Form **990-PF**

Department of the Treasury Internal Revenue Service EXTEN

EXTENDED TO NOVEMBER 15, 2018
Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.

▶ Go to www.irs.gov/Form990PF for instructions and the latest information.

2017
Open to Public Inspection

<u>F</u>	or cal	endar year 2017 or tax year beginning		, and ending		Open to 1 abite inspection
1	lame	of foundation			A Employer identificatio	n number
	ŖΙ	CHARD AND JUDITH STERN I	Limployer rachancation number			
	FO	UNDATION			36-4159217	7
1	lumbe	and street (or PO box number if mail is not delivered to stree	address)	Room/suite	B Telephone number	<u> </u>
_	<u>67(</u>	NORTH MICHIGAN AVENUE		2910	312-787-22	203
		town, state or province, country, and ZIP or foreign	postal code	<u></u>	C If exemption application is	
		ICAGO, IL 60611	,		exemption application is p	pending, check here
G	Che	ck all that apply: Initial return	Initial return of a	former public charity	D 1. Foreign organization	ac abook boro
		Final return	Amended return	rormor public onarty	i. Toreign organization	is, check here
_		Address change	Name change		Foreign organizations m check here and attach co	eeting the 85% test,
Н	Chec	ck type of organization: X Section 501(c)(3)	exempt private foundation	~ 10	7	
	\Box $\underline{\varsigma}$	Section 4947(a)(1) nonexempt charitable trust	Other taxable private found	dation $\mathfrak{O}\mathscr{V}$	E If private foundation sta under section 507(b)(1	atus was terminated
ı			ting method: X Cash	Accrual		
	(from		Other (specify)		F If the foundation is in a under section 507(b)(1	
	▶\$	1,743,534. (Part I, colu		SIS.)	under Section 307(b)(1)(b), check here
F	art	Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))	(a) Revenue and	(b) Net investment	(a) Adjusted not	(d) Dishursements
		necessarily equal the amounts in column (a))	expenses per books	income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
	1				₩ N/A	(cash basis Only)
	2	. 🖼	(* # (# (# (# (# (# (# (# (# (# (# (# (# (
,	3	Interest on savings and temporary cash investments	399.	399.	1 22 A 1 7 2 1 2 2 1 2 2 2 2 2 2 2 2 2 2 2 2 2	STATEMENT 1
S (1)	4	Dividends and interest from securities		- 333.	<u> </u>	DIALDMAN
3	5	a Gross rents			 	
· •	1	Net rental income or (loss)				
=1	6	Net gain or (loss) from sale of assets not on line 10				
5		Gross sales price for all assets on line 6a				
5 5 1 1	7 A	Capital gain net income (from Part IV, line 2)	4. 17 10 11	0.		
- 6	4 8	Net short-term capital gain	<i>y</i>		##. *#4 #\x	
	9	Income modifications				
	102	Gross sales less returns and allowances		\$.4.° .38		
3	1	Less Cost of goods sold	类 数 数 数			
	0	Gross profit or (loss)		7 10 10	***	
	11	Other income				
_	12	Total. Add lines 1 through 11	399.	399.		
	13	Compensation of officers, directors, trustees, etc	0.	0.		0.
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
9	16a	Legal fees				
Š	b	Accounting fees STMT 2	6,136.	0.		6,136.
Administrative Evn.	C	Other professionances CEIVED				
9	17	Interest				
4	18	Interest Taxes Depreciation and depletion 0 6 2018 Occupancy				
<u> </u>	19	Depreciation and depletion 0 6 2018				M x iii
<u> </u>	20					//w// // w.3.v.
	1	Travel, conferences and metiros, UT				
pue	22	Printing and publications				
5	23	Other expenses STMT 3	40.	0.		40.
i ti	24	Total operating and administrative				
Operatin		expenses. Add lines 13 through 23	6,176.	0.		6,176.
O	25	Contributions, gifts, grants paid	77,805.			77,805.
	26	Total expenses and disbursements.				
		Add lines 24 and 25	83,981.	0.		83,981.
	27	Subtract line 26 from line 12.		(2)		
		Excess of revenue over expenses and disbursements	<83,582.>			
		Net investment income (if negative, enter -0-)		399.	William Ren	
	C	Adjusted net income (if negative, enter -0-)	· \$'. \$1 TT	£7. 3 % k	NT / A	8 at 1 9000000 . 3/3

723501 01-03-18 LHA For Paperwork Reduction Act Notice, see instructions.

Form **990-PF** (2017)

36-4159217 Page 2

Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-war amounts only			Beginning of year	End	of year
드	art	column should be for end-of-year amounts only	(a) Book Value	(b) Book Value	(c) Fair Market Value
	1	Cash - non-interest-bearing			
	2	Savings and temporary cash investments	215,975.	132,253	. 132,253.
	3	Accounts receivable			11111111111
	l	Less: allowance for doubtful accounts			
	4	Pledges receivable ►	IIIII AAL	122355	LARA A LARA
	ì	Less: allowance for doubtful accounts		<u></u> _	<u></u>
	5	Grants receivable			<u> </u>
	6	Receivables due from officers, directors, trustees, and other		_	1
	ĺ	disqualified persons			<u> </u>
	7	Other notes and loans receivable			
	1	Less: allowance for doubtful accounts			<u> </u>
s	8	Inventories for sale or use			<u> </u>
Assets	9	Prepaid expenses and deferred charges			
Ä	10a	Investments - U.S. and state government obligations			
	Ь	Investments - corporate stock		L	
	C	Investments - corporate bonds			
	11	Investments - land, buildings, and equipment basis			
		Less accumulated depreciation		<u> </u>	<u></u>
	12	Investments - mortgage loans			
	13	Investments - other STMT 5	490,468.	490,468	1,611,281.
	14	Land, buildings, and equipment; basis			
		Less accumulated depreciation			
	15	Other assets (describe)			
	16	Total assets (to be completed by all filers - see the			
_	<u> </u>	instructions. Also, see page 1, item I)	706,443.	622,721	1,743,534.
	1	Accounts payable and accrued expenses	 	 	
	18	Grants payable			
es	19	Deferred revenue			
Liabilities	20	Loans from officers, directors, trustees, and other disqualified persons		_ 	
Liak	21	Mortgages and other notes payable			
	22	Other liabilities (describe >)			
	23	Total liabilities (add lines 17 through 22)	0.	0.	
_	<u></u> -	Foundations that follow SFAS 117, check here			
		and complete lines 24 through 26, and lines 30 and 31.			
S	24	Unrestricted	706,443.	622,721	
nces	1	Temporarily restricted			
3ala	26	Permanently restricted			
힏		Foundations that do not follow SFAS 117, check here			
Ē	Ì	and complete lines 27 through 31.			
٥	27	Capital stock, trust principal, or current funds			
šets	28	Paid-in or capital surplus, or land, bldg., and equipment fund			
As	29	Retained earnings, accumulated income, endowment, or other funds			
Net Assets or Fund Bala	30	Total net assets or fund balances	706,443.	622,721	
_					
	31	Total liabilities and net assets/fund balances	706,443.	622,721	
P	art	Analysis of Changes in Net Assets or Fund Ba	lances		
=	==				T
		net assets or fund balances at beginning of year - Part II, column (a), line	30	1.	706 443
		t agree with end-of-year figure reported on prior year's return)		11	
		amount from Part I, line 27a rincreases not included in line 2 (itemize)		2	
		ines 1, 2, and 3			600 061
		eases not included in line 2 (itemize)	SEE ST	ATEMENT 4 5	1.4
		net assets or fund balances at end of year (line 4 minus line 5) - Part II, co		ATEMENT 4 5	
_		at one or your time . minds into of . turing of	(0), mio 00	<u></u>	Form 990-PF (2017)

