Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.
▶ Information about Form 990-PF and its instructions is at *www.irs.gov/form990pf*.

OMB No 1545-0052

2017

Open to Public Inspection

For calendar year 2017, or tax year beginning 01-01-2017 , and ending 12-31-2017

Name of foundation
FARO FOUNDATION

A Employer identification number
27-6020872

Number and street (or P O box number if mail is not delivered to street address) Room/suite
1801 CENTURY PARK EAST NO 2160

B Telephone number (see instructions)
(310) 556-2266

City or town, state or province, country, and ZIP or foreign postal code
LOS ANGELES, CA 90067

C If exemption application is pending, check here ▶ ☐

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐
2 Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

H Check type of organization ☑ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year *(from Part II, col (c), line 16)*▶$ 2,757,289

J Accounting method ☑ Cash ☐ Accrual ☐ Other (specify)
(Part I, column (d) must be on cash basis)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*

			(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)				
	2	Check ▶ ☑ if the foundation is **not** required to attach Sch B				
	3	Interest on savings and temporary cash investments	60,596	60,596		
	4	Dividends and interest from securities	24,911	24,911		
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	282,970			
	b	Gross sales price for all assets on line 6a 1,138,133				
	7	Capital gain net income (from Part IV, line 2)		282,970		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)				
	12	**Total.** Add lines 1 through 11	368,477	368,477		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc	0	0		0
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)				
	b	Accounting fees (attach schedule)	19,112	19,112		0
	c	Other professional fees (attach schedule)				
	17	Interest				
	18	Taxes (attach schedule) (see instructions)	5,057	5,057		0
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses (attach schedule)	29,932	29,932		0
	24	**Total operating and administrative expenses.** Add lines 13 through 23	54,101	54,101		0
	25	Contributions, gifts, grants paid	977,469			977,469
	26	**Total expenses and disbursements.** Add lines 24 and 25	1,031,570	54,101		977,469
	27	Subtract line 26 from line 12				
	a	**Excess of revenue over expenses and disbursements**	-663,093			
	b	**Net investment income** (if negative, enter -0-)		314,376		
	c	**Adjusted net income**(if negative, enter -0-)				

Part II	**Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year (a) Book Value	End of year (b) Book Value	(c) Fair Market Value
Assets 1	Cash—non-interest-bearing	103,647	107,533	107,533
2	Savings and temporary cash investments			
3	Accounts receivable ▶ Less allowance for doubtful accounts ▶			
4	Pledges receivable ▶ Less allowance for doubtful accounts ▶			
5	Grants receivable			
6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
7	Other notes and loans receivable (attach schedule) ▶ Less allowance for doubtful accounts ▶			
8	Inventories for sale or use			
9	Prepaid expenses and deferred charges			
10a	Investments—U S and state government obligations (attach schedule)			
b	Investments—corporate stock (attach schedule)	2,296,906	1,631,389	2,197,351
c	Investments—corporate bonds (attach schedule)			
11	Investments—land, buildings, and equipment basis ▶ Less accumulated depreciation (attach schedule) ▶			
12	Investments—mortgage loans	452,552	452,405	452,405
13	Investments—other (attach schedule)			
14	Land, buildings, and equipment basis ▶ Less accumulated depreciation (attach schedule) ▶			
15	Other assets (describe ▶)			
16	**Total assets** (to be completed by all filers—see the instructions Also, see page 1, item I)	2,853,105	2,191,327	2,757,289
Liabilities 17	Accounts payable and accrued expenses			
18	Grants payable			
19	Deferred revenue			
20	Loans from officers, directors, trustees, and other disqualified persons			
21	Mortgages and other notes payable (attach schedule)			
22	Other liabilities (describe ▶)			
23	**Total liabilities**(add lines 17 through 22)	0	0	
Net Assets or Fund Balances	**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
24	Unrestricted			
25	Temporarily restricted			
26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☑ and complete lines 27 through 31.			
27	Capital stock, trust principal, or current funds	0	0	
28	Paid-in or capital surplus, or land, bldg , and equipment fund	0	0	
29	Retained earnings, accumulated income, endowment, or other funds	2,853,105	2,191,327	
30	**Total net assets or fund balances** (see instructions)	2,853,105	2,191,327	
31	**Total liabilities and net assets/fund balances** (see instructions)	2,853,105	2,191,327	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	2,853,105
2	Enter amount from Part I, line 27a	2	-663,093
3	Other increases not included in line 2 (itemize) ▶	3	1,315
4	Add lines 1, 2, and 3	4	2,191,327
5	Decreases not included in line 2 (itemize) ▶	5	0
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	2,191,327

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	See Additional Data Table			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	See Additional Data Table			
b				
c				
d				
e				

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a	See Additional Data Table			
b				
c				
d				
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	282,970
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☑ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2016	433,000	3,228,608	0 134114
2015	677,251	3,815,786	0 177487
2014	265,000	4,157,663	0 063738
2013	394,500	3,893,520	0 101322
2012	426,500	3,800,568	0 112220

2	**Total** of line 1, column (d)	2	0 588881
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 117776
4	Enter the net value of noncharitable-use assets for 2017 from Part X, line 5	4	3,068,510
5	Multiply line 4 by line 3	5	361,397
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	3,144
7	Add lines 5 and 6	7	364,541
8	Enter qualifying distributions from Part XII, line 4 , ...	8	977,469

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 Date of ruling or determination letter ________ **(attach copy of letter if necessary–see instructions)**				
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☑ and enter 1% of Part I, line 27b			1	3,144
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)				
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			2	0
3	Add lines 1 and 2			3	3,144
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			4	0
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-			5	3,144
6	Credits/Payments				
a	2017 estimated tax payments and 2016 overpayment credited to 2017	6a	6,339		
b	Exempt foreign organizations—tax withheld at source	6b			
c	Tax paid with application for extension of time to file (Form 8868)	6c			
d	Backup withholding erroneously withheld	6d	0		
7	Total credits and payments Add lines 6a through 6d			7	6,339
8	Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached			8	0
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶			9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶			10	3,195
11	Enter the amount of line 10 to be **Credited to 2018 estimated tax** ▶ 3,195 **Refunded** ▶			11	0

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		No
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)?	1b		No
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ▶ $ 0 **(2)** On foundation managers ▶ $ 0			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ 0			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		No
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		No
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6		No
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶CA			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation*	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2017 or the taxable year beginning in 2017 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		No
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		No

Part VII-A Statements Regarding Activities (continued)

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	

Website address ▶N/A

14 The books are in care of ▶THE FOUNDATION Telephone no ▶(310) 556-2266

Located at ▶1801 CENTURY PARK EAST NO 2160 LOS ANGELES CA ZIP+4 ▶90067

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —Check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ 15

			Yes	No
16	At any time during calendar year 2017, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		No
	See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR) If "Yes", enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.		Yes	No
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☑ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☑ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☑ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☑ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☑ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ Yes ☑ No			
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2017?	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2017, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2017? ☐ Yes ☑ No			
	If "Yes," list the years ▶ 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions)	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☑ No			
b	If "Yes," did it have excess business holdings in 2017 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2017).*	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2017?	4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (Continued)

			Line		
5a	During the year did the foundation pay or incur any amount to				
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☑ No			
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☑ No			
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☑ No			
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 4945(d)(4)(A)? (see instructions).	☐ Yes ☑ No			
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?.	☐ Yes ☑ No			
b	If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)?		**5b**		
	Organizations relying on a current notice regarding disaster assistance check here. . . . . ▶ ☐				
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?.	☐ Yes ☐ No			
	If "Yes," attach the statement required by Regulations section 53 4945–5(d)				
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?.	☐ Yes ☑ No			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		**6b**		No
	If "Yes" to 6b, file Form 8870				
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes ☑ No			
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?		**7b**		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
PAUL KNELL 1801 CENTURY PARK EAST SUITE 2160 LOS ANGELES, CA 90067	TRUSTEE 3 00	0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000. . . . . ▶ 0

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over $50,000 for professional services. . . . . ▶ 0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments See instructions 3	
Total. Add lines 1 through 3 . . . . ▶	0

Part X Minimum Investment Return (All domestic foundations must complete this part Foreign foundations,see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes			
a	Average monthly fair market value of securities		1a	3,048,384
b	Average of monthly cash balances		1b	66,855
c	Fair market value of all other assets (see instructions)		1c	0
d	**Total** (add lines 1a, b, and c)		1d	3,115,239
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e 0		
2	Acquisition indebtedness applicable to line 1 assets		2	0
3	Subtract line 2 from line 1d		3	3,115,239
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions)		4	46,729
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4		5	3,068,510
6	**Minimum investment return.** Enter 5% of line 5		6	153,426

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6		1	153,426
2a	Tax on investment income for 2017 from Part VI, line 5	2a 3,144		
b	Income tax for 2017 (This does not include the tax from Part VI)	2b		
c	Add lines 2a and 2b		2c	3,144
3	Distributable amount before adjustments Subtract line 2c from line 1		3	150,282
4	Recoveries of amounts treated as qualifying distributions		4	0
5	Add lines 3 and 4		5	150,282
6	Deduction from distributable amount (see instructions)		6	0
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1		7	150,282

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26	1a	977,469
b	Program-related investments—total from Part IX-B	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	977,469
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	3,144
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	974,325

