

For calendar year 2017, or tax year beginning 01-01-2017, and ending 12-31-2017

Name of foundation CORNELIA AND MICHAEL BESSIE FOUNDATION		A Employer identification number 26-2341663	
Number and street (or P O box number if mail is not delivered to street address) 296 JOSHUATOWN ROAD		Room/suite	B Telephone number (see instructions) (860) 313-5721
City or town, state or province, country, and ZIP or foreign postal code LYME, CT 06371		C If exemption application is pending, check here <input type="checkbox"/>	
G Check all that apply <input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Amended return <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/> 2 Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>	
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>	
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 3,734,901	J Accounting method <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) (Part I, column (d) must be on cash basis)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>	

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)				
	2 Check <input checked="" type="checkbox"/> If the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities . . .	84,416	84,416		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	297,139			
	b Gross sales price for all assets on line 6a				
		1,015,579			
	7 Capital gain net income (from Part IV, line 2) . . .		297,139		
	8 Net short-term capital gain				
	9 Income modifications				
Operating and Administrative Expenses	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)				
	12 Total. Add lines 1 through 11	381,555	381,555		
	13 Compensation of officers, directors, trustees, etc	0	0		0
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)	7,400	0		0
	c Other professional fees (attach schedule)				
	17 Interest				
	18 Taxes (attach schedule) (see instructions) . . .	4,417	624		0
	19 Depreciation (attach schedule) and depletion . . .				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)	25	0		0
	24 Total operating and administrative expenses. Add lines 13 through 23	11,842	624		0
	25 Contributions, gifts, grants paid	180,000			180,000
	26 Total expenses and disbursements. Add lines 24 and 25	191,842	624		180,000
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	189,713			
	b Net investment income (if negative, enter -0-)		380,931		
	c Adjusted net income (if negative, enter -0-)				

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)			
		Beginning of year (a) Book Value	End of year (b) Book Value (c) Fair Market Value		
Assets	1	Cash—non-interest-bearing			
	2	Savings and temporary cash investments	95,504	39,494	39,494
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)	1,186,643	1,650,981	1,691,114
	b	Investments—corporate stock (attach schedule)			
	c	Investments—corporate bonds (attach schedule)	181,124	461,544	464,948
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)	1,663,702	1,162,202	1,539,345
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
15	Other assets (describe ▶ _____)				
16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	3,126,973	3,314,221	3,734,901	
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶ _____)			
	23	Total liabilities (add lines 17 through 22)	0	0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here <input checked="" type="checkbox"/> and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds	0	0	
	28	Paid-in or capital surplus, or land, bldg , and equipment fund	0	0	
	29	Retained earnings, accumulated income, endowment, or other funds	3,126,973	3,314,221	
	30	Total net assets or fund balances (see instructions)	3,126,973	3,314,221	
31	Total liabilities and net assets/fund balances (see instructions) .	3,126,973	3,314,221		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	3,126,973
2	Enter amount from Part I, line 27a	2	189,713
3	Other increases not included in line 2 (itemize) ▶ _____	3	0
4	Add lines 1, 2, and 3	4	3,316,686
5	Decreases not included in line 2 (itemize) ▶ _____	5	2,465
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 .	6	3,314,221

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a See Additional Data Table			
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a See Additional Data Table			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a See Additional Data Table			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	<div> <div>If gain, also enter in Part I, line 7</div> <div>If (loss), enter -0- in Part I, line 7</div> </div>	2	297,139
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?



Yes



No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2016	173,000	3,479,996	0 049713
2015	186,500	3,557,466	0 052425
2014	239,225	3,631,386	0 065877
2013	165,831	3,514,423	0 047186
2012	192,652	3,360,089	0 057335
2 Total of line 1, column (d)			2 0 272536
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 0 054507
4 Enter the net value of noncharitable-use assets for 2017 from Part X, line 5			4 3,655,430
5 Multiply line 4 by line 3			5 199,247
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 3,809
7 Add lines 5 and 6			7 203,056
8 Enter qualifying distributions from Part XII, line 4			8 180,000

