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C&E 946

Form 990-PF

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation

► Do not enter social security numbers on this form as it may be made public.
► Go to www.irs.gov/Form990PF for instructions and the latest information

OMB No 1545-0052

2017

Open to Public Inspection

For calendar year 2017 or tax year beginning , 2017, and ending ,

SAMUEL HONIGBERG FOUNDATION INC
3 ALMONT ACRES
SAINT LOUIS, MO 63124

A Employer identification number 43-6049920

B Telephone number (see instructions) 314-432-0055

C If exemption application is pending, check here ► ☐

D 1 Foreign organizations, check here ► ☐

2 Foreign organizations meeting the 85% test, check here and attach computation ► ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ► ☐

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ► ☐

3/4

6

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

H Check type of organization [X] Section 501(c)(3) exempt private foundation 04
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, column (c), line 16) ► $ 170,283.

J Accounting method [X] Cash ☐ Accrual ☐ Other (specify)
(Part I, column (d) must be on cash basis)

SCANNED SEP 05 2018

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Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)

	Line		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
REVENUE	1	Contributions, gifts, grants, etc, received (attach schedule)				
	2	Check ► [X] if the foundation is not required to attach Sch B				
	3	Interest on savings and temporary cash investments	3.	3.	3.	
	4	Dividends and interest from securities	9,426.	9,426.	9,426.	
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	1,861.			
	b	Gross sales price for all assets on line 6a 175,418.				
	7	Capital gain net income (from Part IV, line 2)		1,861.		
	8	Net short term capital gain				
	9	Income modifications			0.	
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)				
	12	**Total** Add lines 1 through 11	11,290.	11,290.	9,429.	
OPERATING AND ADMINISTRATIVE EXPENSES	13	Compensation of officers, directors, trustees, etc	0.			
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)				
	b	Accounting fees (attach sch) SEE ST 1	595.			
	c	Other professional fees (attach sch)				
	17	Interest	40.			
	18	Taxes (attach schedule)(see instrs) SEE STM 2	463.			
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses (attach schedule) SEE STATEMENT 3	59.			
	24	**Total operating and administrative expenses** Add lines 13 through 23	1,157.			
	25	Contributions, gifts, grants paid PART XV	12,350.			12,350.
	26	**Total expenses and disbursements.** Add lines 24 and 25	13,507.	0.	0.	12,350.
	27	Subtract line 26 from line 12:				
	a	**Excess of revenue over expenses and disbursements**	-2,217.			
	b	**Net investment income** (if negative, enter -0-)		11,290.		
	c	**Adjusted net income** (if negative, enter -0-)			9,429.	

921 19

Part II Balance Sheets

Attached schedules and amounts in the description column should be for end of-year amounts only (See instructions)

			Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
ASSETS	1	Cash – non-interest-bearing	259.	1,285.	
	2	Savings and temporary cash investments	809.	8,743.	
	3	Accounts receivable ►			
		Less allowance for doubtful accounts ►			
	4	Pledges receivable ►			
		Less allowance for doubtful accounts ►			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach sch) ►			
		Less allowance for doubtful accounts ►			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments – U S and state government obligations (attach schedule)			
	b	Investments – corporate stock (attach schedule) STATEMENT 4	143,782.	63,998.	42,015.
	c	Investments – corporate bonds (attach schedule)			
	11	Investments – land, buildings, and equipment basis ►			
		Less accumulated depreciation (attach schedule) ►			
	12	Investments – mortgage loans			
	13	Investments – other (attach schedule) STATEMENT 5	89,360.	157,967.	128,268.
	14	Land, buildings, and equipment basis ►			
		Less accumulated depreciation (attach schedule) ►			
	15	Other assets (describe ►)			
	16	**Total assets** (to be completed by all filers – see the instructions Also, see page 1, item I)	234,210.	231,993.	170,283.
LIABILITIES	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, & other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ►)			
	23	**Total liabilities** (add lines 17 through 22)	0.	0.	
NET ASSETS OR FUND BALANCES		**Foundations that follow SFAS 117, check here ► ☐ and complete lines 24 through 26, and lines 30 and 31.**			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ► ☒ and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds	102,305.	102,305.	
	28	Paid-in or capital surplus, or land, bldg, and equipment fund	131,905.	129,688.	
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	**Total net assets or fund balances** (see instructions)	234,210.	231,993.	
	31	**Total liabilities and net assets/fund balances** (see instructions)	234,210.	231,993.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year – Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	234,210.
2	Enter amount from Part I, line 27a	2	-2,217.
3	Other increases not included in line 2 (itemize) ►	3	
4	Add lines 1, 2, and 3	4	231,993.
5	Decreases not included in line 2 (itemize) ►	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) – Part II, column (b), line 30	6	231,993.

