Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.
▶ Information about Form 990-PF and its instructions is at *www.irs.gov/form990pf*.

OMB No 1545-0052

2017

Open to Public Inspection

For calendar year 2017, or tax year beginning 01-01-2017 , and ending 12-31-2017

Name of foundation
John and Editha Kapoor Charitable Foundation
% RAO AKELLA

A Employer identification number
36-6923817

Number and street (or P O box number if mail is not delivered to street address) PO BOX 271
Room/suite

B Telephone number (see instructions)
(847) 295-8665

City or town, state or province, country, and ZIP or foreign postal code
Lake Forest, IL 60045

C If exemption application is pending, check here ▶ ☐

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐
2 Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization ☑ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year *(from Part II, col (c), line 16)*▶$ 82,111,727

J Accounting method ☑ Cash ☐ Accrual ☐ Other (specify) *(Part I, column (d) must be on cash basis)*

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*

Section	Line	Description	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)	82,877,619			
	2	Check ▶ ☐ if the foundation is **not** required to attach Sch B				
	3	Interest on savings and temporary cash investments				
	4	Dividends and interest from securities	8,346	8,346		
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	3,051,411			
	b	Gross sales price for all assets on line 6a 3,259,302				
	7	Capital gain net income (from Part IV, line 2)		3,051,411		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)				
	12	**Total.** Add lines 1 through 11	85,937,376	3,059,757		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc	0			
	14	Other employee salaries and wages	192,077			192,077
	15	Pension plans, employee benefits	53,436			53,436
	16a	Legal fees (attach schedule)	28,618	0	0	28,618
	b	Accounting fees (attach schedule)	18,190	0	0	18,190
	c	Other professional fees (attach schedule)	68,694	1,397		67,297
	17	Interest				
	18	Taxes (attach schedule) (see instructions)	76,000			
	19	Depreciation (attach schedule) and depletion	4,962			
	20	Occupancy				
	21	Travel, conferences, and meetings	69,246			69,246
	22	Printing and publications				
	23	Other expenses (attach schedule)	64,307			64,307
	24	**Total operating and administrative expenses.** Add lines 13 through 23	575,530	1,397	0	493,171
	25	Contributions, gifts, grants paid	1,584,325			1,584,325
	26	**Total expenses and disbursements.** Add lines 24 and 25	2,159,855	1,397	0	2,077,496
	27	Subtract line 26 from line 12				
	a	**Excess of revenue over expenses and disbursements**	83,777,521			
	b	**Net investment income** (if negative, enter -0-)		3,058,360		
	c	**Adjusted net income**(if negative, enter -0-)				

Part II **Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

			Beginning of year (a) Book Value	End of year (b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing	6,794	143,162	143,162
	2	Savings and temporary cash investments	711,122	1,687,496	1,687,496
	3	Accounts receivable ▶ Less allowance for doubtful accounts ▶			
	4	Pledges receivable ▶ Less allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ Less allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)	2,454,141	80,273,627	80,273,627
	c	Investments—corporate bonds (attach schedule)			
	11	Investments—land, buildings, and equipment basis ▶ Less accumulated depreciation (attach schedule) ▶			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)			
	14	Land, buildings, and equipment basis ▶ 21,761 Less accumulated depreciation (attach schedule) ▶ 14,319	12,404	7,442	7,442
	15	Other assets (describe ▶)			
	16	**Total assets** (to be completed by all filers—see the instructions Also, see page 1, item I)	3,184,461	82,111,727	82,111,727
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	**Total liabilities**(add lines 17 through 22)	0	0	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here** ▶ ☑ **and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted	3,184,461	82,111,727	
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☐ **and complete lines 27 through 31.**			
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	**Total net assets or fund balances** (see instructions)	3,184,461	82,111,727	
	31	**Total liabilities and net assets/fund balances** (see instructions)	3,184,461	82,111,727	

Part III **Analysis of Changes in Net Assets or Fund Balances**

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	3,184,461
2	Enter amount from Part I, line 27a	2	83,777,521
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	86,961,982
5	Decreases not included in line 2 (itemize) ▶	5	4,850,255
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	82,111,727