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b	1	7,619
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0
3	Add lines 1 and 2.	3	7,619
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	7,619
6	Credits/Payments		
a	2017 estimated tax payments and 2016 overpayment credited to 2017	6a	3,600
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	0
7	Total credits and payments. Add lines 6a through 6d.	7	15,600
8	Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8	0
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	7,981
11	Enter the amount of line 10 to be Credited to 2018 estimated tax <input type="checkbox"/> 7,981 Refunded <input type="checkbox"/>	11	0

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a	No
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)? If the answer is "Yes" to 1a or 1b , attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities	1b	No
c Did the foundation file Form 1120-POL for this year?	1c	No
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation <input type="checkbox"/> \$ 0 (2) On foundation managers <input type="checkbox"/> \$ 0		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input type="checkbox"/> \$ 0		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities	2	No
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3	No
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a	No
b If "Yes," has it filed a tax return on Form 990-T for this year?	4b	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T	5	No
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	7	Yes
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> CT		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation .	8b	Yes
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2017 or the taxable year beginning in 2017 (see instructions for Part XIV)? If "Yes," complete Part XIV	9	No
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10	No

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A	13	Yes	
14	The books are in care of ► CORNELIA BESSIE Telephone no ► (860) 526-2486			

Located at **►** 296 JOSHUATOWN ROAD LYME CT ZIP+4 **►** 06371

15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the year ► 15			
16	At any time during calendar year 2017, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR). If "Yes," enter the name of the foreign country ►	16	Yes	No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a	During the year did the foundation (either directly or indirectly)		Yes	No
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? <input type="checkbox"/> Organizations relying on a current notice regarding disaster assistance check here. ► <input type="checkbox"/>	1b		
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2017? <input type="checkbox"/>	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2017, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2017? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ► 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions). <input type="checkbox"/>	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ► 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If "Yes," did it have excess business holdings in 2017 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2017). <input type="checkbox"/>	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2017?	4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (Continued)

5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions).	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?		5b	
	Organizations relying on a current notice regarding disaster assistance check here.	<input type="checkbox"/>		
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	<input type="checkbox"/> Yes <input type="checkbox"/> No		
	<i>If "Yes," attach the statement required by Regulations section 53.4945–5(d)</i>			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? <i>If "Yes" to 6b, file Form 8870</i>		6b	No
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?		7b	

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).				
(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
CORNELIA BESSIE 296 JOSHUATOWN ROAD LYME, CT 06371	TRUSTEE 0 00	0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."				
(a) Name and address of each employee paid more than \$50,000	Title, and average hours per week (b) devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
NONE				
Total number of other employees paid over \$50,000.				0

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services.		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3	0

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities.	1a	3,654,539
b	Average of monthly cash balances.	1b	56,557
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	3,711,096
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	3,711,096
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	55,666
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4.	5	3,655,430
6	Minimum investment return. Enter 5% of line 5.	6	182,772

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	182,772
2a	Tax on investment income for 2017 from Part VI, line 5.	2a	7,619
b	Income tax for 2017 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	7,619
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	175,153
4	Recoveries of amounts treated as qualifying distributions.	4	0
5	Add lines 3 and 4.	5	175,153
6	Deduction from distributable amount (see instructions).	6	0
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	175,153

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	180,000
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4.	4	180,000
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions).	5	0
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	180,000

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2016	(c) 2016	(d) 2017
1 Distributable amount for 2017 from Part XI, line 7				175,153
2 Undistributed income, if any, as of the end of 2017				
a Enter amount for 2016 only.			0	
b Total for prior years 20____, 20____, 20____		0		
3 Excess distributions carryover, if any, to 2017				
a From 2012. 27,442				
b From 2013.				
c From 2014. 62,710				
d From 2015. 11,920				
e From 2016. 2,548				
f Total of lines 3a through e.	104,620			
4 Qualifying distributions for 2017 from Part XII, line 4 ▶ \$ 180,000				
a Applied to 2016, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2017 distributable amount.				175,153
e Remaining amount distributed out of corpus	4,847			
5 Excess distributions carryover applied to 2017 (If an amount appears in column (d), the same amount must be shown in column (a))	0			0
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	109,467			
b Prior years' undistributed income Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d Subtract line 6c from line 6b Taxable amount—see instructions		0		
e Undistributed income for 2016 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2017 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2018				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).	0			
8 Excess distributions carryover from 2012 not applied on line 5 or line 7 (see instructions).	27,442			
9 Excess distributions carryover to 2018. Subtract lines 7 and 8 from line 6a	82,025			
10 Analysis of line 9				
a Excess from 2013.				
b Excess from 2014. 62,710				
c Excess from 2015. 11,920				
d Excess from 2016. 2,548				
e Excess from 2017. 4,847				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2017, enter the date of the ruling. ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

