

Form **990-PF**
Return of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation

OMB No. 1545-0052

2017Department of the Treasury
Internal Revenue Service

- ▶ Do not enter social security numbers on this form as it may be made public.
 ▶ Go to www.irs.gov/Form990PF for instructions and the latest information

Open to Public Inspection

For calendar year 2017 or tax year beginning , 2017, and ending

JOSEPH H. GOLDBERG FAMILY FOUNDATION
7901 N. NAGLE AVENUE
MORTON GROVE, IL 60053-2714
A Employer identification number
 36-6067481

B Telephone number (see instructions)
 (847) 470-8170

C If exemption application is pending, check here ▶ ☐
D 1 Foreign organizations, check here ▶ ☐
2 Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐
E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐
F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐
G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity
☐ Final return ☐ Amended return
☐ Address change ☐ Name change

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, column (c), line 16) ▶ \$ 1,856,387.
J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) _____
 (Part I, column (d) must be on cash basis)
Part I Analysis of Revenue and Expenses

(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))

(a) Revenue and expenses per books

(b) Net investment income

(c) Adjusted net income

(d) Disbursements for charitable purposes (cash basis only)

REVENUE

- 1 Contributions, gifts, grants, etc., received (attach schedule) 125,000.
- 2 Check ☒ if the foundation is not required to attach Sch. B
- 3 Interest on savings and temporary cash investments 18,790.
- 4 Dividends and interest from securities 12,818.
- 5a Gross rents
- b Net rental income or (loss)
- 6a Net gain or (loss) from sale of assets not on line 10 -194.
- b Gross sales price for all assets on line 6a 206,425.
- 7 Capital gain net income (from Part IV, line 2) 0.
- 8 Net short-term capital gain 188.
- 9 Income modifications
- 10a Gross sales less returns and allowances
- b Less: Cost of goods sold
- c Gross profit or (loss) (attach schedule)
- 11 Other income (attach schedule)
- SEE STATEMENT 1
- 12 Total. Add lines 1 through 11 149,648.

149,648.

31,608.

31,796.

- 13 Compensation of officers, directors, trustees, etc. 0.
- 14 Other employee salaries and wages
- 15 Pension plans, employee benefits
- 16a Legal fees (attach schedule)
- b Accounting fees (attach sch) SEE ST 2 500.
- c Other professional fees (attach sch)
- 17 Interest
- 18 Taxes (attach schedule) (see instrs) SEE STM 3 15.
- 19 Depreciation (attach schedule) and depletion
- 20 Occupancy
- 21 Travel, conferences, and meetings
- 22 Printing and publications
- 23 Other expenses (attach schedule)
- SEE STATEMENT 4
- 24 Total operating and administrative expenses Add lines 13 through 23 412.
- 25 Contributions, gifts, grants paid PART XV 80,661.
- 26 Total expenses and disbursements Add lines 24 and 25 81,588.

412.

927.

80,661.

81,588.

0.

0.

80,661.

80,661.

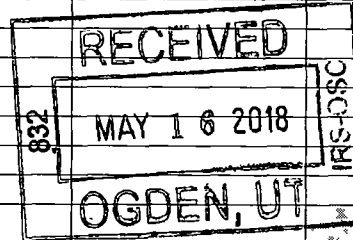
- 27 Subtract line 26 from line 12
- a Excess of revenue over expenses and disbursements 224,474.
- b Net investment income (if negative enter 0-) 31,608.
- c Adjusted net income (if negative, enter -0-) 31,796.

224,474.

31,608.

31,796.

