

**Form 990-PF**  
Department of the Treasury  
Internal Revenue Service

## Return of Private Foundation

### **or Section 4947(a)(1) Trust Treated as Private Foundation**

- Do not enter social security numbers on this form as it may be made public.
- Information about Form 990-PF and its instructions is at [www.irs.gov/form990pf](http://www.irs.gov/form990pf).

2017

## Open to Public Inspection

**For calendar year 2017, or tax year beginning 01-01-2017 , and ending 12-31-2017**

	<b>Part II Balance Sheets</b>	Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions )	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
	1 Cash—non-interest-bearing				
	2 Savings and temporary cash investments		42,587	60,463	60,463
	3 Accounts receivable ►			0	0
	Less allowance for doubtful accounts ►				
	4 Pledges receivable ►				
	Less allowance for doubtful accounts ►				
	5 Grants receivable				
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)				
	7 Other notes and loans receivable (attach schedule) ►				
	Less allowance for doubtful accounts ► 0				
	8 Inventories for sale or use				
	9 Prepaid expenses and deferred charges				
	10a Investments—U S and state government obligations (attach schedule)				
	b Investments—corporate stock (attach schedule)		1,552,264	1,737,162	2,719,679
	c Investments—corporate bonds (attach schedule)				
	11 Investments—land, buildings, and equipment basis ►				
	Less accumulated depreciation (attach schedule) ►				
	12 Investments—mortgage loans				
	13 Investments—other (attach schedule)				0
	14 Land, buildings, and equipment basis ►				
	Less accumulated depreciation (attach schedule) ►				
	15 Other assets (describe ► )				
	16 <b>Total assets</b> (to be completed by all filers—see the instructions Also, see page 1, item I)		1,594,851	1,797,625	2,780,142
	17 Accounts payable and accrued expenses				
	18 Grants payable				
	19 Deferred revenue				
	20 Loans from officers, directors, trustees, and other disqualified persons				
	21 Mortgages and other notes payable (attach schedule)				
	22 Other liabilities (describe ► )				
	23 <b>Total liabilities</b> (add lines 17 through 22)		0		
	<b>Foundations that follow SFAS 117, check here ► <input type="checkbox"/></b> <b>and complete lines 24 through 26 and lines 30 and 31.</b>				
	24 Unrestricted				
	25 Temporarily restricted				
	26 Permanently restricted				
	<b>Foundations that do not follow SFAS 117, check here ► <input checked="" type="checkbox"/></b> <b>and complete lines 27 through 31.</b>				
	27 Capital stock, trust principal, or current funds		1,594,851	1,797,625	
	28 Paid-in or capital surplus, or land, bldg , and equipment fund				
	29 Retained earnings, accumulated income, endowment, or other funds				
	30 <b>Total net assets or fund balances</b> (see instructions)		1,594,851	1,797,625	
	31 <b>Total liabilities and net assets/fund balances</b> (see instructions)		1,594,851	1,797,625	

**Part III Analysis of Changes in Net Assets or Fund Balances**

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,594,851
2	Enter amount from Part I, line 27a	2	204,519
3	Other increases not included in line 2 (itemize) ►	3	5
4	Add lines 1, 2, and 3	4	1,799,375
5	Decreases not included in line 2 (itemize) ►	5	1,750
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	1,797,625

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)			(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a See Additional Data Table					
b					
c					
d					
e					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)		
a See Additional Data Table					
b					
c					
d					
e					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69					
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))		
a See Additional Data Table					
b					
c					
d					
e					
2 Capital gain net income or (net capital loss)		If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	288,865	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8				3	

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

 Yes  No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2016	101,439	2,313,381	0 043849
2015	50,466	2,185,306	0 023093
2014	48,434	2,068,807	0 023412
2013	47,435	1,852,497	0 025606
2012	206	1,724,697	0 000119
<b>2 Total</b> of line 1, column (d)			2 0 116079
<b>3 Average</b> distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 0 023216
<b>4 Enter</b> the net value of noncharitable-use assets for 2017 from Part X, line 5			4 2,584,954
<b>5 Multiply</b> line 4 by line 3			5 60,012
<b>6 Enter</b> 1% of net investment income (1% of Part I, line 27b)			6 3,068
<b>7 Add</b> lines 5 and 6			7 63,080
<b>8 Enter</b> qualifying distributions from Part XIII, line 4			8 103,248

