

For calendar year 2017, or tax year beginning 01-01-2017, and ending 12-31-2017

Name of foundation HAROLD C KALLIES CHARITABLE TRUST XXXXX3001		A Employer identification number 39-6548517	
Number and street (or P O box number if mail is not delivered to street address) PO BOX 649		Room/suite	B Telephone number (see instructions) (920) 967-5020
City or town, state or province, country, and ZIP or foreign postal code NEENAH, WI 549570649		C If exemption application is pending, check here <input type="checkbox"/>	
G Check all that apply <input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Amended return <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/> 2 Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>	
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>	
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 2,780,142		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>	
J Accounting method <input type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis)			

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)				
	2 Check <input type="checkbox"/> if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities . . .	50,512	50,509		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	288,865			
	b Gross sales price for all assets on line 6a 2,648,002				
	7 Capital gain net income (from Part IV, line 2) . . .		288,865		
	8 Net short-term capital gain			0	
	9 Income modifications				
	10a Gross sales less returns and allowances				
Operating and Administrative Expenses	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)	985			
	12 Total. Add lines 1 through 11	340,362	339,374		
	13 Compensation of officers, directors, trustees, etc	30,819	30,819		
	14 Other employee salaries and wages		0	0	0
	15 Pension plans, employee benefits		0	0	
	16a Legal fees (attach schedule)				0
	b Accounting fees (attach schedule)	900	900	0	0
	c Other professional fees (attach schedule)				0
	17 Interest				0
	18 Taxes (attach schedule) (see instructions) . . .	3,111	876		2,235
	19 Depreciation (attach schedule) and depletion . . .	0	0		
	20 Occupancy				
	21 Travel, conferences, and meetings		0	0	
	22 Printing and publications		0	0	
	23 Other expenses (attach schedule)	13			13
	24 Total operating and administrative expenses. Add lines 13 through 23	34,843	32,595	0	2,248
	25 Contributions, gifts, grants paid	101,000			101,000
	26 Total expenses and disbursements. Add lines 24 and 25	135,843	32,595	0	103,248
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	204,519			
	b Net investment income (if negative, enter -0-)		306,779		
c Adjusted net income(if negative, enter -0-) . . .				0	

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions.)			
		Beginning of year (a) Book Value	End of year (b) Book Value (c) Fair Market Value		
Assets	1	Cash—non-interest-bearing			
	2	Savings and temporary cash investments	42,587	60,463	60,463
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____		0	0
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____ 0			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)	1,552,264	1,737,162	2,719,679
	c	Investments—corporate bonds (attach schedule)			
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)			0
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
15	Other assets (describe ▶ _____)				
16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	1,594,851	1,797,625	2,780,142	
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶ _____)			
	23	Total liabilities (add lines 17 through 22)		0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds	1,594,851	1,797,625	
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
30	Total net assets or fund balances (see instructions)	1,594,851	1,797,625		
31	Total liabilities and net assets/fund balances (see instructions) .	1,594,851	1,797,625		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,594,851
2	Enter amount from Part I, line 27a	2	204,519
3	Other increases not included in line 2 (itemize) ▶ _____	3	5
4	Add lines 1, 2, and 3	4	1,799,375
5	Decreases not included in line 2 (itemize) ▶ _____	5	1,750
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 .	6	1,797,625

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a See Additional Data Table			
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a See Additional Data Table			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a See Additional Data Table			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	288,865
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2016	101,439	2,313,381	0 043849
2015	50,466	2,185,306	0 023093
2014	48,434	2,068,807	0 023412
2013	47,435	1,852,497	0 025606
2012	206	1,724,697	0 000119
2 Total of line 1, column (d)			2 0 116079
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 0 023216
4 Enter the net value of noncharitable-use assets for 2017 from Part X, line 5			4 2,584,954
5 Multiply line 4 by line 3			5 60,012
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 3,068
7 Add lines 5 and 6			7 63,080
8 Enter qualifying distributions from Part XII, line 4			8 103,248

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b	1	3,068
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0
3	Add lines 1 and 2.	3	3,068
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	3,068
6	Credits/Payments		
a	2017 estimated tax payments and 2016 overpayment credited to 2017	6a	2,980
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d.	7	2,980
8	Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8	7
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	95
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	
11	Enter the amount of line 10 to be Credited to 2018 estimated tax <input type="checkbox"/> 0 Refunded <input type="checkbox"/>	11	0

