

C&E 859

Form 990-PF

Return of Private Foundation or Section 4947(a)(1) Trust Treated as Private Foundation

OMB No 1545-0052

2017

Do not enter social security numbers on this form as it may be made public. Go to www.irs.gov/Form990PF for instructions and the latest information

Open to Public Inspection

For calendar year 2017 or tax year beginning , 2017, and ending

BERKOWITZ II FOUNDATION INC
C/O MICHAEL MARTIN
140 SILVER SPRINGS ROAD
MILFORD, PA 18337-7774

A Employer identification number 26-4464319
B Telephone number (see instructions) (917) 533-0087
C If exemption application is pending, check here
D 1 Foreign organizations, check here
2 Foreign organizations meeting the 85% test, check here and attach computation
E If private foundation status was terminated under section 507(b)(1)(A), check here
F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here

G Check all that apply: Initial return, Final return, Address change, Initial return of a former public charity, Amended return, Name change
H Check type of organization: Section 501(c)(3) exempt private foundation, Section 4947(a)(1) nonexempt charitable trust, Other taxable private foundation
I Fair market value of all assets at end of year (from Part II, column (c), line 16) \$ 3,531,129.
J Accounting method: Cash, Accrual, Other (specify)

Table with columns: (a) Revenue and expenses per books, (b) Net investment income, (c) Adjusted net income, (d) Disbursements for charitable purposes. Rows include REVENUE (lines 1-12) and ADMINISTRATIVE EXPENSES (lines 13-27).

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)			
		Beginning of year	End of year		
		(a) Book Value	(b) Book Value	(c) Fair Market Value	
ASSETS	1	Cash – non-interest-bearing			
	2	Savings and temporary cash investments	609,565.	887,464.	887,464.
	3	Accounts receivable			
		Less allowance for doubtful accounts			
	4	Pledges receivable			
		Less allowance for doubtful accounts			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach sch)			
		Less allowance for doubtful accounts			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments – U S and state government obligations (attach schedule)			
		b. Investments – corporate stock (attach schedule)	888,989.	835,929.	853,763.
		c. Investments – corporate bonds (attach schedule)	1,969,615.	1,696,247.	1,789,902.
	11	Investments – land, buildings, and equipment basis			
	Less accumulated depreciation (attach schedule)				
12	Investments – mortgage loans				
13	Investments – other (attach schedule)				
14	Land, buildings, and equipment basis				
	Less accumulated depreciation (attach schedule)				
15	Other assets (describe)				
16	Total assets (to be completed by all filers – see the instructions. Also, see page 1, item I)	3,468,169.	3,419,640.	3,531,129.	
LIABILITIES	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, & other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe)			
	23	Total liabilities (add lines 17 through 22)	0.	0.	
NET ASSETS OR FUND BALANCES	Foundations that follow SFAS 117, check here and complete lines 24 through 26, and lines 30 and 31. <input type="checkbox"/>				
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. <input checked="" type="checkbox"/>				
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg, and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds	3,468,169.	3,419,640.	
30	Total net assets or fund balances (see instructions)	3,468,169.	3,419,640.		
31	Total liabilities and net assets/fund balances (see instructions)	3,468,169.	3,419,640.		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year – Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	3,468,169.
2	Enter amount from Part I, line 27a	2	-48,529.
3	Other increases not included in line 2 (itemize)	3	
4	Add lines 1, 2, and 3	4	3,419,640.
5	Decreases not included in line 2 (itemize)	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) – Part II, column (b), line 30	6	3,419,640.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse, or common stock, 200 shares MLC Company)	(b) How acquired P — Purchase D — Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1 a VARIOUS UBS FINANCAL DETAIL ON FILE- STCL	P	VARIOUS	VARIOUS
b VARIOUS UBS FINANCAL DETAIL ON FILE LTCG	P	1/01/14	2/02/17
c UBS CAPITAL GAIN DISTRIBUTIONS ON FILE	P		
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) ((e) plus (f) minus (g))
a 88,221.		95,103.	-6,882.
b 727,721.		729,231.	-1,510.
c 8,706.			8,706.
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a			-6,882.
b			-1,510.
c			8,706.
d			
e			

2 Capital gain net income or (net capital loss) [If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7]	2	314.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) [If gain, also enter in Part I, line 8, column (c) See instructions If (loss), enter -0- in Part I, line 8]	3	1,824.