	Tax year	Prior 3 years			(e) Total
	(a) 2017	(b) 2016	(c) 2015	(d) 2014	
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . .					
c "Support" alternative test—enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or email address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i> See Additional Data Table				
Total			3a	180,000
b <i>Approved for future payment</i>				
Total			3b	0

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount		
1 Program service revenue						
a _____						
b _____						
c _____						
d _____						
e _____						
f _____						
g Fees and contracts from government agencies						
2 Membership dues and assessments. . . .						
3 Interest on savings and temporary cash investments						
4 Dividends and interest from securities. . . .			14	84,416		
5 Net rental income or (loss) from real estate						
a Debt-financed property.						
b Not debt-financed property.						
6 Net rental income or (loss) from personal property						
7 Other investment income.						
8 Gain or (loss) from sales of assets other than inventory			18	297,139		
9 Net income or (loss) from special events						
10 Gross profit or (loss) from sales of inventory						
11 Other revenue a _____						
b _____						
c _____						
d _____						
e _____						
12 Subtotal. Add columns (b), (d), and (e). .		0		381,555		0
13 Total. Add line 12, columns (b), (d), and (e).			13			381,555

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes[illegible]

Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
--	--	-----	----

--	--	--

1a(1)		No
1a(2)		No

--	--	--

1b(1)	No
--------------	-----------

1b(2)		No
--------------	--	-----------

1b(3)		No
--------------	--	-----------

1b(4)	No
-------	----

1b(5)		No
--------------	--	-----------

1b(6)		No
--------------	--	-----------

1c		No
----	--	----

value
ue

[illegible]

described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

(a) Name of organization	(b) Type of organization	(c) Description of relationship

**Sign
Here**

* * * * *

Title

(see instr)? ☒ Yes ☐ No

**Paid
Preparer
Use Only**

Print/Type preparer's name DAVID HOROWITZ CPA	Preparer's Signature	Date	Check if self-employed ► <input type="checkbox"/>	PTIN P00480861
Firm's name ► HARVEY & HOROWITZ PC CPAS				Firm's EIN ► 06-1187390
Firm's address ► 1813 SILAS DEANE HIGHWAY ROCKY HILL, CT 060671305				Phone no (860) 563-6443

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
100,000 UST NOTE	P	2017-07-07	2017-10-31
AMCAP A - 339 213 SHS	P	2008-04-30	2017-05-22
AMCAP A - 127 215 SHS	P	2008-04-30	2017-07-07
AMCAP A - 384 556 SHS	P	2008-06-20	2017-07-07
AMR MUT - 389 307 SHS	P	2009-12-02	2017-05-22
AMR MUT - 642 508 SHS	P	2009-12-02	2017-07-07
AMR MUT - 486 618 SHS	P	2009-12-02	2017-11-16
CAP WRLD GRW INC A - 307 125 SHS	P	2008-04-30	2017-07-07
FIRST EAGLE GLB A - 342 524 SHS	P	2011-09-08	2017-06-01
FIRST EAGLE GLB A - 864 157 SHS	P	2011-09-08	2017-07-07

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
100,000		100,000	0
10,000		6,394	3,606
3,729		2,398	1,331
11,271		6,764	4,507
15,000		9,020	5,980
25,000		14,887	10,113
20,000		11,275	8,725
15,000		13,145	1,855
20,000		16,095	3,905
49,810		40,607	9,203