ADMINISTRATIVE EXPENSES



gw 10

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value		
ASSETS	1	Cash — non-interest-bearing		309,010.	201,723.	201,723.
	2	Savings and temporary cash investments			150,000.	150,000.
	3	Accounts receivable				
		Less: allowance for doubtful accounts				
	4	Pledges receivable				
		Less: allowance for doubtful accounts				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)				
	7	Other notes and loans receivable (attach sch)				
		Less: allowance for doubtful accounts				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges				
	10a	Investments — U.S. and state government obligations (attach schedule)				
	b	Investments — corporate stock (attach schedule)	178,448.	187,445.	340,119.	
	c	Investments — corporate bonds (attach schedule)				
	11	Investments — land, buildings, and equipment basis				
	Less: accumulated depreciation (attach schedule)					
12	Investments — mortgage loans					
13	Investments — other (attach schedule)	979,325.	1,151,380.	1,164,545.		
14	Land, buildings, and equipment basis					
	Less: accumulated depreciation (attach schedule)					
15	Other assets (describe)					
16	Total assets (to be completed by all filers — see the instructions. Also, see page 1, item I)	1,466,783.	1,690,548.	1,856,387.		
LIABILITIES	17	Accounts payable and accrued expenses				
	18	Grants payable				
	19	Deferred revenue				
	20	Loans from officers, directors, trustees, & other disqualified persons				
	21	Mortgages and other notes payable (attach schedule)				
	22	Other liabilities (describe)				
	23	Total liabilities (add lines 17 through 22)	0.	0.		
NET ASSETS OR FUND BALANCES	Foundations that follow SFAS 117, check here and complete lines 24 through 26, and lines 30 and 31. <input type="checkbox"/>					
	24	Unrestricted				
	25	Temporarily restricted				
	26	Permanently restricted				
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. <input checked="" type="checkbox"/>					
	27	Capital stock, trust principal, or current funds				
	28	Paid-in or capital surplus, or land, bldg, and equipment fund				
	29	Retained earnings, accumulated income, endowment, or other funds	1,466,783.	1,690,548.		
	30	Total net assets or fund balances (see instructions)	1,466,783.	1,690,548.		
	31	Total liabilities and net assets/fund balances (see instructions)	1,466,783.	1,690,548.		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year — Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,466,783.
2	Enter amount from Part I, line 27a	2	224,474.
3	Other increases not included in line 2 (itemize)	3	
4	Add lines 1, 2, and 3	4	1,691,257.
5	Decreases not included in line 2 (itemize) SEE STATEMENT 5	5	709.
6	Total net assets or fund balances at end of year (line 4 minus line 5) — Part II, column (b), line 30	6	1,690,548.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse, or common stock, 200 shares MLC Company)	(b) How acquired P — Purchase D — Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1 a SEE STATEMENT 6			
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) ((e) plus (f) minus (g))
a			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	<div style="border: 1px solid black; padding: 2px;"> If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 </div>	2	-194.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)	<div style="border: 1px solid black; padding: 2px;"> If gain, also enter in Part I, line 8, column (c). See instructions. If (loss), enter -0- in Part I, line 8. </div>	3	188.

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes☒ No

If 'Yes,' the foundation doesn't qualify under section 4940(e). Do not complete this part.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2016	66,171.	1,552,174.	0.042631
2015	80,350.	1,491,164.	0.053884
2014	72,147.	1,410,271.	0.051158
2013	71,401.	1,286,449.	0.055502
2012	73,905.	1,153,516.	0.064069

2 Total of line 1, column (d)	2	0.267244
3 Average distribution ratio for the 5-year base period — divide the total on line 2 by 5 0, or by the number of years the foundation has been in existence if less than 5 years	3	0.053449
4 Enter the net value of noncharitable-use assets for 2017 from Part X, line 5	4	1,643,085.
5 Multiply line 4 by line 3	5	87,821.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	316.
7 Add lines 5 and 6	7	88,137.
8 Enter qualifying distributions from Part XII, line 4	8	80,661.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see instructions)

1 a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter 'N/A' on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary – see instructions)		1	632.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b			
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-)		2	0.
3 Add lines 1 and 2		3	632.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	632.
6 Credits/Payments			
a 2017 estimated tax pmts and 2016 overpayment credited to 2017	6 a		
b Exempt foreign organizations – tax withheld at source	6 b		
c Tax paid with application for extension of time to file (Form 8868)	6 c		
d Backup withholding erroneously withheld	6 d		
7 Total credits and payments. Add lines 6a through 6d	7		0.
8 Enter any penalty for underpayment of estimated tax. Check here <input checked="" type="checkbox"/> if Form 2220 is attached	8		19.
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		651.
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10		
11 Enter the amount of line 10 to be Credited to 2018 estimated tax	11		
	Refunded		

Part VII-A Statements Regarding Activities

	Yes	No
1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition		X
If the answer is 'Yes' to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation ▶ \$ 0. (2) On foundation managers ▶ \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If 'Yes,' attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If 'Yes,' attach a conformed copy of the changes		X
4 a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If 'Yes,' has it filed a tax return on Form 990-T for this year?		N/A
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If 'Yes,' attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If 'Yes,' complete Part II, col (c), and Part XV	X	
8 a Enter the states to which the foundation reports or with which it is registered. See instructions N/A		
b If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If 'No,' attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2017 or the tax year beginning in 2017? See the instructions for Part XIV. If 'Yes,' complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If 'Yes,' attach a schedule listing their names and addresses		X