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? Yes No
If 'Yes,' the foundation doesn't qualify under section 4940(e) Do not complete this part

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2016	241,303.	3,492,777.	0.069086
2015	172,651.	3,664,343.	0.047116
2014	194,956.	3,730,317.	0.052263
2013	196,000.	3,710,884.	0.052818
2012	179,530.	3,868,655.	0.046406

2 Total of line 1, column (d)	2	0.267689
3 Average distribution ratio for the 5-year base period — divide the total on line 2 by 5 0, or by the number of years the foundation has been in existence if less than 5 years	3	0.053538
4 Enter the net value of noncharitable-use assets for 2017 from Part X, line 5	4	3,426,950.
5 Multiply line 4 by line 3	5	183,472.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	427.
7 Add lines 5 and 6	7	183,899.
8 Enter qualifying distributions from Part XII, line 4	8	116,760.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see instructions)

1 a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter 'N/A' on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary – see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b	1	854.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-)	2	0.
3	Add lines 1 and 2	3	854.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-)	4	0.
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	854.
6	Credits/Payments		
a	2017 estimated tax pmts and 2016 overpayment credited to 2017	6 a	
b	Exempt foreign organizations – tax withheld at source	6 b	
c	Tax paid with application for extension of time to file (Form 8868)	6 c	
d	Backup withholding erroneously withheld	6 d	
7	Total credits and payments. Add lines 6a through 6d	7	0.
8	Enter any penalty for underpayment of estimated tax. Check here <input checked="" type="checkbox"/> if Form 2220 is attached	8	10.
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	864.
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	
11	Enter the amount of line 10 to be Credited to 2018 estimated tax <input type="checkbox"/> Refunded <input type="checkbox"/>	11	

Part VII-A Statements Regarding Activities

	Yes	No
1 a		X
b		X
c		X
d		
e		
2		X
3		X
4 a		X
4 b	N/A	
5		X
6	X	
7	X	
8 a		
8 b	X	
9		X
10		X

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes,' attach schedule See instructions	11	Yes	No X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If 'Yes,' attach statement See instructions	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶ N/A	13	X	
14	The books are in care of ▶ <u>MICHAEL MARTIN</u> Telephone no ▶ <u>917-533-0087</u> Located at ▶ <u>140 SILVER SPRINGS ROAD MILFORD PA</u> ZIP + 4 ▶ <u>18377-7744</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - check here and enter the amount of tax-exempt interest received or accrued during the year ▶ 15	N/A ▶ <input type="checkbox"/> N/A		
16	At any time during calendar year 2017, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If 'Yes,' enter the name of the foreign country ▶	16	Yes	No X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.

	Yes	No
1 a During the year, did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6) Agree to pay money or property to a government official? (Exception. Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If any answer is 'Yes' to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions. Organizations relying on a current notice regarding disaster assistance, check here ▶ <input type="checkbox"/>	1 b	N/A
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2017?	1 c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2017, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2017? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If 'Yes,' list the years ▶ 20 __ , 20 __ , 20 __ , 20 __		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer 'No' and attach statement - see instructions.)	2 b	N/A
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶ 20 __ , 20 __ , 20 __ , 20 __		
3 a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If 'Yes,' did it have excess business holdings in 2017 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2017)	3 b	N/A
4 a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4 a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2017?	4 b	X

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services. See instructions. If none, enter 'NONE.'