	DATION						3	6-415	9217	Page 3
	nd Losses for Tax on In			1753 1	Jane 6	aurad I				
	he kind(s) of property sold (for exa ehouse; or common stock, 200 shs		nte, 	(0),	- Purc - Don	cquired hase ation	(c) Date (mo., d		(d) Dat (mo., da	
1a									<u> </u>	
b NON	IE			├					 -	
<u>c</u>				-						
				 						
_ e	(f) Depression allowed	(a) Co	at an athen bears	 			(b) C:			
(e) Gross sales price	(f) Depreciation allowed (or allowable)		st or other basis expense of sale	ļ				ain or (loss) s (f) minus (
a							((-77	<u> </u>		
b		 		-						
<u>c</u>										
d										
e										
Complete only for assets showing	gain in column (h) and owned by	the foundation	on 12/31/69.					ol. (h) gaın		
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69		col. (j), if any			col	(k), but n Losses (ot less thar (from col. (l	1 -0-) or 1))	
_a				\Box I						
<u>b</u>										
С										
<u>d</u>										
<u> </u>		L								
2 Capital gain net income or (net cap	rtal loss) { If gain, also enter of the loss of the lo	r ın Part I, lıne I- in Part I, lıne	7 ? 7	}	2					
3 Net short-term capital gain or (loss	as defined in sections 1222(5) an	ıd (6) [.]		٦1						
If gain, also enter in Part I, line 8, c	olumn (c).			- } }						
If (loss), enter -0- in Part I, line 8 Part V Qualification Un	der Section 4940(e) for	Reduced	Tay on Not I	DV9	3 etm	ant Inco	me			
										
(For optional use by domestic private f	oundations subject to the section 4	1940(a) tax on	net investment inc	come.)					
If section 4940(d)(2) applies, leave this	s part blank.									
Was the foundation liable for the section	on 4942 tax on the distributable am	nunt of any ve	ear in the base neri	od2					Ves	X No
If "Yes," the foundation doesn't qualify				00						
1 Enter the appropriate amount in ea				tries.						
(a) Base period years	(b)			(c)			7	Dietrib	(d) ution ratio	
Calendar year (or tax year beginning	ın) Adjusted qualifying dis	tributions	Net value of nor		table-	use assets	1 (col. (b) divi	ded by col.	(c))
2016		8,157.				5,766				6539
2015		7,664.	 			<u>8,567</u>				4498
2014		9,857.				5,370				6538
2013		8,938.	'			0,470				5585
2012	<u></u>	4,735.		1,	. 34	3,695	•		. 02	5850
6. Takal of load disalone (d)							1 . 1		1.0	-0010
2 Total of line 1, column (d)		- l 0 b 5 0	N b th				2		• 7.0	9010
3 Average distribution ratio for the 5- the foundation has been in existence)(1 liftle 2 by 5.0	s, or by the numbe	roty	ears		1.1		0.3	3802
the realisation has been in existenc	e ii iess tiidii 5 yedis						3			3002
4 Enter the net value of noncharitable	use assets for 2017 from Part X, I	ine 5					4	:	1,747,	359.
5 Multiply line 4 by line 3							5		59,	064.
6 Enter 1% of net investment income	(1% of Part I, line 27b)						6	-		4.
7 Add lines 5 and 6	, , ,								F 0	068.
							7			
8 Enter qualifying distributions from I							8		83,	981.
If line 8 is equal to or greater than li See the Part VI instructions.	ine 7, check the box in Part VI, line	1b, and comp	iete that part using	ja 1%	tax r	ate.				

	RICHARD AND JUDITH STERN FAMILY		
	m 990-PF (2017) FOUNDATION / 36-415	9217 P	age 4
_	art VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see i	nstructions	<u></u>
1a	Exempt operating foundations described in section 4940(d)(2), check here 🕨 🔲 and enter "N/A" on line 1.		, §
	Date of ruling or determination letter: (attach copy of letter if necessary-see instructions)	MILLA	لب
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here 🕨 🗓 and enter 1%		4.
	of Part I, line 27b		4 1
	: All other domestic foundations enter 2% of line 27b. Exempt foreign organizations, enter 4% of Part I, line 12, col. (b).		(i:
	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-)		0.
-	Add lines 1 and 2		4.
	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)		<u>0.</u>
	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	500 7 1 1 1 8 1's	<u>4.</u>
	Credits/Payments:		<i>(</i> * 1
	2017 estimated tax payments and 2016 overpayment credited to 2017 6a 1,906.		()
	Exempt foreign organizations - tax withheld at source		
	Tax paid with application for extension of time to file (Form 8868))
	Backup withholding erroneously withheld 6d 0.		<u></u> .
	Total credits and payments. Add lines 6a through 6d	1,90	0.
	Enter any penalty for underpayment of estimated tax, Check here if Form 2220 is attached 8		<u>U •</u>
	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	1 00	<u> </u>
	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid Enter the amount of line 10 to be: Gredited to 2018 estimated tax 1,902. Refunded	1,90	$\frac{2}{0}$
Pa	Enter the amount of line 10 to be: Gredited to 2018 estimated tax 1,902. Refunded 11 11		<u>u.</u>
_	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in	Yes	No
٠	any political campaign?		X
b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition		X
-	If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or		<u></u>
	distributed by the foundation in connection with the activities.		
C	Did the foundation file Form 1120-POL for this year?	10	X
đ	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year:	1 × 1 × 1	
	(1) On the foundation. ▶ \$ 0 . (2) On foundation managers. ▶ \$ 0 .		N. I
е	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation		
	managers. ► \$0 .		Š.
2	Has the foundation engaged in any activities that have not previously been reported to the IRS?		X_
	If "Yes," attach a detailed description of the activities.		
	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or	T . 41 9 5 18 3	
	bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		<u>X</u> _
	Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a	<u>X</u> _
	If "Yes," has it filed a tax return on Form 990-T for this year?	4b	
	Was there a liquidation, termination, dissolution, or substantial contraction during the year?	5	<u>X</u>
	If "Yes," attach the statement required by General Instruction T.		
	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: By language in the governing instrument, or		
	By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law		
	remain in the governing instrument?	6 X	. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1.
	Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	6 X X	
	2.0 and realisation have at reast 40,000 in assets at any time during the year. If 165, complete rait in, cor. (c), and rait Av	W W &	
8a	Enter the states to which the foundation reports or with which it is registered. See instructions.		V.j
-	IL		
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate)		
	of each state as required by General Instruction G? If "No," attach explanation	8b X	//www.
	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar		<u></u> り
	year 2017 or the tax year beginning in 2017? See the instructions for Part XIV. If "Yes," complete Part XIV	9	\mathbf{x}
0_	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X
		orm 990-PF (2	017)

