

Form 990-PF

Department of the Treasury  
Internal Revenue Service

## Return of Private Foundation

## or Section 4947(a)(1) Trust Treated as Private Foundation

- Do not enter social security numbers on this form as it may be made public.
- Information about Form 990-PF and its instructions is at [www.irs.gov/form990pf](http://www.irs.gov/form990pf).

2017

Open to Public  
Inspection

For calendar year 2017, or tax year beginning 01-01-2017, and ending 12-31-2017

<p>Name of foundation RALPH FRANZEN CHARITABLE FOUNDATION XXXXX9002</p> <p>Number and street (or P O box number if mail is not delivered to street address) 10 S DEARBORN IL1-0111</p> <p>City or town, state or province, country, and ZIP or foreign postal code CHICAGO, IL 60603</p> <p><b>G</b> Check all that apply      <input type="checkbox"/> Initial return      <input type="checkbox"/> Initial return of a former public charity  <input type="checkbox"/> Final return      <input type="checkbox"/> Amended return  <input type="checkbox"/> Address change      <input type="checkbox"/> Name change</p> <p><b>H</b> Check type of organization      <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation  <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust      <input type="checkbox"/> Other taxable private foundation</p> <p><b>I</b> Fair market value of all assets at end of year (from Part II, col (c), line 16) ► \$ 15,855,658</p>		<p><b>A</b> Employer identification number 36-6929982</p> <p><b>B</b> Telephone number (see instructions) (800) 496-2583</p> <p><b>C</b> If exemption application is pending, check here ► <input type="checkbox"/></p> <p><b>D</b> 1. Foreign organizations, check here ► <input type="checkbox"/>  2. Foreign organizations meeting the 85% test, check here and attach computation ► <input type="checkbox"/></p> <p><b>E</b> If private foundation status was terminated under section 507(b)(1)(A), check here ► <input type="checkbox"/></p> <p><b>F</b> If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ► <input type="checkbox"/></p>					
		<p><b>J</b> Accounting method      <input type="checkbox"/> Cash      <input type="checkbox"/> Accrual  <input type="checkbox"/> Other (specify) _____</p> <p>(Part I, column (d) must be on cash basis )</p>					
<p><b>Part I</b> <b>Analysis of Revenue and Expenses</b> (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))</p>		<p><b>(a)</b> Revenue and expenses per books</p>	<p><b>(b)</b> Net investment income</p>	<p><b>(c)</b> Adjusted net income</p>	<p><b>(d)</b> Disbursements for charitable purposes (cash basis only)</p>		
<p><b>Revenue</b></p> <p>1 Contributions, gifts, grants, etc, received (attach schedule)</p> <p>2 Check ► <input type="checkbox"/> if the foundation is not required to attach Sch B</p> <p>3 Interest on savings and temporary cash investments</p> <p>4 Dividends and interest from securities . . . . .</p> <p>5a Gross rents . . . . .</p> <p>b Net rental income or (loss) _____</p> <p>6a Net gain or (loss) from sale of assets not on line 10 . . . . .</p> <p>b Gross sales price for all assets on line 6a 2,179,247</p> <p>7 Capital gain net income (from Part IV, line 2) . . . . .</p> <p>8 Net short-term capital gain . . . . .</p> <p>9 Income modifications . . . . .</p> <p>10a Gross sales less returns and allowances . . . . .</p> <p>b Less Cost of goods sold . . . . .</p> <p>c Gross profit or (loss) (attach schedule) . . . . .</p> <p>11 Other income (attach schedule) . . . . .</p> <p>12 <b>Total.</b> Add lines 1 through 11 . . . . .</p>							
					345,621	345,372	
<p><b>Operating and Administrative Expenses</b></p> <p>13 Compensation of officers, directors, trustees, etc . . . . .</p> <p>14 Other employee salaries and wages . . . . .</p> <p>15 Pension plans, employee benefits . . . . .</p> <p>16a Legal fees (attach schedule) . . . . .</p> <p>b Accounting fees (attach schedule) . . . . .</p> <p>c Other professional fees (attach schedule) . . . . .</p> <p>17 Interest . . . . .</p> <p>18 Taxes (attach schedule) (see instructions) . . . . .</p> <p>19 Depreciation (attach schedule) and depletion . . . . .</p> <p>20 Occupancy . . . . .</p> <p>21 Travel, conferences, and meetings . . . . .</p> <p>22 Printing and publications . . . . .</p> <p>23 Other expenses (attach schedule) . . . . .</p> <p>24 <b>Total operating and administrative expenses.</b></p> <p>Add lines 13 through 23 . . . . .</p> <p>25 Contributions, gifts, grants paid . . . . .</p> <p>26 <b>Total expenses and disbursements.</b> Add lines 24 and 25 . . . . .</p> <p>27 Subtract line 26 from line 12</p> <p>a Excess of revenue over expenses and disbursements . . . . .</p> <p>b Net investment income (if negative, enter -0-) . . . . .</p> <p>c Adjusted net income (if negative, enter -0-) . . . . .</p>							
			78,582	47,149	31,433		
				0	0		
				0	0		
					0		
					0		
					0		
					0		
					0		
					0		
					15		
			91,386	53,738	31,448		
			645,000		645,000		
			736,386	53,738	676,448		
			-143,423				
				538,782			
				0			

	<b>Part II Balance Sheets</b>	Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions )	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
	1 Cash—non-interest-bearing		229,296	62,715	62,715
	2 Savings and temporary cash investments		651,990	586,726	586,726
	3 Accounts receivable ►			0	0
	Less allowance for doubtful accounts ►				
	4 Pledges receivable ►				
	Less allowance for doubtful accounts ►				
	5 Grants receivable				
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)				
	7 Other notes and loans receivable (attach schedule) ►				
	Less allowance for doubtful accounts ► 0				
	8 Inventories for sale or use				
	9 Prepaid expenses and deferred charges				
	10a Investments—U S and state government obligations (attach schedule)				
	b Investments—corporate stock (attach schedule)		9,686,200	9,940,682	12,502,742
	c Investments—corporate bonds (attach schedule)		1,965,468	1,503,100	1,527,685
	11 Investments—land, buildings, and equipment basis ►				
	Less accumulated depreciation (attach schedule) ►				
	12 Investments—mortgage loans				
	13 Investments—other (attach schedule)		839,434	1,134,389	1,175,790
	14 Land, buildings, and equipment basis ►				
	Less accumulated depreciation (attach schedule) ►				
	15 Other assets (describe ► )				
	16 <b>Total assets</b> (to be completed by all filers—see the instructions Also, see page 1, item I)		13,372,388	13,227,612	15,855,658
	17 Accounts payable and accrued expenses				
	18 Grants payable				
	19 Deferred revenue				
	20 Loans from officers, directors, trustees, and other disqualified persons				
	21 Mortgages and other notes payable (attach schedule)				
	22 Other liabilities (describe ► )				
	23 <b>Total liabilities</b> (add lines 17 through 22)		0		
	<b>Foundations that follow SFAS 117, check here ► <input type="checkbox"/></b> and complete lines 24 through 26 and lines 30 and 31.				
	24 Unrestricted				
	25 Temporarily restricted				
	26 Permanently restricted				
	<b>Foundations that do not follow SFAS 117, check here ► <input checked="" type="checkbox"/></b> and complete lines 27 through 31.				
	27 Capital stock, trust principal, or current funds		13,372,388	13,227,612	
	28 Paid-in or capital surplus, or land, bldg , and equipment fund				
	29 Retained earnings, accumulated income, endowment, or other funds				
	30 <b>Total net assets or fund balances</b> (see instructions)		13,372,388	13,227,612	
	31 <b>Total liabilities and net assets/fund balances</b> (see instructions)		13,372,388	13,227,612	

**Part III Analysis of Changes in Net Assets or Fund Balances**

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	13,372,388
2	Enter amount from Part I, line 27a	2	-143,423
3	Other increases not included in line 2 (itemize) ►	3	0
4	Add lines 1, 2, and 3	4	13,228,965
5	Decreases not included in line 2 (itemize) ►	5	1,353
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	13,227,612

