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Form **990-PF**

Return of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation

OMB No 1545-0052

2017

Open to Public Inspection

Department of the Treasury
Internal Revenue Service

- Do not enter social security numbers on this form as it may be made public.
- Go to www.irs.gov/Form990PF for instructions and the latest information.

For calendar year 2017 or tax year beginning , and ending

Name of foundation The Cummins Foundation Inc			A Employer identification number 35-6042373	
Number and street (or P O box number if mail is not delivered to street address) Box 3005, M/C 60113		Room/suite	B Telephone number (see instructions) (812) 377-3321	
City or town, state or province, country, and ZIP or foreign postal code Columbus IN 47202-3005				
Foreign country name		Foreign province/state/county	Foreign postal code	
G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change				
H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation				
I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶ \$ 38,847,261		J Accounting method ☐ Cash ☒ Accrual ☐ Other (specify) _____		
F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐				

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)	9,656,700			
	2 Check ☐ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	6,293	6,293	6,293	
	4 Dividends and interest from securities	684,793	684,793	684,793	
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	-64,959			
	b Gross sales price for all assets on line 6a	43,067,797			
	7 Capital gain net income (from Part IV, line 2)				
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
Operating and Administrative Expenses	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)	37,005			
	12 Total. Add lines 1 through 11	10,319,832	691,086	691,086	
	13 Compensation of officers, directors, trustees, etc				
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)	15,175			
	c Other professional fees (attach schedule)	1,097,950			
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	11,000			
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings	2,500			
	22 Printing and publications				
	23 Other expenses (attach schedule)	51,373	42,363	42,363	
	24 Total operating and administrative expenses. Add lines 13 through 23	1,177,998	42,363	42,363	0
	25 Contributions, gifts, grants paid	11,946,946			12,794,996
	26 Total expenses and disbursements. Add lines 24 and 25	13,124,944	42,363	42,363	12,794,996
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	-2,805,112			
	b Net investment income (If negative, enter -0-)		648,723		
	c Adjusted net income (If negative, enter -0-)			648,723	

For Paperwork Reduction Act Notice, see instructions.

Form **990-PF** (2017)

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value		
Assets	1 Cash—non-interest-bearing	435,547	1,710,331	1,710,331		
	2 Savings and temporary cash investments					
	3 Accounts receivable ▶ Less: allowance for doubtful accounts ▶					
	4 Pledges receivable ▶ Less: allowance for doubtful accounts ▶					
	5 Grants receivable					
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)					
	7 Other notes and loans receivable (attach schedule) ▶ Less: allowance for doubtful accounts ▶					
	8 Inventories for sale or use					
	9 Prepaid expenses and deferred charges	500	500	500		
	10a Investments—U S and state government obligations (attach schedule)					
	b Investments—corporate stock (attach schedule)					
	c Investments—corporate bonds (attach schedule)	41,983,501	37,073,194	37,073,194		
	11 Investments—land, buildings, and equipment basis ▶ Less: accumulated depreciation (attach schedule) ▶					
	12 Investments—mortgage loans					
	13 Investments—other (attach schedule)					
	14 Land, buildings, and equipment basis ▶ Less: accumulated depreciation (attach schedule) ▶					
15 Other assets (describe ▶ Interest Receivable)	80,875	63,236	63,236			
16 Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	42,500,423	38,847,261	38,847,261			
Liabilities	17 Accounts payable and accrued expenses					
	18 Grants payable	2,091,515	1,243,465			
	19 Deferred revenue					
	20 Loans from officers, directors, trustees, and other disqualified persons					
	21 Mortgages and other notes payable (attach schedule)					
	22 Other liabilities (describe ▶)					
	23 Total liabilities (add lines 17 through 22)	2,091,515	1,243,465			
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here and complete lines 24 through 26, and lines 30 and 31. ☒					
	24 Unrestricted	40,408,908	37,603,796			
	25 Temporarily restricted					
	26 Permanently restricted					
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ☐					
	27 Capital stock, trust principal, or current funds					
	28 Paid-in or capital surplus, or land, bldg, and equipment fund					
	29 Retained earnings, accumulated income, endowment, or other funds					
	30 Total net assets or fund balances (see instructions)	40,408,908	37,603,796			
31 Total liabilities and net assets/fund balances (see instructions)	42,500,423	38,847,261				

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	40,408,908
2 Enter amount from Part I, line 27a	2	-2,805,112
3 Other increases not included in line 2 (itemize) ▶	3	
4 Add lines 1, 2, and 3	4	37,603,796
5 Decreases not included in line 2 (itemize) ▶	5	
6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	37,603,796

Part IV Capital Gains and Losses for Tax on Investment Income

a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		b) How acquired P—Purchase D—Donation	c) Date acquired (mo , day, yr)	d) Date sold (mo , day, yr)
1a See Attached Schedule - Exhibit F				
b				
c				
d				
e				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) ((e) plus (f) minus (g))	
a 43,067,797		43,132,756	-64,959	
b				
c				
d				
e				
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69				(i) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any		
a			-64,959	
b				
c				
d				
e				
2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }			2	-64,959
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) See instructions If (loss), enter -0- in Part I, line 8 }			3	-32,760

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation doesn't qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2016	8,200,415	42,473,599	0.193071
2015	10,338,344	40,628,188	0.254462
2014	7,868,859	38,334,026	0.205271
2013	7,190,373	41,837,142	0.171866
2012	7,328,286	43,890,946	0.166966
2 Total of line 1, column (d)			2 0.991636
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years			3 0.198327
4 Enter the net value of noncharitable-use assets for 2017 from Part X, line 5			4 42,901,500
5 Multiply line 4 by line 3			5 8,508,526
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 6,487
7 Add lines 5 and 6			7 8,515,013
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions			8 12,794,996

