

Form **990-PF**
 Department of the Treasury
 Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation

▶ **Do not enter social security numbers on this form as it may be made public.**
 ▶ **Information about Form 990-PF and its instructions is at www.irs.gov/form990pf.**

OMB No 1545-0052
2017
Open to Public Inspection

For calendar year 2017, or tax year beginning 01-01-2017, and ending 12-31-2017

Name of foundation LYONS SHARE FOUNDATION		A Employer identification number 33-0622707	
Number and street (or P O box number if mail is not delivered to street address) Room/suite 36 HARBOR ISLAND		B Telephone number (see instructions) (949) 474-5500	
City or town, state or province, country, and ZIP or foreign postal code NEWPORT BEACH, CA 92660		C If exemption application is pending, check here <input type="checkbox"/>	
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/> 2 Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>	
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>	
I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶ \$ 10,362,663		J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis)	
		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>	

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)	9,097,653			
	2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	40,953	40,953		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	70,481			
	b Gross sales price for all assets on line 6a 4,889,667				
	7 Capital gain net income (from Part IV, line 2)			3,326,685	
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule)	72,075	0			
12 Total. Add lines 1 through 11	9,281,162	3,367,638			
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	0	0		0
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)				
	c Other professional fees (attach schedule)				
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	13,103	0		0
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)	5,985	5,985		0
	24 Total operating and administrative expenses. Add lines 13 through 23	19,088	5,985		0
	25 Contributions, gifts, grants paid	732,400			732,400
26 Total expenses and disbursements. Add lines 24 and 25	751,488	5,985		732,400	
27 Subtract line 26 from line 12					
a Excess of revenue over expenses and disbursements	8,529,674				
b Net investment income (if negative, enter -0-)		3,361,653			
c Adjusted net income (if negative, enter -0-)					

Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing			
	2 Savings and temporary cash investments	57,607	5,686	5,686
	3 Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4 Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments—U S and state government obligations (attach schedule)			
	b Investments—corporate stock (attach schedule)	134	5,669,533	5,669,533
	c Investments—corporate bonds (attach schedule)	142,767	131,619	131,619
	11 Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12 Investments—mortgage loans			
	13 Investments—other (attach schedule)	1,614,581	4,555,825	4,555,825
	14 Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
15 Other assets (describe ▶ _____)	1,276	1,276	0	
16 Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	1,816,365	10,363,939	10,362,663	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶ _____)			
	23 Total liabilities (add lines 17 through 22)	0	0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here <input checked="" type="checkbox"/> and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	1,816,365	10,363,939	
	28 Paid-in or capital surplus, or land, bldg , and equipment fund	0	0	
29 Retained earnings, accumulated income, endowment, or other funds	0	0		
30 Total net assets or fund balances (see instructions)	1,816,365	10,363,939		
31 Total liabilities and net assets/fund balances (see instructions) .	1,816,365	10,363,939		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,816,365
2 Enter amount from Part I, line 27a	2	8,529,674
3 Other increases not included in line 2 (itemize) ▶ _____	3	17,900
4 Add lines 1, 2, and 3	4	10,363,939
5 Decreases not included in line 2 (itemize) ▶ _____	5	0
6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 .	6	10,363,939

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1a See Additional Data Table				
b				
c				
d				
e				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
a See Additional Data Table				
b				
c				
d				
e				
(i) F M V as of 12/31/69			(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any
1 Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69				
a See Additional Data Table				
b				
c				
d				
e				
2 Capital gain net income or (net capital loss)			{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2 3,326,685
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8				3

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? Yes No
 If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2016	687,500	1,856,550	0.370311
2015	677,151	1,186,642	0.570645
2014	667,672	903,696	0.738824
2013	717,371	1,296,291	0.553403
2012	787,603	1,872,320	0.420656
2 Total of line 1, column (d)			2 2.653839
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 0.530768
4 Enter the net value of noncharitable-use assets for 2017 from Part X, line 5			4 1,825,028
5 Multiply line 4 by line 3			5 968,666
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 33,617
7 Add lines 5 and 6			7 1,002,283
8 Enter qualifying distributions from Part XII, line 4			8 732,400

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

Table with 11 rows for excise tax calculations. Includes categories like 'Exempt operating foundations', 'Domestic foundations', and 'Tax based on investment income'. Total amount owed is 5,446.

Part VII-A Statements Regarding Activities

Table with 10 rows for activity statements. Includes questions about political campaigns, political expenditures, and state reporting. Includes 'Yes' and 'No' columns.

Part VII-A Statements Regarding Activities (continued)

11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address N/A
14 The books are in care of MARY LYONS Telephone no (949) 474-5500
Located at 36 HARBOR ISLAND NEWPORT BEACH CA ZIP+4 92660
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year 15
16 At any time during calendar year 2017, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR) If "Yes," enter the name of the foreign country

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.
1a During the year did the foundation (either directly or indirectly)
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days).
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here.
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2017?
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))
a At the end of tax year 2017, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2017? If "Yes," list the years 20, 20, 20, 20
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement-see instructions)
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here 20, 20, 20, 20
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?
b If "Yes," did it have excess business holdings in 2017 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2017).
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2017?

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (Continued)

5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
	(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions).	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
b	If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here. ▶			5b
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? <i>If "Yes," attach the statement required by Regulations section 53.4945–5(d)</i>	<input type="checkbox"/> Yes	<input type="checkbox"/> No	
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? <i>If "Yes" to 6b, file Form 8870</i>			6b
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?			7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

Table with 5 columns: (a) Name and address, (b) Title and average hours per week, (c) Compensation (If not paid, enter -0-), (d) Contributions to employee benefit plans and deferred compensation, (e) Expense account, other allowances. Includes entries for MARY A LYONS (PRESIDENT) and PHILLIP N LYONS (SECRETARY).

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

Table with 5 columns: (a) Name and address of each employee paid more than \$50,000, (b) Title and average hours per week, (c) Compensation, (d) Contributions to employee benefit plans and deferred compensation, (e) Expense account, other allowances. All entries are NONE.

Total number of other employees paid over \$50,000. 0

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

Table with 3 columns: (a) Name and address of each person paid more than \$50,000, (b) Type of service, (c) Compensation. All entries are NONE.

Total number of others receiving over \$50,000 for professional services. 0

Part IX-A Summary of Direct Charitable Activities

Table with 2 columns: Description of activities (List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc) and Expenses. Rows 1-4 are blank.

Part IX-B Summary of Program-Related Investments (see instructions)

Table with 2 columns: Description of investments (Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2) and Amount. Rows 1-3 are blank.

