

Form 990-PF

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter social security numbers on this form as it may be made public
Go to www.irs.gov/Form990PF for instructions and the latest information

OMB No. 1545-0052

2017

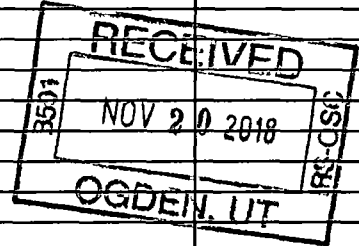
Open to Public Inspection

For calendar year 2017 or tax year beginning

, and ending

Name of foundation DALIO FOUNDATION, INC.		A Employer identification number 43-1965846
Number and street (or P O box number if mail is not delivered to street address) ONE GLENDINNING PLACE	Room/suite	B Telephone number (203) 291-5000
City or town, state or province, country, and ZIP or foreign postal code WESTPORT, CT 06880		C If exemption application is pending, check here ☐
G Check all that apply. ☐ Initial return ☐ Final return ☐ Address change ☐ Initial return of a former public charity ☐ Amended return ☐ Name change		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐
H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 778,414,397. (Part I, column (d) must be on cash basis.)	J Accounting method. ☐ Cash ☐ Accrual ☒ Other (specify) MODIFIED CASH	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1	Contributions, gifts, grants, etc., received	47,218,457.		N/A	
2	Check ☐ if the foundation is not required to attach Sch. B				
3	Interest on savings and temporary cash investments				
4	Dividends and interest from securities	816,046.	1,271,904.		
5a	Gross rents				
b	Net rental income or (loss)				
6a	Net gain or (loss) from sale of assets not on line 10	8,008,863.			
b	Gross sales price for all assets on line 6a 55,762,601.				
7	Capital gain net income (from Part IV, line 2)		11,149,635.		
8	Net short-term capital gain				
9	Income modifications				
10a	Gross sales less returns and allowances				
b	Less: Cost of goods sold				
c	Gross profit or (loss)				
11	Other income	10,923,999	11,097,876		SEE STATEMENT 1



-EB 02 2019

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		
		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
1	Cash - non-interest-bearing	23,579.	267.	267.
2	Savings and temporary cash investments	177,819,638.	89,408,872.	89,408,872.
3	Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
4	Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
5	Grants receivable			
6	Receivables due from officers, directors, trustees, and other disqualified persons			
7	Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
8	Inventories for sale or use			
9	Prepaid expenses and deferred charges	3,234,271.	501,312.	501,312.

Assets

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)			(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo, day, yr.)	(d) Date sold (mo, day, yr.)
1a					
b SEE ATTACHED STATEMENT					
c					
d					
e					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) ((e) plus (f) minus (g))		
a					
b					
c					
d					
e 55,706,412.		44,556,777.	11,149,635.		

Complete only for assets showing gain in column (b) and owned by the foundation on 12/31/69

(h) Gain (Col. (b) gain minus

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1.

Date of ruling or determination letter, _____ (attach copy of letter if necessary-see instructions)

b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b

c All other domestic foundations enter 2% of line 27b Exempt foreign organizations, enter 4% of Part I, line 12, col (b).

2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)

1	207,814.
2	0.

Part VII-A Statements Regarding Activities (continued)

- 11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions STMT 11
- 12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions SEE STATEMENT 12
- 13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address SEE STATEMENT A
- 14 The books are in care of LISA SAFIAN Telephone no. (203) 291-5000
Located at ONE GLENDINNING PLACE, WESTPORT, CT ZIP+4 06880
- 15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - check here and enter the amount of tax-exempt interest received or accrued during the year 15 N/A
- 16 At any time during calendar year 2017, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country 16 N/A

	Yes	No
11	X	
12	X	
13	X	
15		
16		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

- 1a During the year, did the foundation (either directly or indirectly):
- (1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No
- (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No
- (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☒ Yes ☐ No
- (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☒ Yes ☐ No
- (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No
- (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No
- b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions. Organizations relying on a current notice regarding disaster assistance, check here 1b X
- c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2017? 1c X
- 2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)).
- a At the end of tax year 2017, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2017? ☐ Yes ☒ No
If "Yes," list the years N/A
- b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions) 2b N/A
- c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.
- 3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No

	Yes	No
1b		X
1c		X
2b		

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year, did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions

☒ Yes ☐ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions

5b ☐ Yes ☒ No

Organizations relying on a current notice regarding disaster assistance, check here

☐c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? **SEE STATEMENT C**☒ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b ☐ Yes ☒ No

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b ☐ Yes ☒ No**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1 List all officers, directors, trustees, and foundation managers and their compensation.**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 13		0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
CAROLINE BRECKER - ONE GLENDINNING PLACE, WESTPORT, CT 06880	DIRECTOR, SPECIAL INITIATIVES	50.00	314,015.	42,123.
JENNIFER HILE - ONE GLENDINNING PLACE, WESTPORT, CT 06880	EP, MEDIA & OCEAN INITIATIVE	50.00	212,941.	22,738.
ANDREW FERGUSON - ONE GLENDINNING PLACE, WESTPORT, CT 06880	CHIEF EDUCATION OFFICER	50.00	221,154.	6,521.
RYAN QUINN - ONE GLENDINNING PLACE, WESTPORT, CT 06880	EDITOR, MEDIA & OCEAN INITIATIVE	50.00	100,089.	20,750.
ERICA SCHWEDEL - ONE GLENDINNING PLACE, WESTPORT, CT 06880	EDUCATION PROGRAM LEVERAGER	50.00	91,429.	0.
Total number of other employees paid over \$50,000				10

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
MARINO MANAGEMENT ONE GLENDINNING PLACE, WESTPORT, CT 06880	MANAGEMENT ADVISORY AND INVESTMENT SERVICES	2,763,693.
BBC - 101 WOOD LANE, LONDON, ENGLAND, UNITED KINGDOM	OCEAN SCIENCE AWARENESS PRODUCTION SERVICES	1,424,952.
ERNST & YOUNG U.S. LLP 200 PLAZA DRIVE, SECAUCUS, NJ 07094	CONSULTING RE: PUBLIC EDUCATION PROGRAM	1,176,000.
FRASER YACHTS WORLDWIDE - 1800 SE 10TH AVENUE, SUITE 400, FORT LAUDERDALE, FL 33316	SCIENCE RESEARCH VESSEL CREW SERVICES	290,190.
BBMG 20 JAY STREET, SUITE 1012, BROOKLYN, NY 11201	OCEAN SCIENCE AWARENESS BRAND SERVICES	260,000.
Total number of others receiving over \$50,000 for professional services		20

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 MARINE SCIENCE & AWARENESS CHARITABLE INITIATIVE - TO SUPPORT STATE OF THE ART SCIENTIFIC RESEARCH AND RAISE AWARENESS TO ENHANCE MARINE CONSERVATION AND EDUCATION.	7,667,419.
2 SEE STATEMENT 14	1,917,253.
3 SEE STATEMENT 15	188,595.
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 SEE STATEMENT 16	4,999,997.
2 SEE STATEMENT 17	3,000,000.
All other program-related investments. See instructions	
3 SEE STATEMENT 18	2,404,314.
Total. Add lines 1 through 3	10,404,311.

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:			
a Average monthly fair market value of securities	1a	662,036,874.	
b Average of monthly cash balances	1b	100,991,541.	
c Fair market value of all other assets	1c	20,510,074.	
d Total (add lines 1a, b, and c)	1d	783,538,489.	
e Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.	
2 Acquisition indebtedness applicable to line 1 assets	2	0.	
3 Subtract line 2 from line 1d	3	783,538,489.	
4 Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	11,753,077.	
5 Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	771,785,412.	
6 Minimum investment return. Enter 5% of line 5	6	38,589,271.	

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here ☐ and do not complete this part.)

1 Minimum investment return from Part X, line 6	1	38,589,271.
2a Tax on investment income for 2017 from Part VI, line 5	2a	207,814.
b Income tax for 2017 (This does not include the tax from Part VI)	2b	
c Add lines 2a and 2b	2c	207,814.
3 Distributable amount before adjustments. Subtract line 2c from line 1	3	38,381,457.
4 Recoveries of amounts treated as qualifying distributions	4	0.
5 Add lines 3 and 4	5	38,381,457.
6 Deduction from distributable amount (see instructions)	6	0.
7 Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	38,381,457.