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1 Date of ruling or determination letter. (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b	1	6,487
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations, enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-)	2	0
3	Add lines 1 and 2	3	6,487
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-)	4	
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	6,487
6	Credits/Payments		
a	2017 estimated tax payments and 2016 overpayment credited to 2017	6a	12,974
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d	7	12,974
8	Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	0
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	6,487
11	Enter the amount of line 10 to be Credited to 2018 estimated tax 6,487 Refunded	11	0

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition. If the answer is "Yes" to 1a or 1b , attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation \$ (2) On foundation managers \$		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		N/A
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by <i>General Instruction T</i>		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered. See instructions. INDIANA		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by <i>General Instruction G</i> ? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2017 or the tax year beginning in 2017? See the instructions for Part XIV. If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule See instructions	11	Yes	No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement See instructions	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <u>www.cummins.com</u>	13	X	
14	The books are in care of ► <u>Lyndsey Bannick</u> Telephone no ► <u>(812) 377-7151</u> Located at ► <u>Cummins Inc, 301 Jackson St, Columbus, IN</u> ZIP+4 ► <u>47201</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041—check here and enter the amount of tax-exempt interest received or accrued during the year ► 15			
16	At any time during calendar year 2017, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114 If "Yes," enter the name of the foreign country ►	16	Yes	No
				X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a	During the year, did the foundation (either directly or indirectly)		Yes	No
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No		
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	☐ Yes ☒ No		
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions Organizations relying on a current notice regarding disaster assistance, check here ► ☐	1b	N/A	
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2017?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2017, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2017? If "Yes," list the years ► 20____, 20____, 20____, 20____	☐ Yes ☒ No		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions)	2b	N/A	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ► 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No		
b	If "Yes," did it have excess business holdings in 2017 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2017)	3b	N/A	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2017?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a	During the year, did the foundation pay or incur any amount to			
(1)	Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☒ No		
(2)	Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☒ No		
(3)	Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☒ No		
(4)	Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions	☐ Yes ☒ No		
(5)	Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☒ No		
b	If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions		5b	N/A
	Organizations relying on a current notice regarding disaster assistance, check here	☐		
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	☐ Yes ☒ No		
	If "Yes," attach the statement required by Regulations section 53.4945–5(d)			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes ☒ No		
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		6b	
	If "Yes" to 6b, file Form 8870			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes ☒ No		
b	If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?		7b	N/A

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1 List all officers, directors, trustees, and foundation managers and their compensation. See instructions.**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Exhibit B		0		

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000



Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)***3 Five highest-paid independent contractors for professional services. See instructions. If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services		

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 None	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3	0

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	42,281,664
b	Average of monthly cash balances	1b	1,273,158
c	Fair market value of all other assets (see instructions)	1c	
d	Total (add lines 1a, b, and c)	1d	43,554,822
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	43,554,822
4	Cash deemed held for charitable activities. Enter 1½ % of line 3 (for greater amount, see instructions)	4	653,322
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	42,901,500
6	Minimum investment return. Enter 5% of line 5	6	2,145,075

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	2,145,075
2a	Tax on investment income for 2017 from Part VI, line 5	2a	12,974
b	Income tax for 2017 (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	12,974
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	2,132,101
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	2,132,101
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	2,132,101

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1a	12,794,996
b	Program-related investments—total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	12,794,996
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions	5	
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	12,794,996

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2016	(c) 2016	(d) 2017
1 Distributable amount for 2017 from Part XI, line 7				2,132,101
2 Undistributed income, if any, as of the end of 2017:				
a Enter amount for 2016 only			0	
b Total for prior years 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2017:				
a From 2012	5,141,490			
b From 2013	5,107,503			
c From 2014	5,960,776			
d From 2015	8,311,341			
e From 2016	6,088,279			
f Total of lines 3a through e	30,609,389			
4 Qualifying distributions for 2017 from Part XII, line 4 ▶ \$ 12,794,996				
a Applied to 2016, but not more than line 2a				
b Applied to undistributed income of prior years (Election required—see instructions)				
c Treated as distributions out of corpus (Election required—see instructions)				
d Applied to 2017 distributable amount				2,132,101
e Remaining amount distributed out of corpus	10,662,895			
5 Excess distributions carryover applied to 2017 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	41,272,284			
b Prior years' undistributed income Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount—see instructions				
e Undistributed income for 2016 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2017 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2018				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required—see instructions)				
8 Excess distributions carryover from 2012 not applied on line 5 or line 7 (see instructions)	5,141,490			
9 Excess distributions carryover to 2018. Subtract lines 7 and 8 from line 6a	36,130,794			
10 Analysis of line 9:				
a Excess from 2013	5,107,503			
b Excess from 2014	5,960,776			
c Excess from 2015	8,311,341			
d Excess from 2016	6,088,279			
e Excess from 2017	10,662,895			

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
		(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1	Program service revenue					
a						
b						
c						
d						
e						
f						
g	Fees and contracts from government agencies					
2	Membership dues and assessments					
3	Interest on savings and temporary cash investments					6,293
4	Dividends and interest from securities					684,793
5	Net rental income or (loss) from real estate					
a	Debt-financed property					
b	Not debt-financed property					
6	Net rental income or (loss) from personal property					
7	Other investment income					
8	Gain or (loss) from sales of assets other than inventory					-64,959
9	Net income or (loss) from special events					
10	Gross profit or (loss) from sales of inventory					
11	Other revenue a					
b						
c						
d						
e						
12	Subtotal Add columns (b), (d), and (e)		0		0	626,127
13	Total. Add line 12, columns (b), (d), and (e)				13	626,127

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B	Relationship of Activities to the Accomplishment of Exempt Purposes
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[illegible]

Part XVII Information Regarding Transfers to and Transactions and Relationships With Noncharitable Exempt Organizations

1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?		Yes	No
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X
d	If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.			

