

efile GRAPHIC print - DO NOT PROCESS		As Filed Data -		DLN: 93491132002018			
Form 990-PF Department of the Treasury Internal Revenue Service		Return of Private Foundation or Section 4947(a)(1) Trust Treated as Private Foundation Do not enter social security numbers on this form as it may be made public. Information about Form 990-PF and its instructions is at www.irs.gov/form990pf.			OMB No 1545-0052 2017 Open to Public Inspection		
For calendar year 2017, or tax year beginning 01-01-2017, and ending 12-31-2017							
Name of foundation HOWARD ARCHDEACON FAMILY FOUNDATION INC				A Employer identification number 43-6870666			
Number and street (or P.O. box number if mail is not delivered to street address) C/O J ALBANESI 14 WATKINS DRIVE			Room/suite	B Telephone number (see instructions) (203) 304-1401			
City or town, state or province, country, and ZIP or foreign postal code SANDY HOOK, CT 06482				C If exemption application is pending, check here			
G Check all that apply Initial return Final return Address change Initial return of a former public charity Amended return Name change				D 1. Foreign organizations, check here 2. Foreign organizations meeting the 85% test, check here and attach computation			
H Check type of organization Section 501(c)(3) exempt private foundation Section 4947(a)(1) nonexempt charitable trust Other taxable private foundation				E If private foundation status was terminated under section 507(b)(1)(A), check here			
I Fair market value of all assets at end of year (from Part II, col (c), line 16)\$ 1,716,413		J Accounting method Cash Accrual Other (specify) (Part I, column (d) must be on cash basis)		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here			
Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))				(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc., received (attach schedule)					
	2	Check If the foundation is not required to attach Sch. B					
	3	Interest on savings and temporary cash investments					
	4	Dividends and interest from securities		35,377			
	5a	Gross rents					
	b	Net rental income or (loss)					
	6a	Net gain or (loss) from sale of assets not on line 10					
	b	Gross sales price for all assets on line 6a					
	7	Capital gain net income (from Part IV, line 2)			43,484		
	8	Net short-term capital gain					
	9	Income modifications					
	10a	Gross sales less returns and allowances					
b	Less Cost of goods sold						
c	Gross profit or (loss) (attach schedule)						
11	Other income (attach schedule)						
12	Total. Add lines 1 through 11		35,377	43,484			
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc		24,000	24,000		
	14	Other employee salaries and wages					
	15	Pension plans, employee benefits					
	16a	Legal fees (attach schedule)					
	b	Accounting fees (attach schedule)		2,000	2,000		
	c	Other professional fees (attach schedule)					
	17	Interest					
	18	Taxes (attach schedule) (see instructions)		204	204		
	19	Depreciation (attach schedule) and depletion					
	20	Occupancy					
	21	Travel, conferences, and meetings		1,007	1,007		
	22	Printing and publications					
	23	Other expenses (attach schedule)		100	100		
	24	Total operating and administrative expenses. Add lines 13 through 23		27,311	27,311		0
	25	Contributions, gifts, grants paid		53,794			53,794
26	Total expenses and disbursements. Add lines 24 and 25		81,105	27,311		53,794	
27	Subtract line 26 from line 12						
a	Excess of revenue over expenses and disbursements		-45,728				
b	Net investment income (if negative, enter -0-)			16,173			
c	Adjusted net income (if negative, enter -0-)						
For Paperwork Reduction Act Notice, see instructions.				Cat No 11289X		Form 990-PF (2017)	

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)			
		Beginning of year (a) Book Value	End of year (b) Book Value (c) Fair Market Value		
Assets	1	Cash—non-interest-bearing			
	2	Savings and temporary cash investments	73,413	117,497	117,497
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)	166,000	166,000	164,722
	b	Investments—corporate stock (attach schedule)	869,029	862,702	1,313,971
	c	Investments—corporate bonds (attach schedule)	115,733	75,733	75,460
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)	45,000	45,000	44,763
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
15	Other assets (describe ▶ _____)				
16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	1,269,175	1,266,932	1,716,413	
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶ _____)			
	23	Total liabilities (add lines 17 through 22)		0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☒ and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted	1,269,175	1,266,932	
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☐ and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	Total net assets or fund balances (see instructions)	1,269,175	1,266,932	
31	Total liabilities and net assets/fund balances (see instructions) .	1,269,175	1,266,932		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,269,175
2	Enter amount from Part I, line 27a	2	-45,728
3	Other increases not included in line 2 (itemize) ▶ _____	3	43,485
4	Add lines 1, 2, and 3	4	1,266,932
5	Decreases not included in line 2 (itemize) ▶ _____	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 .	6	1,266,932