Part IV — Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse, or common stock, 200 shares MLC Company)	(b) How acquired P — Purchase D — Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	SEE STATEMENT 6			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) ((e) plus (f) minus (g))
a				
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a				
b				
c				
d				
e				

2	Capital gain net income or (net capital loss) — If gain, also enter in Part I, line 7; If (loss), enter -0- in Part I, line 7	2	1,861.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) See instructions If (loss), enter -0- in Part I, line 8	3	-7,759.

Part V — Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If 'Yes,' the foundation doesn't qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2016	11,687.	190,419.	0.061375
2015	12,700.	225,149.	0.056407
2014	9,050.	175,212.	0.051652
2013	7,371.	116,266.	0.063398
2012	4,650.	91,884.	0.050607

2	**Total** of line 1, column (d)	2	0.283439
3	Average distribution ratio for the 5-year base period — divide the total on line 2 by 5 0, or by the number of years the foundation has been in existence if less than 5 years	3	0.056688
4	Enter the net value of noncharitable-use assets for 2017 from Part X, line 5	4	192,513.
5	Multiply line 4 by line 3	5	10,913.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	113.
7	Add lines 5 and 6	7	11,026.
8	Enter qualifying distributions from Part XII, line 4	8	12,350.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see instructions)

		Line	Amount
1 a	Exempt operating foundations described in section 4940(d)(2), check here ► [ ] and enter 'N/A' on line 1. Date of ruling or determination letter: ________ (attach copy of letter if necessary – see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ► [X] and enter 1% of Part I, line 27b	1	113.
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-)	2	0.
3	Add lines 1 and 2	3	113.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-)	4	0.
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-	5	113.
6	Credits/Payments		
a	2017 estimated tax pmts and 2016 overpayment credited to 2017 — 6a		
b	Exempt foreign organizations – tax withheld at source — 6b		
c	Tax paid with application for extension of time to file (Form 8868) — 6c		
d	Backup withholding erroneously withheld — 6d		
7	Total credits and payments Add lines 6a through 6d	7	0.
8	Enter any **penalty** for underpayment of estimated tax Check here [ ] if Form 2220 is attached	8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ►	9	113.
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ►	10	
11	Enter the amount of line 10 to be **Credited to 2018 estimated tax** ► **Refunded** ►	11	

Part VII-A Statements Regarding Activities

		Line	Yes	No
1 a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1 a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition	1 b		X
	If the answer is 'Yes' to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities			
c	Did the foundation file **Form 1120-POL** for this year?	1 c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ► $ 0. **(2)** On foundation managers ► $ 0.			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ► $ 0.			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? If 'Yes,' attach a detailed description of the activities	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If 'Yes,' attach a conformed copy of the changes	3		X
4 a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4 a		X
b	If 'Yes,' has it filed a tax return on **Form 990-T** for this year?	4 b	N/A	
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? If 'Yes,' attach the statement required by General Instruction T	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? If 'Yes,' complete Part II, col (c), and Part XV	7	X	
8 a	Enter the states to which the foundation reports or with which it is registered See instructions ► MO			
b	If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G*? If 'No,' attach explanation	8 b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2017 or the tax year beginning in 2017? See the instructions for Part XIV If 'Yes,' complete Part XIV	9		X
10	Did any persons become substantial contributors during the tax year? If 'Yes,' attach a schedule listing their names and addresses	10		X

