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Form 990-PF

Department of the Treasury  
Internal Revenue Service

Return of Private Foundation  
or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter social security numbers on this form as it may be made public.

Information about Form 990-PF and its instructions is at [www.irs.gov/form990pf](http://www.irs.gov/form990pf).

OMB No 1545-0052

2017

Open to Public Inspection

For calendar year 2017, or tax year beginning 01-01-2017, and ending 12-31-2017

Name of foundation  
The Stein Family Charitable Foundation

% Foundation Source

Number and street (or P O box number if mail is not delivered to street address)  
Foundation Source 501 Silverside Rd

Room/suite

City or town, state or province, country, and ZIP or foreign postal code  
Wilmington, DE 198091377

G Check all that apply

☐ Initial return

☐ Initial return of a former public charity

☐ Final return

☐ Amended return

☐ Address change

☐ Name change

H Check type of organization

☒ Section 501(c)(3) exempt private foundation

☐ Section 4947(a)(1) nonexempt charitable trust

☐ Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 7,045,835

J Accounting method

☒ Cash

☐ Accrual

☐ Other (specify)

(Part I, column (d) must be on cash basis )

A Employer identification number  
45-2732010

B Telephone number (see instructions)  
(800) 839-1754

C If exemption application is pending, check here

D 1. Foreign organizations, check here

2 Foreign organizations meeting the 85% test, check here and attach computation

E If private foundation status was terminated under section 507(b)(1)(A), check here

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here

Part I

Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions) )

	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)	891,882		
	2 Check <input type="checkbox"/> if the foundation is not required to attach Sch B			
	3 Interest on savings and temporary cash investments	931	931	
	4 Dividends and interest from securities	174,494	174,494	
	5a Gross rents			
	b Net rental income or (loss)			
	6a Net gain or (loss) from sale of assets not on line 10	31,424		
	b Gross sales price for all assets on line 6a	1,437,399		
	7 Capital gain net income (from Part IV, line 2)		31,460	
	8 Net short-term capital gain			
	9 Income modifications			
	10a Gross sales less returns and allowances			
b Less Cost of goods sold				
c Gross profit or (loss) (attach schedule)				
11 Other income (attach schedule)				
12 Total. Add lines 1 through 11	1,098,731	206,885		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	0		
	14 Other employee salaries and wages			
	15 Pension plans, employee benefits			
	16a Legal fees (attach schedule)			
	b Accounting fees (attach schedule)			
	c Other professional fees (attach schedule)	20,003	20,003	
	17 Interest			
	18 Taxes (attach schedule) (see instructions)	3,803	163	
	19 Depreciation (attach schedule) and depletion			
	20 Occupancy			
	21 Travel, conferences, and meetings			
	22 Printing and publications			
	23 Other expenses (attach schedule)	28,534	4	28,530
	24 Total operating and administrative expenses. Add lines 13 through 23	52,340	20,170	28,530
	25 Contributions, gifts, grants paid	797,080		797,080
26 Total expenses and disbursements. Add lines 24 and 25	849,420	20,170	825,610	
	27 Subtract line 26 from line 12			
	a Excess of revenue over expenses and disbursements	249,311		
	b Net investment income (if negative, enter -0-)		186,715	
c Adjusted net income (if negative, enter -0-)				

For Paperwork Reduction Act Notice, see instructions.

Cat No 11289X

Form 990-PF (2017)

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)			
		Beginning of year (a) Book Value	End of year (b) Book Value (c) Fair Market Value		
Assets	1	Cash—non-interest-bearing . . . . .			
	2	Savings and temporary cash investments . . . . .	289,088	151,532	151,532
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____	1,379		
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable . . . . .			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) . . . . .			
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use . . . . .			
	9	Prepaid expenses and deferred charges . . . . .			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule) . . . . .	3,776,367	3,512,780	5,109,234
	c	Investments—corporate bonds (attach schedule) . . . . .	1,134,390	1,786,223	1,785,069
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans . . . . .			
	13	Investments—other (attach schedule) . . . . .			
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
15	Other assets (describe ▶ _____)				
16	<b>Total assets</b> (to be completed by all filers—see the instructions Also, see page 1, item I)	5,201,224	5,450,535	7,045,835	
Liabilities	17	Accounts payable and accrued expenses . . . . .			
	18	Grants payable . . . . .			
	19	Deferred revenue . . . . .			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule) . . . . .			
	22	Other liabilities (describe ▶ _____)			
	23	<b>Total liabilities</b> (add lines 17 through 22) . . . . .	0	0	
Net Assets or Fund Balances	<b>Foundations that follow SFAS 117, check here</b> <input type="checkbox"/> <b>and complete lines 24 through 26 and lines 30 and 31.</b>				
	24	Unrestricted . . . . .			
	25	Temporarily restricted . . . . .			
	26	Permanently restricted . . . . .			
	<b>Foundations that do not follow SFAS 117, check here</b> <input checked="" type="checkbox"/> <b>and complete lines 27 through 31.</b>				
	27	Capital stock, trust principal, or current funds . . . . .			
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds	5,201,224	5,450,535	
	30	<b>Total net assets or fund balances</b> (see instructions) . . . . .	5,201,224	5,450,535	
31	<b>Total liabilities and net assets/fund balances</b> (see instructions) .	5,201,224	5,450,535		

Part III Analysis of Changes in Net Assets or Fund Balances			
1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	5,201,224
2	Enter amount from Part I, line 27a . . . . .	2	249,311
3	Other increases not included in line 2 (itemize) ▶ _____	3	
4	Add lines 1, 2, and 3 . . . . .	4	5,450,535
5	Decreases not included in line 2 (itemize) ▶ _____	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 .	6	5,450,535

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
<b>1 a</b> Publicly-traded Securities			
<b>b</b>			
<b>c</b>			
<b>d</b>			
<b>e</b>			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
<b>a</b> 1,437,399		1,405,939	31,460
<b>b</b>			
<b>c</b>			
<b>d</b>			
<b>e</b>			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
<b>a</b>			31,460
<b>b</b>			
<b>c</b>			
<b>d</b>			
<b>e</b>			

<b>2</b> Capital gain net income or (net capital loss)	<div style="display: flex; align-items: center;"> <div style="font-size: 3em; margin-right: 5px;">{</div> <div>             If gain, also enter in Part I, line 7              If (loss), enter -0- in Part I, line 7           </div> <div style="font-size: 3em; margin-left: 5px;">}</div> </div>	<b>2</b>	31,460
<b>3</b> Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	<div style="display: flex; align-items: center;"> <div style="font-size: 3em; margin-right: 5px;">{</div> <div></div> <div style="font-size: 3em; margin-left: 5px;">}</div> </div>	<b>3</b>	

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income )

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?