Part XII Qualifying Distributions (see instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	121,078,304.
b Program-related investments - total from Part IX-B	1b	10,404,311.
2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	732,880.
3 Amounts set aside for specific charitable projects that satisfy the:		
a Suitability test (prior IRS approval required)	3a	
b Cash distribution test (attach the required schedule)	3b	
4 Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8; and Part XIII, line 4	4	132,215,495.
5 Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	207,814.
6 Adjusted qualifying distributions. Subtract line 5 from line 4	6	132,007,681.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2016	(c) 2016	(d) 2017
1 Distributable amount for 2017 from Part XI, line 7				38,381,457.
2 Undistributed income, if any, as of the end of 2017				
a Enter amount for 2016 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2017.				
a From 2012				
b From 2013				
c From 2014	52,533,696.			
d From 2015	33,418,306.			
e From 2016	105,083,959.			
f Total of lines 3a through e	191,035,961.			
4 Qualifying distributions for 2017 from Part XII, line 4: ► \$ 132,215,495.				
a Applied to 2016, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2017 distributable amount				38,381,457.
e Remaining amount distributed out of corpus	93,834,038.			
5 Excess distributions carryover applied to 2017 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus: Add lines 3f, 4c, and 4e. Subtract line 5	284,869,999.			
b Prior years' undistributed income: Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b: Taxable amount - see instructions		0.		
e Undistributed income for 2016: Subtract line 4a from line 2a: Taxable amount - see instr			0.	
f Undistributed income for 2017: Subtract lines 4d and 5 from line 1: This amount must be distributed in 2018				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	47,218,457.	SEE STATEMENT B		
8 Excess distributions carryover from 2012 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2018: Subtract lines 7 and 8 from line 6a	237,651,542.			
10 Analysis of line 9:				
a Excess from 2013				
b Excess from 2014	5,315,239.			
c Excess from 2015	33,418,306.			
d Excess from 2016	105,083,959.			
e Excess from 2017	93,834,038.			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

N/A

- 1 a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2017, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section

4942(1)(3) or 4942(1)(5)

- 2 a** Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

b 85% of line 2a

c Qualifying distributions from Part XII,
line 4 for each year listed

d Amounts included in line 2c not used directly for active conduct of exempt activities

e Qualifying distributions made directly for active conduct of exempt activities.

- 3** Complete 3a, b, or c for the alternative test relied upon.

a "Assets" alternative test - enter:

(1) Value of all assets

(2) Value of assets qualifying under section 4942(j)(3)(B)(i)

b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed

c "Support" alternative test - enter

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)

(3) Largest amount of support from an exempt organization

(4) Gross investment income

Part XV **Supplementary Information** (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

RAYMOND T. DALIO

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc., to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or email address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include.

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors.

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
SEE ATTACHED SCHEDULE 1	N/A	SEE SCHEDULE 1	SEE SCHEDULE 1	109,241,038.
THE RICHARD & BARBARA WHITCOMB	N/A	PF	PORTION OF GRANTS PAID	

Schedule B(Form 990, 990-EZ,
or 990-PF)Department of the Treasury
Internal Revenue Service**Schedule of Contributors**

- ▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.
▶ Go to www.irs.gov/Form990 for the latest information.

OMB No 1545-0047

2017

Name of the organization

DALIO FOUNDATION, INC.

Employer identification number

43-1965846

Organization type (check one)

Filers of:

Section:

Form 990 or 990-EZ

☐ 501(c)() (enter number) organization☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation☐ 501(c)(3) taxable private foundationCheck if your organization is covered by the **General Rule** or a **Special Rule**.**Note:** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.**General Rule**

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

- ☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000, or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year. ▶ \$ _____

Caution: An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

LHA For Paperwork Reduction Act Notice, see the instructions for Form 990, 990-EZ, or 990-PF. Schedule B (Form 990, 990-EZ, or 990-PF) (2017)

Name of organization	Employer identification number
DALIO FOUNDATION, INC.	43-1965846

Part I Contributors (see instructions) Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	JPMORGAN TRUST CO. OF DE, TRUSTEE, ETAL, DALIO 2004 FAMILY TRUST (MAIN) FBO ONE GLENDINNING PLACE WESTPORT, CT 06880	\$ 10,535,000.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
2	JPMORGAN TRUST CO. OF DE, TRUSTEE, ETAL, DALIO 2004 FAMILY TRUST (MAIN) FBO ONE GLENDINNING PLACE WESTPORT, CT 06880	\$ 9,958,000.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
3	JPMORGAN TRUST CO. OF DE, TRUSTEE, ETAL, DALIO 2004 FAMILY TRUST (MAIN) FBO ONE GLENDINNING PLACE WESTPORT, CT 06880	\$ 9,975,000.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
4	JPMORGAN TRUST CO. OF DE, TRUSTEE, ETAL, DALIO 2004 FAMILY TRUST (MAIN) FBO ONE GLENDINNING PLACE WESTPORT, CT 06880	\$ 11,280,000.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
5	BARBARA G. DALIO ONE GLENDINNING PLACE WESTPORT, CT 06880	\$ 5,401,034.	Person ☒ Payroll ☐ Noncash ☒ (Complete Part II for noncash contributions)
6	RAYMOND T. DALIO ONE GLENDINNING PLACE WESTPORT, CA 06880	\$ 69,423.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)

Employer identification number

43-1965846

Part II

[illegible]

Name of organization

Employer identification number

DALIO FOUNDATION, INC.

43-1965846

Part III Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year (Enter this info once) ▶ \$

Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee

Dalio Foundation, Inc.
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Organization Name	Address	City	State	Zip	Foundation Status	Amount	Purpose of Grant
Achievement First, Inc	495 Blake Street	New Haven	CT	06515	PC	500,000	Restricted to operating support for Public Schools in CT
Active Minds, Inc	2001 S Street, NW Suite 630	Washington	DC	20009	PC	25,000	To support general operations
All Our Kin, Inc	P O Box 8477	New Haven	CT	06530	PC	300,000	Restricted to creating high quality, sustainable family child care programs in CT
All Our Kin, Inc	P O Box 8477	New Haven	CT	06530	PC	400,000	Restricted to creating high quality, sustainable family child care programs in CT
Alzheimer's Disease and Related Disorders Association, Inc	225 North Michigan Avenue, 17th Floor	Chicago	IL	60601-7633	PC	10,000	Restricted to the Haskell Family Tribute Fund
America Achieves Inc	1460 Broadway	New York	NY	10036	PC	125,000	Restricted to support for GnpTape's CT programming as part of the Connecticut Opportunity Project
American Center for the Alexander Technique, Inc	39 W 14th Street Ste 507	New York	NY	10011	PC	15,000	To support general operations
American Foundation for Bulgaria Inc	167 Newbury Street	Boston	MA	02116	PC	10,000	To support general operations
American Friends of Shalva Israel Inc	315 Fifth Avenue, 6th Floor	New York City	NY	10016	PC	10,000	To support general operations
American Friends of the Tel Aviv University, Inc	39 Broadway, Suite 1510	New York	NY	10006	PC	10,000	To support general operations
American Heart Association, Inc	7272 Greenville Ave	Dallas	TX	75231	PC	25,000	Restricted to general support of the Fairfield County, CT Chapter
American Museum of Natural History	Central Park West at 79th Street	New York	NY	10024	PC	1,100,000	Restricted to the Oceans Exhibit
American Museum of Natural History	Central Park West at 79th Street	New York	NY	10024	PC	3,000,000	Restricted to the Oceans Exhibit
American Society for the Prevention of Cruelty to Animals	520 Eighth Avenue, 7th Floor	New York	NY	10018	PC	10,000	To support general operations
Amorgone Fire Co 1, Inc	P O Box 121	Greenwich	CT	06836	PC	2,500	To support general operations
Anchor Academy Inc	PO Box 774	Norwalk	CT	06852	PC	10,000	Restricted to the Regina Pacis Academy
Animal Hope and Wellness Foundation	14456 Ventura Blvd	Sherman Oaks	CA	91423	PC	10,000	Restricted to the prevention of, and rescue of animals from, the Asian dog meat trade
Animal Hope and Wellness Foundation	14456 Ventura Blvd	Sherman Oaks	CA	91423	PC	10,000	Restricted to care for the dogs rescued in Yulin, China
Bail Project Inc	P O Box 750	Venice	CA	90294	PC	1,000,000	Restricted to the project to establish a revolving national bail fund
Beijing Dalio Public Welfare Foundation	702A Canway Building 66 Nanlshilu	Beijing	China	100045	PF Equivalency Determination	1,000,000	To support Beijing Dandelion Middle School to support educational activities and the professional development of the School's teachers and administrators
Beijing Dalio Public Welfare Foundation	702A Canway Building 66 Nanlshilu	Beijing	China	100045	PF Equivalency Determination	216,000	Restricted to cultivate & promote the philanthropic sector with the China Global Philanthropic Institute
Beijing Dalio Public Welfare Foundation	702A Canway Building 66 Nanlshilu	Beijing	China	100045	PF Equivalency Determination	380,854	To support the partnership with Rong Ai Rong Le Parents Organization of People with Intellectual Disabilities with the goal of creating equal rights, social status and opportunities for the disabled and Beijing Gan'en Philanthropy Foundation (BGF)
Beijing Dalio Public Welfare Foundation	702A Canway Building 66 Nanlshilu	Beijing	China	100045	PF Equivalency Determination	2,150,000	Restricted to medical care for orphaned children, training & certification of program centers
Beijing Dalio Public Welfare Foundation	702A Canway Building 66 Nanlshilu	Beijing	China	100045	PF Equivalency Determination	300,000	To provide funds for 2018 operations exclusively in furtherance of charitable or educational activities as described in Code section 170(c)(2)(B) of the United States Internal Revenue Code of 1986
Beijing Dalio Public Welfare Foundation	702A Canway Building 66 Nanlshilu	Beijing	China	100045	PF Equivalency Determination	920,000	To support the Recipient's partnership with China Development Research Foundation (CDRF) and the rural kindergarten program
Beijing Dalio Public Welfare Foundation	702A Canway Building 66 Nanlshilu	Beijing	China	100045	PF Equivalency Determination	2,000,000	To support efforts to cultivate and promote the philanthropic sector in China, and further the "Collaborative Philanthropy Education Training and Research Partnership" program in partnership with the China Global Philanthropic Institute
Bonfish & Tarpon Unlimited, Inc	135 San Lorenzo Avenue Suite 860	Coral Gables	FL	33146	PC	5,000	To support general operations
BostonGives, Inc	60 Walnut Street	Wellesley	MA	02481	PC	25,000	To support general operations