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2016	(c) 2016	(d) 2017
1 Distributable amount for 2017 from Part XI, line 7					150,282
2 Undistributed income, if any, as of the end of 2017					
a Enter amount for 2016 only.				0	
b Total for prior years 20____ , 20____ , 20____			0		
3 Excess distributions carryover, if any, to 2017					
a From 2012.	241,142				
b From 2013.	204,804				
c From 2014.	63,724				
d From 2015.	494,864				
e From 2016.	273,770				
f Total of lines 3a through e.		1,278,304			
4 Qualifying distributions for 2017 from Part XII, line 4 ▶ $ 977,469					
a Applied to 2016, but not more than line 2a				0	
b Applied to undistributed income of prior years (Election required—see instructions).			0		
c Treated as distributions out of corpus (Election required—see instructions).		0			
d Applied to 2017 distributable amount.					150,282
e Remaining amount distributed out of corpus		827,187			
5 Excess distributions carryover applied to 2017 *(If an amount appears in column (d), the same amount must be shown in column (a))*		0			0
6 Enter the net total of each column as indicated below:					
a Corpus Add lines 3f, 4c, and 4e Subtract line 5		2,105,491			
b Prior years' undistributed income Subtract line 4b from line 2b			0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.			0		
d Subtract line 6c from line 6b Taxable amount —see instructions			0		
e Undistributed income for 2016 Subtract line 4a from line 2a Taxable amount—see instructions				0	
f Undistributed income for 2017 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2018					0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)		0			
8 Excess distributions carryover from 2012 not applied on line 5 or line 7 (see instructions) . . .		241,142			
9 Excess distributions carryover to 2018. Subtract lines 7 and 8 from line 6a		1,864,349			
10 Analysis of line 9					
a Excess from 2013. . . .	204,804				
b Excess from 2014. . . .	63,724				
c Excess from 2015. . . .	494,864				
d Excess from 2016. . . .	273,770				
e Excess from 2017. . . .	827,187				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2017, enter the date of the ruling. . . . . . . ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2017	**(b)** 2016	**(c)** 2015	**(d)** 2014	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter **(1)** Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test—enter **(1)** Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had $5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☑ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or email address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year* See Additional Data Table				
Total . . . . ▶ **3a**				977,469
b *Approved for future payment*				
Total . . . . ▶ **3b**				0

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments. . . .					
3 Interest on savings and temporary cash investments			14	60,596	
4 Dividends and interest from securities. . . .			14	24,911	
5 Net rental income or (loss) from real estate					
a Debt-financed property.					
b Not debt-financed property.					
6 Net rental income or (loss) from personal property					
7 Other investment income.					
8 Gain or (loss) from sales of assets other than inventory					282,970
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e). .		0		85,507	282,970

13 **Total.** Add line 12, columns (b), (d), and (e). 13 368,477

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)
3	INVESTMENT INCOME
4	INVESTMENT INCOME
8	INVESTMENT INCOME

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501 (c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of			
(1) Cash.	1a(1)		No
(2) Other assets.	1a(2)		No
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization.	1b(1)		No
(2) Purchases of assets from a noncharitable exempt organization.	1b(2)		No
(3) Rental of facilities, equipment, or other assets.	1b(3)		No
(4) Reimbursement arrangements.	1b(4)		No
(5) Loans or loan guarantees.	1b(5)		No
(6) Performance of services or membership or fundraising solicitations.	1b(6)		No
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees.	1c		No

d If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line No	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☑ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

******	2018-05-31	******
Signature of officer or trustee	Date	Title

May the IRS discuss this return with the preparer shown below (see instr)? ☐ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ▶ ☐	PTIN
Firm's name ▶				Firm's EIN ▶
Firm's address ▶				Phone no

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
CONDUENT INC COM	P	2015-01-22	2017-01-06
DOWDUPONT INC	P	2012-11-05	2017-09-01
KRAFT HEINZ CO	P	2015-01-22	2017-09-08
KRAFT HEINZ CO	P	2015-07-09	2017-09-08
KRAFT HEINZ CO	P	2015-07-10	2017-09-08
KRAFT HEINZ CO	P	2015-01-22	2017-09-07
XEROX CORP	P	2015-01-22	2017-01-03
***ALPHA & OMEGA SEMICONDUCTOR LIMITED	P	2016-06-22	2017-02-24
***FRESH DEL MONTE PRODUCE INC	P	2016-06-22	2017-02-24
***FRESH DEL MONTE PRODUCE INC	P	2016-07-26	2017-02-24

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
7,032		9,457	-2,425
63		31	32
7,501		5,952	1,549
2,364		2,101	263
1,386		1,260	126
15,112		12,032	3,080
16,138		23,777	-7,639
454		326	128
462		441	21
346		346	0

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			**(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			-2,425
			32
			1,549
			263
			126
			3,080
			-7,639
			128
			21
			0

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
***GARMIN LTD	P	2016-09-27	2017-02-24
***GARMIN LTD	P	2016-10-26	2017-02-24
***INTERNATIONAL GAME TECHNOLOGY PLC USD0 1	P	2016-10-26	2017-02-24
***INTERNATIONAL SEAWAYS INC COM	P	2016-12-01	2017-01-03
***LYONDELLBASELL INDUSTRIES N V CL A	P	2015-05-18	2017-02-24
***LYONDELLBASELL INDUSTRIES N V CL A	P	2015-06-17	2017-02-24
***LYONDELLBASELL INDUSTRIES N V CL A	P	2015-07-17	2017-02-24
***LYONDELLBASELL INDUSTRIES N V CL A	P	2016-09-27	2017-02-24
***LYONDELLBASELL INDUSTRIES N V CL A	P	2016-10-26	2017-02-24
***SEAGATE TECHNOLOGY PLC	P	2016-04-19	2017-01-03

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
792		734	58
158		152	6
665		707	-42
273		242	31
92		104	-12
1,013		1,136	-123
1,474		1,580	-106
1,105		923	182
829		839	-10
513		331	182

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			58
			6
			-42
			31
			-12
			-123
			-106
			182
			-10
			182

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
***SEAGATE TECHNOLOGY PLC	P	2016-04-19	2017-02-01
***SEAGATE TECHNOLOGY PLC	P	2016-05-24	2017-02-01
***SEAGATE TECHNOLOGY PLC	P	2016-05-24	2017-02-24
***SEAGATE TECHNOLOGY PLC	P	2016-06-22	2017-02-24
***SIGNET JEWELERS LIMITED	P	2016-11-29	2017-02-24
***SIGNET JEWELERS LIMITED	P	2017-01-03	2017-02-24
***SIGNET JEWELERS LIMITED	P	2017-02-01	2017-02-24
***TE CONNECTIVITY LTD	P	2016-06-22	2017-02-24
***TE CONNECTIVITY LTD	P	2016-07-26	2017-02-24
***TE CONNECTIVITY LTD	P	2016-08-24	2017-02-24

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
662		382	280
132		63	69
3,854		1,696	2,158
1,665		840	825
663		832	-169
516		664	-148
516		546	-30
297		248	49
1,780		1,469	311
297		252	45

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			280
			69
			2,158
			825
			-169
			-148
			-30
			49
			311
			45

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
***TE CONNECTIVITY LTD	P	2016-09-27	2017-02-24
***TRINSEO S A SHS	P	2016-06-23	2017-02-24
***TRINSEO S A SHS	P	2016-07-26	2017-02-24
***TRINSEO S A SHS	P	2016-09-30	2017-02-24
***TRINSEO S A SHS	P	2016-10-26	2017-02-24
***TRINSEO S A SHS	P	2017-01-03	2017-02-24
***TRINSEO S A SHS	P	2017-02-01	2017-02-24
ADVANCED ENERGY INDUSTRIES INC	P	2016-09-27	2017-02-24
AES CORP	P	2016-01-22	2017-02-24
AES CORP	P	2016-02-17	2017-02-24

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,483		1,279	204
70		48	22
561		409	152
491		396	95
281		216	65
281		243	38
210		198	12
809		605	204
391		292	99
462		364	98

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			204
			22
			152
			95
			65
			38
			12
			204
			99
			98

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
AES CORP	P	2016-07-26	2017-02-24
AIR TRANSPORT SERVICES GROUP INC	P	2016-04-28	2017-02-24
ALTRIA GROUP INC	P	2016-01-21	2017-02-01
ALTRIA GROUP INC	P	2016-01-21	2017-02-24
AMERICAN EXPRESS COMPANY	P	2016-07-26	2017-02-24
AMERICAN EXPRESS COMPANY	P	2016-08-24	2017-02-24
AMERICAN EXPRESS COMPANY	P	2016-09-27	2017-02-24
AMERICAN EXPRESS COMPANY	P	2016-10-26	2017-02-24
AMERICAN EXPRESS COMPANY	P	2017-01-03	2017-02-24
AMERICAN EXPRESS COMPANY	P	2017-02-01	2017-02-24

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
332		346	-14
510		429	81
852		690	162
1,487		1,150	337
796		641	155
637		525	112
1,671		1,348	323
955		802	153
159		150	9
716		694	22

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-14
			81
			162
			337
			155
			112
			323
			153
			9
			22

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
ANALOG DEVICES INC	P	2017-02-01	2017-02-24
APPLE INC	P	2015-05-18	2017-02-01
APPLE INC	P	2016-01-21	2017-02-01
APPLIED MATERIALS INC	P	2016-09-27	2017-02-24
APPLIED MATERIALS INC	P	2016-10-26	2017-02-24
ARGAN INC	P	2016-10-26	2017-02-24
ASSURANT INC	P	2017-01-11	2017-02-24
AT&T INC	P	2016-07-26	2017-02-24
AVERY DENNISON CORP	P	2016-01-21	2017-02-01
AVERY DENNISON CORP	P	2016-01-21	2017-02-24

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,059		984	75
513		522	-9
513		493	20
617		504	113
798		637	161
626		498	128
3,247		3,112	135
1,141		1,149	-8
391		295	96
880		650	230

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			75
			-9
			20
			113
			161
			128
			135
			-8
			96
			230

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
AVERY DENNISON CORP	P	2016-06-22	2017-02-24
BANK OF AMERICA CORP	P	2017-02-02	2017-02-24
BAXTER INTERNATIONAL INC	P	2016-06-22	2017-02-24
BEST BUY COMPANY INC	P	2016-09-27	2017-02-24
BEST BUY COMPANY INC	P	2016-10-26	2017-02-24
BOEING CO	P	2015-08-17	2017-02-24
BOEING CO	P	2015-08-27	2017-02-24
BOEING CO	P	2015-09-17	2017-02-24
BOEING CO	P	2015-10-19	2017-02-24
BOEING CO	P	2015-12-15	2017-02-24