Form 990-PF (2017) FOUNDATION

Part VII-A | Statements Regarding Activities (continued)

36-4159217 Page 5

11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(k)(13)? If Yes, attach schedule. See instructions 1 Did the foundation make a distribution to a donor advised find over which the foundation or a disqualified person had advisory privileges? 11 "Yes," attach statement. See instructions 1 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Webster address N/A Tolephore in \$12 - 78.7 - 22.03 12	-	TO VII-A State Herrie Hogariang Activities (continues)			
section 57(20)(13)? If Yes, Tatch's orbitable, Sem instructions 10 did the foundation make a distribution to a donor advised find over which the foundation or a disequalified person had advisory privileges? If Yes, Statch statement, See instructions 10 dr. 14 fembooks are in care of \$\frac{V(R)}{A}\$. Website address \$\infty \frac{N/A}{A}\$. Website address \$\infty \frac{N/A}{A}\$. 13 DID In the fembooks are in care of \$\frac{V(R)}{A}\$. Website address \$\infty \frac{N/A}{A}\$. 14 The books are in care of \$\frac{V(R)}{A}\$. Website address \$\infty \frac{N/A}{A}\$. 15 Section 4947(a)(f) inoncempt charitable rusis filing from 990-PF in lieu of From 1941 - check here and either the amount of tax-evering interest received or accreded during the year. 16 At any time during calendar year 2017, do the foundation have an interest in or a significant or or other authority over a bank, securities, or other financial account in a foreign country. 16 Section 4947(a)(f) inoncempt charitable rusis filing from 990-PF in lieu of From 1941 - check here and either time and or the received provided in the Yes' continued for the received provided in the Yes' column, unless an exception applies. 1a During the year, did the foundation (either directly or indirectly). (1) Engage in this sails or exchange, or leasing of property with a disripatible preson? (2) Sorrow money from, lend money to, or otherwise extend credit to (or accept it from) a dequalified person? (3) From 500, services, or facilities to (or accept them from) a dequalified person? (4) Pey compensation to, or pay or remitting the expenses of, a dequalitied person? (5) From 500, services, or facilities to (or accept them from) a dequalified person? (6) Agree to pay money or property to a government of marked any determined the provision of acception desarter assistance of the provision of acception of pay to a genera				Yes	No
12 Dithe foundation make a distribution to a dionic advised fund over which the foundation or a disquishfied person had advisory privileges?	11		1		v
If Yes, a flach statement. See instructions 3 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶ N/A 14 The books are in care of ▶ JUDITH C. STERN Located at 1 ► 676 NORTH MICHIGAN AVENUE, CHICAGO, IL 27P+4 ▶ 60611 15 Section 4947(2)(1) noneximpt charitable trusts filing form 990-PF in theu of Form 1941 - Check here and either the annunt of lax-exempt infrester received or accused during the year 16 Al any time during calandary year 2017, dot the foundation have an interest in or a signature or other acuthority over a bank, securities, or other financial accumular and received in the foundation have an interest in or a signature or other acuthority over a bank, securities, or other financial accumular and interest in or a signature or other acuthority over a bank, securities, or other financial accumular and interest in or a signature or other acuthority over a bank, securities, or other financial accumular and interest in or a signature or other acuthority over a bank, securities, or other financial accumular 2017, do the foundation in a foreign country? See the instructions for exceptions and filing requirements for Financial accumular? See the instructions of exceptions and filing requirements for Financial accumular? File Form 4720 if any film is checked in the "Yes" column, unless an exception applies. 1a During the year, did the foundation (letter directly or indirectly)? (1) Engages in the sale or exchange, or leasing of property with a designation of property. (2) Borrow maney from, lend money for, or indirectly or indirectly? (3) Firms time any incorine or asserts to a disqualified person? (4) Pay compensation fo, or pay or remburse the expenses of, a disqualified person? (5) Firansier any incorine or asserts to a disqualified person? (6) Agree to say immery or property to a government official? (Exception, Check "No" if the foundation agread or make a grant to or to employ the official? (Exception, Che	10		 	 	
13	12		12	1	y
Websita address N/A	10			Ÿ	<u> </u>
14 The books are in care or JUDITH C. STERN Telephone no. 312-787-2203 Located at 676 NORTH MICHIGAN AVENUE, CHICAGO IL ZIP+4 60611 Section 4947(3)(1) nonexempt charitable triusts films Form 990-PF in leu of Form 1041-check here and enter the amount of tax-exempt interest received or accrued during the year and enter the amount of tax-exempt interest received or accrued during the year and enter the amount of tax-exempt interest received or accrued during the year of the during calendary var 2017, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and thing requirements for FinCEN Form 114, If Yes,* enter the name of the formation of the property of the country. Yes No 12 burns; the securities of the foundation (either directly or indirectly): Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required Part VII-B Statements Regarding Activities for which Form 4720 May Be Required Part VII-B Statements Regarding Activities for which Form 4720 May Be Required Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required Part VII-B Statements Regarding Activities for which Form 4720 May Be Required Part VII-B Statements Regarding Activities for which Form 4720 May Be Required Part VII-B Statements Regarding Activities for which Form 4720 May Be Required Part VII-B Statements Regarding Activities for which Form 4720 May Be Required Part VII-B Statements Regarding Activities for which Form 4720 May Be Required Part VII-B Statements Regarding Activities for which Form 4720 May Be Required Regarding Activities for which Form 4720 May Be Required Re	13				<u> </u>
t.ceated at	14		7-2	203	
15 Section 4947(a)(1) nonexempt charitable truits filing form 980-PF in let of Form 1041 - check here and enter the amount of tax-exempt interest received or accrued during he year 16 At any time during calendar year 2017, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? 16 X A solution of the control of the c					
and emter the amount of that executiful interest received or accrued during the year At any time during calendar year 2017, did the foundation have an interest in or a signature or other authority over a bank, so other financial account in a foreign country? See the instructions for exceptions and filting requirements for FinCEN Form 114, If Yes,* enter the name of the foreign country. Part.VII-B. Statements Regarding Activities for Which Form 4720 May Be Required File Form 4720 if any term is checked in the "Yes" column, unless an exception applies. 1a During the year, did the foundation (either directly or indirectly): (1) Engage in the sale or exchange, or leasing of property with a disqualified person? (2) Borrow money from, lend money to, or otherwise extend credit to cor accept it from) a disqualified person? (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person (or make any of either available for the foreign of the person of the promise of the person of the person of the person of the foreign of the person of the perso	15			>	
Yes No			N	/A	
See the instructions for exceptions and filing requirements for FinCEN Form 114, If "Yes," enter the name of the foreign country Part. VII—8 Statements Regarding Activities for Which Form 4720 May Be Required File Form 4720 if any item is checked in the "Yes" column, unless an exception applies. 1a During the year, did the foundation (either directly or indirectly); (1) Engage in the sale or exchange, or leasing of property with a disqualified person? (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person, or otherwise extend credit to (or accept it from) a disqualified person, or addition of the sale or exchange, or leasing of property with a disqualified person? (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? (4) Pay compensation (or, or pay or reimburse the expenses of, a disqualified person? (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? (6) Agree to pay money or property to a government official? (Exception, Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) b If any answer is "Yes" to Ta(1)-(6), did any of the acts fall to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice reparding disaster assistance? See instructions b In a particular of the first day of the tax year beginning in 2017? 1b It was not a fall to discribite termination of government service, if termination of disaster assistance? See instructions Corporations relying on a current notice reparding disaster assistance? See instructions D In the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2017. 1a At the end of tax year 2017, did the foundation have any un	16			Yes	No
Part Vil-B Statements Regarding Activities for Which Form 4720 May Be Required		securities, or other financial account in a foreign country?	16		X
File Form 4720 if any item is checked in the "Yes" column, unless an exception applies. 1 During the year, did the foundation (either drevely or indirectly): (1) Engage in the sale or exchange, or leasing of property with a disqualified person? (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? (5) Transfer any income or assets to a disqualified person (or make any) of either available for the benefit or use of a disqualified person)? (6) Agree to pay money or property to a government official? (Exception, Check 'No' if the foundation agreed to make a grant to or to employ the official for a pend after termination of government service, if terminating within 90 days.) b If any answer is "se' to 1at [1-6], did any of the acts fail to quality under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance, check here c) Did the foundation engage in a pror year in any of the acts fail to quality under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance, check here c) Did the foundation engage in a pror year in any of the acts fail to quality under the exceptions described in Regulations and the section 4942(a) of 4942(f)(5): 1 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(a) of 4942(f)(5): 1 Taxes on failure to distribute income? (if applying section 4942(a)(2) to all years listed, answer "No' and attach statement - see instructions.) 1 Taxes of the tax year 2017, did the foundation is not applying the provisions of section 4942(a)(2) (relating to morreet valuation of assets) to the year's undistributed income? (if applying section 4942(a)(2) to all		See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the	8 4°		
File Form 4720 if any item is checked in the "Yes" column, unless an exception applies. 1a During the year, did the foundation (either directly) or indirectly. (1) Engage in the sale or exchange, or leasing of property with a disqualified person? (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person) or either available for the benefit or use of a disqualified person) or either available for the benefit or use of a disqualified person) or either available for the benefit or use of a disqualified person) or either available for the benefit or use of a disqualified person) or either available for the benefit or use of a disqualified person) (6) Agree to pay money or property to a government official? (Exception, Check 'No' if the foundation of powernment service, if terminating within 90 days.) b) If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 34841(4)-3 or in a current notice regarding disaster assistance, See instructions Organizations relying on a current notice regarding disaster assistance, check here c) Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2017? 2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(a) (a) and 4942		foreign country 🕨		A . F	
1a During the year, did the foundation (either directly or indirectly): (1) Engage in the sale or exchange, or leasing of property with a disqualified person? (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? (5) Transfer any income or assets to a disqualified person) [7] Ves No (6) Agree to pay money or property to a government official? (Exception, Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section \$3.4941(0)-3 or in a current notice regarding disaster assistance; See instructions DIAD Transfer plying on a current notice regarding disaster assistance; See instructions N/A Organizations relying on a current notice regarding disaster assistance; See instructions N/A Organizations relying on a current notice regarding disaster assistance; See instructions N/A Organizations relying on a current notice regarding disaster assistance; Alex the termination of government service, if terminating within 90 days.) 1b Are there any years isted on 4942(0)(3) or 4942(0)(5): a At the end of tax year 2017, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2017? If "Yes," list the years windistributed income? (If applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying the provisions of section 4943(c)(7)) to dispose of holdings account of the year's undistributed inco	Pa	irt VII-B Statements Regarding Activities for Which Form 4720 May Be Required	1500 - 10		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? (6) Agree to pay money or property to a government official? (Exception. Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) b If any answer is 'Yes' to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions Organizations relying on a current notice regarding disaster assistance, check here c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year regarding disaster assistance, check here c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year regarding disaster assistance? See instructions N/A 1b 1c X		File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.	* %	Yes	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? (6) Agree to pay money or property to a government official? (Exception. Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) b If any answer is 'Yes' to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions Organizations relying on a current notice regarding disaster assistance, check here c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year regarding disaster assistance, check here c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year regarding disaster assistance? See instructions N/A 1b 1c X	1a	During the year, did the foundation (either directly):			