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Address	City	State	Zip	Foundation Status	Amount	Purpose of Grant
63 Mason Street	Greenwich	CT	06830	PC	120,000	Restricted to the Scout Reach Program, Clay Shooting Event and Eagle Dinner
155 Sansome Street Suite Number 530	San Francisco	CA	94104	PC	10,000	To support general operations
415 Main Street	Cambridge	MA	02142	PC	5,000,000	Restricted to the Dalio Initiative in Bipolar Disorder project
One Bronx River Parkway	Bronx	NY	10462	PC	50,000	To support general operations
55 Music Concourse Drive Golden Gate Park	San Francisco	CA	94118	PC	440,000	Restricted to advancing exploration and restoration of coral reefs
1740 Eastchester Road	Bronx	NY	10461	SO III FI	1,000	To support general operations
7 Wahnetta Road	Old Greenwich	CT	06870	PC	18,000	Restricted to Greenwich High School National Rugby Championships Sponsorship
574 Stillman Street	Bridgeport	CT	06608	PC	25,000	To support general operations
574 Stillman Street	Bridgeport	CT	06608	PC	30,000	Restricted to support capacity building
7 Academy Street	Norwalk	CT	06850	PC	200,000	Restricted to the Youth Development MS Program & capacity building
7 Academy Street	Norwalk	CT	06850	PC	200,000	Restricted to capacity building and Youth Development Middle School Program
7 Academy Street	Norwalk	CT	06850	PC	50,000	To support general operations
7 Academy Street	Norwalk	CT	06850	PC	12,500	Restricted to capacity building
7 Academy Street	Norwalk	CT	06850	PC	71,000	Restricted to capacity building
3965 Rensch Road	Amherst	NY	14228	PC	100,000	Restricted to translate Richard Dawkins's books on evolutionary biology
PO Box 1461	Princeton	NJ	08542	PC	20,000	To support general operations
230 Alexander Avenue	Bronx	NY	10454	PC	35,400	Restricted to support the HANDS Community Center and to the purchase of a multi-function printer / copier
1500 E Duarte Road	Duarte	CA	91010	PC	100,000	To support general operations
165 Church Street	New Haven	CT	06510	PC	100,000	Restricted to support New Haven's YouthSlat Program as part of the Connecticut Opportunity Project
830 Fifth Avenue The Arsenal	New York	NY	10065-7001	PC	25,000	Restricted to the Charlie Parker Jazz Festival
93 Edwards Street	New Haven	CT	06511	PC	462,500	Restricted to providing mental health services
93 Edwards Street	New Haven	CT	06511	PC	350,000	Restricted to providing mental health services
155 9th Street	San Francisco	CA	94103	PC	250,000	To support general operations
7315 Wisconsin Ave., 4th floor West	Bethesda	MD	20814	PC	25,000	To support general operations
80 Ward St., Suite 100	Toronto	Ontario, Canada	M6H 4A6	PC Equivalency Determination	100,000	To support general operations
649 John Fitch Hwy	Fitchburg	MA	01420	PC	20,000	Restricted to support the Shine Initiative
55 Airport Rd Ste 201	Hartford	CT	06114	PC	142,500	Restricted to support of its participation in the Connecticut Opportunity Project
2 Soundview Drive	Greenwich	CT	06830	PC	1,575,000	Restricted to general operating support in leading the cross-district collaboration
2 Soundview Drive	Greenwich	CT	06830	PC	100,000	Restricted to general operating support in leading the cross-district collaboration
771 Shennecossett Rd	Groton	CT	06340	PC	4,500	Restricted to recognizing and honoring Connecticut's paraprofessionals-of-the-year
771 Shennecossett Rd	Groton	CT	06340	PC	10,000	Restricted to the Empowered to Lead Symposium
2011 Crystal Drive, Suite 500	Arlington	VA	22202	PC	1,500,000	Restricted to the Pacific Tuna Sustainability Project, Nature is Speaking Project, also related charitable and educational programs
10 Park Ave Ste 12A	New York	NY	10016	PC	10,000	To support general operations
218 East 18th Street, 4th floor	New York	NY	10003	PC	10,000	To support general operations

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State	Zip	Foundation Status	Amount	Purpose of Grant
IA	52557	PC	5,000	Restricted to support of women's programs
IA	52557	PC	1,500,000	Restricted to Board Dev, Performance Reporting, Org Dev, Infrastructure Dev, Promotion & delivery of TM ability among target populations
IA	52557	PC	311,733	Restricted to funding TM at the Bronx High School of Law & Community Service
IA	52557	PC	3,000,000	To support general operations
IA	52557	PC	365,000	Restricted to support the Teacher Training Program
IL	60604	PC	25,000	To support general operations
NY	10801	PC	6,400	Restricted to providing care and summer camperships
NY	10801	PC	3,350	To support general operations
CA	90230	PC	10,000	To support general operations
CA	90230	PC	1,000	To support general operations
CT	06902	PC	125,000	Restricted to support the partnership with reDesign and capacity building
CT	06902	PC	75,000	Restricted to the Connecticut Opportunity Project initiative
NY	10018	PC	500,000	Restricted to raising awareness to the potential in disengaged and disconnected youth, design and test solutions, and disseminate effective strategies
TN	38120-2351	PC	3,000	To support general operations
CT	06108	PC	271,000	Restricted to participation in the RISE Network
NY	11714	PC	50,000	To support general operations
LA	70117	PC	10,000	To support general operations
LA	70117	PC	340,000	To support general operations
CT	06513	PC	50,000	To support general operations
CT	06070	PC	5,000	To support general operations
CT	06854	PC	50,000	Restricted to support the Band Together Fund
NY	10006	PC	50,000	To support general operations
NY	10036-2304	PC	100,000	To support general operations
MA	02140	PC	24,000	To support general operations
MA	02140	PC	25,000	Restricted to support hurricane relief efforts
NY	10010	SO III FI	10,000	Restricted to the support of the Parents Association
Nuevo León, Mexico	64060	NC	1,000,000	To support integral training centers (CCA) that promote human development, for the social reintegration of Mexicans in poverty
TX	77056	PC	400,000	Restricted to work in the CT Region
TX	77056	PC	250,000	Restricted to work in the CT Region
NY	10021	POF	583,000	To support the Future Global Leaders Fellowship program which provides mentoring, leadership training, custom career advice and networking support for exceptional first-generation or low-income college students world-wide, and to support the Fortis Society which unites the world's brightest minds from humble backgrounds, who pledge to help one another succeed and have a positive impact on the world.
NY	10012	PC	250,000	Restricted to CT programs as part of the CT Opportunity Project

Schedule 1

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2016	140,580,968.	712,834,011.	.197214

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Y	State	Zip	Foundation Status	Amount	Purpose of Grant
	VA	22030	PC	100,000	Restricted to the Conservancy's collaborative work with the Scalasia Foundation to establish a Science Center
	CT	06807	PC	10,000	To support general operations
	MA	01902	PC	1,000	To support general operations
ton	DC	20009	PC	1,000,000	Restricted to the mission to get one (1) million African-American women walking to improve their health
	NY	10008	PC	100,000	To support general operations
ch	CT	06831	PC	150,000	Restricted to the matching funds for the Brush Rapid Attack Truck project
	NJ	07030	PC	25,000	To support general operations
	NY	10001	PC	1,000,000	To support general operations
apids	MI	49506	PC	20,000	To support general operations
	CT	06807	PC	2,000	To support general operations
ch	CT	06836	PC	25,000	Restricted to the curriculum innovation & speakers series
	CT	06878	PC	10,000	To support general operations
	CT	06807	PC	10,000	Restricted to the Hamilton Avenue Museum School Partnership
ch	CT	06830	PC	50,000	To support general operations
ch	CT	06830	PC	10,000	To support general operations
ch	CT	06830	PC	5,000	To support general operations
ch	CT	06831	PC	5,000	To support general operations
ch	CT	06836	PC	10,000	To support general operations
inrich	CT	06870	PC	350,000	Restricted to the Greenwich Town Party
inrich	CT	06870	PC	4,000	To support general operations
ch	CT	06831	PC	10,000	To support general operations
k	NY	10003	PC	20,000	To support general operations
k	NY	10010	PC	71,000	Restricted to research on social discourse & media landscape around issues affecting the environmental movement
k	NY	10003	PC	100,000	To support general operations
	IL	60637	PC	2,650,000	To support the Recipient's efforts to advance global environmental and sustainable economic growth in the United States and China, while fostering broader understanding between the two countries
	MD	21015	PC	30,000	Restricted to scholarships for students with Medical & Financial need as selected by the school
	MD	21015	PC	30,000	Restricted to scholarships for students with Medical & Financial need as selected by the school
iley	FL	34102	PC	500,000	To support general operations
	NY	11580	PC	100,000	To support general operations
tion	DC	20037	PC	50,000	Restricted to the aid and rescue of animals affected by Hurricane Harvey
	CA	94301	PC	500,000	To support general operations
rt	CT	06606	PC	25,000	To support general operations
k	NY	10022	PC	25,000	To support general operations
City	CA	91950	PC	400,000	Restricted to the Sea of Cortez Impact Fund
ville	NY	10570	PC	25,000	Restricted to the Forces of Nature event
k	NY	10019	PC	1,500,000	To support general operations
iton	DC	20566	PC	50,000	Restricted to the Tumaround Arts programs