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Part VII-A Statements Regarding Activities (continued)

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes,' attach schedule See instructions	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If 'Yes,' attach statement See instructions	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ► N/A

14 The books are in care of ► ELLEN SABIN Telephone no ► 314-303-2732

Located at ► 3 ALMONT ACRES SAINT LOUIS MO ZIP + 4 ► 63124-1727

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** – check here N/A ► ☐

and enter the amount of tax-exempt interest received or accrued during the year ► 15 N/A

			Yes	No
16	At any time during calendar year 2017, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for FinCEN Form 114 If 'Yes,' enter the name of the foreign country ►			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.

			Yes	No
1 a	During the year, did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No			
b	If any answer is 'Yes' to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance? See instructions	1 b	N/A	
	Organizations relying on a current notice regarding disaster assistance, check here ► ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2017?	1 c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2017, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2017? ☐ Yes ☒ No If 'Yes,' list the years ► 20__ , 20__ , 20__ , 20__			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer 'No' and attach statement – see instructions)	2 b	N/A	
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ► 20__ , 20__ , 20__ , 20__			
3 a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If 'Yes,' did it have excess business holdings in 2017 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2017)	3 b	N/A	
4 a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4 a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2017?	4 b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

			Yes	No
5 a During the year, did the foundation pay or incur any amount to				
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☒ No			
(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☒ No			
(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☒ No			
(4) Provide a grant to an organization other than a charitable, etc , organization described in section 4945(d)(4)(A)? See instructions	☐ Yes ☒ No			
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☒ No			
b If any answer is 'Yes' to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance? See instructions		5 b	N/A	
Organizations relying on a current notice regarding disaster assistance, check here ► ☐				
c If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	N/A ☐ Yes ☐ No			
If 'Yes,' attach the statement required by Regulations section 53 4945–5(d)				
6 a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes ☒ No			
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		6 b		X
If 'Yes' to 6b, file Form 8870				
7 a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes ☒ No			
b If 'Yes,' did the foundation receive any proceeds or have any net income attributable to the transaction?	N/A	7 b		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, and foundation managers and their compensation. See instructions.

(a) Name and address	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation **(If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
LAWRENCE SAMUELS 11 WESTWOOD COUNTRY CLUB SAINT LOUIS, MO 63131-2427	SECRETARY 0	0.	0.	0.
ELLEN SABIN 3 ALMONT ACRES SAINT LOUIS, MO 63124-1727	PRESIDENT/TR 0	0.	0.	0.
DAVID SAMUELS 1 NEW BALLAS PLACE #221 SAINT LOUIS, MO 63141	DIRECTOR 0	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1 – see instructions). If none, enter 'NONE.'

(a) Name and address of each employee paid more than $50,000	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
NONE				
Total number of other employees paid over $50,000 ►				0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services. See instructions. If none, enter 'NONE.'

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over $50,000 for professional services ►		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments See instructions 3	
Total. Add lines 1 through 3 ►	0.

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes			
a Average monthly fair market value of securities		1 a	190,798.
b Average of monthly cash balances		1 b	4,647.
c Fair market value of all other assets (see instructions)		1 c	
d **Total** (add lines 1a, b, and c)		1 d	195,445.
e Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1 e 0.		
2 Acquisition indebtedness applicable to line 1 assets		2	0.
3 Subtract line 2 from line 1d		3	195,445.
4 Cash deemed held for charitable activities Enter 1-1/2% of line 3 (for greater amount, see instructions)		4	2,932.
5 **Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4		5	192,513.
6 **Minimum investment return.** Enter 5% of line 5		6	9,626.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here ▶ ☐ and do not complete this part.)