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? (6) Agree to pay money or property to a government official? (Exception. Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) b If any answer is 'Yes' to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions Organizations relying on a current notice regarding disaster assistance, check here c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year regarding disaster assistance, check here c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year regarding disaster assistance? See instructions N/A 1b 1c X		(1) Engage in the sale or exchange, or leasing of property with a disqualified person?		7373	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? (6) Agree to pay money or property to a government official? (Exception. Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) b If any answer is 'Yes' to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions Organizations relying on a current notice regarding disaster assistance, check here c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year regarding disaster assistance, check here c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year regarding disaster assistance? See instructions N/A 1b 1c X		(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from)		\$.	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) b) If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions N/A Organizations relying on a current notice regarding disaster assistance? See instructions N/A 1b 1c 2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)): a At the end of tax year 2017, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2017? If "Yes," list the years b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) If If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. N/A 3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? Ay 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2017.) N/A 4a Did the foundation invest during the year any amount in a manner that would jeopar		C 310404111111 P 100 121 110			3
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) b) If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions N/A Organizations relying on a current notice regarding disaster assistance? See instructions N/A 1b 1c 2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)): a At the end of tax year 2017, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2017? If "Yes," list the years b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) If If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. N/A 3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? Ay 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2017.) N/A 4a Did the foundation invest during the year any amount in a manner that would jeopar			1 5		À
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.494 ((d)-3 or in a current notice regarding disaster assistance? See instructions Organizations relying on a current notice regarding disaster assistance? See instructions N/A 1b N/A 1c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2017? Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)); At the end of tax year 2017, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2017? If "Yes," list the years is listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. Ab Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? If Yes," did if have excess business holdings in 2017 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings accurred by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if t					
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.494 ((d)-3 or in a current notice regarding disaster assistance? See instructions Organizations relying on a current notice regarding disaster assistance? See instructions N/A 1b N/A 1c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2017? Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)); At the end of tax year 2017, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2017? If "Yes," list the years is listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. Ab Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? If Yes," did if have excess business holdings in 2017 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings accurred by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if t					
If the foundation agreed to make a grant to or to employ the official for a period after terrimination of government service, if terriminating within 90 days.) If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions N/A Organizations relying on a current notice regarding disaster assistance, check here Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2017? Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(i)(3) or 4942(i)(5)): At the end of tax year 2017, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2017? If "Yes," list the years Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) If "Yes," did it have excess business holdings in 2017 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2017) Ab Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purpose that had not been removed from jeopardy befo				ı (L	
termination of government service, if terminating within 90 days.) b If any answer is Yes' to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions N/A Organizations relying on a current notice regarding disaster assistance, check here c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2017? 2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)): a At the end of tax year 2017, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2017? If Yes, list the years b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer 'No' and attach statement - see instructions.) c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. b If Yes, 'did it have excess business holdings in 2017 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2017). Ab Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 20177? 4b Did the foundation inv					
section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions Organizations relying on a current notice regarding disaster assistance, check here c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2017? 2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)): a At the end of tax year 2017, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2017? If "Yes," list the years Yes X No b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) c if the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. 2					
section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions Organizations relying on a current notice regarding disaster assistance, check here c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2017? 2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)): a At the end of tax year 2017, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2017? If "Yes," list the years Yes X No b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) c if the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. 2	h				
Organizations relying on a current notice regarding disaster assistance, check here c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2017? 2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)); a At the end of tax year 2017, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2017? If "Yes," list the years Yes No b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) c if the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. 3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? b If "Yes," did it have excess business holdings in 2017 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2017). N/A 1b Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2017?			X	.2000.	
before the first day of the tax year beginning in 2017? 1 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)); a At the end of tax year 2017, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2017? If "Yes," list the years b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) 1 If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. 2 In the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? 2 In the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? 3 Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? 3 Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? 3 Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? 3 Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? 3 Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? 3 Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? 3 Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year any time duri			W 33	11%	1
before the first day of the tax year beginning in 2017? 1 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)); a At the end of tax year 2017, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2017? If "Yes," list the years b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) 1 If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. 2 In the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? 2 In the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? 3 Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? 3 Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? 3 Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? 3 Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? 3 Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? 3 Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? 3 Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year any time duri	C				
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(i)(3) or 4942(i)(5)): a At the end of tax year 2017, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2017? If Yes, "list the years \			1c	-da*	X
a At the end of tax year 2017, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2017? If "Yes," list the years b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. D If "Yes," did it have excess business holdings in 2017 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2017.) A Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2017?	2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation		*	3
before 2017? If "Yes," list the years I list the years sisted in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (if applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) IN/A If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. In yes X No If "Yes," did it have excess business holdings in 2017 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2017.) In yes X No If "Yes," lid it have excess business holdings in 2017 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2017.) In yes X No If "Yes X No If the provisions of section 4942(a)(2) (2) to all years listed, answer "No" and attach any time during the year any amount in any purchase by the foundation or disqualified persons after the foundation of the section 4943(c) (1) any purchase by the foundation or disqualified persons after the foundation in the year any amount in a manner that would peopardize its charitable purposes? If yes X No If Yes X No If Yes X No If Yes X No If Yes X					
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. 3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? b If "Yes," did it have excess business holdings in 2017 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2017.) 7a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2017?	a	At the end of tax year 2017, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning			
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.		before 2017?			
valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) c if the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? Dif "Yes," did it have excess business holdings in 2017 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2017.) N/A Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2017?		If "Yes," list the years	\$		
statement - see instructions.) c if the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. 3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? b If "Yes," did it have excess business holdings in 2017 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2017.) Applied the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2017?	p	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect			
c if the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. 3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? b If "Yes," did it have excess business holdings in 2017 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2017.) 4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? 4a X b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2017?			111	. XX	M.
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? b If "Yes," did it have excess business holdings in 2017 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2017.) 13b 14a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? 25b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2017?		·	2b	¥ .	X ×8.
Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? b If "Yes," did it have excess business holdings in 2017 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2017.) 10 Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? 11 Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2017?	C	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.			A V
during the year? b If "Yes," did it have excess business holdings in 2017 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2017.) 10 JA 11 JA 12 JA 13 JA 14 Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? 15 Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2017? 16 JA 17 JA 18	_	• <u> </u>	1	r.	, **
b If "Yes," did it have excess business holdings in 2017 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2017.) 1	3 a		[X]		` ´ ´ ´
of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2017.) 10 Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? 11 Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2017?	L				
of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2017.) 4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? 4a X b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2017? 4b X	O				
Form 4720, to determine if the foundation had excess business holdings in 2017.) 4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? 4a X b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2017? 4b X				, î	l i F
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? 4a X b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2017? 4b X				\$ 5	iiikii ·
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2017? 4b X	42				x
had not been removed from jeopardy before the first day of the tax year beginning in 2017? 4b X				9 1 '	1 46
			1 1	- 100	
)-PF	