[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here	Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.					May the IRS discuss this return with the preparer shown below? See instructions ☐ Yes ☐ No	
	15/11/18 Date Treasurer Title Signature of officer or trustee Date Title						
Paid Preparer Use Only	Print/Type preparer's name		Preparer's signature		Date	Check ☐ if self-employed	PTIN
	Firm's name ▶					Firm's EIN ▶	
	Firm's address ▶					Phone no.	

Schedule B
(Form 990, 990-EZ,
or 990-PF)

Department of the Treasury
Internal Revenue Service

Schedule of Contributors

- ▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.
▶ Go to www.irs.gov/Form990 for the latest information.

OMB No 1545-0047

2017

Name of the organization

The Cummins Foundation Inc

Employer identification number

35-6042373

Organization type (check one)

Filers of:

Section:

Form 990 or 990-EZ

- ☐ 501(c)() (enter number) organization
☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
☐ 527 political organization

Form 990-PF

- ☒ 501(c)(3) exempt private foundation
☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

Note: Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

- ☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3 % support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000, or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year. ▶ \$ _____

Caution: An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization
The Cummins Foundation Inc

Employer identification number
35-6042373

Part I Contributors (see instructions) Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	CUMMINS INC BOX 3005, M/C 60113 COLUMBUS IN 47202-3005 Foreign State or Province. Foreign Country.	\$ 9,656,700	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)

Name of organization
The Cummins Foundation Inc

Employer identification number
35-6042373

Part II **Noncash Property** (see instructions) Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions.)	(d) Date received
	----- ----- ----- -----	\$ -----	-----
	----- ----- ----- -----	\$ -----	-----
	----- ----- ----- -----	\$ -----	-----
	----- ----- ----- -----	\$ -----	-----
	----- ----- ----- -----	\$ -----	-----
	----- ----- ----- -----	\$ -----	-----
	----- ----- ----- -----	\$ -----	-----
	----- ----- ----- -----	\$ -----	-----

Name of organization The Cummins Foundation Inc	Employer identification number 35-6042373
--	--

Part III Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year (Enter this information once. See instructions.) ► \$ 0

Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
-----	----- ----- -----	----- ----- -----	----- ----- -----
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	----- ----- -----		----- ----- -----
	For Prov	Country	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
-----	----- ----- -----	----- ----- -----	----- ----- -----
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	----- ----- -----		----- ----- -----
	For Prov	Country	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
-----	----- ----- -----	----- ----- -----	----- ----- -----
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	----- ----- -----		----- ----- -----
	For Prov	Country	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
-----	----- ----- -----	----- ----- -----	----- ----- -----
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	----- ----- -----		----- ----- -----
	For Prov	Country	

The Cummins Foundation Inc.
35-6042373
Schedule 1

Part I

Line 1 - Contributions, gifts, grants, etc. received

<u>Contributor</u>	<u>Amount</u>
Cummins Inc	\$9,656,700
Total	<u><u>\$9,656,700</u></u>

Part I, Line 11 (990-PF) - Other Income

		37,005	0	0
Description		Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income
1	Unrealized Gain/Loss Investments	24,638	0	
2	Bond Investment Settlements	12,367	0	

Part I, Line 16b (990-PF) - Accounting Fees

		15,175	0	0	0
Description		Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes (Cash Basis Only)
1	Blue & Co , LLC - Audit Fees	9,475			0
2	In-Kind Accounting Services	5,700			0

Part I, Line 16c (990-PF) - Other Professional Fees

		1,097,950	0	0	0
Description		Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes (Cash Basis Only)
1	Cummins Inc - Administrative Fees	903,000			0
2	Global Giving - Consultant Fees	194,950			0

Part I, Line 18 (990-PF) - Taxes

		11,000	0	0	0
Description		Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
1	Tax on Investment Income	11,000			

Part I, Line 23 (990-PF) - Other Expenses

		51,373	42,363	42,363	0
Description		Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
1	Administrative Expense	51,373	42,363	42,363	

Part II, Line 10c (990-PF) - Investments - Corporate Bonds

		41,983,501		37,073,194		0		0	
		Book Value		Book Value		FMV		FMV	
		Beg. of Year		End of Year		Beg. of Year		End of Year	
1	Mutual Fund								
		41,983,501		37,073,194					

Part II, Line 15 (990-PF) - Other Assets

		80,875	63,236	63,236
Asset Description		Book Value Beg of Year	Book Value End of Year	FMV End of Year
1	Interest Receivable	80,875	63,236	63,236

Part VI, Line 6a (990-PF) - Estimated Tax Payments

	Date		Amount
1 Credit from prior year return		1	1,432
2 First quarter estimated tax payment	5/11/2017	2	4,000
3 Second quarter estimated tax payment	6/8/2017	3	3,000
4 Third quarter estimated tax payment	9/6/2017	4	2,000
5 Fourth quarter estimated tax payment	12/13/2017	5	2,000
6 Other payments	5/15/2018	6	542
7 Total		7	12,974

The Cummins Foundation Inc.

35-6042373

A Statement Attached to and Made Part of
Return of Private Foundation Exempt from
Income Tax for Year Ended 12-31-17

Form 990-PF, Page 6, Part VIII, Item I – Compensation of
Officers, Directors, Trustees, Foundation Managers

<u>Name & Address</u>	<u>Title</u>	<u>Time Devoted To Business</u>	<u>Compensation</u>
Tom Linebarger Cummins Inc. 301 E. Market Street Indianapolis, IN 46204	Chairman	Nominal	None
Tony Satterthwaite Cummins Inc. 3850 Victoria Street, North M/C – OC300 Shoreview, MN 55126	Director	Nominal	None
Julie Del Genio Cummins Inc. 301 Jackson Street M/C 91671 Columbus, IN 47202-3005	Secretary	Nominal	None
Will Miller The Wallace Foundation 5 Penn Plaza New York, NY 10001	Director	Nominal	None
Marya Rose Cummins Inc. 301 E. Market Street Indianapolis, IN 46204	Director	Nominal	None