1 Minimum investment return from Part X, line 6		1	9,626.
2a Tax on investment income for 2017 from Part VI, line 5	2a 113.		
b Income tax for 2017 (This does not include the tax from Part VI)	2b		
c Add lines 2a and 2b		2c	113.
3 Distributable amount before adjustments Subtract line 2c from line 1		3	9,513.
4 Recoveries of amounts treated as qualifying distributions		4	
5 Add lines 3 and 4		5	9,513.
6 Deduction from distributable amount (see instructions)		6	
7 **Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1		7	9,513.

Part XII Qualifying Distributions (see instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a Expenses, contributions, gifts, etc — total from Part I, column (d), line 26	1 a	12,350.
b Program-related investments — total from Part IX-B	1 b	
2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	
3 Amounts set aside for specific charitable projects that satisfy the		
a Suitability test (prior IRS approval required)	3 a	
b Cash distribution test (attach the required schedule)	3 b	
4 **Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	12,350.
5 Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b See instructions	5	113.
6 **Adjusted qualifying distributions.** Subtract line 5 from line 4	6	12,237.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2016	(c) 2016	(d) 2017
1 Distributable amount for 2017 from Part XI, line 7					9,513.
2 Undistributed income, if any, as of the end of 2017					
a Enter amount for 2016 only				0.	
b Total for prior years 20 ___, 20 ___, 20 ___			0.		
3 Excess distributions carryover, if any, to 2017					
a From 2012	99.				
b From 2013	1,646.				
c From 2014	1,755.				
d From 2015	3,396.				
e From 2016	3,092.				
f Total of lines 3a through e		9,988.			
4 Qualifying distributions for 2017 from Part XII, line 4 ► $ 12,350.					
a Applied to 2016, but not more than line 2a				0.	
b Applied to undistributed income of prior years (Election required – see instructions)			0.		
c Treated as distributions out of corpus (Election required – see instructions)		0.			
d Applied to 2017 distributable amount					9,513.
e Remaining amount distributed out of corpus		2,837.			
5 Excess distributions carryover applied to 2017 (If an amount appears in column (d), the same amount must be shown in column (a).)		0.			0.
6 Enter the net total of each column as indicated below:					
a Corpus Add lines 3f, 4c, and 4e Subtract line 5		12,825.			
b Prior years' undistributed income Subtract line 4b from line 2b			0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed			0.		
d Subtract line 6c from line 6b Taxable amount – see instructions			0.		
e Undistributed income for 2016 Subtract line 4a from line 2a Taxable amount – see instructions				0.	
f Undistributed income for 2017 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2018					0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required – see instructions)		0.			
8 Excess distributions carryover from 2012 not applied on line 5 or line 7 (see instructions)		99.			
9 Excess distributions carryover to 2018. Subtract lines 7 and 8 from line 6a		12,726.			
10 Analysis of line 9					
a Excess from 2013	1,646.				
b Excess from 2014	1,755.				
c Excess from 2015	3,396.				
d Excess from 2016	3,092.				
e Excess from 2017	2,837.				

Part XIV **Private Operating Foundations** (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2017, enter the date of the ruling ►

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2017	(b) 2016	(c) 2015	(d) 2014	(e) Total
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a 'Assets' alternative test – enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b 'Endowment' alternative test – enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c 'Support' alternative test – enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV **Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year – see instructions.)**

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ► ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc to individuals or organizations under other conditions, complete items 2a, b, c, and d See instructions

a The name, address, and telephone number or email address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
SEE STATEMENT 7				
Total			► **3a**	12,350.
b *Approved for future payment*				
Total			► **3b**	

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					3.
4 Dividends and interest from securities					9,426.
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory					1,861.
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue					
a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)					11,290.
13 **Total.** Add line 12, columns (b), (d), and (e)				13	11,290.