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
560		536	24
771		728	43
1,263		1,125	138
545		459	86
908		787	121
1,775		1,438	337
1,775		1,313	462
1,952		1,523	429
1,952		1,521	431
1,597		1,323	274

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			24
			43
			138
			86
			121
			337
			462
			429
			431
			274

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
C R BARD INC	P	2015-10-19	2017-02-24
CAL-MAINE FOODS INC NEW	P	2016-02-17	2017-02-24
CAL-MAINE FOODS INC NEW	P	2016-05-24	2017-02-24
CAL-MAINE FOODS INC NEW	P	2016-06-22	2017-02-24
CAL-MAINE FOODS INC NEW	P	2016-07-26	2017-02-24
CASEYS GENERAL STORES INC	P	2015-10-19	2017-01-03
CASEYS GENERAL STORES INC	P	2016-01-21	2017-01-03
CATERPILLAR INC	P	2015-11-17	2017-01-03
CATERPILLAR INC	P	2015-11-17	2017-02-01
CATERPILLAR INC	P	2015-12-15	2017-02-01

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
735		584	151
114		148	-34
189		230	-41
378		411	-33
530		599	-69
119		108	11
356		345	11
936		697	239
189		139	50
1,230		869	361

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			151
			-34
			-41
			-33
			-69
			11
			11
			239
			50
			361

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
CATERPILLAR INC	P	2015-12-15	2017-02-24
CATERPILLAR INC	P	2016-01-22	2017-02-24
CINCINNATI FINANCIAL CORP	P	2016-05-24	2017-02-24
CINCINNATI FINANCIAL CORP	P	2016-07-26	2017-02-24
CLOROX CO	P	2015-12-15	2017-01-03
CLOROX CO	P	2015-12-15	2017-02-01
CLOROX CO	P	2016-01-21	2017-02-01
CLOROX CO	P	2016-01-21	2017-02-24
CORNING INC	P	2017-02-01	2017-02-24
CSG SYSTEMS INTERNATIONAL INC	P	2016-04-04	2017-02-01

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
476		334	142
761		864	-103
73		69	4
1,028		1,045	-17
601		649	-48
480		519	-39
120		126	-6
544		504	40
776		730	46
96		91	5

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			142
			-103
			4
			-17
			-48
			-39
			-6
			40
			46
			5

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
CSG SYSTEMS INTERNATIONAL INC	P	2016-04-04	2017-02-24
CSG SYSTEMS INTERNATIONAL INC	P	2016-04-19	2017-02-24
CSG SYSTEMS INTERNATIONAL INC	P	2016-05-24	2017-02-24
DILLARDS INC-CL A	P	2017-02-01	2017-02-24
DR PEPPER SNAPPLE GROUP INC	P	2014-12-03	2017-01-03
DR PEPPER SNAPPLE GROUP INC	P	2014-12-03	2017-02-01
DR PEPPER SNAPPLE GROUP INC	P	2014-12-03	2017-02-24
DREW INDUSTRIES INC NEW	P	2016-08-24	2017-01-03
DREW INDUSTRIES INC NEW	P	2016-10-04	2017-01-03
E TRADE FINANCIAL CORPORATION	P	2016-11-29	2017-02-24

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
279		318	-39
239		275	-36
239		255	-16
625		613	12
453		365	88
632		511	121
566		438	128
497		497	0
394		394	0
991		922	69

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-39
			-36
			-16
			12
			88
			121
			128
			0
			0
			69

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
E TRADE FINANCIAL CORPORATION	P	2017-01-03	2017-02-24
ENTERPRISE FINANCIAL SERVICES CORP	P	2016-01-21	2017-01-25
ENTERPRISE FINANCIAL SERVICES CORP	P	2016-01-21	2017-02-01
ENTERPRISE FINANCIAL SERVICES CORP	P	2016-01-21	2017-02-03
EQUIFAX INC	P	2016-01-21	2017-01-03
EQUIFAX INC	P	2016-01-21	2017-02-01
FIFTH THIRD BANCORP	P	2017-02-01	2017-02-24
FLUOR CORP NEW	P	2016-02-17	2017-01-03
FLUOR CORP NEW	P	2016-02-17	2017-02-01
GENERAL ELECTRIC COMPANY COM	P	2016-05-24	2017-02-24

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
588		562	26
377		245	132
42		26	16
332		209	123
711		613	98
582		511	71
927		891	36
316		279	37
607		511	96
961		956	5

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			26
			132
			16
			123
			98
			71
			36
			37
			96
			5

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
GENERAL ELECTRIC COMPANY COM	P	2016-06-22	2017-02-24
GENERAL ELECTRIC COMPANY COM	P	2016-07-26	2017-02-24
GENERAL ELECTRIC COMPANY COM	P	2016-10-26	2017-02-24
GENERAL ELECTRIC COMPANY COM	P	2017-02-01	2017-02-24
GERMAN AMERICAN BANCORP INC	P	2017-02-01	2017-02-24
GREENBRIER COMPANIES INC	P	2016-08-24	2017-02-24
GREENBRIER COMPANIES INC	P	2016-10-26	2017-02-24
H & R BLOCK INC	P	2016-05-24	2017-02-24
H & R BLOCK INC	P	2016-06-22	2017-02-24
H & R BLOCK INC	P	2016-07-26	2017-02-24

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,711		1,761	-50
1,321		1,387	-66
871		898	-27
600		594	6
390		392	-2
439		345	94
527		437	90
100		102	-2
1,122		1,273	-151
1,283		2,044	-761

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-50
			-66
			-27
			6
			-2
			94
			90
			-2
			-151
			-761

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
H & R BLOCK INC	P	2016-08-24	2017-02-24
H & R BLOCK INC	P	2016-09-27	2017-02-24
H & R BLOCK INC	P	2016-10-26	2017-02-24
H & R BLOCK INC	P	2016-12-01	2017-02-24
H & R BLOCK INC	P	2017-02-01	2017-02-24
HCI GROUP INC COM	P	2017-02-01	2017-02-24
IDEXX LABORATORIES CORP	P	2016-10-26	2017-02-24
IDEXX LABORATORIES CORP	P	2017-02-01	2017-02-24
IDT CORPORATION CLASS B	P	2017-02-01	2017-02-24
IDT CORPORATION CLASS B	P	2017-02-08	2017-02-24

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
581		939	-358
1,022		1,163	-141
802		1,238	-436
421		535	-114
742		786	-44
523		463	60
571		432	139
429		366	63
371		367	4
98		95	3

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-358
			-141
			-436
			-114
			-44
			60
			139
			63
			4
			3

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
IDT CORPORATION CLASS B	P	2017-02-09	2017-02-24
ILLINOIS TOOL WORKS INC	P	2015-08-27	2017-02-24
INGREDION INC COM	P	2016-07-26	2017-02-24
INSPERITY INC	P	2016-06-22	2017-02-24
INSPERITY INC	P	2016-07-27	2017-02-24
INTERDIGITAL INC	P	2016-10-26	2017-02-24
JOHNSON & JOHNSON	P	2016-07-26	2017-02-24
JPMORGAN CHASE & CO	P	2017-02-02	2017-02-24
KIMBERLY CLARK CORP	P	2016-01-21	2017-02-01
KIMBERLY CLARK CORP	P	2016-01-21	2017-02-24

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
137		133	4
1,576		1,012	564
366		398	-32
409		380	29
246		239	7
515		484	31
735		750	-15
810		762	48
483		499	-16
266		250	16

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			4
			564
			-32
			29
			7
			31
			-15
			48
			-16
			16

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
KIMBERLY CLARK CORP	P	2016-03-22	2017-02-24
LAKELAND BANCORP INC	P	2017-01-03	2017-02-24
LAKELAND BANCORP INC	P	2017-01-05	2017-02-24
LCI INDUSTRIES COM	P	2016-08-24	2017-02-24
LCI INDUSTRIES COM	P	2016-10-04	2017-02-24
LSC COMMUNICATIONS INC COM	P	2017-02-01	2017-02-24
LYDALL INC	P	2016-09-27	2017-02-24
LYDALL INC	P	2017-01-03	2017-02-24
MAGELLAN HEALTH INC	P	2016-06-22	2017-02-24
MARSH & MCLENNAN COMPANIES INC	P	2016-06-22	2017-02-24

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
266		267	-1
401		384	17
241		225	16
545		497	48
436		394	42
470		445	25
357		367	-10
102		126	-24
889		795	94
1,980		1,810	170

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-1
			17
			16
			48
			42
			25
			-10
			-24
			94
			170

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
MCDONALDS CORP	P	2015-11-17	2017-02-24
MCDONALDS CORP	P	2015-12-15	2017-02-24
MCDONALDS CORP	P	2016-01-22	2017-02-24
MCDONALDS CORP	P	2016-06-22	2017-02-24
MCDONALDS CORP	P	2016-07-26	2017-02-24
META FINL GROUP INC	P	2017-02-01	2017-02-24
MOTOROLA SOLUTIONS INC	P	2016-01-21	2017-02-01
MOTOROLA SOLUTIONS INC	P	2016-01-21	2017-02-24
MOTOROLA SOLUTIONS INC	P	2016-03-22	2017-02-24
MOTOROLA SOLUTIONS INC	P	2016-04-19	2017-02-24

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
385		334	51
2,694		2,467	227
3,977		3,653	324
898		843	55
513		489	24
961		952	9
641		488	153
1,524		1,159	365
241		221	20
1,443		1,362	81

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			51
			227
			324
			55
			24
			9
			153
			365
			20
			81