RICHARD AND JUDITH STERN FAMILY FOUNDATION

Form 990-PF (2017) FOUNDATION			36-41592	17 Page 6
Part VII-B Statements Regarding Activities for Which F	orm 4720 May Be R	equired (contin	ued)	
 5a During the year, did the foundation pay or incur any amount to: Carry on propaganda, or otherwise attempt to influence legislation (section influence the outcome of any specific public election (see section 4955); or any voter registration drive? Provide a grant to an individual for travel, study, or other similar purposes Provide a grant to an organization other than a charitable, etc., organization 4945(d)(4)(A)? See instructions Provide for any purpose other than religious, charitable, scientific, literary, the prevention of cruelty to children or animals? If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify unsection 53.4945 or in a current notice regarding disaster assistance? See instructions relying on a current notice regarding disaster assistance, check if the answer is "Yes" to question 5a(4), does the foundation claim exemption freexpenditure responsibility for the grant? "Yes," attach the statement required by Regulations section 53.4945-5(d). Did the foundation, during the year, receive any funds, directly or indirectly, to a personal benefit contract? Did the foundation, during the year, pay premiums, directly or indirectly, on a pif "Yes" to 6b, file Form 8870. 	n 4945(e))? In to carry on, directly or indirectly or indirectly or indirectly or indirectly or indirectly or indirectly or educational purposes, or folder the exceptions described in a citions here from the tax because it maintain the pay premiums on the error on the error on the tax because it maintain the pay premiums on the error of the error on the error of the	octly, you or n Regulations ned	es X No es X No es X No es X No N/A N/A es No	6b X
7a At any time during the tax year, was the foundation a party to a prohibited tax s	helter transaction?	Y	es 🔼 No 🛐	
b If "Yes," did the foundation receive any proceeds or have any net income attribu			N/A	7b
Part VIII Information About Officers, Directors, Truster Paid Employees, and Contractors	es, Foundation Mar	nagers, Highly		
List all officers, directors, trustees, and foundation managers and tr	peir compensation			
The all officers and the actions and tour address managers and a	(b) Title, and average	(c) Compensation	(d) Contributions to	(e) Expense
(a) Name and address	hours per week devoted to position	(If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
	PRESIDENT/TRE	ASURER	İ	
676 NORTH MICHIGAN AVE. #3600		1	1	
CHICAGO, IL 60611	0.00	0.	0.	0.
	SECRETARY		1	
676 NORTH MICHIGAN AVE. #3600 CHICAGO, IL 60611	0.00	0.	0.	0.
	0.00 DIRECTOR	· · ·	0.	·
676 NORTH MICHIGAN AVE. #3600	DIRECTOR	j		
CHICAGO, IL 60611	0.00	l o.	0.	0.
2 Compensation of five highest-paid employees (other than those incl	(b) Title, and average	enter "NONE."	(d) Contributions to	(e) Expense
(a) Name and address of each employee paid more than \$50,000	hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	account, other allowances
NONE	-			
	<u> </u>	ļ		
				
