Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.
▶ Information about Form 990-PF and its instructions is at *www.irs.gov/form990pf*.

OMB No 1545-0052

2017

Open to Public Inspection

For calendar year 2017, or tax year beginning 01-01-2017 , and ending 12-31-2017

Name of foundation
THE DAVID AND DIANA SUN FOUNDATION

A Employer identification number
33-0868088

Number and street (or P O box number if mail is not delivered to street address) PO BOX 8566 | Room/suite

B Telephone number (see instructions)
(714) 435-2640

City or town, state or province, country, and ZIP or foreign postal code
FOUNTAIN VALLEY, CA 92728

C If exemption application is pending, check here ▶ ☐

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐
2 Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization ☑ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year *(from Part II, col (c), line 16)* ▶$ 58,227,725

J Accounting method ☐ Cash ☑ Accrual ☐ Other (specify) ________
(Part I, column (d) must be on cash basis)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*

			(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)	20,273,052			
	2	Check ▶ ☐ if the foundation is **not** required to attach Sch B				
	3	Interest on savings and temporary cash investments	1,285	1,285		
	4	Dividends and interest from securities	858,336	862,263		
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	1,888,399			
	b	Gross sales price for all assets on line 6a 29,842,221				
	7	Capital gain net income (from Part IV, line 2)		1,774,239		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)				
	12	**Total.** Add lines 1 through 11	23,021,072	2,637,787	0	
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc	0	0	0	0
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)	14,544	7,272	0	7,272
	b	Accounting fees (attach schedule)	23,358	11,679	0	11,679
	c	Other professional fees (attach schedule)				
	17	Interest				
	18	Taxes (attach schedule) (see instructions)	57,351	2,394	0	0
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings	89	45	0	45
	22	Printing and publications				
	23	Other expenses (attach schedule)	206,413	198,962	0	7,453
	24	**Total operating and administrative expenses.** Add lines 13 through 23	301,755	220,352	0	26,449
	25	Contributions, gifts, grants paid	1,568,000			2,989,500
	26	**Total expenses and disbursements.** Add lines 24 and 25	1,869,755	220,352	0	3,015,949
	27	Subtract line 26 from line 12				
	a	**Excess of revenue over expenses and disbursements**	21,151,317			
	b	**Net investment income** (if negative, enter -0-)		2,417,435		
	c	**Adjusted net income**(if negative, enter -0-)			0	

Part II **Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

			Beginning of year (a) Book Value	End of year (b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing			
	2	Savings and temporary cash investments	10,447,042	1,299,542	1,299,542
	3	Accounts receivable ▶ ______ Less allowance for doubtful accounts ▶ ______			
	4	Pledges receivable ▶ ______ Less allowance for doubtful accounts ▶ ______			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ ______ Less allowance for doubtful accounts ▶ ______			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)	26,138,734	56,919,453	56,919,453
	c	Investments—corporate bonds (attach schedule)			
	11	Investments—land, buildings, and equipment basis ▶ ______ Less accumulated depreciation (attach schedule) ▶ ______			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)			
	14	Land, buildings, and equipment basis ▶ ______ Less accumulated depreciation (attach schedule) ▶ ______			
	15	Other assets (describe ▶ ______)	30,736	8,730	8,730
	16	**Total assets** (to be completed by all filers—see the instructions Also, see page 1, item I)	36,616,512	58,227,725	58,227,725
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable	1,421,500		
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶ ______)	132,091	0	
	23	**Total liabilities**(add lines 17 through 22)	1,553,591	0	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here ▶** ☑ **and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted	35,062,921	58,227,725	
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☐ **and complete lines 27 through 31.**			
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	**Total net assets or fund balances** (see instructions)	35,062,921	58,227,725	
	31	**Total liabilities and net assets/fund balances** (see instructions)	36,616,512	58,227,725	