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
NASDAQ INC COM	P	2016-03-22	2017-02-24
NATIONAL-OILWELL VARCO INC	P	2015-12-16	2017-01-03
NATIONAL-OILWELL VARCO INC	P	2016-01-22	2017-01-03
NATIONAL-OILWELL VARCO INC	P	2016-01-22	2017-02-01
NATIONAL-OILWELL VARCO INC	P	2016-01-22	2017-02-24
NATIONAL-OILWELL VARCO INC	P	2016-02-17	2017-02-24
NAVIENT CORPORATION COM	P	2016-04-19	2017-02-24
NORTHROP GRUMMAN CORP	P	2016-01-21	2017-02-24
NORTHROP GRUMMAN CORP	P	2016-06-22	2017-02-24
NVIDIA CORP	P	2016-07-26	2017-02-24

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
572		522	50
376		342	34
75		80	-5
1,145		952	193
673		538	135
515		523	-8
1,475		1,245	230
245		183	62
979		861	118
910		506	404

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			50
			34
			-5
			193
			135
			-8
			230
			62
			118
			404

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
NVIDIA CORP	P	2016-08-24	2017-02-24
NVIDIA CORP	P	2016-10-11	2017-02-24
NVIDIA CORP	P	2016-10-26	2017-02-24
OFFICE DEPOT INC COMMON STOCK	P	2017-02-01	2017-02-24
OVERSEAS SHIPHOLDING GROUP INC CL A COM	P	2016-07-27	2017-02-24
OVERSEAS SHIPHOLDING GROUP INC CL A COM	P	2016-07-28	2017-02-24
PACIFIC CONTL CORP COM	P	2015-12-17	2017-01-10
PAYCHEX INC	P	2015-12-15	2017-01-03
PHILIP MORRIS INTERNATIONAL INC	P	2016-04-20	2017-02-24
PHOTRONICS INC	P	2016-01-21	2017-01-03

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
607		376	231
1,517		987	530
910		651	259
422		430	-8
164		430	-266
124		313	-189
443		264	179
729		638	91
751		690	61
474		497	-23

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			231
			530
			259
			-8
			-266
			-189
			179
			91
			61
			-23

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
PIER 1 IMPORTS INC	P	2016-02-19	2017-02-24
PIER 1 IMPORTS INC	P	2016-05-24	2017-02-24
PREFERRED BANK	P	2017-02-01	2017-02-24
PRINCIPAL FINANCIAL GROUP INC COMMON STOCK	P	2016-10-26	2017-02-24
PRINCIPAL FINANCIAL GROUP INC COMMON STOCK	P	2017-02-01	2017-02-24
PROGRESSIVE CORP-OHIO	P	2015-11-17	2017-02-24
PRUDENTIAL FINANCIAL INC	P	2017-01-03	2017-02-24
QUANTA SERVICES INC	P	2016-04-19	2017-02-01
QUANTA SERVICES INC	P	2016-04-19	2017-02-24
QUANTA SERVICES INC	P	2016-05-24	2017-02-24

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
723		503	220
498		410	88
798		784	14
501		425	76
125		116	9
778		621	157
1,425		1,359	66
357		229	128
1,393		846	547
2,373		1,427	946

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			220
			88
			14
			76
			9
			157
			66
			128
			547
			946

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
QUANTA SERVICES INC	P	2016-06-22	2017-02-24
QUANTA SERVICES INC	P	2016-07-26	2017-02-24
QUANTA SERVICES INC	P	2016-08-24	2017-02-24
QUANTA SERVICES INC	P	2016-09-27	2017-02-24
RAYONIER ADVANCED MATERIALS INC	P	2016-03-28	2017-02-24
RAYONIER ADVANCED MATERIALS INC	P	2016-05-24	2017-02-24
RELIANCE STEEL & ALUMINUM CO	P	2016-01-22	2017-01-10
REX AMERICAN RESOURCES CORPORATION	P	2016-01-21	2017-02-01
REX AMERICAN RESOURCES CORPORATION	P	2016-01-21	2017-02-02
REX AMERICAN RESOURCES CORPORATION	P	2016-01-21	2017-02-03

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
1,582		992	590
1,808		1,229	579
753		527	226
829		581	248
270		206	64
159		169	-10
328		214	114
82		65	17
247		195	52
165		130	35

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			**(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			590
			579
			226
			248
			64
			-10
			114
			17
			52
			35

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
REX AMERICAN RESOURCES CORPORATION	P	2016-01-21	2017-02-24
SANMINA CORPORATION COM	P	2016-06-22	2017-02-24
SANMINA CORPORATION COM	P	2016-07-26	2017-02-24
SANMINA CORPORATION COM	P	2016-09-27	2017-02-24
SANMINA CORPORATION COM	P	2016-10-27	2017-02-24
SPOK HOLDINGS INC	P	2015-11-20	2017-02-24
SPOK HOLDINGS INC	P	2016-01-21	2017-02-24
SPOK HOLDINGS INC	P	2016-05-31	2017-02-24
SPOK HOLDINGS INC	P	2016-06-01	2017-02-24
SPOK HOLDINGS INC	P	2016-06-02	2017-02-24

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
404		325	79
323		224	99
807		486	321
565		393	172
323		230	93
854		837	17
664		576	88
133		119	14
228		203	25
133		118	15

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			79
			99
			321
			172
			93
			17
			88
			14
			25
			15

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
STOCK YARDS BANCORP INC COM	P	2017-02-01	2017-02-24
STRYKER CORP	P	2016-04-19	2017-02-24
STRYKER CORP	P	2016-06-22	2017-02-24
STRYKER CORP	P	2016-07-26	2017-02-24
SUPERIOR INDUSTRIES INTL INC	P	2016-06-10	2017-02-24
SUPERIOR INDUSTRIES INTL INC	P	2016-06-23	2017-02-24
SYKES ENTERPRISES INC	P	2016-01-22	2017-01-03
SYSCO CORP	P	2016-05-24	2017-02-24
SYSCO CORP	P	2016-06-22	2017-02-24
SYSCO CORP	P	2016-07-26	2017-02-24

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
629		636	-7
387		329	58
774		701	73
774		693	81
188		220	-32
542		634	-92
492		494	-2
531		486	45
1,805		1,699	106
1,062		1,041	21

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-7
			58
			73
			81
			-32
			-92
			-2
			45
			106
			21

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
TECH DATA CORP	P	2016-01-21	2017-01-04
TECH DATA CORP	P	2016-01-21	2017-02-01
TESORO CORPORATION	P	2015-11-17	2017-02-24
TEXAS INSTRUMENTS INCORPORATED	P	2016-09-27	2017-02-24
TEXAS INSTRUMENTS INCORPORATED	P	2016-10-26	2017-02-24
THE TRAVELERS COMPANIES INC	P	2014-09-11	2017-02-24
THE TRAVELERS COMPANIES INC	P	2014-10-16	2017-02-24
THE TRAVELERS COMPANIES INC	P	2014-12-03	2017-02-24
THE TRAVELERS COMPANIES INC	P	2015-08-27	2017-02-24
TOMPKINS FINANCIAL CORPORATION	P	2016-06-22	2017-02-01

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
260		188	72
593		426	167
613		798	-185
540		483	57
231		215	16
1,588		1,208	380
3,055		2,288	767
1,344		1,156	188
1,711		1,419	292
453		340	113

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			72
			167
			-185
			57
			16
			380
			767
			188
			292
			113

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
TOTAL SYSTEM SERVICES INC	P	2015-12-16	2017-01-03
TYSON FOODS INC-CL A	P	2016-03-22	2017-02-24
UGI CORPORATION	P	2014-02-11	2017-02-24
UGI CORPORATION	P	2016-07-26	2017-02-24
ULTA BEAUTY INC COMMON STOCK	P	2016-07-26	2017-02-24
ULTA BEAUTY INC COMMON STOCK	P	2016-08-24	2017-02-24
ULTA BEAUTY INC COMMON STOCK	P	2016-10-26	2017-02-24
UNITED CONTINENTAL HLDGS INC	P	2016-08-24	2017-02-24
UNITED CONTINENTAL HLDGS INC	P	2016-09-27	2017-02-24
UNITED CONTINENTAL HLDGS INC	P	2016-10-26	2017-02-24

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
869		944	-75
374		403	-29
624		372	252
384		360	24
827		777	50
276		275	1
276		275	1
1,045		669	376
1,791		1,204	587
1,119		813	306

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-75
			-29
			252
			24
			50
			1
			1
			376
			587
			306

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
UNITED CONTINENTAL HLDGS INC	P	2016-11-29	2017-02-24
UNITED CONTINENTAL HLDGS INC	P	2017-01-03	2017-02-24
UNITED PARCEL SVC INC CL B	P	2016-03-22	2017-02-24
UNITED TECHNOLOGIES CORP	P	2016-04-19	2017-02-24
UNITED TECHNOLOGIES CORP	P	2016-05-24	2017-02-24
UNITED TECHNOLOGIES CORP	P	2016-06-22	2017-02-24
UNITED THERAPEUTICS CORP DEL	P	2016-09-27	2017-02-24
UNITED THERAPEUTICS CORP DEL	P	2016-10-26	2017-02-24
UNITED THERAPEUTICS CORP DEL	P	2017-01-03	2017-02-24
UNIVERSAL CORP-VA	P	2017-02-01	2017-02-24

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
672		628	44
746		728	18
740		736	4
112		105	7
1,910		1,701	209
1,123		1,014	109
292		243	49
438		361	77
146		143	3
621		622	-1

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			44
			18
			4
			7
			209
			109
			49
			77
			3
			-1

