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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.
▶ Information about Form 990-PF and its instructions is at *www.irs.gov/form990pf*.

OMB No 1545-0052

2017

Open to Public Inspection

For calendar year 2017, or tax year beginning 01-01-2017 , and ending 12-31-2017

Name of foundation
THE OWEN MOSCONE FOUNDATION

A Employer identification number
26-1888690

Number and street (or P O box number if mail is not delivered to street address)
150 PELICAN WAY

Room/suite

B Telephone number (see instructions)
(415) 448-8490

City or town, state or province, country, and ZIP or foreign postal code
SAN RAFAEL, CA 94901

C If exemption application is pending, check here ▶ ☐

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐

2 Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization ☑ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year *(from Part II, col (c), line 16)*▶$ 1,149,324

J Accounting method ☑ Cash ☐ Accrual ☐ Other (specify) ________
(Part I, column (d) must be on cash basis)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*

			(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)	51,000			
	2	Check ▶ ☐ if the foundation is **not** required to attach Sch B				
	3	Interest on savings and temporary cash investments	12	12		
	4	Dividends and interest from securities	20,764	20,764		
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	39,665			
	b	Gross sales price for all assets on line 6a 538,056				
	7	Capital gain net income (from Part IV, line 2)		39,665		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)	36,139	6,111		
	12	**Total.** Add lines 1 through 11	147,580	66,552		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc	0	0		0
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)				
	b	Accounting fees (attach schedule)				
	c	Other professional fees (attach schedule)				
	17	Interest				
	18	Taxes (attach schedule) (see instructions)	553	0		0
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses (attach schedule)	15,777	12,903		0
	24	**Total operating and administrative expenses.** Add lines 13 through 23	16,330	12,903		0
	25	Contributions, gifts, grants paid	210,000			210,000
	26	**Total expenses and disbursements.** Add lines 24 and 25	226,330	12,903		210,000
	27	Subtract line 26 from line 12				
	a	**Excess of revenue over expenses and disbursements**	-78,750			
	b	**Net investment income** (if negative, enter -0-)		53,649		
	c	**Adjusted net income**(if negative, enter -0-)				

Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

			Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing			
	2	Savings and temporary cash investments	100,830	109,251	109,251
	3	Accounts receivable ▶			
		Less allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶			
		Less allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)	301,486	259,859	299,480
	c	Investments—corporate bonds (attach schedule)			
	11	Investments—land, buildings, and equipment basis ▶			
		Less accumulated depreciation (attach schedule) ▶			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)	826,236	757,065	740,593
	14	Land, buildings, and equipment basis ▶			
		Less accumulated depreciation (attach schedule) ▶			
	15	Other assets (describe ▶)			
	16	**Total assets** (to be completed by all filers—see the instructions Also, see page 1, item I)	1,228,552	1,126,175	1,149,324
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	**Total liabilities**(add lines 17 through 22)	0	0	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here** ▶ ☑ **and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted			
	25	Temporarily restricted	1,228,552	1,126,175	
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☐ **and complete lines 27 through 31.**			
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	**Total net assets or fund balances** (see instructions)	1,228,552	1,126,175	
	31	**Total liabilities and net assets/fund balances** (see instructions)	1,228,552	1,126,175	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,228,552
2	Enter amount from Part I, line 27a	2	-78,750
3	Other increases not included in line 2 (itemize) ▶	3	0
4	Add lines 1, 2, and 3	4	1,149,802
5	Decreases not included in line 2 (itemize) ▶	5	23,627
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	1,126,175

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a See Additional Data Table			
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a See Additional Data Table			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
a See Additional Data Table			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	39,665
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☑ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2016	7,500	1,088,255	0 006892
2015	151,606	1,217,134	0 124560
2014	184,475	1,191,506	0 154825
2013	100,000	1,264,551	0 079079
2012	139,554	1,316,894	0 105972

2 Total of line 1, column (d)	2	0 471328
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 094266
4 Enter the net value of noncharitable-use assets for 2017 from Part X, line 5	4	1,157,830
5 Multiply line 4 by line 3	5	109,144
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	536
7 Add lines 5 and 6	7	109,680
8 Enter qualifying distributions from Part XII, line 4	8	210,000