		 		
		{	}	
		 	 	
			1	1
				
		1	1	
Total number of other employees paid over \$50,000			▶ \	0
4.2			Form	990-PF (2017)

Form 990-PF (2017) FOUNDATION	36-4159	217Page 7_
Part VIII Information About Officers, Directors, Trustees, Foundation Paid Employees, and Contractors (continued)	ation Managers, Highly	
3 Five highest-paid independent contractors for professional services. If none, enter	er "NONE."	
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
		<u> </u>
		
		1
		
		
Total number of others receiving over \$50,000 for professional services Part IX-A Summary of Direct Charitable Activities	<u></u>	0
List the foundation's four largest direct charitable activities during the tax year. Include relevant stati	etical information such as the	
number of organizations and other beneficiaries served, conferences convened, research papers pro		Expenses
1 N/A		
<u>,</u>		
2		
3		
, 		
4		
Part IX-B Summary of Program-Related Investments		
Describe the two largest program-related investments made by the foundation during the tax year or	lines 1 and 2.	Amount
1 <u>N/A</u>		
		0.
2		<u>-</u>
All other program-related investments. See instructions.	į.	
3 <u>N/A</u>		
		0.
Total. Add lines 1 through 3		
	For	rm 990-PF (2017)

Form 990-PF (2017)

F	Part X Minimum Investment Return (All domestic foundations must complete this part	t. Foreign found	dations	s, see instructions.)
1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		£ 1	
a		}	1a	0.
b	Average of monthly cash balances	[1b	195,171.
C	Fair market value of all other assets	្រែ	10	1,578,798.
d	Total (add lines 1a, b, and c)	[14_	1,773,969.
ę	Reduction claimed for blockage or other factors reported on lines 1a and	,		
	1c (attach detailed explanation)	0.		
2	Acquisition indebtedness applicable to line 1 assets		2	0.
3	Subtract line 2 from line 1d	[3_	1,773,969.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)		4	26,610.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	[5	1,747,359.
6	Minimum investment return. Enter 5% of line 5		6_	87,368.
P	foreign organizations, check here instructions) (Section 4942(j)(3) and (j)(5) private operating foreign organizations, check here instructions) (Section 4942(j)(3) and (j)(5) private operating foreign organizations, check here	foundations and	certain	
1	Minimum investment return from Part X, line 6		1	87,368.
2a	Tax on investment income for 2017 from Part VI, line 5	4.	1	
þ	Income tax for 2017. (This does not include the tax from Part VI.)			
C	Add lines 2a and 2b		20	_ 4.
3	Distributable amount before adjustments. Subtract line 2c from line 1	T T	3	87,364.
4	Recoveries of amounts treated as qualifying distributions		4	0.
5	Add lines 3 and 4		5	87,364.
6	Deduction from distributable amount (see instructions)		6	0.
7_	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1		7	87,364.
Ξ	Amounts and (malufus administrative supercess) to accomplish about the state of the		* 1	
1 a	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes: Expenses, contributions, gifts, etc total from Part I, column (d), line 26	3		83,981.
a b	Program-related investments - total from Part IX-B	<u> </u>	1a	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	 -	1b 2	
3	Amounts set aside for specific charitable projects that satisfy the:		100	
a	Suitability test (prior IRS approval required)	~	3a	
b	Cash distribution test (attach the required schedule)	<u> </u>	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8; and Part XIII, line 4	<u> </u>	4	83,981.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment	<u> </u>		03,301.
٠	income. Enter 1% of Part I, line 27b	,	5	4.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	 	6	83,977.
٠	Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether t	ـــ he foundation du		
	4940(e) reduction of tax in those years.	no roundation qu	umios II	or the section

Form **990-PF** (2017)

Form **990-PF** (2017)

Part XIII Undistributed Income (see instructions)			
	(a)	(b)	(c)	(d)
2 Day by the control of Cod 7 from Bort VI	Corpus	Years prior to 2016	2016	2017
1 Distributable amount for 2017 from Part XI, line 7				87,364.
2 Undistributed income, if any, as of the end of 2017	P 4	1 1 2		
a Enter amount for 2016 only	·	** ^ * * * * * * * *	0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2017.	1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1		3 1 1 4 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	
a From 2012				
b From 2013				
c From 2014				
d From 2015 32.				
e From 2016 29,369.				
f Total of lines 3a through e	29,401.			
4 Qualifying distributions for 2017 from				
Part XII, line 4: ►\$ 83,981.				
a Applied to 2016, but not more than line 2a	* 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2		0.	
b Applied to undistributed income of prior				
years (Election required - see instructions)		0.		
c Treated as distributions out of corpus				
(Election required - see instructions)	0.			
d Applied to 2017 distributable amount				83,981.
e Remaining amount distributed out of corpus	0.			2 202
5 Excess distributions carryover applied to 2017 (ff an amount appears in column (d), the same amount	3,383.			3,383.
must be shown in column (a))				
6 Enter the net total of each column as				
indicated below:	26.018.			
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	20,010.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years'				
undistributed income for which a notice of				
deficiency has been issued, or on which				
the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable		<u>-</u>		
amount - see instructions		0.		
e Undistributed income for 2016. Subtract line		* * * * * * * * *		
4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2017. Subtract				
lines 4d and 5 from line 1. This amount must				
be distributed in 2018				0.
7 Amounts treated as distributions out of				
corpus to satisfy requirements imposed by				
section 170(b)(1)(F) or 4942(g)(3) (Election				
may be required - see instructions)	0.			
8 Excess distributions carryover from 2012	_]			
not applied on line 5 or line 7	0.			·
9 Excess distributions carryover to 2018.	26 010			
Subtract lines 7 and 8 from line 6a	26,018.	* * * * * * * * * * * * * * * * * * * *	* * * * * * * * * * * * * * * * * * * *	
0 Analysis of line 9:				
a Excess from 2013 b Excess from 2014				
c Excess from 2015				
d Excess from 2016 26,018.				
e Excess from 2017				
	" " / ""	44. 4 3 17	* * * * * * * * * * * * * * * * * * *	. w 988