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)**

1a	Exempt operating foundations described in section 4940(d)(2), check here ► <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)	1	3,068
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ► <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b		
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	0
3	Add lines 1 and 2.	3	3,068
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4	0
5	<b>Tax based on investment income.</b> Subtract line 4 from line 3 If zero or less, enter -0- . . . . .	5	3,068
6	Credits/Payments		
a	2017 estimated tax payments and 2016 overpayment credited to 2017	6a	2,980
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments Add lines 6a through 6d.	7	2,980
8	Enter any <b>penalty</b> for underpayment of estimated tax Check here <input type="checkbox"/> if Form 2220 is attached	8	7
9	<b>Tax due.</b> If the total of lines 5 and 8 is more than line 7, enter <b>amount owed</b> . . . . . ►	9	95
10	<b>Overpayment.</b> If line 7 is more than the total of lines 5 and 8, enter the <b>amount overpaid</b> . . . . . ►	10	
11	Enter the amount of line 10 to be <b>Credited to 2018 estimated tax</b> ► 0 Refunded ►	11	0

**Part VII-A Statements Regarding Activities**

1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a	Yes	No
b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)?	1b	Yes	No
	<i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>			
c	Did the foundation file <b>Form 1120-POL</b> for this year?	1c	Yes	No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ► \$ _____ (2) On foundation managers ► \$ _____			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ► \$ _____			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities</i>	2	Yes	No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>	3	Yes	No
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a	Yes	No
b	If "Yes," has it filed a tax return on <b>Form 990-T</b> for this year?	4b	Yes	No
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T</i>	5	Yes	No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	No
7	Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col (c), and Part XV</i>	7	Yes	No
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ►WI			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation</i>	8b	Yes	No
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2017 or the taxable year beginning in 2017 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>	9	Yes	No
10	Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>	10	Yes	No

**Part VII-A Statements Regarding Activities (continued)**

11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions). 11 No

12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions). 12 No

13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? 13 Yes

Website address ►

14 The books are in care of ►LEGACY PRIVATE TRUST COMPANY

Telephone no ►(920) 967-5020

Located at ►PO BOX 649 NEENAH WI

ZIP+4 ►549570649

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —Check here and enter the amount of tax-exempt interest received or accrued during the year. ► 15 □

16 At any time during calendar year 2017, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? 16 Yes No

See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR). If "Yes", enter the name of the foreign country ►

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required****File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.**

1a During the year did the foundation (either directly or indirectly)

(1) Engage in the sale or exchange, or leasing of property with a disqualified person? □ Yes  No

(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? □ Yes  No

(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? □ Yes  No

(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? □ Yes  No

(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? □ Yes  No

(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). □ Yes  No

b If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? ► 1b □

Organizations relying on a current notice regarding disaster assistance check here. ► □

c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2017? 1c No

2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))

a At the end of tax year 2017, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2017? □ Yes  No  
If "Yes," list the years ► 20\_\_\_\_, 20\_\_\_\_, 20\_\_\_\_, 20\_\_\_\_

b Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions) 2b Yes

c If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ► 20\_\_\_\_, 20\_\_\_\_, 20\_\_\_\_, 20\_\_\_\_

3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? □ Yes  No

b If "Yes," did it have excess business holdings in 2017 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2017). 3b No

4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? 4a No

b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2017? 4b No

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (Continued)**

5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? . . . . .	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 4945(d)(4)(A)? (see instructions). . . . .	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? . . . . .	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
b	If any answer is "Yes" to 5a(1)–(5), did <b>any</b> of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)? . . . . . Organizations relying on a current notice regarding disaster assistance check here. . . . . ►			5b
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? . . . . .	<input type="checkbox"/> Yes	<input type="checkbox"/> No	
	<i>If "Yes," attach the statement required by Regulations section 53 4945-5(d)</i>			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? . . . . .	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? . . . . .	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	6b
	<i>If "Yes" to 6b, file Form 8870</i>			No
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? . . . . .			7b

<b>Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors</b>				
<b>1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).</b>				
<b>(a) Name and address</b>	<b>Title, and average hours per week (b) devoted to position</b>	<b>(c) Compensation (If not paid, enter -0-)</b>	<b>(d) Contributions to employee benefit plans and deferred compensation</b>	<b>Expense account, (e) other allowances</b>
LEGACY PRIVATE TRUST COMPANY PO BOX 649 NEENAH, WI 549570649	CO-TRUSTEE 4	27,819		
JOHN M JAGEMANN 621 YORK ST MANITOWOC, WI 54220	CO-TRUSTEE 5	2,000		
ROBERT PIETROSKE 506 FOREST DR FRANCIS CREEK, WI 54214	ALLOC COMMITTEE 5	500		
THOMAS J BARE 827 MEMORIAL DR MANITOWOC, WI 54220	ALLOC COMMITTEE 0	500		
<b>2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."</b>				
<b>(a) Name and address of each employee paid more than \$50,000</b>	<b>Title, and average hours per week (b) devoted to position</b>	<b>(c) Compensation</b>	<b>(d) Contributions to employee benefit plans and deferred compensation</b>	<b>Expense account, (e) other allowances</b>
NONE				
<b>Total number of other employees paid over \$50,000. . . . . ► 0</b>				
<b>3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".</b>				
<b>(a) Name and address of each person paid more than \$50,000</b>	<b>(b) Type of service</b>	<b>(c) Compensation</b>		
NONE				
<b>Total number of others receiving over \$50,000 for professional services. . . . . ► 0</b>				
<b>Part IX-A Summary of Direct Charitable Activities</b>				
List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc				<b>Expenses</b>
1				
2				
3				
4				
<b>Part IX-B Summary of Program-Related Investments (see instructions)</b>				
Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2				<b>Amount</b>
1				
2				
All other program-related investments. See instructions				
3				
<b>Total. Add lines 1 through 3 . . . . . ►</b>				

