISM 90 S 7TH STREET, STE 3300 MINNEAPOLIS, MN 55343-0368	NONE	501(C)(3)	PROGRAM SUPPORT	5,000.
NATIONAL PUBLIC RADIO 1111 NORTH CAPITOL STREET WN, DC 20002	NONE	501(C)(3)	PROGRAM SUPPORT	1,000.
NORTHERN STAR COUNCIL 393 MARSHALL AVENUE ST. PAUL, MN 55102-1795	NONE	501(C)(3)	PROGRAM SUPPORT	20,000.
Total from continuation sheets				335,500.

## Part XV Supplementary Information

## 3 Grants and Contributions Paid During the Year (Continuation)

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
PATHWAYS TO CHILDREN 100 SECOND ST SE #1201 MINNEAPOLIS, MN 55414	NONE	501(C)(3)	PROGRAM SUPPORT	10,000.
PROJECT FOR PEOPLE OF PARAGUAY PO BOX 251 AVON, MN 56310	NONE	501(C)(3)	PROGRAM SUPPORT	5,000.
SARASOTA CAT DEPOT 2542 17TH ST SARASOTA, FL 34234	NONE	501(C)(3)	PROGRAM SUPPORT	2,000.
SEMPER FI FUND 825 COLLEGE BLVD OCEANSIDE, CA 92057	NONE	501(C)(3)	PROGRAM SUPPORT	2,000.
THE LOFT LITERARY CENTER 1011 WASHINGTON AVE S MINNEAPOLIS, MN 55415	NONE	501(C)(3)	PROGRAM SUPPORT	50,000.
THE RETREAT 1221 WAYZATA BLVD EAST WAYZATA, MN 55391	NONE	501(C)(3)	PROGRAM SUPPORT	6,000.
UNDERDOG RESCUE PO BOX 16453 ST. LOUIS PARK, MN 55416	NONE	501(C)(3)	PROGRAM SUPPORT	2,000.
W.C. HEIAM MEDICAL FOUNDATION P.O. BOX 1195 COOK, MN 55723	NONE	501(C)(3)	PROGRAM SUPPORT	5,000.
WOODLAND HILLS 4321 ALLENDALE AVE DULUTH, MN 55803	NONE	501(C)(3)	PROGRAM SUPPORT	2,000.
Total from continuation sheets				

FORM 990-PF

DIVIDENDS AND INTEREST FROM SECURITIES

STATEMENT

1

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
PARTNERSHIP DIVIDEND INCOME	1,044.	0.	1,044.	1,044.	
PARTNERSHIP INTEREST INCOME	1,307.	0.	1,307.	1,307.	
STIFEL NICOLAUS DIVIDEND INCOME	168,043.	22,389.	145,654.	145,654.	
STIFEL NICOLAUS INTEREST INCOME	704.	0.	704.	704.	
TO PART I, LINE 4	171,098.	22,389.	148,709.	148,709.	

FORM 990-PF

OTHER INCOME

STATEMENT

2

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
RECOVERY OF PRIOR QUALIFYING DISTRIBUTION	20,500.	0.	
TOTAL TO FORM 990-PF, PART I, LINE 11	20,500.	0.	

FORM 990-PF

ACCOUNTING FEES

STATEMENT

3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
TAX PREP FEES	7,295.	0.		7,295.
TO FORM 990-PF, PG 1, LN 16B	7,295.	0.		7,295.

FORM 990-PF

OTHER PROFESSIONAL FEES

STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ADVISORY FEES - STIFEL NICOLAUS	16,520.	16,520.		0.
TO FORM 990-PF, PG 1, LN 16C	16,520.	16,520.		0.
	<hr/>	<hr/>	<hr/>	<hr/>

FORM 990-PF

TAXES

STATEMENT 5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAX PAID	3,052.	3,052.		0.
FOREIGN TAX PAID FROM PARTNERSHIP K-1	437.	437.		0.
TO FORM 990-PF, PG 1, LN 18	3,489.	3,489.		0.
	<hr/>	<hr/>	<hr/>	<hr/>

FORM 990-PF

OTHER EXPENSES

STATEMENT 6

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
OTHER PARTNERSHIP INVESTMENT EXPENSES NET	181.	181.		0.
OTHER PARTNERSHIP UNRELATED BUSINESS LOSS-REPORTED ON 990-T	36,166.	36,166.		0.
TO FORM 990-PF, PG 1, LN 23	36,347.	36,347.		0.
	<hr/>	<hr/>	<hr/>	<hr/>

FORM 990-PF	OTHER DECREASES IN NET ASSETS OR FUND BALANCES	STATEMENT	7
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DESCRIPTION	AMOUNT
INVESTMENTS - FAIR MARKET VALUE ADJUSTMENT	61,582.
TOTAL TO FORM 990-PF, PART III, LINE 5	61,582.

FORM 990-PF	CORPORATE STOCK	STATEMENT	8
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
STIFEL NICOLAUS - EQUITIES (DETAIL ATTACHED)	2,683,741.	2,683,741.
STIFEL NICOLAUS - PREFERREDS (DETAIL ATTACHED)	215,867.	215,867.
MEDICOM SERIES A PREFERRED	100,001.	100,001.
TOTAL TO FORM 990-PF, PART II, LINE 10B	2,999,609.	2,999,609.

FORM 990-PF	OTHER INVESTMENTS	STATEMENT	9
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DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
STIFEL NICOLAUS - MUTUAL FUNDS (DETAIL ATTACHED)	FMV	158,465.	158,465.
STIFEL NICOLAUS - PUBLICALY TRADED PARTNERSHIPS (DETAIL ATTACHED)	FMV	1,282,911.	1,282,911.
HATFIELD FAMILY FUND 1, LLC	FMV	1,996,149.	1,996,149.
TOTAL TO FORM 990-PF, PART II, LINE 13		3,437,525.	3,437,525.

FORM 990-PF	PART XV - LINE 1A LIST OF FOUNDATION MANAGERS	STATEMENT	10
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## NAME OF MANAGER

M. ALLEN HATFIELD  
CHARLENE DUBOIS HATFIELD