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)
N/A	

Part XVII Information Regarding Transfers to and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of			
(1) Cash	1 a (1)		X
(2) Other assets	1 a (2)		X
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization	1 b (1)		X
(2) Purchases of assets from a noncharitable exempt organization	1 b (2)		X
(3) Rental of facilities, equipment, or other assets	1 b (3)		X
(4) Reimbursement arrangements	1 b (4)		X
(5) Loans or loan guarantees	1 b (5)		X
(6) Performance of services or membership or fundraising solicitations	1 b (6)		X
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1 c		X

d If the answer to any of the above is 'Yes,' complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
N/A			

2 a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If 'Yes,' complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

▶ x Ellen Salin — Signature of officer or trustee | x 6-19-18 — Date | ▶ x PRESIDENT — Title

May the IRS discuss this return with the preparer shown below? See instructions ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self employed	PTIN
JAMES W WRIGHT	JAMES W WRIGHT James W Wright CPA	6/07/18		P00568808

Firm's name ▶ WRIGHT, CRISCIONE & CO., LLC — Firm's EIN ▶ 43-1849238

Firm's address ▶ 2388 SCHUETZ RD STE B63 ST LOUIS, MO 63146-3434 — Phone no (314) 878-0212

STATEMENT 1
FORM 990-PF, PART I, LINE 16B
ACCOUNTING FEES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
WRIGHT, CRISCIONE & COMPANY	$ 595.			
TOTAL	$ 595.	$ 0.	$ 0.	$ 0.

STATEMENT 2
FORM 990-PF, PART I, LINE 18
TAXES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
EXCISE TAX ON INVESTMENT INCOME	$ 463.			
TOTAL	$ 463.	$ 0.	$ 0.	$ 0.

STATEMENT 3
FORM 990-PF, PART I, LINE 23
OTHER EXPENSES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
PENALTY ON 2015 EXCISE TAX PAYMENT	$ 59.			
TOTAL	$ 59.	$ 0.	$ 0.	$ 0.

STATEMENT 4
FORM 990-PF, PART II, LINE 10B
INVESTMENTS - CORPORATE STOCKS

CORPORATE STOCKS	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
393 PROSPECT CAPITAL CORP	COST	$ 13,812.	$ 2,649.
5 WESTAIM CORP	COST	1,040.	12.
400 GENERAL ELECTRIC CO	COST	12,041.	6,980.
1300 ARES CAPITAL CORP	COST	21,667.	20,436.
250 DISH NETWORK CORP	COST	15,438.	11,938.
	TOTAL	$ 63,998.	$ 42,015.

STATEMENT 5
FORM 990-PF, PART II, LINE 13
INVESTMENTS - OTHER

	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
OTHER PUBLICLY TRADED SECURITIES			
500 CBRE CLARION GLOBAL RE INC FUND	COST	$ 7,325.	$ 3,960.
1000 DUFF & PHELPS SELECT ENERGY MLP FD	COST	16,980.	5,850.
2000 TEKLA WORLD HEALTHCARE	COST	39,869.	27,220.
800 POWERSHARES SENIOR LOAN PORT ETF	COST	18,884.	18,432.
800 HIGHLAND FLOAT RATE OPPORTUNITIES FD	COST	12,003.	12,400.
2000 NUVEEN HIGH INC NOV 2021 TGT TRM FD	COST	20,358.	19,920.
750 PRUDENTIAL SHORT DUR HIGH YIELD FD	COST	12,018.	11,078.
1600 RIVERNORTH/DOUBLELINE STRATEGIC OPP	COST	30,530.	29,408.
	TOTAL	$ 157,967.	$ 128,268.