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			0
			3,606
			1,331
			4,507
			5,980
			10,113
			8,725
			1,855
			3,905
			9,203

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
FIRST EAGLE GLB A - 3 296 SHS	P	2011-12-14	2017-07-07
FIRST EAGLE GLB A - 21 704 SHS	P	2011-12-14	2017-07-24
FIRST EAGLE GLB A - 29 000 SHS	P	2011-12-14	2017-07-24
FIRST EAGLE GLB A - 1 000 SHS	P	2012-12-14	2017-07-24
FIRST EAGLE GLB A - 1 000 SHS	P	2012-12-14	2017-07-24
FIRST EAGLE GLB A - 11 000 SHS	P	2012-12-14	2017-07-24
FIRST EAGLE GLB A - 23 000 SHS	P	2012-12-14	2017-07-24
FIRST EAGLE GLB A - 61 000 SHS	P	2012-12-14	2017-07-24
FIRST EAGLE GLB A - 448 244 SHS	P	2013-03-06	2017-07-24
FIRST EAGLE GLB A - 331 400 SHS	P	2013-03-06	2017-11-16

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
190		147	43
1,275		966	309
1,703		1,290	413
59		48	11
59		47	12
646		528	118
1,351		1,103	248
3,583		2,926	657
26,325		22,901	3,424
20,000		16,931	3,069

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			43
			309
			413
			11
			12
			118
			248
			657
			3,424
			3,069

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
FIRST EAGLE GLB A - 428 449 SHS	P	2013-03-06	2017-12-15
FIRST EAGLE US VAL A - 992 063 SHS	P	2013-03-06	2017-05-22
FIRST EAGLE US VAL A - 1,721 773 SHS	P	2013-03-06	2017-07-07
FIRST EAGLE US VAL A - 568 54 SHS	P	2013-12-18	2017-07-07
FIRST EAGLE US VAL A - 816 92 SHS	P	2013-12-18	2017-07-07
FIRST EAGLE US VAL A - 173 706 SHS	P	2013-12-18	2017-07-07
FIRST EAGLE US VAL A - 1,747 001 SHS	P	2014-06-16	2017-07-07
FIRST EAGLE US VAL A - 944 287 SHS	P	2014-06-16	2017-11-16
FIRST EAGLE US VAL A - 1 260 716 SHS	P	2014-06-16	2017-12-15
FIRST EAGLE US VAL A - 1,248 128 SHS	P	2014-06-16	2017-12-22

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
25,000		21,889	3,111
20,000		18,810	1,190
34,797		32,645	2,152
569		543	26
817		781	36
3,511		3,354	157
35,307		37,508	-2,201
20,000		20,274	-274
25,000		27,068	-2,068
25,000		26,797	-1,797

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			3,111
			1,190
			2,152
			26
			36
			157
			-2,201
			-274
			-2,068
			-1,797

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
FUNDAMENTAL INVS A - 3 404 SHS	P	2008-07-16	2017-05-22
FUNDAMENTAL INVS A - 164 833 SHS	P	2008-07-31	2017-05-22
FUNDAMENTAL INVS A - 281 167 SHS	P	2008-07-31	2017-07-07
FUNDAMENTAL INVS A - 5 000 SHS	P	2008-08-18	2017-07-07
FUNDAMENTAL INVS A - 13 000 SHS	P	2008-12-17	2017-07-07
FUNDAMENTAL INVS A - 1 000 SHS	P	2008-12-18	2017-07-07
FUNDAMENTAL INVS A - 8 000 SHS	P	2009-02-23	2017-07-07
FUNDAMENTAL INVS A - 1 000 SHS	P	2009-02-24	2017-07-07
FUNDAMENTAL INVS A - 7 000 SHS	P	2009-05-27	2017-07-07
FUNDAMENTAL INVS A - 277 053 SHS	P	2009-08-12	2017-07-07

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
202		130	72
9,798		6,288	3,510
16,589		10,727	5,862
295		186	109
767		329	438
59		25	34
472		176	296
59		22	37
413		188	225
16,346		8,148	8,198

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			72
			3,510
			5,862
			109
			438
			34
			296
			37
			225
			8,198