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a See Additional Data Table			
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a See Additional Data Table			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a See Additional Data Table			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	43,484
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☐ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2016	50,526		0.000000
2015	50,861		0.000000
2014	49,802		0.000000
2013	57,331		0.000000
2012	52,500		0.000000

2 Total of line 1, column (d)	2	0.000000
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.000000
4 Enter the net value of noncharitable-use assets for 2017 from Part X, line 5	4	
5 Multiply line 4 by line 3	5	
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	162
7 Add lines 5 and 6	7	162
8 Enter qualifying distributions from Part XII, line 4	8	53,794

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1 Date of ruling or determination letter <u>2001-07-31</u> (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b	1	162
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0
3	Add lines 1 and 2.	3	162
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-.	5	162
6	Credits/Payments		
a	2017 estimated tax payments and 2016 overpayment credited to 2017	6a	
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d.	7	
8	Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed .	9	162
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid .	10	
11	Enter the amount of line 10 to be Credited to 2018 estimated tax 0 Refunded	11	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a	No
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>	1b	No
c Did the foundation file Form 1120-POL for this year?	1c	No
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation \$ _____ (2) On foundation managers \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities</i>	2	No
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>	3	No
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a	No
b If "Yes," has it filed a tax return on Form 990-T for this year?	4b	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T</i>	5	No
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	No
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col (c), and Part XV</i>	7	Yes
8a Enter the states to which the foundation reports or with which it is registered (see instructions) CT		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation.</i>	8b	Yes
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2017 or the taxable year beginning in 2017 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>	9	No
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>	10	Yes

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶ N/A	13	Yes	
14	The books are in care of ▶ JOANNE ALBANESI Telephone no ▶ (203) 304-1401			

Located at **▶** 14 WATKINS DRIVE SANDY HOOK CT ZIP+4 **▶** 06482

15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here ☐ and enter the amount of tax-exempt interest received or accrued during the year ▶ 15			
16	At any time during calendar year 2017, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR). If "Yes," enter the name of the foreign country ▶	16	Yes	No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a	During the year did the foundation (either directly or indirectly)		Yes	No
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? ☐ Organizations relying on a current notice regarding disaster assistance check here. ▶ ☐	1b		
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2017? ☐	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2017, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2017? ☐ Yes ☒ No If "Yes," list the years ▶ 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions). ☐	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2017 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2017). ☐	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2017?	4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (Continued)

5a	During the year did the foundation pay or incur any amount to				
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes	☒ No		
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	☐ Yes	☒ No		
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes	☒ No		
	(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions).	☐ Yes	☒ No		
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes	☒ No		
b	If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?	5b			
	Organizations relying on a current notice regarding disaster assistance check here.				
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?				
	<i>If "Yes," attach the statement required by Regulations section 53.4945–5(d)</i>				
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?				
	b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	6b			
	<i>If "Yes" to 6b, file Form 8870</i>				
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?				
	b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?	7b			

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
See Additional Data Table				

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	Title, and average hours per week (b) devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
NONE				

Total number of other employees paid over \$50,000. **0**

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services. **0**

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 NONE	0
2	0
3	0
4	0

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments See instructions	
3	
Total. Add lines 1 through 3	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities.	1a	0
b	Average of monthly cash balances.	1b	0
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	0
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	
2	Acquisition indebtedness applicable to line 1 assets.	2	
3	Subtract line 2 from line 1d.	3	0
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	0
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4.	5	0
6	Minimum investment return. Enter 5% of line 5.	6	0

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	
2a	Tax on investment income for 2017 from Part VI, line 5.	2a	162
b	Income tax for 2017 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	162
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	
4	Recoveries of amounts treated as qualifying distributions.	4	
5	Add lines 3 and 4.	5	
6	Deduction from distributable amount (see instructions).	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	0

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	53,794
b	Program-related investments—total from Part IX-B.	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4.	4	53,794
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions).	5	162
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	53,632