Total. Add lines 1 through 3 0

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities.	1a	1,813,691
b	Average of monthly cash balances.	1b	39,129
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	1,852,820
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	1,852,820
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	27,792
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4.	5	1,825,028
6	Minimum investment return. Enter 5% of line 5.	6	91,251

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	91,251
2a	Tax on investment income for 2017 from Part VI, line 5.	2a	67,233
b	Income tax for 2017 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	67,233
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	24,018
4	Recoveries of amounts treated as qualifying distributions.	4	0
5	Add lines 3 and 4.	5	24,018
6	Deduction from distributable amount (see instructions).	6	0
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	24,018

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	732,400
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4.	4	732,400
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions).	5	0
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	732,400

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2016	(c) 2016	(d) 2017
1 Distributable amount for 2017 from Part XI, line 7				24,018
2 Undistributed income, if any, as of the end of 2017				
a Enter amount for 2016 only.			0	
b Total for prior years 20___, 20___, 20___		0		
3 Excess distributions carryover, if any, to 2017				
a From 2012.	701,381			
b From 2013.	654,814			
c From 2014.	645,549			
d From 2015.	638,717			
e From 2016.	606,987			
f Total of lines 3a through e.	3,247,448			
4 Qualifying distributions for 2017 from Part XII, line 4 ▶ \$ <u>732,400</u>				
a Applied to 2016, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2017 distributable amount.				24,018
e Remaining amount distributed out of corpus	708,382			
5 Excess distributions carryover applied to 2017 (If an amount appears in column (d), the same amount must be shown in column (a))				0
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	3,955,830			
b Prior years' undistributed income Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d Subtract line 6c from line 6b Taxable amount—see instructions		0		
e Undistributed income for 2016 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2017 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2018				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).		0		
8 Excess distributions carryover from 2012 not applied on line 5 or line 7 (see instructions).	701,381			
9 Excess distributions carryover to 2018. Subtract lines 7 and 8 from line 6a	3,254,449			
10 Analysis of line 9				
a Excess from 2013.	654,814			
b Excess from 2014.	645,549			
c Excess from 2015.	638,717			
d Excess from 2016.	606,987			
e Excess from 2017.	708,382			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2017, enter the date of the ruling. ▶

b Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

	Tax year	Prior 3 years			(e) Total
	(a) 2017	(b) 2016	(c) 2015	(d) 2014	
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					

3 Complete 3a, b, or c for the alternative test relied upon

a "Assets" alternative test—enter

(1) Value of all assets

(2) Value of assets qualifying under section 4942(j)(3)(B)(i)

b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.

c "Support" alternative test—enter

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).

(3) Largest amount of support from an exempt organization

(4) Gross investment income

Part XV Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))
See Additional Data Table

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or email address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i> See Additional Data Table				
Total ▶ 3a				732,400
b <i>Approved for future payment</i>				
Total ▶ 3b				0

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
AON PLC		2005-10-10	2017-12-28
AQR MANAGED FUTURES		2015-11-18	2017-03-13
AQR MGD FUTURES STRAT I		2016-12-21	2017-05-10
AQR MGD FUTURES STRAT I		2016-12-21	2017-03-13
AQR MGD FUTURES STRAT I		2015-12-22	2017-05-10
AQR MGD FUTURES STRAT I		2015-12-22	2017-05-10
AQR MGD FUTURES STRAT I		2015-12-22	2017-05-10
AQR MGD FUTURES STRAT I		2015-12-22	2017-05-10
AQR MGD FUTURES STRAT I		2015-12-22	2017-05-10
AQR MGD FUTURES STRAT I		2015-12-22	2017-05-10
AQR MGD FUTURES STRAT I		2015-11-18	2017-05-10

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
151,125		58,253	92,872
6		7	-1
9		11	-2
1		2	-1
701		795	-94
9		11	-2
9		10	-1
90		102	-12
225		255	-30
3		4	-1

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			92,872
			-1
			-2
			-1
			-94
			-2
			-1
			-12
			-30
			-1

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
AQR MGD FUTURES STRAT I		2015-11-18	2017-03-13
AQR MGD FUTURES STRAT I		2015-11-18	2017-05-10
AQR MULTI STRAT ALTNTV I		2016-12-21	2017-03-13
AQR MULTI STRAT ALTNTV I		2016-12-21	2017-05-15
AQR MULTI STRAT ALTNTV I		2016-12-21	2017-05-31
AQR MULTI STRAT ALTNTV I		2016-12-21	2017-05-31
AQR MULTI STRAT ALTNTV I		2016-12-21	2017-05-31
AQR MULTI STRAT ALTNTV I		2016-07-27	2017-05-31
AQR MULTI STRAT ALTNTV I		2016-07-27	2017-03-13
AQR MULTI STRAT ALTNTV I		2016-07-27	2017-05-15

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2,038		2,391	-353
7,615		9,292	-1,677
3		3	0
2		2	0
198		201	-3
104		105	-1
			0
7,453		7,508	-55
3,636		3,583	53
1,031		1,033	-2

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-353
			-1,677
			0
			0
			-3
			-1
			0
			-55
			53
			-2

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
ARTISAN INTERNATIONAL		2016-11-17	2017-01-11
ARTISAN INTERNATIONAL		2016-11-17	2017-01-11
ARTISAN INTERNATIONAL		2015-11-19	2017-01-11
ARTISAN INTERNATIONAL		2015-11-19	2017-01-11
ARTISAN INTERNATIONAL		2015-11-18	2017-01-11
ARTISAN INTERNATIONAL		2015-11-18	2017-01-11
ARTISAN INTERNATIONAL		2015-11-18	2017-01-11
ARTISAN INTERNATIONAL		2015-11-18	2017-01-11
ARTISAN INTERNATIONAL		2015-04-23	2017-01-11
AVERY DENNISON CORP		1998-04-21	2017-12-28
BECTON DICKINSON CO		2002-11-04	2017-12-28

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
25		25	0
105		103	2
26		29	-3
53		59	-6
4,287		4,776	-489
26		30	-4
579		645	-66
3,813		4,623	-810
251,239			251,239
100,082			100,082

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			0
			2
			-3
			-6
			-489
			-4
			-66
			-810
			251,239
			100,082

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
BIOGEN INC		2005-10-10	2017-12-28
BLACKSTONE ALT MULTI		2016-12-19	2017-03-13
BLACKSTONE ALT MULTI		2015-11-18	2017-03-13
BLACKSTONE ALT MULTI		2015-11-18	2017-03-13
BROWN FORMAN CORP CL A		2004-06-08	2017-05-25
BROWN FORMAN CORP CL A		2004-06-08	2017-06-16
BROWN FORMAN CORP CL A		2004-06-08	2017-12-28
DOLLAR TREE INC		2000-08-01	2017-12-28
DOUBLELINE CORE FIXED		2017-02-14	2017-05-15
DOUBLELINE CR FXD IN I		2017-04-28	2017-05-15

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
100,265		39,572	60,693
6		5	1
3,685		3,692	-7
2		2	0
76,204		325	75,879
17,899		79	17,820
8,799		29	8,770
99,599		1,741	97,858
2		2	0
5		5	0

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			60,693
			1
			-7
			0
			75,879
			17,820
			8,770
			97,858
			0
			0

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
DOUBLELINE CR FXD IN I		2017-02-28	2017-03-29
DOUBLELINE CR FXD IN I		2017-02-28	2017-03-13
DOUBLELINE CR FXD IN I		2017-02-14	2017-05-15
DOUBLELINE CR FXD IN I		2017-02-14	2017-03-29
DOUBLELINE CR FXD IN I		2017-01-31	2017-03-29
DOUBLELINE CR FXD IN I		2016-12-30	2017-03-29
DOUBLELINE CR FXD IN I		2016-12-30	2017-03-29
DOUBLELINE CR FXD IN I		2016-11-30	2017-03-29
DOUBLELINE CR FXD IN I		2016-11-30	2017-03-29
DOUBLELINE CR FXD IN I		2016-11-30	2017-03-29
DOUBLELINE CR FXD IN I		2016-10-31	2017-03-29

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
6		6	0
			0
8,799		8,718	81
1,492		1,484	8
33		32	1
11		11	0
33		32	1
11		11	0
44		43	1
33		33	0

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			0
			0
			81
			8
			1
			0
			1
			0
			1
			0