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)			(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a See Additional Data Table					
b					
c					
d					
e					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)		
a See Additional Data Table					
b					
c					
d					
e					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69					
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))		
a See Additional Data Table					
b					
c					
d					
e					
2 Capital gain net income or (net capital loss)		If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	247,148	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8				3	

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income )

If section 4940(d)(2) applies, leave this part blank

 Yes  No

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2016	109,849	13,455,728	0 008164
2015	92,859	1,900,707	0 048855
2014	81,378	1,834,494	0 04436
2013	5,086	1,669,016	0 003047
2012	105,554	1,580,538	0 066784
<b>2 Total</b> of line 1, column (d)			0 17121
<b>3 Average</b> distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			0 034242
<b>4 Enter</b> the net value of noncharitable-use assets for 2017 from Part X, line 5			14,858,900
<b>5 Multiply</b> line 4 by line 3			508,798
<b>6 Enter</b> 1% of net investment income (1% of Part I, line 27b)			5,388
<b>7 Add</b> lines 5 and 6			514,186
<b>8 Enter</b> qualifying distributions from Part XIII, line 4			676,448

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)**

1a	Exempt operating foundations described in section 4940(d)(2), check here ► <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)	1	5,388
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ► <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b		
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	0
3	Add lines 1 and 2.	3	5,388
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4	0
5	<b>Tax based on investment income.</b> Subtract line 4 from line 3 If zero or less, enter -0- . . . . .	5	5,388
6	Credits/Payments		
a	2017 estimated tax payments and 2016 overpayment credited to 2017	6a	2,664
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments Add lines 6a through 6d.	7	2,664
8	Enter any <b>penalty</b> for underpayment of estimated tax Check here <input type="checkbox"/> if Form 2220 is attached	8	53
9	<b>Tax due.</b> If the total of lines 5 and 8 is more than line 7, enter <b>amount owed</b> . . . . . ►	9	2,777
10	<b>Overpayment.</b> If line 7 is more than the total of lines 5 and 8, enter the <b>amount overpaid</b> . . . . . ►	10	
11	Enter the amount of line 10 to be <b>Credited to 2018 estimated tax</b> ►	11	0

**Part VII-A Statements Regarding Activities**

1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a	Yes	No
b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)?	1b	Yes	No
	<i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>			
c	Did the foundation file <b>Form 1120-POL</b> for this year?	1c	Yes	No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year			
(1)	On the foundation ► \$ _____	(2)	On foundation managers ► \$ _____	
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ► \$ _____			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS?	2	Yes	No
	<i>If "Yes," attach a detailed description of the activities</i>			
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>	3	Yes	No
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a	Yes	No
b	If "Yes," has it filed a tax return on <b>Form 990-T</b> for this year?	4b	Yes	No
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year?	5	Yes	No
	<i>If "Yes," attach the statement required by General Instruction T</i>			
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either <ul style="list-style-type: none"> <li>By language in the governing instrument, or</li> <li>By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?</li> </ul>	6	Yes	
7	Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col (c), and Part XV</i>	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions)			
	► IL			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation</i>	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2017 or the taxable year beginning in 2017 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>	9	Yes	No
10	Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>	10	Yes	No

**Part VII-A Statements Regarding Activities (continued)**

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11	No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12	No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ►	13	Yes
14	The books are in care of ►JP MORGAN CHASE BANK NA	Telephone no ►(800) 496-2583	
Located at ►10 S DEARBORN ST MC IL 1-0111 CHICAGO IL			ZIP+4 ►60603
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of <b>Form 1041</b> —Check here and enter the amount of tax-exempt interest received or accrued during the year.	► 15	
16	At any time during calendar year 2017, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR) If "Yes", enter the name of the foreign country ►	16	Yes No

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.		
		Yes No
1a	During the year did the foundation (either directly or indirectly)	
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?.	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days).	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
b	If any answer is "Yes" to 1a(1)–(6), did <b>any</b> of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here.	► <input type="checkbox"/> No
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2017?	► <input type="checkbox"/> No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))	
a	At the end of tax year 2017, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2017? If "Yes," list the years ► 20____, 20____, 20____, 20____	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
b	Are there any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to <b>all</b> years listed, answer "No" and attach statement—see instructions) ►	<input type="checkbox"/> No
c	If the provisions of section 4942(a)(2) are being applied to <b>any</b> of the years listed in 2a, list the years here ► 20____, 20____, 20____, 20____	
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
b	If "Yes," did it have excess business holdings in 2017 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2017).	► <input type="checkbox"/> No
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	► <input type="checkbox"/> No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2017?	► <input type="checkbox"/> No

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (Continued)**

5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? . . . . .	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 4945(d)(4)(A)? (see instructions). . . . .	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? . . . . .	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
b	If any answer is "Yes" to 5a(1)–(5), did <b>any</b> of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)? . . . . . Organizations relying on a current notice regarding disaster assistance check here. . . . . ►			5b
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? . . . . .	<input type="checkbox"/> Yes	<input type="checkbox"/> No	
	<i>If "Yes," attach the statement required by Regulations section 53 4945-5(d)</i>			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? . . . . .	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? . . . . .	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	6b
	<i>If "Yes" to 6b, file Form 8870</i>			No
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? . . . . .			7b

<b>Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors</b>				
<b>1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).</b>				
<b>(a) Name and address</b> JP MORGAN CHASE BANK NA 10 S DEARBORN ST MC IL 1-0111 CHICAGO, IL 60603	<b>(b) Title, and average hours per week devoted to position</b> TRUSTEE 2	<b>(c) Compensation (If not paid, enter -0-)</b> 78,582	<b>(d) Contributions to employee benefit plans and deferred compensation</b>	<b>(e) Expense account, (e) other allowances</b>
<b>2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."</b>				
<b>(a) Name and address of each employee paid more than \$50,000</b> NONE	<b>(b) Title, and average hours per week devoted to position</b>	<b>(c) Compensation</b>	<b>(d) Contributions to employee benefit plans and deferred compensation</b>	<b>(e) Expense account, (e) other allowances</b>
<b>Total number of other employees paid over \$50,000. . . . . ► 0</b>				
<b>3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".</b>				
<b>(a) Name and address of each person paid more than \$50,000</b> NONE	<b>(b) Type of service</b>	<b>(c) Compensation</b>		
<b>Total number of others receiving over \$50,000 for professional services. . . . . ► 0</b>				
<b>Part IX-A Summary of Direct Charitable Activities</b>				
List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc				<b>Expenses</b>
1				
2				
3				
4				
<b>Part IX-B Summary of Program-Related Investments (see instructions)</b>				
Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2				<b>Amount</b>
1				
2				
All other program-related investments. See instructions				
3				
<b>Total. Add lines 1 through 3 . . . . . ►</b>				

**Part X Minimum Investment Return** (All domestic foundations must complete this part Foreign foundations, see instructions )

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities. . . . .	1a	14,097,311
b	Average of monthly cash balances. . . . .	1b	987,867
c	Fair market value of all other assets (see instructions). . . . .	1c	0
d	<b>Total</b> (add lines 1a, b, and c). . . . .	1d	15,085,178
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation). . . . .	1e	0
2	Acquisition indebtedness applicable to line 1 assets. . . . .	2	0
3	Subtract line 2 from line 1d. . . . .	3	15,085,178
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions). . . . .	4	226,278
5	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3 Enter here and on Part V, line 4	5	14,858,900
6	<b>Minimum investment return.</b> Enter 5% of line 5. . . . .	6	742,945

**Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign**Part XI** organizations check here ►  and do not complete this part )