<u>Name & Address</u>	<u>Time Devoted Title</u>	<u>To Business</u>	<u>Compensation</u>
Pat Ward Cummins Inc. Box 3005 – M/C 60911 Columbus, IN 47202-3005	Director	Nominal	None
Rich Freeland Cummins Inc. Box 3005 – M/C 60913 Columbus, IN 47202-3005	Director	Nominal	None
Lyndsey Bannick Cummins Inc. 301 Jackson Street M/C 91671 Columbus, IN 47202-3005	Treasurer	Nominal	None
Mary Chandler Cummins Inc. 301 E. Market Street Indianapolis, IN 46204	CEO	Nominal	None
Tracy Embree Cummins Inc. 500 Jackson Street Columbus, IN 47201	Director	Nominal	None

**The Cummins Foundation Inc.
35-6042373**

**A Statement Attached to and Made Part of
Return of Private Foundation Exempt from
Income Tax for Year Ended 12-31-17**

Page 10, Part XV, Line 2 (b) and (d)- Information regarding contribution, grant, gift, loan, scholarships, etc. programs.

The Foundation's budget year is from January 1 through December 31. The Foundation directors meet four times a year to consider new programs and approve grants. The staff has authority to make grants under \$250,000 and larger grants requiring immediate action may be approved by the Executive Committee between meetings.

Inquiries and proposals may be submitted in writing at anytime during the year. A preliminary proposal should include a brief description of the problem being addressed, outcomes anticipated, the operating budget and additional financial requirements necessary.

The Foundation primarily makes grants in the communities where the Company has business interests. Cummins believes a Company is only as healthy as the communities where we do business. The present global priority areas include: the environment, education and social justice. The Foundation looks for projects where Cummins employees are engaged in making a meaningful and significant community contribution and where possible specific and measurable outcomes have been defined to guide the work.

The Foundation does not support political causes or candidates, or sectarian religious activities. No grants are made to individuals.

The Cummins Foundation, Inc.
35-6042373
Exhibit D
Grants Summary

Unpaid Commitments Per Return <u>As Of 1-1-17</u>	Commitments Made <u>In 2017</u>	Commitments Paid <u>In 2017</u>	Unpaid Commitments Per Return <u>As Of 12-31-17</u>
	Dr	Cr	
2,091,515	11,946,946	(12,794,996)	1,243,465

Exhibit D (Continued)

Prior Year Commitment Payment	Grantee/Payee Name NGO or GlobalGiving	2017 Grant Commitment	Project- Short Description
\$ 424 65	Hinton United Way Society		Canadian 2016 United Way Match
\$ 500 00	United Way of Lethbridge & Southern Alberta		Canadian 2016 United Way Match
\$ 200 00	Lloydminster & District United Way		Canadian 2016 United Way Match
\$ 970 00	United Way of Fort McMurray		Canadian 2016 United Way Match
\$ 280 00	United Way of Alberta Northwest		Canadian 2016 United Way Match
\$ 3,325 00	United Way of Calgary and Area		Canadian 2016 United Way Match
\$ 474 00	United Way of Regina		Canadian 2016 United Way Match
\$ 307 00	United Way of Saskatoon and Area		Canadian 2016 United Way Match
\$ 10,221 00	United Way of the Alberta Capital Region		Canadian 2016 United Way Match
\$ 15,380 75	United Way of the Lower Mainland		Canadian 2016 United Way Match
\$ 40 00	United Way of Thunder Bay		Canadian 2016 United Way Match
\$ 4,104 00	United Way of Winnipeg		Canadian 2016 United Way Match
\$ 260 00	United Way of Thompson Nicola Cariboo		Canadian 2016 United Way Match
\$	80,000 00 Junior Achievement of the Upper Midwest		Provide Junior Achievement programs to all K-12 students in the Brooklyn Park/Brooklyn Center area over a 3-4 year period
\$	50,000 00 Hands on Nashville		Impact the Glenciff neighborhood through Collective Volunteerism which is a neighborhood focused approach to meeting needs through service that places volunteers at the core of the conversation
\$	50,000 00 United Way of Bartholomew County		Cover 2 years of staff time of a temporary Program Director to reduce the poverty rate and number of people living at 200% of the poverty rate by 2032
\$	Metro United Way Inc \$ 120 00		Additional 2016 United Way Match for Hamson County
\$	50,000 00 Anchor House		Donation for Community Room Programs
\$	Dream It Do It Western \$ 68,000 00		Conditional 2 year grant - Year 2 - Conditions Met
\$	34,000 00 United Way of Eastern New Mexico		Hiring of a volunteer coordinator for three years and develop a volunteer website
\$	52,280 00 Faces without Places (FWP)		Academic and enrichment summer day camp for homeless children in Kenton County, KY
\$	5,000 00 United Way of Greater Cincinnati		Address the issues of high unemployment and low educational attainment in Grant County, Kentucky by improving the accessibility and scale of GED programming
	The Library Project \$ 55,742 00		2017 China Strategic Education Initiative - Provide libraries to 15 elementary schools throughout China
	GlobalGiving \$ 200,000 00		eBeacon Project - online solution delivering career education to schools throughout Australia
	GlobalGiving \$ 71,250 00		Expand PACE mentoring program into Queensland and Western Australia
	GlobalGiving \$ 90,000 00		2020 STEM Program for LEGO Robotics, Numeracy Buddies and STEM Broadening Horizons for low-income schools throughout Australia
	GlobalGiving \$ 5,001 00		International Matching Grant
	GlobalGiving \$ 933 00		International Matching Grant
	GlobalGiving \$ 341 00		International Matching Grant
	GlobalGiving \$ 392 00		International Matching Grant
	GlobalGiving \$ 923 00		International Matching Grant
	GlobalGiving \$ 5,760 00		International Matching Grant
	GlobalGiving \$ 2,774 00		International Matching Grant
	GlobalGiving \$ 1,658 00		International Matching Grant
	GlobalGiving \$ 2,285 00		International Matching Grant
	Greater Indianapolis Pr \$ 5,000 00		16th Annual Mayor's Celebration of Diversity Awards - Manager Level Sponsorship
	United Way of Greater \$ (720 00)		Stop Payment
	United Way of Greater \$ 720 00		Re-issued Check 5885
	Su Casa Columbus \$ 7,500 00		Renovate the Su Casa office spaces in the United Way building
	Future Generation \$ 10,000 00		2017 Global Environmental Challenge Winner (River Eco-Restoration of Shangqhuang Village)
	City of Stoughton \$ 15,000 00		2017 Regional and Global Environmental Challenge Winner
	Heritage Fund of Barthi \$ 10,000 00		2017 Special Recognition and Regional Environmental Challenge Winner
	GlobalGiving \$ 20,000 00		2017 Regional and Global Environmental Challenge Winner (Dream Machine & Minerva High School)
	GlobalGiving \$ 5,000 00		2017 Regional Environmental Challenge Winner
	GlobalGiving \$ 10,000 00		2017 Special Recognition and Regional Environmental Challenge Winner
	GlobalGiving \$ 15,000 00		2017 Regional and Global Environmental Challenge Winner
	GlobalGiving \$ 5,000 00		2017 Regional Environmental Challenge Winner
	GlobalGiving \$ 5,000 00		2017 Special Recognition Winner - Environmental Challenge
	GlobalGiving \$ 15,000 00		2017 Regional and Global Environmental Challenge Winner