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Part VII-A Statements Regarding Activities (continued)

	Yes	No
11. At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes,' attach schedule. See instructions.	11	X
12. Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If 'Yes,' attach statement. See instructions.	12	X
13. Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address: <u>N/A</u>	13	X
14. The books are in care of: <u>JOSEPH H. GOLDBERG</u> Telephone no: <u>(847) 612-6307</u> Located at: <u>7901 N. NAGLE MORTON GROVE IL</u> ZIP + 4: <u>60053</u>		
15. Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 – check here and enter the amount of tax-exempt interest received or accrued during the year: <u>N/A</u>	15	N/A
16. At any time during calendar year 2017, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If 'Yes,' enter the name of the foreign country: <u></u>	16	X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.

	Yes	No
1a During the year, did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(6) Agree to pay money or property to a government official? (Exception. Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If any answer is 'Yes' to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions. Organizations relying on a current notice regarding disaster assistance, check here: <u></u>	1 b	N/A
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2017?	1 c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2017, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2017? If 'Yes,' list the years: <u>20</u> , <u>20</u> , <u>20</u> , <u>20</u>	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer 'No' and attach statement – see instructions.)	2 b	N/A
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here: <u>20</u> , <u>20</u> , <u>20</u> , <u>20</u>		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If 'Yes,' did it have excess business holdings in 2017 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2017.)	3 b	N/A
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4 a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2017?	4 b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5 a** During the year, did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No**b** If any answer is 'Yes' to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions.

5 b N/A

Organizations relying on a current notice regarding disaster assistance, check here

☐**c** If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?N/A ☐ Yes ☐ No

If 'Yes,' attach the statement required by Regulations section 53.4945–5(d)

6 a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6 b X

If 'Yes' to 6b, file Form 8870

7 a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No**b** If 'Yes,' did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7 b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, and foundation managers and their compensation. See instructions.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
JH GOLDBERG 7901 N. NAGLE MORTON GROVE, IL 60053	FOUNDATION M 0	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1 – see instructions). If none, enter 'NONE.'

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a Average monthly fair market value of securities	1 a	1,412,740.
b Average of monthly cash balances	1 b	255,367.
c Fair market value of all other assets (see instructions)	1 c	
d Total (add lines 1a, b, and c)	1 d	1,668,107.
e Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1 e	0.
2 Acquisition indebtedness applicable to line 1 assets	2	0.
3 Subtract line 2 from line 1d	3	1,668,107.
4 Cash deemed held for charitable activities. Enter 1-1/2% of line 3 (for greater amount, see instructions)	4	25,022.
5 Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	1,643,085.
6 Minimum investment return. Enter 5% of line 5	6	82,154.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here ☐ and do not complete this part.)

1 Minimum investment return from Part X, line 6	1	82,154.
2 a Tax on investment income for 2017 from Part VI, line 5	2 a	632.
b Income tax for 2017 (This does not include the tax from Part VI)	2 b	
c Add lines 2a and 2b	2 c	632.
3 Distributable amount before adjustments. Subtract line 2c from line 1	3	81,522.
4 Recoveries of amounts treated as qualifying distributions	4	
5 Add lines 3 and 4	5	81,522.
6 Deduction from distributable amount (see instructions)	6	
7 Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	81,522.

Part XII Qualifying Distributions (see instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a Expenses, contributions, gifts, etc. — total from Part I, column (d), line 26	1 a	80,661.
b Program-related investments — total from Part IX-B	1 b	
2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3 Amounts set aside for specific charitable projects that satisfy the		
a Suitability test (prior IRS approval required)	3 a	
b Cash distribution test (attach the required schedule)	3 b	
4 Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	80,661.
5 Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions	5	
6 Adjusted qualifying distributions. Subtract line 5 from line 4	6	80,661.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2016	(c) 2016	(d) 2017
1 Distributable amount for 2017 from Part XI, line 7				81,522.
2 Undistributed income, if any, as of the end of 2017				
a Enter amount for 2016 only			0.	
b Total for prior years 20__, 20__, 20__		0.		
3 Excess distributions carryover, if any, to 2017				
a From 2012	7,371.			
b From 2013	4,298.			
c From 2014	2,605.			
d From 2015	6,413.			
e From 2016				
f Total of lines 3a through e	20,687.			
4 Qualifying distributions for 2017 from Part XII, line 4 ▶ \$ 80,661.				
a Applied to 2016, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required — see instructions)		0.		
c Treated as distributions out of corpus (Election required — see instructions)	0.			
d Applied to 2017 distributable amount				80,661.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2017 (If an amount appears in column (d), the same amount must be shown in column (a))	861.			861.
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	19,826.			
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable amount — see instructions		0.		
e Undistributed income for 2016 Subtract line 4a from line 2a Taxable amount — see instructions			0.	
f Undistributed income for 2017 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2018				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required — see instructions)	0.			
8 Excess distributions carryover from 2012 not applied on line 5 or line 7 (see instructions)	6,510.			
9 Excess distributions carryover to 2018. Subtract lines 7 and 8 from line 6a	13,316.			
10 Analysis of line 9				
a Excess from 2013	4,298.			
b Excess from 2014	2,605.			
c Excess from 2015	6,413.			
d Excess from 2016				
e Excess from 2017				