Form 990-PF (2017) FOUNDA'	rion			36-41	.59217 Page 10
Part XIV Private Operating F	oundations (see in	structions and Part VII	-A, question 9)	N/A	
1 a If the foundation has received a ruling	or determination letter tha	t it is a private operating			
foundation, and the ruling is effective f	or 2017, enter the date of	the ruling	▶ L		
b Check box to indicate whether the four	id <u>ation is a private operati</u>	ng foundation described i	n section	4942(j)(3) or 4	942(j)(5)
2 a Enter the lesser of the adjusted net	Tax year		Prior 3 years		
income from Part I or the minimum	(a) 2017	(b) 2016	(c) 2015	(d) 2014	(e) Total
investment return from Part X for					
each year listed	1				
b 85% of line 2a		 		 	
c Qualifying distributions from Part XII,	\ 	 	 	 	
line 4 for each year listed					ł
d Amounts included in line 2c not				 	
used directly for active conduct of	1		Į.		
exempt activities	1		l	1/	Į.
e Qualifying distributions made directly	<u></u>	 	 -	//	
• •			/	1	
for active conduct of exempt activities.				Ì	
Subtract line 2d from line 2c 3 Complete 3a, b, or c for the		 	 /	 	
alternative test relied upon:			/		1
a "Assets" alternative test - enter:				1	1
(1) Value of all assets		 	/		
(2) Value of assets qualifying			\$!
under section 4942(j)(3)(B)(i)		//-		 	
b "Endowment" alternative test - enter 2/3 of minimum investment return	}				ł
shown in Part X, line 6 for each year				}	
listed	<u> </u>	 _/	_	 	ļ
c "Support" alternative test - enter:				1	[
(1) Total support other than gross		./			
investment income (interest, dividends, rents, payments on	1 /	Î])	Ì
securities loans (section		1)	Ì
512(a)(5)), or royalties)		L	L		
(2) Support from general public					
and 5 or more exempt organizations as provided in		ł	{		
section 4942(j)(3)(B)(iii)		li .			
(3) Largest amount of support from					
an exempt organization		(ļ		
(4) Gross investment income	¢				
Part XV Supplementary Info	rmation (Comple	te this part only it	the foundation	had \$5,000 or moi	e in assets
at any time during t				·	
1 Information Regarding Foundation	on Managers:	 -			
a List any managers of the foundation wh		than 2% of the total contr	ihiltions received by the	foundation before the clos	e of any tax
year (but only if they have contributed i	nore than \$5,000). (See s	ection 507(d)(2).)	iodinono rodorvou by ano	10011001101101010101010	o or any san
JUDITH C. STERN					
b List any managers of the foundation wh	no own 10% or more of the	e stock of a cornoration (or an equally large portu	on of the ownership of a na	rtnership or
other entity) of which the foundation ha	is a 10% or greater interes	st.	or an equality large portion	on or the ownership of a pa	i illoromp of
NONE					
2 Information Regarding Contribut	ion Grant Gift Loan	Scholarship etc. Bre	Agrama.		
Check here ► X if the foundation				not account uncollected requi	note for funds. If
the foundation makes gifts, grants, etc.	to individuals or organiza	itions under other condition	ons, complete items 2a	h. c. and d	5515 101 101105. 11
a The name, address, and telephone num					
a The hame, address, and telephone hum	DEL OF EINAN AUGUESS OF UI	e person to whom applica	mons snould be address	seu.	
h. The form in which applications chould be		han and real real attended	and the state of the		
b The form in which applications should be	oe suummeu and intormat	non and materials they sh	ona wenge:		
e Any culture deadliness			 _		
c Any submission deadlines;	·				
d Any restrictions or limitations on award	s, such as by geographica	al areas, charitable fields,	kinds of institutions, or	other factors:	

Form 990-PF (2017) FOUNDATION

Part XV | Supplementary Information (c)

36-4159217 Page 11

3 Grants and Contributions Paid During the Ye		Daymort		
Recipient	If recipient is an individual.			
Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid duning the year	or substantial contributor	Todiploit	 	
Faio duffing the year				
STATEMENT 6		20		ı
STATEMENT 0		PC		77,805.
		 	 	
	ŀ	1	1	•
		}		
		}		
		ļ		
		Į.	(
		į.		
			Į į	
	 -	 	 	
		}		
		1		
		1		
		İ		
		1		
		}		
l				
			}	
Total	<u> </u>		► 3a	77,805.
b Approved for future payment				,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,
y approved for fatoro payment		1		
		}		
NONE		}		
		ł		
	i	ļ	Į į	
			l i	
		Ì		
		Ì]	
Total		'	▶ 3b	0.

Unrelated business income

(e) Related or exempt

Excluded by section 512, 513, or 514
(c)
(d)

Form 990-PF (2017)

Enter gross amounts unless otherwise indicated.

Analysis of Income-Producing Activities Part XVI-A

1 Program service revenue:	(a) Business code	(b) Amount	Exclu- sion code	(d) Amount	Related or exempt function income
å	-		┼	 	
b	- }		┼	 	
d			 		
e	-		†	 	
f	-		†	 	
g Fees and contracts from government agencies	_		\top		
2 Membership dues and assessments					
3 Interest on savings and temporary cash			1		
investments			14	399.	
4 Dividends and interest from securities					
5 Net rental income or (loss) from real estate:	2 % % 6	12 40 1 5	3		
a Debt-financed property			<u> </u>		
b Not debt-financed property			<u> </u>		
6 Net rental income or (loss) from personal	- (ļ		
property	 		↓		
7 Other investment income	 		-		
8 Gain or (loss) from sales of assets other			ł		
than inventory	<u> </u>		↓		
9 Net income or (loss) from special events			┼	 	
10 Gross profit or (loss) from sales of inventory				 	
11 Other revenue.	- (}		
a	-	- 	┼		
b			+		
c	- 		 		
d e	-		+		
12 Subtotal. Add columns (b), (d), and (e)		0.		399.	0.
13 Total. Add line 12, columns (b), (d), and (e)	<u></u>				399.
(See worksheet in line 13 instructions to verify calculations.)	r				
Part XVI-B Relationship of Activities		mplishment of Ex	empt	Purposes	
Line No. Explain below how each activity for which in			contrib	outed importantly to the accom	nplishment of
the foundation's exempt purposes (other that	n by providing fun	ds for such purposes).			
N/A					
		- 			
					
					
					
					
					
					
					
					
					
723621 01.03-18					Form 990-PF (2017)