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a	No
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>	1b	No
c Did the foundation file Form 1120-POL for this year?	1c	No
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation <input type="checkbox"/> \$ _____ (2) On foundation managers <input type="checkbox"/> \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input type="checkbox"/> \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities</i>	2	No
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>	3	No
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a	No
b If "Yes," has it filed a tax return on Form 990-T for this year?	4b	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T</i>	5	No
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col (c), and Part XV</i>	7	Yes
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> WI		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation</i> .	8b	Yes
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2017 or the taxable year beginning in 2017 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>	9	No
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>	10	No

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ►	13	Yes	
14	The books are in care of ► <u>LEGACY PRIVATE TRUST COMPANY</u> Telephone no ► <u>(920) 967-5020</u>			

Located at ► PO BOX 649 NEENAH WI ZIP+4 ► 549570649

15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here	<input type="checkbox"/>		
	and enter the amount of tax-exempt interest received or accrued during the year	15		
16	At any time during calendar year 2017, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
	See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR). If "Yes," enter the name of the foreign country ►			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a	During the year did the foundation (either directly or indirectly)		Yes	No
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days).	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?		1b	
	Organizations relying on a current notice regarding disaster assistance check here. ► <input type="checkbox"/>			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2017?		1c	No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2017, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2017?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	If "Yes," list the years ► 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions).		2b	Yes
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ► 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If "Yes," did it have excess business holdings in 2017 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2017).		3b	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a	No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2017?		4b	No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (Continued)

5a	<p>During the year did the foundation pay or incur any amount to</p> <p>(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>(3) Provide a grant to an individual for travel, study, or other similar purposes? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions). <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>			
b	<p>If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>Organizations relying on a current notice regarding disaster assistance check here. <input type="checkbox"/> </p>	5b		
c	<p>If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? <input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p><i>If "Yes," attach the statement required by Regulations section 53.4945–5(d)</i></p>			
6a	<p>Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>			
b	<p>Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p><i>If "Yes" to 6b, file Form 8870</i></p>	6b		No
7a	<p>At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>			
b	<p>If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>	7b		

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1

List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
LEGACY PRIVATE TRUST COMPANY PO BOX 649 NEENAH, WI 549570649	CO-TRUSTEE 4	27,819		
JOHN M JAGEMANN 621 YORK ST MANITOWOC, WI 54220	CO-TRUSTEE 5	2,000		
ROBERT PIETROSKE 506 FOREST DR FRANCIS CREEK, WI 54214	ALLOC COMMITTEE 5	500		
THOMAS J BARE 827 MEMORIAL DR MANITOWOC, WI 54220	ALLOC COMMITTEE 0	500		

2

Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	Title, and average hours per week (b) devoted to position	(c) Compensation	Contributions to employee benefit plans and deferred compensation (d)	Expense account, (e) other allowances
NONE				

Total number of other employees paid over \$50,000.

0

3

Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services.

0

Part IX-A

Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1	
2	
3	
4	

Part IX-B

Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments. See instructions.	
3	

Total. Add lines 1 through 3

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities.	1a	2,580,385
b	Average of monthly cash balances.	1b	43,934
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	2,624,319
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	2,624,319
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	39,365
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4.	5	2,584,954
6	Minimum investment return. Enter 5% of line 5.	6	129,248

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	129,248
2a	Tax on investment income for 2017 from Part VI, line 5.	2a	3,068
b	Income tax for 2017 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	3,068
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	126,180
4	Recoveries of amounts treated as qualifying distributions.	4	0
5	Add lines 3 and 4.	5	126,180
6	Deduction from distributable amount (see instructions).	6	0
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	126,180

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	103,248
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	0
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	0
b	Cash distribution test (attach the required schedule).	3b	0
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4.	4	103,248
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions).	5	3,068
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	100,180