Part III **Analysis of Changes in Net Assets or Fund Balances**

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	35,062,921
2	Enter amount from Part I, line 27a	2	21,151,317
3	Other increases not included in line 2 (itemize) ▶ ______	3	2,013,487
4	Add lines 1, 2, and 3	4	58,227,725
5	Decreases not included in line 2 (itemize) ▶ ______	5	0
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	58,227,725

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 a	PUBLICLY TRADED STOCKS	P		
b	PUBLICLY TRADED STOCKS	P		
c	CAPITAL GAINS DIVIDENDS	P		
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	17,746,343		17,149,797	596,546
b	11,730,028		10,918,185	811,843
c	365,850			365,850
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
a				596,546
b				811,843
c				365,850
d				
e				

2	Capital gain net income or (net capital loss) — If gain, also enter in Part I, line 7; If (loss), enter -0- in Part I, line 7	2	1,774,239
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	596,546

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☑ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2016	1,058,314	21,286,788	0 049717
2015	4,610,602	23,170,604	0 198985
2014	1,905,727	4,511,576	0 422408
2013	2,818,551	1,652,736	1 705385
2012	1,744,329	629,727	2 769977

2	**Total** of line 1, column (d)	2	5 146472
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	1 029294
4	Enter the net value of noncharitable-use assets for 2017 from Part X, line 5	4	37,050,570
5	Multiply line 4 by line 3	5	38,135,929
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	24,174
7	Add lines 5 and 6	7	38,160,103
8	Enter qualifying distributions from Part XII, line 4	8	3,015,949

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI **Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)**

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 Date of ruling or determination letter ________ **(attach copy of letter if necessary–see instructions)**				
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b			1	48,349
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)				
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			2	0
3	Add lines 1 and 2			3	48,349
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			4	0
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-			5	48,349
6	Credits/Payments				
a	2017 estimated tax payments and 2016 overpayment credited to 2017	6a	65,747		
b	Exempt foreign organizations—tax withheld at source	6b			
c	Tax paid with application for extension of time to file (Form 8868)	6c			
d	Backup withholding erroneously withheld	6d	0		
7	Total credits and payments Add lines 6a through 6d			7	265,747
8	Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached			8	104
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶			9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶			10	217,294
11	Enter the amount of line 10 to be **Credited to 2018 estimated tax** ▶ 217,294 **Refunded** ▶			11	0

Part VII-A **Statements Regarding Activities**

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		No
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)?	1b		No
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ▶ $ 0 **(2)** On foundation managers ▶ $ 0			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ 0			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		No
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		No
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ CA			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation*	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2017 or the taxable year beginning in 2017 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		No
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		No

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12	Yes	
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	

Website address ▶N/A

14 The books are in care of ▶ALBERT KONG Telephone no ▶(714) 435-2600

Located at ▶17600 NEWHOPE STREET FOUNTAIN VALLEY CA ZIP+4 ▶92708

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —Check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ 15

		Line	Yes	No
16	At any time during calendar year 2017, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		No
	See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR) If "Yes", enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies. Yes No

1a During the year did the foundation (either directly or indirectly)

(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☑ No

(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☑ No

(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☑ No

(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☑ No

(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☑ No

(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ Yes ☑ No

		Line	Yes	No
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2017?	1c		No

2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))

a At the end of tax year 2017, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2017? ☐ Yes ☑ No

If "Yes," list the years ▶ 20____ , 20____ , 20____ , 20____

		Line	Yes	No
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions)	2b		

c If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here
▶ 20____ , 20____ , 20____ , 20____

3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☑ No

		Line	Yes	No
b	If "Yes," did it have excess business holdings in 2017 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2017).*	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2017?	4b		No

Part VII-B **Statements Regarding Activities for Which Form 4720 May Be Required** (Continued)

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ **Yes** ☑ **No**

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?. . . . . ☐ **Yes** ☑ **No**

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ **Yes** ☑ **No**

(4) Provide a grant to an organization other than a charitable, etc , organization described in section 4945(d)(4)(A)? (see instructions). . . . . ☑ **Yes** ☐ **No**