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1 a	2,730,622.
b	Average of monthly cash balances	1 b	748,515.
c	Fair market value of all other assets (see instructions)	1 c	
d	Total (add lines 1a, b, and c)	1 d	3,479,137.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1 e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	3,479,137.
4	Cash deemed held for charitable activities. Enter 1-1/2% of line 3 (for greater amount, see instructions)	4	52,187.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	3,426,950.
6	Minimum investment return. Enter 5% of line 5	6	171,348.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	171,348.
2a	Tax on investment income for 2017 from Part VI, line 5	2 a	854.
b	Income tax for 2017 (This does not include the tax from Part VI)	2 b	
c	Add lines 2a and 2b	2 c	854.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	170,494.
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	170,494.
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	170,494.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc. — total from Part I, column (d), line 26	1 a	116,760.
b	Program-related investments — total from Part IX-B	1 b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3 a	
b	Cash distribution test (attach the required schedule)	3 b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	116,760.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions	5	
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	116,760.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2016	(c) 2016	(d) 2017
1 Distributable amount for 2017 from Part XI, line 7				170,494.
2 Undistributed income, if any, as of the end of 2017				
a Enter amount for 2016 only			100,445.	
b Total for prior years 20 __, 20 __, 20 __		0.		
3 Excess distributions carryover, if any, to 2017				
a From 2012				
b From 2013				
c From 2014				
d From 2015				
e From 2016				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2017 from Part XII, line 4 ▶ \$ 116,760.				
a Applied to 2016, but not more than line 2a			100,445.	
b Applied to undistributed income of prior years (Election required – see instructions)		0.		
c Treated as distributions out of corpus (Election required – see instructions)	0.			
d Applied to 2017 distributable amount				16,315.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2017 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e Subtract line 5	0.			
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable amount – see instructions		0.		
e Undistributed income for 2016 Subtract line 4a from line 2a Taxable amount – see instructions			0.	
f Undistributed income for 2017 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2018				154,179.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required – see instructions)	0.			
8 Excess distributions carryover from 2012 not applied on line 5 or line 7 (see instructions)	0.			
9 Excess distributions carryover to 2018. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9				
a Excess from 2013				
b Excess from 2014				
c Excess from 2015				
d Excess from 2016				
e Excess from 2017				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

- 1** a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2017, enter the date of the ruling ▶
- b Check box to indicate whether the foundation is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

Tax year	Prior 3 years			(e) Total
(a) 2017	(b) 2016	(c) 2015	(d) 2014	
b 85% of line 2a				
c Qualifying distributions from Part XII, line 4 for each year listed				
d Amounts included in line 2c not used directly for active conduct of exempt activities				
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c				
3 Complete 3a, b, or c for the alternative test relied upon				
a 'Assets' alternative test – enter				
(1) Value of all assets				
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)				
b 'Endowment' alternative test – enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed				
c 'Support' alternative test – enter				
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)				
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)				
(3) Largest amount of support from an exempt organization				
(4) Gross investment income				

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year – see instructions.)

- 1 Information Regarding Foundation Managers:**
- a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))
- NONE

- b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest
- NONE

- 2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**
- Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions.

a The name, address, and telephone number or email address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a <i>Paid during the year</i> SEE STATEMENT 5				
Total			▶ 3 a	116,760.
b <i>Approved for future payment</i>				
Total			▶ 3 b	

2017

FEDERAL STATEMENTS

PAGE 1

CLIENT BEROWITZ

BERKOWITZ II FOUNDATION INC
C/O MICHAEL MARTIN

26-4464319

11/07/18

12:48PM

STATEMENT 1
FORM 990-PF, PART I, LINE 16B
ACCOUNTING FEES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
MILTON STRAUS & CO ACCOUNTING & TAX PREP	\$ 7,500.			
TOTAL	<u>\$ 7,500.</u>	<u>\$ 0.</u>	<u></u>	<u>\$ 0.</u>

STATEMENT 2
FORM 990-PF, PART I, LINE 16C
OTHER PROFESSIONAL FEES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
UBS INVESTMENT ADVISORY FEES	\$ 34,111.	\$ 34,111.		
TOTAL	<u>\$ 34,111.</u>	<u>\$ 34,111.</u>	<u></u>	<u>\$ 0.</u>