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2016	(c) 2016	(d) 2017
1 Distributable amount for 2017 from Part XI, line 7				126,180
2 Undistributed income, if any, as of the end of 2017				
a Enter amount for 2016 only.			102,675	
b Total for prior years 20____, 20____, 20____		0		
3 Excess distributions carryover, if any, to 2017				
a From 2012.	0			
b From 2013.	0			
c From 2014.	0			
d From 2015.	0			
e From 2016.	0			
f Total of lines 3a through e.	0			
4 Qualifying distributions for 2017 from Part XII, line 4 ▶ \$ 103,248				
a Applied to 2016, but not more than line 2a			102,675	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2017 distributable amount.				573
e Remaining amount distributed out of corpus	0			
5 Excess distributions carryover applied to 2017 (If an amount appears in column (d), the same amount must be shown in column (a))	0			0
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0			
b Prior years' undistributed income Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d Subtract line 6c from line 6b Taxable amount—see instructions		0		
e Undistributed income for 2016 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2017 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2018				125,607
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).	0			
8 Excess distributions carryover from 2012 not applied on line 5 or line 7 (see instructions).	0			
9 Excess distributions carryover to 2018. Subtract lines 7 and 8 from line 6a	0			
10 Analysis of line 9				
a Excess from 2013.	0			
b Excess from 2014.	0			
c Excess from 2015.	0			
d Excess from 2016.	0			
e Excess from 2017.	0			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2017, enter the date of the ruling. ▶					
b Check box to indicate whether the organization is a private operating foundation described in section <input type="checkbox"/> 4942(j)(3) or <input type="checkbox"/> 4942(j)(5)					
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed	Tax year	Prior 3 years			(e) Total
	(a) 2017	(b) 2016	(c) 2015	(d) 2014	
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . .					
c "Support" alternative test—enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). . . .					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:	
a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))	
b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest	
2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:	
Check here <input type="checkbox"/> if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.	
a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed LEGACY PRIVATE TR CO ATTN TAMMY PO BOX 649 NEENAH, WI 549570649 (920) 967-5020	
b The form in which applications should be submitted and information and materials they should include STATED NEEDS REQUIRED SCHOLARSHIP APPLICATION	
c Any submission deadlines NONE	
d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors EXEMPT 501(C)(3) ORGANIZATION PRIMARILY IN MANITOWOC COUNTY	

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a <i>Paid during the year</i> See Additional Data Table				
Total			▶ 3a	101,000
b <i>Approved for future payment</i>				
Total			▶ 3b	

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a _____					
b _____					
c _____					
d _____					
e _____					
f _____					
g Fees and contracts from government agencies					
2 Membership dues and assessments.					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities.			14	50,512	
5 Net rental income or (loss) from real estate					
a Debt-financed property.					
b Not debt-financed property.					
6 Net rental income or (loss) from personal property					
7 Other investment income.					
8 Gain or (loss) from sales of assets other than inventory			18	288,865	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a _____					
b _____					
c _____					
d _____					
e _____					
12 Subtotal. Add columns (b), (d), and (e).				340,362	
13 Total. Add line 12, columns (b), (d), and (e).			13		340,362

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?		Yes	No
a Transfers from the reporting foundation to a noncharitable exempt organization of			
(1) Cash.			No
(2) Other assets.			No
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization.			No
(2) Purchases of assets from a noncharitable exempt organization.			No
(3) Rental of facilities, equipment, or other assets.			No
(4) Reimbursement arrangements.			No
(5) Loans or loan guarantees.			No
(6) Performance of services or membership or fundraising solicitations.			No
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees.		1c	No
d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.			

(a) Line No	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here	Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.		
	*****	2018-05-11	*****
	Signature of officer or trustee	Date	Title

May the IRS discuss this return with the preparer shown below (see instr)? <input type="checkbox"/> Yes <input type="checkbox"/> No
--

Paid Preparer Use Only	Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed <input type="checkbox"/>	PTIN
	Firm's name ▶				Firm's EIN ▶
	Firm's address ▶				Phone no

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
370 POWERSHARES FTSE RAFI US 1500 SMALL MID PORTFOLIO ETF		2016-10-25	2017-01-18
1205 POWERSHARES FTSE RAFI US 1000		2015-11-09	2017-01-18
2130 POWERSHARES FUNDAMENTAL HIGH YIELD CORP BOND PORTFOLIO ETF		2016-09-13	2017-01-18
2245 POWERSHARES DB OPT YLD DIVER COMM STRAT ETF		2016-10-25	2017-01-18
970 GUGGENHEIM S&P EQUAL WEIGHT ETF		2016-12-06	2017-01-18
290 GUGGENHEIM S&P EQ WGHT HEALTHCARE		2016-04-05	2017-01-18
1460 VANGUARD TOTAL INTERNATIONAL STOCK INDEX FUND ETF		2016-10-25	2017-01-18
4180 VANGUARD TOTAL BOND MARKET ETF		2016-12-06	2017-01-18
495 VANGUARD FTSE DEV MARKETS ETF		2016-10-25	2017-01-18
240 VANGUARD INTL EMERGING MKT ETF		2016-10-25	2017-01-18