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?. . . . . ☐ **Yes** ☑ **No**

b If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)? . . . . **5b** No

Organizations relying on a current notice regarding disaster assistance check here. . . . . ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?. . . . . ☑ **Yes** ☐ **No**

If "Yes," attach the statement required by Regulations section 53 4945–5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?. ☐ **Yes** ☑ **No**

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? . . . . **6b** No

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ **Yes** ☑ **No**

b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? **7b**

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Additional Data Table				

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	Title, and average hours per week (b) devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000. ▶ 0

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over $50,000 for professional services. ▶ 0

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments See instructions	
3	
Total. Add lines 1 through 3 ▶	0

Part X Minimum Investment Return (All domestic foundations must complete this part Foreign foundations,see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	33,388,421
b	Average of monthly cash balances.	1b	4,226,371
c	Fair market value of all other assets (see instructions).	1c	0
d	**Total** (add lines 1a, b, and c).	1d	37,614,792
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation). — 1e: 0		
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	37,614,792
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	564,222
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	37,050,570
6	**Minimum investment return.** Enter 5% of line 5.	6	1,852,529

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6.			1	1,852,529
2a	Tax on investment income for 2017 from Part VI, line 5.	2a	48,349		
b	Income tax for 2017 (This does not include the tax from Part VI).	2b			
c	Add lines 2a and 2b.			2c	48,349
3	Distributable amount before adjustments Subtract line 2c from line 1.			3	1,804,180
4	Recoveries of amounts treated as qualifying distributions.			4	0
5	Add lines 3 and 4.			5	1,804,180
6	Deduction from distributable amount (see instructions).			6	0
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.			7	1,804,180

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	3,015,949
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	3,015,949
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions).	5	0
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4.	6	3,015,949

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2016	(c) 2016	(d) 2017
1 Distributable amount for 2017 from Part XI, line 7				1,804,180
2 Undistributed income, if any, as of the end of 2017				
a Enter amount for 2016 only.			0	
b Total for prior years 20___ , 20___ , 20___		0		
3 Excess distributions carryover, if any, to 2017				
a From 2012. 1,712,849				
b From 2013. 2,768,102				
c From 2014. 1,819,325				
d From 2015. 3,458,307				
e From 2016. 86,452				
f **Total** of lines 3a through e.	9,845,035			
4 Qualifying distributions for 2017 from Part XII, line 4 ▶ $ 3,015,949				
a Applied to 2016, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2017 distributable amount.				1,804,180
e Remaining amount distributed out of corpus	1,211,769			
5 Excess distributions carryover applied to 2017 *(If an amount appears in column (d), the same amount must be shown in column (a))*	0			0
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	11,056,804			
b Prior years' undistributed income Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d Subtract line 6c from line 6b Taxable amount —see instructions		0		
e Undistributed income for 2016 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2017 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2018				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0			
8 Excess distributions carryover from 2012 not applied on line 5 or line 7 (see instructions) . . .	1,712,849			
9 **Excess distributions carryover to 2018.** Subtract lines 7 and 8 from line 6a	9,343,955			
10 Analysis of line 9				
a Excess from 2013. . . . 2,768,102				
b Excess from 2014. . . . 1,819,325				
c Excess from 2015. . . . 3,458,307				
d Excess from 2016. . . . 86,452				
e Excess from 2017. . . . 1,211,769				

Part XIV **Private Operating Foundations** (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2017, enter the date of the ruling. . . . . . . ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2017	**(b)** 2016	**(c)** 2015	**(d)** 2014	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c "Support" alternative test—enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV **Supplementary Information (Complete this part only if the organization had $5,000 or more in assets at any time during the year—see instructions.)**

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☑ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or email address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year* See Additional Data Table				
Total . . . . ▶ **3a**				1,568,000
b *Approved for future payment*				
Total . . . . ▶ **3b**				0