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
DOUBLELINE CR FXD IN I		2016-10-31	2017-03-29
DOUBLELINE CR FXD IN I		2016-09-30	2017-03-29
DOUBLELINE CR FXD IN I		2016-09-30	2017-03-29
DOUBLELINE CR FXD IN I		2016-08-31	2017-03-29
DOUBLELINE CR FXD IN I		2016-08-26	2017-05-15
DOUBLELINE CR FXD IN I		2016-08-26	2017-05-15
DOUBLELINE CR FXD IN I		2016-07-29	2017-05-15
DOUBLELINE CR FXD IN I		2016-07-29	2017-03-29
DOUBLELINE CR FXD IN I		2016-07-29	2017-03-29
DOUBLELINE CR FXD IN I		2016-07-27	2017-05-15

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
11		11	0
33		33	0
11		11	0
33		33	0
11		11	0
120		123	-3
131		134	-3
11		11	0
44		45	-1
11		11	0

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			0
			0
			0
			0
			0
			-3
			-3
			0
			-1
			0

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
DOUBLELINE CR FXD IN I		2016-07-27	2017-05-15
DOUBLELINE CR FXD IN I		2016-07-27	2017-03-13
DOUBLELINE CR FXD IN I		2016-07-27	2017-03-29
DOUBLELINE CR FXD IN I		2016-07-27	2017-03-29
DOUBLELINE CR FXD IN I		2016-07-27	2017-03-29
DOUBLELINE CR FXD IN I		2016-07-27	2017-03-29
DOUBLELINE CR FXD IN I		2016-07-27	2017-03-29
DOUBLELINE CR FXD IN I		2016-07-27	2017-03-29
DOUBLELINE CR FXD IN I		2016-07-27	2017-03-29
DOUBLELINE SH ENH CAPE I		2017-04-28	2017-05-15

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
164		167	-3
6,155		6,384	-229
6,229		6,424	-195
11		11	0
120		123	-3
11,533		11,818	-285
11		11	0
163		167	-4
131		134	-3
6		6	0

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-3
			-229
			-195
			0
			-3
			-285
			0
			-4
			-3
			0

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
DOUBLELINE SH ENH CAPE I		2017-02-28	2017-03-29
DOUBLELINE SH ENH CAPE I		2017-02-28	2017-03-13
DOUBLELINE SH ENH CAPE I		2016-05-27	2017-05-15
DOUBLELINE SH ENH CAPE I		2016-05-27	2017-05-15
DOUBLELINE SH ENH CAPE I		2016-04-29	2017-05-15
DOUBLELINE SH ENH CAPE I		2016-03-31	2017-05-15
DOUBLELINE SH ENH CAPE I		2016-03-31	2017-05-15
DOUBLELINE SH ENH CAPE I		2016-02-29	2017-05-15
DOUBLELINE SH ENH CAPE I		2016-01-29	2017-05-15
DOUBLELINE SH ENH CAPE I		2016-01-29	2017-05-15

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
8		8	0
1		1	0
8,804		7,227	1,577
15		12	3
61		49	12
61		49	12
15		12	3
61		46	15
61		46	15
15		12	3

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			0
			0
			1,577
			3
			12
			12
			3
			15
			15
			3

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
DOUBLELINE SH ENH CAPE I		2015-12-31	2017-05-15
DOUBLELINE SH ENH CAPE I		2015-12-04	2017-05-15
DOUBLELINE SH ENH CAPE I		2015-12-04	2017-05-15
DOUBLELINE SH ENH CAPE I		2015-11-30	2017-05-15
DOUBLELINE SH ENH CAPE I		2015-11-19	2017-03-13
DOUBLELINE SH ENH CAPE I		2015-11-19	2017-05-15
DOUBLELINE SH ENH CAPE I		2015-11-19	2017-03-29
DOUBLELINE SHILLER		2015-11-19	2017-03-29
DOUBLELINE TOT RTRN BD I		2017-04-28	2017-05-15
DOUBLELINE TOT RTRN BD I		2017-02-28	2017-03-13

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
61		48	13
455		363	92
15		12	3
61		49	12
14,179		11,722	2,457
152		122	30
14,992		12,393	2,599
1		1	0
5		5	0
6		6	0

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			13
			92
			3
			12
			2,457
			30
			2,599
			0
			0
			0

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
DOUBLELINE TOT RTRN BD I		2017-02-28	2017-03-29
DOUBLELINE TOT RTRN BD I		2017-01-31	2017-02-14
DOUBLELINE TOT RTRN BD I		2015-11-19	2017-03-13
DOUBLELINE TOT RTRN BD I		2015-11-19	2017-03-29
DOUBLELINE TOT RTRN BD I		2015-11-19	2017-05-15
DOUBLELINE TOT RTRN BD I		2015-11-19	2017-02-14
DOUBLELINE TOT RTRN BD I		2015-11-19	2017-05-15
DOUBLELINE TOT RTRN BD I		2015-11-19	2017-05-15
DOUBLELINE TOT RTRN BD I		2015-11-19	2017-03-29
DOUBLELINE TOT RTRN BD I		2015-11-19	2017-05-15

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
3		3	0
4		4	0
5,359		5,517	-158
160		163	-3
160		163	-3
5,427		5,549	-122
1,495		1,520	-25
7,262		7,385	-123
19,836		20,189	-353
11		11	0

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			0
			0
			-158
			-3
			-3
			-122
			-25
			-123
			-353
			0

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
DOUBLELINE TOT RTRN BD I		2015-11-18	2017-02-14
DOUBLELINE TOT RTRN BD I		2015-11-18	2017-02-14
DOUBLELINE TOT RTRN BD I		2015-10-30	2017-02-14
DOUBLELINE TOT RTRN BD I		2015-10-30	2017-02-14
DOUBLELINE TOT RTRN BD I		2015-09-30	2017-02-14
DOUBLELINE TOT RTRN BD I		2015-09-30	2017-02-14
DOUBLELINE TOT RTRN BD I		2015-08-31	2017-02-14
DOUBLELINE TOT RTRN BD I		2015-07-31	2017-02-14
DOUBLELINE TOT RTRN BD I		2015-07-31	2017-02-14
DOUBLELINE TOT RTRN BD I		2015-06-30	2017-02-14

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
62,881		64,243	-1,362
11		11	0
96		98	-2
11		11	0
96		98	-2
11		11	0
96		98	-2
106		109	-3
11		11	0
106		109	-3

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-1,362
			0
			-2
			0
			-2
			0
			-2
			-3
			0
			-3

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
DOUBLELINE TOT RTRN BD I		2015-05-29	2017-02-14
DOUBLELINE TOT RTRN BD I		2015-05-29	2017-02-14
DOUBLELINE TOT RTRN BD I		2015-05-20	2017-02-14
DOUBLELINE TOT RTRN BD I		2015-05-10	2017-05-15
DOUBLELINE TOT RTRN BD I		2015-05-10	2017-05-15
DOUBLELINE TOT RTRN BD I		2015-05-07	2017-03-13
DOUBLELINE TOT RTRN BD I		2015-04-30	2017-02-14
DOUBLELINE TOT RTRN BD I		2015-04-23	2017-02-14
DOUBLELINE TOT RTRN BD I		2015-04-23	2017-02-14
DOUBLELINE TOT RTRN BD I		2015-04-22	2017-02-14

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
106		110	-4
11		11	0
361		378	-17
11		11	0
171		180	-9
232		244	-12
127		132	-5
4,163		4,336	-173
234		243	-9
11		11	0