Yes



No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

**1** Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2016	723,160	3,851,439	0 187764
2015	4,401,959	2,471,766	1 780896
2014	248,468	1,603,456	0 154958
2013	316,734	790,818	0 400514
2012	284,560	819,636	0 347179
<b>2</b> Total of line 1, column (d)			<b>2</b> 2 871311
<b>3</b> Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			<b>3</b> 0 574262
<b>4</b> Enter the net value of noncharitable-use assets for 2017 from Part X, line 5			<b>4</b> 6,064,065
<b>5</b> Multiply line 4 by line 3			<b>5</b> 3,482,362
<b>6</b> Enter 1% of net investment income (1% of Part I, line 27b)			<b>6</b> 1,867
<b>7</b> Add lines 5 and 6			<b>7</b> 3,484,229
<b>8</b> Enter qualifying distributions from Part XII, line 4			<b>8</b> 825,610

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)**

<b>1a</b>	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)		
<b>b</b>	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b . . . . .	<b>1</b>	3,734
<b>c</b>	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
<b>2</b>	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	<b>2</b>	
<b>3</b>	Add lines 1 and 2. . . . .	<b>3</b>	3,734
<b>4</b>	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	<b>4</b>	
<b>5</b>	<b>Tax based on investment income.</b> Subtract line 4 from line 3. If zero or less, enter -0- . . . . .	<b>5</b>	3,734
<b>6</b>	Credits/Payments		
<b>a</b>	2017 estimated tax payments and 2016 overpayment credited to 2017	<b>6a</b>	3,000
<b>b</b>	Exempt foreign organizations—tax withheld at source . . . . .	<b>6b</b>	
<b>c</b>	Tax paid with application for extension of time to file (Form 8868) . . . . .	<b>6c</b>	
<b>d</b>	Backup withholding erroneously withheld . . . . .	<b>6d</b>	
<b>7</b>	Total credits and payments. Add lines 6a through 6d. . . . .	<b>7</b>	3,000
<b>8</b>	Enter any <b>penalty</b> for underpayment of estimated tax. Check here <input checked="" type="checkbox"/> if Form 2220 is attached	<b>8</b>	
<b>9</b>	<b>Tax due.</b> If the total of lines 5 and 8 is more than line 7, enter <b>amount owed</b> . . . . . ▶	<b>9</b>	734
<b>10</b>	<b>Overpayment.</b> If line 7 is more than the total of lines 5 and 8, enter the <b>amount overpaid</b> . . . . . ▶	<b>10</b>	
<b>11</b>	Enter the amount of line 10 to be <b>Credited to 2018 estimated tax</b> ▶ <b>Refunded</b> ▶	<b>11</b>	

**Part VII-A Statements Regarding Activities**

	Yes	No
<b>1a</b> During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? . . . . .	<b>1a</b>	No
<b>b</b> Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)? . . . . . <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>	<b>1b</b>	No
<b>c</b> Did the foundation file <b>Form 1120-POL</b> for this year? . . . . .	<b>1c</b>	No
<b>d</b> Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ▶ \$ _____ (2) On foundation managers ▶ \$ _____		
<b>e</b> Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ \$ _____		
<b>2</b> Has the foundation engaged in any activities that have not previously been reported to the IRS? . . . . . <i>If "Yes," attach a detailed description of the activities</i>	<b>2</b>	No
<b>3</b> Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i> . . . . .	<b>3</b>	No
<b>4a</b> Did the foundation have unrelated business gross income of \$1,000 or more during the year? . . . . .	<b>4a</b>	No
<b>b</b> If "Yes," has it filed a tax return on <b>Form 990-T</b> for this year? . . . . .	<b>4b</b>	
<b>5</b> Was there a liquidation, termination, dissolution, or substantial contraction during the year? . . . . . <i>If "Yes," attach the statement required by General Instruction T</i>	<b>5</b>	No
<b>6</b> Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? . . . . .	<b>6</b>	Yes
<b>7</b> Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col (c), and Part XV</i> . . . . .	<b>7</b>	Yes
<b>8a</b> Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ DE _____		
<b>b</b> If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation</i> .	<b>8b</b>	Yes
<b>9</b> Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2017 or the taxable year beginning in 2017 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i> . . . . .	<b>9</b>	No
<b>10</b> Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i> . . . . .	<b>10</b>	No

**Part VII-A Statements Regarding Activities** (continued)

<b>11</b>	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	<b>11</b>		<b>No</b>
<b>12</b>	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	<b>12</b>		<b>No</b>
<b>13</b>	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ►	<b>13</b>	<b>Yes</b>	
<b>14</b>	The books are in care of ► Foundation Source Telephone no ► (800) 839-1754			

Located at ► 501 Silverside Road Suite 123 Wilmington DE ZIP+4 ► 198091377


<b>15</b>	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of <b>Form 1041</b> —Check here . . . . .	<input type="checkbox"/>		
	and enter the amount of tax-exempt interest received or accrued during the year . . . . .	<b>15</b>		
<b>16</b>	At any time during calendar year 2017, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR) If "Yes," enter the name of the foreign country ►	<b>16</b>	<b>Yes</b>	<b>No</b>

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

**File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.**

<b>1a</b>	During the year did the foundation (either directly or indirectly)		<b>Yes</b>	<b>No</b>
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(6) Agree to pay money or property to a government official? ( <b>Exception.</b> Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days).	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
<b>b</b>	If any answer is "Yes" to 1a(1)–(6), did <b>any</b> of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?		<b>1b</b>	
<b>c</b>	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2017?		<b>1c</b>	<b>No</b>
<b>2</b>	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
<b>a</b>	At the end of tax year 2017, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2017?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	If "Yes," list the years ► 20____, 20____, 20____, 20____			
<b>b</b>	Are there any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to <b>all</b> years listed, answer "No" and attach statement—see instructions).		<b>2b</b>	
<b>c</b>	If the provisions of section 4942(a)(2) are being applied to <b>any</b> of the years listed in 2a, list the years here ► 20____, 20____, 20____, 20____			
<b>3a</b>	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
<b>b</b>	If "Yes," did it have excess business holdings in 2017 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2017).		<b>3b</b>	
<b>4a</b>	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		<b>4a</b>	<b>No</b>
<b>b</b>	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2017?		<b>4b</b>	<b>No</b>

