

EXTENDED TO NOVEMBER 15, 2018

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter social security numbers on this form as it may be made public.

Go to www.irs.gov/Form990PF for instructions and the latest information.

OMB No 1545-0052

2017

Open to Public Inspection

Form 990-PF

Department of the Treasury
Internal Revenue Service

For calendar year 2017 or tax year beginning

, and ending

Name of foundation
THE STOCKER FOUNDATION

Number and street (or P.O. box number if mail is not delivered to street address) Room/suite
1005 N. ABBE ROAD #200

City or town, state or province, country, and ZIP or foreign postal code
ELYRIA, OH 44035

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity
☐ Final return ☐ Amended return
☒ Address change ☐ Name change

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation **04**
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, col. (c), line 16)
\$ 50,229,689.

J Accounting method: ☒ Cash ☐ Accrual
☐ Other (specify) _____

A Employer identification number
34-1293603

B Telephone number
440-246-5719

C If exemption application is pending, check here ☐ **6**

D 1 Foreign organizations, check here ☐

2 Foreign organizations meeting the 85% test check here and attach computation ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ☐

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received			N/A	
	2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments	310.	310.		STATEMENT 1
	4 Dividends and interest from securities	302,171.	302,171.		STATEMENT 2
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	2,489,862.			
	b Gross sales price for all assets on line 6a	7,794,486.			
	7 Capital gain net income (from Part IV, line 2)		2,489,862.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income	1,105,090.	1,105,090.		STATEMENT 3	
12 Total Add lines 1 through 11	3,897,433.	3,897,433.			
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc.	109,877.	0.		109,877.
	14 Other employee salaries and wages	66,686.	0.		66,686.
	15 Pension plans, employee benefits	37,832.	0.		37,832.
	16a Legal fees				
	b Accounting fees STMT 4	8,700.	0.		8,700.
	c Other professional fees STMT 5	198,295.	189,445.		8,850.
	17 Interest				
	18 Taxes STMT 6	52,273.	0.		14,993.
	19 Depreciation and depletion	500.	0.		
	20 Occupancy	10,212.	0.		10,212.
	21 Travel, conferences, and meetings	21,994.	0.		21,994.
	22 Printing and publications	1,200.	0.		1,200.
	23 Other expenses STMT 7	30,705.	0.		30,705.
	24 Total operating and administrative expenses Add lines 13 through 23	538,274.	189,445.		311,049.
	25 Contributions, gifts, grants paid	2,272,858.			2,272,858.
26 Total expenses and disbursements Add lines 24 and 25	2,811,132.	189,445.		2,583,907.	
27 Subtract line 26 from line 12.					
a Excess of revenue over expenses and disbursements	1,086,301.				
b Net investment income (if negative, enter -0-)		3,707,988.			
c Adjusted net income (if negative, enter -0-)			N/A		

Part II Balance Sheets		Beginning of year	End of year	
			(a) Book Value	(b) Book Value
Assets	1 Cash - non-interest-bearing			
	2 Savings and temporary cash investments	5,129,119.	4,728,447.	4,728,447.
	3 Accounts receivable ▶ Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶ Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶ Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges	21,580.		
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock STMT 9	14,926,044.	17,077,575.	21,918,447.
	c Investments - corporate bonds			
	11 Investments - land, buildings, and equipment basis ▶ Less: accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other STMT 10	19,700,112.	20,098,255.	23,581,761.
Liabilities	14 Land, buildings, and equipment basis ▶ 45,366. Less: accumulated depreciation ▶ 45,183.	683.	183.	183.
	15 Other assets (describe ▶ SECURITY DEPOSIT)	851.	851.	851.
	16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)	39,778,389.	41,905,311.	50,229,689.
	17 Accounts payable and accrued expenses		343.	
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶ FEDERAL EXCISE TAX)	0.	8,200.	
	23 Total liabilities (add lines 17 through 22)	0.	8,543.	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 24 through 26, and lines 30 and 31			
	24 Unrestricted	39,778,389.	41,896,768.	
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 27 through 31			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg., and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
	30 Total net assets or fund balances	39,778,389.	41,896,768.	
	31 Total liabilities and net assets/fund balances	39,778,389.	41,905,311.	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	39,778,389.
2 Enter amount from Part I, line 27a	2	1,086,301.
3 Other increases not included in line 2 (itemize) ▶ SEE STATEMENT 8	3	1,037,578.
4 Add lines 1, 2, and 3	4	41,902,268.
5 Decreases not included in line 2 (itemize) ▶ REPLACEMENT 2016 GRANT	5	5,500.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	41,896,768.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a			
b SEE ATTACHED STATEMENT			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) ((e) plus (f) minus (g))
a			
b			
c			
d			
e 7,794,486.		5,304,624.	2,489,862.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69.

