

For calendar year 2017, or tax year beginning 01-01-2017, and ending 12-31-2017

Name of foundation CRISP SMITH MILLER TRUST		A Employer identification number 35-2337949	
Number and street (or P.O. box number if mail is not delivered to street address) 6325 S RAINBOW BLVD STE 300		Room/suite	B Telephone number (see instructions) (855) 241-6645
City or town, state or province, country, and ZIP or foreign postal code LAS VEGAS, NV 89118		C If exemption application is pending, check here <input type="checkbox"/>	
G Check all that apply <input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Amended return <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>	
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>	
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 1,338,092	J Accounting method <input type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>	

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions) )		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)				
	2 Check <input checked="" type="checkbox"/> If the foundation is <b>not</b> required to attach Sch. B . . . . .				
	3 Interest on savings and temporary cash investments . . . . .				
	4 Dividends and interest from securities . . . . .	30,931	30,573		
	5a Gross rents . . . . .				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10	66,338			
	b Gross sales price for all assets on line 6a 1,016,540				
	7 Capital gain net income (from Part IV, line 2) . . . . .		66,338		
	8 Net short-term capital gain . . . . .			0	
	9 Income modifications . . . . .				
	10a Gross sales less returns and allowances _____				
Operating and Administrative Expenses	b Less Cost of goods sold . . . . .				
	c Gross profit or (loss) (attach schedule) . . . . .				
	11 Other income (attach schedule) . . . . .				
	12 Total. Add lines 1 through 11 . . . . .	97,269	96,911		
	13 Compensation of officers, directors, trustees, etc	19,250	17,325		1,925
	14 Other employee salaries and wages . . . . .		0	0	0
	15 Pension plans, employee benefits . . . . .		0	0	
	16a Legal fees (attach schedule) . . . . .				0
	b Accounting fees (attach schedule) . . . . .	1,000	0	0	1,000
	c Other professional fees (attach schedule) . . . . .				0
	17 Interest . . . . .				0
	18 Taxes (attach schedule) (see instructions) . . . . .	1,338	853		0
	19 Depreciation (attach schedule) and depletion . . . . .	0	0		
	20 Occupancy . . . . .				
	21 Travel, conferences, and meetings . . . . .		0	0	
	22 Printing and publications . . . . .		0	0	
	23 Other expenses (attach schedule) . . . . .	20	20		
	24 Total operating and administrative expenses. Add lines 13 through 23 . . . . .	21,608	18,198	0	2,925
	25 Contributions, gifts, grants paid . . . . .	80,000			80,000
	26 Total expenses and disbursements. Add lines 24 and 25	101,608	18,198	0	82,925
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	-4,339			
	b Net investment income (if negative, enter -0-)		78,713		
c Adjusted net income (if negative, enter -0-)				0	

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions.)		
		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing . . . . .	454	-15,382	-15,382
	2 Savings and temporary cash investments . . . . .	21,566	903	903
	3 Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____		0	0
	4 Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5 Grants receivable . . . . .			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) . . . . .			
	7 Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____ 0			
	8 Inventories for sale or use . . . . .			
	9 Prepaid expenses and deferred charges . . . . .			
	10a Investments—U S and state government obligations (attach schedule)			
	b Investments—corporate stock (attach schedule) . . . . .	729,612	916,486	1,063,250
	c Investments—corporate bonds (attach schedule) . . . . .	449,177	285,287	289,321
	11 Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12 Investments—mortgage loans . . . . .			
	13 Investments—other (attach schedule) . . . . .			0
	14 Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
15 Other assets (describe ▶ _____)				
16 <b>Total assets</b> (to be completed by all filers—see the instructions. Also, see page 1, item I)	1,200,809	1,187,294	1,338,092	
Liabilities	17 Accounts payable and accrued expenses . . . . .			
	18 Grants payable . . . . .			
	19 Deferred revenue . . . . .			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule) . . . . .			
	22 Other liabilities (describe ▶ _____)			
	23 <b>Total liabilities</b> (add lines 17 through 22) . . . . .		0	
Net Assets or Fund Balances	<b>Foundations that follow SFAS 117, check here</b> <input type="checkbox"/> <b>and complete lines 24 through 26 and lines 30 and 31.</b>			
	24 Unrestricted . . . . .			
	25 Temporarily restricted . . . . .			
	26 Permanently restricted . . . . .			
	<b>Foundations that do not follow SFAS 117, check here</b> <input checked="" type="checkbox"/> <b>and complete lines 27 through 31.</b>			
	27 Capital stock, trust principal, or current funds . . . . .	1,200,809	1,187,294	
	28 Paid-in or capital surplus, or land, bldg, and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
30 <b>Total net assets or fund balances</b> (see instructions) . . . . .	1,200,809	1,187,294		
31 <b>Total liabilities and net assets/fund balances</b> (see instructions) .	1,200,809	1,187,294		

**Part III Analysis of Changes in Net Assets or Fund Balances**

1 Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) . . . . .	1	1,200,809
2 Enter amount from Part I, line 27a . . . . .	2	-4,339
3 Other increases not included in line 2 (itemize) ▶ _____	3	881
4 Add lines 1, 2, and 3 . . . . .	4	1,197,351
5 Decreases not included in line 2 (itemize) ▶ _____	5	10,057
6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 .	6	1,187,294

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
<b>1a</b> See Additional Data Table			
<b>b</b>			
<b>c</b>			
<b>d</b>			
<b>e</b>			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
<b>a</b> See Additional Data Table			
<b>b</b>			
<b>c</b>			
<b>d</b>			
<b>e</b>			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
<b>a</b> See Additional Data Table			
<b>b</b>			
<b>c</b>			
<b>d</b>			
<b>e</b>			

<b>2</b> Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	<b>2</b>	66,338
<b>3</b> Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		<b>3</b>	

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income )

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?



