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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.
▶ Information about Form 990-PF and its instructions is at *www.irs.gov/form990pf*.

OMB No 1545-0052

2017

Open to Public Inspection

For calendar year 2017, or tax year beginning 01-01-2017 , and ending 12-31-2017

Name of foundation
ROCK ISLAND REFINING FOUNDATION
NORMA L WINKLER TRUSTEE

A Employer identification number
35-6264479

Number and street (or P O box number if mail is not delivered to street address) 945 N PASADENA UNIT 23
Room/suite

B Telephone number (see instructions)
(480) 682-7109

City or town, state or province, country, and ZIP or foreign postal code
MESA, AZ 85201

C If exemption application is pending, check here ▶ ☐

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐
2 Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization ☑ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year *(from Part II, col (c), line 16)*▶$ 2,079,088

J Accounting method ☑ Cash ☐ Accrual ☐ Other (specify)
(Part I, column (d) must be on cash basis)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*

			(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)				
	2	Check ▶ ☑ if the foundation is **not** required to attach Sch B				
	3	Interest on savings and temporary cash investments	9,060	9,060	9,060	
	4	Dividends and interest from securities	33,522	33,522	33,522	
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	167,735			
	b	Gross sales price for all assets on line 6a 1,078,870				
	7	Capital gain net income (from Part IV, line 2)		167,735		
	8	Net short-term capital gain			15,925	
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)				
	12	**Total.** Add lines 1 through 11	210,317	210,317	58,507	
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc				
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)				
	b	Accounting fees (attach schedule)				
	c	Other professional fees (attach schedule)	8,900			
	17	Interest				
	18	Taxes (attach schedule) (see instructions)	594	330		
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses (attach schedule)	7,754	7,754		
	24	**Total operating and administrative expenses.** Add lines 13 through 23	17,248	8,084		0
	25	Contributions, gifts, grants paid	105,000			105,000
	26	**Total expenses and disbursements.** Add lines 24 and 25	122,248	8,084		105,000
	27	Subtract line 26 from line 12				
	a	**Excess of revenue over expenses and disbursements**	88,069			
	b	**Net investment income** (if negative, enter -0-)		202,233		
	c	**Adjusted net income**(if negative, enter -0-)			58,507	

Part II	**Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year (a) Book Value	End of year (b) Book Value	(c) Fair Market Value
Assets 1	Cash—non-interest-bearing	3,952	83,740	83,740
2	Savings and temporary cash investments	36,499	61,810	61,810
3	Accounts receivable ▶			
	Less allowance for doubtful accounts ▶	340		
4	Pledges receivable ▶			
	Less allowance for doubtful accounts ▶			
5	Grants receivable			
6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
7	Other notes and loans receivable (attach schedule) ▶			
	Less allowance for doubtful accounts ▶			
8	Inventories for sale or use			
9	Prepaid expenses and deferred charges			
10a	Investments—U S and state government obligations (attach schedule)	489,189	383,170	382,437
b	Investments—corporate stock (attach schedule)	736,879	440,306	659,384
c	Investments—corporate bonds (attach schedule)	130,498	127,583	127,327
11	Investments—land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶			
12	Investments—mortgage loans			
13	Investments—other (attach schedule)	316,603	755,316	764,390
14	Land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶			
15	Other assets (describe ▶)			
16	**Total assets** (to be completed by all filers—see the instructions Also, see page 1, item I)	1,713,960	1,851,925	2,079,088
Liabilities 17	Accounts payable and accrued expenses			
18	Grants payable			
19	Deferred revenue			
20	Loans from officers, directors, trustees, and other disqualified persons			
21	Mortgages and other notes payable (attach schedule)			
22	Other liabilities (describe ▶)			
23	**Total liabilities**(add lines 17 through 22)		0	
Net Assets or Fund Balances	**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
24	Unrestricted			
25	Temporarily restricted			
26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☑ and complete lines 27 through 31.			
27	Capital stock, trust principal, or current funds	1,674,845	1,724,741	
28	Paid-in or capital surplus, or land, bldg , and equipment fund			
29	Retained earnings, accumulated income, endowment, or other funds	39,115	127,184	
30	**Total net assets or fund balances** (see instructions)	1,713,960	1,851,925	
31	**Total liabilities and net assets/fund balances** (see instructions)	1,713,960	1,851,925	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,713,960
2	Enter amount from Part I, line 27a	2	88,069
3	Other increases not included in line 2 (itemize) ▶	3	49,896
4	Add lines 1, 2, and 3	4	1,851,925
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	1,851,925