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			
b			
c			
d			
e			2,489,862.

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	2,489,862.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	{ }	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation doesn't qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2016	2,376,300.	44,936,681.	.052881
2015	2,616,548.	46,906,973.	.055782
2014	2,308,449.	48,547,758.	.047550
2013	2,291,759.	46,638,019.	.049139
2012	2,050,823.	44,401,933.	.046188

2 Total of line 1, column (d)	2	.251540
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years	3	.050308
4 Enter the net value of noncharitable-use assets for 2017 from Part X, line 5	4	47,114,236.
5 Multiply line 4 by line 3	5	2,370,223.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	37,080.
7 Add lines 5 and 6	7	2,407,303.
8 Enter qualifying distributions from Part XII, line 4	8	2,583,907.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.
See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1.

Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)

b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b

c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations, enter 4% of Part I, line 12, col. (b).

2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)

3 Add lines 1 and 2

4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)

5 Tax based on investment income Subtract line 4 from line 3. If zero or less, enter -0-

6 Credits/Payments

a 2017 estimated tax payments and 2016 overpayment credited to 2017

b Exempt foreign organizations - tax withheld at source

c Tax paid with application for extension of time to file (Form 8868)

d Backup withholding erroneously withheld

7 Total credits and payments Add lines 6a through 6d

8 Enter any penalty for underpayment of estimated tax. Check here ☒ if Form 2220 is attached

9 Tax due If the total of lines 5 and 8 is more than line 7, enter amount owed

10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid

11 Enter the amount of line 10 to be: Credited to 2018 estimated tax ☐ 12,807. Refunded ☐**Part VII-A Statements Regarding Activities**

1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?

b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition. If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities

c Did the foundation file Form 1120-POL for this year?

d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year:

(1) On the foundation. ☐ \$ 0. (2) On foundation managers. ☐ \$ 0.e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ☐ \$ 0.

2 Has the foundation engaged in any activities that have not previously been reported to the IRS?

If "Yes," attach a detailed description of the activities.

3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes

4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?

b If "Yes," has it filed a tax return on Form 990-T for this year?

5 Was there a liquidation, termination, dissolution, or substantial contraction during the year?

If "Yes," attach the statement required by General Instruction T

6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:

• By language in the governing instrument, or

• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?

7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV

8a Enter the states to which the foundation reports or with which it is registered. See instructions. ☐

OH

b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation

9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2017 or the tax year beginning in 2017? See the instructions for Part XIV. If "Yes," complete Part XIV

10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses

N/A

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Part VII-A Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions		X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <u>WWW.STOCKERFOUNDATION.ORG</u>	X	
14 The books are in care of ► <u>THE STOCKER FOUNDATION</u> Telephone no. ► <u>440-246-5719</u> Located at ► <u>1005 N. ABBE ROAD SUITE 200, ELYRIA, OH</u> ZIP+4 ► <u>44035</u>		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - check here and enter the amount of tax-exempt interest received or accrued during the year		
16 At any time during calendar year 2017, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ►		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year, did the foundation (either directly or indirectly): (1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (6) Agree to pay money or property to a government official? (Exception Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions Organizations relying on a current notice regarding disaster assistance, check here	N/A	
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2017?		X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2017, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2017? If "Yes," list the years ►	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions)	N/A	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ►		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If "Yes," did it have excess business holdings in 2017 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2017.)	N/A	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2017?		X