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 a	70,000 SHARES AKORN	D	2014-04-10	2017-08-15
b	9,132 SHARES HALLIBURTON	D	1992-12-21	2017-04-11
c	868 SHARES HALLIBURTON	D	1996-02-05	2017-04-11
d	10,000 SHARES HALLIBURTON	D	1996-02-05	2017-07-26
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	2,329,015		81,200	2,247,815
b	454,671		87,138	367,533
c	43,217		3,159	40,058
d	432,399		36,394	396,005
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
a				2,247,815
b				367,533
c				40,058
d				396,005
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	3,051,411
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☑ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2016	2,313,341	6,091,654	0 379756
2015	3,094,399	9,441,749	0 327736
2014	3,846,168	8,818,703	0 436138
2013	2,069,584	6,257,214	0 330752
2012	1,919,049	7,076,611	0 271182

2	**Total** of line 1, column (d)	2	1 745564
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 349113
4	Enter the net value of noncharitable-use assets for 2017 from Part X, line 5	4	53,250,474
5	Multiply line 4 by line 3	5	18,590,433
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	30,584
7	Add lines 5 and 6	7	18,621,017
8	Enter qualifying distributions from Part XII, line 4 , ...	8	2,077,496

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 Date of ruling or determination letter ________ **(attach copy of letter if necessary–see instructions)**				
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b			1	61,167
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)				
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			2	
3	Add lines 1 and 2.			3	61,167
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			4	
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-			5	61,167
6	Credits/Payments				
a	2017 estimated tax payments and 2016 overpayment credited to 2017	6a	61,562		
b	Exempt foreign organizations—tax withheld at source	6b			
c	Tax paid with application for extension of time to file (Form 8868)	6c			
d	Backup withholding erroneously withheld	6d			
7	Total credits and payments Add lines 6a through 6d.			7	61,562
8	Enter any **penalty** for underpayment of estimated tax Check here ☑ if Form 2220 is attached			8	18
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶			9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶			10	377
11	Enter the amount of line 10 to be **Credited to 2018 estimated tax** ▶ 377 **Refunded** ▶			11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		No
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)?. *If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities*	1b		No
c	Did the foundation file **Form 1120-POL** for this year?.	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ▶ $ ________ **(2)** On foundation managers ▶ $ ________			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ________			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		No
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?.	4a		No
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?.	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least $5,000 in assets at any time during the year?*If "Yes," complete Part II, col (c), and Part XV*	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶AZ, IL			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation* .	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2017 or the taxable year beginning in 2017 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		No
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		No

Part VII-A Statements Regarding Activities (continued)

		Line	Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	

Website address ▶N/A

14 The books are in care of ▶RAO AKELLA Telephone no ▶(847) 295-8665

Located at ▶PO BOX 271 LAKE FOREST IL ZIP+4 ▶60045

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —Check here . . . ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year . . . ▶ | 15 |

		Line	Yes	No
16	At any time during calendar year 2017, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		No
	See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR) If "Yes", enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.		Line	Yes	No
1a	During the year did the foundation (either directly or indirectly)				
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☑ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?.	☐ Yes ☑ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☑ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☑ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?.	☐ Yes ☑ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days).	☐ Yes ☑ No			
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?		1b		
	Organizations relying on a current notice regarding disaster assistance check here. ▶	☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2017?.		1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))				
a	At the end of tax year 2017, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2017?.	☐ Yes ☑ No			
	If "Yes," list the years ▶ 20____ , 20____ , 20____ , 20____				
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions) .		2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ 20____ , 20____ , 20____ , 20____				
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?.	☐ Yes ☑ No			
b	If "Yes," did it have excess business holdings in 2017 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period?*(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2017).*		3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2017?		4b		No

Part VII-B **Statements Regarding Activities for Which Form 4720 May Be Required** (Continued)

5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ **Yes** ☑ **No**			
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? . . . . ☐ **Yes** ☑ **No**			
	(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ **Yes** ☑ **No**			
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 4945(d)(4)(A)? (see instructions). . . . . ☑ **Yes** ☐ **No**			
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?. . . . . ☐ **Yes** ☑ **No**			
b	If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)?	**5b**		**No**
	Organizations relying on a current notice regarding disaster assistance check here. . . . . ▶ ☐			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?. . . . . ☑ **Yes** ☐ **No**			
	If "Yes," attach the statement required by Regulations section 53 4945–5(d)			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?. ☐ **Yes** ☑ **No**			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	**6b**		**No**
	If "Yes" to 6b, file Form 8870			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ **Yes** ☑ **No**			
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?	**7b**		**No**