1	Minimum investment return from Part X, line 6. . . . .	1	742,945
2a	Tax on investment income for 2017 from Part VI, line 5. . . . .	2a	5,388
b	Income tax for 2017 (This does not include the tax from Part VI). . . . .	2b	
c	Add lines 2a and 2b. . . . .	2c	5,388
3	Distributable amount before adjustments Subtract line 2c from line 1. . . . .	3	737,557
4	Recoveries of amounts treated as qualifying distributions. . . . .	4	0
5	Add lines 3 and 4. . . . .	5	737,557
6	Deduction from distributable amount (see instructions). . . . .	6	0
7	<b>Distributable amount</b> as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1. . . . .	7	737,557

**Part XII Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26. . . . .	1a	676,448
b	Program-related investments—total from Part IX-B. . . . .	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes. . . . .	2	0
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required). . . . .	3a	0
b	Cash distribution test (attach the required schedule). . . . .	3b	0
4	<b>Qualifying distributions.</b> Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	676,448
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions). . . . .	5	5,388
6	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4. . . . .	6	671,060
<b>Note:</b> The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years			

**Part XIII Undistributed Income (see instructions)**

	(a) Corpus	(b) Years prior to 2016	(c) 2016	(d) 2017
<b>1</b> Distributable amount for 2017 from Part XI, line 7				737,557
<b>2</b> Undistributed income, if any, as of the end of 2017				
<b>a</b> Enter amount for 2016 only. . . . .			643,703	
<b>b</b> Total for prior years 20____, 20____, 20____		0		
<b>3</b> Excess distributions carryover, if any, to 2017				
<b>a</b> From 2012. . . . .	0			
<b>b</b> From 2013. . . . .	0			
<b>c</b> From 2014. . . . .	0			
<b>d</b> From 2015. . . . .	0			
<b>e</b> From 2016. . . . .	0			
<b>f Total of lines 3a through e. . . . .</b>	0			
<b>4</b> Qualifying distributions for 2017 from Part XII, line 4 ► \$ 676,448				
<b>a</b> Applied to 2016, but not more than line 2a			643,703	
<b>b</b> Applied to undistributed income of prior years (Election required—see instructions). . . . .		0		
<b>c</b> Treated as distributions out of corpus (Election required—see instructions). . . . .	0			
<b>d</b> Applied to 2017 distributable amount. . . . .				32,745
<b>e</b> Remaining amount distributed out of corpus	0			
<b>5</b> Excess distributions carryover applied to 2017 (If an amount appears in column (d), the same amount must be shown in column (a) )		0		0
<b>6</b> Enter the net total of each column as indicated below:				
<b>a</b> Corpus Add lines 3f, 4c, and 4e Subtract line 5	0			
<b>b</b> Prior years' undistributed income Subtract line 4b from line 2b. . . . .		0		
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed. . . . .		0		
<b>d</b> Subtract line 6c from line 6b Taxable amount—see instructions . . . . .		0		
<b>e</b> Undistributed income for 2016 Subtract line 4a from line 2a Taxable amount—see instructions . . . . .			0	
<b>f</b> Undistributed income for 2017 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2018 . . . . .				704,812
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions) . . . . .	0			
<b>8</b> Excess distributions carryover from 2012 not applied on line 5 or line 7 (see instructions) . . . . .	0			
<b>9</b> Excess distributions carryover to 2018. Subtract lines 7 and 8 from line 6a . . . . .	0			
<b>10</b> Analysis of line 9				
<b>a</b> Excess from 2013. . . . .	0			
<b>b</b> Excess from 2014. . . . .	0			
<b>c</b> Excess from 2015. . . . .	0			
<b>d</b> Excess from 2016. . . . .	0			
<b>e</b> Excess from 2017. . . . .	0			

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

1a	If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2017, enter the date of the ruling. ►			
b	Check box to indicate whether the organization is a private operating foundation described in section <input type="checkbox"/> 4942(j)(3) or <input type="checkbox"/> 4942(j)(5)			
2a	Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed.			
b	85% of line 2a.			
c	Qualifying distributions from Part XII, line 4 for each year listed.			
d	Amounts included in line 2c not used directly for active conduct of exempt activities.			
e	Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c.			
3	Complete 3a, b, or c for the alternative test relied upon			
a	"Assets" alternative test—enter			
(1)	Value of all assets.			
(2)	Value of assets qualifying under section 4942(j)(3)(B)(i).			
b	"Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.			
c	"Support" alternative test—enter			
(1)	Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties).			
(2)	Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).			
(3)	Largest amount of support from an exempt organization			
(4)	Gross investment income			

**Part XV Supplementary Information** (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))  
NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest  
NONE

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ►  if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

JPMorgan Chase Bank NA- Siomara El  
10 S Dearborn St Fl 11  
Chicago, IL 60603  
(312) 732-5761

b The form in which applications should be submitted and information and materials they should include  
written application, annual report and IRS Exempt status letter

c Any submission deadlines  
December 1st each year

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

religious scientific literary and educational organizations described in IRC Section 501 c 3

## **Part XV Supplementary Information (continued)**

### **3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions )
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
<b>1</b> Program service revenue					
a					
b					
c					
d					
e					
f					
<b>g</b> Fees and contracts from government agencies					
<b>2</b> Membership dues and assessments.					
<b>3</b> Interest on savings and temporary cash investments					
<b>4</b> Dividends and interest from securities.				14	345,621
<b>5</b> Net rental income or (loss) from real estate					
a Debt-financed property.					
b Not debt-financed property.					
<b>6</b> Net rental income or (loss) from personal property					
<b>7</b> Other investment income.					
<b>8</b> Gain or (loss) from sales of assets other than inventory				18	247,148
<b>9</b> Net income or (loss) from special events					
<b>10</b> Gross profit or (loss) from sales of inventory					
<b>11</b> Other revenue					
a DEFERRED INCOME				14	194
b					
c					
d					
e					
<b>12</b> Subtotal Add columns (b), (d), and (e).					592,963
<b>13</b> Total. Add line 12, columns (b), (d), and (e).				13	592,963
(See worksheet in line 13 instructions to verify calculations.)					

**Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes**

## Part XVII

## **Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?

**a Transfers from the reporting foundation to a noncharitable exempt organization of**

- Other transactions

(b) Performance of services or membership in fundraising solicitations. . . . .

If the answer to any of the above is "Yes," complete the following schedule. Column (D) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value

in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received

Line No. (b) Amount involved (c) Name of noncharitable exempt organization (d) Description of transfers, transactions, and sharing arrangements

**2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations

described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? . . . . .  Yes  No

**b** If "Yes," complete the following schedule

**Sign  
Here**

\* \* \* \* \*

2018-04-27

\* \* \* \* :

May the IRS discuss this return with the preparer shown below  
(see instr )?  Yes  No

<b>Paid Preparer Use Only</b>	Print/Type preparer's name  KELLY NEUGEBAUER	Preparer's Signature	Date  2018-04-27	Check if self-employed ► <input type="checkbox"/>	PTIN  P01285591
	Firm's name ► DELOITTE TAX LLP				Firm's EIN ► 86-1065772
	Firm's address ► 127 PUBLIC SQUARE  CLEVELAND, OH 44114				Phone no (312) 486-9652

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
14 ALEXION PHARMACEUTICALS INC			2017-01-03
8 ALEXION PHARMACEUTICALS INC			2017-01-03
24 CARNIVAL CORPORATION			2017-01-03
18 CARNIVAL CORPORATION		2016-02-23	2017-01-03
11 CARNIVAL CORPORATION		2016-02-23	2017-01-03
1 KIMBERLY CLARK CORP		2016-02-23	2017-01-03
1 KIMBERLY CLARK CORP		2016-02-23	2017-01-03
3 KIMBERLY CLARK CORP			2017-01-04
3 KIMBERLY CLARK CORP		2016-02-17	2017-01-04
2 KIMBERLY CLARK CORP		2016-01-12	2017-01-04

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	Depreciation allowed (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
1,714		2,130	-416
979		1,553	-574
1,249		1,248	1
937		867	70
573		530	43
115		132	-17
114		132	-18
346		393	-47
345		392	-47
230		252	-22