Yes



No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

**1** Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2016	67,357	1,261,967	0 053375
2015	67,851	1,351,215	0 050215
2014	62,781	1,418,700	0 044252
2013	52,054	1,328,365	0 039187
2012	44,968	1,167,855	0 038505
<b>2</b> Total of line 1, column (d)			<b>2</b> 0 225534
<b>3</b> Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			<b>3</b> 0 045107
<b>4</b> Enter the net value of noncharitable-use assets for 2017 from Part X, line 5			<b>4</b> 1,319,258
<b>5</b> Multiply line 4 by line 3			<b>5</b> 59,508
<b>6</b> Enter 1% of net investment income (1% of Part I, line 27b)			<b>6</b> 787
<b>7</b> Add lines 5 and 6			<b>7</b> 60,295
<b>8</b> Enter qualifying distributions from Part XII, line 4			<b>8</b> 82,925

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)**

<b>1a</b>	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)		
<b>b</b>	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b . . . . .	<b>1</b>	787
<b>c</b>	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
<b>2</b>	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	<b>2</b>	0
<b>3</b>	Add lines 1 and 2. . . . .	<b>3</b>	787
<b>4</b>	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	<b>4</b>	0
<b>5</b>	<b>Tax based on investment income.</b> Subtract line 4 from line 3. If zero or less, enter -0- . . . . .	<b>5</b>	787
<b>6</b>	Credits/Payments		
<b>a</b>	2017 estimated tax payments and 2016 overpayment credited to 2017	<b>6a</b>	300
<b>b</b>	Exempt foreign organizations—tax withheld at source . . . . .	<b>6b</b>	
<b>c</b>	Tax paid with application for extension of time to file (Form 8868) . . . . .	<b>6c</b>	
<b>d</b>	Backup withholding erroneously withheld . . . . .	<b>6d</b>	
<b>7</b>	Total credits and payments. Add lines 6a through 6d. . . . .	<b>7</b>	816
<b>8</b>	Enter any <b>penalty</b> for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	<b>8</b>	0
<b>9</b>	<b>Tax due.</b> If the total of lines 5 and 8 is more than line 7, enter <b>amount owed</b> . . . . .	<b>9</b>	
<b>10</b>	<b>Overpayment.</b> If line 7 is more than the total of lines 5 and 8, enter the <b>amount overpaid</b> . . . . .	<b>10</b>	29
<b>11</b>	Enter the amount of line 10 to be <b>Credited to 2018 estimated tax</b> <input type="checkbox"/> 29 <b>Refunded</b> <input type="checkbox"/>	<b>11</b>	0

**Part VII-A Statements Regarding Activities**

	Yes	No
<b>1a</b> During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? . . . . .	<b>1a</b>	No
<b>b</b> Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)? . . . . . <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>	<b>1b</b>	No
<b>c</b> Did the foundation file <b>Form 1120-POL</b> for this year? . . . . .	<b>1c</b>	No
<b>d</b> Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year <b>(1)</b> On the foundation <input type="checkbox"/> \$ _____ <b>(2)</b> On foundation managers <input type="checkbox"/> \$ _____		
<b>e</b> Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input type="checkbox"/> \$ _____		
<b>2</b> Has the foundation engaged in any activities that have not previously been reported to the IRS? . . . . . <i>If "Yes," attach a detailed description of the activities</i>	<b>2</b>	No
<b>3</b> Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i> . . . . .	<b>3</b>	No
<b>4a</b> Did the foundation have unrelated business gross income of \$1,000 or more during the year? . . . . .	<b>4a</b>	No
<b>b</b> If "Yes," has it filed a tax return on <b>Form 990-T</b> for this year? . . . . .	<b>4b</b>	
<b>5</b> Was there a liquidation, termination, dissolution, or substantial contraction during the year? . . . . . <i>If "Yes," attach the statement required by General Instruction T</i>	<b>5</b>	No
<b>6</b> Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? . . . . .	<b>6</b>	Yes
<b>7</b> Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col (c), and Part XV</i> . . . . .	<b>7</b>	Yes
<b>8a</b> Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> NJ		
<b>b</b> If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation</i> .	<b>8b</b>	Yes
<b>9</b> Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2017 or the taxable year beginning in 2017 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i> . . . . .	<b>9</b>	No
<b>10</b> Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i> . . . . .	<b>10</b>	No

**Part VII-A Statements Regarding Activities** (continued)

<b>11</b>	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	<b>11</b>		<b>No</b>
<b>12</b>	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	<b>12</b>		<b>No</b>
<b>13</b>	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ►	<b>13</b>	<b>Yes</b>	
<b>14</b>	The books are in care of ► <u>WELLS FARGO BANK NA</u> Telephone no ► <u>(855) 241-6645</u>			

Located at ► 100 NORTH MAIN STREET D4001-065 WINSTON SALEM NC ZIP+4 ► 27101

<b>15</b>	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of <b>Form 1041</b> —Check here . . . . .	<input type="checkbox"/>		
	and enter the amount of tax-exempt interest received or accrued during the year . . . . .	► <b>15</b>		
<b>16</b>	At any time during calendar year 2017, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR). If "Yes," enter the name of the foreign country ►	<b>16</b>	<b>Yes</b>	<b>No</b>

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**


**File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.**

<b>1a</b>	During the year did the foundation (either directly or indirectly)		<b>Yes</b>	<b>No</b>
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(6) Agree to pay money or property to a government official? ( <b>Exception.</b> Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days).	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
<b>b</b>	If any answer is "Yes" to 1a(1)–(6), did <b>any</b> of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?		<b>1b</b>	<b>No</b>
<b>c</b>	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2017?		<b>1c</b>	<b>No</b>
<b>2</b>	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
<b>a</b>	At the end of tax year 2017, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2017?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	If "Yes," list the years ► 20____, 20____, 20____, 20____			
<b>b</b>	Are there any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to <b>all</b> years listed, answer "No" and attach statement—see instructions).		<b>2b</b>	<b>No</b>
<b>c</b>	If the provisions of section 4942(a)(2) are being applied to <b>any</b> of the years listed in 2a, list the years here ► 20____, 20____, 20____, 20____			
<b>3a</b>	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
<b>b</b>	If "Yes," did it have excess business holdings in 2017 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2017).		<b>3b</b>	
<b>4a</b>	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		<b>4a</b>	<b>No</b>
<b>b</b>	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2017?		<b>4b</b>	<b>No</b>

**Part VII-B** Statements Regarding Activities for Which Form 4720 May Be Required (Continued)

<b>5a</b>	During the year did the foundation pay or incur any amount to			
	<b>(1)</b> Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	<b>(2)</b> Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	<b>(3)</b> Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	<b>(4)</b> Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions).	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	<b>(5)</b> Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
<b>b</b>	If any answer is "Yes" to 5a(1)–(5), did <b>any</b> of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?	<b>5b</b>		
	Organizations relying on a current notice regarding disaster assistance check here.	<input type="checkbox"/>		
<b>c</b>	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	<input type="checkbox"/> Yes <input type="checkbox"/> No		
	<i>If "Yes," attach the statement required by Regulations section 53.4945–5(d)</i>			
<b>6a</b>	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
<b>b</b>	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	<b>6b</b>	<b>No</b>	
	<i>If "Yes" to 6b, file Form 8870</i>			
<b>7a</b>	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
<b>b</b>	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?	<b>7b</b>		

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
WELLS FARGO BANK NA  1525 WEST WT HARRIS BLVD CHARLOTTE, NC 28288	TRUSTEE 0	19,250		

**2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."**

(a) Name and address of each employee paid more than \$50,000	Title, and average hours per week (b) devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
NONE				