**Part X Minimum Investment Return** (All domestic foundations must complete this part Foreign foundations, see instructions )

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities. . . . .	1a	2,580,385
b	Average of monthly cash balances. . . . .	1b	43,934
c	Fair market value of all other assets (see instructions). . . . .	1c	0
d	<b>Total</b> (add lines 1a, b, and c). . . . .	1d	2,624,319
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation). . . . .	1e	0
2	Acquisition indebtedness applicable to line 1 assets. . . . .	2	0
3	Subtract line 2 from line 1d. . . . .	3	2,624,319
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions). . . . .	4	39,365
5	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3 Enter here and on Part V, line 4	5	2,584,954
6	<b>Minimum investment return.</b> Enter 5% of line 5. . . . .	6	129,248

**Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign**Part XI** organizations check here ►  and do not complete this part )

1	Minimum investment return from Part X, line 6. . . . .	1	129,248
2a	Tax on investment income for 2017 from Part VI, line 5. . . . .	2a	3,068
b	Income tax for 2017 (This does not include the tax from Part VI). . . . .	2b	
c	Add lines 2a and 2b. . . . .	2c	3,068
3	Distributable amount before adjustments Subtract line 2c from line 1. . . . .	3	126,180
4	Recoveries of amounts treated as qualifying distributions. . . . .	4	0
5	Add lines 3 and 4. . . . .	5	126,180
6	Deduction from distributable amount (see instructions). . . . .	6	0
7	<b>Distributable amount</b> as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1. . . . .	7	126,180

**Part XII Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26. . . . .	1a	103,248
b	Program-related investments—total from Part IX-B. . . . .	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes. . . . .	2	0
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required). . . . .	3a	0
b	Cash distribution test (attach the required schedule). . . . .	3b	0
4	<b>Qualifying distributions.</b> Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	103,248
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions). . . . .	5	3,068
6	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4. . . . .	6	100,180
<b>Note:</b> The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years			

**Part XIII Undistributed Income (see instructions)**

	(a) Corpus	(b) Years prior to 2016	(c) 2016	(d) 2017
<b>1</b> Distributable amount for 2017 from Part XI, line 7				126,180
<b>2</b> Undistributed income, if any, as of the end of 2017			102,675	
<b>a</b> Enter amount for 2016 only. . . . .		0		
<b>b</b> Total for prior years 20____, 20____, 20____		0		
<b>3</b> Excess distributions carryover, if any, to 2017				
<b>a</b> From 2012. . . . .	0			
<b>b</b> From 2013. . . . .	0			
<b>c</b> From 2014. . . . .	0			
<b>d</b> From 2015. . . . .	0			
<b>e</b> From 2016. . . . .	0			
<b>f Total of lines 3a through e. . . . .</b>	0			
<b>4</b> Qualifying distributions for 2017 from Part XII, line 4 ► \$ 103,248			102,675	
<b>a</b> Applied to 2016, but not more than line 2a				
<b>b</b> Applied to undistributed income of prior years (Election required—see instructions). . . . .		0		
<b>c</b> Treated as distributions out of corpus (Election required—see instructions). . . . .	0			
<b>d</b> Applied to 2017 distributable amount. . . . .				573
<b>e</b> Remaining amount distributed out of corpus	0			
<b>5</b> Excess distributions carryover applied to 2017 (If an amount appears in column (d), the same amount must be shown in column (a) )		0		0
<b>6</b> Enter the net total of each column as indicated below:				
<b>a</b> Corpus Add lines 3f, 4c, and 4e Subtract line 5	0			
<b>b</b> Prior years' undistributed income Subtract line 4b from line 2b. . . . .		0		
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed. . . . .		0		
<b>d</b> Subtract line 6c from line 6b Taxable amount—see instructions . . . . .		0		
<b>e</b> Undistributed income for 2016 Subtract line 4a from line 2a Taxable amount—see instructions . . . . .			0	
<b>f</b> Undistributed income for 2017 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2018 . . . . .				125,607
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions) . . . . .	0			
<b>8</b> Excess distributions carryover from 2012 not applied on line 5 or line 7 (see instructions) . . . . .	0			
<b>9</b> Excess distributions carryover to 2018. Subtract lines 7 and 8 from line 6a . . . . .	0			
<b>10</b> Analysis of line 9				
<b>a</b> Excess from 2013. . . . .	0			
<b>b</b> Excess from 2014. . . . .	0			
<b>c</b> Excess from 2015. . . . .	0			
<b>d</b> Excess from 2016. . . . .	0			
<b>e</b> Excess from 2017. . . . .	0			