STATEMENT 3
FORM 990-PF, PART I, LINE 18
TAXES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
2016 FORM 990-PF EXCISE TAX	\$ 397.			
TOTAL	<u>\$ 397.</u>	<u>\$ 0.</u>	<u></u>	<u>\$ 0.</u>

STATEMENT 4
FORM 990-PF, PART I, LINE 23
OTHER EXPENSES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
RENTAL EXPENSE	\$ 18,000.			
TOTAL	<u>\$ 18,000.</u>	<u>\$ 0.</u>	<u></u>	<u>\$ 0.</u>

2017

FEDERAL STATEMENTS

PAGE 2

CLIENT BEROWITZ

BERKOWITZ II FOUNDATION INC
C/O MICHAEL MARTIN

26-4464319

11/07/18

12 48PM

STATEMENT 5
FORM 990-PF, PART XV, LINE 3A
RECIPIENT PAID DURING THE YEAR

NAME AND ADDRESS	DONEE RELATIONSHIP	FOUND- ATION STATUS	PURPOSE OF GRANT	AMOUNT
PIKE COUNTY HUMANE SOCIETY PO BOX 255 MILFORD PA 18337		PUBLIC	UNRESTRICTED	\$ 6,500.
WASHINGTON COLLEGE 300 WASHINGTON AVENUE CHESTERTOWN MD 21620		PUBLIC	UNRESTRICTED	6,000.
CHABAD LUBAVITCH OF THE POCONOS 226-20 FRANCIS, LEWIS BLVD CAMBRIA HEIGHTS NY 11411		PUBLIC	UNRESTRICTED	2,000.
SAFE HAVEN OF PIKE COUNTY 420 BROAD STREET MILFORD PA 18337		PUBLIC	UNRESTRICTED	500.
GBMC (GREATER BALTIMORE MEDICAL CENTER) 6701 N CHARLES ST BOWSEN MD 21204		PUBLIC	UNRESTRICTED	10,000.
POCONO ENVIROMENTAL CENTER-WILD LIFE 538 EMERY RD DINGMANS FERRY PA 18328		PUBLIC	UNRESTRICTED	1,500.
DELEWARE HIGHLANDS CONSERVANCY PO BOX 218 HAWLEY PA 18428		PUBLIC	UNRESTRICTED	500.
ENGLEWOOD HOSPITAL FOUNDATION 350 ENGLE STEET ENGLEWOOD NJ 07631		PUBLIC	UNRESTICTED	2,000.
GAIT ATAXIA JOHNS HOPKINS HOSPITAL 1800 ORLEANS STREET BALTIMORE MD 21287		PUBLIC	UNRESTRICTED	1,000.
MILFORD ENHANCEMENT COMMITTEE PO BOX 1172 MILFORD PA 18337		PUBLIC	UNRESTRICTED	1,000.
TOWSON UNIVERSITY FOUNDATION 8000 YORK ROAD TOWSON MD 21252		PUBLIC	UNRESTRICTED	1,000.