STATEMENT 6
FORM 990-PF, PART IV, LINE 1
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

ITEM	(A) DESCRIPTION	(B) HOW ACQUIRED	(C) DATE ACQUIRED	(D) DATE SOLD
1	150 APPLE INC	PURCHASED	9/09/2015	2/01/2017
2	150 APPLE INC	PURCHASED	11/13/2015	2/01/2017
3	225 GILEAD SCIENCES INC	PURCHASED	8/30/2016	2/09/2017
4	130 AMGEN INC	PURCHASED	7/06/2016	2/28/2017
5	30 AMGEN INC	PURCHASED	7/06/2016	7/17/2017
6	100 AMGEN INC	PURCHASED	10/06/2016	7/17/2017
7	120 CELGENE CORP	PURCHASED	7/06/2016	3/07/2017
8	2000 FOAMIX PHARMACEUTICALS LTD	PURCHASED	3/07/2017	8/17/2017
9	300 ACADIA PHARMACEUTICALS INC	PURCHASED	9/21/2016	10/04/2017
10	593 FIRST TRUST INNOVATIVE TECHNOLOGY	PURCHASED	9/20/2016	3/07/2017
11	1893 FIRST TRUST INNOVATIVE TECHNOLOGY	PURCHASED	9/20/2016	10/25/2017
12	80.464 FIRST TRUST INNOVATIVE TECHNOLOGY	PURCHASED	4/25/2017	10/25/2017
13	6.193 FIRST TRUST INNOVATIVE TECHNOLOGY	PURCHASED	6/26/2017	10/25/2017
14	400 CISCO SYSTEMS INC	PURCHASED	8/17/2017	11/08/2017
15	0.270 HIGHLAND FL RATE OPPORTUNITIES	PURCHASED	10/04/2017	11/20/2017
16	CAPITAL GAIN DIVIDENDS			

ITEM	(E) GROSS SALES	(F) DEPREC. ALLOWED	(G) COST BASIS	(H) GAIN (LOSS)	(I) FMV 12/31/69	(J) ADJ. BAS. 12/31/69	(K) EXCESS (I)-(J)	(L) GAIN (LOSS)
1	19,232.		16,491.	2,741.				$ 2,741.
2	19,232.		16,884.	2,348.				2,348.
3	14,582.		17,737.	-3,155.				-3,155.
4	22,809.		20,535.	2,274.				2,274.
5	5,269.		4,739.	530.				530.
6	17,565.		16,871.	694.				694.
7	14,497.		12,634.	1,863.				1,863.
8	8,639.		19,383.	-10,744.				-10,744.
9	11,579.		10,998.	581.				581.
10	5,990.		5,766.	224.				224.
11	21,290.		18,110.	3,180.				3,180.
12	905.		795.	110.				110.
13	70.		66.	4.				4.

STATEMENT 6 (CONTINUED)
FORM 990-PF, PART IV, LINE 1
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

ITEM	(E) GROSS SALES	(F) DEPREC. ALLOWED	(G) COST BASIS	(H) GAIN (LOSS)	(I) FMV 12/31/69	(J) ADJ. BAS. 12/31/69	(K) EXCESS (I)-(J)	(L) GAIN (LOSS)
14	13,515.		12,544.	971.				$ 971.
15	4.		4.	0.				0.
16								240.
							TOTAL	$ 1,861.

STATEMENT 7
FORM 990-PF, PART XV, LINE 3A
RECIPIENT PAID DURING THE YEAR

NAME AND ADDRESS	DONEE RELATIONSHIP	FOUND-ATION STATUS	PURPOSE OF GRANT	AMOUNT
AISH HATORAH	NONE	N/A	JEWISH OUTREACH & EDUCATION	$ 500.
DIABETES RESEARCH & WELLNESS FOUNDATION	NONE	N/A	RESEARCH A CURE FOR DIABETES	100.
AMERICAN FOUNDATION FOR THE BLIND	NONE	N/A	ASSIST VISUALLY IMPAIRED PEOPLE	100.
ALZHEIMERS ASSOCIATION	NONE	N/A	RESEARCH A CURE FOR ALZHEIMER'S DISEASE	500.
AMERICAN HEART ASSOCIATION	NONE	N/A	PREVENT CARDIOVASCULAR DISEASE	100.
AMERICAN INSTITUTE FOR CANCER RESEARCH	NONE	N/A	PREVENT CANCER	100.
ARTHRITIS FOUNDATION	NONE	N/A	RESEARCH ARTHRITIS TREATMENTS	100.
CARE	NONE	N/A	ASSIST WOMEN LIVING IN POVERTY	100.
CATHOLIC CHARITIES	NONE	N/A	REDUCE POVERTY	100.
EPSTEIN HEBREW ACADEMY	NONE	N/A	PROVIDE JEWISH EDUCATION	500.
HEBREW IMMIGRANT AID SOCIETY	NONE	N/A	ADVOCATE FOR AND ASSIST REFUGEES	100.