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Part VII-B. Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year, did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions

☒ Yes ☐ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No**b** If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions

Organizations relying on a current notice regarding disaster assistance, check here

☐**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?☐ Yes ☒ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870

6b

X**7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII. Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, and foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 11		109,877.	22,011.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	42,902,928.
b	Average of monthly cash balances	1b	4,928,784.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	47,831,712.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	47,831,712.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	717,476.
5	Net value of noncharitable-use assets Subtract line 4 from line 3. Enter here and on Part V, line 4	5	47,114,236.
6	Minimum investment return Enter 5% of line 5	6	2,355,712.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	2,355,712.
2a	Tax on investment income for 2017 from Part VI, line 5	2a	37,080.
b	Income tax for 2017 (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	37,080.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	2,318,632.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	2,318,632.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	2,318,632.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	2,583,907.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions Add lines 1a through 3b. Enter here and on Part V, line 8; and Part XIII, line 4	4	2,583,907.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	37,080.
6	Adjusted qualifying distributions Subtract line 5 from line 4	6	2,546,827.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2016	(c) 2016	(d) 2017
1 Distributable amount for 2017 from Part XI, line 7				2,318,632.
2 Undistributed income, if any, as of the end of 2017				
a Enter amount for 2016 only			0.	
b Total for prior years.		0.		
3 Excess distributions carryover, if any, to 2017				
a From 2012				
b From 2013	1,078.			
c From 2014				
d From 2015	320,919.			
e From 2016	156,684.			
f Total of lines 3a through e	478,681.			
4 Qualifying distributions for 2017 from Part XII, line 4. \blacktriangleright \$ 2,583,907.				
a Applied to 2016, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2017 distributable amount				2,318,632.
e Remaining amount distributed out of corpus	265,275.			
5 Excess distributions carryover applied to 2017 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 Enter the net total of each column as indicated below.	743,956.			
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5				
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2016. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2017. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2018				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2012 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2018. Subtract lines 7 and 8 from line 6a	743,956.			
10 Analysis of line 9				
a Excess from 2013	1,078.			
b Excess from 2014				
c Excess from 2015	320,919.			
d Excess from 2016	156,684.			
e Excess from 2017	265,275.			

N/A

- ☐ 4942(1)(3) or ☒ 4942(1)(5)

- (4) Gross investment income

[illegible]

2734-011

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
SEE ATTACHED				2,272,858.
Total			3a	2,272,858.
b Approved for future payment				
NONE				
Total			3b	0.

THE STOCKER FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	122,420.302 SHARES BBH CORE SELECT	P	VARIOUS	04/17/17
b	24,501.5210 ADVISORS INTER CIRCLE EDGEWOOD	P	VARIOUS	10/13/17
c	784.269 SHARES WELLINGTON CTF	P	VARIOUS	12/31/17
d	2502.704 SHARES WELLING CTF MICRO	P	VARIOUS	12/31/17
e	57,013.11 SHARES WELLINGTON CTF RESEARCH	P	VARIOUS	12/31/17
f	OZ OVERSEAS FUND	P	VARIOUS	07/01/17
g	STEADFAST - CLASS I SERIES	P	VARIOUS	07/01/17
h	CONVEXITY CAPITAL OFFSHORE	P	VARIOUS	07/01/17
i	CAPITAL GAINS DIVIDENDS			
j				
k				
l				
m				
n				
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 2,592,862.		2,129,873.	462,989.
b 725,000.		442,012.	282,988.
c 9,318.		10,809.	-1,491.
d 33,439.		12,511.	20,928.
e 747,867.		327,412.	420,455.
f 2,035,227.		1,514,715.	520,512.
g 790,588.		500,000.	290,588.
h 393,676.	0.	367,292.	26,384.
i 466,509.			466,509.
j			
k			
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			462,989.
b			282,988.
c			-1,491.
d			20,928.
e			420,455.
f			520,512.
g			290,588.
h			26,384.
i			466,509.
j			
k			
l			
m			
n			
o			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	2,489,862.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8	}	3	N/A

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
INTEREST INCOME - KEYBANK CHECKING	310.	310.	
TOTAL TO PART I, LINE 3	310.	310.	