Schedule 1

2013	113,111,197.	730,178,429.	.154909
2012	30,133,495.	532,001,061.	.056642

2 Total of line 1, column (d)

3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years

2 .658122

3 .131624

Dalio Foundation, Inc.
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Organization Name	Address	City	State	Zip	Foundation Status	Amount	Purpose of Grant
Johns Hopkins University	3910 Keswick Road, Suite N2100	Baltimore	MD	21211	PC	825,000	Restricted to the Mood Disorders Center
Johns Hopkins University	3910 Keswick Road, Suite N2100	Baltimore	MD	21211	PC	1,000	To support general operations
Johns Hopkins University	3910 Keswick Road, Suite N2100	Baltimore	MD	21211	PC	785,047	Restricted to the J Raymond Depaulo, Jr Leadership Program Fund
Johns Hopkins University	3910 Keswick Road, Suite N2100	Baltimore	MD	21211	PC	687,465	Restricted to the J Raymond Depaulo, Jr Leadership Program Fund
Johns Hopkins University	3910 Keswick Road, Suite N2100	Baltimore	MD	21211	PC	250,000	Restricted to Gastroenterology and Hepatology
Johns Hopkins University	3910 Keswick Road, Suite N2100	Baltimore	MD	21211	PC	250,000	Restricted to Gastroenterology and Hepatology
JustGive, Inc	830 Menlo Ave, Suite 100 Attn Katrina Go, Kranz & Associates	Menlo Park	CA	94025	PC	1,903,900	Restricted to the Holiday Giving Program
JustGive, Inc	830 Menlo Ave, Suite 100 Attn Katrina Go, Kranz & Associates	Menlo Park	CA	94025	PC	5,000	Restricted to the Holiday Giving Program
Khan Academy Inc	PO Box 1630	Mountain View	CA	94042	PC	100,000	To support general operations
Kids Enjoy Exercise Now	1900 K Street NW	Washington	DC	20006	PC	5,000	To support general operations
Lincoln Center for the Performing Arts, Inc	70 Lincoln Center Plaza	New York	NY	10023-6548	PC	100,000	Restricted to CT educator fellowships to participate in the Summer Forum
Lower Cape Fear Hospice Foundation Inc	1414 Physicians Drive	Wilmington	NC	28401	PC	500	To support general operations
Meriden Public Schools	22 Liberty Street	Meriden	CT	06450	PC	389,000	Restricted to partnership with the RISE Network
Metropolitan Opera Association, Inc	30 Lincoln Center	New York	NY	10023	PC	5,000	Restricted to the broadcast program
Museum of the City of New York	1220 Fifth Avenue	New York	NY	10029-5287	PC	5,675	To support general operations
National Coalition Against Domestic Violence	1 Broadway, Suite 210B	Denver	CO	80203	PC	20,000	To support general operations
National Philanthropic Trust	165 Township Line Road, Suite 1200	Jenkintown	PA	19046	PC	10,000,000	Restricted to Polio eradication projects
Natural Resources Defense Council, Inc	40 West 20th Street	New York	NY	10011	PC	50,000	Restricted to the Solar Expansion Project in the United States
Natural Resources Defense Council, Inc	40 West 20th Street	New York	NY	10011	PC	50,000	Restricted to the Solar Expansion Project in the United States
Network for Good, Inc	1140 Connecticut Avenue NW, Suite 700	Washington	DC	20036	PC	10,000	Restricted to the Band Together Fund at Fairfield County's Community Foundation
New Beginnings Family Academy, Inc	184 Garden Street, Suite 110	Bridgeport	CT	06605	PC	50,000	Restricted to support after school program-related transportation
New Classrooms Innovation Partners, Inc	1250 Broadway, 30th Floor	New York	NY	10001	PC	500,000	Restricted to CT Expansion, Teach to One, Math program development & general operating support
New Classrooms Innovation Partners, Inc	1250 Broadway, 30th Floor	New York	NY	10001	PC	250,000	Restricted to support ongoing R&D work
New Group Inc	410 W 42nd St	New York	NY	10036	PC	50,000	To support general operations
New Haven Ecology Project Inc	358 Springside Ave	New Haven	CT	06515	PC	50,000	Restricted to Common Ground HS general operations
New London Public Schools	134 Williams St	New London	CT	06320	PC	100,000	Restricted to the Bennie Dover Middle School's partnership with New Classrooms
New Orleans Jazz & Heritage Foundation, Inc	1205 N Rampart Street	New Orleans	LA	70116	PC	6,000	To support general operations
New Orleans Musicians Assistance Foundation	1525 Louisiana Avenue	New Orleans	LA	70115	PC	10,000	To support general operations
Newtown Public School District	3 Primrose Street	Newtown	CT	06470	PC	145,000	Restricted to support the social worker and school psychologist at Read Intermediate School in Newtown, CT
New Visions for Public Schools, Inc	205 East 42nd Street 4th Floor	New York	NY	10017	PC	2,500,000	To support general operations
New York Botanical Garden	2900 Southern Boulevard	Bronx	NY	10458	PC	25,000	To support general operations
New York-Presbyterian Fund, Inc	525 East 68th Street, Box 156	New York	NY	10065	PC	3,333,334	Restricted to the Dalio Institute of Cardiovascular Imaging
New York-Presbyterian Fund, Inc	525 East 68th Street, Box 156	New York	NY	10065	PC	1,000,000	To support general operations
New York Public Radio	160 Varck Street, 8th Floor	New York	NY	10013	PC	25,000	To support general operations
New York Women in Film & Television, Inc	6 East 39th Street, Suite 1200	New York	NY	10016	PC	15,000	To support general operations
Niagara Hospice, Inc	4675 Sunset Drive	Lockport	NY	14094-1231	PC	2,000	To support general operations
Northwell Health Foundation	2000 Marcus Avenue	New Hyde Park	NY	11042	PC	25,000	Restricted to the Feinstein Institute for Medical Research
Norwalk Public Schools	125 East Avenue	Norwalk	CT	06852	PC	150,000	Restricted to the Nathan Hale MS partnership with New Classrooms Innovation Partners
Nutmeg Big Brothers-Big Sisters Inc	30 Laurel St Ste 3	Hartford	CT	06106	PC	50,000	Restricted to CT programs as part of the CT Opportunity Project