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2016	(c) 2016	(d) 2017
1 Distributable amount for 2017 from Part XI, line 7				0
2 Undistributed income, if any, as of the end of 2017				
a Enter amount for 2016 only.				
b Total for prior years 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2017				
a From 2012.				
b From 2013.				
c From 2014.				
d From 2015.				51,005
e From 2016.				50,730
f Total of lines 3a through e.	101,735			
4 Qualifying distributions for 2017 from Part XII, line 4 ▶ \$ <u>53,794</u>				
a Applied to 2016, but not more than line 2a				
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).				
d Applied to 2017 distributable amount.				
e Remaining amount distributed out of corpus	53,794			
5 Excess distributions carryover applied to 2017 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	155,529			
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b Taxable amount—see instructions				
e Undistributed income for 2016 Subtract line 4a from line 2a Taxable amount—see instructions				
f Undistributed income for 2017 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2018				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).				
8 Excess distributions carryover from 2012 not applied on line 5 or line 7 (see instructions).				
9 Excess distributions carryover to 2018. Subtract lines 7 and 8 from line 6a	155,529			
10 Analysis of line 9				
a Excess from 2013.				
b Excess from 2014.				
c Excess from 2015.				51,005
d Excess from 2016.				50,730
e Excess from 2017.				53,794

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2017, enter the date of the ruling. ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2017	(b) 2016	(c) 2015	(d) 2014	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c "Support" alternative test—enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))
NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest
NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or email address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i> See Additional Data Table				
Total			3a	53,794
b <i>Approved for future payment</i>				
Total			3b	

Enter gross amounts unless otherwise indicated

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Form **990-PF** (2017)

Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

	Yes	No
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--	--	--

1a(1)		No
1a(2)		No

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1b(1)	No
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1b(2)		No
--------------	--	-----------

1b(3)		No
--------------	--	-----------

1b(4)		No
--------------	--	-----------

1b(5)		No
--------------	--	-----------

1b(6)		No
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1c		No
----	--	----

value
ue

[illegible]

described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

**Sign
Here**

* * * * *

2018-05-10

* * * * *

Signature of officer or trustee

Date

Title

May the IRS discuss this return with the preparer shown below

(see instr)? ☒ Yes ☐ No

**Paid
Preparer
Use Only**

Print/Type preparer's name JOSEPH DEMARIA	Preparer's Signature	Date 2018-05-10	Check if self-employed ☒	PTIN P01423903
Firm's name ▶ Joseph DeMaria Associates				Firm's EIN ▶
Firm's address ▶ 36 Mill Plain Rd Suite 202 Danbury, CT 06811				Phone no (203) 744-6621

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
CAPITAL GAINS FROM ALL MUTUAL FUNDS	P	2016-02-01	2017-12-31
200 SH EMERSON ELECTRIC	P	2004-05-05	2017-02-13
GE CAPITAL BANK BOND MATURED	P	2011-04-05	2017-04-10
500 UNITS ING GROEP N	P	2004-03-18	2017-10-16
123 535 SH MANNING & NAPIER EQUITY	P	2010-03-20	2017-02-13
500 UNITS ROYAL BANK	P	2004-03-18	2017-09-05
220 381 SCHWAB DIVIDEND EQUITY	P	2009-03-20	2017-02-13
61 949 SH UNDISCOVERED MGRS	P	2012-07-12	2017-02-13
150 SH WALT DISNEY	P	2004-05-05	2017-02-13
204 082 SH WELLS FARGO SPECIAL SM CAP	P	2015-04-13	2017-02-13

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
20,829	0	0	20,829
14,006	0	7,085	6,921
40,000	0	40,000	0
12,500	0	12,973	-473
1,534	0	2,203	-669
12,500	0	12,533	-33
3,555	0	2,286	1,269
4,027	0	2,149	1,878
16,463	0	3,570	12,893
6,869	0	6,000	869

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
0	0	0	20,829
0	0	0	6,921
0	0	0	0
0	0	0	-473
0	0	0	-669
0	0	0	-33
0	0	0	1,269
0	0	0	1,878
0	0	0	12,893
0	0	0	869

Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation

(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
JOANNE ALBANESI	PRES/AS NECESSARY 12 00	24,000	0	0
14 WATKINS DRIVE SANDY HOOK, CT 06482				
NANCY SIDARIO	SECT/AS NECESSARY 1 00	0	0	0
314 ST JONS AVE YONKERS, NY 10704				
CHRISTINE HUNIHAN	VICE PRES/NECESSARY 1 00	0	0	0
213 COBBLER LANE SOUTHBURY, CT 06488				
JEANNE VARUM	TRES/AS NECESSARY 1 00	0	0	0
33 ASHFORD LANE NEWTOWN, CT 06470				
PAMELA GRAUL	ASST SECT/AS NECESSA 1 00	0	0	0
2016 HENHOUSE RD VIRGINIA BEACH, VA 23453				

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
TBICO39 ROSE ST DANBURY, CT 06810		NONE	CONTRIBUTION	6,000
PEO SISTERHOOD CHAPTER G 88 ARMAND RD RIDGEFIELD, CT 06877		NONE	CONTRIBUTION	1,000
MISCELLANEOUS UNDER 500MAIN ST DANBURY, CT 06810		NONE	CONTRIBUTION	3,300
NEWTOWN VISITIN NURSES 45 MAIN STREET NEWTOWN, CT 06470		NONE	CONTRIBUTION	4,000
BUILDING HOPE C/O YONKERS DEPT OF PARK REC 285 NE YONKERS, NY 10701		NONE	CONTIBUTION	5,000
Total ▶ 3a				53,794

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
BROOKFIELD EDUCATION FOUNDATION PO BOX 5047 BROOKFIELD, CT 06804		NONE	CONTRIBUTION	1,500
DREAM COME TRUE MAIN ST DANBURY, CT 06810		NONE	CONTRIBUTION	3,025
NEWTOWN UNITED METHODIST CHURCH MAIN ST NEWTOWN, CT 06470		NONE	CONTRIBUTION	14,344
SANDY HOOK FIRE DEPT RIVERSIDE RD SANDY HOOK, CT 06482		NONE	CONTRIBUTION	1,400
NEWTOWN AMBULANCE ASSOC 75 MAIN ST NEWTOWN, CT 06470		NONE	CONTRIBUTION	2,000
Total ▶ 3a				53,794

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
NEWTOWN SENIORS CENTER GIFT FUNF MAIN ST NEWTOWN, CT 06470		NONE	CONTRIBUTION	1,000
US COAST GUARD FOUNDATION 394 TAUGWONK RD STONINGTON, CT 06378		NONE	CONTRIBUTION	3,000
SHRINERS HOSPITAL FOR CHILDREN PO BOX 1510 RANSON, WV 25438		NONE	CONTRIBUTION	500
COMPANION PET RESCUE 522 NORTH GEORGE HILL RD Southbury, CT 06488		NONE	CONTIBUTION	1,600
PETS ALIVE363 DERBY RD Middletown, NY 10940		NONE	CONTIBUTION	2,500
Total ▶ 3a				53,794

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
NEWTOWN SOCIAL SERVICES 3 MAIN STREET NEWTOWN, CT 06470		NONE	CONTRIBUTION	3,000
POMPERAUG SCHOOL607 MAIN STREET Southbury, CT 06488		NONE	CONTRIBUTION	625
Total  3a				53,794

TY 2017 Accounting Fees Schedule**Name:** HOWARD ARCHDEACON FAMILY FOUNDATION INC**EIN:** 43-6870666**Accounting Fees Schedule**

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Joseph DeMaria Associates Accounting	2,000			

TY 2017 Investments Corporate Bonds Schedule**Name:** HOWARD ARCHDEACON FAMILY FOUNDATION INC**EIN:** 43-6870666**Investments Corporate Bonds Schedule**

Name of Bond	End of Year Book Value	End of Year Fair Market Value
GOLDMAN SSACHS BK 4.75% 3/19/18	50,000	50,358
CREDIT SUISSE 6% 2/15/18	25,733	25,102