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
42,562		38,269	4,293
120,616		107,247	13,369
39,985		39,852	133
38,430		40,006	-1,576
85,213		83,876	1,337
43,635		42,236	1,399
68,811		63,911	4,900
338,383		344,589	-6,206
18,537		18,113	424
8,899		9,199	-300

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			4,293
			13,369
			133
			-1,576
			1,337
			1,399
			4,900
			-6,206
			424
			-300

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
5000 BANK FIRST NATIONAL CORP		1989-02-08	2017-02-01
360 POWERSHARES DB OPT YLD DIVER COMM STRAT ETF		2016-10-25	2017-02-22
30 ISHARES JP MORGAN EM LOCAL CURRENCY BOND ETF		2017-02-22	2017-03-29
10 ISHARES INTERMEDIATE GOVERNMENT/ CREDIT BOND ETF		2017-02-07	2017-03-29
10 ISHARES FLOATING RATE BOND ETF		2017-02-22	2017-03-29
211 POWERSHARES FTSE RAFI US 1000		2017-02-07	2017-03-29
729 POWERSHARES FTSE RAFI US 1000		2014-09-23	2017-03-29
11190 POWERSHARES S&P 500 QUALITY PORT ETF		2017-02-07	2017-03-29
982 SPDR DJ WILSHIRE INTL REAL ESTATE		2017-02-07	2017-03-29
5 SPDR BLACKSTONE/GSO SENIOR LOAN ETF		2017-01-18	2017-03-29

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
170,000		6,982	163,018
6,142		6,415	-273
1,372		1,345	27
1,102		1,103	-1
508		509	-1
21,562		21,242	320
74,498		62,255	12,243
305,788		295,083	10,705
36,657		36,125	532
237		238	-1

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			163,018
			-273
			27
			-1
			-1
			320
			12,243
			10,705
			532
			-1

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
270 ISHARES JP MORGAN EM LOCAL CURRENCY BOND ETF		2017-02-07	2017-05-10
150 ISHARES BARCLAYS 1-3 YR TR BD FD		2017-02-22	2017-05-10
455 ISHARES INTERMEDIATE GOVERNMENT/ CREDIT BOND ETF		2017-02-22	2017-05-10
495 ISHARES FLOATING RATE BOND ETF		2017-02-22	2017-05-10
985 ISHARES EDGE MSCI USA MOMENTUM IDX		2017-03-29	2017-05-10
575 POWERSHARES FTSE RAFI US 1500 SMALL MID PORTFOLIO ETF		2016-04-05	2017-05-10
680 POWERSHARES FUNDAMENTAL HIGH YIELD CORP BOND PORTFOLIO ETF		2017-02-22	2017-05-10
3160 POWERSHARES DB OPT YLD DIVER COMM STRAT ETF		2017-03-29	2017-05-10
2850 GUGGENHEIM S&P EQUAL WEIGHT ETF		2017-03-29	2017-05-10
265 SPDR BLACKSTONE/GSO SENIOR LOAN ETF		2017-01-18	2017-05-10

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
12,335		11,830	505
12,659		12,684	-25
50,179		50,160	19
25,145		25,154	-9
84,354		81,381	2,973
67,551		53,157	14,394
12,859		12,793	66
49,927		55,751	-5,824
261,425		258,695	2,730
12,556		12,614	-58

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			505
			-25
			19
			-9
			2,973
			14,394
			66
			-5,824
			2,730
			-58

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
90 ISHARES JP MORGAN EM LOCAL CURRENCY BOND ETF		2017-01-18	2017-06-22
462 ISHARES BARCLAYS 1-3 YR TR BD FD		2017-01-18	2017-06-22
135 ISHARES INTERMEDIATE GOVERNMENT/ CREDIT BOND ETF		2017-01-18	2017-06-22
135 ISHARES FLOATING RATE BOND ETF		2017-01-18	2017-06-22
25 POWERSHARES FTSE RAFI US 1500 SMALL MID PORTFOLIO ETF		2016-03-08	2017-06-22
290 POWERSHARES FTSE RAFI US 1000		2016-06-21	2017-06-22
175 POWERSHARES FUNDAMENTAL HIGH YIELD CORP BOND PORTFOLIO ETF		2016-09-13	2017-06-22
70 SPDR BLACKSTONE/GSO SENIOR LOAN ETF		2017-01-18	2017-06-22
160 ISHARES JP MORGAN EM LOCAL CURRENCY BOND ETF		2017-01-18	2017-08-02
30 POWERSHARES FTSE RAFI US 1500 SMALL MID PORTFOLIO ETF		2016-03-08	2017-08-02