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

<b>1a</b> If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2017, enter the date of the ruling. ►				
<b>b</b> Check box to indicate whether the organization is a private operating foundation described in section <input type="checkbox"/> 4942(j)(3) or <input type="checkbox"/> 4942(j)(5)				
<b>2a</b> Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed . . . . .				
<b>b</b> 85% of line 2a . . . . .				
<b>c</b> Qualifying distributions from Part XII, line 4 for each year listed . . . . .				
<b>d</b> Amounts included in line 2c not used directly for active conduct of exempt activities . . . . .				
<b>e</b> Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c . . . . .				
<b>3</b> Complete 3a, b, or c for the alternative test relied upon				
<b>a</b> "Assets" alternative test—enter				
(1) Value of all assets . . . . .				
(2) Value of assets qualifying under section 4942(j)(3)(B)(i) . . . . .				
<b>b</b> "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . . . .				
<b>c</b> "Support" alternative test—enter				
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) . . . . .				
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(III). . . . .				
(3) Largest amount of support from an exempt organization . . . . .				
(4) Gross investment income . . . . .				

**Part XV Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)****1 Information Regarding Foundation Managers:**

**a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2) )

**b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ►  if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

**a** The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

LEGACY PRIVATE TR CO ATTN TAMMY  
PO BOX 649  
NEENAH, WI 549570649  
(920) 967-5020

**b** The form in which applications should be submitted and information and materials they should include

STATED NEEDS REQUIRED SCHOLARSHIP APPLICATION

**c** Any submission deadlines

NONE

**d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

EXEMPT 501(C)(3) ORGANIZATION PRIMARILY IN MANITOWOC COUNTY

## **Part XV    Supplementary Information (continued)**

### **3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions )
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
<b>1</b> Program service revenue					
a _____					
b _____					
c _____					
d _____					
e _____					
f _____					
<b>g</b> Fees and contracts from government agencies					
<b>2</b> Membership dues and assessments. . . . .					
<b>3</b> Interest on savings and temporary cash investments . . . . .					
<b>4</b> Dividends and interest from securities. . . . .				14	50,512
<b>5</b> Net rental income or (loss) from real estate					
a Debt-financed property. . . . .					
b Not debt-financed property. . . . .					
<b>6</b> Net rental income or (loss) from personal property					
<b>7</b> Other investment income. . . . .					
<b>8</b> Gain or (loss) from sales of assets other than inventory . . . . .				18	288,865
<b>9</b> Net income or (loss) from special events					
<b>10</b> Gross profit or (loss) from sales of inventory					
<b>11</b> Other revenue a _____					
b _____					
c _____					
d _____					
e _____					
<b>12</b> Subtotal Add columns (b), (d), and (e). . . . .					340,362
<b>13</b> Total. Add line 12, columns (b), (d), and (e). . . . .				13	340,362
(See worksheet in line 13 instructions to verify calculations )					

**Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes**



**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
370 POWERSHARES FTSE RAFI US 1500 SMALL MID PORTFOLIO ETF		2016-10-25	2017-01-18
1205 POWERSHARES FTSE RAFI US 1000		2015-11-09	2017-01-18
2130 POWERSHARES FUNDAMENTAL HIGH YIELD CORP BOND PORTFOLIO ETF		2016-09-13	2017-01-18
2245 POWERSHARES DB OPT YLD DIVER COMM STRAT ETF		2016-10-25	2017-01-18
970 GUGGENHEIM S&P EQUAL WEIGHT ETF		2016-12-06	2017-01-18
290 GUGGENHEIM S&P EQ WGHT HEALTHCARE		2016-04-05	2017-01-18
1460 VANGUARD TOTAL INTERNATIONAL STOCK INDEX FUND ETF		2016-10-25	2017-01-18
4180 VANGUARD TOTAL BOND MARKET ETF		2016-12-06	2017-01-18
495 VANGUARD FTSE DEV MARKETS ETF		2016-10-25	2017-01-18
240 VANGUARD INTL EMERGING MKT ETF		2016-10-25	2017-01-18