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-4
			0
			-17
			0
			-9
			-12
			-5
			-173
			-9
			0

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
DOUBLELINE TOT RTRN BD I		2015-04-22	2017-03-13
DOUBLELINE TOT RTRN BD I		2015-04-22	2017-03-13
DOUBLELINE TOT RTRN BD I		2015-04-22	2017-02-14
DOUBLELINE TOT RTRN BD I		2015-04-22	2017-03-13
DOUBLELINE TOTAL RETURN		2015-11-19	2017-02-14
DOUBLELINE TOTAL RETURN		2015-11-19	2017-03-29
EXXON MOBIL CORP COM		1996-07-11	2017-12-28
FAIRPOINT COMMUNICATIONS		2004-12-28	2017-04-27
HARTFORD SCHROEDERS INTL		2017-03-31	2017-06-16
HARTFORD SCHROEDERS INTL		2017-01-11	2017-02-14

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
169		178	-9
11		11	0
489		512	-23
317		334	-17
4		4	0
1		1	0
25,000		77	24,923
		134	-134
6		6	0
1		1	0

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-9
			0
			-23
			-17
			0
			0
			24,923
			-134
			0
			0

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
HARTFORD SCHRODERS INTL		2016-05-27	2017-06-16
HARTFORD SCHRODERS INTL		2016-05-27	2017-06-16
HARTFORD SCHRODERS INTL		2015-12-30	2017-06-16
HARTFORD SCHRODERS INTL		2015-12-30	2017-06-16
HARTFORD SCHRODERS INTL		2015-11-18	2017-03-13
HARTFORD SCHRODERS INTL		2015-11-18	2017-02-14
HARTFORD SCHRODERS INTL		2015-11-18	2017-02-14
HARTFORD SCHRODERS INTL		2015-11-18	2017-03-13
HARTFORD SCHRODERS INTL		2015-11-18	2017-03-29
HARTFORD SCHRODERS INTL		2015-11-18	2017-06-16

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2,105		1,808	297
10		8	2
10		8	2
204		171	33
1,822		1,655	167
3		3	0
3,279		2,993	286
2		1	1
4		4	0
679		585	94

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			297
			2
			2
			33
			167
			0
			286
			1
			0
			94

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
HARTFORD SCHRODERS INTL		2015-11-18	2017-03-29
HARTFORD SCHRODERS INTL		2015-11-18	2017-03-29
HOME DEPOT INC		2004-06-08	2017-12-28
INVESCO BALANCED RISK		2015-11-18	2017-03-13
INVESCO BALANCED RISK		2015-11-18	2017-05-31
INVESCO BLD RK ALL CL Y		2016-12-13	2017-03-13
INVESCO BLD RK ALL CL Y		2015-11-18	2017-03-13
INVESCO BLD RK ALL CL Y		2015-11-18	2017-05-31
INVESCO BLD RK ALL CL Y		2015-11-18	2017-05-31
INVESCO EQU WT S&P 500 Y		2017-02-14	2017-03-13

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
4,244		3,779	465
1		1	0
100,099		174	99,925
3		3	0
2		2	0
5		5	0
3,326		3,466	-140
2,704		2,728	-24
8		9	-1
38		37	1

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			465
			0
			99,925
			0
			0
			0
			-140
			-24
			-1
			1

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
INVESCO EQU WT S&P 500 Y		2017-02-14	2017-03-29
INVESCO EQU WT S&P 500 Y		2017-02-14	2017-08-25
INVESCO EQU WT S&P 500 Y		2016-12-13	2017-08-25
INVESCO EQU WT S&P 500 Y		2016-12-13	2017-08-25
INVESCO EQU WT S&P 500 Y		2016-12-13	2017-08-25
INVESCO EQU WT S&P 500 Y		2016-12-13	2017-08-25
INVESCO EQU WT S&P 500 Y		2016-12-08	2017-08-25
INVESCO EQU WT S&P 500 Y		2016-12-08	2017-03-29
INVESCO EQU WT S&P 500 Y		2016-12-08	2017-03-13
INVESCO EQU WT S&P 500 Y		2016-12-08	2017-08-25

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
16		16	0
5,655		5,497	158
113		107	6
1,131		1,072	59
57		54	3
113		107	6
59,095		56,744	2,351
14,981		14,715	266
12,608		12,380	228
15		14	1

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			0
			158
			6
			59
			3
			6
			2,351
			266
			228
			1

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
INVESCO EQU WT S&P 500 Y		2016-12-08	2017-05-15
INVESCO EQU WT S&P 500 Y		2016-12-08	2017-05-15
INVESCO EQUALLY WEIGHTED		2016-12-08	2017-03-29
ISH TR CORE DIVID GROWTH		2016-05-27	2017-03-29
ISH TR CORE DIVID GROWTH		2016-01-21	2017-02-23
ISH TR CORE DIVID GROWTH		2016-01-21	2017-02-14
ISH TR CORE DIVID GROWTH		2016-01-21	2017-03-29
ISH TR CORE DIVID GROWTH		2016-01-21	2017-03-13
ISH TR CORE DIVID GROWTH		2015-11-19	2017-02-14
ISHARES 1-3 YEAR		2016-05-27	2017-03-29

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
8,276		8,036	240
39		38	1
3		3	0
670		597	73
5,591		4,365	1,226
3,400		2,696	704
17,149		13,430	3,719
7,637		5,916	1,721
55,754		48,548	7,206
5,258		5,273	-15

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			240
			1
			0
			73
			1,226
			704
			3,719
			1,721
			7,206
			-15

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
ISHARES GOLD TR		2017-05-31	2017-08-31
ISHARES GOLD TR		2017-05-31	2017-07-31
ISHARES GOLD TR		2017-05-31	2017-06-30
ISHARES GOLD TR		2017-05-31	2017-09-30
ISHARES GOLD TR		2017-05-31	2017-12-31
ISHARES GOLD TR		2017-05-31	2017-11-30
ISHARES GOLD TR		2017-05-31	2017-10-31
ISHARES RS 2000 GROWTH		2016-12-07	2017-02-14
ISHARES RS 2000 GROWTH		2016-12-07	2017-03-13
ISHARES RS 2000 GROWTH		2016-12-07	2017-03-29

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
3		3	0
3		3	0
3		3	0
3		3	0
3		3	0
3		3	0
3		3	0
3		3	0
10,917		10,593	324
3,362		3,271	91
804		779	25

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			0
			0
			0
			0
			0
			0
			0
			0
			324
			91
			25

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
ISHARES RS 2000 GROWTH		2015-11-19	2017-02-14
ISHARES RS 2000 GROWTH		2015-04-23	2017-02-14
ISHARES RS 2000 GROWTH		2014-12-11	2017-02-14
ISHARES RS 2000 VALUE		2016-12-07	2017-02-14
ISHARES RS 2000 VALUE		2016-12-07	2017-03-13
ISHARES RS 2000 VALUE		2016-12-07	2017-03-29
ISHARES RS 2000 VALUE		2015-11-19	2017-02-14
ISHARES RS 2000 VALUE		2015-04-23	2017-02-14
ISHARES RS 2000 VALUE		2014-12-11	2017-02-14
ISHARES RUSSELL MIDCAP		2015-11-19	2017-03-13

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
29,861		26,486	3,375
12,522		12,020	502
4,335		3,757	578
13,068		12,886	182
2,928		2,983	-55
467		477	-10
33,760		26,641	7,119
15,005		12,849	2,156
2,057		1,690	367
19,078		16,765	2,313