**Total** number of other employees paid over \$50,000. . . . . **0**

**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

**Total** number of others receiving over \$50,000 for professional services. . . . . **0**

**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
<b>1</b>	
<b>2</b>	
<b>3</b>	
<b>4</b>	

**Part IX-B Summary of Program-Related Investments (see instructions)**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
<b>1</b>	
<b>2</b>	
All other program-related investments See instructions	
<b>3</b>	

**Total.** Add lines 1 through 3 . . . . . **0**

**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
<b>a</b>	Average monthly fair market value of securities.	<b>1a</b>	1,287,986
<b>b</b>	Average of monthly cash balances.	<b>1b</b>	51,362
<b>c</b>	Fair market value of all other assets (see instructions).	<b>1c</b>	0
<b>d</b>	<b>Total</b> (add lines 1a, b, and c).	<b>1d</b>	1,339,348
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	<b>1e</b>	0
<b>2</b>	Acquisition indebtedness applicable to line 1 assets.	<b>2</b>	0
<b>3</b>	Subtract line 2 from line 1d.	<b>3</b>	1,339,348
<b>4</b>	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	<b>4</b>	20,090
<b>5</b>	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4.	<b>5</b>	1,319,258
<b>6</b>	<b>Minimum investment return.</b> Enter 5% of line 5.	<b>6</b>	65,963

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

<b>1</b>	Minimum investment return from Part X, line 6.	<b>1</b>	65,963
<b>2a</b>	Tax on investment income for 2017 from Part VI, line 5.	<b>2a</b>	787
<b>b</b>	Income tax for 2017 (This does not include the tax from Part VI).	<b>2b</b>	
<b>c</b>	Add lines 2a and 2b.	<b>2c</b>	787
<b>3</b>	Distributable amount before adjustments. Subtract line 2c from line 1.	<b>3</b>	65,176
<b>4</b>	Recoveries of amounts treated as qualifying distributions.	<b>4</b>	0
<b>5</b>	Add lines 3 and 4.	<b>5</b>	65,176
<b>6</b>	Deduction from distributable amount (see instructions).	<b>6</b>	0
<b>7</b>	<b>Distributable amount</b> as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	<b>7</b>	65,176

**Part XII Qualifying Distributions** (see instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
<b>a</b>	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	<b>1a</b>	82,925
<b>b</b>	Program-related investments—total from Part IX-B.	<b>1b</b>	0
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	<b>2</b>	0
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the		
<b>a</b>	Suitability test (prior IRS approval required).	<b>3a</b>	0
<b>b</b>	Cash distribution test (attach the required schedule).	<b>3b</b>	0
<b>4</b>	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4.	<b>4</b>	82,925
<b>5</b>	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions).	<b>5</b>	787
<b>6</b>	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4.	<b>6</b>	82,138

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.



**Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2016	(c) 2016	(d) 2017
<b>1</b> Distributable amount for 2017 from Part XI, line 7				65,176
<b>2</b> Undistributed income, if any, as of the end of 2017				
<b>a</b> Enter amount for 2016 only. . . . .			57,917	
<b>b</b> Total for prior years 20____, 20____, 20____		0		
<b>3</b> Excess distributions carryover, if any, to 2017				
<b>a</b> From 2012. . . . .	0			
<b>b</b> From 2013. . . . .	0			
<b>c</b> From 2014. . . . .	0			
<b>d</b> From 2015. . . . .	0			
<b>e</b> From 2016. . . . .	0			
<b>f</b> Total of lines 3a through e. . . . .	0			
<b>4</b> Qualifying distributions for 2017 from Part XII, line 4 ▶ \$ 82,925				
<b>a</b> Applied to 2016, but not more than line 2a			57,917	
<b>b</b> Applied to undistributed income of prior years (Election required—see instructions). . . . .		0		
<b>c</b> Treated as distributions out of corpus (Election required—see instructions). . . . .	0			
<b>d</b> Applied to 2017 distributable amount. . . . .				25,008
<b>e</b> Remaining amount distributed out of corpus	0			
<b>5</b> Excess distributions carryover applied to 2017 (If an amount appears in column (d), the same amount must be shown in column (a) )	0			0
<b>6</b> Enter the net total of each column as indicated below:				
<b>a</b> Corpus Add lines 3f, 4c, and 4e Subtract line 5	0			
<b>b</b> Prior years' undistributed income Subtract line 4b from line 2b . . . . .		0		
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed. . . . .		0		
<b>d</b> Subtract line 6c from line 6b Taxable amount—see instructions . . . . .		0		
<b>e</b> Undistributed income for 2016 Subtract line 4a from line 2a Taxable amount—see instructions . . . . .			0	
<b>f</b> Undistributed income for 2017 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2018 . . . . .				40,168
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions). . . . .	0			
<b>8</b> Excess distributions carryover from 2012 not applied on line 5 or line 7 (see instructions). . . . .	0			
<b>9</b> Excess distributions carryover to 2018. Subtract lines 7 and 8 from line 6a . . . . .	0			
<b>10</b> Analysis of line 9				
<b>a</b> Excess from 2013. . . . .	0			
<b>b</b> Excess from 2014. . . . .	0			
<b>c</b> Excess from 2015. . . . .	0			
<b>d</b> Excess from 2016. . . . .	0			
<b>e</b> Excess from 2017. . . . .	0			

## Part XIV

- Part XV** **Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)**

**a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2) )

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**b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

**Part XV** **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<b>a</b> <i>Paid during the year</i> ANIMAL CARE FUND INC ATTN ROBERT REDMAN P O BOX A EAST SMITHFIELD, PA 18817	NONE	PC	GENERAL SUPPORT	20,000
Farm Sanctuary Inc 3100 AIKENS ROAD Watkins Glenn, NY 14891	NONE	PC	CONTRIBUTION	20,000
P E T A CO Tim Enstice 501 FRONT ST NORFOLK, VA 23510	NONE	PC	GENERAL SUPPORT	20,000
ANIMAL LEGAL DEFENSE FUND 170 E COTATI AVENUE COTATI, CA 94931	NONE	PC	GENERAL SUPPORT	20,000
<b>Total . . . . .</b>			<b>▶ 3a</b>	80,000
<b>b</b> <i>Approved for future payment</i>				
<b>Total . . . . .</b>			<b>▶ 3b</b>	