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C/O MICHAEL MARTIN

26-4464319

11/07/18

12 48PM

STATEMENT 5 (CONTINUED)
FORM 990-PF, PART XV, LINE 3A
RECIPIENT PAID DURING THE YEAR

NAME AND ADDRESS	DONEE RELATIONSHIP	FOUND- ATION STATUS	PURPOSE OF GRANT	AMOUNT
UMMS FOUNDATION 22 GREENE ST BALTIMORE MD 21201		PUBLIC	UNRESTRICTED	\$ 2,000.
HARFORD DAY SCHOOL 715 MOORES RD BEL AIR MD 21014		PUBLIC	UNRESTRICTED	2,000.
DOCTORS WITHOUT BORDERS 333 7TH AVE 2ND FLOOR NEW YORK NY 10001		PUBLIC	UNRESTRICTED	1,000.
SALVATION ARMY 240 WILLOW AVE HONESDALE PA 18431		PUBLIC	UNRESTRICTED	1,000.
DELAWARE VALLEY RAPTOR CTR 416 CUMMINS HILL RD MILFORD PA 18337		PUBLIC	UNRESTRICTED	1,000.
ULMAN CANCER FUND FOR YOUNG ADULTS 921 E FORT AVE SUITE 325 BALTIMORE MD 21230		PUBLIC	UNRESTRICTED	1,000.
WORLD WILDLIFE FUND 1250 24TH ST N.W. WASHINGTON DC 20037		PUBLIC	UNRESTRICTED	10,000.
CHABAD HOUSE ON WHEELS MIAMI BEACH PO BOX 2591 MIAMI BEACH FL 33140		PUBLIC	UNRESTRICTED	1,000.
SUNNYSIDE COMMUNITY SERVICES SR CTR 43-31 39TH STREET SUNNYSIDE NY 11104		PUBLIC	UNRESTRICTED	2,500.
MPN RESEARCH FOUNDATION 180 N MICHIGAN AVE STE 1870 CHICAGO IL 60601		PUBLIC	UNRESTRICTED	1,000.
ICHA PO BOX 30125 BROOKLYN NY 11203		PUBLIC	UNRESTRICTED	400.
ECUMENICAL FOOD PANTRY 110 W CATHARINE STREET MILFORD PA 18337		PUBLIC	UNRESTRICTED	500.

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FEDERAL STATEMENTS

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CLIENT BEROWITZ

BERKOWITZ II FOUNDATION INC
C/O MICHAEL MARTIN

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STATEMENT 5 (CONTINUED)
FORM 990-PF, PART XV, LINE 3A
RECIPIENT PAID DURING THE YEAR

NAME AND ADDRESS	DONEE RELATIONSHIP	FOUND- ATION STATUS	PURPOSE OF GRANT	AMOUNT
THE HEISMAN TROPHY TRUST 111 BROADWAY, SUITE 103A NEW YORK NY 10006		PUBLIC	UNRESTRICTED	\$ 1,200.
JUNIOR ACHIEVEMENT OF NJ 4365 US ROUTE 1 MONMOUTH JUNCTION NJ 08852		PUBLIC	UNRESTRICTED	300.
MT SINAI MEDICAL CENTER FDN MIAMI BEACH 4300 ALTON ROAD MIAMI BEACH FL 33140		PUBLIC	UNRESTRICTED	10,000.
LAB RESCUE OF THE CRCP PO BOX 1814 ANNANDALE VA 22003		PUBLIC	UNRESTRICTED	1,000.
PIKE COUNTY LIBRARY OF MILFORD PA 119 HARFORD STREET MILFORD PA 18337		PUBLIC	UNRESTRICTED	500.
RANSOM EVERGLADES SCHOOL 3577 MAIN HIGHWAY MIAMI FL 33133		PUBLIC	UNRESTRICTED	9,000.
TROOP R-PA STATE POLICE 85 KEYSTONE INDUSTRIAL PARK DUNMORE PA 18512		PUBLIC	UNRESTRICTED	500.
DEVEREUX FOUNDATION 400 DEVEREUX WAY RED HOOK NJ 12571		PUBLIC	UNRESTRICTED	1,000.
FDN FOR BALTIMORE COUNTY PUBLIC LIBRARY 320 YORK ROAD TOWSON MD 21204		PUBLIC	UNRESTRICTED	1,000.
MILFORD EMT 107 CATHERINE STREET MILFORD PA 18337		PUBLIC	UNRESTRICTED	2,500.
GLOBAL LYME ALLIANCE 222 RAILROAD AVE #2B GREENWICH CT 06830		PUBLIC	UNRESTRICTED	12,250.
NEPA CTR FOR INDEPENDENT LIVING OF NJ 606 CRANBURY ROAD EAST BRUNSWICK NJ 08816		PUBLIC	UNRESTRICTED	5,000.

