

Form **990-PF**Department of the Treasury
Internal Revenue Service**Return of Private Foundation**

or Section 4947(a)(1) Trust Treated as Private Foundation

- ▶ Do not enter social security numbers on this form as it may be made public.
▶ Go to www.irs.gov/Form990PF for instructions and the latest information.

OMB No 1545-0052

2017

Open to Public Inspection

For calendar year 2017 or tax year beginning

, and ending

Name of foundation
THE BALL FOUNDATION

Number and street (or P.O. box number if mail is not delivered to street address)
P.O. BOX 9005

Room/suite

City or town, state or province, country, and ZIP or foreign postal code
BROOMFIELD, CO 80021-0905

A Employer identification number
27-4099620

B Telephone number
303-469-3131

C If exemption application is pending, check here ☐

D 1. Foreign organizations, check here ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ☐

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

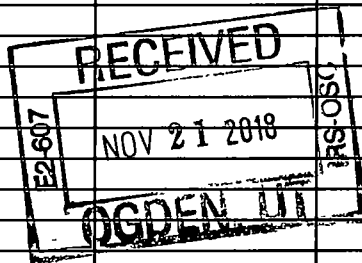
G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity
☐ Final return ☐ Amended return
☐ Address change ☐ Name change

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation **0A**
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, col. (c), line 16)
\$ 8,977,485. (Part I, column (d) must be on cash basis.)

J Accounting method ☒ Cash ☐ Accrual
☐ Other (specify) _____

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received				
	2 Check ☒ if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	318,054.	318,054.		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	-53,517.			
	b Gross sales price for all assets on line 6a	471,250.			
	7 Capital gain net income (from Part IV, line 2)		0.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income					
12 Total. Add lines 1 through 11	264,537.	318,054.	0.		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	0.	0.	0.	0.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees				
	b Accounting fees				
	c Other professional fees				
	17 Interest				
	18 Taxes				
	19 Depreciation and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses STMT 1	24,047.	24,047.	0.	0.
	24 Total operating and administrative expenses. Add lines 13 through 23	24,047.	24,047.	0.	0.
	25 Contributions, gifts, grants paid	1,567,656.			1,567,656.
26 Total expenses and disbursements. Add lines 24 and 25	1,591,703.	24,047.	0.	1,567,656.	
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements	-1,327,166.				
b Net investment income (if negative, enter -0-)		294,007.			
c Adjusted net income (if negative, enter -0-)			0.		



Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		
		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing	641,547.	616,577.	616,577.
	2 Savings and temporary cash investments	2,267,511.	529,110.	529,110.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock			
	c Investments - corporate bonds STMT 2	6,991,338.	7,833,170.	7,831,798.
	11 Investments - land, buildings, and equipment basis ▶			
Less: accumulated depreciation ▶				
12 Investments - mortgage loans				
13 Investments - other				
14 Land, buildings, and equipment basis ▶				
Less: accumulated depreciation ▶				
15 Other assets (describe ▶)				
16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)	9,900,396.	8,978,857.	8,977,485.	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable	11,017.	414,776.	
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
23 Total liabilities (add lines 17 through 22)	11,017.	414,776.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ☐			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ☒			
	27 Capital stock, trust principal, or current funds	0.	0.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	9,889,379.	8,564,081.	
30 Total net assets or fund balances	9,889,379.	8,564,081.		
31 Total liabilities and net assets/fund balances	9,900,396.	8,978,857.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	9,889,379.
2 Enter amount from Part I, line 27a	2	-1,327,166.
3 Other increases not included in line 2 (itemize) ▶ CAPITAL GAIN ADJUSTMENT	3	1,868.
4 Add lines 1, 2, and 3	4	8,564,081.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	8,564,081.

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a ROWAN COMPANIES INC - BOND SALE		P	11/12/14	12/31/17
b				
c				
d				
e				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
a 471,250.		524,767.	-53,517.	
b				
c				
d				
e				
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69.				
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))	
a			-53,517.	
b				
c				
d				
e				
2 Capital gain net income or (net capital loss)		{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7		2 -53,517.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8		{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7		3 0.