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions )
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
<b>1</b> Program service revenue					
<b>a</b> _____					
<b>b</b> _____					
<b>c</b> _____					
<b>d</b> _____					
<b>e</b> _____					
<b>f</b> _____					
<b>g</b> Fees and contracts from government agencies					
<b>2</b> Membership dues and assessments. . . .					
<b>3</b> Interest on savings and temporary cash investments . . . . .					
<b>4</b> Dividends and interest from securities. . . .			14	30,931	
<b>5</b> Net rental income or (loss) from real estate					
<b>a</b> Debt-financed property. . . . .					
<b>b</b> Not debt-financed property. . . . .					
<b>6</b> Net rental income or (loss) from personal property					
<b>7</b> Other investment income. . . . .					
<b>8</b> Gain or (loss) from sales of assets other than inventory . . . . .			18	66,338	
<b>9</b> Net income or (loss) from special events					
<b>10</b> Gross profit or (loss) from sales of inventory					
<b>11</b> Other revenue <b>a</b> _____					
<b>b</b> _____					
<b>c</b> _____					
<b>d</b> _____					
<b>e</b> _____					
<b>12</b> Subtotal. Add columns (b), (d), and (e). .				97,269	
<b>13</b> <b>Total.</b> Add line 12, columns (b), (d), and (e). . . . .			<b>13</b>		97,269

(See worksheet in line 13 instructions to verify calculations )

## Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

**Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

<b>1</b> Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?		<b>Yes</b>	<b>No</b>
<b>a</b> Transfers from the reporting foundation to a noncharitable exempt organization of			
<b>(1)</b> Cash. . . . .	<b>1a(1)</b>		<b>No</b>
<b>(2)</b> Other assets. . . . .	<b>1a(2)</b>		<b>No</b>
<b>b</b> Other transactions			
<b>(1)</b> Sales of assets to a noncharitable exempt organization. . . . .	<b>1b(1)</b>		<b>No</b>
<b>(2)</b> Purchases of assets from a noncharitable exempt organization. . . . .	<b>1b(2)</b>		<b>No</b>
<b>(3)</b> Rental of facilities, equipment, or other assets. . . . .	<b>1b(3)</b>		<b>No</b>
<b>(4)</b> Reimbursement arrangements. . . . .	<b>1b(4)</b>		<b>No</b>
<b>(5)</b> Loans or loan guarantees. . . . .	<b>1b(5)</b>		<b>No</b>
<b>(6)</b> Performance of services or membership or fundraising solicitations. . . . .	<b>1b(6)</b>		<b>No</b>
<b>c</b> Sharing of facilities, equipment, mailing lists, other assets, or paid employees. . . . .	<b>1c</b>		<b>No</b>

**d** If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

(a) Line No	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

**2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? . . . . . ☐ Yes ☒ No

**b** If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

<b>Sign Here</b>	Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.	***** 2018-05-25 *****	May the IRS discuss this return with the preparer shown below (see instr.)? <input type="checkbox"/> Yes <input type="checkbox"/> No
	Signature of officer or trustee	Date	Title

<b>Paid Preparer Use Only</b>	Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed <input type="checkbox"/>	PTIN	
	Firm's name ▶					Firm's EIN ▶
	Firm's address ▶					Phone no

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
344 AQR MANAGED FUTURES STR-I #15213		2014-10-20	2017-12-29
4 99989 AFFILIATED MANAGERS GROUP, INC COM		2014-02-25	2017-01-20
5 00011 AFFILIATED MANAGERS GROUP, INC COM		2014-02-25	2017-01-20
5 AFFILIATED MANAGERS GROUP, INC COM		2014-03-25	2017-07-24
1902 609 INV BALANCE RISK COMM STR-Y #8611		2016-08-24	2017-01-20
5 ALPHABET INC CL C		2016-08-24	2017-01-20
5 ALPHABET INC CL C		2014-02-25	2017-12-29
171 AMER CENT SMALL CAP GRWTH INST #336		2015-07-29	2017-01-20
455 87 AMER CENT SMALL CAP GRWTH INST #336		2016-08-24	2017-02-17
1275 713 AMER CENT SMALL CAP GRWTH INST #336		2016-01-25	2017-02-17

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
3,175		3,591	-416
714		944	-230
714		944	-230
899		1,075	-176
13,223		13,128	95
4,017		3,841	176
5,229		3,032	2,197
2,514		2,568	-54
7,016		6,291	725
19,633		18,428	1,205

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-416
			-230
			-230
			-176
			95
			176
			2,197
			-54
			725
			1,205

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
5 00035 AMERIPRISE FINL INC		2015-07-30	2017-01-20
4 99965 AMERIPRISE FINL INC		2015-07-30	2017-01-20
5 AMERIPRISE FINL INC		2015-08-27	2017-07-24
5 AMERIPRISE FINL INC		2015-07-30	2017-12-29
10 APPLE INC		2015-09-01	2017-01-20
15 APPLE INC		2016-08-24	2017-01-20
10 APPLE INC		2014-02-25	2017-04-25
10 APPLE INC		2017-02-17	2017-04-25
5 APPLE INC		2014-02-25	2017-07-24
10 APPLE INC		2016-08-24	2017-12-29

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
562		633	-71
562		633	-71
686		714	-28
848		633	215
1,198		1,086	112
1,797		1,619	178
1,447		746	701
1,447		1,356	91
758		373	385
1,699		1,079	620

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			-71
			-71
			-28
			215
			112
			178
			701
			91
			385
			620

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
1147 507 ARTISAN INTERNATIONAL FD INS #662		2012-07-10	2017-02-17
45 498 ARTISAN INTERNATIONAL FD INS #662		2017-01-26	2017-02-17
1195 066 ARTISAN MID CAP FUND-INS #1333		2016-01-25	2017-02-17
53 921 ARTISAN MID CAP FUND-INS #1333		2017-01-26	2017-02-17
5 BAYER AG - ADR		2016-12-09	2017-01-20
5 BERKSHIRE HATHAWAY INC		2014-02-25	2017-01-20
5 BERKSHIRE HATHAWAY INC		2017-02-17	2017-07-24
240 BLACKROCK GL L/S CREDIT-INS #1833		2015-10-27	2017-01-20
5 BLACKROCK INC		2016-12-08	2017-01-20
78 17 BLACKROCK GL L/S CREDIT-K #1940		2017-10-26	2017-12-29

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
31,315		24,868	6,447
1,242		1,238	4
50,264		47,032	3,232
2,268		2,207	61
546		495	51
800		569	231
858		840	18
2,441		2,496	-55
1,896		1,951	-55
810		820	-10

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			6,447
			4
			3,232
			61
			51
			231
			18
			-55
			-55
			-10



Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
252 83 BLACKROCK GL L/S CREDIT-K #1940		2015-10-27	2017-12-29
10 BOEING CO		2015-09-01	2017-01-20
10 BOEING CO		2014-02-25	2017-03-24
5 BOEING CO		2017-02-17	2017-03-24
15 BOEING CO		2014-02-25	2017-09-08
5 BOEING CO		2016-01-25	2017-10-23
10 CME GROUP INC		2014-02-25	2017-01-20
5 CME GROUP INC		2017-02-17	2017-03-31
5 CME GROUP INC		2014-02-25	2017-03-31
5 CME GROUP INC		2014-02-25	2017-07-24

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
2,619		2,635	-16
1,584		1,272	312
1,755		1,267	488
877		864	13
3,578		1,901	1,677
1,315		619	696
1,162		733	429
595		612	-17
595		366	229
603		366	237

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			-16
			312
			488
			13
			1,677
			696
			429
			-17
			229
			237

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
10 CVS HEALTH CORPORATION		2016-08-24	2017-01-20
10 CVS HEALTH CORPORATION		2016-09-21	2017-07-24
15 CELANESE CORP		2014-11-24	2017-01-20
5 CELANESE CORP		2017-02-17	2017-07-24
15 CELANESE CORP		2016-08-24	2017-10-23
5 CELANESE CORP		2017-02-17	2017-10-23
5 CISCO SYSTEMS INC		2015-09-01	2017-01-20
30 CISCO SYSTEMS INC		2016-08-24	2017-01-20
15 CISCO SYSTEMS INC		2016-08-24	2017-01-20
25 CISCO SYSTEMS INC		2016-09-21	2017-12-29

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
817		971	-154
772		951	-179
1,234		916	318
489		445	44
1,578		990	588
526		445	81
150		126	24
901		932	-31
451		466	-15
961		774	187

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			-154
			-179
			318
			44
			588
			81
			24
			-31
			-15
			187

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
25 CITIGROUP INC		2015-01-27	2017-01-20
10 CITIGROUP INC		2014-05-05	2017-10-23
10 CITIGROUP INC		2014-05-05	2017-12-29
9 99899 COGNIZANT TECH SOLUTIONS CRP COM		2016-03-16	2017-01-20
15 00101 COGNIZANT TECH SOLUTIONS CRP COM		2016-03-16	2017-01-20
10 COGNIZANT TECH SOLUTIONS CRP COM		2016-04-13	2017-07-24
25 COMCAST CORP CLASS A		2016-08-24	2017-01-20
15 COMCAST CORP CLASS A		2017-02-17	2017-07-24
2533 715 CREDIT SUISSE COMM RET ST-I #2156		2015-11-16	2017-01-20
113 023 CREDIT SUISSE COMM RET ST-I #2156		2016-01-25	2017-01-20

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
1,404		1,193	211
737		471	266
748		471	277
569		589	-20
854		884	-30
697		598	99
1,837		1,641	196
592		563	29
13,023		15,432	-2,409
581		486	95

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			211
			266
			277
			-20
			-30
			99
			196
			29
			-2,409
			95

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
5 DIAGEO PLC - ADR		2014-02-25	2017-01-20
5 DIAGEO PLC - ADR		2014-02-25	2017-12-29
10 WALT DISNEY CO		2016-08-24	2017-01-20
5 WALT DISNEY CO		2017-02-17	2017-07-24
57 DODGE & COX INT'L STOCK FD #1048		2011-06-27	2017-01-20
805 675 DODGE & COX INT'L STOCK FD #1048		2016-01-25	2017-02-17
1197 553 DODGE & COX INCOME FD COM #147		2017-01-26	2017-02-17
6120 106 DODGE & COX INCOME FD COM #147		2015-07-29	2017-02-17
280 EATON VANCE GLOB MACRO ADV-I #208		2017-10-26	2017-12-29
137 EATON VANCE GLOBAL MACRO - I #0088		2015-10-27	2017-01-20

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
535		632	-97
730		632	98
1,075		957	118
535		550	-15
2,245		2,005	240
32,718		21,515	11,203
16,383		16,443	-60
83,723		80,104	3,619
2,901		2,990	-89
1,244		1,212	32

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			-97
			98
			118
			-15
			240
			11,203
			-60
			3,619
			-89
			32

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
4201 902 EATON VANCE GLOBAL MACRO - I #0088		2016-08-24	2017-10-23
160 286 EATON VANCE GLOBAL MACRO - I #0088		2017-07-28	2017-10-23
41 FID ADV EMER MKTS INC- CL I #607		2016-08-24	2017-01-20
270 681 FID ADV EMER MKTS INC- CL I #607		2016-09-21	2017-12-29
151 319 FID ADV EMER MKTS INC- CL I #607		2017-10-26	2017-12-29
31 JP MORGAN MID CAP VALUE-I #758		2014-10-20	2017-01-20
1383 992 JP MORGAN MID CAP VALUE-I #758		2016-01-25	2017-02-17
10 GILEAD SCIENCES INC		2016-10-03	2017-01-20
10 GILEAD SCIENCES INC		2014-02-25	2017-07-24
15 HAIN CELESTIAL GROUP INC		2016-01-04	2017-01-20

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
38,447		37,633	814
1,467		1,457	10
559		575	-16
3,790		3,804	-14
2,118		2,132	-14
1,137		1,129	8
52,647		36,030	16,617
709		787	-78
740		838	-98
608		597	11

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			814
			10
			-16
			-14
			-14
			8
			16,617
			-78
			-98
			11

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
20 HAIN CELESTIAL GROUP INC		2016-01-04	2017-03-24
15 HAIN CELESTIAL GROUP INC		2016-08-24	2017-12-29
7 ISHARES S&P MID-CAP 400 GROWTH		2017-02-17	2017-07-24
24 ISHARES S&P MID-CAP 400 GROWTH		2017-02-17	2017-12-29
16 ISHARES S&P MID-CAP 400 VALUE		2017-07-24	2017-12-29
12 ISHARES S&P MID-CAP 400 VALUE		2017-02-17	2017-12-29
514 ISHARES CORE S&P SMALL-CAP E		2017-02-17	2017-05-23
18 ISHARES CORE S&P SMALL-CAP E		2017-02-17	2017-10-23
38 ISHARES CORE S&P SMALL-CAP E		2017-02-17	2017-12-29
25 JPMORGAN CHASE & CO		2015-09-01	2017-01-20

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
739		796	-57
635		583	52
1,398		1,340	58
5,205		4,593	612
2,573		2,410	163
1,930		1,803	127
35,208		36,006	-798
1,346		1,261	85
2,930		2,662	268
2,087		1,450	637

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			-57
			52
			58
			612
			163
			127
			-798
			85
			268
			637