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	Depreciation allowed (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
42,562		38,269	4,293
120,616		107,247	13,369
39,985		39,852	133
38,430		40,006	-1,576
85,213		83,876	1,337
43,635		42,236	1,399
68,811		63,911	4,900
338,383		344,589	-6,206
18,537		18,113	424
8,899		9,199	-300

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) FMV as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			4,293
			13,369
			133
			-1,576
			1,337
			1,399
			4,900
			-6,206
			424
			-300

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
5000 BANK FIRST NATIONAL CORP		1989-02-08	2017-02-01
360 POWERSHARES DB OPT YLD DIVER COMM STRAT ETF		2016-10-25	2017-02-22
30 ISHARES JP MORGAN EM LOCAL CURRENCY BOND ETF		2017-02-22	2017-03-29
10 ISHARES INTERMEDIATE GOVERNMENT/ CREDIT BOND ETF		2017-02-07	2017-03-29
10 ISHARES FLOATING RATE BOND ETF		2017-02-22	2017-03-29
211 POWERSHARES FTSE RAFI US 1000		2017-02-07	2017-03-29
729 POWERSHARES FTSE RAFI US 1000		2014-09-23	2017-03-29
11190 POWERSHARES S&P 500 QUALITY PORT ETF		2017-02-07	2017-03-29
982 SPDR DJ WILSHIRE INTL REAL ESTATE		2017-02-07	2017-03-29
5 SPDR BLACKSTONE/GSO SENIOR LOAN ETF		2017-01-18	2017-03-29

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis (h) plus expense of sale	(h) (e) plus (f) minus (g)
170,000		6,982	163,018
6,142		6,415	-273
1,372		1,345	27
1,102		1,103	-1
508		509	-1
21,562		21,242	320
74,498		62,255	12,243
305,788		295,083	10,705
36,657		36,125	532
237		238	-1

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			
(i) FMV as of 12/31/69	Adjusted basis	Excess of col (i) over col (j), if any	(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
			163,018
			-273
			27
			-1
			320
			12,243
			10,705
			532
			-1

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
270 ISHARES JP MORGAN EM LOCAL CURRENCY BOND ETF		2017-02-07	2017-05-10
150 ISHARES BARCLAYS 1-3 YR TR BD FD		2017-02-22	2017-05-10
455 ISHARES INTERMEDIATE GOVERNMENT/ CREDIT BOND ETF		2017-02-22	2017-05-10
495 ISHARES FLOATING RATE BOND ETF		2017-02-22	2017-05-10
985 ISHARES EDGE MSCI USA MOMENTUM IDX		2017-03-29	2017-05-10
575 POWERSHARES FTSE RAFI US 1500 SMALL MID PORTFOLIO ETF		2016-04-05	2017-05-10
680 POWERSHARES FUNDAMENTAL HIGH YIELD CORP BOND PORTFOLIO ETF		2017-02-22	2017-05-10
3160 POWERSHARES DB OPT YLD DIVER COMM STRAT ETF		2017-03-29	2017-05-10
2850 GUGGENHEIM S&P EQUAL WEIGHT ETF		2017-03-29	2017-05-10
265 SPDR BLACKSTONE/GSO SENIOR LOAN ETF		2017-01-18	2017-05-10

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	Depreciation allowed (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
12,335		11,830	505
12,659		12,684	-25
50,179		50,160	19
25,145		25,154	-9
84,354		81,381	2,973
67,551		53,157	14,394
12,859		12,793	66
49,927		55,751	-5,824
261,425		258,695	2,730
12,556		12,614	-58

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			
(i) FMV as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
			505
			-25
			19
			-9
			2,973
			14,394
			66
			-5,824
			2,730
			-58

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
90 ISHARES JP MORGAN EM LOCAL CURRENCY BOND ETF		2017-01-18	2017-06-22
462 ISHARES BARCLAYS 1-3 YR TR BD FD		2017-01-18	2017-06-22
135 ISHARES INTERMEDIATE GOVERNMENT/ CREDIT BOND ETF		2017-01-18	2017-06-22
135 ISHARES FLOATING RATE BOND ETF		2017-01-18	2017-06-22
25 POWERSHARES FTSE RAFI US 1500 SMALL MID PORTFOLIO ETF		2016-03-08	2017-06-22
290 POWERSHARES FTSE RAFI US 1000		2016-06-21	2017-06-22
175 POWERSHARES FUNDAMENTAL HIGH YIELD CORP BOND PORTFOLIO ETF		2016-09-13	2017-06-22
70 SPDR BLACKSTONE/GSO SENIOR LOAN ETF		2017-01-18	2017-06-22
160 ISHARES JP MORGAN EM LOCAL CURRENCY BOND ETF		2017-01-18	2017-08-02
30 POWERSHARES FTSE RAFI US 1500 SMALL MID PORTFOLIO ETF		2016-03-08	2017-08-02