Exhibit D (Continued)

Prior Year Commitment Payment	Grantee/Payee Name NGO or GlobalGiving	2017 Grant Commitment	Project- Short Description
	GlobalGiving	\$ 10,000 00	2017 Special Recognition and Regional Environmental Challenge Winner
	GlobalGiving	\$ 75,000 00	3rd payment of 3 year Conditional Grant - Youth Leader Academy - developing the next set of leaders in the Chicora-Cherokee Community - conditions met
	Youthprse	\$ 15,000 00	Rec on the Go (ROTG) - request for third vehicle for mobile recreation program that will increase services and impact new sites in Brooklyn Center
	United Way of Fayette	\$ (48 00)	(48 00) Void Check - 2016 United Way Match
	United Way of Fayette	\$ 48 00	Replacement check - 2016 United Way Match
\$ 55,000 00	GlobalGiving		Q1 and Q2 grant fund for Victor Civitas school project
	Southwest Wisconsin	\$ 90,699 00	Support the Southwest Technical Colleges mobile welding lab for high school students, industry, and area inmates
	Community Helping Har	\$ 20,000 00	Hire a Workforce Development Coordinator to increase employability of those assigned to work experience
	Educational First Steps	\$ 8,658 00	Support service events at 10 low-income child-care centers across Dallas, TX
	Health and Science Inn	\$ 23,780 00	Support the development and delivery of a Tech Literacy program for 300 students at Washington Irving School over a year
	Columbus Learning Cen	\$ 5,000 00	Teacher externship program for Southern Indiana Teachers will work with manufacturers to understand the modern day manufacturing environment
	GlobalGiving	\$ 38,000 00	Develop E-Learning course materials and conversion of the course modules into the learning management system at IIT, part of the TEC Nigena 2017 work plan
	GlobalGiving	\$ 14,800 00	Renovate sanitation facilities at the school
	GlobalGiving	\$ 67,000 00	Purchase equipment for a Relaxation and Sensory Room for disabled people at Beechwood Residential Care Home
	GlobalGiving	\$ 49,000 00	Safety improvements to the Zayed Higher Organization Cheese Factory, which employees individuals with disabilities
	GlobalGiving	\$ 6,000 00	Combined Project implementing the "Liter of Light" program in Malaysia (\$53,000) and Philippines (\$6,000), which brings light to homes in lower-socio economical areas
	GlobalGiving	\$ 53,000 00	Combined Project implementing the "Liter of Light" program in Malaysia (\$53,000) and Philippines (\$6,000), which brings light to homes in lower-socio economical areas
	United Way Worldwide	\$ 123,480 00	Implement "back to School" volunteer events for DBU employees
	Lincoln Central Family	\$ 6,170 00	Purchase tools & equipment which will provide residents the opportunity to borrow for home improvements
	Women's Foundation fr	\$ 47,200 00	Support a group of 15 youth to learn about skills that will help prepare them for college or vocational work
	SAE International	\$ 20,990 00	Purchase A World in Motion (AWIM) kits and training from SAE Foundation
	Hancock Middle & High	\$ 5,000 00	Donation to Middle & High School in the amount of \$2,500 each for books as a memorial to Dakota Blevins and Nathanael Seal
	Human Rights Commis	\$ 960 00	2017 Annual dinner Human Rights Commission - Invoice #531059
	Greater Indianapolis Pr	\$ 5,000 00	GIPC Membership Dues - FY 16-17 - Invoice # 1616-130
	Greater Indianapolis Pr	\$ (5,000 00)	GIPC Membership Dues - FY 16-17 - Invoice # 1616-130 - Voided check - Invoice was for wrong FY
	Greater Indianapolis Pr	\$ 5,000 00	GIPC Membership Dues - FY 17-18 - Invoice # 1616-130
	Hentage Fund of Barth	\$ 2,000 00	Peer county benchmarking exercise with Indiana University
	Human Rights Commis	\$ 60 00	2017 Annual dinner Human Rights Commission - Invoice #531059 - additional seating
	Ivy Tech Foundation	\$ 500 00	Sponsorship - Advanced Manufacturing Summer Camps
	The City of Brooklyn Pe	\$ 90,000 00	Three year grant to support the creation of a new private sector partner initiative, connecting and networking to the community, and technical assistance on youth programming metrics

Exhibit D (Continued)