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) FMV as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			-416
			-574
			1
			70
			43
			-17
			-18
			-47
			-47
			-22

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
2 KIMBERLY CLARK CORP		2016-01-12	2017-01-05
2 KIMBERLY CLARK CORP		2016-01-12	2017-01-05
1 KIMBERLY CLARK CORP		2016-01-12	2017-01-05
1 KIMBERLY CLARK CORP		2016-01-12	2017-01-06
2 KIMBERLY CLARK CORP		2016-01-12	2017-01-06
1 KIMBERLY CLARK CORP		2016-01-12	2017-01-06
1 KIMBERLY CLARK CORP		2016-01-12	2017-01-09
1 KIMBERLY CLARK CORP		2016-01-12	2017-01-09
1 KIMBERLY CLARK CORP		2016-01-12	2017-01-09
1 KIMBERLY CLARK CORP		2016-01-12	2017-01-11

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis (h) plus expense of sale	(h) (e) plus (f) minus (g)
231		252	-21
232		252	-20
115		126	-11
116		126	-10
233		252	-19
116		126	-10
115		126	-11
115		126	-11
115		126	-11
114		126	-12

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) FMV as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			-21
			-20
			-11
			-10
			-19
			-10
			-11
			-11
			-11
			-11
			-12

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1 KIMBERLY CLARK CORP		2016-01-12	2017-01-12
24 AETNA INC NEW		2016-02-23	2017-01-23
12 AETNA INC NEW			2017-01-23
2 AETNA INC NEW		2016-02-23	2017-01-24
FEDERAL NATL MTG ASSN			2017-01-26
10 AETNA INC NEW		2016-02-23	2017-01-30
22 AETNA INC NEW			2017-01-30
10 BLACKROCK INC			2017-01-30
16 CARNIVAL CORPORATION		2016-02-23	2017-01-30
3 CARNIVAL CORPORATION		2016-02-23	2017-01-30

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis (g) plus expense of sale	(h) (e) plus (f) minus (g)
114		126	-12
2,857		2,579	278
1,428		1,531	-103
235		215	20
15			15
1,164		1,075	89
2,560		1,983	577
3,739		3,386	353
890		770	120
167		144	23

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) FMV as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			-12
			278
			-103
			20
			15
			89
			577
			353
			120
			23

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
2 CARNIVAL CORPORATION		2016-02-23	2017-01-30
21 LAM RESEARCH CORPORATION COMMON			2017-01-30
51 TIME WARNER INC			2017-01-30
30 CARNIVAL CORPORATION		2016-02-23	2017-01-31
2 CARNIVAL CORPORATION		2016-02-23	2017-02-01
2 CARNIVAL CORPORATION		2016-02-23	2017-02-01
1 CARNIVAL CORPORATION		2016-02-23	2017-02-01
25 LAM RESEARCH CORPORATION COMMON			2017-02-02
4 LENNOX INTL INC			2017-02-10
8 LENNOX INTL INC		2016-02-23	2017-02-10

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis (h) plus expense of sale	(h) (e) plus (f) minus (g)
112		96	16
2,429		1,379	1,050
4,917		883	4,034
1,654		1,445	209
110		96	14
111		96	15
55		48	7
2,931		1,045	1,886
654		498	156
1,308		989	319

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) FMV as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			16
			1,050
			4,034
			209
			14
			15
			7
			1,886
			156
			319

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
25 SCHLUMBERGER LTD			2017-02-10
14 SCHLUMBERGER LTD		2016-02-23	2017-02-10
1 SCHLUMBERGER LTD		2016-02-23	2017-02-10
8 LENNOX INTL INC		2016-02-23	2017-02-13
8 SCHLUMBERGER LTD		2016-02-23	2017-02-13
5 SCHLUMBERGER LTD		2016-02-23	2017-02-13
5 SCHLUMBERGER LTD		2016-02-23	2017-02-13
5 LENNOX INTL INC		2016-02-23	2017-02-14
5 LENNOX INTL INC			2017-02-14
1 SCHLUMBERGER LTD		2016-02-23	2017-02-14

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis (h) plus expense of sale	(h) (e) plus (f) minus (g)
2,056		1,843	213
1,149		1,011	138
82		72	10
1,293		989	304
654		578	76
409		361	48
409		361	48
800		618	182
800		617	183
82		72	10

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) FMV as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			213
			138
			10
			304
			76
			48
			48
			182
			183
			10

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
4 SCHLUMBERGER LTD		2016-02-23	2017-02-14
3 SCHLUMBERGER LTD		2016-02-23	2017-02-14
3 SCHLUMBERGER LTD			2017-02-14
9 LENNOX INTL INC			2017-02-15
1 LENNOX INTL INC		2015-08-11	2017-02-16
36 PPG INDUS INC			2017-02-22
7 PPG INDUS INC			2017-02-22
35 BIOVERATIV INC		2010-03-22	2017-02-23
75 BIOVERATIV INC			2017-02-23
37 FACEBOOK INC-A		2016-02-23	2017-02-23

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis (g) plus expense of sale	(h) (e) plus (f) minus (g)
327		289	38
246		217	29
246		210	36
1,429		1,093	336
161		121	40
3,705		3,708	-3
721		646	75
176		33	143
376		318	58
4,993		3,908	1,085

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) FMV as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			38
			29
			36
			336
			40
			-3
			75
			143
			58
			1,085

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
11 PPG INDS INC			2017-02-23
STATE STR CORP			2017-02-23
MERCK & CO INC			2017-03-10
STATE STR CORP			2017-03-10
6 YUM BRANDS INC			2017-03-17
11 YUM BRANDS INC		2016-03-16	2017-03-17
19 YUM BRANDS INC		2016-04-08	2017-03-17
32 YUM BRANDS INC			2017-03-20
1 BROADCOM LTD		2016-02-23	2017-04-12
1 BROADCOM LTD		2016-02-23	2017-04-12

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis (g) plus expense of sale	(h) (e) plus (f) minus (g)
1,130		1,014	116
20			20
14			14
132			132
383		345	38
704		603	101
1,216		1,093	123
2,047		1,752	295
209		129	80
209		129	80

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) FMV as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			116
			20
			14
			132
			38
			101
			123
			295
			80
			80

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
2 BROADCOM LTD		2016-02-23	2017-04-12
4 BROADCOM LTD		2016-02-23	2017-04-12
1 BROADCOM LTD		2016-02-23	2017-04-12
8182 489 DODGE & COX INCOME FUND		2016-06-23	2017-04-13
5682 096 DOUBLELINE TOTL RET BND-I			2017-04-13
19508 943 DOUBLELINE TOTL RET BND-I		2016-06-23	2017-04-13
4334 538 JPMORGAN CORE BOND FUND		2016-08-23	2017-04-13
2 BROADCOM LTD		2016-02-23	2017-04-13
2 BROADCOM LTD		2016-02-23	2017-04-13
3 BROADCOM LTD		2016-02-23	2017-04-13

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis (h) plus expense of sale	(h) (e) plus (f) minus (g)
428		257	171
839		514	325
211		129	82
112,264		112,427	-163
60,912		61,738	-826
209,136		212,452	-3,316
50,411		52,101	-1,690
424		257	167
423		257	166
636		386	250

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) FMV as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			171
			325
			82
			-163
			-826
			-3,316
			-1,690
			167
			166
			250

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1 BROADCOM LTD			2016-02-23	2017-04-13
3 BROADCOM LTD			2016-02-23	2017-04-13
2 BROADCOM LTD			2016-02-23	2017-04-13
1 BROADCOM LTD			2016-02-23	2017-04-13
3 COSTCO WHSL CORP NEW			2016-02-23	2017-04-20
24 MARSH & MCLENNAN COS INC				2017-04-20
23 MARSH & MCLENNAN COS INC				2017-04-20
6 COSTCO WHSL CORP NEW			2016-02-23	2017-04-21
1 COSTCO WHSL CORP NEW			2016-02-23	2017-04-21
3 MARSH & MCLENNAN COS INC			2013-09-19	2017-04-21