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 a	SEE ATTACHED FIDELITY 1773	P		
b	SEE ATTACHED FIDELITY 1773	P		
c	SEE ATTACHED FIDELITY 1773	P		
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	262,350		246,425	15,925
b	673,468		571,754	101,714
c	141,939		92,956	48,983
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
a				15,925
b				101,714
c				48,983
d				
e				

2	Capital gain net income or (net capital loss) — If gain, also enter in Part I, line 7; If (loss), enter -0- in Part I, line 7	2	167,735
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	15,925

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☑ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2016	348,136	2,039,057	0 170734
2015	107,823	2,241,398	0 048105
2014	103,051	2,193,048	0 046990
2013	92,139	2,053,717	0 044865
2012	81,147	1,906,929	0 042554

2	**Total** of line 1, column (d)	2	0 353248
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 070650
4	Enter the net value of noncharitable-use assets for 2017 from Part X, line 5	4	2,032,368
5	Multiply line 4 by line 3	5	143,587
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	2,022
7	Add lines 5 and 6	7	145,609
8	Enter qualifying distributions from Part XII, line 4 , ...	8	105,000

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI **Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)**

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 Date of ruling or determination letter ________ **(attach copy of letter if necessary–see instructions)**				
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b			1	4,045
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)				
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			2	
3	Add lines 1 and 2			3	4,045
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			4	
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-			5	4,045
6	Credits/Payments				
a	2017 estimated tax payments and 2016 overpayment credited to 2017	6a	2,000		
b	Exempt foreign organizations—tax withheld at source	6b			
c	Tax paid with application for extension of time to file (Form 8868)	6c			
d	Backup withholding erroneously withheld	6d			
7	Total credits and payments Add lines 6a through 6d			7	4,045
8	Enter any **penalty** for underpayment of estimated tax Check here ☑ if Form 2220 is attached			8	4
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶			9	4
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶			10	
11	Enter the amount of line 10 to be **Credited to 2018 estimated tax** ▶	**Refunded** ▶		11	

Part VII-A **Statements Regarding Activities**

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		No
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)?	1b		No
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ▶ $ ________ **(2)** On foundation managers ▶ $ ________			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ________			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		No
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		No
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶IN			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation*	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2017 or the taxable year beginning in 2017 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		No
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		No

Part VII-A **Statements Regarding Activities** (continued)

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	

Website address ▶N/A

14 The books are in care of ▶ROSE STEWART Telephone no ▶(480) 682-7109

Located at ▶945 N PASADENA UNIT 23 MESA AZ ZIP+4 ▶85201

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —Check here . . . ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year . . . ▶ | 15 |

			Yes	No
16	At any time during calendar year 2017, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		No
	See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR) If "Yes", enter the name of the foreign country ▶			

Part VII-B **Statements Regarding Activities for Which Form 4720 May Be Required**

	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly)				
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☑ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☑ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☑ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☑ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☑ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days).	☐ Yes ☑ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?		1b		
	Organizations relying on a current notice regarding disaster assistance check here. ▶ ☐				
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2017?		1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))				
a	At the end of tax year 2017, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2017?	☐ Yes ☑ No			
	If "Yes," list the years ▶ 20____ , 20____ , 20____ , 20____				
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions)		2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ 20____ , 20____ , 20____ , 20____				
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☑ No			
b	If "Yes," did it have excess business holdings in 2017 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2017).*		3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2017?		4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (Continued)

5a	During the year did the foundation pay or incur any amount to				
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☑ No			
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☑ No			
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☑ No			
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 4945(d)(4)(A)? (see instructions)	☐ Yes ☑ No			
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☑ No			
b	If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)?		**5b**		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐				
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	☐ Yes ☐ No			
	If "Yes," attach the statement required by Regulations section 53 4945–5(d)				
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes ☑ No			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		**6b**		No
	If "Yes" to 6b, file Form 8870				
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes ☑ No			
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?		**7b**		

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NORMA WINKLER 945 N PASADENA UNIT 23 MESA, AZ 85201	ADMIN DIRECT 2 00	0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000. . . . . ▶

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over $50,000 for professional services. . . . . ▶