Prior Year Commitment Payment	Grantee/Payee Name NGO or GlobalGiving	2017 Grant Commitment	Project- Short Description
	The City of Brooklyn Pe \$	50,000 00	Three year grant to support the creation of a new private sector partner initiative, connecting and networking to the community, and technical assistance on youth programming metrics
	The City of Brooklyn Pe \$	(50,000 00)	Three year grant to support the creation of a new private sector partner initiative, connecting and networking to the community, and technical assistance on youth programming metrics
	Developmental Service \$	22,780 00	Phase 2 to expand program space with modular classrooms, acquire equipment, and employ skilled professionals
	Down East Partnership \$	130,062 00	Improve reading literacy so that every child is on grade level in reading as measured by the state end-of-grade test, by the third grade
	Chautauqua Watersher \$	27,310 00	Create points of access where people can use the land for educational outreach programs, pleasure and enjoyment
	Westminster Neighbor \$	10,000 00	Near Eastside - Provide school supplies and backpacks to additional children in the area of services as well as shoes and uniforms for the children
	GlobalGiving \$	15,820 00	Reduce electricity costs by correcting faults in electrical installations, high-consumption devices and reduce electricity consumption without affecting the operation of this institution
	GlobalGiving \$	109,000 00	Meet nutrition needs of 25 students for 4 years at a high-poverty school
	GlobalGiving \$	24,000 00	Classroom renovation and modern equipment to treat speech defects of children in the orphanage
	GlobalGiving \$	30,966 00	Replication - coordinate a series of IT improvements to greatly increase the capacity of 8 community partners
	GlobalGiving \$	45,600 00	Refurbish a community center that will house Local Services 2 You, a social enterprise of 6 organizations serving high-need individuals in the adopted Huddersfield Neighborhood
\$	4,500 00 United Way of Central West Virginia, Inc		Expand the "Backpack Buddy" programs serving disadvantaged students in West Virginia
	The Brookings Institutio \$	25,000 00	Sponsorship for the Center for Universal Education
\$	262,782 00 GlobalGiving		Victor Civita School - Build classrooms in the adjacent building while working on a business case to reform the Associação Desportiva Clássica building where the school is currently located
	Public Health Institute \$	1,570,690 00	Rise Up - Conduct programming in Nigera, Kenya and Mexico, and leverage existing infrastructure in Liberia, Malawi, El Salvador, Honduras, Guatemala, and Nicaragua
	Su Casa - Columbus \$	65,000 00	3 year "conditional" grant of \$195,000 to support an "Executive Director, conditions met Final payment
	Smithsonian Institution \$	10,000 00	Donation to the National Museum of African American History and Culture
	GlobalGiving \$	57,286 10	Partnership with Cummins Africa to implement The Youth Success High School Graduation program targeting grade 9, 11 and 12 at the Ivory Park Secondary School and Inqayizivele High School
	GlobalGiving \$	73,849 81	Classroom libraries in every classroom of Manzini Primary School and Inqayizivele High School along with training materials for both
	GlobalGiving \$	23,500 00	Install kids recreational facilities and eating shed equipped with benches, tables, hand-washing area at the Abohema Methodist Primary School
	GlobalGiving \$	38,877 00	Renovate to houses at the SOS Secondary Village School, as well as furniture and sports ground
	United Way Worldwide \$	38,800 00	Implementation of the "back to school backpacks (Treadwell Elementary)" and "Hygiene Kits (2 Salvation Army locations)" volunteer events for DBU employees in Memphis, TN
	Indescent \$	48,370 00	Implement "Indescent Curocity Machine" program at Schmitt and Taylorsville Elementary Schools in Bartholomew County, Indiana
	Legacy Charities Inc , /i \$	15,000 00	Purchase "basic supplies" for the children and improve the "living environment"
	Brown County Commur \$	3,000 00	Support school/clothing supplies for low income children in Brown County school corporation
	Foundation for Youth oi \$	3,000 00	Marker Space donation Boys & Girls Club
	GlobalGiving \$	7,000 00	Implement a Values program that develops educational material, volunteer training and on the ground support by EDUCIAC

Exhibit D (Continued)