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
UNIVERSAL INSURANCE HOLDINGS INC	P	2016-06-23	2017-02-24
UNIVERSAL INSURANCE HOLDINGS INC	P	2016-06-24	2017-02-24
UNUM GROUP	P	2016-08-24	2017-02-24
UNUM GROUP	P	2016-10-26	2017-02-24
VAIL RESORTS INC	P	2016-02-17	2017-01-03
VAIL RESORTS INC	P	2016-02-17	2017-02-01
VERIZON COMMUNICATIONS	P	2015-12-15	2017-01-03
VERIZON COMMUNICATIONS	P	2015-12-15	2017-02-24
VERIZON COMMUNICATIONS	P	2016-07-26	2017-02-24
WABASH NATIONAL CORP	P	2016-01-21	2017-02-24

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
81		56	25
352		236	116
484		345	139
1,403		1,028	375
321		251	70
686		502	184
490		412	78
1,718		1,556	162
859		934	-75
250		125	125

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			25
			116
			139
			375
			70
			184
			78
			162
			-75
			125

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
WABASH NATIONAL CORP	P	2016-05-26	2017-02-24
WABASH NATIONAL CORP	P	2016-07-26	2017-02-24
WASTE MANAGEMENT INC DEL	P	2016-06-22	2017-02-24
WASTE MANAGEMENT INC DEL	P	2016-07-26	2017-02-24
WATERS CORP	P	2016-01-21	2017-02-24
WATERS CORP	P	2016-09-27	2017-02-24
WATERS CORP	P	2016-10-26	2017-02-24
WD 40 CO	P	2016-03-22	2017-02-01
WD 40 CO	P	2016-03-22	2017-02-02
YUM BRANDS INC	P	2016-10-26	2017-02-24

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
396		270	126
417		264	153
1,022		883	139
730		671	59
780		623	157
468		475	-7
624		550	74
314		322	-8
210		214	-4
658		599	59

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			126
			153
			139
			59
			157
			-7
			74
			-8
			-4
			59

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
YUM BRANDS INC	P	2016-11-29	2017-02-24
YUM BRANDS INC	P	2017-01-03	2017-02-24
YUM BRANDS INC	P	2017-02-01	2017-02-24
ZIONS BANCORPORATION	P	2016-10-26	2017-02-24
ZIONS BANCORPORATION	P	2016-11-29	2017-02-24
ZIONS BANCORPORATION	P	2017-01-03	2017-02-24
ZIONS BANCORPORATION	P	2017-02-01	2017-02-24
AMERICAN WTR WKS CO INC NEW COM	P	2010-09-16	2017-01-06
PG&E CORP COM	P	2016-01-06	2017-01-06
WABTEC CORP COM	P	2014-01-02	2017-03-03

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
658		636	22
724		695	29
592		584	8
1,108		806	302
443		389	54
487		474	13
310		298	12
8,011		2,510	5,501
14,656		12,654	2,002
7,990		7,297	693

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			22
			29
			8
			302
			54
			13
			12
			5,501
			2,002
			693

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
WABTEC CORP COM	P	2014-10-27	2017-03-03
WABTEC CORP COM	P	2015-09-29	2017-03-03
APPLE INC COM	P	2009-02-05	2017-03-16
ADOBE SYS INC COM	P	2014-03-25	2017-03-16
AMERICAN INTL GROUP INC COM NEW	P	2014-07-14	2017-03-16
AMERICAN WTR WKS CO INC NEW COM	P	2010-09-16	2017-03-16
BLACKROCK INC COM	P	2015-12-21	2017-03-16
CELGENE CORP COM	P	2011-02-17	2017-03-16
COLGATE PALMOLIVE CO COM	P	2015-10-26	2017-03-16
COMCAST CORP NEW CL A	P	2011-03-04	2017-03-16

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2,397		2,367	30
5,593		5,999	-406
703		69	634
611		327	284
1,260		1,101	159
758		228	530
1,950		1,624	326
630		134	496
737		688	49
1,318		444	874

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			30
			-406
			634
			284
			159
			530
			326
			496
			49
			874

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
CME GROUP INC COM	P	2016-07-14	2017-03-16
CABOT OIL & GAS CORP COM	P	2016-11-28	2017-03-16
COSTCO WHSL CORP NEW COM	P	2014-01-02	2017-03-16
CINTAS CORP COM	P	2016-06-29	2017-03-16
CHEVRON CORP NEW COM	P	2015-08-26	2017-03-16
DANAHER CORP DEL COM	P	2016-02-01	2017-03-16
DISNEY WALT CO COM DISNEY	P	2014-12-02	2017-03-16
DOW CHEM CO COM	P	2017-01-06	2017-03-16
ECOLAB INC COM	P	2016-03-28	2017-03-16
EQUIFAX INC COM	P	2016-06-29	2017-03-16

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
624		504	120
444		462	-18
835		592	243
597		477	120
539		360	179
431		324	107
558		466	92
319		287	32
625		553	72
676		626	50

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			120
			-18
			243
			120
			179
			107
			92
			32
			72
			50

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
ESSEX PPTY TR INC COM	P	2016-03-01	2017-03-16
EDWARDS LIFESCIENCES CORP COM	P	2014-03-25	2017-03-16
FACEBOOK INC CL A	P	2014-01-23	2017-03-16
FISERV INC COM	P	2016-07-29	2017-03-16
HOME DEPOT INC COM	P	2013-09-18	2017-03-16
HONEYWELL INTL INC COM	P	2015-04-21	2017-03-16
INGERSOLL-RAND PLC SHS	P	2017-03-03	2017-03-16
JOHNSON & JOHNSON COM	P	2016-01-22	2017-03-16
JPMORGAN CHASE & CO COM	P	2009-08-26	2017-03-16
LILLY ELI & CO COM	P	2015-02-05	2017-03-16

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,165		1,071	94
466		179	287
1,400		563	837
585		552	33
743		378	365
632		503	129
403		401	2
641		483	158
1,382		646	736
845		714	131

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			94
			287
			837
			33
			365
			129
			2
			158
			736
			131

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
LOWES COS INC COM	P	2015-02-10	2017-03-16
MASTERCARD INCORPORATED CL A	P	2012-03-19	2017-03-16
MCDONALDS CORP COM	P	2016-10-06	2017-03-16
MAGNA INTL INC COM	P	2013-04-23	2017-03-16
MICROSOFT CORP COM	P	2015-08-06	2017-03-16
NORTHROP GRUMMAN CORP COM	P	2016-07-18	2017-03-16
NXP SEMICONDUCTORS N V COM	P	2014-06-10	2017-03-16
PG&E CORP COM	P	2016-01-06	2017-03-16
PEPSICO INC COM	P	2016-03-01	2017-03-16
PULTE GROUP INC COM	P	2014-02-18	2017-03-16

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
416		357	59
1,691		641	1,050
641		571	70
667		425	242
1,290		933	357
1,202		1,106	96
1,035		645	390
660		527	133
555		493	62
953		794	159

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			59
			1,050
			70
			242
			357
			96
			390
			133
			62
			159

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
PNC FINL SVCS GROUP INC COM	P	2016-10-20	2017-03-16
CITY NATL ROCHDALE FDS FXD INC OPP FD N	P	2015-03-31	2017-03-16
RAYTHEON CO COM NEW	P	2016-10-28	2017-03-16
STARBUCKS CORP COM	P	2011-01-05	2017-03-16
SCHLUMBERGER LTD COM	P	2014-01-02	2017-03-16
SUNTRUST BKS INC COM	P	2016-11-28	2017-03-16
THERMO FISHER SCIENTIFIC INC COM	P	2013-01-22	2017-03-16
TIME WARNER INC COM NEW	P	2016-01-12	2017-03-16
UNITEDHEALTH GROUP INC COM	P	2015-09-01	2017-03-16
US BANCORP DEL COM NEW	P	2016-10-04	2017-03-16

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
629		466	163
4,900		4,921	-21
768		687	81
548		163	385
799		889	-90
292		259	33
786		348	438
492		350	142
855		564	291
1,102		857	245

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			163
			-21
			81
			385
			-90
			33
			438
			142
			291
			245

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
VISA INC COM CL A	P	2015-02-18	2017-03-16
VANGUARD INDEX FDS SMALL CP ETF	P	2009-08-26	2017-03-16
VULCAN MATLS CO COM	P	2016-11-28	2017-03-16
WAL-MART STORES INC COM	P	2016-01-15	2017-03-16
EXXON MOBIL CORP COM	P	2012-02-22	2017-03-16
ZOETIS INC CL A	P	2016-09-01	2017-03-16
FISERV INC COM	P	2016-07-29	2017-03-22
AMERICAN INTL GROUP INC COM NEW	P	2014-07-14	2017-04-17
VERIZON COMMUNICATIONS INC COM	P	2008-01-18	2017-04-17
BLACKROCK INC COM	P	2015-12-21	2017-05-31

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,348		1,007	341
15,131		6,004	9,127
582		639	-57
352		310	42
821		871	-50
813		765	48
13,286		12,698	588
8,339		7,708	631
8,781		6,531	2,250
10,219		8,121	2,098

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			341
			9,127
			-57
			42
			-50
			48
			588
			631
			2,250
			2,098

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
SCHLUMBERGER LTD COM	P	2014-01-02	2017-05-31
APPLE INC COM	P	2009-02-05	2017-07-19
ADOBE SYS INC COM	P	2014-03-25	2017-07-19
AMERICAN INTL GROUP INC COM NEW	P	2014-07-14	2017-07-19
AMERICAN WTR WKS CO INC NEW COM	P	2010-09-16	2017-07-19
BANK AMER CORP COM	P	2017-05-31	2017-07-19
BLACKROCK INC COM	P	2015-12-21	2017-07-19
CELGENE CORP COM	P	2011-02-17	2017-07-19
COLGATE PALMOLIVE CO COM	P	2015-10-26	2017-07-19
COMCAST CORP NEW CL A	P	2011-03-04	2017-07-19