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI **Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)**

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 Date of ruling or determination letter ________ **(attach copy of letter if necessary–see instructions)**			
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☑ and enter 1% of Part I, line 27b		1	536
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	0
3	Add lines 1 and 2		3	536
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	0
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-		5	536
6	Credits/Payments			
a	2017 estimated tax payments and 2016 overpayment credited to 2017	6a 0		
b	Exempt foreign organizations—tax withheld at source	6b		
c	Tax paid with application for extension of time to file (Form 8868)	6c		
d	Backup withholding erroneously withheld	6d 0		
7	Total credits and payments Add lines 6a through 6d		7	0
8	Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached		8	16
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶		9	552
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶		10	
11	Enter the amount of line 10 to be **Credited to 2018 estimated tax** ▶	**Refunded** ▶	11	

Part VII-A **Statements Regarding Activities**

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		No
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)?	1b		No
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ▶ $ 0 **(2)** On foundation managers ▶ $ 0			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ 0			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		No
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		No
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ DE, CA			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation*	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2017 or the taxable year beginning in 2017 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		No
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		No

Part VII-A Statements Regarding Activities (continued)

		Line	Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	

Website address ▶N/A

14 The books are in care of ▶CHRIS MOSCONE Telephone no ▶(415) 381-5588

Located at ▶235 HILLSIDE AVE MILL VALLEY CA ZIP+4 ▶94941

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —Check here . . . ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year . . . ▶ 15

		Line	Yes	No
16	At any time during calendar year 2017, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		No
	See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR) If "Yes", enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

			Line	Yes	No
1a	During the year did the foundation (either directly or indirectly)				
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☑ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?.	☐ Yes ☑ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☑ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☑ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?.	☐ Yes ☑ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days).	☐ Yes ☑ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?		1b		
	Organizations relying on a current notice regarding disaster assistance check here. ▶	☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2017?.		1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))				
a	At the end of tax year 2017, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2017?.	☐ Yes ☑ No			
	If "Yes," list the years ▶ 20____, 20____, 20____, 20____				
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions)		2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____				
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?.	☐ Yes ☑ No			
b	If "Yes," did it have excess business holdings in 2017 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2017).*		3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2017?		4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (Continued)

5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☑ No			
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?. . . . . . ☐ Yes ☑ No			
	(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☑ No			
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 4945(d)(4)(A)? (see instructions). . . . . ☐ Yes ☑ No			
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?. . . . . ☐ Yes ☑ No			
b	If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)?	**5b**		
	Organizations relying on a current notice regarding disaster assistance check here. . . . . ▶ ☐			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?. . . . . ☐ Yes ☐ No *If "Yes," attach the statement required by Regulations section 53 4945–5(d)*			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?. . . . . . ☐ Yes ☑ No			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? . . . . *If "Yes" to 6b, file Form 8870*	**6b**		No
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☑ No			
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?	**7b**		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
LINDA MOSCONE 235 HILLSIDE AVE MILL VALLEY, CA 94941	CEO AND TREASURER 5 00	0	0	0
CHRIS MOSCONE 235 HILLSIDE AVE MILL VALLEY, CA 94941	SECRETARY 5 00	0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	Title, and average hours per week (b) devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 . . . . ▶ 0

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over $50,000 for professional services. . . . . ▶ 0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments See instructions 3	
Total. Add lines 1 through 3 . . . . ▶	0

Part X **Minimum Investment Return** (All domestic foundations must complete this part Foreign foundations,see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities	1a	1,100,847
b	Average of monthly cash balances	1b	74,615
c	Fair market value of all other assets (see instructions)	1c	0
d	**Total** (add lines 1a, b, and c)	1d	1,175,462
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) — 1e: 0		
2	Acquisition indebtedness applicable to line 1 assets	2	0
3	Subtract line 2 from line 1d	3	1,175,462
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	17,632
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	1,157,830
6	**Minimum investment return.** Enter 5% of line 5	6	57,892