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N/A

- (4) Gross investment income**

[illegible]

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<i>a Paid during the year</i> SEE ATTACHED	N/A	N/A	GENERAL	80,661.
Total			3 a	80,661.
<i>b Approved for future payment</i>				
Total			3 b	

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
		(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount	
1	Program service revenue					
a						
b						
c						
d						
e						
f						
g	Fees and contracts from government agencies					
2	Membership dues and assessments					
3	Interest on savings and temporary cash investments				18,790.	
4	Dividends and interest from securities				12,818.	
5	Net rental income or (loss) from real estate					
a	Debt-financed property					
b	Not debt-financed property					
6	Net rental income or (loss) from personal property					
7	Other investment income				149,648.	
8	Gain or (loss) from sales of assets other than inventory					-194.
9	Net income or (loss) from special events					
10	Gross profit or (loss) from sales of inventory					
11	Other revenue					
a						
b						
c						
d						
e						
12	Subtotal. Add columns (b), (d), and (e)				181,256.	-194.
13	Total. Add line 12, columns (b), (d), and (e)				181,062.	

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B	Relationship of Activities to the Accomplishment of Exempt Purposes
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[illegible]

Part XVII. Information Regarding Transfers to and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?		
a	Transfers from the reporting foundation to a noncharitable exempt organization of		
	(1) Cash		X
	(2) Other assets		X
b	Other transactions		
	(1) Sales of assets to a noncharitable exempt organization		X
	(2) Purchases of assets from a noncharitable exempt organization		X
	(3) Rental of facilities, equipment, or other assets		X
	(4) Reimbursement arrangements		X
	(5) Loans or loan guarantees		X
	(6) Performance of services or membership or fundraising solicitations		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees		X

d If the answer to any of the above is 'Yes,' complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

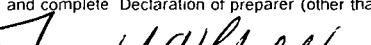
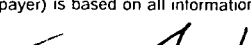
[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527?

☐ Yes ☒ No

b If 'Yes,' complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here	Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.				May the IRS discuss this return with the preparer shown below? See instructions. <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
	 Signature of officer or trustee		5/12/2018 Date		
Paid Preparer Use Only	Print/Type preparer's name RALPH PICKER		Preparer's signature 		Date 5.7.18
	Firm's name PICKER & ASSOCIATES LLC		Firm's EIN 45-5560310		Check <input checked="" type="checkbox"/> if self employed
	Firm's address 750 W. LAKE COOK ROAD SUITE 375 BUFFALO GROVE, IL 60089		Phone no 847-541-4000		

BAA

Form 990-PF (2017)

2017

FEDERAL STATEMENTS

PAGE 1

CLIENT GOLDPF

JOSEPH H. GOLDBERG FAMILY FOUNDATION

36-6067481

5/03/18

02 09PM

STATEMENT 1
FORM 990-PF, PART I, LINE 11
OTHER INCOME

	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
OTHER INVESTMENT INCOME			
TOTAL	\$ 149,648.	\$ 0.	\$ 0.

STATEMENT 2
FORM 990-PF, PART I, LINE 16B
ACCOUNTING FEES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING				
TOTAL	\$ 500.	\$ 0.	\$ 0.	\$ 0.

STATEMENT 3
FORM 990-PF, PART I, LINE 18
TAXES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
STATE AND FEDERAL TAXES				
TOTAL	\$ 15.	\$ 0.	\$ 0.	\$ 0.