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
RAO AKELLA PO BOX 271 Lake Forest, IL 60045	CO-TRUSTEE 1 0	0	0	0
CHRISTINA G KAPOOR PO BOX 271 Lake Forest, IL 60045	CO-TRUSTEE 1 0	0	0	0
MARY GAUWITZ PO BOX 271 Lake Forest, IL 60045	CO-TRUSTEE 25 0	0	0	0
JOHN N KAPOOR PO BOX 271 LAKE FOREST, IL 60045	CO-TRUSTEE 1 0	0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SADHNA D BOKHIRIA PO BOX 271 LAKE FOREST, IL 60045	VP OF R&D & PROJECTS 40 0	89,600	15,348	0
NAVAMI NAIK PO BOX 271 LAKE FOREST, IL 60045	VP OF STRATEGY 40 0	95,833	23,013	0

Total number of other employees paid over $50,000. . . . . ▶

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation

Total number of others receiving over $50,000 for professional services. . . . . ▶

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	
2	
All other program-related investments See instructions 3	
Total. Add lines 1 through 3 . . . . ▶	

Part X **Minimum Investment Return** (All domestic foundations must complete this part Foreign foundations,see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	**1a**	54,028,662
b	Average of monthly cash balances.	**1b**	32,733
c	Fair market value of all other assets (see instructions).	**1c**	0
d	**Total** (add lines 1a, b, and c).	**1d**	54,061,395
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation). **1e**		
2	Acquisition indebtedness applicable to line 1 assets.	**2**	0
3	Subtract line 2 from line 1d.	**3**	54,061,395
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).	**4**	810,921
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	**5**	53,250,474
6	**Minimum investment return.** Enter 5% of line 5.	**6**	2,662,524

Part XI **Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6.			**1**	2,662,524
2a	Tax on investment income for 2017 from Part VI, line 5.	**2a**	61,167		
b	Income tax for 2017 (This does not include the tax from Part VI).	**2b**			
c	Add lines 2a and 2b.			**2c**	61,167
3	Distributable amount before adjustments Subtract line 2c from line 1.			**3**	2,601,357
4	Recoveries of amounts treated as qualifying distributions.			**4**	
5	Add lines 3 and 4.			**5**	2,601,357
6	Deduction from distributable amount (see instructions).			**6**	
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.			**7**	2,601,357

Part XII **Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	**1a**	2,077,496
b	Program-related investments—total from Part IX-B.	**1b**	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	**2**	0
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	**3a**	0
b	Cash distribution test (attach the required schedule).	**3b**	0
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	**4**	2,077,496
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions).	**5**	
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4.	**6**	2,077,496

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2016	(c) 2016	(d) 2017
1 Distributable amount for 2017 from Part XI, line 7					2,601,357
2 Undistributed income, if any, as of the end of 2017					
a Enter amount for 2016 only.				0	
b Total for prior years 2015 , 2014 , 2013			0		
3 Excess distributions carryover, if any, to 2017					
a From 2012.	1,569,978				
b From 2013.	1,758,095				
c From 2014.	3,497,233				
d From 2015.	2,638,408				
e From 2016.	2,054,646				
f Total of lines 3a through e.		11,518,360			
4 Qualifying distributions for 2017 from Part XII, line 4 ▶ $ 2,077,496					
a Applied to 2016, but not more than line 2a				0	
b Applied to undistributed income of prior years (Election required—see instructions).					
c Treated as distributions out of corpus (Election required—see instructions).					
d Applied to 2017 distributable amount.					2,077,496
e Remaining amount distributed out of corpus		0			
5 Excess distributions carryover applied to 2017 *(If an amount appears in column (d), the same amount must be shown in column (a))*		523,861			523,861
6 Enter the net total of each column as indicated below:					
a Corpus Add lines 3f, 4c, and 4e Subtract line 5		10,994,499			
b Prior years' undistributed income Subtract line 4b from line 2b			0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.					
d Subtract line 6c from line 6b Taxable amount —see instructions			0		
e Undistributed income for 2016 Subtract line 4a from line 2a Taxable amount—see instructions				0	
f Undistributed income for 2017 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2018					0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)					
8 Excess distributions carryover from 2012 not applied on line 5 or line 7 (see instructions)		1,046,117			
9 Excess distributions carryover to 2018. Subtract lines 7 and 8 from line 6a		9,948,382			
10 Analysis of line 9					
a Excess from 2013.	1,758,095				
b Excess from 2014.	3,497,233				
c Excess from 2015.	2,638,408				
d Excess from 2016.	2,054,646				
e Excess from 2017.					