STATEMENT 7 (CONTINUED)
FORM 990-PF, PART XV, LINE 3A
RECIPIENT PAID DURING THE YEAR

NAME AND ADDRESS	DONEE RELATIONSHIP	FOUND-ATION STATUS	PURPOSE OF GRANT	AMOUNT
HABITAT FOR HUMANITY	NONE	N/A	BUILD HOMES FOR LOW INCOME FAMILIES	$ 100.
ISRAEL CANCER RESEARCH FUND	NONE	N/A	CANCER RESEARCH	100.
JUVENILE DIABETES RESEARCH FOUNDATION	NONE	N/A	RESEARCH TYPE I DIABETES TREATMENTS	100.
JEWISH FEDERATION OF ST LOUIS	NONE	N/A	ASSIST JEWISH FAMILIES AND LOCAL COMMUNITY	1,000.
MADD	NONE	N/A	PREVENT DRUNK DRIVING	250.
MARCH OF DIMES	NONE	N/A	PREVENT BIRTH DEFECTS	100.
NATIONAL INSTITUTE FOR JEWISH HOSPICE	NONE	N/A	PROVIDE COUNSEL AND ASSISTANCE TO THE TERMINALLY ILL	100.
NATIONAL GLAUCOMA RESEARCH	NONE	N/A	RESEARCH TREATMENTS FOR GLAUCOMA	100.
NATIONAL JEWISH HEALTH	NONE	N/A	RESEARCH & TREATMENT OF RESPIRATORY ILLNESSES	100.
MULTIPLE SCLEROSIS ASSOC OF AMERICA	NONE	N/A	PROVIDE SERVICES TO PEOPLE LIVING WITH MS	100.
NATIONAL OSTEOPOROSIS FOUNDATION	NONE	N/A	PREVENT OSTEOPOROSIS	100.
PARKINSONS DISEASE FOUNDATION	NONE	N/A	RESEARCH A CURE FOR PARKINSONS DISEASE	100.
PREVENT BLINDNESS AMERICA	NONE	N/A	SAVING EYESIGHT	100.
PROJECT HOPE	NONE	N/A	HEALTHCARE FOR THE NEEDY	100.