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required** (Continued)

<b>5a</b>	<p>During the year did the foundation pay or incur any amount to</p> <p><b>(1)</b> Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p><b>(2)</b> Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p><b>(3)</b> Provide a grant to an individual for travel, study, or other similar purposes? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p><b>(4)</b> Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions). <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No</p> <p><b>(5)</b> Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>			
<b>b</b>	<p>If any answer is "Yes" to 5a(1)–(5), did <b>any</b> of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? <input type="checkbox"/></p> <p>Organizations relying on a current notice regarding disaster assistance check here. <input type="checkbox"/></p>	<b>5b</b>		<b>No</b>
<b>c</b>	<p>If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No</p> <p><i>If "Yes," attach the statement required by Regulations section 53.4945–5(d)</i> </p>			
<b>6a</b>	<p>Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>	<b>6b</b>		<b>No</b>
<b>b</b>	<p>Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p><i>If "Yes" to 6b, file Form 8870</i></p>			
<b>7a</b>	<p>At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>	<b>7b</b>		
<b>b</b>	<p>If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>			

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1

List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
Matthew Stein Foundation Source 501 Silverside Rd Wilmington, DE 198091377	VP 1 0	0	0	0
Sara Stein Foundation Source 501 Silverside Rd Wilmington, DE 198091377	VP 1 0	0	0	0
Sharon Stein Foundation Source 501 Silverside Rd Wilmington, DE 198091377	VP 1 0	0	0	0
William J Stein Foundation Source 501 Silverside Rd Wilmington, DE 198091377	Pres, Dir, Sec 1 0	0	0	0

2

Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	Title, and average hours per week (b) devoted to position	(c) Compensation	Contributions to employee benefit plans and deferred compensation (d)	Expense account, (e) other allowances

Total number of other employees paid over \$50,000. . . . . ▶

3

Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation

Total number of others receiving over \$50,000 for professional services. . . . . ▶

Part IX-A

Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1	
2	
3	
4	

Part IX-B

Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments. See instructions.	
3	

Total. Add lines 1 through 3 . . . . . ▶

**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
<b>a</b>	Average monthly fair market value of securities.	<b>1a</b>	5,963,491
<b>b</b>	Average of monthly cash balances.	<b>1b</b>	192,920
<b>c</b>	Fair market value of all other assets (see instructions).	<b>1c</b>	0
<b>d</b>	<b>Total</b> (add lines 1a, b, and c).	<b>1d</b>	6,156,411
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	<b>1e</b>	
<b>2</b>	Acquisition indebtedness applicable to line 1 assets.	<b>2</b>	0
<b>3</b>	Subtract line 2 from line 1d.	<b>3</b>	6,156,411
<b>4</b>	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	<b>4</b>	92,346
<b>5</b>	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4.	<b>5</b>	6,064,065
<b>6</b>	<b>Minimum investment return.</b> Enter 5% of line 5.	<b>6</b>	303,203

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

<b>1</b>	Minimum investment return from Part X, line 6.	<b>1</b>	303,203
<b>2a</b>	Tax on investment income for 2017 from Part VI, line 5.	<b>2a</b>	3,734
<b>b</b>	Income tax for 2017 (This does not include the tax from Part VI).	<b>2b</b>	
<b>c</b>	Add lines 2a and 2b.	<b>2c</b>	3,734
<b>3</b>	Distributable amount before adjustments. Subtract line 2c from line 1.	<b>3</b>	299,469
<b>4</b>	Recoveries of amounts treated as qualifying distributions.	<b>4</b>	
<b>5</b>	Add lines 3 and 4.	<b>5</b>	299,469
<b>6</b>	Deduction from distributable amount (see instructions).	<b>6</b>	
<b>7</b>	<b>Distributable amount</b> as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	<b>7</b>	299,469

**Part XII Qualifying Distributions** (see instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
<b>a</b>	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	<b>1a</b>	825,610
<b>b</b>	Program-related investments—total from Part IX-B.	<b>1b</b>	0
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	<b>2</b>	0
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the		
<b>a</b>	Suitability test (prior IRS approval required).	<b>3a</b>	0
<b>b</b>	Cash distribution test (attach the required schedule).	<b>3b</b>	0
<b>4</b>	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4.	<b>4</b>	825,610
<b>5</b>	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions).	<b>5</b>	
<b>6</b>	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4.	<b>6</b>	825,610

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.



**Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2016	(c) 2016	(d) 2017
<b>1</b> Distributable amount for 2017 from Part XI, line 7				299,469
<b>2</b> Undistributed income, if any, as of the end of 2017				
<b>a</b> Enter amount for 2016 only. . . . .				
<b>b</b> Total for prior years 2015, 2014, 2013				
<b>3</b> Excess distributions carryover, if any, to 2017				
<b>a</b> From 2012. . . . . 244,210				
<b>b</b> From 2013. . . . . 277,704				
<b>c</b> From 2014. . . . . 170,097				
<b>d</b> From 2015. . . . . 4,279,673				
<b>e</b> From 2016. . . . . 531,977				
<b>f</b> <b>Total</b> of lines 3a through e. . . . .	5,503,661			
<b>4</b> Qualifying distributions for 2017 from Part XII, line 4 ▶ \$ <u>825,610</u>				
<b>a</b> Applied to 2016, but not more than line 2a				
<b>b</b> Applied to undistributed income of prior years (Election required—see instructions). . . . .				
<b>c</b> Treated as distributions out of corpus (Election required—see instructions). . . . .				
<b>d</b> Applied to 2017 distributable amount. . . . .				299,469
<b>e</b> Remaining amount distributed out of corpus	526,141			
<b>5</b> Excess distributions carryover applied to 2017 (If an amount appears in column (d), the same amount must be shown in column (a) )				
<b>6</b> <b>Enter the net total of each column as indicated below:</b>				
<b>a</b> Corpus Add lines 3f, 4c, and 4e Subtract line 5	6,029,802			
<b>b</b> Prior years' undistributed income Subtract line 4b from line 2b . . . . .				
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed. . . . .				
<b>d</b> Subtract line 6c from line 6b Taxable amount—see instructions . . . . .				
<b>e</b> Undistributed income for 2016 Subtract line 4a from line 2a Taxable amount—see instructions . . . . .				
<b>f</b> Undistributed income for 2017 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2018 . . . . .				0
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions). . . . .				
<b>8</b> Excess distributions carryover from 2012 not applied on line 5 or line 7 (see instructions). . . . .	244,210			
<b>9</b> <b>Excess distributions carryover to 2018.</b> Subtract lines 7 and 8 from line 6a . . . . .	5,785,592			
<b>10</b> Analysis of line 9				
<b>a</b> Excess from 2013. . . . . 277,704				
<b>b</b> Excess from 2014. . . . . 170,097				
<b>c</b> Excess from 2015. . . . . 4,279,673				
<b>d</b> Excess from 2016. . . . . 531,977				
<b>e</b> Excess from 2017. . . . . 526,141				