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments.					
3 Interest on savings and temporary cash investments			14	1,285	
4 Dividends and interest from securities.			14	858,336	
5 Net rental income or (loss) from real estate					
a Debt-financed property.					
b Not debt-financed property.					
6 Net rental income or (loss) from personal property					
7 Other investment income.					
8 Gain or (loss) from sales of assets other than inventory			18	1,888,399	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e).		0		2,748,020	0

13 Total. Add line 12, columns (b), (d), and (e). **13** 2,748,020

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501 (c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of			
(1) Cash.	1a(1)		No
(2) Other assets.	1a(2)		No
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization.	1b(1)		No
(2) Purchases of assets from a noncharitable exempt organization.	1b(2)		No
(3) Rental of facilities, equipment, or other assets.	1b(3)		No
(4) Reimbursement arrangements.	1b(4)		No
(5) Loans or loan guarantees.	1b(5)		No
(6) Performance of services or membership or fundraising solicitations.	1b(6)		No
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees.	1c		No

d If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line No	**(b)** Amount involved	**(c)** Name of noncharitable exempt organization	**(d)** Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☑ No

b If "Yes," complete the following schedule

(a) Name of organization	**(b)** Type of organization	**(c)** Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

******	2018-11-13	******
Signature of officer or trustee	Date	Title

May the IRS discuss this return with the preparer shown below (see instr)? ☑ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ▶ ☐	PTIN
NANCY YUM		2018-11-13		P01472995
Firm's name ▶ ANDERSEN TAX LLC				Firm's EIN ▶33-1197384
Firm's address ▶ 3200 PARK CENTER DRIVE STE 1200 COSTA MESA, CA 92626				Phone no (949) 885-4550

Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
DAVID SUN PO BOX 8566 FOUNTAIN VALLEY, CA 92728	PRESIDENT 1 00	0	0	0
DIANA SUN PO BOX 8566 FOUNTAIN VALLEY, CA 92728	VICE PRESIDENT 1 00	0	0	0
DARA SUN PO BOX 8566 FOUNTAIN VALLEY, CA 92728	TREASURER 1 00	0	0	0
DIANA KONG PO BOX 8566 FOUNTAIN VALLEY, CA 92728	SECRETARY 1 00	0	0	0
MEI WONG PO BOX 8566 FOUNTAIN VALLEY, CA 92728	DIRECTOR 1 00	0	0	0
YVONE CURRY PO BOX 8566 FOUNTAIN VALLEY, CA 92728	DIRECTOR 1 00	0	0	0

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
ORANGE COUNTY COMMUNITY FOUNDATION 4041 MACARTHUR BLVD 510 NEWPORT BEACH, CA 92660	N/A	PC	SUPPORT FOR COLLEGE EDUCATION SCHOLARSHIP	1,000,000
ASIAN AMERICAN SENIOR CITIZENS SERVICE CENTER INC 850 NORTH BIRCH STREET BREA, CA 92701	N/A	PC	SUPPORT FOR EDUCATION & EMPLOYMENT SERVICE TO SENIORS IN ASIAN COMMUNITIES	10,000
IRVINE EVERGREEN CHINESE SENIOR ASSOCIATION 20 LAKE ROAD IRVINE, CA 92604	N/A	PC	SUPPORT FOR SOCIAL PROGRAMS FOR CHINESE SENIORS IN LOCAL COMMUNITIES	5,000
Total . . . . ▶ **3a**				1,568,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
UNIVERSITY OF CALIFORNIA IRVINE FOUNDATION 120 THEORY SUITE 150 IRVINE, CA 92697	N/A	PC	SUPPORT FOR EDUCATION AND VARIOUS UNIVERSITY PROGRAMS	12,000
SOUTH COAST CHINESE CULTURAL ASSOCIATION 9 TRUMAN STREET IRVINE, CA 92620	N/A	PC	SUPPORT FOR SOCIAL PROGRAMS FOR CHINESE SENIORS IN LOCAL COMMUNITIES	500,000
FU JEN UNIVERSITY FOUNDATION 20651 GOLDEN SPRINGS DRIVE WALNUT, CA 91789	N/A	PC	SUPPORT FOR EDUCATION	40,000
Total . . . . ▶ **3a**				1,568,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
AYALA GIRLS GOLF BOOSTER CLUB 14255 PEYTON DRIVE CHINO HILLS, CA 91790	N/A	PC	SUPPORT FOR EDUCATION	1,000
Total . . . . ▶ **3a**				1,568,000