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			3,375
			502
			578
			182
			-55
			-10
			7,119
			2,156
			367
			2,313

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
ISHARES RUSSELL MIDCAP		2015-11-19	2017-02-14
ISHARES RUSSELL MIDCAP		2015-11-19	2017-05-15
ISHARES RUSSELL MIDCAP		2015-11-19	2017-03-29
ISHARES RUSSELL MIDCAP		2015-04-23	2017-02-14
ISHARES RUSSELL MIDCAP		2014-12-11	2017-02-14
ISHARES SELECT		2016-12-07	2017-03-29
ISHARES SELECT		2015-11-19	2017-02-14
ISHARES SELECT		2015-11-19	2017-03-13
ISHARES SELECT		2015-11-19	2017-03-29
ISHARES SELECT		2014-12-11	2017-02-14

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
12,748		11,176	1,572
7,962		6,903	1,059
14,946		13,149	1,797
26,432		24,716	1,716
3,937		3,474	463
364		356	8
5,267		4,435	832
3,211		2,676	535
4,186		3,517	669
16,166		14,001	2,165

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			1,572
			1,059
			1,797
			1,716
			463
			8
			832
			535
			669
			2,165

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
ISHARES U S PREFERRED		2015-11-19	2017-03-29
ISHARES U S PREFERRED		2015-11-19	2017-03-13
ISHARES U S PREFERRED		2015-11-19	2017-05-15
ISHARES U S PREFERRED		2015-11-19	2017-08-08
ISHARES U S PREFERRED		2015-11-19	2017-06-16
ISHARES U S PREFERRED		2015-04-22	2017-02-23
ISHARES U S PREFERRED		2015-04-22	2017-03-13
IVY HIGH INCOME		2016-02-01	2017-03-29
IVY HIGH INCOME		2015-11-19	2017-02-14
IVY HIGH INCOME		2015-11-19	2017-03-13

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2,896		2,930	-34
3,830		3,945	-115
3,229		3,242	-13
13,218		13,202	16
12,897		12,929	-32
6,833		7,076	-243
2,048		2,159	-111
2		2	0
1		1	0
			0

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-34
			-115
			-13
			16
			-32
			-243
			-111
			0
			0
			0

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
IVY HIGH INCOME FD I		2017-03-27	2017-03-29
IVY HIGH INCOME FD I		2017-02-27	2017-03-13
IVY HIGH INCOME FD I		2017-01-27	2017-02-14
IVY HIGH INCOME FD I		2016-02-01	2017-03-29
IVY HIGH INCOME FD I		2016-02-01	2017-03-29
IVY HIGH INCOME FD I		2016-01-27	2017-03-29
IVY HIGH INCOME FD I		2015-12-31	2017-03-29
IVY HIGH INCOME FD I		2015-12-31	2017-03-29
IVY HIGH INCOME FD I		2015-11-27	2017-03-29
IVY HIGH INCOME FD I		2015-11-19	2017-03-29

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2		2	0
7		7	0
3		3	0
4,518		3,999	519
8		7	1
280		248	32
8		7	1
387		354	33
205		197	8
1,501		1,457	44

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			0
			0
			0
			519
			1
			32
			1
			33
			8
			44

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
IVY HIGH INCOME FD I		2015-11-19	2017-03-13
IVY HIGH INCOME FD I		2015-11-19	2017-02-14
IVY HIGH INCOME FD I		2015-11-19	2017-02-14
IVY HIGH INCOME FD I		2015-11-18	2017-02-14
IVY HIGH INCOME FD I		2015-10-27	2017-02-14
IVY HIGH INCOME FD I		2015-10-27	2017-02-14
IVY HIGH INCOME FD I		2015-09-25	2017-02-14
IVY HIGH INCOME FD I		2015-08-27	2017-02-14
IVY HIGH INCOME FD I		2015-08-27	2017-02-14
IVY HIGH INCOME FD I		2015-07-27	2017-02-14

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
9,722		9,465	257
8		7	1
1,710		1,656	54
15,405		15,000	405
8		8	0
137		136	1
137		136	1
137		138	-1
8		8	0
122		125	-3

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			257
			1
			54
			405
			0
			1
			1
			-1
			0
			-3

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
IVY HIGH INCOME FD I		2015-06-26	2017-02-14
IVY HIGH INCOME FD I		2015-06-26	2017-02-14
IVY HIGH INCOME FD I		2015-05-27	2017-02-14
IVY HIGH INCOME FD I		2015-04-27	2017-02-14
IVY HIGH INCOME FD I		2015-03-27	2017-02-14
IVY HIGH INCOME FD I		2015-03-27	2017-02-14
IVY HIGH INCOME FD I		2015-02-27	2017-02-14
IVY HIGH INCOME FD I		2015-02-27	2017-02-14
IVY HIGH INCOME FD I		2015-01-27	2017-02-14
IVY HIGH INCOME FD I		2015-01-06	2017-02-14

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
129		136	-7
8		8	0
137		146	-9
144		155	-11
8		8	0
175		186	-11
8		8	0
228		244	-16
190		200	-10
334		364	-30

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-7
			0
			-9
			-11
			0
			-11
			0
			-16
			-10
			-30

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
IVY HIGH INCOME FD I		2015-01-06	2017-02-14
IVY HIGH INCOME FD I		2014-12-31	2017-02-14
IVY HIGH INCOME FD I		2014-12-25	2017-03-29
IVY HIGH INCOME FD I		2014-12-25	2017-03-29
IVY HIGH INCOME FD I		2014-12-25	2017-03-29
IVY HIGH INCOME FD I		2014-12-24	2017-03-13
IVY HIGH INCOME FD I		2014-12-11	2017-02-14
IVY HIGH INCOME FD I		2014-12-11	2017-02-14
IVY HIGH INCOME FD I		2014-12-11	2017-02-14
IVY HIGH INCOME FD I		2014-12-11	2017-02-14
IVY HIGH INCOME FD I		2014-12-11	2017-03-13

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
8		8	0
129		137	-8
8		8	0
30		32	-2
53		56	-3
197		211	-14
258		275	-17
198		210	-12
6,414		6,820	-406
8		8	0

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			0
			-8
			0
			-2
			-3
			-14
			-17
			-12
			-406
			0

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
IVY HIGH INCOME FD I		2014-12-11	2017-03-13
IVY HIGH INCOME FD I		2014-12-11	2017-03-13
IVY HIGH INCOME FD I		2014-12-11	2017-02-14
J HNCK GLB ABS RTN STR I		2016-05-12	2017-05-15
J HNCK GLB ABS RTN STR I		2016-05-12	2017-03-13
J HNCK GLB ABS RTN STR I		2016-05-12	2017-03-13
J HNCK GLB ABS RTN STR I		2016-05-12	2017-05-31
J HNCK GLB ABS RTN STR I		2016-05-12	2017-05-31
J HNCK GLB ABS RTN STR I		2016-05-12	2017-05-15
JN HANCOCK INTL GRTH I		2017-01-11	2017-02-14

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
83		89	-6
166		177	-11
365		387	-22
1,308		1,289	19
3,343		3,350	-7
1		1	0
9		9	0
7,787		7,673	114
6		6	0
2		2	0

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-6
			-11
			-22
			19
			-7
			0
			0
			114
			0
			0