Part XIV **Private Operating Foundations** (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2017, enter the date of the ruling. . . . . . . ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2017	**(b)** 2016	**(c)** 2015	**(d)** 2014	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter **(1)** Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test—enter **(1)** Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV **Supplementary Information (Complete this part only if the organization had $5,000 or more in assets at any time during the year—see instructions.)**

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

JOHN N KAPOOR

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

Mary Gauwitz
PO BOX 271
Lake Forest, IL 60045
(847) 295-8674

b The form in which applications should be submitted and information and materials they should include

ORGANIZATIONS SEEKING GRANTS SHOULD SUBMIT AN OUTLINE OF THE PROPOSED GRANT AMOUNT AND PURPOSE

c Any submission deadlines

NO DEADLINES

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

N/A

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year* See Additional Data Table				
Total . . . . ▶ **3a**				1,584,325
b *Approved for future payment*				
Total . . . . ▶ **3b**				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	8,346	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	3,051,412	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)				3,059,758	

13 Total. Add line 12, columns (b), (d), and (e) **13** 3,059,758

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)

Part XVII **Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501 (c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of			
(1) Cash.	**1a(1)**		**No**
(2) Other assets.	**1a(2)**		**No**
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization.	**1b(1)**		**No**
(2) Purchases of assets from a noncharitable exempt organization.	**1b(2)**		**No**
(3) Rental of facilities, equipment, or other assets.	**1b(3)**		**No**
(4) Reimbursement arrangements.	**1b(4)**		**No**
(5) Loans or loan guarantees.	**1b(5)**		**No**
(6) Performance of services or membership or fundraising solicitations.	**1b(6)**		**No**
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees.	**1c**		**No**

d If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line No	**(b)** Amount involved	**(c)** Name of noncharitable exempt organization	**(d)** Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☑ No

b If "Yes," complete the following schedule

(a) Name of organization	**(b)** Type of organization	**(c)** Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

****** | 2018-11-13 | ******

Signature of officer or trustee | Date | Title

May the IRS discuss this return with the preparer shown below (see instr)? ☑ **Yes** ☐ **No**

Paid Preparer Use Only

Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ▶ ☐	PTIN
WILLIAM C SQUIRES		2018-11-12		P00436818
Firm's name ▶ Andersen Tax LLC				Firm's EIN ▶
Firm's address ▶ 71 South Wacker Drive Suite 2600 Chicago, IL 60606				Phone no (312) 357-3950

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
CHURCH OF ST MARY'S 175 EAST ILLINOIS LAKE FOREST, IL 60045	NONE	PC	GENERAL OPERATING SUPPORT	6,000
GUNVATI J KAPOOR MEDICAL RELIEF FOUNDATION 74 BARODAWALA MANSION 81 DR ANNIE BESANT RD, WORLI, MUMBAI 400 018 IN	NONE	NC	GENERAL OPERATING EXPENSES FOR PROGRAMS	550,000
JUVENILE DIABETES RESEARCH FOUNDATION 1 NORTH LASALLE STREET CHICAGO, IL 606031344	NONE	PC	SUPPORT JDRF'S MISSION TO "CURE AND PREVENT TYPE I DIABETES"	3,500
Total . . . . ▶ 3a				1,584,325