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
4,167		3,912	255
39,020		39,053	-33
14,989		14,876	113
6,862		6,859	3
2,904		2,302	602
29,893		26,089	3,804
3,302		3,274	28
3,312		3,332	-20
7,662		6,955	707
3,560		2,762	798

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			255
			-33
			113
			3
			602
			3,804
			28
			-20
			707
			798

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
110 VANGUARD FTSE DEV MARKETS ETF		2017-06-22	2017-08-02
100 VANGUARD INTL EMERGING MKT ETF		2016-06-21	2017-08-02
500 BANK FIRST NATIONAL CORP		1989-02-08	2017-08-10
310 ISHARES INTERMEDIATE GOVERNMENT/ CREDIT BOND ETF		2017-08-02	2017-09-20
705 ISHARES FLOATING RATE BOND ETF		2017-08-02	2017-09-20
25 POWERSHARES FUNDAMENTAL HIGH YIELD CORP BOND PORTFOLIO ETF		2017-08-02	2017-09-20
45 POWERSHARES FUNDAMENTAL HIGH YIELD CORP BOND PORTFOLIO ETF		2016-09-13	2017-09-20
130 SPDR BLACKSTONE/GSO SENIOR LOAN ETF		2017-01-18	2017-09-20
90 VANGUARD INTL EMERGING MKT ETF		2016-06-21	2017-09-20
20 ISHARES JP MORGAN EM LOCAL CURRENCY BOND ETF		2017-01-18	2017-11-08

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
4,694		4,530	164
4,315		3,452	863
18,450		698	17,752
34,396		34,191	205
35,841		35,825	16
475		478	-3
855		842	13
6,144		6,188	-44
4,008		3,107	901
935		869	66

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			164
			863
			17,752
			205
			16
			-3
			13
			-44
			901
			66

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
440 ISHARES BARCLAYS 1-3 YR TR BD FD		2017-09-20	2017-11-08
1009 ISHARES INTERMEDIATE GOVERNMENT/ CREDIT BOND ETF		2017-01-18	2017-11-08
105 POWERSHARES S&P 500 QUALITY PORT ETF		2017-09-20	2017-11-08
1400 POWERSHARES EMERG MKTS SOVER DEBT		2017-09-20	2017-11-08
1090 POWERSHARES S&P500 LOW VOL ETF		2017-09-20	2017-11-08
20 SPDR BLACKSTONE/GSO SENIOR LOAN ETF		2017-01-18	2017-11-08
1010 VANGUARD FTSE DEV MARKETS ETF		2016-09-13	2017-11-08
500 BANK FIRST NATIONAL CORP		1989-02-08	2017-12-18
30 ISHARES JP MORGAN EM LOCAL CURRENCY BOND ETF		2017-01-18	2017-12-18
25 ISHARES BARCLAYS 20+ YR TR BD		2017-11-08	2017-12-18

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
37,024		37,212	-188
111,391		111,182	209
3,071		3,003	68
40,854		41,366	-512
51,153		48,311	2,842
945		952	-7
44,782		36,842	7,940
21,654		698	20,956
1,431		1,304	127
3,175		3,164	11

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			-188
			209
			68
			-512
			2,842
			-7
			7,940
			20,956
			127
			11

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
25 ISHARES FLOATING RATE BOND ETF		2017-11-08	2017-12-18
70 POWERSHARES FTSE RAFI US 1500 SMALL MID PORTFOLIO ETF		2016-10-25	2017-12-18
110 POWERSHARES FTSE RAFI US 1000		2016-12-06	2017-12-18
790 POWERSHARES S&P 500 QUALITY PORT ETF		2016-12-06	2017-12-18
15 POWERSHARES FUNDAMENTAL HIGH YIELD CORP BOND PORTFOLIO ETF		2017-11-08	2017-12-18
35 POWERSHARES FUNDAMENTAL HIGH YIELD CORP BOND PORTFOLIO ETF		2016-09-13	2017-12-18
320 POWERSHARES S&P500 LOW VOL ETF		2017-05-10	2017-12-18
130 PROSHARES LARGE CAP CORE PLUS ETF		2017-11-08	2017-12-18
95 SPDR PORTFOLIO INTERMEDIATE TERM CORP BOND ETF		2017-09-20	2017-12-18
50 SPDR BLOOMBERG BARCLAYS INT TERM TREASURY ETF		2017-11-08	2017-12-18