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	Depreciation allowed (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
4,167		3,912	255
39,020		39,053	-33
14,989		14,876	113
6,862		6,859	3
2,904		2,302	602
29,893		26,089	3,804
3,302		3,274	28
3,312		3,332	-20
7,662		6,955	707
3,560		2,762	798

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) FMV as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			255
			-33
			113
			3
			602
			3,804
			28
			-20
			707
			798

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
110 VANGUARD FTSE DEV MARKETS ETF		2017-06-22	2017-08-02
100 VANGUARD INTL EMERGING MKT ETF		2016-06-21	2017-08-02
500 BANK FIRST NATIONAL CORP		1989-02-08	2017-08-10
310 ISHARES INTERMEDIATE GOVERNMENT/ CREDIT BOND ETF		2017-08-02	2017-09-20
705 ISHARES FLOATING RATE BOND ETF		2017-08-02	2017-09-20
25 POWERSHARES FUNDAMENTAL HIGH YIELD CORP BOND PORTFOLIO ETF		2017-08-02	2017-09-20
45 POWERSHARES FUNDAMENTAL HIGH YIELD CORP BOND PORTFOLIO ETF		2016-09-13	2017-09-20
130 SPDR BLACKSTONE/GSO SENIOR LOAN ETF		2017-01-18	2017-09-20
90 VANGUARD INTL EMERGING MKT ETF		2016-06-21	2017-09-20
20 ISHARES JP MORGAN EM LOCAL CURRENCY BOND ETF		2017-01-18	2017-11-08

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	Depreciation allowed (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
4,694		4,530	164
4,315		3,452	863
18,450		698	17,752
34,396		34,191	205
35,841		35,825	16
475		478	-3
855		842	13
6,144		6,188	-44
4,008		3,107	901
935		869	66

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			
(i) FMV as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
			164
			863
			17,752
			205
			16
			-3
			13
			-44
			901
			66

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
440 ISHARES BARCLAYS 1-3 YR TR BD FD		2017-09-20	2017-11-08
1009 ISHARES INTERMEDIATE GOVERNMENT/ CREDIT BOND ETF		2017-01-18	2017-11-08
105 POWERSHARES S&P 500 QUALITY PORT ETF		2017-09-20	2017-11-08
1400 POWERSHARES EMERG MKTS SOVER DEBT		2017-09-20	2017-11-08
1090 POWERSHARES S&P500 LOW VOL ETF		2017-09-20	2017-11-08
20 SPDR BLACKSTONE/GSO SENIOR LOAN ETF		2017-01-18	2017-11-08
1010 VANGUARD FTSE DEV MARKETS ETF		2016-09-13	2017-11-08
500 BANK FIRST NATIONAL CORP		1989-02-08	2017-12-18
30 ISHARES JP MORGAN EM LOCAL CURRENCY BOND ETF		2017-01-18	2017-12-18
25 ISHARES BARCLAYS 20+ YR TR BD		2017-11-08	2017-12-18

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis (h) plus expense of sale	(h) (e) plus (f) minus (g)
37,024		37,212	-188
111,391		111,182	209
3,071		3,003	68
40,854		41,366	-512
51,153		48,311	2,842
945		952	-7
44,782		36,842	7,940
21,654		698	20,956
1,431		1,304	127
3,175		3,164	11

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			
(i) FMV as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
			-188
			209
			68
			-512
			2,842
			-7
			7,940
			20,956
			127
			11

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
25 ISHARES FLOATING RATE BOND ETF		2017-11-08	2017-12-18
70 POWERSHARES FTSE RAFI US 1500 SMALL MID PORTFOLIO ETF		2016-10-25	2017-12-18
110 POWERSHARES FTSE RAFI US 1000		2016-12-06	2017-12-18
790 POWERSHARES S&P 500 QUALITY PORT ETF		2016-12-06	2017-12-18
15 POWERSHARES FUNDAMENTAL HIGH YIELD CORP BOND PORTFOLIO ETF		2017-11-08	2017-12-18
35 POWERSHARES FUNDAMENTAL HIGH YIELD CORP BOND PORTFOLIO ETF		2016-09-13	2017-12-18
320 POWERSHARES S&P500 LOW VOL ETF		2017-05-10	2017-12-18
130 PROSHARES LARGE CAP CORE PLUS ETF		2017-11-08	2017-12-18
95 SPDR PORTFOLIO INTERMEDIATE TERM CORP BOND ETF		2017-09-20	2017-12-18
50 SPDR BLOOMBERG BARCLAYS INT TERM TREASURY ETF		2017-11-08	2017-12-18