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☒ Yes ☐ No

If "Yes," the foundation doesn't qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2016			
2015			
2014			
2013			
2012			

2 Total of line 1, column (d)

2

3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years

3

4 Enter the net value of noncharitable-use assets for 2017 from Part X, line 5

4

5 Multiply line 4 by line 3

5

6 Enter 1% of net investment income (1% of Part I, line 27b)

6

7 Add lines 5 and 6

7

8 Enter qualifying distributions from Part XII, line 4

8

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b		1	0.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations, enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)		2	0.
3 Add lines 1 and 2		3	0.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	0.
6 Credits/Payments:			
a 2017 estimated tax payments and 2016 overpayment credited to 2017	6a	0.	
b Exempt foreign organizations - tax withheld at source	6b	0.	
c Tax paid with application for extension of time to file (Form 8868)	6c	0.	
d Backup withholding erroneously withheld	6d	0.	
7 Total credits and payments. Add lines 6a through 6d	7	0.	
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8	0.	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	0.	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10		
11 Enter the amount of line 10 to be: Credited to 2018 estimated tax ☐ Refunded ☐	11		

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition. If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
1c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. \$ 0. (2) On foundation managers. \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered. See instructions. NONE		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2017 or the tax year beginning in 2017? See the instructions for Part XIV. If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

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Part VII-A Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions		X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	X	

Website address **N/A**

14 The books are in care of **BALL CORPORATION** Telephone no. **303-469-3131**
 Located at **10 LONGS PEAK DRIVE, BROOMFIELD, CO** ZIP+4 **80021-2510**

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - check here ☐
 and enter the amount of tax-exempt interest received or accrued during the year **15** **N/A**

16 At any time during calendar year 2017, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? **Yes** **No**
16 **X**

See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country **N/A**

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year, did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☒ No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions. Organizations relying on a current notice regarding disaster assistance, check here	N/A	
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2017?		X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2017, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2017?	☐ Yes ☒ No	
If "Yes," list the years N/A		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	N/A	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No	
b If "Yes," did it have excess business holdings in 2017 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2017.)	N/A	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2017?		X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year, did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions

N/A

5b

Organizations relying on a current notice regarding disaster assistance, check here

☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

6b

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

X

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

7b

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, and foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
JOHN A. HAYES P.O. BOX 9005 BROOMFIELD, CO 80021-0905	PRESIDENT & DIRECTOR 0.00	0.	0.	0.
JAMES N. PETERSON P.O. BOX 9005 BROOMFIELD, CO 80021-0905	VICE PRESIDENT & DIRECTOR 0.00	0.	0.	0.
SCOTT C. MORRISON P.O. BOX 9005 BROOMFIELD, CO 80021-0905	VICE PRESIDENT & DIRECTOR 0.00	0.	0.	0.
JEFFREY A. KNOBEL P.O. BOX 9005 BROOMFIELD, CO 80021-0905	SECRETARY/TREASURER & DIRECTOR 0.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	7,779,762.
b	Average of monthly cash balances	1b	1,774,822.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	9,554,584.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	9,554,584.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	143,319.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	9,411,265.
6	Minimum investment return. Enter 5% of line 5	6	470,563.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	470,563.
2a	Tax on investment income for 2017 from Part VI, line 5	2a	
b	Income tax for 2017. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	0.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	470,563.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	470,563.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	470,563.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	1,567,656.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8; and Part XIII, line 4	4	1,567,656.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	1,567,656.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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Part XIII .Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2016	(c) 2016	(d) 2017
1 Distributable amount for 2017 from Part XI, line 7				470,563.
2 Undistributed income, if any, as of the end of 2017				
a Enter amount for 2016 only			0.	
b Total for prior years.		0.		
3 Excess distributions carryover, if any, to 2017:				
a From 2012	277,057.			
b From 2013	1,714,625.			
c From 2014	937,926.			
d From 2015	514,946.			
e From 2016	526,201.			
f Total of lines 3a through e	3,970,755.			
4 Qualifying distributions for 2017 from Part XII, line 4: ► \$ 1,567,656.				
a Applied to 2016, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2017 distributable amount				470,563.
e Remaining amount distributed out of corpus	1,097,093.			
5 Excess distributions carryover applied to 2017 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	5,067,848.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2016. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2017. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2018				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2012 not applied on line 5 or line 7	277,057.			
9 Excess distributions carryover to 2018. Subtract lines 7 and 8 from line 6a	4,790,791.			
10 Analysis of line 9:				
a Excess from 2013	1,714,625.			
b Excess from 2014	937,926.			
c Excess from 2015	514,946.			
d Excess from 2016	526,201.			
e Excess from 2017	1,097,093.			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