TY 2017 Investments Corporate Stock Schedule

Name: HOWARD ARCHDEACON FAMILY FOUNDATION INC
EIN: 43-6870666

Name of Stock	End of Year Book Value	End of Year Fair Market Value
CITADEL BROADCASTING 22SH		
DISNEY WALT 295 SH sold 150 2017 BAL 145SH	3,504	15,589
FANNIE MAE 89 SH	7,036	236
FMI INTERNATIONAL 1254.954 SH	38,000	55,740
FMI INTERNATIONAL 387.347 2017	12,000	
FORD MOTOR CORP 430SH	7,074	5,371
PFIZER 176 SH	7,056	6,375
ABREEN INT'L EQUITY 2588.325 SH	22,000	30,826
BUFFALO DISCOVERY 915.751 SH (04/05/2011	15,000	22,179
DODGE & COX BALANCE 526 SH	35,000	173,578
DODGE & COX BALANCE 330.12 SH	25,100	
DODGE & COX BALANCE \$534+\$2846 (36.753SH) SH	3,380	
DODGE & COX REINVESTED \$5097 (58.96SH)12/06	5,097	
DODGE & COX REINVESTED \$2859 (35.36SH) 12/05	2,859	
DODGE & COX REINVESTED \$7708 (92.833SH)12/07	7,708	
DODGE & COX REINVESTED \$3606 (53.763SH)12/08	3,606	
DODGE & COX REINVESTED \$1731 (31.413SH)12/09	1,731	
DODGE & COX REINVESTED \$\$1787 (27.014SH)12/10	1,787	
DODGE & COX REINVESTED \$\$1948 (28.418SH)12/11	1,948	
DODGE & COX REINVESTED \$2037 (27.354SH) 12/12	2,037	
DODGE & COX REINVESTED \$2170.4 (24.2SH) 12/13	2,170	
DODGE & COX REINVESTED \$5725.15(56.362SH) 12/14	5,725	
DODGE & COX REINVESTED \$6928.65(72.078SH) 12/15	6,929	
DODGE & COX REINVESTED \$9002.62(90.9+19SH) 12/16	9,003	
DODGE & COX REINVESTED \$13864.97(130.577SH) 12/17	13,865	
GAMBELLI SMALL CAP 626.37	20,000	61,914
GAMBELLI SMALL CAP SOLD -505.902(608.458) BAL 102.556	3,623	
GAMBELLI SMALL CAP REINVEST 2007 59.731 SH	1,908	
GAMBELLI SMALL CAP REINVEST\$1130 (57.709SH) 2008	1,130	
GAMBELLI SMALL CAP REINVEST\$18 (.7SH) 2009	18	

Name of Stock	End of Year Book Value	End of Year Fair Market Value
GAMBELLI SMALL CAP REINVEST \$47 (3.532SH) 2010	47	
GAMBELLI SMALL CAP REINVEST \$639.77 (21.145SH) 2011	640	
GAMBELLI SMALL CAP REINVEST \$1257.1 (26.765SH) 2013	1,258	
GAMBELLI SMALL CAP REINVEST \$948.43 (26.956SH) 2012	948	
GAMBELLI SMALL CAP REINVEST \$847.5 (17.345SH) 2014	848	
GAMBELLI SMALL CAP REINVEST \$1617.8 (34.152SH) 2015	1,618	
GAMBELLI SMALL CAP REINVEST \$1935.71(38.316SH) 2016	1,936	
GAMBELLI SMALL CAP REINVEST \$3157.68(55.098SH) 2017	3,158	
HARBOUR INTERNATIONAL 156.446SH	10,000	55,533
HARBOUR INTERNATIONAL 7/12 \$36,000 (672.646SH) 2012	36,000	
MANNING & NAPIER WORLD 1083.42SH	10,000	96,835
MANNING & NAPIER WORLD 4198.477 (2009)	22,000	
MANNING & NAPIER WORLD \$ 25,000 (3067.485SH(2010)	25,000	
MANNING & NAPIER WORLD \$ 30,000 (3289.474SH(2011)	30,000	
MANNING & NAPIER EQUITY \$ 25,000 (1402.131SH(2010)	19,382	15,001
MANNING & NAPIER EQUITY \$SOLD 191.555SH (REDUCED COST BY \$3415		
MANNING & NAPIER EQUITY \$SOLD 123.535SH (REDUCED COST BY \$2203		
JANUS HENDERSON (PERKINS) SMALL CAP \$25,000 (1117.568SH 2010)	25,000	25,648
SCHWAB MKTTRK GROWTH 1645.82 SH	25,000	37,920
SCHWAB S&P 500 INDEX 6191.374 SH	75,000	411,862
SCHWAB S&P 500 INDEX \$80,000 (3807.711SH) 2012	80,000	
VANGUARD BALANCED INDEX 1482.737 SH	25,100	132,519
VANGUARD BALANCED REINVESTED SH (39.995+41.864) \$352+\$749+\$815	1,916	
VANGUARD BALANCED REINVESTED SH 46.404 \$949.28 12/06	949	
VANGUARD BALANCED REINVESTED 48.762 SH 12/07	1,075	
VANGUARD BALANCED REINVESTED \$1011.92 (5.576SH) 2008	1,012	
VANGUARD BALANCED REINVESTED \$890.65 (50.137SH) 2008	891	
VANGUARD BALANCED REINVESTED \$1571.1 (77.627SH) 2010	1,571	
VANGUARD BALANCED REINVESTED \$30049.95 (1487.57SH) 2010	30,050	
VANGUARD BALANCED REINVESTED \$1600.56 (73.988SH) 2011	1,601	