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

**1a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2017, enter the date of the ruling. . . . . ▶

**b** Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

**2a** Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed . . . . .

	Tax year	Prior 3 years			(e) Total
	(a) 2017	(b) 2016	(c) 2015	(d) 2014	
<b>b</b> 85% of line 2a . . . . .					
<b>c</b> Qualifying distributions from Part XII, line 4 for each year listed . . . . .					
<b>d</b> Amounts included in line 2c not used directly for active conduct of exempt activities . . . . .					
<b>e</b> Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c . . . . .					

**3** Complete 3a, b, or c for the alternative test relied upon

**a** "Assets" alternative test—enter

(1) Value of all assets . . . . .

(2) Value of assets qualifying under section 4942(j)(3)(B)(i) . . . . .

**b** "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . . . .

**c** "Support" alternative test—enter

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) . . . . .

(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). . . . .

(3) Largest amount of support from an exempt organization . . . . .

(4) Gross investment income . . . . .

**Part XV Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)**

**1 Information Regarding Foundation Managers:**

**a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2) )  
See Additional Data Table

**b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

**a** The name, address, and telephone number or email address of the person to whom applications should be addressed

**b** The form in which applications should be submitted and information and materials they should include

**c** Any submission deadlines

**d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

**Part XV** **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i> See Additional Data Table				
<b>Total</b> . . . . .			<b>3a</b>	797,080
<b>b</b> <i>Approved for future payment</i>				
<b>Total</b> . . . . .			<b>3b</b>	

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions )
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
<b>1</b> Program service revenue					
<b>a</b> _____					
<b>b</b> _____					
<b>c</b> _____					
<b>d</b> _____					
<b>e</b> _____					
<b>f</b> _____					
<b>g</b> Fees and contracts from government agencies					
<b>2</b> Membership dues and assessments. . . . .					
<b>3</b> Interest on savings and temporary cash investments . . . . .			14	931	
<b>4</b> Dividends and interest from securities. . . . .			14	174,494	
<b>5</b> Net rental income or (loss) from real estate					
<b>a</b> Debt-financed property. . . . .					
<b>b</b> Not debt-financed property. . . . .					
<b>6</b> Net rental income or (loss) from personal property					
<b>7</b> Other investment income. . . . .					
<b>8</b> Gain or (loss) from sales of assets other than inventory . . . . .			18	31,424	
<b>9</b> Net income or (loss) from special events					
<b>10</b> Gross profit or (loss) from sales of inventory					
<b>11</b> Other revenue <b>a</b> _____					
<b>b</b> _____					
<b>c</b> _____					
<b>d</b> _____					
<b>e</b> _____					
<b>12</b> Subtotal. Add columns (b), (d), and (e). . .				206,849	
<b>13</b> <b>Total.</b> Add line 12, columns (b), (d), and (e). . . . .			<b>13</b>		206,849

(See worksheet in line 13 instructions to verify calculations )

## Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

**Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

<b>1</b> Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?		<b>Yes</b>	<b>No</b>
<b>a</b> Transfers from the reporting foundation to a noncharitable exempt organization of			
<b>(1)</b> Cash. . . . .	<b>1a(1)</b>		<b>No</b>
<b>(2)</b> Other assets. . . . .	<b>1a(2)</b>		<b>No</b>
<b>b</b> Other transactions			
<b>(1)</b> Sales of assets to a noncharitable exempt organization. . . . .	<b>1b(1)</b>		<b>No</b>
<b>(2)</b> Purchases of assets from a noncharitable exempt organization. . . . .	<b>1b(2)</b>		<b>No</b>
<b>(3)</b> Rental of facilities, equipment, or other assets. . . . .	<b>1b(3)</b>		<b>No</b>
<b>(4)</b> Reimbursement arrangements. . . . .	<b>1b(4)</b>		<b>No</b>
<b>(5)</b> Loans or loan guarantees. . . . .	<b>1b(5)</b>		<b>No</b>
<b>(6)</b> Performance of services or membership or fundraising solicitations. . . . .	<b>1b(6)</b>		<b>No</b>
<b>c</b> Sharing of facilities, equipment, mailing lists, other assets, or paid employees. . . . .	<b>1c</b>		<b>No</b>
<b>d</b> If the answer to any of the above is "Yes," complete the following schedule. Column <b>(b)</b> should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column <b>(d)</b> the value of the goods, other assets, or services received.			