N/A

- 1 a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2017, enter the date of the ruling

- b**
- Check box to indicate whether the foundation is a private operating foundation described in section
- ☐
- 4942(j)(3) or
- ☐
- 4942(j)(5)

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
UNIVERSITY OF COLORADO FOUNDATION 1800 N GRANT ST STE 725 DENVER, CO 80203		PC	GENERAL PURPOSE	80,000.
SISTER CARMEN COMMUNITY CENTER 655 ASPEN RIDGE DRIVE LAFAYETTE, CO 80026		NC	GENERAL PURPOSE	7,000.
REGIS UNIVERSITY 3333 REGIS BLVD. B-16 DENVER, CO 80221		PC	GENERAL PURPOSE	11,100.
COLORADO ROBOTICS EXCELLING AT SCIENCE & TECHNOLOGY 3773 CHERRY CREEK NORTH DRIVE 575 DENVER, CO 80209		PC	GENERAL PURPOSE	50,000.
CASA DE ESPERANZA 7223 DIXIE HIGHWAY, SUITE A FAIRFIELD, OH 45014		PC	GENERAL PURPOSE	10,000.
Total	SEE CONTINUATION SHEET(S)			1,231,292.
b Approved for future payment				
NONE				
Total				0.

Form 990-PF (2017)

THE BALL FOUNDATION

27-4099620

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
RECYCLING PARTNERSHIP 125 ROWELL CT FALL CHURCH, VA 22046		PC	GENERAL PURPOSE	100,000.
EFPA 1575 YARMOUTH AVE BOULDER, CO 80304		PC	GENERAL PURPOSE	10,000.
MILE HIGH UNITED WAY 711 PARK AVENUE WEST DENVER, CO 80205		PC	GENERAL PURPOSE	35,000.
DENVER SCHOLARSHIP FOUNDATION 789 SHERMAN ST. SUITE 610 DENVER, CO 80203		PC	GENERAL PURPOSE	40,000.
IMPACT ON EDUCATION 721 FRONT STREET SUITE A LOUISVILLE, CO 80027		PC	GENERAL PURPOSE	25,000.
CAN'D AID FOUNDATION 20 BOWEN ST. LONGMONT, CO 80501		PC	GENERAL PURPOSE	150,000.
CHILDREN'S MUSEUM OF DENVER 2121 CHILDREN'S MUSEUM DRIVE DENVER, CO 80211		PC	GENERAL PURPOSE	41,000.
ROAD RUNNER FOOD BANK 5840 OFFICE BLVD NE ALBUQUERQUE, NM 87109		PC	GENERAL PURPOSE	1,329.
ARLINGTON FOOD ASSISTANCE CENTER PO BOX 6261 ARLINGTON, VA 22206		PC	GENERAL PURPOSE	2,054.
THE FOOD BANK, INC. 56 ARMOR PLACE DAYTON, OH 45417		PC	GENERAL PURPOSE	1,173.
Total from continuation sheets				1,073,192.