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments See instructions	
3	
Total. Add lines 1 through 3 . . . . ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part Foreign foundations,see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities	1a	1,970,318
b	Average of monthly cash balances	1b	93,000
c	Fair market value of all other assets (see instructions)	1c	0
d	**Total** (add lines 1a, b, and c)	1d	2,063,318
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) — 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	2,063,318
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	30,950
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	2,032,368
6	**Minimum investment return.** Enter 5% of line 5	6	101,618

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6			1	101,618
2a	Tax on investment income for 2017 from Part VI, line 5	2a	4,045		
b	Income tax for 2017 (This does not include the tax from Part VI)	2b			
c	Add lines 2a and 2b			2c	4,045
3	Distributable amount before adjustments Subtract line 2c from line 1			3	97,573
4	Recoveries of amounts treated as qualifying distributions			4	
5	Add lines 3 and 4			5	97,573
6	Deduction from distributable amount (see instructions)			6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1			7	97,573

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26	1a	105,000
b	Program-related investments—total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	105,000
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	105,000

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2016	(c) 2016	(d) 2017
1 Distributable amount for 2017 from Part XI, line 7				97,573
2 Undistributed income, if any, as of the end of 2017				
a Enter amount for 2016 only.				
b Total for prior years 20___, 20___, 20___				
3 Excess distributions carryover, if any, to 2017				
a From 2012.				
b From 2013.				
c From 2014.				
d From 2015.				
e From 2016. 146,040				
f **Total** of lines 3a through e.	146,040			
4 Qualifying distributions for 2017 from Part XII, line 4 ▶ $ 105,000				
a Applied to 2016, but not more than line 2a				
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).				
d Applied to 2017 distributable amount.				97,573
e Remaining amount distributed out of corpus	7,427			
5 Excess distributions carryover applied to 2017 *(If an amount appears in column (d), the same amount must be shown in column (a))*				
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	153,467			
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b Taxable amount —see instructions				
e Undistributed income for 2016 Subtract line 4a from line 2a Taxable amount—see instructions				
f Undistributed income for 2017 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2018				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)				
8 Excess distributions carryover from 2012 not applied on line 5 or line 7 (see instructions)				
9 **Excess distributions carryover to 2018.** Subtract lines 7 and 8 from line 6a	153,467			
10 Analysis of line 9				
a Excess from 2013.				
b Excess from 2014.				
c Excess from 2015.				
d Excess from 2016. 146,040				
e Excess from 2017. 7,427				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2017, enter the date of the ruling. . . . . . . ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2017	(b) 2016	(c) 2015	(d) 2014	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter **(1)** Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test—enter **(1)** Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had $5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☑ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or email address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV **Supplementary Information** (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year* See Additional Data Table				
Total . . . . ▶ **3a**				105,000
b *Approved for future payment*				
Total . . . . ▶ **3b**				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments. . . .					
3 Interest on savings and temporary cash investments					9,060
4 Dividends and interest from securities. . . .			14	33,522	
5 Net rental income or (loss) from real estate					
a Debt-financed property.					
b Not debt-financed property.					
6 Net rental income or (loss) from personal property					
7 Other investment income.					
8 Gain or (loss) from sales of assets other than inventory			18	118,752	48,983
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e). .				152,274	58,043

13 **Total.** Add line 12, columns (b), (d), and (e). **13** 210,317

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)

Part XVII **Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501 (c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of			
(1) Cash.	**1a(1)**		**No**
(2) Other assets.	**1a(2)**		**No**
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization.	**1b(1)**		**No**
(2) Purchases of assets from a noncharitable exempt organization.	**1b(2)**		**No**
(3) Rental of facilities, equipment, or other assets.	**1b(3)**		**No**
(4) Reimbursement arrangements.	**1b(4)**		**No**
(5) Loans or loan guarantees.	**1b(5)**		**No**
(6) Performance of services or membership or fundraising solicitations.	**1b(6)**		**No**
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees.	**1c**		**No**

d If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line No	**(b)** Amount involved	**(c)** Name of noncharitable exempt organization	**(d)** Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☑ No

b If "Yes," complete the following schedule

(a) Name of organization	**(b)** Type of organization	**(c)** Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

******	2018-07-09	******
Signature of officer or trustee	Date	Title

May the IRS discuss this return with the preparer shown below (see instr)? ☑ **Yes** ☐ **No**