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Organization Name	Address	City	State	Zip	Foundation Status	Amount	Purpose of Grant
Ocearch	1790 Bonanza Drive	Park City	UT	84060	PC	150,000	Restricted to lags, satellite access and STEM programming
One Heart Bulgana Corporation	165 N Main	Providence	UT	84332	PC	10,000	To support general operations
One Heart Bulgana Corporation	165 N Main	Providence	UT	84332	PC	10,000	To support general operations
OpenROV, Inc	2222 3rd Street	Berkeley	CA	94710	NC	100,000	To support the acquisition of wirelessly-driven underwater drones, to benefit nonprofit groups and citizen scientists who will share their underwater exploration data to educate and engage the public about underwater habitats
Opin, Inc	PO Box 488	Riverside	CT	06878	PC	20,000	To support general operations
Opin, Inc	PO Box 488	Riverside	CT	06878	PC	30,000	To support general operations
Opin, Inc	PO Box 488	Riverside	CT	06878	PC	10,000	Restricted to the Ollie Fund
Opin, Inc	PO Box 488	Riverside	CT	06878	PC	15,000	To support general operations
Our Piece of the Pie, Inc	20-28 Sargeant Street	Hartford	CT	06105	PC	250,000	Restricted to the Hartford Youth Service Corp
Our Piece of the Pie, Inc	20-28 Sargeant Street	Hartford	CT	06105	PC	365,000	Restricted to the Hartford Youth Service Corp
Partnership for After School Education, Inc	120 Broadway	New York	NY	10271	PC	5,000	To support general operations
Part Of The Solution, Inc	2759 Webster Avenue	Bronx	NY	10458	PC	75,000	Restricted to Hospitality and Special Distributions Program, and Family Club
Pathways, Inc	175 Milbank Avenue	Greenwich	CT	06830	PC	25,000	To support general operations
Port Chester Carver Center, Inc	400 Westchester Ave	Port Chester	NY	10573	PC	537,500	Restricted to operating afterschool programs in Port Chester
Port Chester Carver Center, Inc	400 Westchester Ave	Port Chester	NY	10573	PC	515,000	Restricted to operating afterschool programs in Port Chester
Presbyterian Healthcare Foundation	PO Box 26666	Albuquerque	NM	87125	PC	2,000	Restricted to support Hospice and Palliative Medicine Services
President and Fellows of Harvard College	1033 Massachusetts Ave, Ste 3	Cambridge	MA	02138	PC	1,000,000	Restricted to endow the Robert Kegan Fellowship in the Ed L D Fund
President and Fellows of Harvard College	1033 Massachusetts Ave, Ste 3	Cambridge	MA	02138	PC	1,000,000	Restricted to the endowed fund to support entrepreneurship
President and Fellows of Harvard College	1033 Massachusetts Ave, Ste 3	Cambridge	MA	02138	PC	1,520,000	Restricted to the RTD Fund for Philanthropy Education, Training & Research
Prospects Opportunity and Enrichment Inc	25 Prospect Street	Ridgfield	CT	06877	PC	100,000	To support general operations
Regents of the University of California at San Diego	9500 Gilman Drive	La Jolla	CA	92093	PC	50,000	Restricted to use within the US to support the further development of Smartfin
Regents of the University of California at San Diego	9500 Gilman Drive	La Jolla	CA	92093	PC	10,000	Restricted to the National Ocean Exploration Forum
Relay Graduate School of Education	40 W 20th St Fl 7	New York	NY	10011	PC	50,000	Restricted to support programming and partnerships in Connecticut
Relay Graduate School of Education	40 W 20th St Fl 7	New York	NY	10011	PC	250,000	Restricted to support expansion to, and work in, CT
Reweave, Inc	5 Calder Dr	Ashland	MA	01721	PC	10,000	To support general operations
Robin Hood Foundation	826 Broadway 9th Floor	New York	NY	10003	PC	1,000,000	Restricted to the Quiet Time/Transcendental Meditation program in New York City Schools
Robin Hood Foundation	826 Broadway 9th Floor	New York	NY	10003	PC	1,000,000	To support general operations
Robin Hood Foundation	826 Broadway 9th Floor	New York	NY	10003	PC	1,000,000	To support general operations
Robin Hood Foundation	826 Broadway 9th Floor	New York	NY	10003	PC	2,000,000	Restricted to support the ongoing Grameen-Robin Hood partnership
Sacred Heart Church	95 Henry Street	Greenwich	CT	06830	PC	5,000	To support general operations
Saint Anns School	129 Pierrepont St	Brooklyn	NY	11201	PC	173,660	Restricted to student scholarships as selected by the school and the 2017 Annual Fund
Seattle Works	240 2nd Avenue S Suite 208	Seattle	WA	98104	PC	500	To support general operations
Second Congregational Church of Greenwich	139 East Putnam Ave	Greenwich	CT	06830	PC	31,000	Restricted to Stewardship, Women's Fellowship and One Great Hour of Sharing programs
Sexual Assault Resource & Counseling Center of Lebanon & Sch	615 Cumberland Street	Lebanon	PA	17042	PC	1,000	To support general operations
Shakespeare on the Sound, Inc	P O Box 15	Nonwalk	CT	06853	PC	5,000	To support general operations
Southwestern Exposition and Livestock Show	P O Box 150	Fort Worth	TX	76101	PC	1,000	Restricted to the Calif Scramble Scholarship
Spelman College	350 Spelman Ln	Atlanta	GA	30314	PC	10,000	To support general operations
St Jude Childrens Research Hospital, Inc	501 St Jude Place	Memphis	TN	38105-1942	PC	10,000	To support general operations

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Organization Name	Address	City	State	Zip	Foundation Status	Amount	Purpose of Grant
St. Luke's Foundation, Inc.	377 North Wilton Rd	New Canaan	CT	06840	PC	144,000	Restricted to student scholarships as selected by the school
St. Vincent's Foundation, Inc.	2800 Main Street	Bridgeport	CT	06606	PC	500	Restricted to support of the Swm Across the Sound event
Star Inc Lighting the Way	182 Wolfpit Ave	Norwalk	CT	06851	PC	30,000	To support general operations
St Christophers Inn Inc	21 Franciscan Way, Box 150	Garnson	NY	10524	PC	25,000	To support general operations
Sucede, Inc	360 Glenridge Road	Miami	FL	33149	PC	20,000	Restricted to providing relief from natural disasters in Piura, Peru
Teach For America, Inc	25 Broadway, 12th Floor	New York	NY	10004	PC	250,000	Restricted to urban public school programs in CT
Teddy Maloney Foundation, Inc	425 Old Hickory Road	Fairfield	CT	06824	PC	10,000	To support general operations
Thank Dog Rescue, Inc	PO Box 124	Newtown	CT	06470	PC	10,000	To support general operations
The Board of Trustees of the Leland Stanford Junior University	Stanford University Development Services	Stanford	CA	94305	PC	4,000,000	Restricted to support the Hasso Plattner Institute of Design at Stanford University
The Board of Trustees of the Leland Stanford Junior University	326 Galvez Street	Stanford	CA	94305	PC	50,000	Restricted to support innovative research by graduate students in Stanford University
The Cos Cob Fire Police Patrol Incorporated	PO Box 202	Cos Cob	CT	06807	PC	15,000	To support general operations
The Everglades Foundation, Inc	18001 Old Cutler Road, Suite# 625	Palmetto Bay	FL	33157	PC	100,000	To support general operations
The Film Collaborative, Inc	3405 Cazador Street	Los Angeles	CA	90065	PC	10,000	Restricted to support the fiscally sponsored film project with Fork Films
The Greenwich Rotary Foundation	P O Box 119	Cos Cob	CT	06807	PC	5,000	To support general operations
The International Wilderness Leadership Foundation Inc	717 Poplar Ave	Boulder	CO	80304	PC	50,000	Restricted to support the Sea Legacy project
The International Wilderness Leadership Foundation Inc	717 Poplar Ave	Boulder	CO	80304	PC	50,000	Restricted to support the Sea Legacy project
Thelonious Monk Institute Of Jazz	5225 Wisconsin Avenue, NW, Suite 605	Washington	DC	20015	PC	250,000	Restricted to support the Annual International Jazz Day
The Niskanen Center Inc	820 1st Street NE Ste 675	Washington	DC	20002	PC	10,000	To support general operations
The Omprakash Foundation	2311 N 45th St	Seattle	WA	98103	PC	15,000	To support general operations
The Onestar Foundation	9011 Mountain Ridge Drive, Suite 100	Austin	TX	78759	PC	1,000,000	Restricted to support for the Rebuild Texas Fund
The reThink Israel Initiative, Inc	PO Box 6833	Bridgewater	NJ	08807	PC	50,000	Restricted to development of Social Media and Public Engagement programs
The Richard and Barbara Whitcomb Foundation	30 Village Drive	New Canaan	CT	06840	PF	200,000	To support the charitable and educational activities of the Foundation which includes support of local and regional after-school programs, scholarship programs, fitness, nutrition and other educational programs
The Richard and Barbara Whitcomb Foundation	30 Village Drive	New Canaan	CT	06840	PF	200,000	To support the charitable and educational activities of the Foundation which includes support of local and regional after-school programs, scholarship programs, fitness, nutrition and other educational programs
The Ryan Licht Sang Bipolar Foundation, Inc	875 N Michigan Ave Ste 3100	Chicago	IL	60611	PC	10,000	To support general operations
The UCLA Foundation	10920 Wilshire Boulevard Suite 900	Los Angeles	CA	90024-6502	PC	25,000	Restricted to the Semel Institute for Neuroscience and Human Behavior
Tisbest Philanthropy	13751 Lake City Way NE	Seattle	WA	98125	PC	4,769,000	Restricted to the Holiday Giving Program
Tisbest Philanthropy	13751 Lake City Way NE	Seattle	WA	98125	PC	849,500	Restricted to the Holiday Giving Program
Tomorrows Hope Foundation Inc	PO Box 9023	Rockville Center	NY	11571	SOI	50,000	To support general operations
Trustees of Columbia University in the City of New York	622 West 113th Street MC4524	New York	NY	10025	PC	100,000	Restricted to the Esophageal Disease Fund
Trustees of Columbia University in the City of New York	622 West 113th Street MC4524	New York	NY	10025	PC	1,000	To support general operations
Tsinghua Education Foundation NA, Inc	2200 San Hill Road, Suite 160	Menlo Park	CA	94025	PC	5,000,000	Restricted to support the Schwartzman Scholars program at Tsinghua University
United Jewish Appeal Federation of Jewish Philanthropies of NY Inc	130 East 59th Street	New York	NY	10022	PC	50,000	To support general operations
United Methodist City Society	475 Riverside Drive, Suite 1922	New York	NY	10115	PC	5,000	To support general operations