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
FUNDAMENTAL INVS A - 314 762 SHS	P	2009-08-12	2017-11-16
GRW OF AMER A - 209 924 SHS	P	2008-07-16	2017-05-22
GRW OF AMER A - 12 000 SHS	P	2008-12-23	2017-05-22
GRW OF AMER A - 204 152 SHS	P	2009-04-01	2017-05-22
GRW OF AMER A - 422 654 SHS	P	2009-04-01	2017-07-07
GRW OF AMER A - 374 194 SHS	P	2009-04-01	2017-11-16
GRW OF AMER A - 13 704 SHS	P	2009-06-25	2017-11-16
INTL GRW INC A - 309 554 SHS	P	2008-10-08	2017-07-07
INTL GRW INC A - 1 000 SHS	P	2008-12-17	2017-07-07
INTL GRW INC A - 3 000 SHS	P	2009-03-18	2017-07-07

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
20,000		9,257	10,743
9,854		6,520	3,334
563		238	325
9,583		4,079	5,504
20,000		8,445	11,555
19,293		7,476	11,817
707		314	393
9,872		7,268	2,604
32		23	9
96		62	34

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			10,743
			3,334
			325
			5,504
			11,555
			11,817
			393
			2,604
			9
			34

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
INTL GRW INC A - 0 024 SHS	P	2009-03-19	2017-07-07
INV CO OF AMER A - 514 801 SHS	P	2009-06-25	2017-07-07
5,000 ME ST HSG 4 0	P	2012-05-01	2017-05-24
5,000 MA ST HSG 4 711	P	2015-04-17	2017-01-03
5,000 MA ST HSG 4 711	P	2015-04-17	2017-11-15
NEW PERSP A - 484 262 SHS	P	2008-04-30	2017-07-07
NEW WRLD A - 249 709 SHS	P	2008-04-30	2017-07-07
5,000 NC ST 4 319	P	2012-07-13	2017-09-01
SMALLCAP WRLD A - 380 952 SHS	P	2008-04-30	2017-07-07
WASH MUT INVS A - 579 240 SHS	P	2008-04-30	2017-05-22

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1		1	0
20,000		11,212	8,788
5,000		5,000	0
5,000		5,124	-124
5,000		5,000	0
20,000		15,801	4,199
15,000		14,351	649
5,000		5,077	-77
20,000		14,282	5,718
25,000		18,489	6,511

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			0
			8,788
			0
			-124
			0
			4,199
			649
			-77
			5,718
			6,511

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
WASH MUT INVS A - 574 713 SHS	P	2008-04-30	2017-05-25
WASH MUT INVS A - 178 047 SHS	P	2008-04-30	2017-06-01
WASH MUT INVS A - 228 000 SHS	P	2008-06-20	2017-06-01
WASH MUT INVS A - 7 000 SHS	P	2008-06-23	2017-06-01
WASH MUT INVS A - 1 000 SHS	P	2008-06-25	2017-06-01
WASH MUT INVS A - 271 354 SHS	P	2008-07-16	2017-06-01
WASH MUT INVS A - 330 646 SHS	P	2008-07-16	2017-07-07
WASH MUT INVS A - 13 000 SHS	P	2008-09-22	2017-07-07
WASH MUT INVS A - 1 000 SHS	P	2008-09-23	2017-07-07
WASH MUT INVS A - 598 973 SHS	P	2008-10-08	2017-07-07

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
25,000		18,345	6,655
7,793		5,683	2,110
9,980		7,000	2,980
306		210	96
44		30	14
11,877		7,888	3,989
14,016		9,612	4,404
551		381	170
42		28	14
25,390		14,423	10,967

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			6,655
			2,110
			2,980
			96
			14
			3,989
			4,404
			170
			14
			10,967