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
1,271		1,274	-3
9,126		7,240	1,886
12,492		10,273	2,219
24,118		20,366	3,752
283		285	-2
659		655	4
15,376		14,048	1,328
8,748		7,916	832
3,254		3,285	-31
2,976		2,998	-22

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			Gains (Col (h) gain minus col (k), but not less than -0-) or (l) Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			-3
			1,886
			2,219
			3,752
			-2
			4
			1,328
			832
			-31
			-22

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
15 SPDR BLACKSTONE/GSO SENIOR LOAN ETF		2017-01-18	2017-12-18
100 VANECK VECTORS JP MORGAN EM LOCAL CURRENCY BOND ETF		2017-09-20	2017-12-18
215 VANGUARD FTSE DEV MARKETS ETF		2016-12-06	2017-12-18
65 VANGUARD INTL EMERGING MKT ETF		2016-10-25	2017-12-18
30 VANGUARD INTL EMERGING MKT ETF		2017-11-08	2017-12-18

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
708		714	-6
1,882		1,958	-76
9,660		7,845	1,815
2,913		2,491	422
1,345		1,347	-2

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-6
			-76
			1,815
			422
			-2

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
MANITOWOC COUNTY HISTORICAL SOCIETY 1701 MICHIGAN AVE MANITOWOC, WI 54220	NONE	PUBLIC	CHARITABLE	2,500
PAINTING PATHWAYS 1226 WASHINGTON ST MANITOWOC, WI 54220	NONE	EXEMPT	GENERAL USE	10,000
HOPE HOUSE OF MANITOWOC COUNTY 1110 SO 10TH ST MANITOWOC, WI 54220	NONE	PUBLIC	CHARITABLE	7,500
SALVATION ARMY411 NO 6TH ST MANITOWOC, WI 54220	NONE	PUBLIC	CHARITABLE	2,500
LUTHERAN SOCIAL SERVICES 721 PARK ST MANITOWOC, WI 54220	NONE	EXEMPT	CHARITABLE	1,000
Total ▶ 3a				101,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
HOLIDAY HOUSE OF MANITOWOC COUNTY 2818 MEADOW LANE MANITOWOC, WI 54220	NONE	PUBLIC	CHARITABLE	10,000
RAWHIDE BOYS RANCH E7475 RAWHIDE RD NEW LONDON, WI 54961	NONE	PUBLIC	CHARITABLE	1,000
WISCONSIN MARITIME MUSEUM 75 MARITIME DRIVE MANITOWOC, WI 54220	NONE	PUBLIC	CHARITABLE	2,500
SPECIAL OLYMPICS WISCONSIN 2140 HOLMGREN WAY GREEN BAY, WI 54304	NONE		GENERAL FUND	2,000
BIG BROTHERS BIG SISTERS OF MANITOWOC COUNTY 810 WASHINGTON ST MANITOWOC, WI 54220	NONE	PUBLIC	CHARITABLE	2,000
Total ► 3a				101,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
LAKESHORE CAPPO BOX 2315 MANITOWOC, WI 542212315	NONE	PUBLIC	CHARITABLE	5,000
MARCO SERVICES INC1114 SO 11TH ST MANITOWOC, WI 54220	NONE	PUBLIC	CHARITABLE	3,000
DOMESTIC VIOLENCE CENTER 1127 SO 22ND ST MANITOWOC, WI 54220	NONE	PUBLIC	CHARITABLE	8,500
CAPITOL CIVIC CENTRE INC 913S 8TH STREET MANITOWOC, WI 54220	NONE		GENERAL CHARITABLE	5,000
PETER'S PANTRY843 SOUTH 21ST ST MANITOWOC, WI 54220	NONE		GENERAL CHARITABLE	7,500
Total 3a				101,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
MANITOWOC YOUTH BASEBALL ASSOCIATION 2002 MARSHALL STREET MANITOWOC, WI 54220	NONE		GENERAL CHARITABLE	10,000
SOUTHFIELD TOWNHOUSES NEIGHBORHOOD NETWORK LEARNING CENTER 2516 SO 21ST ST MANITOWOC, WI 54220	NONE		GENERAL USE	5,000
CITY OF MANITOWOC MANITOWOC CITY TREASURER 900 QUAY ST MANITOWOC, WI 54220	NONE		CANINE UNIT	5,000
MASQUERS INC616 NO 8TH ST MANITOWOC, WI 54220	NONE	PUBLIC	CHARITABLE	1,000
WOODLAND DUNES NATURE CENTER PO BOX 486 TWO RIVERS, WI 542410486	NONE	PUBLIC	CHARITABLE	2,500
Total ▶ 3a				101,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
THE HAVEN OF MANITOWOC COUNTY INC PO BOX 1795 MANITOWOC, WI 542201795	NONE	EXEMPT	GENERAL USE	5,000
TREEHOUSE THEATERPO BOX 1722 MANITOWOC, WI 54220	NONE		GENERAL USE	2,500
Total				101,000
3a				