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
KEYBANK - EDGEWOOD	19.	0.	19.	19.	
KEYBANK - SHORT TERM CUST	56,009.	0.	56,009.	56,009.	
KEYBANK BRO HARIMAN	424,243.	409,444.	14,799.	14,799.	
KEYBANK CORE ACCOUNT	32,020.	0.	32,020.	32,020.	
KEYBANK DODGE & COX	57,339.	0.	57,339.	57,339.	
KEYBANK JENSEN	29,674.	0.	29,674.	29,674.	
KEYBANK LONGLEAF	71,843.	57,065.	14,778.	14,778.	
KEYBANK VIC FD	39,665.	0.	39,665.	39,665.	
VANGUARD ENERGY	16,883.	0.	16,883.	16,883.	
VANGUARD ENERGY - U S GOVT	30.	0.	30.	30.	
VANGUARD INDEX	40,955.	0.	40,955.	40,955.	
TO PART I, LINE 4	768,680.	466,509.	302,171.	302,171.	

FORM 990-PF	OTHER INCOME		STATEMENT	3
DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	
MISCELLANEOUS INCOME	11,789.	11,789.		
PARTNERSHIP INCOME NEWPORT ASIA	120,724.	120,724.		
PARTNERSHIP INCOME WELLINGTON CTF				
DIVERSIFIED	98,107.	98,107.		
PARTNERSHIP INCOME WELLINGTON MICRO				
CAP EQUITY	285,324.	285,324.		
PARTNERSHIP INCOME WELLINGTON				
RESEARCH	358,901.	358,901.		
PARTNERSHIP INCOME BRAHMAN	225,358.	225,358.		
PARTNERSHIP INCOME GOG PARTNERS				
EMERGING MARKET	4,887.	4,887.		
TOTAL TO FORM 990-PF, PART I, LINE 11	1,105,090.	1,105,090.		

FORM 990-PF	ACCOUNTING FEES		STATEMENT	4
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
PROFESSIONAL FEES	8,700.	0.		8,700.
TO FORM 990-PF, PG 1, LN 16B	8,700.	0.		8,700.

FORM 990-PF	OTHER PROFESSIONAL FEES		STATEMENT	5
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
TRUST MANAGEMENT FEE	189,445.	189,445.		0.
CONSULTANTS	8,850.	0.		8,850.
TO FORM 990-PF, PG 1, LN 16C	198,295.	189,445.		8,850.

FORM 990-PF	TAXES		STATEMENT	6
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FEDERAL EXCISE TAX	37,280.	0.		0.
PAYROLL TAXES	14,993.	0.		14,993.
TO FORM 990-PF, PG 1, LN 18	52,273.	0.		14,993.

FORM 990-PF	OTHER EXPENSES		STATEMENT	7
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
DUES AND SUBSCRIPTIONS	1,475.	0.		1,475.
INSURANCE	1,584.	0.		1,584.
OFFICE SUPPLIES	3,218.	0.		3,218.
OHIO FILLING FEE	200.	0.		200.
TELEPHONE AND UTILITIES	2,034.	0.		2,034.
REPAIRS AND MAINTENANCE	10,994.	0.		10,994.
MISCELLANEOUS	1,552.	0.		1,552.
WEB SITE EXPENSE	2,500.	0.		2,500.
EQUIPMENT LEASE	3,652.	0.		3,652.
COMMUNITY RELATION	3,496.	0.		3,496.
TO FORM 990-PF, PG 1, LN 23	30,705.	0.		30,705.