Dalio Foundation, Inc
EIN: 43-1965846
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Organization Name	Address	City	State	Zip	Foundation Status	Amount	Purpose of Grant
United Way of Greenwich, Inc	1 Lafayette Court	Greenwich	CT	06830	PC	75,000	Restricted to support the Early Childhood Achievement Gap Solutions, Youth Impact Programming Solutions and Human Services Technology Solutions projects
University of Chicago	5235 S. Harper Court 4th Floor	Chicago	IL	60615	PC	15,000	Restricted to the Odyssey Scholarship Challenge
Vedanta Cultural Foundation USA, Inc	500 Crossfields Lane	Somerset	NJ	08873	PC	50,000	To support general operations
Vedanta Cultural Foundation USA, Inc	500 Crossfields Lane	Somerset	NJ	08873	PC	50,000	To support general operations
Washington Square Park Conservancy Inc	Po Box 1624	New York	NY	10276	PC	25,000	To support general operations
Waterside School, Inc	770 Pacific Street	Stamford	CT	06902	PC	46,000	Restricted to student scholarships as selected by the school
West Michigan Aviation Academy	5363 44th Street SE	Grand Rapids	MI	49512	PC	50,000	To support general operations
Wishboneorg	2144 Leavenworth Street	San Francisco	CA	94133	PC	250,000	Restricted to work in the CT region to close opportunity gaps for low income HS students
Wishboneorg	2144 Leavenworth Street	San Francisco	CA	94133	PC	250,000	Restricted to work in the CT region to close opportunity gaps for low income HS students
Wishes for Horses, Inc	40 Oakhill Ave	Norwalk	CT	06854	PC	10,000	To support general operations
Whet	825 Eighth Avenue, 14th floor	New York	NY	10019	PC	225,000	To support general operations
Woodlawn Conservancy, Inc	4199 Webster Avenue	Bronx	NY	10470	PC	112,500	Restricted to the Preservation Training program
Woods Hole Oceanographic Institution	Fenno House, 183 Oyster Pond Road, MS #40	Woods Hole	MA	02543	PC	2,500,000	Restricted to scientific research
Woods Hole Oceanographic Institution	Fenno House, 183 Oyster Pond Road, MS #40	Woods Hole	MA	02543	PC	5,000,000	To support general operations
Woods Hole Oceanographic Institution	Fenno House, 183 Oyster Pond Road, MS #40	Woods Hole	MA	02543	PC	4,500,000	To support general operations
World Central Kitchen Incorporated	1875 Connecticut Ave Nw 10th Floor	Washington	DC	20009	PC	30,000	Restricted to disaster relief and meal service efforts for victims of Hurricane Maria across Puerto Rico
World Community for Christian Meditation	St. Mark's, Myddelton Square	London	United Kingdom	EC1R 1XX	PC Equivalency Determination	100,000	Restricted to administrative support
World Community for Christian Meditation	St. Mark's, Myddelton Square	London	United Kingdom	EC1R 1XX	PC Equivalency Determination	25,000	To support general operations
World Vision	P O Box 9716	Federal Way	WA	98063	PC	9,720	Restricted to Child Sponsorships
World Vision	P O Box 9716	Federal Way	WA	98063	PC	5,000	Restricted to support Women of Vision Fairfield County
Yale New Haven Hospital	P O Box 1849	New Haven	CT	06508	PC	5,000	Restricted to the Smilow Cancer Hospital
Yale University	P O Box 2038	New Haven	CT	06521-2038	PC	25,000	Restricted to the School of Forestry & Environmental Studies
Yale University	P O Box 2038	New Haven	CT	06521-2038	PC	245,000	Restricted to the Center for Emotional Intelligence
Yale University	P O Box 2038	New Haven	CT	06521-2038	PC	25,000	Restricted to the School of Forestry & Environmental Studies
Yosemite Engine Company Inc	144 Town Garage Road, PO Box 631	Chester	VT	05143	PC	5,000	To support general operations
Youth Orchestra of the Americas Incorporated	1001 19th Street North, 16th Floor	Arlington	VA	22209	PC	20,000	To support general operations
Youth Orchestra of the Americas Incorporated	1001 19th Street North, 16th Floor	Arlington	VA	22209	PC	25,000	To support general operations
YWCA Greenwich Connecticut Inc	259 East Putnam Avenue	Greenwich	CT	06830	PC	25,000	Restricted to the Father's Day Campaign Against Domestic Violence
						<u>109,241,038</u>	

Dalio Foundation, Inc.

EIN: 43-1965846

Calendar Year End 12/31/17

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Schedule 2 Grants and Contributions Approved for Future Payment

Organization Name	Address	City	State	Zip	Foundation Status	Amount	Purpose of Grant
Acumen Fund Inc	40 Worth Street, Suite 303	New York	NY	10013	PC	3,000,000	Restricted to the Acumen America Fund and to support general operations
All Our Kin, Inc	P O Box 8477	New Haven	CT	06530	PC	380,000	Restricted to creating high quality, sustainable family child care programs in CT
America Achieves Inc	1460 Broadway	New York	NY	10036	PC	450,000	Restricted to support for Griptape's CT programming as part of the Connecticut Opportunity Project
Bail Project Inc	P O Box 750	Venice	CA	90294	PC	1,000,000	Restricted to the project to establish a revolving national bail fund
Beijing Dalio Public Welfare Foundation	702A Canway Building 66	Xicheng District, Beijing Shi	Beijing, China	100045	PF Equivalency Determination	178,070	Restricted to work with Rong Ai Rong Le and Beijing Gan'en Philanthropic Foundation
Beijing Dalio Public Welfare Foundation	702A Canway Building 66	Xicheng District, Beijing Shi	Beijing, China	100045	PF Equivalency Determination	216,000	Restricted to cultivate & promote the philanthropic sector with the China Global Philanthropic Institute
Carver Foundation of Norwalk, Inc	7 Academy Street	Norwalk	CT	06850	PC	200,000	Restricted to the Youth Development MS Program & capacity building
Church of St. Jerome	230 Alexander Avenue	Bronx	NY	10454	PC	60,000	Restricted to support the HANDS Community Center and to the purchase of a multi-function printer / copier
City of New Haven	165 Church Street	New Haven	CT	06510	PC	300,000	Restricted to support New Haven's YouthStat Program as part of the Connecticut Opportunity Project
Clifford W. Beers Guidance Clinic Incorporated	93 Edwards Street	New Haven	CT	06511	PC	350,000	Restricted to providing mental health services
Compass Youth Collaborative Inc	55 Airport Rd Ste 201	Hartford	CT	06114	PC	427,500	Restricted to support of its participation in the Connecticut Opportunity Project
Connecticut Rise Network, Inc	2 Soundview Drive	Greenwich	CT	06830	PC	1,075,000	Restricted to general operating support in leading the cross-district collaboration
Domus Kids Inc	83 Lockwood Ave	Stamford	CT	06902	PC	125,000	Restricted to support the partnership with reDesign as well as the Student Success Plan Coordinator position
Domus Kids Inc	83 Lockwood Ave	Stamford	CT	06902	PC	325,000	Restricted to the Connecticut Opportunity Project initiative
DonorsChoose.org	134 W. 37th St, Floor 11	New York	NY	10018	PC	500,000	Restricted to raising awareness to the potential in disengaged and disconnected youth, design and test solutions, and disseminate effective strategies
East Hartford Public Schools	1110 Main Street	East Hartford	CT	06108	PC	271,000	Restricted to participation in the RISE Network
Fund for Teachers A Foundation to Recognize, Stimulate and Enhance	2000 Post Oak Blvd., Suite 100	Houston	TX	77056	PC	504,000	Restricted to work in the CT Region

Allo Foundation, Inc.

EIN: 43-1965846

Calendar Year End 12/31/17

Form 990-PF Part XV3b

Contributions Approved for Future Payment

State	Zip	Foundation Status	Amount	Purpose of Grant
Y	10021	POF	607,000	Restricted to program expenses
				Restricted to C1 programs as part of the C1
Y	10012	PC	750,000	Opportunity Project
				Restricted to the mission to get one (1) million
C	20009	PC	4,000,000	African-American women walking to improve their health
	34102	PC	800,000	Restricted to Pwoje Ananada/Project Great Joy
MD	21211	PC	3,292,000	Restricted to the Mood Disorders Center
	06450	PC	389,000	Restricted to partnership with the RISE Network
T	06378	PC	400,000	To support general operations
Y	10065	PC	6,666,666	To support general operations
				Restricted to the Nathan Hale MS Partnership
T	06852	PC	200,000	with New Classrooms Innovation Partners
				Restricted to C1 programs as part of the C1
T	06106	PC	250,000	Opportunity Project
T	06105	PC	750,000	Restricted to the Hartford Youth Service Corp
				Restricted to operating afterschool programs in
Y	10573	PC	415,000	Port Chester
				Restricted to support programming and
Y	10011	PC	150,000	partnerships in Connecticut
				Restricted to student scholarships as selected
Y	11201	PC	1,838,401	by the school and the 2017 Annual Fund
A	94305	PC	6,000,000	Institute of Design at Stanford University
				Restricted to operational expenses and
T	06840	PF	800,000	charitable grant making activities
				Restricted to student scholarships as selected
T	06902	PC	92,000	by the school
Y	10470	PC	112,500	Restricted to the Preservation Training program
MA	02543	PC	4,500,000	To support general operations
				Restricted to the Center for Emotional
T	06521	PC	245,000	Intelligence
			<u>41,619,137</u>	

FORM 990-PF	OTHER INCOME	STATEMENT	1
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DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
IRC SEC 988 INVESTMENT INCOME	10,092,098.	10,092,098.	
INCOME FROM PASS THROUGH INVESTMENTS	0.	173,877.	
LEASE OF SCIENTIFIC RESEARCH AUV BY OTHER CHARITY	465,000.	465,000.	
EQUIPMENT RENTAL IN CONNECTION WITH CHARITABLE ACTIVITIES	63,500.	63,500.	
CHARTER INCOME IN CONNECTION WITH CHARITABLE ACTIVITIES	142,575.	142,575.	
LICENSING INCOME	160,826.	160,826.	
TOTAL TO FORM 990-PF, PART I, LINE 11	10,923,999.	11,097,876.	