(a) Line No	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

**2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? . . . . . ☐ Yes ☒ No

**b** If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

<b>Sign Here</b>	Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.		
	*****	2018-04-11	*****
	Signature of officer or trustee	Date	Title

May the IRS discuss this return with the preparer shown below (see instr )? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
--

<b>Paid Preparer Use Only</b>	Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed <input type="checkbox"/>	PTIN
	Jeffrey D Haskell				P01345770
	Firm's name ▶ Foundation Source				Firm's EIN ▶
Firm's address ▶ One Hollow Ln Ste 212 Lake Success, NY 11042					Phone no (800) 839-1754


**Form 990PF Part XV Line 1a - List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000).**

Sharon Stein
William J Stein

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment


Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
ALZHEIMERS DISEASE AND RELATED DISORDERS NEW YORK 360 LEXINGTON AVE NEW YORK, NY 10017	N/A	PC	General & Unrestricted	1,000
ALZHEIMERS DISEASE AND RELATED DISORDERS NEW YORK 360 LEXINGTON AVE NEW YORK, NY 10017	N/A	PC	Charitable Event	2,500
APROPOS-HOUSING OPPORTUNITIES AND MANAGEMENT ENTER 141 THOMPCKINS AVE 3RD FL PLEASANTVILLE, NY 10570	N/A	PC	Computers Project	5,000
BACKYARD SPORTS CARES INC 75 S BROADWAY WHITE PLAINS, NY 10601	N/A	PC	Charitable Event	7,500
CANCER HOPE NETWORK INC2 N RD WESTFIELD, NJ 07930	N/A	PC	Charitable Event	5,000
<b>Total . . . . .</b> ► <b>3a</b>				797,080

**Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
CHALLENGED ATHLETES INC 9591 WAPLES ST SAN DIEGO, CA 92121	N/A	PC	Charitable Event	2,500
CHANGE FOR KIDS INC110 E 23RD ST NEW YORK, NY 10010	N/A	PC	Charitable Event	2,500
CHILDRENS HOPE CHEST INC PO BOX 460 PURCHASE, NY 10577	N/A	PC	Mother's Day Without Mother's Program	10,000
CITY YEAR NEW YORK 20 W 22ND ST 3RD FL NEW YORK, NY 10010	N/A	PC	Charitable Event	5,000
DAPPER MCDONALD CHARITABLE FOUNDATION 11 VIRGINIA RD WHITE PLAINS, NY 10603	N/A	PC	to maintain the chelsea jewish greenhouse dapper mcdonald residence	300,000
<b>Total . . . . .</b> 				797,080



Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
EAST HARLEM VILLAGE ACADEMY CHARTER SCHOOL 35 W 124TH ST NEW YORK, NY 10027	N/A	PC	Charitable Event	5,000
GEORGE SNOW SCHOLARSHIP FUND INC 201 PLZ REAL STE 260 BOCA RATON, FL 33432	N/A	PC	Charitable Event	2,500
HARRISON CHILDRENS CENTER INC 300 HARRISON AVE HARRISON, NY 10528	N/A	PC	Scholarship Fund	10,000
IRAQ AND AFGHANISTAN VETERANS OF AMERICA INC 119 W 40TH ST 19TH FL NEW YORK, NY 10018	N/A	PC	Charitable Event	2,500
LEUKEMIA & LYMPHOMA SOCIETY INC 3 INTERNATIONAL DR STE 200 RYE BROOK, NY 10573	N/A	PC	Charitable Event	2,500
<b>Total . . . . .</b> <b>3a</b>				797,080

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
LISA B FISHMAN FOUNDATION INC 11 WEDGEWOOD DR MONTVILLE, NJ 07045	N/A	PC	Charitable Event	2,500
LUPUS RESEARCH ALLIANCE INC 275 MADISON AVE 10TH FL NEW YORK, NY 10016	N/A	PC	Charitable Event	2,500
MARRIOTT FOUNDATION FOR PEOPLE WITH DISABILITIES I 10400 FERNWOOD RD BETHESDA, MD 20817	N/A	PC	Charitable Event	2,500
MELANOMA RESEARCH FOUNDATION 1411 K ST NW STE 800 WASHINGTON, DC 20005	N/A	PC	Charitable Event	2,500
NATIONAL BRAIN TUMOR SOCIETY INC 55 CHAPEL ST STE 200 NEWTON, MA 02458	N/A	PC	Charitable Event	2,500
<b>Total</b> . . . . . 				797,080
<b>3a</b>				

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
PAN MASSACHUSETTS CHALLENGE TRUST 77 4TH AVE NEEDHAM HGTS, MA 02494	N/A	PC	Charitable Event	2,500
PURCHASE COMMUNITY INC 3095 PURCHASE ST PURCHASE, NY 10577	N/A	PC	Capitol Fund Drive	1,000
READING PARTNERS 180 GRAND AVE STE 800 OAKLAND, CA 94612	N/A	PC	Charitable Event	2,500
REGENTS OF THE UNIVERSITY OF MICHIGAN WOLVERINE TOWER 3003 S STATE ST STE ANN ARBOR, MI 48109	N/A	PC	Mott Children's Hospital pediatric brain cancer research and innovation fund	200,000
SAINTS BRIGADE LTD 219 WESTCHESTER AVE PORT CHESTER, NY 10573	N/A	POF	expenditure responsibility grant	100,000
<b>Total . . . . . ▶</b> <b>3a</b>				797,080

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
TRUSTEES OF THE UNIVERSITY OF PENNSYLVANIA - SCHOO 3535 MARKET ST STE 750 PHILADELPHIA, PA 19104	N/A	PC	Charitable Event	100,000
UNITED WAY OF NEW YORK CITY 205 E 42ND ST 12T NEW YORK, NY 10017	N/A	PC	General & Unrestricted	5,000
YOUNG MENS CHRISTIAN ASSOCIATION OF GREATER NEW YO 5 W 63RD ST 6TH FL NEW YORK, NY 10023	N/A	PC	Strong Kid's Summer Camp Program	10,080
<b>Total . . . . .</b> ▶ <b>3a</b>				797,080

**Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.**

## **TY 2017 Depreciation Schedule**

**Name:** The Stein Family Charitable Foundation

**EIN:** 45-2732010

**Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.**

# **TY 2017 Expenditure Responsibility Statement**

**Name:** The Stein Family Charitable Foundation

**EIN:** 45-2732010

Grantee's Name	Grantee's Address	Grant Date	Grant Amount	Grant Purpose	Amount Expended By Grantee	Any Diversion By Grantee?	Dates of Reports By Grantee	Date of Verification	Results of Verification
SAINTS BRIGADE ltd	219 WESTCHESTER AVENUE PORT CHESTER, NY 10573	2014-06-10	25,000	TO FUND THE SAINTS BRIGADE SCHOLARSHIP PROGRAM	10,000	NO	2/04/15, 9/23/16, 5/4/17		NONE NECESSARY
SAINTS BRIGADE ltd	219 WESTCHESTER AVENUE PORT CHESTER, NY 10573	2015-02-23	25,000	TO PURCHASE MUSICAL EQUIPMENT FOR THE EDUCATION PROGRAM	25,000	NO	9/23/16, 5/4/17		NONE NECESSARY
SAINTS BRIGADE ltd	219 WESTCHESTER AVENUE PORT CHESTER, NY 10573	2016-01-19	25,000	TO SUPPORT THE MUSIC EDUCATIONAL PROGRAM	25,000	NO	5/4/17		NONE NECESSARY
SAINTS BRIGADE ltd	219 WESTCHESTER AVE PORT CHESTER, NY 10573	2016-10-14	50,000	TO SUPPORT THE MUSIC EDUCATION PROGRAM	25,000	NO	5/4/17		NONE NECESSARY
SAINTS BRIGADE ltd	219 WESTCHESTER AVENUE PORT CHESTER, NY 10573	2017-01-27	50,000	TO SUPPORT THE MUSIC EDUCATION PROGRAM	0	NO	report expected in 2018		none necessary
SAINTS BRIGADE ltd	219 WESTCHESTER AVENUE PORT CHESTER, NY 10573	2017-06-02	50,000	To help fund the drum corps project	0	NO	report expected in 2018		NONE NECESSARY

## TY 2017 Investments Corporate Bonds Schedule

**Name:** The Stein Family Charitable Foundation

**EIN:** 45-2732010

### Investments Corporate Bonds Schedule

Name of Bond	End of Year Book Value	End of Year Fair Market Value
AMERICAN TOWER CORP - 0.000% -	3,085	3,068
AMERICAN TOWER CORP - 3.400% -	15,338	15,170
AMERICAN TOWER CORP - 4.700% -	3,200	3,211
AMERICAN TOWER CORP - 5.050% -	14,066	13,784
AMERICAN TOWER CORP BOND - 4.0	6,202	6,217
ARROW ELECTRONICS INC NOTE - 4	3,202	3,156
ARROW ELECTRS INC NOTE - 3.250	46,689	46,054
AT&T, INC - 3.950% - 01/15/202	52,963	54,265
CDW LLC/CDW FINANCE - 5.000% -	52,265	52,721
CENTURYLINK INC - 5.800% - 03/	23,838	24,485
CENTURYLINK INC NOTE - 0.000%	27,063	24,938
DAVITA HEALTHCARE NT - 5.000%	9,908	9,997
DAVITA HEALTHCARE PTNRS - 5.12	20,625	20,200
DAVITA INC - 5.750% - 08/15/20	23,394	22,633
DISCOVERY COMMUNICATIONS LLC -	21,420	21,303
DISCOVERY COMMUNICATIONS LLC B	34,427	36,272
DISH DBS CORP NTS - 6.750% - 0	53,945	51,450
DUN & BRADSTREET - 4.000% - 06	3,097	3,077
DUN & BRADSTREET CORP - 4.375%	51,535	51,723
EXPEDIA INC - 4.500% - 08/15/2	24,117	24,087

**Investments Corporate Bonds Schedule**

Name of Bond	End of Year Book Value	End of Year Fair Market Value
EXPEDIA INC DEL - 5.950% - 08/	33,274	32,277
FISERV INC - 3.500% - 10/01/20	35,525	36,024
FISERV INC - 4.750% - 06/15/20	22,758	22,419
GAP INC - 5.950% - 04/12/2021	53,548	52,836
HOST HOTELS & RESORTS - 4.750%	58,339	57,443
INTL GAME TECHNOLOGY - 5.000%	29,155	29,470
KLA-TENCOR CORP SR GLBL NT - 4	3,137	3,130
KLA-TENCOR SR GLBL NT - 4.650%	52,408	55,320
KOHL'S CORP - 4.250% - 07/17/20	37,820	38,676
KOHL'S CORP - 4.750% - 12/15/20	20,648	21,075
KRAFT HEINZ FOODS CO - 3.950%	51,909	51,644
L BRANDS INC - 5.625% - 10/15/	50,541	51,780
L-3 COMMUNICATIONS CORP - 5.20	26,932	26,186
L-3 COMMUNICATIONS SR GLBL NOT	18,942	18,737
LABORATORY CORP - 3.750% - 08/	34,813	35,261
LABORATORY CORP AMERHLDGS - 3.	21,951	22,034
LIMITED BRANDS INC - 5.625% -	3,143	3,199
MASCO CORP - 4.450% - 04/01/20	3,034	3,181
MASCO CORP NT - 5.950% - 03/15	53,936	53,142
MOTOROLA SOLUTIONS INC - 4.000	56,264	57,436



**Investments Corporate Bonds Schedule**

Name of Bond	End of Year Book Value	End of Year Fair Market Value
OMNICOM GROUP INC BOND - 3.600	45,455	45,526
QVC INC - 4.450% - 02/15/2025	47,712	48,963
QVC INC - 4.850% - 04/01/2024	8,872	9,458
RPM INTL - 6.125% - 10/15/2019	29,046	27,683
SALLY HLDGS LLC - 5.625% - 12/	26,390	25,870
STEEL DYNAMICS INC - 5.125% -	9,270	9,225
TESORO - 5.125% - 04/01/2024	57,172	57,002
TOTAL SYS SVCS - 0.000% - 06/0	16,565	17,355
TOTAL SYS SVCS - 3.800% - 04/0	15,964	16,400
TRANSDIGM INC - 0.000% - 07/15	28,980	28,560
TRANSDIGM INC - 6.500% - 07/15	25,238	24,600
TRINITY ACQUISITION PLC - 4.40	37,610	38,073
UNITED RENTALS - 5.750% - 11/1	25,348	25,260
UNITED RENTALS NT - 5.500% - 0	27,810	28,620
VALMONT INDUSTRIES INC - 6.625	34,217	32,640
VERISK ANALYTICS - 5.800% - 05	32,580	31,555
VERISK ANALYTICS INC - 4.000%	23,172	22,750
VERIZON COMMUNICATIONS INC - 5	59,029	58,942
VIACOM INC NOTE - 4.250% - 09/	56,847	57,174
WILLIS NORTH AMERICA - 3.600%	20,490	20,332