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
EDITHA HOUSE FOUNDATION 336 East Willetta Street Phoenix, AZ 850041734	OPERATING FOUNDATION	POF	SUPPORT FOR EDITHA HOUSE FOUNDATION'S PAYROLL & EXPENSES	563,395
INDO AMERICAN CANCER ASSOCIATION PO BOX 540754 HOUSTON, TX 77254	NONE	PC	IACA TRAVELING FELLOWSHIP & PALLIATIVE CARE PROGRAMS	30,000
THE ACADEMY DRUM & BUGEL CORPS 5861 KYRENE ROAD SUITE 17 TEMPE, AZ 85283	NONE	PC	SUPPORT THEIR MISSION OF "BUILDING GREAT PEOPLE THROUGH GREAT PERFORMANCES"	10,000
Total . . . . ▶ 3a				1,584,325

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
CAN SUPPORTSECTION-12 RK PURAM NEW DELHI 1120022 IN	NONE	NC	HOME CARE HOSPICE SERVICE FOR PATIENTS IN PUNJAB	14,064
CENTRE FOR ADVANCEMENT OF PHILANTHROPY 4TH FL MULLA HOUSE 51 MG ROAD FLORA FOUNTAIN MUMBAI 400001 IN	NONE	NC	OPERATING SUPPORT FOR THE CENTER OF ADVANCEMENT OF PHILANTHROPY	5,000
DANA-FARBER CANCER INSTITUTE 10 BROOKLINE PLACE WEST 7TH FL BROOKLINE, MA 02445	NONE	PC	SUPPORT OF 2 PEDIATRIC ONCOLOGISTS/HEMATOLOGISTS AS PART OF THE (GLOBE) PROGRAM	11,000
Total . . . . ▶ **3a**				1,584,325

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
HOSPICE OF THE VALLEY 1510 E FLOWER WAY PHOENIX, AZ 85014	NONE	PC	CARE FOR PATIENTS & FAMILIES IN NEED OF COMFORT AND HOSPICE CARE	5,580
JUNIOR ACHIEVEMENT OF CHICAGO 651 W WASHINGTON ST STE 404 CHICAGO, IL 60661	NONE	PC	CAREER BUILDING PROJECT TO INCREASE SUPPORT FOR ECONOMIC EDUCATION	1,000
ST MARY'S FOOD BANK ALLIANCE 2831 N 31ST AVE PHOENIX, AZ 85009	NONE	PC	HELPING THE FOOD BANK REACH FAMILIES IN ARIZONA WITH FOOD INSECURITIES	75,000
Total . . . . ▶ 3a				1,584,325

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
THAKER DEI MEHRA CHARITABLE FOUNDATION 128 PUDA COLONY GREEN AVENUE AMRITSAR 143-001 IN	NONE	NC	RENOVATIONS	30,000
UNIVERSITY OF ARIZONA FOUNDATION 1111 N CHERRY AVE PO BOX 210109 TUCSON, AZ 85721	NONE	PC	ENDOWMENT FOR SKIN CANCER INSTITUTE	5,000
UNIVERSITY OF CHICAGO 5841 S MARYLAND AVE MC 2121 CHICAGO, IL 60687	NONE	PC	RESEARCH IN BREAST CANCER GENETICS	274,786
Total . . . . ▶ **3a**				1,584,325

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TY 2017 Accounting Fees Schedule

Name: John and Editha Kapoor Charitable Foundation

EIN: 36-6923817

Accounting Fees Schedule

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ANDERSEN TAX LLC -				
TAX & CONSULTING	18,190			18,190

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TY 2017 All Other Program Related Investments Schedule

Name: John and Editha Kapoor Charitable Foundation

EIN: 36-6923817

Category	Amount
NONE	

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2017 Depreciation Schedule

Name: John and Editha Kapoor Charitable Foundation

EIN: 36-6923817

Description of Property	Date Acquired	Cost or Other Basis	Prior Years' Depreciation	Computation Method	Rate / Life (# of years)	Current Year's Depreciation Expense	Net Investment Income	Adjusted Net Income	Cost of Goods Sold Not Included
COMPUTER	2015-10-22	21,761	9,357	M5		4,962			

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Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2017 Expenditure Responsibility Statement