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TY 2017 Accounting Fees Schedule

Name: THE DAVID AND DIANA SUN FOUNDATION

EIN: 33-0868088

Accounting Fees Schedule

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING FEES	23,358	11,679	0	11,679

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2017 Expenditure Responsibility Statement

Name: THE DAVID AND DIANA SUN FOUNDATION

EIN: 33-0868088

Grantee's Name	Grantee's Address	Grant Date	Grant Amount	Grant Purpose	Amount Expended By Grantee	Any Diversion By Grantee?	Dates of Reports By Grantee	Date of Verification	Results of Verification
GUANG YUAN CHARITABLE FOUNDATION	NO 1-5 LIXING 1ST ROAD HSINCHU SCIENCE PARK TAIPEI, TAIWAN TW	2015-12-01	1,392,665	EDUCATION, CULTURAL AND SOCIAL WELFARE	1,392,665	NO	04/25/18	2018-04-25	TO THE KNOWLEDGE OF THE FOUNDATION AND BASED ON THE REPORTS FURNISHED BY THE GRANTEE, NO PART OF THE GRANT WAS USED FOR OTHER THAN THE INTENDED PURPOSE
GUANG YUAN CHARITABLE FOUNDATION	NO 1-5 LIXING 1ST ROAD HSINCHU SCIENCE PARK TAIPEI, TAIWAN TW	2017-12-01	1,421,500	EDUCATION, CULTURAL AND SOCIAL WELFARE	1,421,500	NO	04/25/18	2018-04-25	TO THE KNOWLEDGE OF THE FOUNDATION AND BASED ON THE REPORTS FURNISHED BY THE GRANTEE, NO PART OF THE GRANT WAS USED FOR OTHER THAN THE INTENDED PURPOSE

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TY 2017 Investments Corporate Stock Schedule

Name: THE DAVID AND DIANA SUN FOUNDATION

EIN: 33-0868088

Name of Stock	End of Year Book Value	End of Year Fair Market Value
1ST GLOBAL CAPITAL CORP.	53,825,848	53,825,848
CANYON DISTRESSED OPPORTUNITY FUND II	779,715	779,715
DOUBLELINE OPPORTUNISTIC	2,313,890	2,313,890

TY 2017 Legal Fees Schedule

Name: THE DAVID AND DIANA SUN FOUNDATION

EIN: 33-0868088

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
LEGAL FEES	14,544	7,272	0	7,272

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TY 2017 Other Assets Schedule

Name: THE DAVID AND DIANA SUN FOUNDATION

EIN: 33-0868088

Other Assets Schedule

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
INVESTMENT SECURITIES SOLD RECEIVABLE	30,736		
FEDERAL TAX REFUNDABLE		8,730	8,730

TY 2017 Other Expenses Schedule

Name: THE DAVID AND DIANA SUN FOUNDATION

EIN: 33-0868088

Other Expenses Schedule

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT MANAGEMENT FEES	183,627	183,627	0	0
BANK CHARGES	118	59	0	59
STATE FILING FEES	255	0	0	255
INSURANCE	1,064	532	0	532
IT RELATED EXPENSES	10,059	5,030	0	5,030
OFFICE EXPENSE	3,153	1,577	0	1,577
SUBSCRIPTION - INVESTMENT & IT	8,137	8,137	0	0