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
10 JPMORGAN CHASE & CO		2016-03-01	2017-03-31
10 JPMORGAN CHASE & CO		2017-02-17	2017-03-31
10 JPMORGAN CHASE & CO		2016-03-01	2017-12-29
3687 576 JPMORGAN HIGH YIELD-I #3580		2014-12-23	2017-01-20
269 424 JPMORGAN HIGH YIELD-I #3580		2016-08-24	2017-01-20
915 535 JPMORGAN HIGH YIELD-I #3580		2016-08-24	2017-02-17
108 085 JPMORGAN HIGH YIELD-I #3580		2016-04-27	2017-02-17
173 38 JPMORGAN HIGH YIELD-I #3580		2015-11-16	2017-02-17
10 LAS VEGAS SANDS CORP		2014-09-10	2017-01-20
10 LAS VEGAS SANDS CORP		2014-09-10	2017-01-20

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
880		591	289
880		904	-24
1,074		591	483
27,325		28,198	-873
1,996		1,988	8
6,830		6,757	73
806		765	41
1,293		1,231	62
556		629	-73
556		629	-73

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			289
			-24
			483
			-873
			8
			73
			41
			62
			-73
			-73

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
5 LAS VEGAS SANDS CORP		2014-09-10	2017-07-24
10 ELI LILLY & CO COM		2016-10-03	2017-01-20
5 ELI LILLY & CO COM		2016-10-31	2017-07-24
10 ELI LILLY & CO COM		2016-10-31	2017-12-29
65 MANULIFE FINANCIAL CORP		2016-01-04	2017-01-20
25 MANULIFE FINANCIAL CORP		2017-02-17	2017-07-24
5 MCKESSON CORP		2016-08-24	2017-01-20
5 MCKESSON CORP		2014-02-25	2017-01-20
9 95434 MERCK & CO INC NEW		2016-08-24	2017-01-20
10 04566 MERCK & CO INC NEW		2016-08-24	2017-01-20

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
314		315	-1
766		807	-41
425		421	4
846		825	21
1,208		949	259
508		473	35
749		947	-198
749		885	-136
623		624	-1
628		629	-1

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-1
			-41
			4
			21
			259
			35
			-198
			-136
			-1
			-1



**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
15 MERCK & CO INC NEW		2016-09-21	2017-12-29
66 MERGER FUND-INST #301		2011-02-24	2017-01-20
1420 44 MERGER FUND-INST #301		2016-08-24	2017-10-23
238 321 MERGER FUND-INST #301		2017-07-28	2017-10-23
8081 011 MET WEST TOTAL RETURN BOND CL I #512		2015-07-29	2017-02-17
5154 973 MET WEST TOTAL RETURN BOND CL I #512		2016-05-16	2017-02-17
2952 844 MET WEST TOTAL RETURN BOND CL I #512		2017-01-26	2017-02-17
40 MICROSOFT CORP		2016-10-03	2017-01-20
20 MICROSOFT CORP		2017-02-17	2017-07-24
15 MICROSOFT CORP		2016-04-25	2017-12-29

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
847		934	-87
1,032		1,050	-18
22,826		22,136	690
3,830		3,783	47
85,335		88,410	-3,075
54,437		55,877	-1,440
31,182		31,636	-454
2,500		2,309	191
1,466		1,289	177
1,288		780	508

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-87
			-18
			690
			47
			-3,075
			-1,440
			-454
			191
			177
			508

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
176 ASG GLOBAL ALTERNATIVES-Y #1993		2013-07-12	2017-01-20
194 ASG GLOBAL ALTERNATIVES-Y #1993		2013-07-12	2017-12-29
138 NEUBERGER BERMAN LONG SH-INS #1830		2014-07-10	2017-01-20
719 NEUBERGER BERMAN LONG SH-INS #1830		2014-07-10	2017-02-17
364 NEUBERGER BERMAN LONG SH-INS #1830		2017-10-26	2017-12-29
1173 969 OPPENHEIMER DEVELOPING MKT-I #799		2016-01-25	2017-02-17
729 731 OPPENHEIMER DEVELOPING MKT-I #799		2017-01-26	2017-02-17
15 PNC FINANCIAL SERVICES GROUP		2015-05-27	2017-01-20
5 PNC FINANCIAL SERVICES GROUP		2015-05-27	2017-12-29
5 PNC FINANCIAL SERVICES GROUP		2017-02-17	2017-12-29

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,811		2,024	-213
2,177		2,231	-54
1,805		1,791	14
9,613		9,333	280
5,333		5,158	175
40,467		37,605	2,862
25,154		24,643	511
1,754		1,440	314
724		480	244
724		633	91

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-213
			-54
			14
			280
			175
			2,862
			511
			314
			244
			91

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
611 787 BOSTON P LNG/SHRT RES-INS #7015		2017-05-26	2017-10-23
608 15 BOSTON P LNG/SHRT RES-INS #7015		2017-02-17	2017-10-23
15 ROCHE HOLDINGS LTD - ADR		2016-04-25	2017-01-20
20 ROCHE HOLDINGS LTD - ADR		2016-04-25	2017-01-20
15 ROCHE HOLDINGS LTD - ADR		2015-02-27	2017-07-24
20 ROCHE HOLDINGS LTD - ADR		2015-02-27	2017-12-29
965 831 T ROWE PRICE INST FLOAT RATE #170		2014-07-10	2017-01-20
226 169 T ROWE PRICE INST FLOAT RATE #170		2016-08-24	2017-01-20
195 931 T ROWE PRICE INST FLOAT RATE #170		2016-08-24	2017-02-17
179 069 T ROWE PRICE INST FLOAT RATE #170		2016-04-27	2017-02-17

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
10,088		9,758	330
10,028		9,700	328
436		481	-45
582		641	-59
481		511	-30
632		682	-50
9,745		9,929	-184
2,282		2,262	20
1,975		1,959	16
1,805		1,775	30

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			330
			328
			-45
			-59
			-30
			-50
			-184
			20
			16
			30

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
182 066 T ROWE PRICE REAL ESTATE FD #122		2017-01-26	2017-02-17
5 934 T ROWE PRICE REAL ESTATE FD #122		2016-08-24	2017-02-17
9 954 T ROWE PR REAL ESTATE-I #432		2017-01-26	2017-12-29
178 046 T ROWE PR REAL ESTATE-I #432		2017-07-28	2017-12-29
8 SPDR DJ WILSHIRE INTERNATIONAL REAL		2011-02-24	2017-07-24
76 SPDR DJ WILSHIRE INTERNATIONAL REAL		2011-02-24	2017-12-29
10 00054 SCHLUMBERGER LTD		2014-02-25	2017-01-20
9 99946 SCHLUMBERGER LTD		2014-11-24	2017-01-20
15 SPIRIT AEROSYTSEMS HOLD-CL A		2016-10-03	2017-01-20
30 SPIRIT AEROSYTSEMS HOLD-CL A		2016-10-03	2017-10-23