FORM 990-PF	LEGAL FEES	STATEMENT	2
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
LEGAL FEES - MARINE SCIENCE & AWARENESS CHARITABLE INITIATIVE	148,095.	4,123.		143,972.
LEGAL FEES - GRANTS & GOVERNANCE	84,686.	0.		84,686.
TO FM 990-PF, PG 1, LN 16A	232,781.	4,123.		228,658.

FORM 990-PF	ACCOUNTING FEES	STATEMENT	3
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES - MARINE SCIENCE & AWARENESS CHARITABLE INITIATIVE	87,084.	0.		87,084.
ACCOUNTING FEES - GRANTS & GOVERNANCE	203,538.	44,472.		159,066.
TO FORM 990-PF, PG 1, LN 16B	290,622.	44,472.		246,150.

FORM 990-PF	OTHER PROFESSIONAL FEES	STATEMENT	4
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
GRANTS ADMINISTRATION & PHILANTHROPY SERVICES INVESTMENT AND MANAGEMENT FEES	58,675. 2,763,693.	0. 1,281,846.		58,675. 1,481,847.
TO FORM 990-PF, PG 1, LN 16C	2,822,368.	1,281,846.		1,540,522.

FORM 990-PF	TAXES	STATEMENT	5
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAXES	635.	635.		0.
TO FORM 990-PF, PG 1, LN 18	635.	635.		0.

FORM 990-PF	OTHER EXPENSES	STATEMENT	6
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
BANK AND CHECK FEES	6,929.	0.		6,929.
INSURANCE	28,632.	0.		28,632.
EMPLOYMENT EXPENSES	369,183.	0.		369,183.
DUES, SUBSCRIPTIONS, FEES, SHIPPING	314,773.	0.		314,773.
INFORMATION TECHNOLOGY & COMMUNICATIONS	149,064.	0.		149,064.
EMPLOYEE EXPENSES - MARINE SCIENCE AND AWARENESS CHARITABLE INITIATIVE	35,383.	0.		35,383.
VESSEL PARTS & SUPPLIES - MARINE SCIENCE AND AWARENESS CHARITABLE INITIATIVE	8,085.	0.		8,085.
VESSEL REPAIRS & MAINTENANCE - MARINE SCIENCE AND AWARENESS CHARITABLE INITI	25,339.	0.		25,339.
VESSEL TRANSPORTATION & FUEL - MARINE SCIENCE AND AWARENESS CHARITABLE INITI	140,353.	0.		140,353.

VESSEL FEES, LICENSES & PERMITS - MARINE SCIENCE AND AWARENESS CHARITABLE IN VESSEL COMMUNICATIONS - MARINE SCIENCE AND AWARENESS CHARITABLE INITIATIVE	8,891.	0.	8,891.
PRODUCTION SERVICES - MARINE SCIENCE AND AWARENESS CHARITABLE INITIATIVE	3,802.	0.	3,802.
TRAVEL & MEETING EXPENSES - MARINE SCIENCE AND AWARENESS CHARITABLE INITIATIVE	3,683,747.	224,326.	3,459,421.
SERVICES & FEES - COMMUNITY SUPPORT CHARITABLE INITIATIVE	298,539.	0.	298,539.
SUPPLIES - COAT DRIVE CHARITABLE INITIATIVE	35,312.	0.	35,312.
SUPPLIES & SERVICES - EDUCATION CHARITABLE INITIATIVE	167,694.	0.	167,694.
INVESTMENT EXPENSES FROM BROKERAGE	139,169.	0.	139,169.
NY EPTL FILING FEE	17,153.	17,153.	0.
PROGRAM DEVELOPMENT CONSULTING - EDUCATION CHARITABLE INITIATIVE	1,500.	0.	1,500.
CONSULTING - MARINE SCIENCE AND AWARENESS CHARITABLE INITIATIVE	1,743,283.	0.	1,743,283.
PROGRAM ACTIVITY CONSULTING - MARINE SCIENCE AND AWARENESS CHARITABLE INITIATIVE	444,102.	142,575.	301,527.
OTHER CONSULTING	322,303.	0.	322,303.
VESSEL MGMT AND OPERATIONS CONSULTING - MARINE SCIENCE AND AWARENESS CHARITABLE INITIATIVE	343,726.	0.	343,726.
DEDUCTIONS FROM PASS THROUGH INVESTMENTS	288,026.	215,000.	73,026.
AMORTIZATION	20,900.	0.	20,900.
	0.	530,636.	0.
	9,408.	0.	0.
TO FORM 990-PF, PG 1, LN 23	8,605,296.	1,129,690.	7,996,834.

FORM 990-PF	U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS	STATEMENT	7
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DESCRIPTION	U.S. GOV'T	OTHER GOV'T	BOOK VALUE	FAIR MARKET VALUE
U.S. TREASURY BILLS	x		8,391,261.	8,391,261.
TOTAL U.S. GOVERNMENT OBLIGATIONS			8,391,261.	8,391,261.
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS				
TOTAL TO FORM 990-PF, PART II, LINE 10A			8,391,261.	8,391,261.

FORM 990-PF	OTHER INVESTMENTS	STATEMENT	8
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DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
FUND 4	FMV	66,248,559.	66,248,559.
FUND 1	FMV	162,620,410.	162,620,410.
FUND 2	FMV	162,900,501.	162,900,501.
WATCHTOWER, LLC	FMV	26,852,656.	26,852,656.
BROKERAGE ACCOUNT	FMV	2,118,477.	2,118,477.
FUND 3	FMV	227,227,611.	227,227,611.
ACCION FRONTIER INCLUSION FUND	FMV	4,620,277.	4,620,277.
BROTHERS INSURANCE LLC	FMV	12,132,174.	12,132,174.
BLACKIVY, LLC	FMV	597,051.	597,051.
TOTAL TO FORM 990-PF, PART II, LINE 13		665,317,716.	665,317,716.

FORM 990-PF	DEPRECIATION OF ASSETS NOT HELD FOR INVESTMENT	STATEMENT	9
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DESCRIPTION	COST OR OTHER BASIS	ACCUMULATED DEPRECIATION	BOOK VALUE
VESSEL/MEDIA ASSETS	2,796,238.	936,082.	1,860,156.
SOFTWARE	179,702.	28,348.	151,354.
FURNITURE & FIXTURES	291,844.	60,010.	231,834.
LEASEHOLD IMPROVEMENTS	1,399,195.	54,433.	1,344,762.
TOTAL TO FM 990-PF, PART II, LN 14	4,666,979.	1,078,873.	3,588,106.

FORM 990-PF	OTHER ASSETS	STATEMENT 10
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DESCRIPTION	BEGINNING OF YR BOOK VALUE	END OF YEAR BOOK VALUE	FAIR MARKET VALUE
GLOBAL HEALTH INVESTMENT FUND	1,210,252.	1,206,866.	1,206,866.
CLEERLY, INC.	0.	4,999,997.	4,999,997.
GRAMEEN AMERICA SOCIAL BUSINESS FUND LLC	0.	3,000,000.	3,000,000.
LOAN RECEIVABLE - GREENWICH TOWN PARTY INC	0.	2,000,000.	2,000,000.
TO FORM 990-PF, PART II, LINE 15	1,210,252.	11,206,863.	11,206,863.

FORM 990-PF	SCHEDULE OF CONTROLLED ENTITIES PART VII-A, LINE 11	STATEMENT 11
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NAME OF CONTROLLED ENTITY

EMPLOYER ID NO

WATCHTOWER, LLC

27-0823078

ADDRESS

EXCESS BUSINESS HOLDING [] YES [x] NO

ONE GLENDINNING PLACE
WESTPORT, CT 06880

FORM 990-PF	EXPLANATION CONCERNING PART VII-A, LINE 12 SECTION 170(C)(2)(B) STATEMENT	STATEMENT 12
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EXPLANATIONJUSTGIVE, INC.
830 MENLO AVE, SUITE 100
ATTN: KATRINA GO, KRANZ & ASSOCIATES
MENLO PARK, CA 94025

AMOUNT: \$1,908,900

THE ABOVE DISTRIBUTIONS ARE BEING TREATED AS QUALIFYING DISTRIBUTIONS.

PURPOSE OF DISTRIBUTIONS: TO SUPPORT SELECTED CHARITIES FOR EDUCATIONAL,
SCIENTIFIC AND OTHER CHARITABLE PURPOSES.TISBEST PHILANTHROPY
13751 LAKE CITY WAY NE
SEATTLE, WA 98125

AMOUNT: \$5,618,500

THE ABOVE DISTRIBUTIONS ARE BEING TREATED AS QUALIFYING DISTRIBUTIONS.