TY 2017 Other Increases Schedule

Name: THE DAVID AND DIANA SUN FOUNDATION

EIN: 33-0868088

Description	Amount
UNREALIZED GAIN/(LOSS) ON INVESTMENTS	2,013,487

TY 2017 Other Liabilities Schedule

Name: THE DAVID AND DIANA SUN FOUNDATION

EIN: 33-0868088

Description	Beginning of Year - Book Value	End of Year - Book Value
EXCISE TAX PAYABLE	31,291	0
INVESTMENT SECURITIES PURCHASED PAYABLE	100,800	0

TY 2017 Taxes Schedule

Name: THE DAVID AND DIANA SUN FOUNDATION

EIN: 33-0868088

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FED EXCISE TAX	54,979	0	0	0
FOREIGN TAXES PAID	2,372	2,394	0	0

Schedule B
(Form 990, 990-EZ, or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ **Attach to Form 990, 990-EZ, or 990-PF**
▶ **Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at** ***www irs gov/form990***

OMB No 1545-0047

2017

Name of the organization	**Employer identification number**
THE DAVID AND DIANA SUN FOUNDATION	33-0868088

Organization type (check one)

Filers of:	**Section:**
Form 990 or 990-EZ	☐ 501(c)( ) (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	☐ 527 political organization
Form 990-PF	☑ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule.**
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule See instructions

General Rule

☑ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling $5,000 or more (in money or other property) from any one contributor Complete Parts I and II See instructions for determining a contributor's total contributions

Special Rules

☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1 Complete Parts I and II

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than $1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals Complete Parts I, II, and III

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc , purposes, but no such contributions totaled more than $1,000 If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc , purpose Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc , contributions totaling $5,000 or more during the year . . . . ▶ $ ____________

Caution. An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

Name of organization	Employer identification number
THE DAVID AND DIANA SUN FOUNDATION	33-0868088

Part I **Contributors** (See instructions) Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	DAVID SUN PO BOX 8566 FOUNTAIN VALLEY, CA92728	$ 20,273,052	Person ☐ Payroll ☐ Noncash ☑ (Complete Part II for noncash contributions)
-		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
-		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
-		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
-		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
-		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)

Name of organization	Employer identification number
THE DAVID AND DIANA SUN FOUNDATION	33-0868088

Part II **Noncash Property** (See Instructions) Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
	See Additional Data Table	$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		$	

Name of organization
THE DAVID AND DIANA SUN FOUNDATION

Employer identification number
33-0868088

Part III *Exclusively* religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than $1,000 for the year from any one contributor. Complete columns **(a)** through **(e)** and the following line entry. For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of **$1,000 or less** for the year. (Enter this information once. See instructions.) ▶ $ ____________

Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

Additional Data

Software ID:
Software Version:
EIN: 33-0868088
Name: THE DAVID AND DIANA SUN FOUNDATION

Form 990 Schedule B, Part II - Noncash Property (see Instructions) Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
1	87 SHARES 3M COMPANY	$ 20,756	2017-12-18
1	1300 SHARES AAON INC COM PAR $0 004	$ 46,800	2017-12-18
1	1069 SHARES ABBVIE INC COM USD0 01	$ 105,109	2017-12-18
1	143 SHARES ALLEGIANT TRAVEL CO COM	$ 21,632	2017-12-18
1	101 SHARES ALPHABET INC CAP STK CL A	$ 108,921	2017-12-18
1	101 SHARES ALPHABET INC CAP STK CL C	$ 108,095	2017-12-18
1	2568 SHARES ALTRIA GROUP INC	$ 185,564	2017-12-18
1	760 SHARES ARRIS INTERNATIONAL LTD ORD GBP0 01	$ 19,760	2017-12-18
1	147 SHARES AT&T INC COM USD1	$ 5,679	2017-12-18
1	190 SHARES BALCHEM CORP COM	$ 16,141	2017-12-18