Paid Preparer Use Only

Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ▶ ☑	PTIN
SARA E SMITH		2018-07-09		P00013667
Firm's name ▶ MGA				Firm's EIN ▶27-4082364
Firm's address ▶ 3901 W 86TH ST STE 330 INDIANAPOLIS, IN 462681797				Phone no (317) 614-7299

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
500 FESTIVAL21 VIRGINIA AVENUE INDIANAPOLIS, IN 46204		PC	TO SUPPORT THE 500 FESTIVAL	1,000
CENTRAL INDIANA POLICE FOUNDATION 1525 SOUTH SHELBY STREET INDIANAPOLIS, IN 46203		PC	TO SUPPORT INDIANA POLICEMAN	5,000
COBURN PLACE SAFE HAVEN 604 E 38TH ST INDIANAPOLIS, IN 46205		PC	HELPING THOSE IMPACTED BY DOMESTIC	2,500
COLLEGE MENTORS FOR KIDS 212 WEST 10TH STREET INDIANAPOLIS, IN 46202		PC	TO HELP KIDS RECEIVE MENTORS	2,000
CRISTO REY HIGH SCHOOL 75 NORTH BELLEVUE ROAD INDIANAPOLIS, IN 46222		PC	TO SUPPORT THE HIGH SCHOOL	2,500
Total . . . . ▶ 3a				105,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
CROSSROADS OF AMERICA COUNCIL BOY SCOUNTS OF AMERICA INC 7125 FALL CREEK RD NORTH INDIANAPOLIS, IN 46256		PC	TO PREPARE YOUNG PEOPLE	5,000
FAIRBANKS8102 CLEARVISTA PARKWAY INDIANAPOLIS, IN 46256		PC	TO PROVIDE HOPE & RECOVERY	2,500
GLEANERS FOOD BANK OF INDIANA INC 3737 WALDEMERE AVE INDIANAPOLIS, IN 46241		PC	TO HELP PROVIDE FOOD FOR PEOPLE	2,500
HEARTLAND TRULY MOVING PICTURES INC (A/K/A HEARTLAND FILM INC) 1043 VIRGINIA AVE NO 2 INDIANAPOLIS, IN 46203		PC	TO SUPPORT INDIANA FILMMAKERS	10,000
HVAF OF INDIANA INC 964 N PENNSYLVANIA ST INDIANAPOLIS, IN 46204		PC	TO ASSIST HOMELESS	5,000
Total . . . . ▶ **3a**				105,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
INDIANA HISTORICAL SOCIETY 450 WEST OHIO STREET INDIANAPOLIS, IN 46202		PC	TO PRESERVE HISTORY	6,000
INDIANA LIONS FOR LEADER DOG INC 8780 PURDUE ROAD INDIANAPOLIS, IN 46268		PC	TO HELP THE BLIND	5,000
INDIANAPOLIS LEGAL AID 615 N ALABAMA ST 122 INDIANAPOLIS, IN 46204		PC	LOW INCOME LEGAL SERVICES	4,500
INDIANAPOLIS SYMPHONY ORCHESTRA INC 32 E WASHINGTON ST STE 600 INDIANAPOLIS, IN 462042919		SO III FI	TO SUPPORT THE INDIANA SYMPHONY	5,000
IVY TECH FOUNDATION 50 W FALL CREEK PKWY N DR INDIANAPOLIS, IN 462085752		PC	TO SUPPORT THE COLLEGE	2,500
Total . . . . ▶ 3a				105,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
LITTLE SISTERS OF THE POOR OF INDIANA INC2345 W 86TH ST INDIANAPOLIS, IN 462601981		PC	TO SUPPORT ST AUGUSTINE'S HOME	5,000
MIRACLE PLACE COMMUNITY CENTER 940 N TEMPLE AVE INDIANAPOLIS, IN 46201		PC	TO FUND COMMUNITY CENTER WITH CHILDR	5,000
MORNING DOVE THERAPEUTIC RIDING PO BOX 721 ZIONSVILLE, IN 46077		PC	TO SUPPORT THERAPEUTIC RIDING	2,500
SALVATION ARMY3100 N MERIDIAN ST INDIANAPOLIS, IN 462084718		PC	TO AID PEOPLE IN BUILDING NEW LIVES	500
SCHOOL ON WHEELS 2605 EAST 62ND STREET INDIANAPOLIS, IN 46220		PC	HELPING TRANSFORM LIVES	1,000
Total . . . . ▶ **3a**				105,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
ST JOSEPH INSTITUTE FOR THE DEAF 1809 CLARKSON CHESTERFIELD, MO 63017		PC	TO SUPPORT LANGUAGE SERVICES	5,000
UNITED WAY OF CENTRAL INDIANA INC PO BOX 88409 INDIANAPOLIS, IN 46208		PC	TO HELP PEOPLE LEARN MORE ABOUT SAFE	10,000
WAYNE RAILROAD HISTORICAL SOCIETY PO BOX 11017 FT WAYNE, IN 46855		PC	TO PRESERVE HISTORY	5,000
WHITE WATER VALLEY RAILROAD 455 MARKET STREET CONNERSVILLE, IN 47331		PC	TO SUPPORT THE RAILROAD	5,000
WOUNDED WARRIOR PROJECT INC 4899 BELFORT ROAD STE 300 JACKSONVILLE, FL 32256		PC	TO HONOR AND EMPOWER WOUNDED SOLDIER	5,000
Total . . . . ▶ **3a**				105,000