**TY 2017 Investments Corporate Stock Schedule**

**Name:** The Stein Family Charitable Foundation  
**EIN:** 45-2732010

Name of Stock	End of Year Book Value	End of Year Fair Market Value
ABERDEEN EMERGING MARKETS INST	84,507	108,799
ACCENTURE PLC	12,077	15,462
ACUSHNET HOLDINGS CORP	1,520	1,665
ADIENT PLC	2,608	2,912
ADOBE SYSTEMS, INC	5,298	9,112
AGREE REALTY CORP	583	669
ALASKA AIR GROUP INC	2,763	2,793
ALBANY INTERNATIONAL CORP	562	676
ALLETE INC	1,411	1,636
ALLIANT CORP	1,669	1,917
ALPHABET INC CL A	6,213	8,427
AMERICAN TOWER REIT INC	6,493	7,990
AMERIPRISE FINANCIAL INC	6,047	8,982
AMERISOURCEBERGEN CORP	6,140	7,070
APPLIED IDNS TECH	653	749
ARES CAPITAL CORPORATION - CLO	2,320	2,279
AUTOLIV INC	1,297	1,652
AVERY DENNISON CORP	1,109	1,723
B&G FOODS INC	3,885	3,550
BANCO LATINOAMERICANO DE COMER	2,289	2,152
BANK OF THE OZARKS	3,731	3,924
BGC PARTNERS INC	1,576	2,387
BLACKROCK INC	11,636	15,411
BOK FINANCIAL CORP	1,281	1,477
BRITISH AMERICAN TOBACCO PLC	6,483	7,704
BROADRIDGE FINANCIAL	1,400	1,902
CHEVRON CORP	6,933	7,511
CHUBB LIMITED	6,068	6,722
COLGATE-PALMOLIVE COMPANY	12,905	14,788
COLONY NORTHSTAR INC	3,010	2,419

Name of Stock	End of Year Book Value	End of Year Fair Market Value
COMCAST CORP	7,147	8,170
CONSOLIDATED COMMUNICATIONS HO	995	536
CRANE CO	8,493	9,903
CULLEN FROST BANKERS INC	2,276	2,650
DANAHER CORP	6,085	7,333
DIAGEO PLC ADS	5,570	7,886
DONALDSON CO. INC	722	881
EAST WEST BANCORP, INC	1,640	2,129
EASTGROUP PROPERTIES INC	546	707
ECOLAB INC	6,330	7,112
ENLINK MIDSTREAM LLC	2,548	2,534
ENTERCOM COMMUN CP	1,549	1,447
EPR PROPERTIES	2,150	2,029
FACEBOOK INC	5,634	8,470
FIDELITY NATIONAL FINANCIAL IN	1,425	2,080
FORTIS INC	646	770
FULLER H B CO	1,554	1,508
GALLAGHER ARTHUR J & CO	1,180	1,455
GARMIN LTD	992	1,132
GRAMERCY PROPERTY TRUST INC	2,376	2,319
GREAT PLAINS ENERGY	1,719	2,031
HASBRO INC	1,570	1,545
HAWAIIAN HOLDINGS INC	727	877
HERCULES TECHNOLOGY GROWTH	1,184	1,128
HILTON GRAND VACATIONS INC.	193,566	354,646
HILTON WORLDWIDE HOLDINGS, INC	1,369,705	2,250,695
HNI CORP	3,165	2,314
HOLLYFRONTIER CORP	1,489	2,715
HOME DEPOT INC	11,912	17,247
HONEYWELL INTL	6,094	8,281

Name of Stock	End of Year Book Value	End of Year Fair Market Value
HOPE BANCORP INC	1,509	1,497
HUBBELL INCORPORATED	1,115	1,353
HUNTSMAN CORP	1,156	2,064
IDEX CP	747	1,056
INGREDION INC	1,438	1,678
INTEL CORP	6,698	8,678
INTERCONTINENTAL EXCHANGE, INC	6,160	7,550
INVESCO PLC	13,536	15,347
ISHARES CORE MSCI EAFE ETF	124,139	153,131
ISHARES S&P MIDCAP 400 GROWTH	82,757	96,692
ISHARES S&P SMALLCAP 600 GROWT	45,868	51,215
J2 GLOBAL INC	2,070	2,026
JAMES RIVER GROUP HOLDINGS LTD	1,372	1,400
JOHNSON & JOHNSON	6,494	6,846
KAR AUCTION SERVICES INC	1,898	2,121
LASALLE HOTEL PROP	1,241	1,291
LEIDOS HOLDINGS INC	1,834	2,325
LINCOLN ELECTRIC HOLDING INC	771	916
LITTELFUSE, INC	1,207	1,583
LOCKHEED MARTIN CORP	6,287	7,705
LOWES COMPANIES INC	6,165	7,900
MARSH AND MCLENNAN COMPANIES I	6,096	7,162
MAXIM INTEGRATED PRODS INC	757	993
MCDONALD'S CORP	6,014	8,606
MEDICAL PROPERTIES TRUST, INC	2,851	3,211
MEDTRONIC PLC	12,943	14,374
MICROSOFT CORP	5,717	7,955
MKS INSTRUMENTS, INC	834	851
MONDELEZ INTERNATIONAL INC	8,285	7,875
MSA SAFETY INCORPORATED	1,132	1,395

Name of Stock	End of Year Book Value	End of Year Fair Market Value
MSC INDUSTRIAL DRCT	432	580
NEXTERA ENERGY, INC	5,735	7,653
NORDSON CP	1,302	1,757
NORDSTROM INC	1,686	1,611
NOVARTIS AG ADR	6,240	7,556
O'REILLY AUTOMOTIVE INC	7,601	6,976
OAKMARK INTERNATIONAL FUND CL	196,384	234,508
OMEGA HEALTHCARE INV	3,533	3,387
PACKAGING CORP AMER	1,110	1,567
PACWEST BANCORP	3,399	3,377
PARK HOTELS & RESORTS INC	445,806	530,180
PARKER HANNIFIN CP	7,118	8,183
PEBBLEBROOK HOTEL TR COM	1,342	1,784
PEPSICO INC	5,945	6,955
PJT PARTNERS INC	472,234	733,568
PNM RESOURCES INC	834	1,011
PRAXAIR INC	6,107	7,734
RAMCO-GERSHENSON PROPERTIES	907	1,061
RED HAT, INC	5,503	8,527
ROCKWELL AUTOMATION INC	11,740	16,886
RPM INTERNATIONAL INC	1,471	1,468
SCHLUMBERGER LTD	8,352	8,356
SINCLARI BROADCAST GROUP INC	2,908	3,444
SNAP ON INC	3,058	3,312
STARBUCKS CORP COM	7,890	7,868
STERIS PLC	2,418	3,149
SUNCOR ENERGY INC	7,215	8,152
TANGER FACTORY OUTLET CENTERS	1,415	1,405
TARGA RESOURCES CORP	2,697	2,760
TELEFLEX INC	1,236	1,991