STATEMENT 7 (CONTINUED)
FORM 990-PF, PART XV, LINE 3A
RECIPIENT PAID DURING THE YEAR

NAME AND ADDRESS	DONEE RELATIONSHIP	FOUND-ATION STATUS	PURPOSE OF GRANT	AMOUNT
SAUL MIROWITZ JEWISH COMMUNITY SCHOOL	NONE	N/A	PROVIDE EDUCATION TO CHILDREN	$ 250.
THE SALVATION ARMY	NONE	N/A	ASSIST THE NEEDY	100.
SIMON WIESENTHAL CENTER	NONE	N/A	PROMOTE HUMAN RIGHTS	250.
SUSAN G KOMEN FOR THE CURE	NONE	N/A	BREAST CANCER PREVENTION & RESEARCH	200.
US HOLOCAUST MUSEUM	NONE	N/A	PROMOTE HUMAN DIGNITY & PREVENT GENOCIDE	250.
UNICEF	NONE	N/A	CARE FOR NEEDY CHILDREN	100.
UNITED NEGRO COLLEGE FUND	NONE	N/A	COLLEGE SCHOLARSHIPS FOR MINORITIES	100.
CENTER FOR HEARING & SPEECH	NONE	N/A	EDUCATE HEARING-IMPAIRED CHILDREN	100.
DOCTORS WITHOUT BORDERS	NONE	N/A	PROVIDE MEDICAL CARE TO PEOPLE IN CRISIS	100.
MACULAR DEGENERATION RESEARCH	NONE	N/A	RESEARCH A CURE AND TREATMENT FOR MACULAR DEGENERATION	100.
ST. LOUIS JEWISH LIGHT	NONE	N/A	INFORM & EDUCATE THE JEWISH COMMUNITY	300.
CYSTIC FIBROSIS FOUNDATION	NONE	N/A	RESEARCH CYSTIC FIBROSIS TREATMENTS/CURE	100.
PARALYZED VETERANS OF AMERICA	NONE	N/A	ASSIST MILITARY VETERANS LIVING WITH SPINAL CORD INJURIES	100.

STATEMENT 7 (CONTINUED)
FORM 990-PF, PART XV, LINE 3A
RECIPIENT PAID DURING THE YEAR

NAME AND ADDRESS	DONEE RELATIONSHIP	FOUND-ATION STATUS	PURPOSE OF GRANT	AMOUNT
PILGRIM CHAMBER PLAYERS	NONE	N/A	EDUCATE AND ENTERTAIN THE COMMUNITY	$ 250.
ST. LOUIS ARC	NONE	N/A	PROVIDE SERVICES TO PEOPLE WITH DEVELOPMENTAL DISABILITIES	100.
MERCY CORPS	NONE	N/A	ALLEVIATE SUFFERING, POVERTY AND OPPRESSION	100.
ACCESS INSTITUTE	NONE	N/A	PROVIDE PSYCHOLOGICAL CARE TO UNDER-SERVED PEOPLE	500.
KOL RINAH	NONE	N/A	PROVIDE EDUCATION TO CHILDREN	1,000.
ST LOUIS MEN'S GROUP AGAINST CANCER	NONE	N/A	RESEARCH AND SEEKING A CURE FOR CANCER	1,750.
NINE NETWORK	NONE	N/A	INFORM AND ENTERTAIN THE COMMUNITY	100.
GATEWAY TO HOPE	NONE	N/A	PROVIDE FINANCIAL ASSISTANCE TO BREAST CANCER PATIENTS	500.
FOCUS MARINES FOUNDATION	NONE	N/A	ASSIST MARINES IN TRANSITIONING TO CIVILIAN LIFE	100.
CORNERSTONE CENTER FOR EARLY LEARNING	NONE	N/A	PROVIDE COMPREHENSIVE CARE AND EDUCATION FOR CHILDREN	50.
HOLOCAUST MUSEUM AND LEARNING CENTER	NONE	N/A	EDUCATE THE COMMUNITY AND PROMOTE HUMAN DIGNITY	500.

STATEMENT 7 (CONTINUED)
FORM 990-PF, PART XV, LINE 3A
RECIPIENT PAID DURING THE YEAR

NAME AND ADDRESS	DONEE RELATIONSHIP	FOUND-ATION STATUS	PURPOSE OF GRANT	AMOUNT
JEWISH STUDENT UNION	NONE	N/A	EDUCATE & ENGAGE STUDENTS IN THE JEWISH COMMUNITY	$ 250.
WOUNDED WARRIOR PROJECT	NONE	N/A	ASSIST INJURED MILITARY RETURNING TO CIVILIAN LIFE	100.
ST LUKES HOSPITAL FOUNDATION	NONE	N/A	PROVIDE MEDICAL CARE TO THE COMMUNITY	100.
THURGOOD MARSHALL COLLEGE FUND	NONE	N/A	COLLEGE SCHOLARSHIPS FOR MINORITIES	150.
			TOTAL	$ 12,350.