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis (g) plus expense of sale	(h) (e) plus (f) minus (g)
212		129	83
640		386	254
425		257	168
213		129	84
511		453	58
1,760		1,179	581
1,687		1,017	670
1,025		905	120
171		151	20
216		133	83

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) FMV as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			83
			254
			168
			84
			58
			581
			670
			120
			20
			83

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
21 MARSH & MCLENNAN COS INC			2017-04-21
2 COSTCO WHSL CORP NEW		2016-02-23	2017-04-24
87 PROCTER & GAMBLE CO			2017-04-24
1 DISCOVER FINL SVCS		2015-08-14	2017-05-02
4 DISCOVER FINL SVCS		2015-08-14	2017-05-02
1 DISCOVER FINL SVCS		2015-08-14	2017-05-03
1 DISCOVER FINL SVCS		2015-08-14	2017-05-03
4 DISCOVER FINL SVCS		2015-08-14	2017-05-03
3 DISCOVER FINL SVCS		2015-08-14	2017-05-03
30 COSTCO WHSL CORP NEW			2017-05-04

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis (h) plus expense of sale	(h) (e) plus (f) minus (g)
1,523		908	615
344		302	42
7,786		5,873	1,913
62		56	6
248		224	24
61		56	5
61		56	5
245		224	21
183		168	15
5,473		4,084	1,389

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) FMV as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			615
			42
			1,913
			6
			24
			5
			5
			21
			15
			1,389

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
2 DISCOVER FINL SVCS		2015-08-14	2017-05-04
1 DISCOVER FINL SVCS		2015-08-14	2017-05-04
11 ILLUMINA INC			2017-05-04
BAXTER INTL INC			2017-05-05
1 DISCOVER FINL SVCS		2015-08-14	2017-05-05
1 DISCOVER FINL SVCS		2015-08-14	2017-05-05
7 DISCOVER FINL SVCS		2015-08-14	2017-05-05
1 DISCOVER FINL SVCS		2015-08-14	2017-05-05
7 ILLUMINA INC		2016-02-23	2017-05-05
2 DISCOVER FINL SVCS		2015-08-14	2017-05-08

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis (h) plus expense of sale	(h) (e) plus (f) minus (g)
122		112	10
61		56	5
2,072		1,732	340
14			14
61		56	5
60		56	4
423		391	32
60		56	4
1,313		1,051	262
121		112	9

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) FMV as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			10
			5
			340
			14
			5
			4
			32
			4
			262
			9

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1 DISCOVER FINL SVCS			2015-08-14	2017-05-08
1 ILLUMINA INC			2016-02-23	2017-05-08
2 DISCOVER FINL SVCS			2015-08-14	2017-05-09
1 DISCOVER FINL SVCS			2015-08-14	2017-05-09
3 DISCOVER FINL SVCS			2015-08-14	2017-05-09
2 DISCOVER FINL SVCS			2015-08-14	2017-05-09
6 ILLUMINA INC			2016-02-23	2017-05-09
762 ISHARES TR RUSSELL MIDCAP INDEX FD			2016-06-23	2017-05-09
100 ISHARES TR RUSSELL MIDCAP INDEX FD			2015-02-10	2017-05-09
2215 936 ARTISAN INTERNATIONAL FUND INSTITUTIONAL SHARES			2014-02-06	2017-05-10

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis (g) plus expense of sale	(h) (e) plus (f) minus (g)
60		56	4
184		150	34
121		112	9
61		56	5
182		168	14
122		112	10
1,099		901	198
143,962		128,812	15,150
18,893		16,981	1,912
65,547		64,698	849

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			Gains (Col (h) gain minus col (k), but not less than -0-) or (l) Losses (from col (h))
(i) FMV as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			4
			34
			9
			5
			14
			10
			198
			15,150
			1,912
			849

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
2 DISCOVER FINL SVCS		2015-08-14	2017-05-10
3806 757 BROWN ADV JAPAN ALPHA OPP-IS			2017-05-11
46 DISCOVER FINL SVCS			2017-05-15
39 DISCOVER FINL SVCS		2016-03-15	2017-05-15
2 TRANSCANADA CORP		2016-07-07	2017-05-15
63 TRANSCANADA CORP			2017-05-15
79 JOHNSON CONTROLS INTERNATIONAL PLC		2016-09-07	2017-05-15
36 JOHNSON CONTROLS INTERNATIONAL PLC			2017-05-15
1 JOHNSON CONTROLS INTERNATIONAL PLC		2016-10-03	2017-05-15
29 TRANSCANADA CORP		2016-06-30	2017-05-16

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis (h) plus expense of sale	(h) (e) plus (f) minus (g)
121		112	9
40,276		38,971	1,305
2,789		2,315	474
2,365		1,896	469
93		92	1
2,944		2,854	90
3,357		3,823	-466
1,530		1,673	-143
42		46	-4
1,348		1,300	48

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) FMV as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			9
			1,305
			474
			469
			1
			90
			-466
			-143
			-4
			48

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1 TRANSCANADA CORP			2016-06-30	2017-05-16
1 TRANSCANADA CORP			2016-06-30	2017-05-16
1 TRANSCANADA CORP			2016-06-30	2017-05-16
5 TRANSCANADA CORP			2016-06-30	2017-05-16
12 JOHNSON CONTROLS INTERNATIONAL PLC			2016-10-03	2017-05-16
3 TRANSCANADA CORP			2016-11-16	2017-05-17
61 TRANSCANADA CORP				2017-05-17
3 GENERAL MOTORS CO			2010-11-24	2017-05-18
57 GENERAL MOTORS CO				2017-05-18
25 GENERAL MOTORS CO				2017-05-18

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis (g) plus expense of sale	(h) (e) plus (f) minus (g)
47		45	2
46		45	1
47		45	2
231		224	7
508		556	-48
138		133	5
2,781		2,697	84
97		101	-4
1,847		1,770	77
813		741	72

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			Gains (Col (h) gain minus col (k), but not less than -0-) or (l) Losses (from col (h))
(i) FMV as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			2
			1
			2
			7
			-48
			5
			84
			-4
			77
			72

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
3 GENERAL MOTORS CO		2016-02-23	2017-05-18
9 GENERAL MOTORS CO		2016-02-23	2017-05-18
1 TRANSCANADA CORP		2016-11-15	2017-05-18
1 TRANSCANADA CORP		2016-11-15	2017-05-18
22 VERTEX PHARMACEUTICALS INC			2017-05-18
4 VERTEX PHARMACEUTICALS INC		2016-02-23	2017-05-18
8 GENERAL MOTORS CO		2016-02-23	2017-05-19
86 GENERAL MOTORS CO		2016-02-23	2017-05-19
4 GENERAL MOTORS CO		2016-02-23	2017-05-19
7 GENERAL MOTORS CO		2016-02-23	2017-05-19

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis (h) plus expense of sale	(h) (e) plus (f) minus (g)
97		88	9
291		265	26
45		44	1
46		44	2
2,581		2,465	116
468		346	122
263		236	27
2,816		2,534	282
132		118	14
229		206	23

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) FMV as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			9
			26
			1
			2
			116
			122
			27
			282
			14
			23

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
16 GENERAL MOTORS CO			2017-05-19
7 GENERAL MOTORS CO			2017-05-19
12 GENERAL MOTORS CO			2017-05-19
1 TRANSCANADA CORP		2016-11-15	2017-05-19
5 GENERAL MOTORS CO			2017-05-22
5 GENERAL MOTORS CO			2017-05-22
1 GENERAL MOTORS CO		2012-09-19	2017-05-22
2 GENERAL MOTORS CO		2012-09-19	2017-05-22
7 GENERAL MOTORS CO		2012-09-19	2017-05-22
3 TRANSCANADA CORP		2016-11-15	2017-05-22