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
5,917		7,560	-1,643
2,412		220	2,192
2,086		916	1,170
1,793		1,542	251
1,276		365	911
1,146		1,072	74
1,703		1,299	404
2,151		430	1,721
1,741		1,652	89
2,153		698	1,455

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-1,643
			2,192
			1,170
			251
			911
			74
			404
			1,721
			89
			1,455

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
CME GROUP INC COM	P	2016-07-14	2017-07-19
CITY NATL ROCHDALE FDS EMRGING MRKT Y	P	2013-09-27	2017-07-19
CABOT OIL & GAS CORP COM	P	2016-11-28	2017-07-19
COSTCO WHSL CORP NEW COM	P	2014-01-02	2017-07-19
CINTAS CORP COM	P	2016-06-29	2017-07-19
CHEVRON CORP NEW COM	P	2015-08-26	2017-07-19
DANAHER CORP DEL COM	P	2016-02-01	2017-07-19
DISNEY WALT CO COM DISNEY	P	2014-12-02	2017-07-19
DOW CHEM CO COM	P	2017-01-06	2017-07-19
ECOLAB INC COM	P	2016-03-28	2017-07-19

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,922		1,614	308
22,363		15,301	7,062
777		716	61
1,365		1,065	300
1,638		1,240	398
1,037		720	317
599		454	145
1,492		1,305	187
996		860	136
531		442	89

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			308
			7,062
			61
			300
			398
			317
			145
			187
			136
			89

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
EQUIFAX INC COM	P	2016-06-29	2017-07-19
ESSEX PPTY TR INC COM	P	2016-03-01	2017-07-19
EDWARDS LIFESCIENCES CORP COM	P	2014-03-25	2017-07-19
FACEBOOK INC CL A	P	2014-01-23	2017-07-19
HOME DEPOT INC COM	P	2013-09-18	2017-07-19
HONEYWELL INTL INC COM	P	2015-04-21	2017-07-19
INGERSOLL-RAND PLC SHS	P	2017-03-03	2017-07-19
JOHNSON & JOHNSON COM	P	2016-01-22	2017-07-19
JPMORGAN CHASE & CO COM	P	2009-08-26	2017-07-19
LENNOX INTL INC COM	P	2016-10-06	2017-07-19

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,280		1,127	153
262		214	48
1,785		537	1,248
2,313		788	1,525
2,764		1,361	1,403
950		704	246
1,109		961	148
808		580	228
2,462		1,162	1,300
736		651	85

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			153
			48
			1,248
			1,525
			1,403
			246
			148
			228
			1,300
			85

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
LILLY ELI & CO COM	P	2015-02-05	2017-07-19
LOWES COS INC COM	P	2015-02-10	2017-07-19
MASTERCARD INCORPORATED CL A	P	2012-03-19	2017-07-19
MCDONALDS CORP COM	P	2016-10-06	2017-07-19
MAGNA INTL INC COM	P	2013-04-23	2017-07-19
MICROSOFT CORP COM	P	2015-08-06	2017-07-19
NORTHROP GRUMMAN CORP COM	P	2016-07-18	2017-07-19
NXP SEMICONDUCTORS N V COM	P	2014-06-10	2017-07-19
PG&E CORP COM	P	2016-01-06	2017-07-19
PEPSICO INC COM	P	2016-03-01	2017-07-19

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,676		1,428	248
768		715	53
3,329		1,111	2,218
1,849		1,370	479
1,385		821	564
2,289		1,446	843
265		221	44
1,642		968	674
1,336		1,054	282
1,613		1,380	233

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			248
			53
			2,218
			479
			564
			843
			44
			674
			282
			233

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
PULTE GROUP INC COM	P	2014-02-18	2017-07-19
PNC FINL SVCS GROUP INC COM	P	2016-10-20	2017-07-19
PIONEER NAT RES CO COM	P	2016-11-28	2017-07-19
RAYTHEON CO COM NEW	P	2016-10-28	2017-07-19
STARBUCKS CORP COM	P	2011-01-05	2017-07-19
SCHLUMBERGER LTD COM	P	2014-01-02	2017-07-19
SUNTRUST BKS INC COM	P	2016-11-28	2017-07-19
THERMO FISHER SCIENTIFIC INC COM	P	2013-01-22	2017-07-19
TIME WARNER INC COM NEW	P	2016-01-12	2017-07-19
UNITEDHEALTH GROUP INC COM	P	2015-09-01	2017-07-19

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,722		1,409	313
1,887		1,398	489
491		538	-47
338		275	63
1,164		327	837
937		1,245	-308
1,334		1,243	91
1,798		696	1,102
993		700	293
2,638		1,580	1,058

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			313
			489
			-47
			63
			837
			-308
			91
			1,102
			293
			1,058

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
US BANCORP DEL COM NEW	P	2016-10-04	2017-07-19
VISA INC COM CL A	P	2015-02-18	2017-07-19
VANGUARD INDEX FDS SMALL CP ETF	P	2009-08-26	2017-07-19
VULCAN MATLS CO COM	P	2016-11-28	2017-07-19
VERIZON COMMUNICATIONS INC COM	P	2008-01-18	2017-07-19
WAL-MART STORES INC COM	P	2016-01-15	2017-07-19
EXXON MOBIL CORP COM	P	2012-02-22	2017-07-19
ZOETIS INC CL A	P	2016-09-01	2017-07-19
APPLE INC COM	P	2009-02-05	2017-08-10
ADOBE SYS INC COM	P	2014-03-25	2017-08-10

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,555		1,285	270
2,547		1,746	801
2,758		1,063	1,695
501		511	-10
824		689	135
909		743	166
1,607		1,742	-135
1,505		1,225	280
1,566		138	1,428
1,451		654	797

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			270
			801
			1,695
			-10
			135
			166
			-135
			280
			1,428
			797

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
AMERICAN INTL GROUP INC COM NEW	P	2014-07-14	2017-08-10
AMERICAN WTR WKS CO INC NEW COM	P	2010-09-16	2017-08-10
BLACKROCK INC COM	P	2015-12-21	2017-08-10
BLACKROCK INC COM	P	2016-10-31	2017-08-10
CELGENE CORP COM	P	2011-02-17	2017-08-10
COLGATE PALMOLIVE CO COM	P	2015-10-26	2017-08-10
COMCAST CORP NEW CL A	P	2011-03-04	2017-08-10
CME GROUP INC COM	P	2016-07-14	2017-08-10
CITY NATL ROCHDALE FDS EMRGING MRKT Y	P	2013-09-27	2017-08-10
COSTCO WHSL CORP NEW COM	P	2014-01-02	2017-08-10

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,134		991	143
1,057		297	760
423		325	98
423		342	81
1,444		296	1,148
1,215		1,170	45
1,757		546	1,211
1,245		1,009	236
8,820		6,064	2,756
1,096		828	268

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			143
			760
			98
			81
			1,148
			45
			1,211
			236
			2,756
			268

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
CINTAS CORP COM	P	2016-06-29	2017-08-10
CHEVRON CORP NEW COM	P	2015-08-26	2017-08-10
DISNEY WALT CO COM DISNEY	P	2014-12-02	2017-08-10
EQUIFAX INC COM	P	2016-06-29	2017-08-10
ESSEX PPTY TR INC COM	P	2016-03-01	2017-08-10
EDWARDS LIFESCIENCES CORP COM	P	2014-03-25	2017-08-10
FACEBOOK INC CL A	P	2014-01-23	2017-08-10
HOME DEPOT INC COM	P	2013-09-18	2017-08-10
HONEYWELL INTL INC COM	P	2015-04-21	2017-08-10
INGERSOLL-RAND PLC SHS	P	2017-03-03	2017-08-10

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,185		859	326
775		504	271
914		839	75
1,132		1,002	130
789		643	146
1,022		322	700
2,005		676	1,329
1,856		908	948
962		704	258
941		881	60

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			326
			271
			75
			130
			146
			700
			1,329
			948
			258
			60

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
JOHNSON & JOHNSON COM	P	2016-01-22	2017-08-10
JPMORGAN CHASE & CO COM	P	2009-08-26	2017-08-10
LILLY ELI & CO COM	P	2015-02-05	2017-08-10
MASTERCARD INCORPORATED CL A	P	2012-03-19	2017-08-10
MCDONALDS CORP COM	P	2016-10-06	2017-08-10
MAGNA INTL INC COM	P	2013-04-23	2017-08-10
MICROSOFT CORP COM	P	2015-08-06	2017-08-10
NORTHROP GRUMMAN CORP COM	P	2016-07-18	2017-08-10
NXP SEMICONDUCTORS N V COM	P	2014-06-10	2017-08-10
PG&E CORP COM	P	2016-01-06	2017-08-10

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
666		483	183
1,852		861	991
1,226		1,071	155
2,558		854	1,704
1,252		913	339
984		595	389
1,791		1,166	625
812		663	149
1,459		839	620
1,035		791	244

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			183
			991
			155
			1,704
			339
			389
			625
			149
			620
			244

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
PULTE GROUP INC COM	P	2014-02-18	2017-08-10
PNC FINL SVCS GROUP INC COM	P	2016-10-20	2017-08-10
CITY NATL ROCHDALE FDS FXD INC OPP FD N	P	2015-03-31	2017-08-10
RAYTHEON CO COM NEW	P	2016-10-28	2017-08-10
STARBUCKS CORP COM	P	2011-01-05	2017-08-10
SUNTRUST BKS INC COM	P	2016-11-28	2017-08-10
TWEEDY BROWNE FD INC GLOBAL VALUE	P	2014-02-20	2017-08-10
THERMO FISHER SCIENTIFIC INC COM	P	2013-01-22	2017-08-10
TIME WARNER INC COM NEW	P	2016-01-12	2017-08-10
VISA INC COM CL A	P	2015-02-18	2017-08-10