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
JN HANCOCK INTL GRTH I		2016-12-08	2017-06-16
JN HANCOCK INTL GRTH I		2016-12-08	2017-06-16
JN HANCOCK INTL GRTH I		2016-05-27	2017-03-29
JN HANCOCK INTL GRTH I		2016-05-27	2017-03-29
JN HANCOCK INTL GRTH I		2016-05-27	2017-06-16
JN HANCOCK INTL GRTH I		2015-12-17	2017-03-29
JN HANCOCK INTL GRTH I		2015-11-18	2017-03-13
JN HANCOCK INTL GRTH I		2015-11-18	2017-02-14
JN HANCOCK INTL GRTH I		2015-11-18	2017-02-14
JN HANCOCK INTL GRTH I		2015-11-18	2017-03-29

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,357		1,137	220
25		21	4
386		365	21
23		22	1
1,974		1,719	255
68		65	3
7		7	0
22		22	0
1,557		1,559	-2
8		7	1

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			220
			4
			21
			1
			255
			3
			0
			0
			-2
			1

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
JN HANCOCK INTL GRTH I		2015-11-18	2017-06-16
JN HANCOCK INTL GRTH I		2015-11-18	2017-03-13
JN HANCOCK INTL GRTH I		2015-11-18	2017-03-29
JN HANCOCK INTL GRTH I		2015-11-09	2017-02-14
JOHN HANCOCK GLOBAL ABS		2016-05-12	2017-03-13
JOHN HANCOCK GLOBAL ABS		2016-05-12	2017-05-15
JOHN HANCOCK INTL GROWTH		2015-11-18	2017-02-14
JONES LANG LASALLE INC		1998-04-21	2017-12-28
JPMORGAN CHASE & CO		2012-06-07	2017-12-28
JPMORGAN CHASE & CO		2011-09-08	2017-12-28

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2		1	1
2,030		1,992	38
4,266		4,070	196
1,687		1,675	12
4		4	0
1		1	0
4		4	0
175,330			175,330
9,239		2,841	6,398
32,228		10,352	21,876

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			1
			38
			196
			12
			0
			0
			0
			175,330
			6,398
			21,876

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
JPMORGAN CHASE & CO		2009-04-23	2017-12-28
LINCOLN NTL CORP IND NPV		2012-06-07	2017-12-28
LORD ABB SHRT DUR INC F		2017-02-28	2017-03-29
LORD ABB SHRT DUR INC F		2017-01-31	2017-02-14
LORD ABB SHRT DUR INC F		2016-05-12	2017-03-29
LORD ABB SHRT DUR INC F		2016-05-12	2017-03-29
LORD ABB SHRT DUR INC F		2016-04-29	2017-03-29
LORD ABB SHRT DUR INC F		2016-03-31	2017-03-29
LORD ABB SHRT DUR INC F		2016-02-29	2017-03-29
LORD ABB SHRT DUR INC F		2016-01-29	2017-03-29

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
18,800		5,642	13,158
148,846		40,376	108,470
1		1	0
			0
4		4	0
16,890		17,008	-118
163		165	-2
159		160	-1
163		162	1
168		167	1

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			13,158
			108,470
			0
			0
			0
			-118
			-2
			-1
			1
			1

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
LORD ABB SHRT DUR INC F		2015-12-31	2017-03-29
LORD ABB SHRT DUR INC F		2015-12-31	2017-03-29
LORD ABB SHRT DUR INC F		2015-12-18	2017-03-29
LORD ABB SHRT DUR INC F		2015-12-02	2017-03-29
LORD ABB SHRT DUR INC F		2015-11-30	2017-03-29
LORD ABB SHRT DUR INC F		2015-11-30	2017-03-29
LORD ABB SHRT DUR INC F		2015-11-18	2017-03-29
LORD ABB SHRT DUR INC F		2015-11-18	2017-02-14
LORD ABB SHRT DUR INC F		2015-11-18	2017-02-14
LORD ABB SHRT DUR INC F		2015-11-18	2017-02-14

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
4		4	0
155		155	0
301		302	-1
232		235	-3
4		4	0
60		61	-1
4		4	0
38,687		39,046	-359
293		296	-3
233		235	-2

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			0
			0
			-1
			-3
			0
			-1
			0
			-359
			-3
			-2

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
LORD ABB SHRT DUR INC F		2015-11-18	2017-03-29
LORD ABB SHRT DUR INC F		2015-11-18	2017-03-29
LORD ABB SHRT DUR INC F		2015-11-18	2017-03-29
LORD ABB SHRT DUR INC F		2015-11-18	2017-03-29
LORD ABB SHRT DUR INC F		2015-11-18	2017-03-29
MFS GLOBAL ALTERNATIVE		2016-12-20	2017-03-13
MFS GLOBAL ALTERNATIVE		2016-12-20	2017-05-15
MFS GLOBAL ALTERNATIVE		2016-12-20	2017-05-31
MFS GLOBAL ALTERNATIVE		2016-05-12	2017-03-13
MFS GLOBAL ALTERNATIVE		2016-05-12	2017-05-15

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
292		296	-4
314		318	-4
821		831	-10
159		161	-2
103		104	-1
9		9	0
1		1	0
350		327	23
3,257		3,323	-66
1,456		1,434	22

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-4
			-4
			-10
			-2
			-1
			0
			0
			23
			-66
			22

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
MFS GLOBAL ALTERNATIVE		2016-05-12	2017-05-31
MFS GLOBAL ALTERNATIVE		2016-05-12	2017-05-31
MFS GLOBAL ALTERNATIVE		2016-05-12	2017-05-15
MOTOROLA SOLUTIONS INC		1983-05-12	2017-12-28
MOTOROLA SOLUTIONS INC		1983-05-12	2017-12-29
OAKMARK INTL FD CL INV		2017-01-11	2017-06-16
OAKMARK INTL FD CL INV		2017-01-11	2017-06-16
PIMCO DIVERSIFIED INCOME		2017-04-28	2017-05-15
PIMCO DIVERSIFIED INCOME		2017-02-28	2017-03-13
PIMCO DIVERSIFIED INCOME		2017-02-14	2017-03-13

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
3		3	0
7,481		7,343	138
7		7	0
1,083,272		2,660	1,080,612
770,311		1,884	768,427
3,918		3,510	408
9		8	1
5		5	0
2		2	0
138		139	-1

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			0
			138
			0
			1,080,612
			768,427
			408
			1
			0
			0
			-1

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
PIMCO DIVERSIFIED INCOME		2017-02-14	2017-03-13
PIMCO DIVERSIFIED INCOME		2017-02-14	2017-03-13
PIMCO DIVERSIFIED INCOME		2017-02-14	2017-03-13
PIMCO DIVERSIFIED INCOME		2017-02-14	2017-03-13
PIMCO DIVERSIFIED INCOME		2017-02-14	2017-03-29
PIMCO DIVERSIFIED INCOME		2017-02-14	2017-03-29
PIMCO DIVERSIFIED INCOME		2017-02-14	2017-05-15
PIMCO DIVERSIFIED INCOME		2017-02-14	2017-03-13
PIMCO ENHANCED SHORT		2017-02-14	2017-03-29
PIMCO INCOME FUND CL P		2017-04-28	2017-05-15

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
11		11	0
			0
11		11	0
287		288	-1
20,000		19,814	186
			0
10,004		9,784	220
5,926		5,954	-28
22,354		22,328	26
2		2	0

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			0
			0
			0
			-1
			186
			0
			220
			-28
			26
			0