Form 990 Schedule B, Part II - Noncash Property (see Instructions) Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
1	1143 SHARES BANK OF THE OZARKS COM	$ 55,424	2017-12-18
1	1347 SHARES BEACON ROOFING SUPPLY INC COM	$ 84,376	2017-12-18
1	740 SHARES BIO-TECHNE CORP	$ 96,111	2017-12-18
1	1586 SHARES BLACKROCK INC	$ 281,423	2017-12-18
1	469 SHARES BOEING CO	$ 138,744	2017-12-18
1	159 SHARES BOFI HLDG INC	$ 4,538	2017-12-18
1	132 SHARES BOTTOMLINE TECH DEL INC COM	$ 4,736	2017-12-18
1	975 SHARES BRIGHT HORIZONS FAM SOLUTIONS INC COM	$ 91,982	2017-12-18
1	1618 SHARES BUILDERS FIRSTSOURCE INC COM	$ 32,910	2017-12-18
1	1069 SHARES CHEVRON CORP NEW COM	$ 128,766	2017-12-18

Form 990 Schedule B, Part II - Noncash Property (see Instructions) Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
1	6720 SHARES CISCO SYS INC COM	$ 259,358	2017-12-18
1	589 SHARES COLGATE-PALMOLIVE CO	$ 43,807	2017-12-18
1	538 SHARES CUBIC CORP COM	$ 32,482	2017-12-18
1	840 SHARES DONALDSON INC COM	$ 41,206	2017-12-18
1	1052 SHARES EMPLOYERS HLDGS INC COM	$ 49,917	2017-12-18
1	455 SHARES ENVESTNET INC COM USD0 01	$ 23,448	2017-12-18
1	566 SHARES EPLUS INC	$ 45,139	2017-12-18
1	6 SHARES EXLSERVICE HOLDINGS INC COM	$ 375	2017-12-18
1	737 SHARES EXPONENT INC	$ 53,617	2017-12-18
1	306 SHARES FACTSET RESH SYS INC COM	$ 62,577	2017-12-18

Form 990 Schedule B, Part II - Noncash Property (see Instructions) Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
1	584 SHARES FAIR ISAAC CORP COM	$ 92,722	2017-12-18
1	2634 SHARES FASTENAL CO	$ 141,314	2017-12-18
1	728 SHARES FEDEX CORP COM	$ 176,485	2017-12-18
1	1627 SHARES FISERV INC	$ 215,968	2017-12-18
1	867 SHARES GENERAC HLDGS INC COM USD0 01	$ 43,333	2017-12-18
1	2115 SHARES GLOBUS MED INC CL A NEW	$ 83,194	2017-12-18
1	3451 SHARES GRAY TELEVISION INC	$ 57,459	2017-12-18
1	1530 SHARES HEALTHCARE SVCS GRP INC COM	$ 81,809	2017-12-18
1	2662 SHARES IHS MARKIT LTD COM	$ 120,629	2017-12-18
1	860 SHARES ILG INC COM	$ 24,351	2017-12-18

Form 990 Schedule B, Part II - Noncash Property (see Instructions) Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
1	2212 SHARES INTEL CORP	$ 101,077	2017-12-18
1	8627 9999 SHARES ISHARES MSCI TAIWAN CAPPED ETF	$ 315,828	2017-12-18
1	44805 SHARES ISHARES RUSSELL 1000 ETF	$ 6,716,494	2017-12-18
1	12521 SHARES ISHARES RUSSELL 1000 GROWTH ETF	$ 1,705,673	2017-12-18
1	26499 SHARES ISHARES RUSSELL 1000 VALUE ETF	$ 3,314,760	2017-12-18
1	213 SHARES J & J SNACK FOODS CORP	$ 32,393	2017-12-18
1	1327 SHARES JOHNSON & JOHNSON	$ 189,230	2017-12-18
1	284 SHARES JPMORGAN CHASE & CO	$ 30,404	2017-12-18
1	1336 SHARES KOPPERS HLDGS INC COM	$ 66,433	2017-12-18
1	707 SHARES LIVE OAK BANCSHARES INC COM	$ 17,498	2017-12-18