STATEMENT 4
FORM 990-PF, PART I, LINE 23
OTHER EXPENSES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
BUSINESS FEES	\$ 10.			
DUES & SUBSCRIPTIONS	282.			
SUPPLIES	120.			
TOTAL	\$ 412.	\$ 0.	\$ 0.	\$ 0.

2017

FEDERAL STATEMENTS

PAGE 2

CLIENT GOLDPF

JOSEPH H. GOLDBERG FAMILY FOUNDATION

36-6067481

5/03/18

02 09PM

STATEMENT 5
FORM 990-PF, PART III, LINE 5
OTHER DECREASES

NON-DEDUCTIBLE FEDERAL TAX

TOTAL \$ 709.
\$ 709.

STATEMENT 6
FORM 990-PF, PART IV, LINE 1
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

ITEM	(A) DESCRIPTION	(B) HOW ACQUIRED	(C) DATE ACQUIRED	(D) DATE SOLD
1	44.134 SH NORTHERN TECHNOLOGY	PURCHASED	12/15/2016	7/20/2017
2	REDEMPTION COMENITY CAP BK UTAL	PURCHASED	8/29/2014	9/08/2017
3	119.017 SH NORTHERN TECHNOLOGY	PURCHASED	12/17/2015	7/20/2017
4	REDEMPTION WELLS FARGO BK	PURCHASED	4/13/2016	12/20/2017
5	PRINCIPAL GOVT NATL MTG ASSN SER 2011-66	PURCHASED	5/11/2011	VARIOUS
6	884.223 SH NORTHERN TECHNOLOGY	PURCHASED	8/03/1999	7/20/2017
7	CAPITAL GAIN DIVIDENDS			

ITEM	(E) GROSS SALES	(F) DEPREC. ALLOWED	(G) COST BASIS	(H) GAIN (LOSS)	(I) FMV 12/31/69	(J) ADJ. BAS. 12/31/69	(K) EXCESS (I) - (J)	(L) GAIN (LOSS)
1	1,097.		909.	188.				\$ 188.
2	75,000.		75,000.	0.				0.
3	2,959.		2,452.	507.				507.
4	100,000.		100,000.	0.				0.
5	3,899.		3,923.	-24.				-24.
6	21,982.		24,335.	-2,353.				-2,353.
7								1,488.
							TOTAL \$	-194.

Joseph H. Goldberg Family Foundation
FEIN: 36-6067481

ATTACHMENT TO PAGE 2, PART II

2017

	<u>Book Value</u> <u>Part II, Column (b)</u>	<u>Fair Market Value</u> <u>Part II, Column (c)</u>
Investments-Corporate Stock		
American Movil Sab De CV ADR	\$ -	\$ 5,110.70
Apple, Inc.	\$ 18,675.80	\$ 33,846.00
AT&T, Inc. Com ISIN	\$ -	\$ 33,747.84
Coca Cola	\$ 18,694.60	\$ 22,940.00
Ford Motor Company	\$ 30,483.78	\$ 28,727.00
General Electric	45,847.50	34,900.00
JP Morgan Chase & Co.	5,412.50	20,425.54
Northern Trust Company	19,390.56	29,967.00
Pfizer, Inc.	20,222.23	25,354.00
Telesites Sab de CV Com	163.30	228.16
Walgreen Company	28,554.76	72,620.00
Walt Disney	-	32,253.00
Total Investments-Corporate Stock - Line 10b	\$ 187,445.03	\$ 340,119.24
Other Investments		
2011 CD-9 year - Midfirst Bank	\$ 29,957.73	\$ 31,016.40
American Express CD	100,000.00	99,491.00
American Express Centurion 3.85%	50,189.90	51,573.50
Capital One Bank CD 1.75%	74,891.25	74,596.50
Capital One Bank CD 2.05%	75,002.88	74,697.00
Capital One Bank CD 2.1%	100,000.00	100,181.00
Discover Bank CD 1.8%	140,000.00	134,484.00
Discover Bank Greenwood 2.05%	100,000.00	100,156.00
Enerbank USA UT CD 1.55%	100,000.00	96,270.00
Flushing Bank 1.8%	100,000.00	99,965.00
Franklin Dynatech Fund A	26,518.02	27,416.78
Franklin Income Class C	30,660.00	30,391.96
Goldman Sachs BK USA	50,209.75	50,921.00
Gov't Natl Mtg 2011-066	9,840.96	10,428.90
Money Fund Northern Trust	4,059.38	4,059.38
Northern Income Equity	5,889.96	6,610.89
Northern Funds Stock Index Fund	23,681.65	41,033.99
Northern Funds Large Cap Core Fund	35,478.85	36,519.00
Synchrony Bank Retail CD 2.55%	75,000.00	75,399.00
Wells Fargo Bank National CD 1.7%	20,000.00	19,332.60
Total Other Investments - Line 13	\$ 1,151,380.33	\$ 1,164,543.90