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	Depreciation allowed (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
1,271		1,274	-3
9,126		7,240	1,886
12,492		10,273	2,219
24,118		20,366	3,752
283		285	-2
659		655	4
15,376		14,048	1,328
8,748		7,916	832
3,254		3,285	-31
2,976		2,998	-22

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) FMV as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			-3
			1,886
			2,219
			3,752
			-2
			4
			1,328
			832
			-31
			-22

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
15 SPDR BLACKSTONE/GSO SENIOR LOAN ETF		2017-01-18	2017-12-18
100 VANECK VECTORS JP MORGAN EM LOCAL CURRENCY BOND ETF		2017-09-20	2017-12-18
215 VANGUARD FTSE DEV MARKETS ETF		2016-12-06	2017-12-18
65 VANGUARD INTL EMERGING MKT ETF		2016-10-25	2017-12-18
30 VANGUARD INTL EMERGING MKT ETF		2017-11-08	2017-12-18

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
708		714	-6
1,882		1,958	-76
9,660		7,845	1,815
2,913		2,491	422
1,345		1,347	-2

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) FMV as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			-6
			-76
			1,815
			422
			-2

## Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a Paid during the year</b>				
MANITOWOC COUNTY HISTORICAL SOCIETY 1701 MICHIGAN AVE MANITOWOC, WI 54220	NONE	PUBLIC	CHARITABLE	2,500
PAINTING PATHWAYS 1226 WASHINGTON ST MANITOWOC, WI 54220	NONE	EXEMPT	GENERAL USE	10,000
HOPE HOUSE OF MANITOWOC COUNTY 1110 SO 10TH ST MANITOWOC, WI 54220	NONE	PUBLIC	CHARITABLE	7,500
SALVATION ARMY 411 NO 6TH ST MANITOWOC, WI 54220	NONE	PUBLIC	CHARITABLE	2,500
LUTHERAN SOCIAL SERVICES 721 PARK ST MANITOWOC, WI 54220	NONE	EXEMPT	CHARITABLE	1,000
<b>Total . . . . .</b>				101,000
<b>3a</b>				

## Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a Paid during the year</b>				
HOLIDAY HOUSE OF MANITOWOC COUNTY 2818 MEADOW LANE MANITOWOC, WI 54220	NONE	PUBLIC	CHARITABLE	10,000
RAWHIDE BOYS RANCH E7475 RAWHIDE RD NEW LONDON, WI 54961	NONE	PUBLIC	CHARITABLE	1,000
WISCONSIN MARITIME MUSEUM 75 MARITIME DRIVE MANITOWOC, WI 54220	NONE	PUBLIC	CHARITABLE	2,500
SPECIAL OLYMPICS WISCONSIN 2140 HOLMGREN WAY GREEN BAY, WI 54304	NONE		GENERAL FUND	2,000
BIG BROTHERS BIG SISTERS OF MANITOWOC COUNTY 810 WASHINGTON ST MANITOWOC, WI 54220	NONE	PUBLIC	CHARITABLE	2,000
<b>Total . . . . .</b>				101,000
<b>3a</b>				

## Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a Paid during the year</b>				
LAKESHORE CAPPO BOX 2315 MANITOWOC, WI 542212315	NONE	PUBLIC	CHARITABLE	5,000
MARCO SERVICES INC 1114 SO 11TH ST MANITOWOC, WI 54220	NONE	PUBLIC	CHARITABLE	3,000
DOMESTIC VIOLENCE CENTER 1127 SO 22ND ST MANITOWOC, WI 54220	NONE	PUBLIC	CHARITABLE	8,500
CAPITOL CIVIC CENTRE INC 913S 8TH STREET MANITOWOC, WI 54220	NONE		GENERAL CHARITABLE	5,000
PETER'S PANTRY 843 SOUTH 21ST ST MANITOWOC, WI 54220	NONE		GENERAL CHARITABLE	7,500
<b>Total . . . . . ►</b>				101,000
<b>3a</b>				

## Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a Paid during the year</b>				
MANITOWOC YOUTH BASEBALL ASSOCIATION 2002 MARSHALL STREET MANITOWOC, WI 54220	NONE		GENERAL CHARITABLE	10,000
SOUTHFIELD TOWNHOUSES NEIGHBORHOOD NETWORK LEARNING CENTER 2516 SO 21ST ST MANITOWOC, WI 54220	NONE		GENERAL USE	5,000
CITY OF MANITOWOC MANITOWOC CITY TREASURER 900 QUAY ST MANITOWOC, WI 54220	NONE		CANINE UNIT	5,000
MASQUERS INC 616 NO 8TH ST MANITOWOC, WI 54220	NONE	PUBLIC	CHARITABLE	1,000
WOODLAND DUNES NATURE CENTER PO BOX 486 TWO RIVERS, WI 542410486	NONE	PUBLIC	CHARITABLE	2,500
<b>Total . . . . .</b>				101,000
<b>3a</b>				

**Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<i>a Paid during the year</i>				
THE HAVEN OF MANITOWOC COUNTY INC PO BOX 1795 MANITOWOC, WI 542201795	NONE	EXEMPT	GENERAL USE	5,000
TREEHOUSE THEATER PO BOX 1722 MANITOWOC, WI 54220	NONE		GENERAL USE	2,500
<b>Total . . . . .</b>				101,000
<b>3a</b>				

## TY 2017 Accounting Fees Schedule

**Name:** HAROLD C KALLIES CHARITABLE TRUST XXXXX3001

**EIN:** 39-6548517

## Accounting Fees Schedule

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
TAX PREPARATION FEE (NON-ALLOC	900	900		

**TY 2017 Investments Corporate Stock Schedule****Name:** HAROLD C KALLIES CHARITABLE TRUST XXXXX3001**EIN:** 39-6548517

<b>Name of Stock</b>	<b>End of Year Book Value</b>	<b>End of Year Fair Market Value</b>
BANK FIRST NATIONAL CORP	25,415	813,540
SPDR PORTFOLIO INTERMEDIATE TE	114,596	113,610
ISHARES FLOATING RATE BOND ETF	41,778	41,774
ISHARES BARCLAYS 20+ YR TR BD	69,602	69,773
POWERSHARES FTSE RAFI US 1000	137,103	171,165
PROSHARES LARGE CAP CORE PLUS	103,241	115,829
SPDR BLACKSTONE/GSO SENIOR LOA	28,773	28,544
POWERSHARES S&P 500 LOW VOL ET	242,767	264,002
ISHARES JP MORGAN EM LOCAL CUR	24,343	26,992
SPDR BLOOMBERG BARCLAYS INT TE	107,011	106,047
GUGGENHEIM S&P EQ QGHT HEALTHC		
GUGGENHEIM S&P EQ WGHT ETF		
POWERSHARES DB OPT YLD DIVER		
POWERSHARES FTSE RAFI US 1500	109,910	133,397
POWERSHARES S&P 500 QUALITY PO	269,046	310,681
VANGUARD FTSE DEV MKTS ETF	239,081	268,756
VANGUARD INT'L EMERGING MKTS E	152,607	182,722
VANGUARD TOTAL INT'L STOCK IND		
POWERSHARES FUNDALMENTAL HIGH	33,884	34,318
VANGUARD TOTAL BOND MKT ETF		
VANECK VECTORS JP MORGAN EM LO	38,005	38,529

## TY 2017 Other Decreases Schedule

**Name:** HAROLD C KALLIES CHARITABLE TRUST XXXXX3001

**EIN:** 39-6548517

Description	Amount
CONTRIBUTION CHECK REDEPOSITED IN 2018	1,750

## TY 2017 Other Expenses Schedule

**Name:** HAROLD C KALLIES CHARITABLE TRUST XXXXX3001  
**EIN:** 39-6548517

## Other Expenses Schedule

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
OTHER ALLOCABLE EXPENSE-PRINCI	13	0		13

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**As Filed Data -**

**DLN: 93491131011288**

## **TY 2017 Other Income Schedule**

**Name:** HAROLD C KALLIES CHARITABLE TRUST XXXXX3001

**EIN:** 39-6548517

## TY 2017 Other Increases Schedule

**Name:** HAROLD C KALLIES CHARITABLE TRUST XXXXX3001

**EIN:** 39-6548517

Description	Amount
ADJUSTMENT DUE TO ROUNDING	5

## TY 2017 Taxes Schedule

**Name:** HAROLD C KALLIES CHARITABLE TRUST XXXXX3001**EIN:** 39-6548517

<b>Category</b>	<b>Amount</b>	<b>Net Investment Income</b>	<b>Adjusted Net Income</b>	<b>Disbursements for Charitable Purposes</b>
FEDERAL ESTIMATES - INCOME	2,235	0		2,235
FOREIGN TAXES ON QUALIFIED FOR	518	518		0
FOREIGN TAXES ON NONQUALIFIED	358	358		0