Part XV. Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
AKRON-CANTON REGIONAL FOOD BANK 2234, 350 OPPORTUNITY PKWY AKRON, OH 44307		PC	GENERAL PURPOSE	9,190.
SECOND HARVEST FOOD BANK 5510 BAUMHART RD. LORAIN, OH 44053		PC	GENERAL PURPOSE	3,969.
HOLY FAMILY CATHOLIC CHURCH SOUP KITCHEN 14160 FERNDAL RD. WOODBRIDGE, VA 21193		PC	GENERAL PURPOSE	1,083.
ST. VINCENT DE PAUL SOCIETY 1125 BANK STREET CINCINNATI, OH 45214		PC	GENERAL PURPOSE	3,913.
AGAPE COMMUNITY CENTER OF MILWAUKEE 6100 N 42ND ST MILWAUKEE, WI 53209		PC	GENERAL PURPOSE	341.
COMMUNITY SHARING 5061 N. DUCK LAKE ROAD HIGHLAND, MI 48357		PC	GENERAL PURPOSE	1,228.
BANQUE ALIMENTAIRE MEMPHREMAGOG 581 RUE SHERBROOKE MAGOG, QC, CANADA J1X 2S4		NC	GENERAL PURPOSE	1,516.
PEACE AT HOME FAMILY SHELTER 1200 GARLAND AVE FAYETTEVILLE, AR 72703		PC	GENERAL PURPOSE	4,062.
BLUE RIDGE AREA FOOD BANK 96 LAUREL HILL RD VERONA, VA 24482		PC	GENERAL PURPOSE	6,119.
MONTGOMERY COUNTY FOOD BANK 56 ARMOR PL DAYTON, OH 45417		PC	GENERAL PURPOSE	17,389.
Total from continuation sheets				

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
CHOPIN HALL 1800 N BLANCHARD ST # 125 FINDLAY, OH 45840		PC	GENERAL PURPOSE	80,374.
MISSION SOLANO 114 E SPAIN ST, SONOMA, CA 95476		PC	GENERAL PURPOSE	1,103.
FORT ATKINSON FOOD PANTRY 715 JONES AVE FORT ATKINSON, WI 53538		PC	GENERAL PURPOSE	17,816.
ARVADO COMMUNITY FOOD BANK 8555 W 57TH AVE ARVADO, CO 80002		PC	GENERAL PURPOSE	6,807.
COMMUNITY FOOD BANK 1627 I STREET NW, SUITE 1000 WASHINGTON, DC 20006		PC	GENERAL PURPOSE	1,850.
HAWAII FOOD BANK 2611 KILIAU ST. HONOLULU, HI 96819		PC	GENERAL PURPOSE	4,852.
FOOD FINDERS FOOD BANK, INC. 1141 CENTRAL PKWY CINCINNATI, OH 45202		PC	GENERAL PURPOSE	630.
AMERICAN RED CROSS FOOD PANTRY 431 18TH STREET, NW WASHINGTON, DC 20006		PC	GENERAL PURPOSE	1,675.
ACTIVE MINISTRIES ROME 2020 GILLESPIE WAY EL CAJON, CA 92020		PC	GENERAL PURPOSE	25,775.
SARATOGA COUNTY ECONOMIC DEVELOPMENT 28 CLINTON STREET SARATOGA SPRINGS, NY 12866		PC	GENERAL PURPOSE	4,685.
Total from continuation sheets				

THE BALL FOUNDATION

27-4099620

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
METROPOLITAN MINISTRIES, INC. 165 E FRANCES AVE TAMPA, FL 33602		PC	GENERAL PURPOSE	5,127.
FOOD BANK OF THE HUDSON VALLEY 195 HUDSON ST CORNWALL-ON-HUDSON, NY 12520		PC	GENERAL PURPOSE	2,653.
GROVE CHRISTIAN OUTREACH CENTER 8800 POCAHONTAS TRAIL WILLIAMSBURG, VA 23185		PC	GENERAL PURPOSE	13,463.
FEED THE NEED IN DURHAM 371 MARWOOD DR OSHAWA, ONTARIO, CANADA L1H 7P8		PC	GENERAL PURPOSE	3,845.
COMMUNITY FOOD SHARE 650 S TAYLOR AVE LOUISVILLE, CO 80027		PC	GENERAL PURPOSE	73,726.
FINDLAY CITY MISSION 510 W MAIN CROSS ST FINDLAY, OH 45840		PC	GENERAL PURPOSE	11,482.
NORTHWEST HARVEST P.O. BOX 12272 SEATTLE, WA 98102		PC	GENERAL PURPOSE	886.
ST. MARY FOOD BANK ALLIANCE 2831 N 31ST AVE PHOENIX, AZ 85009		PC	GENERAL PURPOSE	1,260.
HOPE HOUSE 34 SOUTH MAIN STREET MIDDLETOWN, OH 45044		PC	GENERAL PURPOSE	1,128.
WEST VALLEY FOOD BANK 5700 RUDNICK AVE WOODLAND HILLS, CA 91367		PC	GENERAL PURPOSE	1,063.
Total from continuation sheets				