TY 2017 Investments Corporate Bonds Schedule

Name: ROCK ISLAND REFINING FOUNDATION
NORMA L WINKLER TRUSTEE

EIN: 35-6264479

Investments Corporate Bonds Schedule

Name of Bond	End of Year Book Value	End of Year Fair Market Value
DUKE ENERGY CAROLINAS LLC BOND	26,239	26,121
ORACLE CORP NOTE	26,105	26,066
PHILLIP MORRIS INTL INC BOND	25,092	24,953
WELLS FARGO CO MTN BE	25,001	24,997
IBM NOTE	25,146	25,190

TY 2017 Investments Corporate Stock Schedule

Name: ROCK ISLAND REFINING FOUNDATION
NORMA L WINKLER TRUSTEE

EIN: 35-6264479

Name of Stock	End of Year Book Value	End of Year Fair Market Value
ADVANSIX		
AETNA INC NEW COM	4,600	11,725
AFFILIATED MANAGERS GROUP	11,965	15,394
ALLERGAN	16,163	11,778
AMBARELLA INC		
AMERICAN EXPRESS	10,575	14,400
AMERICAN INTL GROUP INC COM NEW	13,591	13,108
AMERICSOURCEBERGEN CORP		
APPLE INC COM	1,493	20,308
AT&T INC COM	10,619	16,135
BOEING	1,056	7,373
BRISTOL MYERS SQUIBB	6,455	7,047
CABOT OIL & GAS CP COM	12,327	17,875
CAMECO CORP COM NPV ISIN		
CHART INDS INC COM PAR .01	6,383	8,435
CHECKPOINT	5,443	7,253
CHEVRON CORP NEW COM	2,700	16,150
CITIZENS FINL GROUP INC COM	12,804	24,558
COACH INC		
COGNIZANT TECH SOLUTIONS CORP	6,858	8,167
COMPASS MINERALS INTL INC	13,443	14,450
CONOCOPHILLIPS	11,858	15,095
CVS HEALTH CORP COM (CVS)	4,027	12,688
DIAGEO ADR		
DICKS SPORTING GOODS INC COM	17,363	16,526
DISCOVERY COMMUNICATIONS	6,478	8,468
EXXON MOBIL CORP COM	10,184	13,382
FIRST SOLAR INC COM	6,535	12,154
GALLAGHER ARTHUR J	11,852	17,718
GENERAL ELECTRIC	6,442	5,584

Name of Stock	End of Year Book Value	End of Year Fair Market Value
GILEAD SCIENCES INC	18,075	15,044
HAIN CELESTIAL	11,621	13,989
HANESBRANDS INC COM	8,710	15,473
HARTFORD FINL SVCS GROUP INC	9,882	16,040
HONEYWELL INTL INC COM	2,606	14,569
INTL BUSINESS MACHINES	11,634	12,274
IQVIA HLDGS INC COM	6,601	7,832
JOHNSON CONTROLS INTERNATIONAL PLC	12,682	11,433
KEYCORP NEW	10,461	17,043
MATTEL INC		
MERCK & CO INC NEW COM	4,908	8,159
MICRON TECHNOLOGY		
ORACLE CORP COM	5,730	15,130
PNC FINL SVCS GROUP INC COM	6,557	15,150
PRINCIPAL FINL GROUP INC COM	8,133	19,404
PROCTER & GAMBLE CO		
PULTEGROUP INC	10,916	19,618
QUALCOMM INC	12,361	14,084
SHIRE PLC		
STERICYCLE	16,640	15,298
SYNCHRONY FINANCIAL COM		
TAILORED BRANDS INC		
TEVA PHARMACEUTICAL INDUSTRIES		
TIME WARNER INC NEW COM NEW		
TOPBUILD CORP	7,478	17,420
UNITED TECHNOLOGIES CORP COM	5,719	15,308
WALMART STORES	10,060	14,813
WESTERN DIGITAL CORP	6,558	13,122
WOLVERINE WORLD WIDE INC	4,396	9,245
ZIMMER BIOMET HLDGS INC COM	12,558	15,084