Prior Year Commitment Payment	Grantee/Payee Name NGO or GlobalGiving	2017 Grant Commitment	Project- Short Description
	GlobalGiving	\$ 46,687 00	Create an outdoor learning area
	GlobalGiving	\$ 80,000 00	Empowering and developing the non-profit sector that serves the vulnerable population in San Luis Potosi
	GlobalGiving	\$ 39,000 00	Construct a fenced multi-purpose sports ground for different outdoor activity with high quality EPDM coating
	GlobalGiving	\$ 35,250 00	Support summer camps for children and young people with mental and physical disability
\$ 125,000 00	Earth University Foundation		Support Scholarships and Cookstove Initiative for 4 years @ \$125,000 each year
\$ 125,000 00	Earth University Foundation		Support Scholarships and Cookstove Initiative for 4 years @ \$125,000 each year
	Food Outreach Inc	\$ 18,300 00	Purchase 2 Stainless Steel freezers for the kitchen
	Southwestern Wisconsin	\$ 58,839 00	Purchase equipment food pantry
	Roadtrip Nation Org Ltc	\$ 75,000 00	Invoice #0000803-IN - Indiana Share Your Road - collaboration with the Indiana Commission for Higher Education
	Columbus Indiana Philh	\$ 7,500 00	Invoice #2759 - Music Program Support
	Food Bank of Central &	\$ 25,000 00	Provide funding to establish and expand the Weekend Power Pack for needy children in Nash, Edgecombe & Halifax counties
	Girls Incorporated	\$ 500,000 00	Support the evaluation study of Girls Inc's Strong, Smart and Bold Outcomes Measurement (SSBOM) Strategy Funds will also be combined with a \$14 mil pool of funds to be used for capacity building grants to 50-60 affiliate organizations by 04/01/2019
	GlobalGiving	\$ 50,134 12	Mednoe Sanatorium Boarding - School Equipment for technical workshop for boys and equipping and repairing of housekeeping study for girls
	GlobalGiving	\$ 6,475 88	Mednoe Sanatorium Boarding - School Equipment for technical workshop for boys and equipping and repairing of housekeeping study for girls
		\$ (45,600 00)	Refurbish a community center that will house Local Services 2 You, a social enterprise of 6 organizations serving high-need individuals in the adopted Huddersfield Neighborhood
	GlobalGiving	\$ 89,000 00	Purchase personal protective equipment, teach ground maintenance, transportation, and technical training to students 14-19 that will also include STEM subjects and develop workplace skills through partnerships
	GlobalGiving	\$ 4,153 95	Currency Fluctuation - Partnership with Cummins Africa to implement The Youth Success High School Graduation program targeting grade 9, 11 and 12 at the Ivory Park Secondary School and Inqayizivele High School
	GlobalGiving	\$ 11,405 00	Expansion of the YMCA breakfast program into more schools
	GlobalGiving	\$ 75,160 17	Improvements on the receiving, sorting, storage and picking processes at the regional distribution center
	GlobalGiving	\$ (24,000 00)	Classroom renovation and modern equipment to treat speech defects of children in the orphanage
	GlobalGiving	\$ 24,000 00	Chakhov boarding House/Orphanage Classroom renovation and modern equipment to treat speech defects of children in the orphanage
	GlobalGiving	\$ (53,000 00)	Combined Project implementing the "Liter of Light" program in Malaysia (\$53,000), which brings light to homes in lower-socio economical areas
	GlobalGiving	\$ (5,000 00)	2017 Regional Environmental Challenge Winner
	GlobalGiving	\$ 53,000 00	Combined Project implementing the "Liter of Light" program in Malaysia, which brings light to homes in lower-socio economical areas
	GlobalGiving	\$ 5,000 00	Craneland - 2017 Regional Environmental Challenge Winner
	Neillsville Area Food Pa	\$ 6,000 00	Provide food for 70 families every 2 months for the next year
	Jere Whitson Elements	\$ 67,194 00	Enhance the Agriculture Lab to include renewable energy outdoor classroom pavilion, observatory greenhouse, and improvements to the Wilderness Trail
	Carolina Youth Develop	\$ 120,000 00	Transition House for youth 18-21 who will receive life skills training and job readiness education
	GlobalGiving	\$ 43,016 00	Community STEM and creative arts project that will impact children from 14 schools, their families and teacher

Exhibit D (Continued)

Prior Year Commitment Payment	Grantee/Payee Name NGO or GlobalGiving	2017 Grant Commitment	Project- Short Description
	GlobalGiving	\$ 56,620 00	German Refugee Program - Website that will share collected information based on what refugees need and what is helpful for people volunteering as well as NGOs who support refugees across Germany
	GlobalGiving	\$ 19,825 00	Build a playground area that is accessible to children with disabilities that will allow them to integrate and play together with children from the local community
	Southwestern Wisconsin	\$ (58,639 00)	Purchase equipment food pantry
	Southwestern Wisconsin	\$ 58,639 00	Purchase equipment food pantry - Replacement Check
	Columbus Area Arts Center	\$ 1,500 00	Sponsorship - A4A expense and student tickets at Dancers Studio
	Columbus Area Arts Center	\$ 10,000 00	Sponsorship - INV #2614 - 2017 unCommon Cause
	Save the Children - Put	\$ 250,000 00	Supporting education in emergencies - First installment of three year "conditional" grant
\$	10,000 00 Growing Places Indy - Payable		Hire and retain an Educational Coordinator at the Chase Near Eastside Legacy Center for program years 2015-2017 - Final Installment
	Children's Museum of Indianapolis	\$ 10,000 00	Program Support and tickets and Halloween costumes for low-income children from the near East side of Indianapolis
	GlobalGiving	\$ 7,750 00	Interactive assessment, Community Dialogues, and Vocational orientation and mentoring
	St Susan Center	\$ 33,500 00	Hire a full-time pantry coordinator and purchase new office computers and a commercial grade can opener
	Lorraine Civil Rights Museum	\$ 78,000 00	Support operation of the National Civil Rights Museum through a multi-year grant 3 years at \$26,000 each beginning in 2017
	Children Inc	\$ 1,750 00	Dancing with the Stars Sponsorship
	Foundation for Youth of	\$ 1,000 00	Great Girls, Wonderful Women Sponsorship
	GlobalGiving	\$ 21,100 00	increase accrual for Community Center J Irwin Miller - Victor Civita School - Build classrooms in the adjacent building while working on a business case to reform the Associação Desportista Classista building where the school is currently located
\$	646,848 00 GlobalGiving		Community Center J Irwin Miller - Victor Civita School - Build classrooms in the adjacent building while working on a business case to reform the Associação Desportista Classista building where the school is currently located
	Upwardly Global - Can't	\$ 100,000 00	Renewal of 2016 grant - Job training, job seeking and job placement for refugees in MN, TX, TN, OH and IN
	GlobalGiving	\$ 22,000 00	Build a community park as a way to strengthen neighbors' networks
	GlobalGiving	\$ 90,000 00	Continue the symphonic orchestra for kids and young people between the ages of 7 and 17
	GlobalGiving	\$ 25,300 00	Increase the number of children served to 550 by adopting a public school Child will have access to meals and help with their education with after school programming They will also work with the families of the children in implementing a urban gardens, healthy eating, finance and entrepreneurship training and workshops
	GlobalGiving	\$ 76,664 00	Truck convoy to deliver school-equipment, relief-goods, food, Christmas-parcels for poor children/families in Romania
	The Mind Trust	\$ 230,000 00	Support the launch of Innovation Network Schools in Indianapolis, IN
	Children Inc	\$ 98,246 27	Building improvements and upgrades
	Global Giving	\$ 125,587 00	Extension of elderly care program
	Hentage Fund of Bartholomew	\$ 50,000 00	Support for the Landmark Columbus - Exhibit Columbus program
	United Way of Central Indiana	\$ 450,000 00	Provide access to high-quality preschool to underprivileged children starting in 2015
	Bartholomew Consolidated	\$ 48,480 00	Integrated Education & Training is newly required by Fed Gov School working to become a leading site
	Food Bank of Eastern Indiana	\$ 72,642 94	Funds to re-roof cold storage areas and purchase used forklift
	Global Giving	\$ 82,672 00	Outdoor learning classroom GG-INV-2017-02581
	Global Giving	\$ 82,435 58	Formare vocational training program GG-INV-2017-02581
	Global Giving	\$ 15,000 00	Afterschool program
	Global Giving	\$ 40,000 00	Skills based training for young offenders GG-INV-2017-02581
	Global Giving	\$ 10,000 00	Reforestation