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
HIGHWAY 80 RESCUE MISSION 3117 W MARSHALL AVE LONGVIEW, TX 75604		NC	GENERAL PURPOSE	1,326.
INTERNATIONAL FEDERATION OF RED CROSS & RED CRESCENT P.O. BOX 303 GENEVA, SWITZERLAND CH-1211		PC	GENERAL PURPOSE	300,000.
CYBER GNOMES 300 BRICKSTONE SQUARE, SUITE 601 ANDOVER, MA 01810		NC	GENERAL PURPOSE	7,300.
A PRECIOUS CHILD 7051 W 118TH AVE BROOMFIELD, CO 80020		NC	GENERAL PURPOSE	15,000.
ECOCYCLE 2-28-1 NIHONBASHI HAMACHO, CHUO-KU TOKYO, JAPAN 103-0007		NC	GENERAL PURPOSE	10,000.
FOOD BANK OF THE ROCKIES P.O. BOX 151560 LAKEWOOD, CO 80215		PC	GENERAL PURPOSE	25,000.
Total from continuation sheets				

Part XVII Information Regarding Transfers to and Transactions and Relationships With Noncharitable Exempt Organizations

- | | |
|---|-------------------|
| <p>1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c)(3) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?</p> <p>a Transfers from the reporting foundation to a noncharitable exempt organization of:</p> <p>(1) Cash</p> <p>(2) Other assets</p> <p>b Other transactions:</p> <p>(1) Sales of assets to a noncharitable exempt organization</p> <p>(2) Purchases of assets from a noncharitable exempt organization</p> <p>(3) Rental of facilities, equipment, or other assets</p> <p>(4) Reimbursement arrangements</p> <p>(5) Loans or loan guarantees</p> <p>(6) Performance of services or membership or fundraising solicitations</p> <p>c Sharing of facilities, equipment, mailing lists, other assets, or paid employees</p> <p>d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.</p> | <p>Yes</p> |
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	Yes	No
1a(1)		X
1a(2)		X
1b(1)		X
1b(2)		X
1b(3)		X
1b(4)		X
1b(5)		X
1b(6)		X
1c		X

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527?

☐ Yes ☒ No

- b** If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

**Sign
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

May the IRS discuss this return with the preparer shown below? See instr

☐ Yes ☐ No

Signature of officer or trustee

Date _____

Title

SECRETARY/TREASURY & DIRECTOR

Print/Type preparer's name

Preparer's signature

Date _____

Check ☐ self-employed

PTIN

**Paid
Preparer
Use Only**

Firm's name ▶

Firm's EIN ▶

Firm's address ►

Phone no.

Form **990-PF** (2017)

FORM 990-PF	OTHER EXPENSES	STATEMENT	1
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
BANK FEES	1,697.	1,697.	0.	0.
WEB FEES	22,350.	22,350.	0.	0.
TO FORM 990-PF, PG 1, LN 23	24,047.	24,047.	0.	0.

FORM 990-PF	CORPORATE BONDS	STATEMENT	2
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
CORPORATE BONDS	7,833,170.	7,831,798.
TOTAL TO FORM 990-PF, PART II, LINE 10C	7,833,170.	7,831,798.

FORM 990-PF

GRANT APPLICATION SUBMISSION INFORMATION
PART XV, LINES 2A THROUGH 2D

STATEMENT 3

NAME AND ADDRESS OF PERSON TO WHOM APPLICATIONS SHOULD BE SUBMITTED

JASON BRANDENBURG
10 LONGS PEAK DRIVE
BROOMFIELD, CO 80021

TELEPHONE NUMBER

303-469-3131

FORM AND CONTENT OF APPLICATIONS

APPLICATIONS ARE ACCEPTED ONLINE ONLY VIA
[HTTPS://WWW.EASYMATCH.COM/BALLFOUNDATION](https://www.easymatch.com/ballfoundation)

SEE SCHEDULE 2 - "INFORMATION REQUESTED IN THE BALL FOUNDATION GRANT
APPLICATION"

ANY SUBMISSION DEADLINES

NONE

RESTRICTIONS AND LIMITATIONS ON AWARDS

SEE SCHEDULE 3 - "BALL FOUNDATION - GRANTS GUIDELINES"