PURPOSE OF DISTRIBUTIONS: TO SUPPORT SELECTED CHARITIES FOR EDUCATIONAL,
SCIENTIFIC AND OTHER CHARITABLE PURPOSES.

FORM 990-PF

PART VIII - LIST OF OFFICERS, DIRECTORS
TRUSTEES AND FOUNDATION MANAGERS

STATEMENT 13

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN	EXPENSE CONTRIB ACCOUNT
RAYMOND T. DALIO ONE GLENDINNING PLACE WESTPORT, CT 06880	PRESIDENT & DIRECTOR 2.00	0.	0.	0.
MATTHEW A. DALIO ONE GLENDINNING PLACE WESTPORT, CT 06880	DIRECTOR 1.00	0.	0.	0.
DEVON DALIO ONE GLENDINNING PLACE WESTPORT, CT 06880	DIRECTOR 1.00	0.	0.	0.
BARBARA DALIO ONE GLENDINNING PLACE WESTPORT, CT 06880	DIRECTOR 40.00	0.	0.	0.
JANINE RACANELLI ONE GLENDINNING PLACE WESTPORT, CT 06880	EXEC. DIRECTOR, TREASURER & SECRETARY 20.00	0.	0.	0.
LISA SAFIAN ONE GLENDINNING PLACE WESTPORT, CT 06880	ASSISTANT TREASURER 5.00	0.	0.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		0.	0.	0.

FORM 990-PF

SUMMARY OF DIRECT CHARITABLE ACTIVITIES

STATEMENT 14

ACTIVITY TWO

EDUCATION CHARITABLE INITIATIVE - PUBLIC SCHOOL EDUCATION
SUPPORT - TO SUPPORT SCHOOLS AND COMMUNITY-BASED NON-PROFIT
ORGANIZATIONS IN STRENGTHENING INSTRUCTIONAL SYSTEMS,
IMPLEMENTING MANAGEMENT SYSTEMS, AND DESIGNING EDUCATIONAL
PROGRAMMING IN ORDER TO SUPPORT PUBLIC SCHOOL STUDENTS.

EXPENSES

TO FORM 990-PF, PART IX-A, LINE 2

1,917,253.

FORM 990-PF	SUMMARY OF DIRECT CHARITABLE ACTIVITIES	STATEMENT 15
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ACTIVITY THREE

COMMUNITY SUPPORT CHARITABLE INITIATIVE - TO SUPPORT A PROJECT TO PROVIDE WINTER COATS TO UNDERPRIVILEGED CHILDREN AND THEIR FAMILIES IN LOW INCOME SCHOOL DISTRICTS THROUGHOUT CONNECTICUT.

EXPENSES

TO FORM 990-PF, PART IX-A, LINE 3

188,595.

FORM 990-PF	SUMMARY OF PROGRAM-RELATED INVESTMENTS	STATEMENT 16
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DESCRIPTION

INVESTMENT IN CLEERLY, INC. - TO PROMOTE THE RESEARCH, DEVELOPMENT AND COST-EFFECTIVE AVAILABILITY OF MACHINE LEARNING, COMPUTER VISION AND OTHER INTELLIGENT AUTOMATION TECHNOLOGIES TO IMPROVE DIAGNOSTIC CAPABILITIES AND PREVENTATIVE CARE IN THE PROVISION OF RADIOLOGY SERVICES, PARTICULARLY (BUT NOT EXCLUSIVELY) TO LOW-INCOME AND RESOURCE CONSTRAINED POPULATIONS THROUGHOUT THE WORLD, WITH A VIEW TO (I) REDUCING MORTALITY AND MORBIDITY FROM NON-COMMUNICABLE DISEASES (SUCH AS CARDIOVASCULAR DISEASES) AND (II) IMPROVING PREVENTION AND MANAGEMENT OF DEBILITATING HEALTH CONDITIONS (SUCH AS STROKES AND OSTEOPOROSIS).

AMOUNT

TO FORM 990-PF, PART IX-B, LINE 1

4,999,997.

FORM 990-PF	SUMMARY OF PROGRAM-RELATED INVESTMENTS	STATEMENT 17
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DESCRIPTION

INVESTMENT IN GRAMEEN AMERICA SOCIAL BUSINESS FUND, LLC - TO HELP FUND THE MICRO LOAN PORTFOLIO AND ENABLE GREATER NUMBERS OF LOW INCOME WOMEN IN THE US TO RECEIVE WORKING CAPITAL FOR THEIR BUSINESSES TO SURVIVE AND PROSPER. IT IS BELIEVED THE IMPACT WILL EXTEND BEYOND IMMEDIATE FINANCIAL NEEDS TO INCLUDE GREATER EMPOWERMENT, INDIVIDUAL

DALIO FOUNDATION, INC.

43-1965846

RESPONSIBILITY AND FAMILY SECURITY.

AMOUNT

TO FORM 990-PF, PART IX-B, LINE 2

3,000,000.

FORM 990-PF	OTHER PROGRAM-RELATED INVESTMENTS	STATEMENT 18
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DESCRIPTION	AMOUNT
GREENWICH TOWN PARTY INC - TO SUPPORT THE ORGANIZATION AND PROMOTION OF A COMMUNITY EVENT WHICH BRINGS TOGETHER MULTIPLE GENERATIONS TO CELEBRATE TOWN PRIDE AND THE SPIRIT OF GIVING, AND EXPERIENCE THE STRENGTH OF COMMUNITY.	2,000,000.

DESCRIPTION	AMOUNT
GLOBAL HEALTH INVESTMENT FUND - TO FINANCE THE DEVELOPMENT AND PRODUCTION OF PRODUCTS TO ADDRESS GLOBAL HEALTH CHALLENGES AND ACHIEVE SPECIFIED GLOBAL HEALTH OBJECTIVES.	404,314.

TOTAL TO FORM 990-PF, PART IX-B, LINE 3	2,404,314.
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FORM 990-PF	PROGRAM SERVICE REVENUE	STATEMENT 19
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DESCRIPTION	BUS CODE	UNRELATED BUSINESS INC	EXCL CODE	EXCLUDED AMOUNT	RELATED OR EXEMPT FUNC- TION INCOME
LEASE OF SCIENTIFIC RESEARCH AUV BY OTHER CHARITY					465,000.
EQUIPMENT RENTAL IN CONNECTION WITH CHARITABLE ACTIVITIES					63,500.
CHARTER INCOME IN CONNECTION WITH CHARITABLE ACTIVITIES					142,575.
LICENSING INCOME					160,826.
TOTAL TO FORM 990-PF, PG 12, LINE 1					831,901.

DALIO FOUNDATION, INC.
2017 Form 990-PF

STATEMENT A
EIN: 43-1965846

PART VII-A, LINE 13 CONTINUATION SCHEDULE

Website addresses:

<https://www.daliophilanthropies.org/>

<https://aluciaproductions.com/>

DALIO FOUNDATION, INC.
2017 FORM 990-PF

STATEMENT B
EIN: 43-1965846

STATEMENT REGARDING STATUS AS A CONDUIT PRIVATE FOUNDATION
TREASURY REGULATION § 53.4942(a)-3(c)(2)(iv) ELECTION

Attachment to Form 990-PF, Part XIII Undistributed Income

The foundation had no remaining undistributed income for the current (2017) or prior tax year (2016) as required by Treasury Regulation § 53.4942-3(c)(2)(i).

The following amount represents the contributions made to the foundation during 2017 and the subsequent out of corpus election to distribute an amount equal to all contributions in satisfaction of the requirements of Treasury Regulation § 53.4942(a)-3(c)(2) allowing the foundation to qualify as a conduit foundation for the 2017 tax year.

Amounts received in 2017	\$47,218,457	Part XIII, Line 7
Amount distributed by 12/31/2017	<u>(47,218,457)</u>	(detail below)
Remaining amount to be distributed	0	

Line 7 - Amounts treated as distributions out of corpus to satisfy requirements imposed by IRC section 170(b)(1)(F)(ii).

Election to treat unused prior year distributions as current year corpus distribution

Pursuant to Treasury Regulation § 53.4942(a)-3(c)(2)(iv), the foundation elects to treat, as a current distribution out of corpus, the following unused prior year distributions. These amounts have not been used for any other purpose under Treasury Regulation § 53.4942(a)-3(d)(1)(iii) in such prior tax year:

<u>Tax Year</u>	<u>Amount</u>
2014	\$47,218,457

The foundation meets the requirements of IRC §§ 170(b)(1)(A)(vii), 170(b)(1)(F)(ii) and 4942(h) in that qualifying distributions out of corpus were made within 2 months and 15 days following the close of the 2017 tax year in an amount equal to 100% of all contributions received during the 2017 tax year and there is no remaining undistributed income for the 2016 and 2017 tax years. Therefore, the foundation qualifies as a conduit private foundation and the 50% charitable contribution tax base applies to under IRC §170(b)(1)(A).

DATED: 11/14/18


JANINE RACANELLI
EXECUTIVE DIRECTOR