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
WASH MUT INVS A - 442 282 SHS	P	2008-10-08	2017-11-16
WASH MUT INVS A - 204 745 SHS	P	2008-10-08	2017-12-05
WASH MUT INVS A - 29 000 SHS	P	2008-12-22	2017-12-05
WASH MUT INVS A - 80 000 SHS	P	2008-12-22	2017-12-05
WASH MUT INVS A - 1 000 SHS	P	2008-12-23	2017-12-05
WASH MUT INVS A - 36 000 SHS	P	2009-03-23	2017-12-05
WASH MUT INVS A - 251 924 SHS	P	2009-04-01	2017-12-05
WASH MUT INVS A - 547 405 SHS	P	2009-04-01	2017-12-22
CAPITAL GAINS DIVIDENDS	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
20,000		10,650	9,350
9,512		4,930	4,582
1,347		608	739
3,717		1,678	2,039
46		20	26
1,673		636	1,037
11,704		4,708	6,996
25,000		10,231	14,769
77,578			77,578


Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			9,350
			4,582
			739
			2,039
			26
			1,037
			6,996
			14,769
			77,578

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
CITY SEED INC817 GRAND AVE STE 101 NEW HAVEN, CT 06511	NONE	N/A	CHARITABLE PURPOSE OF RECIPIENT	25,000
LYSB59 LYME ST OLD LYME, CT 06371	NONE	N/A	CHARITABLE PURPOSE OF RECIPIENT	10,000
PENTACLE DANCE WORKS INC 75 BROAD ST SUITE 304 NEW YORK, NY 10004	NONE	N/A	CHARITABLE PURPOSE OF RECIPIENT	10,000
Total ▶ 3a				180,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
POET'S HOUSE10 RIVER TERRACE NEW YORK, NY 10282	NONE	N/A	CHARITABLE PURPOSE OF RECIPIENT	5,000
RAY OF LIGHT FARM232 TOWN ST EAST HADDAM, CT 06423	NONE	N/A	CHARITABLE PURPOSE OF RECIPIENT	10,000
SHORELINE SOUP KITCHEN336 MAIN ST OLD SAYBROOK, CT 06475	NONE	N/A	CHARITABLE PURPOSE OF RECIPIENT	10,000
Total ▶ 3a				180,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
VALLEY SHORE ANIMAL WELFARE 647 HORSE HILL RD WESTBROOK, CT 06498	NONE	N/A	CHARITABLE PURPOSE OF RECIPIENT	10,000
GRAND MONADNOCK YOUTH CHOIR PO BOX 54 PETERBOUROUGH, NH 034580054	NONE	N/A	CHARITABLE PURPOSE OF RECIPIENT	10,000
PAT MAC'S PACK10226 S LEAVITT ST CHICAGO, IL 60643	NONE	N/A	CHARITABLE PURPOSE OF RECIPIENT	10,000
Total ▶ 3a				180,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
HARTBEAT ENSEMBLE 360 FARMINGTON AVE HARTFORD, CT 06105	NONE	N/A	CHARITABLE PURPOSE OF RECIPIENT	10,000
UNITED WAY OF SOUTHEASTERN CONNECTICUT INC 283 STOADDARDS WHARF RD GALES FERRY, CT 06335	NONE	N/A	CHARITABLE PURPOSE OF RECIPIENT	10,000
THE NEW LONDON COMMUNITY MEAL CENTER INC 12 MOTAUKE AVE NEW LONDON, CT 06320	NONE	N/A	CHARITABLE PURPOSE OF RECIPIENT	10,000
Total 				180,000
3a				

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
WSHU5151 PARK AVE FAIRFIELD, CT 06825	NONE	N/A	CHARITABLE PURPOSE OF RECIPIENT	10,000
GRAMEAN FOUNDATION 1400 K ST NW SUITE 550 WASHINGTON, DC 20005	NONE	N/A	CHARITABLE PURPOSE OF RECIPIENT	20,000
START FRESH INC76 FEDERAL ST NEW LONDON, CT 06320	NONE	N/A	CHARITABLE PURPOSE OF RECIPIENT	20,000
Total ▶ 3a				180,000

TY 2017 Accounting Fees Schedule**Name:** CORNELIA AND MICHAEL BESSIE FOUNDATION**EIN:** 26-2341663**Accounting Fees Schedule**

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
HARVEY & HOROWITZ	7,400	0		0