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis (h) plus expense of sale	(h) (e) plus (f) minus (g)
523		448	75
229		181	48
392		305	87
46		44	2
164		127	37
165		125	40
33		25	8
66		50	16
230		175	55
140		132	8

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			75
			48
			87
			2
			37
			40
			8
			16
			55
			8

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
15 GENERAL MOTORS CO		2012-09-19	2017-05-23
3 GENERAL MOTORS CO		2012-09-19	2017-05-24
2350 ISHARES TR RUSSELL MIDCAP INDEX FD			2017-06-28
10406 651 JPMORGAN CORE BOND FUND			2017-06-28
7139 75 JPMORGAN CORE BOND FUND		2016-08-23	2017-06-28
13786 13 PIMCO FDS PAC INVT MGMT SER HIGH YLD FD INSTL CL		2016-04-25	2017-06-28
1325 576 PIMCO FDS PAC INVT MGMT SER HIGH YLD FD INSTL CL		2017-04-13	2017-06-28
3777 673 PRUDENTIAL ABS RET BND FD- CL Q		2014-02-06	2017-06-28
11072 994 T ROWE PR EMERG MKTS BND-I			2017-06-29
2833 33 VANGUARD TOTAL INTL BND-ADM		2017-04-19	2017-06-30

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	Depreciation allowed (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
498		375	123
100		75	25
454,070		361,630	92,440
121,342		123,505	-2,163
83,249		85,820	-2,571
124,075		117,320	6,755
11,930		11,798	132
37,135		36,789	346
139,963		139,570	393
61,455		61,653	-198

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) FMV as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			123
			25
			92,440
			-2,163
			-2,571
			6,755
			132
			346
			393
			-198

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
37 METLIFE INC		2016-02-23	2017-07-12
36 METLIFE INC		2016-02-23	2017-07-12
48 METLIFE INC			2017-07-12
35 METLIFE INC			2017-07-12
7 METLIFE INC		2009-01-21	2017-07-12
6 METLIFE INC		2009-01-21	2017-07-13
34 T-MOBILE US INC			2017-08-01
43 T-MOBILE US INC			2017-08-01
9 HUMANA INC		2017-01-23	2017-08-14
4 HUMANA INC		2011-05-20	2017-08-14

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis (h) plus (f) minus (g)	(h) (e) plus (f) minus (g)
2,056		1,424	632
1,999		1,385	614
2,667		1,581	1,086
1,942		1,008	934
389		176	213
334		151	183
2,142		1,373	769
2,713		1,729	984
2,253		1,828	425
1,001		320	681

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) FMV as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			632
			614
			1,086
			934
			213
			183
			769
			984
			425
			681

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
19 HUMANA INC			2017-08-21
5 LINCOLN NATL CORP IND		2017-07-13	2017-08-21
8 LINCOLN NATL CORP IND			2017-08-21
1 LINCOLN NATL CORP IND		2017-07-12	2017-08-21
2 LINCOLN NATL CORP IND		2017-07-12	2017-08-22
11 LINCOLN NATL CORP IND		2017-07-12	2017-08-22
4 LINCOLN NATL CORP IND		2017-07-12	2017-08-22
2 LINCOLN NATL CORP IND		2017-07-12	2017-08-23
4 LINCOLN NATL CORP IND		2017-07-12	2017-08-23
1 LINCOLN NATL CORP IND		2017-07-12	2017-08-23

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis (h) plus expense of sale	(h) (e) plus (f) minus (g)
4,728		1,340	3,388
336		356	-20
537		569	-32
67		71	-4
135		141	-6
745		777	-32
271		282	-11
136		141	-5
272		282	-10
68		71	-3

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) FMV as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			3,388
			-20
			-32
			-4
			-6
			-32
			-11
			-5
			-10
			-3

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
2 LINCOLN NATL CORP IND		2017-07-12	2017-08-24
4 LINCOLN NATL CORP IND		2017-07-12	2017-08-24
1 LINCOLN NATL CORP IND		2017-07-12	2017-08-24
4 LINCOLN NATL CORP IND		2017-07-12	2017-08-24
5 LINCOLN NATL CORP IND		2017-07-12	2017-08-25
1 LINCOLN NATL CORP IND		2017-07-12	2017-08-25
9 LINCOLN NATL CORP IND		2017-07-12	2017-08-28
4 LINCOLN NATL CORP IND		2017-07-12	2017-08-28
1 LINCOLN NATL CORP IND		2017-07-12	2017-08-29
3 LINCOLN NATL CORP IND			2017-08-29

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis (h) plus expense of sale	(h) (e) plus (f) minus (g)
136		141	-5
272		282	-10
68		71	-3
273		282	-9
342		353	-11
68		71	-3
612		635	-23
272		282	-10
67		71	-4
202		211	-9

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) FMV as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			-5
			-10
			-3
			-9
			-11
			-3
			-23
			-10
			-4
			-9

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1 LINCOLN NATL CORP IND			2017-07-12	2017-08-29
2 LINCOLN NATL CORP IND			2017-07-12	2017-08-30
1 LINCOLN NATL CORP IND			2017-07-12	2017-08-30
114 STARBUCKS CORP			2017-03-17	2017-09-22
DOWDUPONT INC				2017-09-29
6 ALEXION PHARMACEUTICALS INC			2016-02-23	2017-10-03
15 ALEXION PHARMACEUTICALS INC				2017-10-03
14 HARTFORD FINL SVCS GROUP INC			2016-12-16	2017-10-03
18 BROADCOM LTD				2017-10-03
1 BROADCOM LTD			2013-05-15	2017-10-03

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis (g) plus expense of sale	(h) (e) plus (f) minus (g)
67		70	-3
135		141	-6
68		70	-2
6,271		6,371	-100
26			26
849		856	-7
2,122		1,864	258
776		667	109
4,304		1,604	2,700
239		65	174

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			Gains (Col (h) gain minus col (k), but not less than -0-) or (l) Losses (from col (h))
(i) FMV as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			-3
			-6
			-2
			-100
			26
			-7
			258
			109
			2,700
			174

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
4 BROADCOM LTD			2017-10-03
3 ALEXION PHARMACEUTICALS INC		2013-05-07	2017-10-04
2 HARTFORD FINL SVCS GROUP INC		2016-12-16	2017-10-04
14 HARTFORD FINL SVCS GROUP INC		2016-12-16	2017-10-04
1 HARTFORD FINL SVCS GROUP INC		2016-12-16	2017-10-04
6 HARTFORD FINL SVCS GROUP INC		2016-12-16	2017-10-04
1 HARTFORD FINL SVCS GROUP INC		2016-02-23	2017-10-04
2 HARTFORD FINL SVCS GROUP INC		2016-12-16	2017-10-04
7 HARTFORD FINL SVCS GROUP INC		2016-02-23	2017-10-05
1 HARTFORD FINL SVCS GROUP INC		2016-02-23	2017-10-06

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis (g) plus expense of sale	(h) (e) plus (f) minus (g)
956		250	706
424		290	134
111		95	16
780		667	113
56		48	8
334		286	48
56		42	14
111		95	16
390		293	97
56		42	14

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) FMV as of 12/31/69	Adjusted basis	Excess of col (i) over col (j), if any	
			706
			134
			16
			113
			8
			48
			14
			16
			97
			14

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1 HARTFORD FINL SVCS GROUP INC		2016-09-09	2017-10-06
3 HARTFORD FINL SVCS GROUP INC		2016-09-09	2017-10-09
1 HARTFORD FINL SVCS GROUP INC		2016-09-09	2017-10-09
179 GENERAL ELEC CO			2017-10-10
24 AT&T INC		2016-02-23	2017-10-27
80 AT&T INC		2016-02-23	2017-10-27
74 AT&T INC			2017-10-30
64 AT&T INC			2017-10-30
25 ANALOG DEVICES INC			2017-11-10
11 BIOGEN IDEC INC			2017-11-10