Part XI **Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6	1	57,892
2a	Tax on investment income for 2017 from Part VI, line 5 — 2a: 536		
b	Income tax for 2017 (This does not include the tax from Part VI) — 2b:		
c	Add lines 2a and 2b	2c	536
3	Distributable amount before adjustments Subtract line 2c from line 1	3	57,356
4	Recoveries of amounts treated as qualifying distributions	4	0
5	Add lines 3 and 4	5	57,356
6	Deduction from distributable amount (see instructions)	6	0
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1	7	57,356

Part XII **Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26	1a	210,000
b	Program-related investments—total from Part IX-B	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	210,000
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	536
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	209,464

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2016	(c) 2016	(d) 2017
1 Distributable amount for 2017 from Part XI, line 7					57,356
2 Undistributed income, if any, as of the end of 2017					
a Enter amount for 2016 only.				0	
b Total for prior years 20___ , 20___ , 20___			0		
3 Excess distributions carryover, if any, to 2017					
a From 2012.	28,397				
b From 2013.	70,058				
c From 2014.	125,950				
d From 2015.	92,537				
e From 2016.					
f Total of lines 3a through e.		316,942			
4 Qualifying distributions for 2017 from Part XII, line 4 ▶ $ 210,000					
a Applied to 2016, but not more than line 2a				0	
b Applied to undistributed income of prior years (Election required—see instructions).			0		
c Treated as distributions out of corpus (Election required—see instructions).		0			
d Applied to 2017 distributable amount.					57,356
e Remaining amount distributed out of corpus		152,644			
5 Excess distributions carryover applied to 2017 *(If an amount appears in column (d), the same amount must be shown in column (a))*		0			0
6 Enter the net total of each column as indicated below:					
a Corpus Add lines 3f, 4c, and 4e Subtract line 5		469,586			
b Prior years' undistributed income Subtract line 4b from line 2b			0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.			0		
d Subtract line 6c from line 6b Taxable amount —see instructions			0		
e Undistributed income for 2016 Subtract line 4a from line 2a Taxable amount—see instructions				0	
f Undistributed income for 2017 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2018					0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)		0			
8 Excess distributions carryover from 2012 not applied on line 5 or line 7 (see instructions)		28,397			
9 Excess distributions carryover to 2018. Subtract lines 7 and 8 from line 6a		441,189			
10 Analysis of line 9					
a Excess from 2013.	70,058				
b Excess from 2014.	125,950				
c Excess from 2015.	92,537				
d Excess from 2016.					
e Excess from 2017.	152,644				

Part XIV **Private Operating Foundations** (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2017, enter the date of the ruling. . . . . ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			**(e) Total**
	(a) 2017	**(b)** 2016	**(c)** 2015	**(d)** 2014	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter **(1)** Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test—enter **(1)** Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV **Supplementary Information (Complete this part only if the organization had $5,000 or more in assets at any time during the year—see instructions.)**

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☑ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or email address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
USC NORRIS COMPREHENSIVE CANCER CENTER 3601 TROUSDALE PARKWAY LOS ANGELES, CA 90089	N/A	PUBLIC CHARITABLE OR	NEUROBLASTOMA RESEARCH	100,000
UCSF BENIOFF CHILDREN'S HOSPITAL 220 MONTGOMERY STREET 5TH FLOOR SAN FRANCISCO, CA 94143	N/A	PUBLIC CHARITABLE OR	NEUROBLASTOMA RESEARCH	10,000
MEMORIAL SLOAN KETTERING CANCER CENTER 885 SECOND AVENUE 8TH FLOOR NEW YORK, NY 10017	N/A	PUBLIC CHARITABLE OR	NEUROBLASTOMA RESEARCH	100,000
Total . . . . ▶ **3a**				210,000
b *Approved for future payment*				
Total . . . . ▶ **3b**				0

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income (a) Business code	Unrelated business income (b) Amount	Excluded by section 512, 513, or 514 (c) Exclusion code	Excluded by section 512, 513, or 514 (d) Amount	(e) Related or exempt function income (See instructions)
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments. . . .					
3 Interest on savings and temporary cash investments			14	12	
4 Dividends and interest from securities. . . .			14	20,764	
5 Net rental income or (loss) from real estate					
a Debt-financed property.					
b Not debt-financed property.					
6 Net rental income or (loss) from personal property					
7 Other investment income.			14	36,139	
8 Gain or (loss) from sales of assets other than inventory			14	39,665	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e). .		0		96,580	0