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,314		1,052	262
1,297		932	365
13,762		13,906	-144
711		550	161
802		245	557
1,137		1,036	101
2,169		2,087	82
1,200		487	713
714		490	224
1,893		1,276	617

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			262
			365
			-144
			161
			557
			101
			82
			713
			224
			617

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
VANGUARD INDEX FDS SMALL CP ETF	P	2009-08-26	2017-08-10
EXXON MOBIL CORP COM	P	2012-02-22	2017-08-10
ZOETIS INC CL A	P	2016-09-01	2017-08-10
LOWES COS INC COM	P	2015-02-10	2017-08-18
VERIZON COMMUNICATIONS INC COM	P	2008-01-18	2017-08-18
VERIZON COMMUNICATIONS INC COM	P	2014-10-27	2017-08-18
VULCAN MATLS CO COM	P	2016-11-28	2017-09-06
VULCAN MATLS CO COM	P	2017-01-06	2017-09-06
EQUIFAX INC COM	P	2016-06-29	2017-09-14
EQUIFAX INC COM	P	2016-10-28	2017-09-14

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,862		744	1,118
1,187		1,307	-120
1,143		970	173
9,981		9,651	330
7,207		5,479	1,728
1,432		1,482	-50
3,696		3,961	-265
5,961		6,199	-238
7,426		9,769	-2,343
4,284		5,516	-1,232

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			1,118
			-120
			173
			330
			1,728
			-50
			-265
			-238
			-2,343
			-1,232

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
APPLE INC COM	P	2009-02-05	2017-10-03
ADOBE SYS INC COM	P	2014-03-25	2017-10-03
AMERICAN INTL GROUP INC COM NEW	P	2014-07-14	2017-10-03
AMERICAN INTL GROUP INC COM NEW	P	2014-09-19	2017-10-03
AMERICAN WTR WKS CO INC NEW COM	P	2010-09-16	2017-10-03
BANK AMER CORP COM	P	2017-05-31	2017-10-03
BLACKROCK INC COM	P	2016-10-31	2017-10-03
CELGENE CORP COM	P	2011-02-17	2017-10-03
COLGATE PALMOLIVE CO COM	P	2015-10-26	2017-10-03
COMCAST CORP NEW CL A	P	2011-03-04	2017-10-03

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
6,810		605	6,205
5,939		2,617	3,322
245		220	25
4,473		4,031	442
4,568		1,278	3,290
3,652		3,172	480
3,145		2,395	750
6,432		1,183	5,249
5,310		5,026	284
7,113		2,387	4,726

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			6,205
			3,322
			25
			442
			3,290
			480
			750
			5,249
			284
			4,726

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
CME GROUP INC COM	P	2016-07-14	2017-10-03
CITY NATL ROCHDALE FDS EMRGING MRKT Y	P	2013-09-27	2017-10-03
CABOT OIL & GAS CORP COM	P	2016-11-28	2017-10-03
COSTCO WHSL CORP NEW COM	P	2014-01-02	2017-10-03
CINTAS CORP COM	P	2016-06-29	2017-10-03
CHEVRON CORP NEW COM	P	2015-08-26	2017-10-03
DANAHER CORP DEL COM	P	2016-02-01	2017-10-03
DISNEY WALT CO COM DISNEY	P	2014-12-02	2017-10-03
DOWDUPONT INC COM	P	2017-01-06	2017-10-03
ECOLAB INC COM	P	2016-03-28	2017-10-03

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
6,154		4,540	1,614
36,921		23,927	12,994
3,511		3,050	461
4,828		3,431	1,397
5,571		3,625	1,946
3,774		2,303	1,471
2,682		2,011	671
4,126		3,823	303
3,708		3,040	668
3,011		2,543	468

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			1,614
			12,994
			461
			1,397
			1,946
			1,471
			671
			303
			668
			468

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
ESSEX PPTY TR INC COM	P	2016-03-01	2017-10-03
EDWARDS LIFESCIENCES CORP COM	P	2014-03-25	2017-10-03
FACEBOOK INC CL A	P	2014-01-23	2017-10-03
HOME DEPOT INC COM	P	2013-09-18	2017-10-03
HONEYWELL INTL INC COM	P	2015-04-21	2017-10-03
HONEYWELL INTL INC COM	P	2015-08-06	2017-10-03
INGERSOLL-RAND PLC SHS	P	2017-03-03	2017-10-03
JOHNSON & JOHNSON COM	P	2016-01-22	2017-10-03
JPMORGAN CHASE & CO COM	P	2009-08-26	2017-10-03
JPMORGAN CHASE & CO COM	P	2010-04-21	2017-10-03

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
3,044		2,570	474
4,571		1,503	3,068
8,672		2,872	5,800
7,908		3,630	4,278
2,278		1,609	669
1,424		1,052	372
3,848		3,445	403
3,036		2,222	814
2,226		990	1,236
6,195		2,946	3,249

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			474
			3,068
			5,800
			4,278
			669
			372
			403
			814
			1,236
			3,249

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
LENNOX INTL INC COM	P	2016-10-06	2017-10-03
LILLY ELI & CO COM	P	2015-02-05	2017-10-03
MASTERCARD INCORPORATED CL A	P	2012-03-19	2017-10-03
MCDONALDS CORP COM	P	2016-10-06	2017-10-03
MCDONALDS CORP COM	P	2016-11-28	2017-10-03
MAGNA INTL INC COM	P	2013-04-23	2017-10-03
MICROSOFT CORP COM	P	2015-08-06	2017-10-03
NORTHROP GRUMMAN CORP COM	P	2016-07-18	2017-10-03
NXP SEMICONDUCTORS N V COM	P	2014-06-10	2017-10-03
PG&E CORP COM	P	2016-01-06	2017-10-03

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2,149		1,954	195
5,522		4,570	952
11,959		3,588	8,371
5,503		3,995	1,508
314		242	72
4,897		2,577	2,320
7,878		4,946	2,932
3,489		2,653	836
6,000		3,419	2,581
4,348		3,374	974

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			195
			952
			8,371
			1,508
			72
			2,320
			2,932
			836
			2,581
			974

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
PEPSICO INC COM	P	2016-03-01	2017-10-03
PULTE GROUP INC COM	P	2014-02-18	2017-10-03
PNC FINL SVCS GROUP INC COM	P	2016-10-20	2017-10-03
PIONEER NAT RES CO COM	P	2016-11-28	2017-10-03
CITY NATL ROCHDALE FDS FXD INC OPP FD N	P	2015-03-31	2017-10-03
RAYTHEON CO COM NEW	P	2016-10-28	2017-10-03
STARBUCKS CORP COM	P	2011-01-05	2017-10-03
SCHLUMBERGER LTD COM	P	2014-01-02	2017-10-03
SUNTRUST BKS INC COM	P	2016-11-28	2017-10-03
TWEEDY BROWNE FD INC GLOBAL VALUE	P	2014-02-20	2017-10-03

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
6,997		6,311	686
6,296		4,564	1,732
5,766		4,007	1,759
2,897		3,410	-513
33,437		33,632	-195
3,007		2,200	807
3,470		1,046	2,424
3,244		4,180	-936
5,087		4,455	632
18,517		17,489	1,028

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			686
			1,732
			1,759
			-513
			-195
			807
			2,424
			-936
			632
			1,028

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
THERMO FISHER SCIENTIFIC INC COM	P	2013-01-22	2017-10-03
TIME WARNER INC COM NEW	P	2016-01-12	2017-10-03
UNITEDHEALTH GROUP INC COM	P	2015-09-01	2017-10-03
UNION PAC CORP COM	P	2017-08-18	2017-10-03
US BANCORP DEL COM NEW	P	2016-10-04	2017-10-03
VISA INC COM CL A	P	2015-02-18	2017-10-03
VANGUARD INDEX FDS SMALL CP ETF	P	2009-08-26	2017-10-03
WAL-MART STORES INC COM	P	2016-01-15	2017-10-03
EXXON MOBIL CORP COM	P	2012-02-22	2017-10-03
ZOETIS INC CL A	P	2016-09-01	2017-10-03

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
6,081		2,226	3,855
3,300		2,240	1,060
9,951		5,643	4,308
1,487		1,357	130
6,929		5,613	1,316
8,988		5,708	3,280
17,663		6,589	11,074
3,496		2,724	772
5,071		5,400	-329
5,220		4,184	1,036

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			3,855
			1,060
			4,308
			130
			1,316
			3,280
			11,074
			772
			-329
			1,036

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
MASTERCARD INCORPORATED CL A	P	2012-03-19	2017-10-19
PG&E CORP COM	P	2016-01-06	2017-10-19
PIONEER NAT RES CO COM	P	2016-11-28	2017-10-19
PIONEER NAT RES CO COM	P	2017-05-31	2017-10-19
SCHLUMBERGER LTD COM	P	2014-01-02	2017-10-19
SCHLUMBERGER LTD COM	P	2014-10-27	2017-10-19
SCHLUMBERGER LTD COM	P	2016-07-26	2017-10-19
EXXON MOBIL CORP COM	P	2012-02-22	2017-10-19
EXXON MOBIL CORP COM	P	2015-05-04	2017-10-19
LENNOX INTL INC COM	P	2016-10-06	2017-10-20

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
7,831		2,307	5,524
10,838		10,070	768
1,158		1,436	-278
5,790		6,676	-886
4,800		6,582	-1,782
649		929	-280
1,946		2,386	-440
1,487		1,568	-81
4,130		4,467	-337
5,215		4,721	494

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			5,524
			768
			-278
			-886
			-1,782
			-280
			-440
			-81
			-337
			494