FORM 990-PF	OTHER INCREASES IN NET ASSETS OR FUND BALANCES	STATEMENT	8
DESCRIPTION		AMOUNT	
PARTNERSHIP K-1 EXPENSES ON BOOKS NON DEDUCTIBLE ON TAX		1,037,578.	
TOTAL TO FORM 990-PF, PART III, LINE 3		1,037,578.	

FORM 990-PF		CORPORATE STOCK	STATEMENT	9
DESCRIPTION		BOOK VALUE	FAIR MARKET VALUE	
MUTUAL FUNDS		17,077,575.	21,918,447.	
TOTAL TO FORM 990-PF, PART II, LINE 10B		17,077,575.	21,918,447.	

FORM 990-PF		OTHER INVESTMENTS	STATEMENT	10
DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE	
PUBLIC TRADED PARTNERSHIP	COST	13,383,341.	13,968,075.	
POOLED INVESTMENT FUNDS	COST	6,714,914.	9,613,686.	
TOTAL TO FORM 990-PF, PART II, LINE 13		20,098,255.	23,581,761.	

FORM 990-PF PART VIII - LIST OF OFFICERS, DIRECTORS STATEMENT 11
 TRUSTEES AND FOUNDATION MANAGERS

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
SARA JANE NORTON 1005 N. ABBE ROAD SUITE 200 ELYRIA, OH 44035	TRUSTEE 0.00	0.	0.	0.
MARY ANN DOBRAS 1005 N. ABBE ROAD SUITE 200 ELYRIA, OH 44035	TRUSTEE 0.00	0.	0.	0.
NANCY WOODLING 1005 N. ABBE ROAD SUITE 200 ELYRIA, OH 44035	TRUSTEE 0.00	0.	0.	0.
KEYBANK NATIONAL ASSOCIATION 127 PUBLIC SQUARE CLEVELAND, OH 44114	TRUST MANAGER 0.00	0.	0.	0.
BRENT NORTON 1005 N. ABBE ROAD SUITE 200 ELYRIA, OH 44035	PRESIDENT 0.00	0.	0.	0.
PATRICIA O'BRIEN 1005 N. ABBE ROAD SUITE 200 ELYRIA, OH 44035	EXECUTIVE DIRECTOR 40.00	109,877.	22,011.	0.
JERRY HUMBLE 1005 N. ABBE ROAD SUITE 200 ELYIRA, OH 44035	SECRETARY 0.00	0.	0.	0.
BENJAMIN P. NORTON 1005 N. ABBE ROAD SUITE 200 ELYRIA, OH 44035	TREASURER 0.00	0.	0.	0.
DAWN DOBRAS 1005 N. ABBE ROAD SUITE 200 ELYRIA, OH 44035	TRUSTEE 0.00	0.	0.	0.
MONTICELLO ASSOCIATES 1800 LARIMER DENVER, CO 80202	TRUST MANAGER 0.00	0.	0.	0.
KAITLIN HUMBLE 1005 N. ABBE ROAD SUITE 200 ELYRIA, OH 44035	TRUSTEE 0.00	0.	0.	0.

THE STOCKER FOUNDATION

34-1293603

AMY DOBRAS	TRUSTEE			
1005 N. ABBE ROAD SUITE 200	0.00	0.	0.	0.
ELYRIA, OH 44035				

BRADLEY NORTON	TRUSTEE			
1005 N. ABBE ROAD SUITE 200	0.00	0.	0.	0.
ELYRIA, OH 44035				

TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII	109,877.	22,011.	0.
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THE STOCKER FOUNDATION

FORM 990-PF 2017, PART II BALANCE SHEET—Line 14-Land, buildings, and
equipment basis

34 - 1293603

December 31, 2017

	Cost	Accumulated Depreciation	Net Book Value
Computer equipment	38,131	37,948	183
Furniture and Fixtures	7,235	7,235	-0-
Total	45,366	45,183	183

THE STOCKER FOUNDATION

FORM 990-PF 2017 PART XV -- Supplementary Information
34 - 1293603

December 31, 2017

Applications for grants can be made at any time during the year. However, the Foundation will have one competitive grant cycle for review and distribution.