Exhibit D (Continued)

Prior Year Commitment Payment	Grantee/Payee Name NGO or GlobalGiving	2017 Grant Commitment	Project- Short Description
	Global Giving	\$ 43,885 00	Classroom materials for apprenticeship program GG-INV-2017-02581
	Boys & Girls Club - Sey	\$ 150,000 00	The funding will be used for building the foundation of the Makerspace program through facility upgrades, staffing, and training costs
	National Society of Blac	\$ 100,000 00	Sponsorship for SEEK program
	Junior Achievement of I	\$ 17,650 00	Provide Junior Achievement programs to all K-12 students in the Brooklyn Park/Brooklyn Center area over a 3-4 year period
	Community Center of H	\$ 16,745 78	Funding for playground equipment and grounds improvement to gain state certification
	Catholic Charities of the	\$ 33,500 00	Improvements to the main level of Mercy House to improve/increase temporary housing
	Foundation for Youth	\$ 15,000 00	Operational support for the Boys and Girls Club/Columbus Youth Camp
	Future Generations	\$ 53,800 00	Dredging of watershed
	House of Hope	\$ 15,810 36	House of Hope is a shelter for homeless, pregnant, and parenting adults between the ages of 18 and 24 (parenting youth), and their children. Fence will allow a safe place for children to play and prevent dumping
	Second Harvest Food F	\$ 18,000 00	Funds to purchase tooling and equipment to improved the efficient operations and ergonomics motions of repackaging foods
	Girls Inc. of Johnson C.	\$ 40,000 00	Building upgrades for main programming room
	2017 - United Way Mat	\$ 1,838,526 22	2017 - United Way Match - Indiana
	2017 - United Way Mat	\$ 976,949 15	2017 - United Way Match - North America
	Global Giving	\$ 27,387 80	Kitchen renovation GG-INV-2017-02601
	Global Giving	\$ 20,921 66	Garden and water conservation GG-INV-2017-02601
	Global Giving	\$ 33,012 86	Mentor program GG-INV-2017-02601
	CARE Australia	\$ 100,000 00	Educational opportunities for minority students and girls
	The Rosa Foundation	\$ 259,210 00	Investing in women and girls works
	Heritage Fund of Barth	\$ 536,795 00	Indiana Downtown Strategic Development Plan
	Community Center of H	\$ (16,745 78)	Funding for playground equipment and grounds improvement to gain state certification
\$	25,000 00	Local Initiatives Suppor	\$ - Focus the next three years of its engagement with Near East Side/Promise Zone organizations around facilitating the creation of "career pathways" that intentionally align the program components of multiple neighborhood institutions to move residents toward specific categories of employment opportunities
\$	12,000 00	Hoosier Trails Council -	\$ - Hire a bilingual part-time program aide to work with Hispanic Americans and Latino to develop Boy Scout units
	Save the Children	\$ 416,000 00	Stabilize and empower refugee girls and women living in German reception centers
	Camfed	\$ 100,000 00	Supporting the transition program for girls in rural Ghana from secondary school into the workforce
\$	1,603,896 40	Community Center of H	\$ 16,745 78
			\$ 12,051,478 65

2017 Approved Grant Commitments	\$ 12,051,478 65
2017 Returned Grants	\$ (104,532 00)
Net 2017 Approved Grant Commitments	\$ 11,946,946 65
990 PF, Page 1, Part I, Line 25(a)	
Approved during 2017, paid during 2017	\$ 11,285,632 87
Approved prior to 2017, paid in 2017	\$ 1,603,896 40
2017 Returned Grants	\$ (104,532 00)
Net 2017 Commitments Paid	\$ 12,784,997 27
990 PF, Page 1, Part I, Line 25(d) and 990 PF, Page 11, Part XV Question 3(a)	

The Cummins Foundation Inc.
35-6042373
Exhibit E
2017 Grant Summary - Unpaid Commitments
Balance as of 12/31/2017

The Cummins Foundation - 2017 Grant Summary - Unpaid Commitments	12/31/2017 Balance
Earth University	\$250,000
\$1,000,000 Grant	
United Way of Eastern New Mexico	\$12,000
\$99,000 Grant	
Face without Places (FWP) - UpSpring	\$31,349
\$195,000 Grant	
The University of Memphis Foundation	\$5,000
\$5,000 Grant	
LISC	\$25,000
\$75,000 Grant	
Community Center J. Irwin Miller (Victorio Civita School)	\$135,370
\$1,078,900 Grant	
United Way of Bartholomew County	\$50,000
\$100,000 Grant	
Community Helping Hands, Inc.	\$10,000
\$20,000 Grant	
Brooklyn Alliance for Youth (through City of Brooklyn Park)	\$40,000
\$40,000 Grant	
Lorraine Civil Rights Museum	\$52,000
\$78,000 Grant	
Boys & Girls Club of Seymour	\$100,000
\$150,000 Grant	
Save the Children - Germany	\$416,000
\$416,000 Grant	
Camfed	\$100,000
\$100,000 Grant	
Community Center of Hope	\$16,746
\$16,746 Grant	
Total	\$1,243,465