Name of Stock	End of Year Book Value	End of Year Fair Market Value
VANGUARD BALANCED REINVESTED \$1635.28 (69.848SH) 2012	1,635	
VANGUARD BALANCED REINVESTED \$1632.57 (89.354SH) 2013	2,890	
VANGUARD BALANCED REINVESTED \$555.1 (65.38SH) 2014	1,890	
VANGUARD BALANCED REINVESTED \$2207.15 (59.24SH) 2015	2,207	
VANGUARD BALANCED REINVESTED \$2263.34 (74.618SH) 2016	2,263	
VANGUARD BALANCED REINVESTED \$2403.2 (70.128SH) 2017	2,403	
PROCTER & GAMBLE \$6948 100 SH 12/10/12	6,948	9,188
SCHWAB DIVIDEND EQUITY 3542.623 REDUCING BASIS BY SALE	112	219
SCHWAB DIVIDEND EQUITY 4041.57 (2009)		
SCHWAB DIVIDEND EQUITY SOLD 1050SH @ 10.44/SH		
SCHWAB DIVIDEND EQUITY SOLD 3070SH @ 10.44/SH COST REDUCED BASIS \$38941		
SCHWAB DIVIDEND EQUITY SOLD 2618.68SH COST REDUCED BASIS \$26876		
SCHWAB DIVIDEND EQUITY SOLD 2220.381SH COST REDUCED BASIS \$2286		
SCHWAB US TIPS ETF 2/13/2017 200 SH	11,046	11,086
SCHWAB VALUE ADVANTAGE		
WALMART \$7194 100 SH (12/10/2011)	7,194	19,750
WALMART \$7744 100 SH (06/01/2014)	7,744	
VANGUARD STRATEGIC \$12,076 589.102SH (7/12/12)	12,076	20,990
BAIRD CORE PLUS 5593.804	65,000	65,336
USAA TAX EXEMPT 3014.706 SH	41,000	40,276

TY 2017 Investments Government Obligations Schedule**Name:** HOWARD ARCHDEACON FAMILY FOUNDATION INC**EIN:** 43-6870666**US Government Securities - End
of Year Book Value:**

166,000

**US Government Securities - End
of Year Fair Market Value:**

164,722

**State & Local Government
Securities - End of Year Book
Value:****State & Local Government
Securities - End of Year Fair
Market Value:**

TY 2017 Investments - Other Schedule**Name:** HOWARD ARCHDEACON FAMILY FOUNDATION INC**EIN:** 43-6870666**Investments Other Schedule 2**

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
AMERICAN CENTURY TAX FREE MUNICI (3943.909SH)		45,000	44,763

TY 2017 Other Expenses Schedule**Name:** HOWARD ARCHDEACON FAMILY FOUNDATION INC**EIN:** 43-6870666**Other Expenses Schedule**

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FILING FEES	100	100		

TY 2017 Other Increases Schedule**Name:** HOWARD ARCHDEACON FAMILY FOUNDATION INC**EIN:** 43-6870666

Description	Amount
CAPITAL GAINS, REINVESTED	20,829
GAINS ON SALE OF INVESTMENTS, REINVESTED	22,655
ROUNDING	1

TY 2017 Taxes Schedule**Name:** HOWARD ARCHDEACON FAMILY FOUNDATION INC**EIN:** 43-6870666

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
TAX 12/31/2016	204	204		