Name of Stock	End of Year Book Value	End of Year Fair Market Value
KROGER CO COM	11,822	14,137
NCR CORP	6,376	6,798
VERIZON COMMUNICATIONS	6,608	7,146

TY 2017 Investments Government Obligations Schedule

Name:	ROCK ISLAND REFINING FOUNDATION NORMA L WINKLER TRUSTEE
EIN:	35-6264479
US Government Securities - End of Year Book Value:	272,516
US Government Securities - End of Year Fair Market Value:	272,296
State & Local Government Securities - End of Year Book Value:	110,654
State & Local Government Securities - End of Year Fair Market Value:	110,141

TY 2017 Investments - Other Schedule

Name: ROCK ISLAND REFINING FOUNDATION
NORMA L WINKLER TRUSTEE

EIN: 35-6264479

Investments Other Schedule 2

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
CALAMOS MARKET NEUTRAL INCOME CL		20,000	19,713
CARE CAP PPTYS INC COM	AT COST		
CAROLLON REAMS UNCONSTRAINED BOND I		40,018	39,865
DBX ETF TR XTRACK MSCI EAFE		30,002	29,555
DIAMOND HILL LONG/SHRT FUND CL I		30,018	30,591
FIDELITY ADVISOR REAL ESTATE INCOME		20,000	19,526
GABELLI ABC FUND - ADVISOR CLASS		30,018	30,206
ISHARES CORE S&P MID CAP		50,118	52,190
ISHARES CORE S&P SMALL-CAP		49,971	52,615
ISHARES S&P MID CAP 400 GROWTH		50,204	51,799
ISHARES TIPS BOND ETF	AT COST	34,552	34,794
ISHARES TR MSCI ACWI EX US		100,106	100,899
JP MORGAN - BACKED SECURITIES FUND		55,882	53,691
OMEGA HEALTHCARE INVS INC COM	AT COST	4,171	8,758
PNC INTERNATIONAL EQUITY FD INSTL		70,100	71,207
SIMON PPTY GRP INC		12,808	13,739
SPDR SERIES TRUST BLMBRG BRC CNVRT		10,056	9,867
STONE RIDGE HIGH YLD REINSRNCE RSK		20,518	20,633
VANGUARD GNMA FUND		25,835	24,417
VANGUARD HI YIELD CORPORATE ADMIRAL		35,326	35,429
VANGUARD INDEX FDS VANGUARD REIT		9,968	9,709
VIRTUS SEIX FLOATING RATE HIGH INCOM		20,000	20,000
WELLS FARGO ADVTG SHORT-TERM HIGH		35,645	35,187

TY 2017 Other Expenses Schedule

Name: ROCK ISLAND REFINING FOUNDATION
NORMA L WINKLER TRUSTEE

EIN: 35-6264479

Other Expenses Schedule

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
EXPENSES				
INVESTMENT FEES	7,706	7,706		
BANK SERVICE FEES	48	48		

efile GRAPHIC print - DO NOT PROCESS	As Filed Data -	DLN: 93491205004168

TY 2017 Other Increases Schedule

Name: ROCK ISLAND REFINING FOUNDATION
NORMA L WINKLER TRUSTEE

EIN: 35-6264479

Description	Amount
COST BASIS ADJUSTMENT	49,896

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TY 2017 Other Professional Fees Schedule

Name: ROCK ISLAND REFINING FOUNDATION
NORMA L WINKLER TRUSTEE

EIN: 35-6264479

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
BOOKKEEPING FEES	6,000			
LEGAL FEES	2,900			

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TY 2017 Taxes Schedule

Name: ROCK ISLAND REFINING FOUNDATION
NORMA L WINKLER TRUSTEE

EIN: 35-6264479

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FEDERAL TAXES	264			
FOREIGN TAXES PAID	330	330		