TY 2017 Investments Corporate Bonds Schedule**Name:** CORNELIA AND MICHAEL BESSIE FOUNDATION**EIN:** 26-2341663**Investments Corporate Bonds Schedule**

Name of Bond	End of Year Book Value	End of Year Fair Market Value
50,000 FORD MOTOR CR CO 4.0% 2/20/24	50,000	49,740
1,000 JPMORGAN CHASE & CO 6.125%	25,400	26,650
50,000 VERIZON COMMS INC NOT CPN 4.4% 11/1/34	51,602	50,952
50,000 AT&T INC NOTE CPN 4.5% 5/15/35	53,893	49,704
20,000 VERIZON COMMS INC NOTE 4.40% 11/1/34	19,965	20,381
25,000 AT&T INC NOTE CPN 4.5% 5/15/35	25,125	24,852
60,000 MICROSOFT CORP NOTE CPN 4.2% 11/3/35	65,404	68,077
40,000 MICROSOFT CORP NOTE CPN 4.2% 11/3/35	44,948	45,385
35,000 MCDONALDS CORP CPN 4.7% 12/9/35	37,521	39,755
40,000 ANHEAUSER BUSCH INBEV FIN INC GTD NOTE CPN 4.7% 2/1/36	43,297	44,726
40,000 ANHEAUSER BUSCH INBEV FIN INC GTD NOTE CPN 4.7% 2/1/36	44,389	44,726

TY 2017 Investments Government Obligations Schedule**Name:** CORNELIA AND MICHAEL BESSIE FOUNDATION**EIN:** 26-2341663**US Government Securities - End
of Year Book Value:**

159,291

**US Government Securities - End
of Year Fair Market Value:**

155,763

**State & Local Government
Securities - End of Year Book
Value:**

1,491,690

**State & Local Government
Securities - End of Year Fair
Market Value:**

1,535,351

TY 2017 Investments - Other Schedule**Name:** CORNELIA AND MICHAEL BESSIE FOUNDATION**EIN:** 26-2341663**Investments Other Schedule 2**

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
1,769.381 AMERICAN FUNDS NEW WORLD A	AT COST	89,922	118,407
2,689.665 INTERNATIONAL GROWTH & INCOME	AT COST	80,057	94,084
2,111.374 CAPITAL WORLD GROWTH & INC	AT COST	86,769	107,912
3567.573 AMERICAN FUNDS AMCAP A	AT COST	74,283	112,343
2,218.785 AMERICAN FUNDS SMALLCAP WORLD A	AT COST	85,091	123,808
2,138.086 AMERICAN FUNDS FUNDAMENTAL INVS A	AT COST	84,311	133,010
2,292.429 AMERICAN FUNDS GROWTH FUND OF AMER A	AT COST	75,471	113,567
3,003.138 AMERICAN FUNDS INVMT CO OF AMER A	AT COST	90,061	121,297
2,659.029 AMERICAN FUNDS NEW PERSPECTIVE A	AT COST	71,697	114,764
2,402.057 AMERICAN FUNDS WASHINGTON MUTUAL A	AT COST	84,674	109,654
3,009.454 AMERICAN FUNDS AMERICAN MUTUAL A	AT COST	83,503	122,786
2,349.361 FIRST EAGLE GLOBAL FD	AT COST	126,158	138,753
6,419.125 FIRST EAGLE US VALUE	AT COST	130,205	128,960

TY 2017 Other Decreases Schedule

Name: CORNELIA AND MICHAEL BESSIE FOUNDATION
EIN: 26-2341663

Description	Amount
COST ADJUSTMENTS	2,465

TY 2017 Other Expenses Schedule**Name:** CORNELIA AND MICHAEL BESSIE FOUNDATION**EIN:** 26-2341663**Other Expenses Schedule**

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
WIRE TRANSFER FEE	25	0		0

TY 2017 Taxes Schedule**Name:** CORNELIA AND MICHAEL BESSIE FOUNDATION**EIN:** 26-2341663

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
EXCISE TAXES PAID	3,793	0		0
FOREIGN TAX PAID	624	624		0