Joseph H. Goldberg Family Foundation

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Attachment:

Page 11, Part XV, Line 3a

Alzheimers Disease	100 00
American Community Weizmann Institute of Science	225 00
American Diabetes Association	200 00
American Foundation for Blind	200 00
American Indian College Fund	150 00
American Lung Association	400 00
American Parkinson Disease Foundation	250 00
American Red Cross	370 00
American Stroke & Heart Association	250 00
Anti-Defamatory League	2,000 00
Art Encounter	125 00
Arthritis Foundation	250 00
Autism Speaks	400 00
Best Buddies Illinois	700 00
Board of Jewish Education	250 00
Camp Ramah	450 00
Cancer Wellness Center	250 00
CASMI Scholarships	4,000 00
Catholic Relief Services	260 00
CDGA Golf Foundation	442 00
Chicago Lighthouse	300 00
Children's Brittle Bones Foundation	450 00
Clearbrook	850 00
Council Jewish Elderly	1,000 00
Cove School	600 00
Cystic Fibrosis Foundation	125 00
Easter Seals	100 00
Epilepsy Foundation	300 00
Evans Scholars Foundation	500 00
For Autistic Kids	2,515 00
Friends of Israel Defense Fund	800 00
Gigi's Playhouse	350 00
GIRF	300 00
Glencoe Youth Services	250 00
Glenkirk Foundation	500 00
Great Westside Club	200 00
Greater Chicago Food Depository	450 00
Hadley School for the Blind	350 00
Hawthorne Scholastic	125 00

Total (This Page Only)

\$ 21,337.00

Joseph H. Goldberg Family Foundation

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Attachment:

Page 11, Part XV, Line 3a

Hillel	330 00
Holocaust Education Fund	250 00
Illinois Holocaust Museum and Education Center	300 00
Israel Guide Dog Center for the Blind	200 00
Israel Sportcenter for the Disabled	250 00
JDRF Diabetes	300 00
Jewish Braille Institute	400 00
Jewish National Fund	200 00
Jewish United Fund	8,500 00
Junior Achievement	200 00
Keshet	650 00
Lakeview Pantry East	500.00
Lambs Farm	400 00
Larabida Childrens Hospital	300 00
Leukemia & Lymphoma	150 00
Little City	700 00
Lurie's Children Foundation	500 00
Lynn Sage Cancer	300 00
Macko Steve Memorial Fund	300 00
Maine Niles Special Rec	200 00
Make a Wish	250 00
MaotChitim of Greater Chicago	360 00
March of Dimes	450.00
MD Anderson	500 00
Meals on Wheels	434 00
Memorial Sloan-Ketterin	750 00
Muscular Dystrophy Association	300 00
NAML	150 00
National Jewish Health Fund	600 00
National Multiple Sclerosis	200 00
New Israel Fund	250 00
New Trier Township Food Pantry	500 00
NODCC	400 00
North Shore Senior Center	200 00
Northern Suburban Special Rec Association	500 00
Northwestern Memorial Hospital	350 00
Operation Home Front	150 00
Parkinson Disease Foundation	300 00
Prevent Blindness	150 00
Project Hope Morton Grove	150 00

Total (This Page Only)

\$ 21,874.00

Joseph H. Goldberg Family Foundation

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Attachment:

Page 11, Part XV, Line 3a

Prostate Cancer Foundation	150 00
Salvation Army	100.00
Scholarship America	21,300 00
Simon Wiesenthal Center	500 00
Special Olympics	950 00
Spring Manufacturers Institute	4,000 00
St. Jude Children Hospital	350 00
Stand up to Cancer	500 00
Sunshine Through Golf Foundation	300 00
Susan G. Komen for the Cure	500 00
Temple Jeremiah	7,000 00
The Birthright Israel Foundation	500.00
Total Link Community	600 00
UNICEF	125 00
United Negro College	75 00
US Holocaust Museum	300 00
Von Steuben Alumni Association	100 00
WTTW	100 00

Total (This Page Only)	\$	37,450.00
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Total From Previous Pages		43,211.00
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TOTAL GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR	\$	80,661.00
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