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis (g) plus expense of sale	(h) (e) plus (f) minus (g)
56		41	15
167		124	43
56		41	15
4,239		5,371	-1,132
815		884	-69
2,711		2,946	-235
2,503		2,601	-98
2,148		2,205	-57
2,240		1,606	634
3,415		2,822	593

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) FMV as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			15
			43
			15
			-1,132
			-69
			-235
			-98
			-57
			634
			593

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
20 ANALOG DEVICES INC			2017-11-13
51 TJX COS INC NEW			2017-11-17
2 TJX COS INC NEW		2016-12-05	2017-11-17
7 TJX COS INC NEW		2016-12-05	2017-11-17
33 PNC FINL SVCS GROUP INC			2017-11-20
4 BLACKROCK INC			2017-11-21
14652 387 PIMCO FDS PAC INVT MGMT SER HIGH YLD FD INSTL CL			2017-11-27
9 ADOBE SYS INC		2016-02-23	2017-12-04
1 ADOBE SYS INC		2016-02-23	2017-12-05
3 ADOBE SYS INC		2016-02-23	2017-12-05

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis (g) plus expense of sale	(h) (e) plus (f) minus (g)
1,792		1,281	511
3,606		3,969	-363
142		155	-13
498		541	-43
4,399		4,207	192
1,925		1,262	663
131,725		124,552	7,173
1,520		750	770
169		83	86
503		250	253

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) FMV as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			511
			-363
			-13
			-43
			192
			663
			7,173
			770
			86
			253

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
11 ADOBE SYS INC		2016-02-23	2017-12-05
4 ADOBE SYS INC		2016-02-23	2017-12-05
59 GENERAL ELEC CO		2016-02-23	2017-12-05
37 GENERAL ELEC CO		2016-02-23	2017-12-05
86 GENERAL ELEC CO		2016-02-23	2017-12-05
9 GENERAL ELEC CO		2016-02-23	2017-12-06
108 GENERAL ELEC CO			2017-12-06
69 GENERAL ELEC CO			2017-12-06
BANK OF AMERICA CORPORATION			2017-12-12
17 STADA ARZNEIMITTEL AG		2016-02-23	2017-12-13

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis (h) plus expense of sale	(h) (e) plus (f) minus (g)
1,869		916	953
673		333	340
1,047		1,726	-679
659		1,083	-424
1,530		2,517	-987
160		263	-103
1,916		2,817	-901
1,218		1,698	-480
21			21
1,069		944	125

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) FMV as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			953
			340
			-679
			-424
			-987
			-103
			-901
			-480
			21
			125

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
53 STADA ARZNEIMITTEL AG			2017-12-13
43 STADA ARZNEIMITTEL AG			2017-12-13
DELPHI TECHNOLOGIES PLC			2017-12-26
CAPITAL GAIN DIVIDENDS	P		

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis (h) plus expense of sale	(h) (e) plus (f) minus (g)
3,332		2,652	680
2,702		1,650	1,052
18			18
			94,177

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	680
			1,052
			18

## Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<i>a Paid during the year</i>				
Outreach Community Ministries Inc 122 WEST LIBERTY DRIVE Wheaton, IL 60187	NONE	PC	GENERAL	100,000
Windsor Park Manor 124 WINDSOR PARK DRIVE Carol Stream, IL 60188	NONE	PC	GENERAL	100,000
HOUSING OPPORTUNITIES AND MAINTENANCE FOR THE ELDERLY INC 1419 W CARROLL AVE Chicago, IL 60607	NONE	PC	GENERAL	25,000
american friends service committee 1501 CHERRY ST Philadelphia, PA 19102	NONE	PC	GENERAL	200,000
PACIFIC GARDEN MISSION 1458 SCANAL STREET Chicago, IL 60607	NONE	PC	GENERAL	100,000
<b>Total . . . . . ►</b>				645,000
<b>3a</b>				

**Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a Paid during the year</b>				
SAMARITANS PURSEPO BOX 3000 Boone, NC 28607	NONE	PC	GENERAL	100,000
TEEN CHALLENGE PEORIA 311 S OLIVE ST PEORIA, IL 61602	NONE	PC	GENERAL	20,000
<b>Total . . . . . ►</b>				645,000
<b>3a</b>				

## TY 2017 Investments Corporate Bonds Schedule

**Name:** RALPH FRANZEN CHARITABLE FOUNDATION XXXXX9002

**EIN:** 36-6929982

### Investments Corporate Bonds Schedule

Name of Bond	End of Year Book Value	End of Year Fair Market Value
256210105 DODGE & COX INCOME F	280,929	289,567
258620103 DOUBLELINE TOTL RET	180,336	179,660
693390841 PIMCO FD PAC INV MGM	292,106	314,068
4812C0381 JPM CORE BD FD - SEL		
77956H872 T ROWE PR EMERG MKTS		
4812C0100 JPMORGAN CORE BOND-R	231,227	228,432
77956H534 T ROWE PR EMERG MKTS	147,506	145,985
92203J308 VANGUARD TOTAL INTL	370,996	369,973

**TY 2017 Investments Corporate Stock Schedule**

**Name:** RALPH FRANZEN CHARITABLE FOUNDATION XXXXX9002  
**EIN:** 36-6929982

Name of Stock	End of Year Book Value	End of Year Fair Market Value
2824100 ABBOTT LABORATORIES		
15351109 ALEXION PHARMACEUTICA		
23135106 AMAZON.COM INC		
26874784 AMERICAN INTERNATIONA		
32511107 ANADARKO PETROLEUM CO		
32654105 ANALOG DEVICES INC		
37833100 APPLE INC		
60505104 BANK OF AMERICA CORP		
64058100 BANK OF NEW YORK MELL		
101137107 BOSTON SCIENTIFIC CO	9,625	10,932
110122108 BRISTOL-MYERS SQUIBB	10,540	12,501
115233579 BROWN ADV JAPAN ALPH	123,589	159,898
125896100 CMS ENERGY CORP	5,201	6,575
143658300 CARNIVAL CORP		
151020104 CELGENE CORP	4,488	7,305
172967424 CITIGROUP INC	14,198	22,993
254687106 WALT DISNEY CO/THE	21,098	22,577
254709108 DISCOVER FINANCIAL S		
260543103 DOW CHEMICAL CO/THE		
277432100 EASTMAN CHEMICAL CO	5,925	8,523
369550108 GENERAL DYNAMICS COR	8,018	10,173
369604103 GENERAL ELECTRIC CO		
375558103 GILEAD SCIENCES INC	5,417	4,370
413838202 OAKMARK INTERNATIONA		
416515104 HARTFORD FINANCIAL S	4,194	6,754
438516106 HONEYWELL INTERNATIO	13,086	26,531
444859102 HUMANA INC		
452327109 ILLUMINA INC		
464287465 ISHARES MSCI EAFE IN	1,329,476	1,601,099
464287499 ISHARES RUSSELL MIDC		

<b>Name of Stock</b>	<b>End of Year Book Value</b>	<b>End of Year Fair Market Value</b>
493267108 KEYCORP	4,136	7,564
494368103 KIMBERLY-CLARK CORP		
500754106 THE KRAFT HEINZ CO	7,204	6,687
512807108 LAM RESEARCH CORP		
526107107 LENNOX INTERNATIONAL		
532457108 ELI LILLY & CO	11,039	12,162
548661107 LOWE'S COS INC	5,718	15,056
571748102 MARSH & MCLENNAN COS		
573284106 MARTIN MARIETTA MATE	5,350	7,736
574599106 MASCO CORP	4,713	10,282
594918104 MICROSOFT CORP	18,087	47,475
609207105 MONDELEZ INTERNATION	10,376	12,626
617446448 MORGAN STANLEY	12,242	26,078
666807102 NORTHROP GRUMMAN COR	11,787	14,118
674599105 OCCIDENTAL PETROLEUM	18,496	19,594
693506107 PPG INDUSTRIES INC		
701769408 PARNASSUS CORE EQUIT	403,391	444,708
713448108 PEPSICO INC	11,292	13,791
717081103 PFIZER INC	17,571	19,414
723787107 PIONEER NATURAL RESO	10,129	12,618
742718109 PROCTER & GAMBLE CO/		
806857108 SCHLUMBERGER LTD		
808513105 SCHWAB (CHARLES) COR	4,565	8,579
854502101 STANLEY BLACK & DECK	9,294	16,460
872540109 TJX COMPANIES INC	5,355	6,270
872590104 T-MOBILE US INC		
882508104 TEXAS INSTRUMENTS IN	7,946	15,457
887317303 TIME WARNER INC		
907818108 UNION PACIFIC CORP	9,290	13,008
910047109 UNITED CONTINENTAL H		