Information Requested in the Ball Foundation Grant Application

The Ball Foundation will only be accepting grant application online beginning in 2012. A link to our grant application site will be available on ball.com. Organizations interested in applying will have to pass an eligibility quiz before they can create an account and fill out full the online application

Online Organization Eligibility Quiz

1	Is your organization a Section 501(c)(3) tax-exempt organization that is classified as a public charity under Section 509(a) (1), (2) or (3)?	Yes No	Go To Question 2 Go To Question 1a
1A	Is your organization a tax-exempt public agency classified under Section 170 (c) (1)?	Yes No	Go To Question 2 Not Permitted Further /Notify at the end
2	Is your organization located in/serve the United States?	Yes No	Go to question 3 Not permitted further/notify at end
3	Are you seeking funding for lobbying, political or fraternal activities?	Yes No	Not permitted further/notify at end Go to question 4
4	Are you seeking funding for an individual?	Yes No	Not Permitted Further /Notify at the end Go to question 5
5	Are you seeking funding to support travel?	Yes No	Not permitted further/notify at end Go to Question 6
6	Are you seeking funding for medical research?	Yes No	Not permitted further/notify at end Go to question 7
7	Are you seeking funding for programs targeting people with specific physical, medical or psychological conditions?	Yes No	Not permitted further/notify at end Go to question 8
8	Is your organization a religious organization?	Yes No	Go To Question 8a Go To Question 9
8A	If yes, are you seeking funds for training in or promotion of religious doctrine?	Yes No	Not Permitted Further /Notify at the end Go To Question 9
9	If funded, will this grant remain under the financial control of the applying organization and not be regranted in any way to other charitable organizations?	Yes No	Go To Question 10 Not Permitted Further /Notify at the end
10	The Ball Foundation grants program serves the Colorado front range and other communities in which our employees live and work. Does your organization serve one or more of these locations?	Yes No	Go To Question 11 Not Permitted Further /Notify at the end

11	The Ball Foundation focus areas are education, recycling and community engagement. Does your organization emphasize one of these focus areas?	Yes No	Go To Question 12 Not Permitted Further /Notify at the end
12	Is this organization in full compliance with Ball Corporation non-discrimination policy?	Yes No	Go to Message A Go to Message B Go to Registration
Message A	Thank you for completing this eligibility assessment. Based on your answers, it appears that your organization qualifies to complete the Ball Foundation Grant Application. Please click below to register.		
Message B	Thank you for your interest in funding support from the Ball Foundation. Since your organization does not meet our funding criteria, we regret that we will not be able to assist you at this time. We wish you much success in your fundraising endeavors.		Go to Homepage

The full grant application asks for the following information:

Organization Background Information

- Organization's Mission Statement
- Brief overview of the organization and evidence of its impact
- List/description of the organization's major achievements
- Type of organization
- Organization's Board of Directors, key staff, and their qualifications
- List of other major donors and funding contributions
- Non-Discrimination Policy, if it has one

Project Request Information

- Project title
- Request/Support Type (Project Support, Operating Budget, Capital Campaign, Other (describe))
- Requested amount
- Project budget

- Detailed project budget including a description of how the funds will be spent and how the amount was determined
- Project start date
- Project end date
- Nature of request
- Project background
- Other funding contributions
- Evaluation of project
- List non-company name donors and supporters and amounts contributed to project

Project Details

- List and description of the current project objectives
- Problem the project addresses
- How the project objectives be met (strategies and outcomes)
- Description of the use of staff (including volunteers) and their qualifications
- Project implementation timeline and key milestones
- Why this project should be funded and the basis for optimism that this project can address the problem successfully
- Analysis of the project's risks and limitations, including how these factors will be addressed or minimized
- Brief history of the project
- Organization's or program's website
- Description of how the project fits within the guidelines of The Ball Foundation

Documentation

- Total annual organizational operating budget
- Operating Budget File
- Organization's IRS 501(c)(3) or 509(a)(1),(2),(3) tax designation
- Organization's 990 or 990-PF federal tax return
- Organization's current audited financial statement

Implementation and Evaluation

- If previously funded by Ball Corporation or The Ball Foundation please provide a brief report on the results of the grant (i.e., amount of funding, what was accomplished, challenges encountered, and corrective actions taken)
- Additional information organization deems vital to review of the grant application
- Description of the project deliverables and outcomes
- Explanation of the assessment strategies that will be used to define and measure the program's success
- Description of the involvement of stakeholders (e.g. Board, Target Population, Community Members)
- Sustainability plan - what steps will the organization take to ensure the future success of the proposed project beyond completion of Ball Foundation funding (i.e. future financial support, staff requirements, continued community interest)?