		DATION					159217	Pa	ige 13
Part		egarding Transfers	s to and	Transactions ar	nd Relationsh	ips With Noncl	naritable		
4 0	Exempt Organ		f - 11			F04(-)	1, ,	Yes	No
(ot a Tra	I the organization directly or ind her than section 501(c)(3) orga insfers from the reporting found	nizations) or in section 527,	, relating to	political organizations?		on 501(c)	<u> </u>	3 %	
• •	Cash Other assets						1a(1) 1a(2)		X
	ner transactions:						, , , , , , , , , , , , , , , , , , ,	211	Ž.
(1)	Sales of assets to a noncharita	able exempt organization					1b(1)		X
٠.	Purchases of assets from a no	, -	ation				1b(2)		X
	Rental of facilities, equipment,	•					1b(3)	 	X
(4)	-	3					1b(4)		X
٠,	Loans or loan guarantees						1b(5)		X
	Performance of services or mo	•		1000			1b(6)		X
	aring of facilities, equipment, ma he answer to any of the above is				wave chow the fair n	arket value of the no	1c	ate	
	services given by the reporting t							cio,	
	umn (d) the value of the goods,	, other assets, or services re	ceived.						
(a) Line n	o (b) Amount involved	(c) Name of nonch		empt organization	(d) Description	of transfers, transactions	, and sharing arra	angemen	its
		<u>N</u>	1/A		_				
	 			_					
	 	 							
	+	 							
		 							
									
	 	 			 				
		ļ							
	 	 							
	 	 							
	 	 							
2a ls t	he foundation directly or indirec	athy affiliated with or related	to one or r	more tay-evemnt organi	zatione described				
ın s	ection 501(c) (other than section /es," complete the following sch	on 501(c)(3)) or in section 5 nedule.	27?				Yes	X] No
	(a) Name of org	ganization	<u>(</u> b) Type of organization		(c) Description of rela	tionship		
	N/A								
					<u> </u>				
	Under penalties of perjury, I declare						May the IRS o	iscuss t	his
Sign Here	and belief it is true, correct, and con	Stern	mer man taxpa	16/29/18	PRESID		return with the shown below	e prepare? See ins	er
	Signature of officer or trustee Print/Type preparer's na		afer's signa	Date	Title Date	Check If F	TIN		
De:-J			angi s siyilal		{	self- employed			
Paid Prepa	JOANN D. D		<u> </u>	(amasa)	06/25/18		P00771		
lise (OITTE TAX LI	ħ			Firm's EIN ► 86	-10657	72	

Use Only

Firm's address ▶ 111 S. WACKER DR

CHICAGO, IL 60606

FORM 990-PF INTE	REST ON	SAVINGS	AND TEM	PORARY	CASH	INVESTMENTS	STATEMENT 1
SOURCE			(A REVE PER B	NUE		(B) INVESTMENT INCOME	(C) ADJUSTED NET INCOME
INTEREST INCOME		•		399.		399.	
TOTAL TO PART I,	LINE 3	:		399.		399.	
FORM 990-PF			ACCOUNTI	NG FEES	 S		STATEMENT 2
DESCRIPTION			(A) PENSES R BOOKS	NET IN			(D) CHARITABLE E PURPOSES
TAX PREPARATION FI	EES		6,136.		0	•	6,136.
TO FORM 990-PF, PO	G 1, LN	16B	6,136.	· 	0	•	6,136.
FORM 990-PF			OTHER E	XPENSES	3		STATEMENT 3
DESCRIPTION			(A) PENSES R BOOKS	NET IN	3) IVEST- INCOME	(C) ADJUSTED NET INCOM	(D) CHARITABLE E PURPOSES
FILING FEES		-	25. 15.		0		25. 15.
CHECK ORDER FEE							40.

FORM 990-PF	OTHER DECREASES IN NET ASSETS OR FUND BALANCES	STATEMENT 4
DESCRIPTION		AMOUNT
CHICAGO BULLS	CHARITIES NON-DEDUCTIBLE PORTION	140.
TOTAL TO FORM	990-PF, PART III, LINE 5	140.

FORM 990-PF OTHER	INVESTMENTS		STATEMENT 5
DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
EMINENCE FUND LTD	COST	490,468.	1,611,281.
TOTAL TO FORM 990-PF, PART II, LINE 1	3	490,468.	1,611,281.

RICHARD & JUDITH STERN FAMILY FOUNDATION FEIN: 36-4159217 FORM 990-PF PART XV, GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR OR APPROVED FOR FUTURE PAYMENT 12/31/2017

STATEMENT 6

			roite parion	Purpose of	
Recipient	Address	Relationship	Status of Recipient	Contribution	Amount
20/20/20	P O Box 96669, Washington, DC 20090	None	PC	Unrestricted	100
Alzheimer's Disease Research	D 20871	None	PC	Unrestricted	200
American Cancer Society		None	PC	Unrestricted	200
American Committee for Shaare Zedek	18	None	PC	Unrestricted	100
American Foundation for the Blind	Suite 1102, NY, New York 10121	None	PC	Unrestricted	200
American Institute for Cancer Research		None	PC	Unrestricted	100
Ark, The		None	PC	Unrestricted	250
Art Institute of Chicago		None	PC	Unrestricted	2,500
Breast Cancer Charities of America	7381	None	PC	Unrestricted	30
Brown University		None	DG	Unrestricted	15,000
Chicago Bulls Charities	1901 W Madison St, Chicago, IL 60612	None	Ja	Unrestricted	1,860
Chicago Public Library Foundation		None	SA	Unrestricted	1,000
Compassion & Choices	22	None	Sd	Unrestricted	20
CSPI (Center for Science in the Public Interest)	12220 L St NW Suite 300, Washington, DC 20005	None	D _d	Unrestricted	110
Environmental Defense Fund		None	PC	Unrestricted	200
Friends of Yad Sarah	nue, 7th FI, New York, NY 10022	None	PC	Unrestricted	100
Greater Chicago Food Depository		None	PC	Unrestricted	150
Guide Dogs for the Blind	P O Box 151200, San Rafael, CA 94915	None	PC	Unrestricted	100
Human Rights Watch	8	None	PC	Unrestricted	200
Israel Children's Cancer Foundation		None	J _d	Unrestricted	100
Jewish United Fund of Metropolitan Chicago		None	PC	Unrestricted	25,000
National Foundation for Cancer Research	st Highway Suite 525 Bethesda MD 20814	None	PC	Unrestricted	250
National Park Foundation	20005	None	PC	Unrestricted	100
Nature Conservancy (The)	airfax Drive, Suite 100, Arlington, VA 22203	None	PC	Unrestricted	20
Newman Nation	Rd, Libertyville, IL 60048	None	Od	Unrestricted	200
Northwestern University		None	2d	Unrestricted	1,000
Paws for Purple Hearts	Ave, Rohnert Park, CA 94928-2041	None	Э	Unrestricted	20
Sun Valley Summer Symphony		None	od	Unrestricted	2,180
U S Fund for UNICEF	125 Maiden Lane, New York, NY 10038	None	PC	Unrestricted	125
Westmark School		None	PC	Unrestricted	10,000
WJC, American Section (World Jewish Congress))22	None	PC	Unrestricted	15,000
WTTW11 (Channel 11)	5400 N St Louis Ave, Chicago, IL 60625	None	ည	Unrestricted	1,000

Total Paid During the Year