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STATEMENT 5 (CONTINUED)
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RECIPIENT PAID DURING THE YEAR

NAME AND ADDRESS	DONEE RELATIONSHIP	FOUND- ATION STATUS	PURPOSE OF GRANT	AMOUNT
RDMP 10110 IBUKOTA JAKARTA INDONESIA		PUBLIC	UNRESTRICTED	\$ 5,000.
AKC-AMERICAN KENNEL CLUB NEW YORK CITY NY		PUBLIC	UNRESTRICTED	1,000.
BLACK BEAR FILM FESTIVAL 114 E CATHARINE ST MILFORD PA 18337		PUBLIC	UNRESTRICTED	360.
JACQUES PEPIN FDN P.O. BOX 28 BARRINGTON RI 02806		PUBLIC	UNRESTRICTED	2,000.
UM ST JOSEPH MED CTR- UNIV OF MD 76010OSLER DR TOWNSON MD 21204		PUBLIC	UNRESTRICTED	1,000.
HARTFORD DAY SCHOOL 715 MOORES RD BEL AIR MD 21014		PUBLIC	UNRESTRICTED	1,000.
USA FOR UNHCR 1775 K ST NW #580 WASHINGTON DC 20006		PUBLIC	UNRESTRICTED	1,000.
SOCIAL TEES ANIMAL RESCUE 325 E 5TH ST NEW YORK CITY NY 10003		PUBLIC	UNRESTRICTED	500.
TOWER OF HOPE 228 PARK AVE S NEW YORK CITY NY 10003		PUBLIC	UNRESTRICTED	250.
ST HUBERTS ANIMAL WELFARE 575 WOODLAND AVE MADISON NJ 07940		PUBLIC	UNRESTRICTED	500.
COMMUNITY FOODBANK OF NJ 228 EVANS TERMINAL HILLSIDE NJ 07205		PUBLIC	UNRESTRICTED	1,000.
ST JUDE CHILDREN'S RESEARCH HOSPITAL 262 DANNY THOMAS PLACE MEMPHIS TN 38105		PUBLIC	UNRESTRICTED	1,000.

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STATEMENT 5 (CONTINUED)
FORM 990-PF, PART XV, LINE 3A
RECIPIENT PAID DURING THE YEAR

<u>NAME AND ADDRESS</u>	<u>DONEE RELATIONSHIP</u>	<u>FOUND- ATION STATUS</u>	<u>PURPOSE OF GRANT</u>	<u>AMOUNT</u>
CHABAD JEWISH CENTER OF THE FLORIDA KEYS 906 TRINITY DRIVE KEY WEST FL 33040		PUBLIC	UNRESTRICTED	\$ 1,000.
UNICEF EMERGENCY RELIEF FUND 125 MADISON LANE NEW YORK CITY NY 10038		PUBLIC	UNRESTRICTED	1,500.
TOTAL				\$ <u>116,760.</u>

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FEDERAL SUPPORTING DETAIL

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**OTHER INCOME PRODUCING ACTIVITIES
DIVIDENDS/INTEREST FROM SECURITIES.**

TAXABLE DIVIDENDS	\$	67,161.
TAX EXEMPT DIVIDENDS		51,542.
TAXABLE INTEREST		20.
MISC OTHER		9,318.
TOTAL	\$	<u>128,041.</u>

**NET INVESTMENT INCOME / ADJ. NET INCOME
DIVIDENDS & INTEREST FROM SECURITIES (SEE SCREEN 18) [O]**

TOTAL TAXABLE AND TAX EXEMPT DIV & INT INCOME	\$	128,041.
LESS TAX EXEMPT INCOME INCLUDED IN ABOVE		-51,542.
TOTAL	\$	<u>76,499.</u>

**OPERATING & ADMINISTRATIVE EXP. (990-PF)
INTEREST**

INTEREST ON 2016 EXCISE TAX PAID	\$	116.
TOTAL	\$	<u>116.</u>