Name: John and Editha Kapoor Charitable Foundation

EIN: 36-6923817

Grantee's Name	Grantee's Address	Grant Date	Grant Amount	Grant Purpose	Amount Expended By Grantee	Any Diversion By Grantee?	Dates of Reports By Grantee	Date of Verification	Results of Verification
GUNVATI J KAPOOR MEDICAL RELIEF FOUNDAT	71 BARODAWALA MANSION 81 WORLI MUMBAI 400 018 IN		550,000	CANCER EDUCATION & HEALTHCARE SERVICES FOR INDIVIDUALS LIVING IN THE SLUMS & RURAL AREAS OF INDIA	550,000	NO	3/7/2018	2018-11-01	Grantee asserts that it has made all expenditures in furtherence of the stated purpose of the the grant Expenditures per annual report received from grantee are consistent with those budgeted The taxpayer believes the grant funds are being used for the charitable purposes intended
EDITHA HOUSE FOUNDATION	336 EAST WILLETTA STREET PHOENIX, AZ 85004		563,395	PROVIDE AFFORDABLE LODGING FOR CANCER PATIENTS/CARE-GIVERS TRAVELING TO PHOENIX,AZ FOR TREATMENT	563,395	NO	2/23/2018	2018-11-01	Grantee asserts that it has made all expenditures in furtherence of the stated purpose of the the grant Expenditures per annual report received from grantee are consistent with those budgeted The taxpayer believes the grant funds are being used for the charitable purposes intended
CENTRE FOR ADVANCEMENT OF PHILANTHROPY	4TH FLOOR MULLA HOUSE 51 MG RD MUMBAI 400 001 IN	2017-03-21	5,000	PROVIDING LEGAL & COMPLIANCE ADVICE FOR CHARITABLE ORGANIZATIONS IN INDIA	5,000	NO	3/7/2018	2018-11-01	GRANTEE ASSERTS THAT IT HAS MADE ALL EXPENDITURES IN FURTHERENCE OF THE STATED PURPOSE OF THE GRANT EXPENDITURES PER ANNUAL REPORT RECEIVED FROM GRANTEE ARE CONSISTENT WITH THOSE BUDGETED THE TAXPAYER BELIEVES THE GRANT FUNDS ARE BEING USED FOR THE CHARITABLE PURPOSES INTENDED
CANSUPPORT	SECTOR 12 RK PURAM NEW DELHI 110022 IN	2017-10-18	14,064	SETTING UP PALLIATIVE CARE PROGRAMS FOR CANCER PATIENTS IN INDIA	14,064	NO	4/2/2018	2018-11-01	GRANTEE ASSERTS THAT IT HAS MADE ALL EXPENDITURES IN FURTHERENCE OF THE STATED PURPOSE OF THE GRANT EXPENDITURES PER ANNUAL REPORT RECEIVED FROM GRANTEE ARE CONSISTENT WITH THOSE BUDGETED THE TAXPAYER BELIEVES THE GRANT FUNDS ARE BEING USED FOR THE CHARITABLE PURPOSES INTENDED
THAKER DEI MEHRA CHARITABLE FOUNDATION	128 PUDA COLONY GREEN AVENUE AMRITSAR, PUNJAB 143001 IN	2017-02-01	30,000	CONSTRUCTION, RENOVATION, AND MAINTENANCE OF CERTAIN OFFICE & ADMIN BUILDINGS OF THE CHARITY	30,000	NO	4/2/2018	2018-11-01	GRANTEE ASSERTS THAT IT HAS MADE ALL EXPENDITURES IN FURTHERANCE OF THE STATED PURPOSE OF THE GRANT EXPENDITURES PER ANNUAL REPORT RECEIVED FROM GRANTEE ARE CONSISTENT WITH THOSE BUDGETED THE TAXPAYER BELIEVES THE GRANT FUNDS ARE BEING USED FOR THE CHARITABLE PURPOSES INTENDED

TY 2017 Investments Corporate Stock Schedule

Name: John and Editha Kapoor Charitable Foundation

EIN: 36-6923817

Name of Stock	End of Year Book Value	End of Year Fair Market Value
18,662 SHS INSYS	37	37
UNREALIZED APPRECIATION -INSYS	179,491	179,491
BMO HARRIS #6310	2	2
RAYMOND JAMES (AKORN SHARES)	1,227,014	1,227,014
UNREALIZED APPRECIATION(AKORN)	31,153,404	31,153,404
20,000 SHS HALLIBURTON (RJ)	0	0
UNREALIZED APR. (HALLIBURTON)	0	0
AKORN	2,106,203	2,106,203
UNREALIZED APR. (AKORN)	45,607,476	45,607,476