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
5,216		5,196	20
170		177	-7
286		291	-5
5,112		5,101	11
311		310	1
3,076		2,940	136
863		925	-62
863		955	-92
848		678	170
2,407		1,356	1,051

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			20
			-7
			-5
			11
			1
			136
			-62
			-92
			170
			1,051

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
28 STERLING CAPITAL STRATTON SMALL CAP		2016-08-24	2017-01-20
247 345 STERLING CAPITAL STRATTON SMALL CAP		2016-01-25	2017-02-17
61 301 STERLING CAPITAL STRATTON SMALL CAP		2016-08-24	2017-02-17
30 SUNCOR ENERGY INC NEW F		2015-07-30	2017-01-20
15 SUNCOR ENERGY INC NEW F		2016-12-08	2017-01-20
5 SUNCOR ENERGY INC NEW F		2016-12-08	2017-01-20
15 SUNCOR ENERGY INC NEW F		2017-01-05	2017-07-24
5 SUNCOR ENERGY INC NEW F		2015-07-30	2017-07-24
20 SUNCOR ENERGY INC NEW F		2016-08-24	2017-12-29
10 TJX COMPANIES INC		2014-02-25	2017-01-20

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2,318		2,196	122
21,101		18,441	2,660
5,230		4,807	423
952		846	106
476		486	-10
159		162	-3
460		494	-34
153		141	12
735		562	173
754		610	144

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			122
			2,660
			423
			106
			-10
			-3
			-34
			12
			173
			144

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
5 TARGET CORP		2016-08-24	2017-01-20
15 TARGET CORP		2014-02-25	2017-01-20
10 TARGET CORP		2016-08-24	2017-01-20
10 TARGET CORP		2016-09-21	2017-07-24
5 THERMO FISHER SCIENTIFIC INC		2016-08-24	2017-01-20
5 THERMO FISHER SCIENTIFIC INC		2016-09-21	2017-07-24
10 0004 TOTAL S A - ADR		2014-02-25	2017-01-20
9 9996 TOTAL S A - ADR		2014-02-25	2017-01-20
10 TOTAL S A - ADR		2014-02-25	2017-07-24
15 UNION PACIFIC CORP		2014-02-25	2017-01-20

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
319		358	-39
956		847	109
638		717	-79
541		737	-196
718		755	-37
910		827	83
512		636	-124
512		636	-124
496		636	-140
1,620		1,339	281

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-39
			109
			-79
			-196
			-37
			83
			-124
			-124
			-140
			281

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
10 UNION PACIFIC CORP		2017-02-17	2017-10-23
5 UNITED PARCEL SERVICE-CL B		2016-08-24	2017-01-20
10 UNITED PARCEL SERVICE-CL B		2014-02-25	2017-12-29
15 UNITEDHEALTH GROUP INC		2016-08-24	2017-01-20
5 UNITEDHEALTH GROUP INC		2017-02-17	2017-07-24
10 UNITEDHEALTH GROUP INC		2014-07-14	2017-10-23
110 VANGUARD INTERMEDIATE TERM B		2017-06-07	2017-12-29
284 VANGUARD SHORT TERM BOND ETF		2017-02-17	2017-06-07
7 VANGUARD SHORT TERM BOND ETF		2017-07-24	2017-12-29
35 VANGUARD SHORT TERM BOND ETF		2017-02-17	2017-12-29

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
1,133		1,093	40
573		548	25
1,194		955	239
2,376		2,098	278
955		786	169
2,077		799	1,278
9,212		9,354	-142
22,689		22,640	49
554		560	-6
2,768		2,790	-22

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			40
			25
			239
			278
			169
			1,278
			-142
			49
			-6
			-22

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
174 VANGUARD FTSE DEVELOPED ETF		2017-02-17	2017-07-24
29 VANGUARD FTSE DEVELOPED ETF		2017-02-17	2017-10-23
161 VANGUARD FTSE DEVELOPED ETF		2017-02-17	2017-12-29
120 92608 VANGUARD INFLAT-PROT SECS-ADM #5119		2016-10-12	2017-02-17
99 07392 VANGUARD INFLAT-PROT SECS-ADM #5119		2016-08-24	2017-02-17
202 VANGUARD FTSE EMERGING MARKETS ETF		2017-02-17	2017-07-24
47 VANGUARD FTSE EMERGING MARKETS ETF		2017-02-17	2017-10-23
137 VANGUARD FTSE EMERGING MARKETS ETF		2017-02-17	2017-12-29
10 00097 EATON CORP PLC		2014-02-25	2017-01-20
4 99903 EATON CORP PLC		2014-02-25	2017-01-20

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
7,328		6,693	635
1,272		1,115	157
7,234		6,193	1,041
3,111		3,193	-82
2,549		2,601	-52
8,619		7,896	723
2,089		1,837	252
6,291		5,355	936
678		713	-35
339		356	-17

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			635
			157
			1,041
			-82
			-52
			723
			252
			936
			-35
			-17



**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
5 EATON CORP PLC		2014-03-25	2017-07-24
10 TE CONNECTIVITY LTD		2016-11-08	2017-01-20
10 TE CONNECTIVITY LTD		2016-11-08	2017-12-29
CAPITAL GAIN DIVIDENDS	P		

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
393		360	33
697		653	44
952		653	299
			1,492

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			33
			44
			299

**TY 2017 Accounting Fees Schedule****Name:** CRISP SMITH MILLER TRUST**EIN:** 35-2337949**Accounting Fees Schedule**

<b>Category</b>	<b>Amount</b>	<b>Net Investment Income</b>	<b>Adjusted Net Income</b>	<b>Disbursements for Charitable Purposes</b>
TAX PREPARATION FEE (NON-ALLOC	1,000			1,000

**TY 2017 Compensation Explanation**

**Name:** CRISP SMITH MILLER TRUST

**EIN:** 35-2337949

Person Name	Explanation
WELLS FARGO BANK NA	See attached

**TY 2017 Investments Corporate Bonds Schedule****Name:** CRISP SMITH MILLER TRUST**EIN:** 35-2337949**Investments Corporate Bonds Schedule**

<b>Name of Bond</b>	<b>End of Year Book Value</b>	<b>End of Year Fair Market Value</b>
256210105 DODGE & COX INCOME F		
315920702 FID ADV EMER MKTS IN	76,663	80,176
4812C0803 JPMORGAN HIGH YIELD-	17,874	18,664
77958B402 T ROWE PRICE INST FL	8,210	8,288
091936732 BLACKROCK GL L/S CRE		
592905509 MET WEST TOTAL RETUR		
922031737 VANGUARD INFLAT-PROT	9,212	9,235
921937819 VANGUARD INTERMEDIAT	125,574	125,577
921937827 VANGUARD SHORT TERM	47,754	47,381