<b>Name of Stock</b>	<b>End of Year Book Value</b>	<b>End of Year Fair Market Value</b>
TEXAS INSTRUMENTS INC	5,646	8,146
THE COCA-COLA CO	6,901	7,570
THERMO FISHER SCIENTIFIC INC	6,097	8,165
TJX COMPANIES INC	7,156	7,111
TRAVELPORT WORLDWIDE LIMITED	2,806	2,666
TUPPERWARE CORP	1,031	1,129
UNION PACIFIC	5,882	7,510
UNITED TECHNOLOGIES CORP	12,256	14,415
V F CORP	6,263	8,140
VALIDUS HLDS LTD	2,928	2,581
VECTREN CORP	1,058	1,365
VERMILION ENERGY	2,786	2,724
VISA INC	6,027	8,894
WALT DISNEY HOLDINGS CO	6,434	6,881
WASHINGTON TRUST BANCORP INC	1,344	1,385

**TY 2017 Other Expenses Schedule****Name:** The Stein Family Charitable Foundation**EIN:** 45-2732010**Other Expenses Schedule**

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Administrative Fees	28,505			28,505
Bank Charges	4	4		
State or Local Filing Fees	25			25

**TY 2017 Other Professional Fees Schedule****Name:** The Stein Family Charitable Foundation**EIN:** 45-2732010

<b>Category</b>	<b>Amount</b>	<b>Net Investment Income</b>	<b>Adjusted Net Income</b>	<b>Disbursements for Charitable Purposes</b>
Investment Management Services	20,003	20,003		



**TY 2017 Taxes Schedule****Name:** The Stein Family Charitable Foundation**EIN:** 45-2732010

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
990-PF Estimated Tax for 2017	3,000			
990-PF Excise Tax for 2016	71			
990-PF Extension for 2016	569			
Foreign Tax Paid	163	163		

efile GRAPHIC print - DO NOT PROCESS		As Filed Data -		DLN: 93491114004048	
<b>Schedule B</b> (Form 990, 990-EZ, or 990-PF) Department of the Treasury Internal Revenue Service		<b>Schedule of Contributors</b> ▶ Attach to Form 990, 990-EZ, or 990-PF ▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at <a href="http://www.irs.gov/form990">www.irs.gov/form990</a>			OMB No 1545-0047  <b>2017</b>
<b>Name of the organization</b> The Stein Family Charitable Foundation				<b>Employer identification number</b> 45-2732010	

Organization type (check one)

<b>Filers of:</b>	<b>Section:</b>
Form 990 or 990-EZ	<input type="checkbox"/> 501(c)( ) (enter number) organization
	<input type="checkbox"/> 4947(a)(1) nonexempt charitable trust <b>not</b> treated as a private foundation
	<input type="checkbox"/> 527 political organization
Form 990-PF	<input checked="" type="checkbox"/> 501(c)(3) exempt private foundation
	<input type="checkbox"/> 4947(a)(1) nonexempt charitable trust treated as a private foundation
	<input type="checkbox"/> 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.  
**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or other property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

- ☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33<sup>1</sup>/<sub>3</sub>% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year. . . . ▶ \$ \_\_\_\_\_

**Caution.** An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

<b>Name of organization</b> The Stein Family Charitable Foundation	<b>Employer identification number</b> 45-2732010
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<b>Part I</b> <b>Contributors</b> (See instructions) Use duplicate copies of Part I if additional space is needed			
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	Stein William J 4 Rolling Hills Lane Harrison, NY10528	\$ 891,882	<b>Person</b> <input checked="" type="checkbox"/> <b>Payroll</b> <input type="checkbox"/> <b>Noncash</b> <input checked="" type="checkbox"/> (Complete Part II for noncash contributions )
-		\$	<b>Person</b> <input type="checkbox"/> <b>Payroll</b> <input type="checkbox"/> <b>Noncash</b> <input type="checkbox"/> (Complete Part II for noncash contributions )
-		\$	<b>Person</b> <input type="checkbox"/> <b>Payroll</b> <input type="checkbox"/> <b>Noncash</b> <input type="checkbox"/> (Complete Part II for noncash contributions )
-		\$	<b>Person</b> <input type="checkbox"/> <b>Payroll</b> <input type="checkbox"/> <b>Noncash</b> <input type="checkbox"/> (Complete Part II for noncash contributions )
-		\$	<b>Person</b> <input type="checkbox"/> <b>Payroll</b> <input type="checkbox"/> <b>Noncash</b> <input type="checkbox"/> (Complete Part II for noncash contributions )
-		\$	<b>Person</b> <input type="checkbox"/> <b>Payroll</b> <input type="checkbox"/> <b>Noncash</b> <input type="checkbox"/> (Complete Part II for noncash contributions )
-		\$	<b>Person</b> <input type="checkbox"/> <b>Payroll</b> <input type="checkbox"/> <b>Noncash</b> <input type="checkbox"/> (Complete Part II for noncash contributions )
-		\$	<b>Person</b> <input type="checkbox"/> <b>Payroll</b> <input type="checkbox"/> <b>Noncash</b> <input type="checkbox"/> (Complete Part II for noncash contributions )

<b>Name of organization</b> The Stein Family Charitable Foundation	<b>Employer identification number</b> 45-2732010
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**Part II** **Noncash Property** (See instructions) Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
1	HILTON GRAND VACATIONS INC HGV, 1151 sh	\$ 47,438	2017-11-09
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
1	HILTON WORLDWIDE HOLDINGS, INC HLT, 3838 sh	\$ 277,219	2017-11-09
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
1	PARK HOTELS & RESORTS INC PK, 2303 sh	\$ 67,225	2017-11-09
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		\$	

Employer identification number

45-2732010

Use duplicate copies of Part III if additional space is needed

Schedule B (Form 990, 990-EZ, or 990-PF) (2017)