Name of Stock	End of Year Book Value	End of Year Fair Market Value
949746101 WELLS FARGO & CO	16,609	26,452
988498101 YUM! BRANDS INC		
00206R102 AT&T INC		
00724F101 ADOBE SYSTEMS INC	2,614	10,164
00817Y108 AETNA INC		
00846U101 AGILENT TECHNOLOGIES	8,617	11,251
02079K107 ALPHABET INC/CA-CL C	17,744	33,485
02079K305 ALPHABET INC/CA-CL A	9,847	16,854
04314H675 ARTISAN INTERNATIONA		
09062X103 BIOGEN INC	1,346	3,504
09247X101 BLACKROCK INC	2,796	4,623
16119P108 CHARTER COMMUNICATIO	9,112	12,766
20030N101 COMCAST CORP-CLASS A	4,181	8,971
20605P101 CONCHO RESOURCES INC	7,401	8,863
22160K105 COSTCO WHOLESALE COR		
25470M109 DISH NETWORK CORP-A	5,485	5,253
26875P101 EOG RESOURCES INC	15,342	19,963
26884L109 EQT CORP	5,634	4,952
30303M102 FACEBOOK INC-A	11,294	22,058
31620M106 FIDELITY NATIONAL IN	7,034	10,820
37045V100 GENERAL MOTORS CO		
38141G104 GOLDMAN SACHS GROUP	5,641	9,681
41013P608 JOHN HANCOCK L/C EQU		
41664M631 HARTFORD INTL VALUE		
46637K513 JPM GLBL RES ENH IND		
57636Q104 MASTERCARD INC-CLASS	9,146	23,309
58933Y105 MERCK & CO. INC.	10,913	9,678
59156R108 METLIFE INC	5,349	5,713
65339F101 NEXTERA ENERGY INC	4,508	5,623
65473P105 NISOURCE INC	4,965	7,059

<b>Name of Stock</b>	<b>End of Year Book Value</b>	<b>End of Year Fair Market Value</b>
67103H107 O'REILLY AUTOMOTIVE	10,355	9,622
78462F103 SPDR S&P 500 ETF TRU	3,371,057	4,273,229
78486Q101 SVB FINANCIAL GROUP	4,027	8,416
89353D107 TRANSCANADA CORP		
90130A101 TWENTY-FIRST CENTURY	8,368	9,979
91324P102 UNITEDHEALTH GROUP I	14,232	33,510
92532F100 VERTEX PHARMACEUTICA	2,891	6,744
92826C839 VISA INC-CLASS A SHA	6,633	10,148
94106B101 WASTE CONNECTIONS IN	5,295	7,449
96208T104 WEX INC	4,452	6,779
98138H101 WORKDAY INC-CLASS A	3,218	3,968
G0176J109 ALLEGION PLC	5,819	7,240
G0177J108 ALLERGAN PLC	21,688	13,577
G1151C101 ACCENTURE PLC-CL A	7,905	13,166
G51502105 JOHNSON CONTROLS INT		
H1467J104 CHUBB LTD	10,506	16,951
Y09827109 BROADCOM LTD	3,707	22,607
002824100 ABBOTT LABORATORIES	4,099	5,935
023135106 AMAZON.COM INC	13,566	32,745
026874784 AMERICAN INTERNATIONAL	8,744	9,175
032511107 ANADARKO PETROLEUM C	6,521	4,989
032654105 ANALOG DEVICES INC	4,011	5,609
037833100 APPLE INC	17,390	50,938
04314H402 ARTISAN INTERNATIONAL	608,819	735,584
060505104 BANK OF AMERICA CORP	8,861	21,343
064058100 BANK OF NEW YORK MEL	4,513	5,548
084670702 BERKSHIRE HATHAWAY I	10,276	12,091
125509109 CIGNA CORP	4,797	5,483
247361702 DELTA AIR LINES INC	7,010	7,336
25278X109 DIAMONDBACK ENERGY I	5,297	6,439

Name of Stock	End of Year Book Value	End of Year Fair Market Value
256746108 DOLLAR TREE INC	7,275	8,477
26078J100 DOWDUPONT INC	17,481	21,580
413838723 OAKMARK INTERNATIONA	544,503	737,675
41664T446 HARTFORD INTL VAL FD	521,582	645,219
45866F104 INTERCONTINENTAL EXC	4,016	4,869
459200101 INTL BUSINESS MACHIN	11,533	11,507
46432F842 ISHARES CORE MSCI EA	576,779	622,502
47803P633 JOHN HANCOCK LRF CP	405,771	490,000
48129C207 JPMORGAN GL RES ENH	1,296,713	1,655,154
595017104 MICROCHIP TECHNOLOGY	5,168	6,239
654106103 NIKE INC -CL B	7,100	8,069
655844108 NORFOLK SOUTHERN COR	5,427	6,376
67066G104 NVIDIA CORP	5,553	5,999
913017109 UNITED TECHNOLOGIES	7,079	7,399
92210H105 VANTIV INC - CL A	4,395	4,487
931427108 WALGREENS BOOTS ALLI	3,978	3,558
98956P102 ZIMMER HOLDINGS INC	9,004	9,774
G2709G107 DELPHI TECHNOLOGIES	855	1,049
G47791101 INGERSOLL-RAND PLC	9,656	10,078
G6095L109 APTIV PLC	4,343	5,175

## TY 2017 Investments - Other Schedule

**Name:** RALPH FRANZEN CHARITABLE FOUNDATION XXXXX9002**EIN:** 36-6929982

## Investments Other Schedule 2

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
12628J881 CRM LONG/SHORT OPPOR	AT COST	220,643	225,094
29446A710 EQUINOX FDS TR IPM S	AT COST	99,203	95,529
29446A819 EQUINOX CAMPBELL STR	AT COST	181,726	180,319
64128R608 NEUBERGER BERMAN LON	AT COST	200,031	234,964
72201M487 PIMCO UNCONSTRAINED	AT COST	234,464	245,042
74441J829 PRUDENTIAL ABS RET B			
024525172 AMERICAN BEACON GLG	AT COST	198,322	194,842

## TY 2017 Other Decreases Schedule

**Name:** RALPH FRANZEN CHARITABLE FOUNDATION XXXXX9002

**EIN:** 36-6929982

Description	Amount
COST BASIS ADJUSTMENT	1,353

## TY 2017 Other Expenses Schedule

**Name:** RALPH FRANZEN CHARITABLE FOUNDATION XXXXX9002  
**EIN:** 36-6929982

## Other Expenses Schedule

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ATTORNEY GENERAL FEE	15	0		15

## TY 2017 Other Income Schedule

**Name:** RALPH FRANZEN CHARITABLE FOUNDATION XXXXX9002**EIN:** 36-6929982

## Other Income Schedule

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
DEFERRED INCOME	194	0	

## TY 2017 Taxes Schedule

**Name:** RALPH FRANZEN CHARITABLE FOUNDATION XXXXX9002  
**EIN:** 36-6929982

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAXES	63	63		0
FEDERAL TAX PAYMENT - PRIOR YE	6,200	0		0
FOREIGN TAXES ON QUALIFIED FOR	5,565	5,565		0
FOREIGN TAXES ON NONQUALIFIED	961	961		0