**TY 2017 Investments Corporate Stock Schedule**

**Name:** CRISP SMITH MILLER TRUST  
**EIN:** 35-2337949

Name of Stock	End of Year Book Value	End of Year Fair Market Value
339128100 JP MORGAN MID CAP VA		
256206103 DODGE & COX INT'L ST		
922908553 VANGUARD REIT VIPER	17,219	19,666
22544R305 CREDIT SUISSE COMM R		
78463X863 SPDR DJ WILSHIRE INT	39,402	42,757
04314H600 ARTISAN MID CAP FUND		
277923728 EATON VANCE GLOBAL M		
63872T885 ASG GLOBAL ALTERNATI	27,567	28,073
00203H859 AQR MANAGED FUTURES	46,191	42,298
008252108 AFFILIATED MANAGERS	5,812	8,210
03524A108 ANHEUSER-BUSCH INBEV		
037833100 APPLE INC	8,835	19,292
084670702 BERKSHIRE HATHAWAY I	2,843	4,956
09247X101 BLACKROCK INC	3,459	5,651
097023105 BOEING CO	2,464	5,898
12572Q105 CME GROUP INC	2,199	4,382
126650100 CVS HEALTH CORPORATI	7,004	6,888
150870103 CELANESE CORP	4,033	8,031
17275R102 CISCO SYSTEMS INC	7,259	11,873
172967424 CITIGROUP INC.	6,509	10,417
20030N101 COMCAST CORP CLASS A	6,338	9,812
231021106 CUMMINS INC.		
25243Q205 DIAGEO PLC - ADR	5,285	6,571
254687106 WALT DISNEY CO	4,009	5,376
375558103 GILEAD SCIENCES INC	4,538	4,298
38141G104 GOLDMAN SACHS GROUP		
404280406 HSBC - ADR		
46625H100 JPMORGAN CHASE & CO	4,856	9,090
478366107 JOHNSON CONTROLS INC		
517834107 LAS VEGAS SANDS CORP	4,670	5,907

Name of Stock	End of Year Book Value	End of Year Fair Market Value
58155Q103 MCKESSON CORP	5,140	4,679
589509207 MERGER FUND-INST #30		
594918104 MICROSOFT CORP	8,611	17,108
641069406 NESTLE S.A. REGISTER		
64128R608 NEUBERGER BERMAN LON	65,379	70,273
68389X105 ORACLE CORPORATION		
683974604 OPPENHEIMER DEVELOPI		
806857108 SCHLUMBERGER LTD	8,215	6,402
872540109 TJX COMPANIES INC	3,211	3,823
87612E106 TARGET CORP	3,105	3,589
883556102 THERMO FISHER SCIENT	4,315	6,646
89151E109 TOTAL S.A. - ADR	7,626	8,016
907818108 UNION PACIFIC CORP	7,121	10,728
911312106 UNITED PARCEL SERVIC	5,393	6,553
91324P102 UNITEDHEALTH GROUP I	3,764	11,023
G29183103 EATON CORP PLC	4,155	4,741
02079K107 ALPHABET INC CL C	6,683	12,557
025083320 AMER CENT SMALL CAP		
03076C106 AMERIPRISE FINL INC	6,142	9,321
04314H402 ARTISAN INTERNATIONAL		
693475105 PNC FINANCIAL SERVIC	6,517	10,100
771195104 ROCHE HOLDINGS LTD -	7,174	6,790
779919109 T ROWE PRICE REAL ES		
85917K546 STERLING CAPITAL STR		
867224107 SUNCOR ENERGY INC NE	6,400	8,629
00888Y508 INV BALANCE RISK COM		
072730302 BAYER AG - ADR	3,462	4,353
192446102 COGNIZANT TECH SOLUT	7,008	8,878
405217100 HAIN CELESTIAL GROUP	4,018	4,875
532457108 ELI LILLY & CO COM	4,589	5,068

<b>Name of Stock</b>	<b>End of Year Book Value</b>	<b>End of Year Fair Market Value</b>
56501R106 MANULIFE FINANCIAL C	5,438	7,927
58933Y105 MERCK & CO INC NEW	5,361	5,627
848574109 SPIRIT AEROSYTSEMS H	2,841	5,235
H84989104 TE CONNECTIVITY LTD	5,484	7,603
09258N505 BLACKROCK GL L/S CRE	46,696	47,148
277923264 EATON VANCE GLOB MAC	40,881	39,656
437076102 HOME DEPOT INC	2,939	3,791
464287606 ISHARES S&P MID-CAP	57,217	64,533
464287705 ISHARES S&P MID-CAP	59,652	63,564
464287804 ISHARES CORE S&P SMA	38,317	42,015
47803M168 JOHN HANCOCK II-ABSL	27,118	26,646
654106103 NIKE INC CL B	6,344	7,193
779919307 T ROWE PR REAL ESTAT	72,113	73,980
921943858 VANGUARD FTSE DEVELO	83,469	97,346
922042858 VANGUARD FTSE EMERGI	71,339	83,786
98978V103 ZOETIS INC	2,757	3,602

**TY 2017 Other Decreases Schedule****Name:** CRISP SMITH MILLER TRUST**EIN:** 35-2337949

Description	Amount
MUTUAL FUND TAX EFFECTIVE DATE BEFORE TYE	642
ROC BASIS ADJUSTMENT	386
VARIOUS UNSETTLED TRADES CROSSED TYE	8,246
FEDERAL BASIS EATON CORP ADJUSTED	679
FEDERAL BASIS VANGUARD INFLAT-PROT	104



**TY 2017 Other Expenses Schedule****Name:** CRISP SMITH MILLER TRUST**EIN:** 35-2337949**Other Expenses Schedule**

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ADR FEES	20	20		0

**TY 2017 Other Increases Schedule****Name:** CRISP SMITH MILLER TRUST**EIN:** 35-2337949

Description	Amount
MUTUAL FUND TAX EFFECTIVE DATE BEFORE TYE	862
ROUNDING	19

**TY 2017 Taxes Schedule****Name:** CRISP SMITH MILLER TRUST**EIN:** 35-2337949

<b>Category</b>	<b>Amount</b>	<b>Net Investment Income</b>	<b>Adjusted Net Income</b>	<b>Disbursements for Charitable Purposes</b>
FOREIGN TAXES	337	337		0
FEDERAL TAX PAYMENT - PRIOR YE	185	0		0
FEDERAL ESTIMATES - PRINCIPAL	300	0		0
FOREIGN TAXES ON QUALIFIED FOR	271	271		0
FOREIGN TAXES ON NONQUALIFIED	245	245		0