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
PIMCO INCOME FUND CL P		2017-02-28	2017-03-13
PIMCO INCOME FUND CL P		2017-01-31	2017-02-14
PIMCO INCOME FUND CL P		2015-11-19	2017-02-14
PIMCO INCOME FUND CL P		2015-11-19	2017-03-29
PIMCO INCOME FUND CL P		2015-11-19	2017-03-13
PIMCO INCOME FUND CL P		2015-11-19	2017-02-14
PIMCO INCOME FUND CL P		2015-11-19	2017-03-13
PIMCO INCOME FUND CL P		2015-11-19	2017-05-15
PIMCO INCOME FUND CL P		2015-11-19	2017-05-15
PIMCO INCOME FUND CL P		2015-11-19	2017-03-29

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
7		7	0
1		1	0
2		2	0
4		4	0
3		3	0
13,489		13,445	44
5,771		5,743	28
1		1	0
8,893		8,742	151
19,996		19,768	228

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			0
			0
			0
			0
			0
			44
			28
			0
			151
			228

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
PIMCO INCOME FUND CL P		2015-11-18	2017-02-14
PIMCO INCOME FUND CL P		2015-11-18	2017-02-14
PIMCO INCOME FUND CL P		2015-10-30	2017-02-14
PIMCO INCOME FUND CL P		2015-09-30	2017-02-14
PIMCO INCOME FUND CL P		2015-08-31	2017-02-14
PIMCO INCOME FUND CL P		2015-08-31	2017-02-14
PIMCO INCOME FUND CL P		2015-07-31	2017-02-14
PIMCO INCOME FUND CL P		2015-06-30	2017-02-14
PIMCO INCOME FUND CL P		2015-06-30	2017-02-14
PIMCO INCOME FUND CL P		2015-05-29	2017-02-14

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
12		12	0
63,258		63,053	205
146		145	1
146		144	2
133		134	-1
12		12	0
133		135	-2
133		135	-2
12		12	0
133		137	-4

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			0
			205
			1
			2
			-1
			0
			-2
			-2
			0
			-4

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
PIMCO INCOME FUND CL P		2015-05-29	2017-02-14
PIMCO INCOME FUND CL P		2015-05-20	2017-02-14
PIMCO INCOME FUND CL P		2015-05-10	2017-05-15
PIMCO INCOME FUND CL P		2015-05-10	2017-05-15
PIMCO INCOME FUND CL P		2015-05-10	2017-05-15
PIMCO INCOME FUND CL P		2015-05-10	2017-05-15
PIMCO INCOME FUND CL P		2015-05-10	2017-05-15
PIMCO INCOME FUND CL P		2015-05-07	2017-03-13
PIMCO INCOME FUND CL P		2015-05-07	2017-03-13
PIMCO INCOME FUND CL P		2015-04-30	2017-02-14
PIMCO INCOME FUND CL P		2015-04-30	2017-02-14

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
12		12	0
619		637	-18
74		75	-1
12		12	0
234		236	-2
12		12	0
12		12	0
535		548	-13
12		12	0
24		25	-1

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			0
			-18
			-1
			0
			-2
			0
			0
			-13
			0
			-1

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
PIMCO INCOME FUND CL P		2015-04-23	2017-02-14
PIMCO INCOME FUND CL P		2015-04-23	2017-02-14
PIMCO INCOME FUND CL P		2015-04-23	2017-02-14
PIMCO INCOME FUND CL P		2015-04-22	2017-02-14
PIMCO INCOME FUND CL P		2015-04-22	2017-02-14
PIMCO INCOME FUND CL P		2015-04-22	2017-03-13
PIMCO INCOME FUND CL P		2015-04-22	2017-03-13
PIMCO INCOME FUND CL P		2015-04-22	2017-03-13
PIMCO STOCKPLUS FUND		2017-03-16	2017-05-15
PIMCO STOCKPLUS FUND		2017-02-14	2017-03-13

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
12		12	0
534		545	-11
3,590		3,665	-75
728		744	-16
12		12	0
316		321	-5
12		12	0
413		420	-7
3		3	0
13,588		13,359	229

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			0
			-11
			-75
			-16
			0
			-5
			0
			-7
			0
			229

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
PIMCO STOCKPLUS FUND		2017-02-14	2017-03-13
PIMCO STOCKPLUS FUND		2017-02-14	2017-05-15
PIMCO STOCKPLUS FUND		2017-02-14	2017-03-29
PIMCO TOTAL RETURN		2016-05-27	2017-02-14
PIMCO TOTAL RETURN		2013-01-15	2017-02-14
POWERSHARES S&P 500 LOW		2017-02-14	2017-03-13
POWERSHARES S&P 500 LOW		2017-02-14	2017-03-29
ROYAL BANK CANADA PV\$1		1998-04-21	2017-12-28
SELECT SECTOR SPDR TR		2016-01-21	2017-02-23
SELECT SECTOR SPDR TR		2016-01-21	2017-03-13

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2		2	0
8,558		8,314	244
15,000		14,865	135
11,107		11,193	-86
6,916		7,229	-313
3,619		3,526	93
4,350		4,248	102
151,613			151,613
8,494		6,441	2,053
3,031		2,266	765

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			0
			244
			135
			-86
			-313
			93
			102
			151,613
			2,053
			765

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
SELECT SECTOR SPDR TR		2016-01-21	2017-03-29
SPDR GOLD TRUST		2016-07-27	2017-03-06
SPDR GOLD TRUST		2016-07-27	2017-02-03
SPDR GOLD TRUST		2016-07-27	2017-01-05
SPDR GOLD TRUST		2016-07-27	2017-05-31
SPDR GOLD TRUST		2016-07-27	2017-05-04
SPDR GOLD TRUST		2016-07-27	2017-04-07
THE OAKMARK FUND		2016-12-09	2017-01-11
THE OAKMARK FUND		2016-12-09	2017-01-11
THE OAKMARK INTL FUND		2017-01-11	2017-06-16

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,811		1,352	459
3		4	-1
4		4	0
4		4	0
11,486		11,984	-498
4		4	0
4		4	0
56		56	0
46,518		46,036	482
2		2	0

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			459
			-1
			0
			0
			-498
			0
			0
			0
			482
			0

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
THE OAKMARK INTL FUND		2017-01-11	2017-02-14
THE OAKMARK INTL FUND		2017-01-11	2017-03-13
THE OAKMARK INTL FUND		2017-01-11	2017-02-14
THE OAKMARK INTL FUND		2017-01-11	2017-02-14
THE OAKMARK INTL FUND		2017-01-11	2017-03-29
THE OAKMARK INTL FUND		2017-01-11	2017-03-29
THE OAKMARK INTL FUND		2017-01-11	2017-03-13
THE OAKMARK INTL FUND		2017-01-11	2017-03-29
VANGUARD INTL EQUITY INDX		2017-01-11	2017-03-13
VANGUARD INTL EQUITY INDX		2017-01-11	2017-02-14

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
20		20	0
2,192		2,106	86
1		1	0
2,883		2,831	52
			0
3,483		3,276	207
4		4	0
17		16	1
1,706		1,642	64
3,436		3,285	151

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			0
			86
			0
			52
			0
			207
			0
			1
			64
			151

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
VANGUARD INTL EQUITY INDX		2017-01-11	2017-06-16
VANGUARD INTL EQUITY INDX		2017-01-11	2017-03-29
VANGUARD S AND P 500		2017-02-14	2017-03-13
VANGUARD S AND P 500		2017-02-14	2017-03-29
VANGUARD S AND P 500		2017-02-14	2017-05-15
VANGUARD S&P 500 GROWTH		2015-11-19	2017-03-13
VANGUARD S&P 500 GROWTH		2015-11-19	2017-02-14
VANGUARD S&P 500 GROWTH		2015-11-19	2017-05-15
VANGUARD S&P 500 GROWTH		2015-11-19	2017-03-29
VANGUARD S&P 500 GROWTH		2015-04-23	2017-02-14