TY 2017 Accounting Fees Schedule**Name:** HAROLD C KALLIES CHARITABLE TRUST XXXXX3001**EIN:** 39-6548517**Accounting Fees Schedule**

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
TAX PREPARATION FEE (NON-ALLOC	900	900		

TY 2017 Investments Corporate Stock Schedule**Name:** HAROLD C KALLIES CHARITABLE TRUST XXXXX3001**EIN:** 39-6548517

Name of Stock	End of Year Book Value	End of Year Fair Market Value
BANK FIRST NATIONAL CORP	25,415	813,540
SPDR PORTFOLIO INTERMEDIATE TE	114,596	113,610
ISHARES FLOATING RATE BOND ETF	41,778	41,774
ISHARES BARCLAYS 20+ YR TR BD	69,602	69,773
POWERSHARES FTSE RAFI US 1000	137,103	171,165
PROSHARES LARGE CAP CORE PLUS	103,241	115,829
SPDR BLACKSTONE/GSO SENIOR LOA	28,773	28,544
POWERSHARES S&P 500 LOW VOL ET	242,767	264,002
ISHARES JP MORGAN EM LOCAL CUR	24,343	26,992
SPDR BLOOMBERG BARCLAYS INT TE	107,011	106,047
GUGGENHEIM S&P EQ QGHT HEALTHC		
GUGGENHEIM S&P EQ WGHT ETF		
POWERSHARES DB OPT YLD DIVER		
POWERSHARES FTSE RAFI US 1500	109,910	133,397
POWERSHARES S&P 500 QUALITY PO	269,046	310,681
VANGUARD FTSE DEV MKTS ETF	239,081	268,756
VANGUARD INT'L EMERGING MKTS E	152,607	182,722
VANGUARD TOTAL INT'L STOCK IND		
POWERSHARES FUNDALMENTAL HIGH	33,884	34,318
VANGUARD TOTAL BOND MKT ETF		
VANECK VECTORS JP MORGAN EM LO	38,005	38,529

TY 2017 Other Decreases Schedule

Name: HAROLD C KALLIES CHARITABLE TRUST XXXXX3001

EIN: 39-6548517

Description	Amount
CONTRIBUTION CHECK REDEPOSITED IN 2018	1,750

TY 2017 Other Expenses Schedule**Name:** HAROLD C KALLIES CHARITABLE TRUST XXXXX3001**EIN:** 39-6548517**Other Expenses Schedule**

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
OTHER ALLOCABLE EXPENSE-PRINCI	13	0		13

TY 2017 Other Income Schedule

Name: HAROLD C KALLIES CHARITABLE TRUST XXXXX3001

EIN: 39-6548517

TY 2017 Other Increases Schedule

Name: HAROLD C KALLIES CHARITABLE TRUST XXXXX3001

EIN: 39-6548517

Description	Amount
ADJUSTMENT DUE TO ROUNDING	5

TY 2017 Taxes Schedule**Name:** HAROLD C KALLIES CHARITABLE TRUST XXXXX3001**EIN:** 39-6548517

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FEDERAL ESTIMATES - INCOME	2,235	0		2,235
FOREIGN TAXES ON QUALIFIED FOR	518	518		0
FOREIGN TAXES ON NONQUALIFIED	358	358		0