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(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
1	4137 SHARES LKQ CORP COM	$ 166,990	2017-12-18
1	2182 893 SHARES MARTEN TRANS LTD COM	$ 45,295	2017-12-18
1	195 SHARES MASIMO CORP COM	$ 17,002	2017-12-18
1	507 SHARES MEDIDATA SOLUTIONS INC COM	$ 33,726	2017-12-18
1	3274 SHARES MERCER INTL INC COM ISIN #US5880561015 SEDOL #2278269	$ 42,562	2017-12-18
1	4474 SHARES MICROSOFT CORP	$ 388,701	2017-12-18
1	186 SHARES MIDDLEBY CORP	$ 24,582	2017-12-18
1	230 SHARES MONOLITHIC PWR SYS INC COM	$ 26,306	2017-12-18
1	1346 SHARES NEOGEN CORP COM	$ 110,843	2017-12-18
1	1739 SHARES NEOGEN CORP COM	$ 47,466	2017-12-18

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(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
1	676 SHARES OMNICELL INC COM	$ 34,797	2017-12-18
1	1061 SHARES PAYCOM SOFTWARE INC COM USD0 01	$ 68,233	2017-12-18
1	1030 SHARES 47466 005	$ 122,436	2017-12-18
1	3597 SHARES PFIZER INC	$ 133,826	2017-12-18
1	1586 SHARES PHILLIPS 66 COM	$ 158,671	2017-12-18
1	10563 SHARES POWERSHARES FTSE RAFI US 1000	$ 1,200,190	2017-12-18
1	393 SHARES PRAXAIR INC	$ 59,726	2017-12-18
1	381 SHARES PROTO LABS INC COM USD0 001	$ 39,443	2017-12-18
1	47 SHARES PROTO LABS INC COM USD0 001	$ 4,866	2017-12-18
1	1579 SHARES QUALCOMM INC	$ 102,880	2017-12-18

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(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
1	578 SHARES RBC BEARINGS INC	$ 70,791	2017-12-18
1	3740 SHARES RECKITT BENCKISER GROUP PLC SPON ADR EACH REP 0 20 ORD SHS	$ 67,825	2017-12-18
1	493 SHARES RUDOLPH TECH INC	$ 12,165	2017-12-18
1	865 SHARES SALESFORCE COM INC	$ 91,115	2017-12-18
1	178 SHARES SENSIENT TECHNOLOGIES CORP COM	$ 13,225	2017-12-18
1	1052 SHARES SPDR S&P 500 ETF TRUST UNIT SER 1 S&P	$ 282,241	2017-12-18
1	83 SHARES SPDR S&P 500 ETF TRUST UNIT SER 1 S&P	$ 22,268	2017-12-18
1	2596 SHARES STARBUCKS CORP COM USD0 001	$ 151,438	2017-12-18
1	3477 SHARES TAIWAN SEMICONDUCTOR MFG LTD SPONSORED ADR	$ 136,768	2017-12-18
1	1011 SHARES TEXAS CAP BANCSHARES INC	$ 93,962	2017-12-18

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(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
1	1134 SHARES TRAVELERS COMPANIES INC COM STK NPV	$ 154,213	2017-12-18
1	708 SHARES TYLER TECHNOLOGIES INC COM	$ 129,026	2017-12-18
1	100 SHARES ULTIMATE SOFTWARE GROUP INC	$ 21,962	2017-12-18
1	928 SHARES UNITED PARCEL SVC INC CL B	$ 110,678	2017-12-18
1	92 SHARES UNITEDHEALTH GROUP	$ 20,562	2017-12-18
1	1509 SHARES VERISK ANALYTICS INC COM	$ 144,623	2017-12-18
1	4656 SHARES VONAGE HLDGS CORP COM	$ 48,446	2017-12-18
1	903 SHARES WAL-MART STORES INC COM	$ 88,282	2017-12-18
1	531 SHARES WELLS FARGO CO NEW COM	$ 32,258	2017-12-18
1	2706 SHARES ZAGG INC	$ 56,217	2017-12-18