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2,810		2,512	298
4,624		4,348	276
16,705		16,524	181
27,565		27,708	-143
7,221		7,255	-34
18,661		16,724	1,937
47,309		43,398	3,911
10,609		9,209	1,400
29,497		26,462	3,035
18,577		16,754	1,823

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			298
			276
			181
			-143
			-34
			1,937
			3,911
			1,400
			3,035
			1,823

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
VANGUARD S&P 500 GROWTH		2014-12-11	2017-02-14
VANGUARD TOTAL BOND MKT		2017-02-14	2017-03-13
VANGUARD TOTAL BOND MKT		2017-02-14	2017-02-23
VANGUARD TOTAL BOND MKT		2017-02-14	2017-06-16
VANGUARD TOTAL BOND MKT		2017-02-14	2017-03-29
VANGUARD TOTAL INTL STK		2015-11-18	2017-03-29
VANGUARD TOTAL INTL STK		2015-11-18	2017-06-16
VANGUARD TOTAL INTL STK		2015-11-09	2017-02-14
VANGUARD TOTAL INTL STK		2015-11-09	2017-03-13
VANGUARD TOTAL INTL STK		2015-11-09	2017-03-29

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
3,692		3,209	483
11,046		11,148	-102
42,359		42,167	192
6,488		6,382	106
8,433		8,401	32
2,847		2,647	200
2,821		2,507	314
3,155		3,016	139
2,009		1,903	106
1,648		1,531	117

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			483
			-102
			192
			106
			32
			200
			314
			139
			106
			117

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
VERTEX PHARMCTLS INC		2002-11-04	2017-12-28
6 WASH SALE ADJUSTMENTS			
WASH SALE/BASIS ADJ 2017 SALES			
GROSS PROCEEDS ROUNDING ADJUSTMENT			
CAPITAL GAINS DIVIDENDS	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
100,325			100,325
515			515
		2,617	-2,617
11		22	-11
5,019			5,019

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l


Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			100,325
			515
			-2,617
			-11
			5,019

Form 990PF Part XV Line 1a - List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000).

MARY A LYONS

PHILLIP N LYONS

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
CHORUS AMERICA 1156 15TH NW STE 310 WASHINGTON, DC 200052750	MARY LYONS SERVES ON BOARD	PUBLIC	TO SUPPORT NATIONAL PRESENTATIONS/CONCERTS	25,000
PBS SOCALKOCE-TV FOUNDATION 3080 BRISTOL ST STE 100 COSTA MESA, CA 92626	MARY LYONS SERVES ON BOARD	PUBLIC	DIGITAL FORMAT CONVERSION/EDUCATIONAL & ARTS PROGRAM	50,000
PACIFIC CHORALE 3621 S HARBOR BLVD STE 220 SANTA ANA, CA 92704	MARY LYONS SERVES ON BOARD	PUBLIC	UNDERWRITE CONCERTS & OPERATING EXPENSES	325,000
Total 				732,400
3a				

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
PACIFIC SYMPHONY ORCHESTRA 3631 S HARBOR BLVD STE 100 SANTA ANA, CA 92704	PHIL LYONS SERVES ON BOARD	PUBLIC	UNDERWRITE CONCERTS & OPERATING EXPENSES	252,400
SEGERSTROM CENTER FOR THE ARTS 615 TOWN CENTER DR COSTA MESA, CA 92626	NONE	PUBLIC	ENHANCE PRESENTATIONS AT THE CENTER	25,000
UCLA FOUNDATION 10920 WILSHIRE BLVD STE 900 LOS ANGELES, CA 90024	NONE	PUBLIC	SUPPORT EDUCATIONAL PROGRAMS OF THE UNIVERSITY OF CALIFORNIA, LOS ANGELES	5,000
Total ▶ 3a				732,400

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
CHILDRENS HOSPITAL OF ORANGE COUNTY 1201 WEST LA VETA AVENUE ORANGE, CA 92868	NONE	PUBLIC	SUPPORT OF NON-PROFIT HOSPITAL PROGRAMS AND SERVICES	10,000
ARTS & LEARNING CONSERVATORY 151 KALMUS DRIVE SUITE G-3 COSTA MESA, CA 92626	MARY LYONS SERVES ON BOARD	PUBLIC	SUPPORT OF MUSICAL THEATER & PERFORMING ARTS PROGRAMS FOR CHILDREN	5,000
FRIENDS OF THE SMITHSONIAN INSTITUTION PO BOX 37012 MRC 712 WASHINGTON, DC 200137012	NONE	PUBLIC	SUPPORT OF SMITHSONIAN MUSEUMS & PROGRAMS	25,000
Total ▶ 3a				732,400

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
PHILHARMONIC SOCIETY OF ORANGE COUNTY 2082 BUSINESS CENTER DR STE 100 IRVINE, CA 92612	NONE	PUBLIC	SUPPORT OF MUSICAL PRESENTATIONS AND EDUCATIONAL PROGRAMS	10,000
Total				732,400
3a				

TY 2017 Investments Corporate Bonds Schedule**Name:** LYONS SHARE FOUNDATION**EIN:** 33-0622707**Investments Corporate Bonds Schedule**

Name of Bond	End of Year Book Value	End of Year Fair Market Value
CORPORATE BONDS	131,619	131,619

TY 2017 Investments Corporate Stock Schedule**Name:** LYONS SHARE FOUNDATION**EIN:** 33-0622707

Name of Stock	End of Year Book Value	End of Year Fair Market Value
CORPORATE STOCK	5,669,533	5,669,533

TY 2017 Investments - Other Schedule**Name:** LYONS SHARE FOUNDATION**EIN:** 33-0622707**Investments Other Schedule 2**

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
MUTUAL FUNDS	FMV	3,368,955	3,368,955
NET RECEIVABLE - UNSETTLED TRADES	FMV	1,186,870	1,186,870

TY 2017 Other Assets Schedule**Name:** LYONS SHARE FOUNDATION**EIN:** 33-0622707**Other Assets Schedule**

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
ORGANIZATION COSTS	1,276	1,276	

TY 2017 Other Expenses Schedule**Name:** LYONS SHARE FOUNDATION**EIN:** 33-0622707**Other Expenses Schedule**

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ANNUAL INVESTMENT ACCOUNT FEES	152	152		0
INVESTMENT ADVISOR FEES	5,833	5,833		0

TY 2017 Other Income Schedule**Name:** LYONS SHARE FOUNDATION**EIN:** 33-0622707**Other Income Schedule**

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
UNREALIZED GAIN ON INVESTMENTS	72,075	0	72,075

TY 2017 Other Increases Schedule**Name:** LYONS SHARE FOUNDATION**EIN:** 33-0622707

Description	Amount
12-31-2016 UNREALIZED GAIN ON INVESTMENTS (CONVERSION TO GAAP FINANCIALS)	17,900

TY 2017 Taxes Schedule**Name:** LYONS SHARE FOUNDATION**EIN:** 33-0622707

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
REGISTRY OF CHARITABLE TRUSTS & FRANCHISE TAX BOARD	85	0		0
FEDERAL EXCISE TAX PAYMENTS	13,000	0		0
FOREIGN TAX ON DIVIDEND	18	0		0