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
NXP SEMICONDUCTORS N V COM	P	2014-06-10	2017-11-20
APPLE INC COM	P	2009-02-05	2017-12-19
ADOBE SYS INC COM	P	2014-03-25	2017-12-19
AMERICAN INTL GROUP INC COM NEW	P	2014-09-19	2017-12-19
AMERICAN WTR WKS CO INC NEW COM	P	2010-09-16	2017-12-19
BANK AMER CORP COM	P	2017-05-31	2017-12-19
BLACKROCK INC COM	P	2016-10-31	2017-12-19
CELGENE CORP COM	P	2011-02-17	2017-12-19
COLGATE PALMOLIVE CO COM	P	2015-10-26	2017-12-19
COMCAST CORP NEW CL A	P	2011-03-04	2017-12-19

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
9,558		5,355	4,203
1,745		138	1,607
1,745		654	1,091
896		828	68
893		228	665
735		558	177
2,583		1,711	872
1,072		269	803
1,127		1,033	94
1,752		571	1,181

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			4,203
			1,607
			1,091
			68
			665
			177
			872
			803
			94
			1,181

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
CME GROUP INC COM	P	2016-07-14	2017-12-19
CITY NATL ROCHDALE FDS EMRGING MRKT Y	P	2013-09-27	2017-12-19
CABOT OIL & GAS CORP COM	P	2016-11-28	2017-12-19
COSTCO WHSL CORP NEW COM	P	2014-01-02	2017-12-19
CINTAS CORP COM	P	2016-06-29	2017-12-19
CHEVRON CORP NEW COM	P	2015-08-26	2017-12-19
CONCHO RES INC COM	P	2017-10-19	2017-12-19
DANAHER CORP DEL COM	P	2016-02-01	2017-12-19
DISNEY WALT CO COM DISNEY	P	2014-12-02	2017-12-19
DOWDUPONT INC COM	P	2017-01-06	2017-12-19

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,504		1,009	495
5,412		3,654	1,758
536		462	74
947		592	355
793		477	316
601		360	241
701		663	38
471		324	147
560		466	94
714		574	140

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			495
			1,758
			74
			355
			316
			241
			38
			147
			94
			140

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
ELECTRONIC ARTS INC COM	P	2017-11-20	2017-12-19
ECOLAB INC COM	P	2016-03-28	2017-12-19
ESSEX PPTY TR INC COM	P	2016-03-01	2017-12-19
EDWARDS LIFESCIENCES CORP COM	P	2014-03-25	2017-12-19
FACEBOOK INC CL A	P	2014-01-23	2017-12-19
HALLIBURTON CO COM	P	2017-10-19	2017-12-19
HOME DEPOT INC COM	P	2013-09-18	2017-12-19
HONEYWELL INTL INC COM	P	2015-08-06	2017-12-19
INGERSOLL-RAND PLC SHS	P	2017-03-03	2017-12-19
JOHNSON & JOHNSON COM	P	2016-01-22	2017-12-19

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
535		538	-3
675		553	122
1,221		1,071	150
1,149		358	791
1,785		563	1,222
694		650	44
1,858		756	1,102
772		526	246
866		801	65
707		483	224

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-3
			122
			150
			791
			1,222
			44
			1,102
			246
			65
			224

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
JPMORGAN CHASE & CO COM	P	2010-04-21	2017-12-19
LILLY ELI & CO COM	P	2015-02-05	2017-12-19
MASTERCARD INCORPORATED CL A	P	2012-03-19	2017-12-19
MCDONALDS CORP COM	P	2016-11-28	2017-12-19
MAGNA INTL INC COM	P	2013-04-23	2017-12-19
MICROSOFT CORP COM	P	2015-08-06	2017-12-19
NEXTERA ENERGY INC COM	P	2017-10-19	2017-12-19
NORTHROP GRUMMAN CORP COM	P	2016-07-18	2017-12-19
NXP SEMICONDUCTORS N V COM	P	2014-06-10	2017-12-19
PEPSICO INC COM	P	2016-03-01	2017-12-19

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2,135		920	1,215
1,293		1,071	222
2,283		641	1,642
867		606	261
1,143		566	577
2,138		1,166	972
775		766	9
1,542		1,106	436
582		323	259
1,191		986	205

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			1,215
			222
			1,642
			261
			577
			972
			9
			436
			259
			205

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
PULTE GROUP INC COM	P	2014-02-18	2017-12-19
PULTE GROUP INC COM	P	2014-02-19	2017-12-19
PNC FINL SVCS GROUP INC COM	P	2016-10-20	2017-12-19
CITY NATL ROCHDALE FDS FXD INC OPP FD N	P	2015-03-31	2017-12-19
RAYTHEON CO COM NEW	P	2016-10-28	2017-12-19
STARBUCKS CORP COM	P	2011-01-05	2017-12-19
SUNTRUST BKS INC COM	P	2016-11-28	2017-12-19
TWEEDY BROWNE FD INC GLOBAL VALUE	P	2014-02-20	2017-12-19
THERMO FISHER SCIENTIFIC INC COM	P	2013-01-22	2017-12-19
TIME WARNER INC COM NEW	P	2016-01-12	2017-12-19

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,210		714	496
639		376	263
1,456		932	524
2,233		2,232	1
941		687	254
870		245	625
1,317		1,036	281
2,098		1,950	148
966		348	618
450		350	100

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			496
			263
			524
			1
			254
			625
			281
			148
			618
			100

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
UNITEDHEALTH GROUP INC COM	P	2015-09-01	2017-12-19
US BANCORP DEL COM NEW	P	2016-10-04	2017-12-19
VISA INC COM CL A	P	2015-02-18	2017-12-19
VANGUARD INDEX FDS SMALL CP ETF	P	2009-08-26	2017-12-19
WESTLAKE CHEM CORP COM	P	2017-10-19	2017-12-19
WAL-MART STORES INC COM	P	2016-01-15	2017-12-19
EXXON MOBIL CORP COM	P	2015-05-04	2017-12-19
ZOETIS INC CL A	P	2016-09-01	2017-12-19
ALPHABET INC CAP STOCK CLASS C SHARES	P	2010-10-22	2017-12-21
ALPHABET INC CAP STOCK CLASS C SHARES	P	2014-02-19	2017-12-21

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2,212		1,129	1,083
1,093		857	236
2,258		1,343	915
2,516		903	1,613
514		415	99
494		310	184
828		893	-65
1,095		765	330
18,110		5,173	12,937
4,282		2,404	1,878

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			1,083
			236
			915
			1,613
			99
			184
			-65
			330
			12,937
			1,878

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
ALPHABET INC CAP STOCK CLASS C SHARES	P	2014-02-21	2017-12-21

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
4,297		2,419	1,878

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			1,878

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
BROOKLYN MUSEUM 200 EASTERN PARKWAY BROOKLYN, NY 11238		PUBLIC	FOR ROBERT LONGO "PROOF" EXHIBIT	50,000
FOOD ON FOOT 9663 SANTA MONICA BLVD 743 BEVERLY HILLS, CA 90210		PUBLIC	THANKSGIVING DONATION	5,000
COUNCIL ON INT'L NONTHEATRICAL EVENTS INC 1003 K ST NW SUITE 208 WASHINGTON, DC 20001		PUBLIC	PUERTO RICO HURRICANE RELIEF	110,000
HARLEM GROWN INC 8405 BEVERLY BOULEVARD NEW YORK, NY 90048	N/A	PUBLIC	DONATION	100,000
HOLLYWOOD INDIES LITTLE LEAGUE 1513 W 6TH STREET SUITE 203 SANTA MONICA, CA 90401	N/A	PUBLIC	DONATION	5,000
Total . . . . ▶ **3a**				977,469

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
JUST KEEP LIVIN FOUNDATION 1107 GLENDON AVENUE LOS ANGELES, CA 90024		PUBLIC	DONATION TO HELP CHILD WITH LEUKEMIA	2,000
PACIFIC RIVERS 317 SW ALDER ST STE 900 PORTLAND, OR 97204		PUBLIC	DONATION	115,106
THE BUSHWICK STARR THEATER 207 STARR STREET BROOKLYN, NY 11237	N/A	PUBLIC	DONATION	200,000
THE PACHAMAMMA ALLIANCE 1009 GENERAL KENNEDY AVENUE SAN FRANCISCO, CA 94129		PUBLIC	FOR SAPARA EDUCATION GRANT	46,863
UNITED WAY HARVEY RECOVERY FUND 701 N FAIRFAX STREET ALEXANDRIA, VA 22314		PUBLIC	DONATION FOR HURRICANE HARVEY RELIEF	300,000
Total . . . . ▶ **3a**				977,469

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
WHITE RIBBON ALLIANCE 1901 PENNSYLVANIA AVE NW STE 800 WASHINGTON, DC 20006		PUBLIC	DONATION	43,500
Total . . . . ▶ 3a				977,469

TY 2017 Accounting Fees Schedule

Name: FARO FOUNDATION

EIN: 27-6020872

Accounting Fees Schedule

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING & CONSULTING SERVICES	19,112	19,112		0

TY 2017 Investments Corporate Stock Schedule

Name: FARO FOUNDATION

EIN: 27-6020872

Name of Stock	End of Year Book Value	End of Year Fair Market Value
CITY NATIONAL BANK	774,094	1,143,886
MORGAN STANLEY	857,295	1,053,465

TY 2017 Other Expenses Schedule

Name: FARO FOUNDATION

EIN: 27-6020872

Other Expenses Schedule

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
BROKERAGE MAINTENANCE FEES	29,887	29,887		0
BANK CHARGES	45	45		0

TY 2017 Other Increases Schedule

Name: FARO FOUNDATION

EIN: 27-6020872

Description	Amount
ADJUST BEGINNING BAL OF COST BASIS IN INVESTMENTS	1,315

efile GRAPHIC print - DO NOT PROCESS | As Filed Data - | DLN: 93491152000008

TY 2017 Taxes Schedule

Name: FARO FOUNDATION

EIN: 27-6020872

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAX ON DIVIDENDS	47	47		0
FEDERAL TAX	5,000	5,000		0
FILING FEES	10	10		0