Proposals are to be submitted following the Foundation's guidelines to:

The Stocker Foundation
1005 N. Abbe Road #200
Elyria, Ohio 44035
(216) 246-5719

Grants are awarded primarily for the benefit of the Lorain County, (Ohio), Pima County (Arizona), San Francisco County (California), Bernalillo and Dona Ana Counties (New Mexico) and King County (Washington). They are restricted to those organizations whose exempt function is for charitable, medical, scientific or educational purposes, with emphasis on innovative short-term youth development, public education, aid to the handicapped and disadvantaged, cultural programs, women's issues and programs that have the promise of a solution. No grants are awarded to individuals, government services, public school services required by law, deficit financing, loans, annual campaigns, religious organizations for religious purposes, propaganda or political causes.

Applicants should call or write to The Stocker Foundation for application guidelines before submitting a proposal.

The Stocker Foundation
E I N #34-1293603
Attachment to 2017 Form 990-PF
Return of Private Foundation
Statement Required by Reg 53.4945-5(d)

Grantee Organization	Date Paid	Total Paid	Purpose of Grant	Amount of Grant Spent by Grantee	Diversion	Reporting	Verification
Border Servant Corps Peace Lutheran Church 1701 E Missoun Ave Las Cruces, New Mexico 88001	Grant \$7,828 Paid \$7,828 Bal \$0 Term 12 Months	\$7,828 00	Most Pressing Need	\$7,828 00	To the knowledge of The Stocker Foundation and based on the report furnished by the grantee no part has been used for other than its intended purpose	12/31/2017	The Stocker Foundation reviewed the Grant Report December 31, 2017 but did not undertake any verification of the grantee's reports as there has not been any reason to doubt their accuracy or reliability
Border Servant Corps Peace Lutheran Church 1701 E Missoun Ave Las Cruces, New Mexico 88001	Grant \$600 Paid \$600 Bal \$0 Term 12 Months	\$600 00	Greatest need	\$600 00	To the knowledge of The Stocker Foundation and based on the report furnished by the grantee no part has been used for other than its intended purpose	12/31/2017	The Stocker Foundation reviewed the Grant Report December 31, 2017 but did not undertake any verification of the grantee's reports as there has not been any reason to doubt their accuracy or reliability
Lorain County Imagination Library Community Foundation of Lorain County 9080 Leavitt Road Elyna, Ohio 44035	Grant \$100,000 Paid \$100,000 Bal \$0 Term 12 Months	\$100,000 00	Dolly Parton's Imagination Library Initiative	\$100,000 00	To the knowledge of The Stocker Foundation and based on the report furnished by the grantee no part has been used for other than its intended purpose	12/31/2017	The Stocker Foundation reviewed the Grant Report December 31, 2017 but did not undertake any verification of the grantee's reports as there has not been any reason to doubt their accuracy or reliability
Lorain County Imagination Library Community Foundation of Lorain County 9080 Leavitt Road Elyna, Ohio 44035	Grant \$800 Paid \$800 Bal \$0 Term 12 Months	\$800 00	Dolly Parton's Imagination Library Initiative	\$800 00	To the knowledge of The Stocker Foundation and based on the report furnished by the grantee no part has been used for other than its intended purpose	12/31/2017	The Stocker Foundation reviewed the Grant Report December 31, 2017 but did not undertake any verification of the grantee's reports as there has not been any reason to doubt their accuracy or reliability
Lorain County Imagination Library Community Foundation of Lorain County 9080 Leavitt Road Elyna, Ohio 44035	Grant \$500 Paid \$500 Bal \$0 Term 12 Months	\$500 00	Dolly Parton's Imagination Library Initiative	\$500 00	To the knowledge of The Stocker Foundation and based on the report furnished by the grantee no part has been used for other than its intended purpose	12/31/2017	The Stocker Foundation reviewed the Grant Report December 31, 2017 but did not undertake any verification of the grantee's reports as there has not been any reason to doubt their accuracy or reliability