TY 2017 Land, Etc. Schedule

Name: John and Editha Kapoor Charitable Foundation

EIN: 36-6923817

Category / Item	Cost / Other Basis	Accumulated Depreciation	Book Value	End of Year Fair Market Value
COMPUTER	21,761	14,319	7,442	

TY 2017 Legal Fees Schedule

Name: John and Editha Kapoor Charitable Foundation

EIN: 36-6923817

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
MCDERMOTT WILL & EMERY (LEGAL)	27,769			27,769
HAMILTON VOPELAK (LEGAL)	849			849

TY 2017 Other Decreases Schedule

Name: John and Editha Kapoor Charitable Foundation

EIN: 36-6923817

Description	Amount
UNREALIZED GAIN/(LOSS) AND BUILT-IN-GAIN	0
ON APPRECIATED PROPERTY	4,850,255

TY 2017 Other Expenses Schedule

Name: John and Editha Kapoor Charitable Foundation

EIN: 36-6923817

Other Expenses Schedule

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INSURANCE	3,620			3,620
OFFICE SUPPLIES & FURNISHINGS	21,932			21,932
TECHNOLOGY FEES	16,089			16,089
WEB DESIGN FEES	3,125			3,125
OTHER EXPENSES	13,641			13,641
CONVENTION ATTENDANCE	3,100			3,100
PAYROLL FEES	2,399			2,399
IRA FEES	371			371
IL PUBLICATION FEES	30			30

TY 2017 Other Professional Fees Schedule

Name: John and Editha Kapoor Charitable Foundation

EIN: 36-6923817

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
RAYMOND JAMES FEES	1,280	1,280		
OTHER BANK FEES	117	117		
HEMANT GOSWAMI (CONSULTING)	33,000			33,000
PRIYA BALA (CONSULTING)	22,179			22,179
CHARLYNN HANSEN (CONSULTING)	12,118			12,118

TY 2017 Taxes Schedule

Name: John and Editha Kapoor Charitable Foundation

EIN: 36-6923817

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
EXCISE TAX PAYMENTS	76,000			

Schedule B **(Form 990, 990-EZ, or 990-PF)** Department of the Treasury Internal Revenue Service	**Schedule of Contributors** ▶ **Attach to Form 990, 990-EZ, or 990-PF** ▶ **Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at** ***www irs gov/form990***	OMB No 1545-0047 **2017**

Name of the organization	**Employer identification number**
John and Editha Kapoor Charitable Foundation	36-6923817

Organization type (check one)

Filers of: **Section:**

Form 990 or 990-EZ

☐ 501(c)( ) (enter number) organization

☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation

☐ 527 political organization

Form 990-PF

☑ 501(c)(3) exempt private foundation

☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation

☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule.**
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule See instructions

General Rule

☑ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling $5,000 or more (in money or other property) from any one contributor Complete Parts I and II See instructions for determining a contributor's total contributions

Special Rules

☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1 Complete Parts I and II

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than $1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals Complete Parts I, II, and III

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc , purposes, but no such contributions totaled more than $1,000 If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc , purpose Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc , contributions totaling $5,000 or more during the year . . . . ▶ $ ____________

Caution. An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

Name of organization	Employer identification number
John and Editha Kapoor Charitable Foundation	36-6923817

Part I **Contributors** (See instructions) Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	JOHN N KAPOOR 6610 N 29TH PLACE PHOENIX, AZ85016	$ 82,877,619	Person ☐ Payroll ☐ Noncash ☑ (Complete Part II for noncash contributions)
-		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
-		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
-		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
-		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
-		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)

Name of organization	Employer identification number
John and Editha Kapoor Charitable Foundation	36-6923817

Part II **Noncash Property** (See instructions) Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
1	2,500,079 SHARES AKORN STOCK	$ 82 877,619	2017-05-11

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		$	

Name of organization	**Employer identification number**
John and Editha Kapoor Charitable Foundation	36-6923817

Part III *Exclusively* **religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than $1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of** *exclusively* **religious, charitable, etc., contributions of $1,000 or less for the year. (Enter this information once. See instructions.) ▶ $** ____________

Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP 4	Relationship of transferor to transferee