Grant Classifications

- Motivation (Ball assigns): Charity, Strategic, Commercial
- Program Type: Education (Higher, K-12, STEM and/or Diversity), Recycling, Social Services, Food Security, Disaster Relief, Other
- Budget Source (Ball assigns): Corporate, Foundation, Other
- Ethnicity Served (optional): All, African American, Hispanic, Minorities in General, Native American, Pacific Asian and Asian Indian/Middle Eastern
- Gender Served (optional): All, Female, Male
- Geography: Enter location
- Target Age: All, Infant, Children, Adolescence, Young Adult, Adult, Elderly

The Ball Foundation – Grants Guidelines

Mission

The Ball Foundation's mission is to provide financial support to not-for-profit organizations that sustain the communities in which we live and operate by improving and promoting education, recycling and community engagement.

The Ball Foundation's Grants program provides grants to certain eligible, charitable organizations that meet the Foundation's guidelines and are located in the U.S. The Ball Foundation focuses its grant making investments in organizations with measurable plans to improve communities where our associates live and work. To be considered for a grant, applicants must be tax-exempt, nonprofit organizations as defined under section 501(c)(3) of the Internal Revenue Code, or an accredited public or private school or university.

Funding Focus Areas:

Education: We believe education is vital to social and economic development because it gives people in our communities the knowledge and skills they need to achieve employment and economic security in our rapidly changing, global economy. To help keep our communities and our business competitive and prosperous, we support formal educational opportunities for students of all ages including K-12 education, higher education, business-education partnerships, and other local needs. The Foundation focuses its education funding on programs that support science, technology, engineering and math (STEM) education; emphasize the benefits of recycling to protect the environment; and support diversity by providing opportunities for educational achievement and diverse thought regardless of racial and ethnic background, gender or economic status.

Recycling: Recycling is critical to the future of our business, society and planet. Its benefits include saving significant amounts of energy and natural resources. The Foundation supports programs that increase recycling rates, improve collection processes and provide education about the benefits of recycling.

Community Engagement: An important part of the cultural values of Ball Corporation and the Ball Foundation is to be a good neighbor, corporate citizen and employer of choice in communities where we have a presence; a healthy business depends upon thriving communities. As a packaging and aerospace company, we support organizations, programs and civic initiatives that advance sustainable livelihoods for the citizens of our communities by improving self-sufficiency and access to essential needs, especially food security and disaster relief.

The Ball Foundation prefers grant requests for specific projects and programs as opposed to sponsorships. We reserve the right to deny funding to organizations at any time.

What We Do Not Fund

The Ball Foundation does not support organizations that discriminate against a person or a group on the basis of age, political affiliation, race, national origin, ethnicity, gender, disability, sexual orientation or religious belief.

In order to maintain its focus and achieve a greater impact through the use of its funds, the Ball Foundation will also not support:

- Individuals
- Religious groups for religious purposes
- Programs targeted for people with specific physical, medical or psychological conditions
- Medical research
- Grant requests for production of audio, film or video
- Requests to support travel
- Lobbying, political or fraternal activities
- Organizations not exempt under Section 501(c)(3) of the IRS code or unaccredited public/private schools
- Programs or organizations for which the Ball Foundation is asked to serve as the sole funding source

How to Apply

Interested organizations must apply online. Click the Grant Home tab to log in and begin the application process. The first step is a mandatory eligibility review. Organizations that meet the Foundation's eligibility requirements will be permitted to register and complete the Foundation's grant application.

Response

The Ball Foundation board of directors meets quarterly. Organizations that pass the eligibility review and complete online applications can expect a response within approximately 90 days, depending on the board meeting cycle.