13 **Total.** Add line 12, columns (b), (d), and (e). **13** 96,580

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)

Part XVII **Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501 (c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of			
(1) Cash.	1a(1)		No
(2) Other assets.	1a(2)		No
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization.	1b(1)		No
(2) Purchases of assets from a noncharitable exempt organization.	1b(2)		No
(3) Rental of facilities, equipment, or other assets.	1b(3)		No
(4) Reimbursement arrangements.	1b(4)		No
(5) Loans or loan guarantees.	1b(5)		No
(6) Performance of services or membership or fundraising solicitations.	1b(6)		No
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees.	1c		No

d If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line No	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☑ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

******	2018-11-06	******
Signature of officer or trustee	Date	Title

May the IRS discuss this return with the preparer shown below (see instr)? ☐ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ▶ ☐	PTIN
Firm's name ▶				Firm's EIN ▶
Firm's address ▶				Phone no

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
ACCENTURE PLC IRELAND CL A	P	2017-03-03	2017-05-16
ACCENTURE PLC IRELAND CL A	P	2017-03-03	2017-11-20
ADOBE SYSTEMS	P	2017-03-03	2017-05-16
ADOBE SYSTEMS	P	2017-06-28	2017-11-20
ADVANCE AUTO PARTS	P	2016-08-22	2017-02-13
ADVANCE AUTO PARTS	P	2016-08-22	2017-02-13
ADVANCE AUTO PARTS	P	2016-08-22	2017-03-03
ADVANCE AUTO PARTS	P	2016-08-22	2017-03-03
ADVANCE AUTO PARTS	P	2016-08-22	2017-03-03
ADVANCE AUTO PARTS	P	2016-09-07	2017-03-03

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
492		494	-2
1,467		1,234	233
690		600	90
1,274		1,002	272
812		796	16
162		159	3
1,866		1,866	0
1,710		1,710	0
311		311	0
311		311	0

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-2
			233
			90
			272
			16
			3
			0
			0
			0
			0

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
ADVANCE AUTO PARTS	P	2016-11-01	2017-03-03
ADVANCE AUTO PARTS	P	2017-02-22	2017-03-03
ADVANCE AUTO PARTS	P	2017-02-22	2017-03-03
ADVANCE AUTO PARTS	P	2017-02-23	2017-03-03
ADVANCE AUTO PARTS	P	2016-08-22	2017-07-17
ADVANCE AUTO PARTS	P	2016-08-22	2017-07-17
ADVANCE AUTO PARTS	P	2016-08-22	2017-07-17
ADVANCE AUTO PARTS	P	2016-08-29	2017-07-17
ADVANCE AUTO PARTS	P	2016-09-07	2017-07-17
ADVANCE AUTO PARTS	P	2016-09-19	2017-07-17

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,710		1,536	174
1,399		1,439	-40
466		466	0
155		158	-3
2,560		3,980	-1,420
1,229		1,940	-711
102		159	-57
1,126		1,734	-608
410		649	-239
205		304	-99

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			174
			-40
			0
			-3
			-1,420
			-711
			-57
			-608
			-239
			-99

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
ADVANCE AUTO PARTS	P	2016-10-05	2017-07-17
ADVANCE AUTO PARTS	P	2016-11-01	2017-07-17
ADVANCE AUTO PARTS	P	2017-03-22	2017-07-17
ADVANCE AUTO PARTS	P	2017-05-25	2017-07-17
ADVANCE AUTO PARTS	P	2017-06-07	2017-07-17
ADVANCE AUTO PARTS	P	2017-06-12	2017-07-17
ALIGN TECHNOLOGY	P	2017-03-03	2017-08-25
ALIGN TECHNOLOGY	P	2017-03-03	2017-08-28
ALIGN TECHNOLOGY	P	2017-03-03	2017-10-02
ALIGN TECHNOLOGY	P	2017-03-03	2017-10-03

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
205		310	-105
102		140	-38
307		458	-151
307		395	-88
410		533	-123
512		655	-143
866		517	349
700		414	286
562		310	252
559		310	249

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-105
			-38
			-151
			-88
			-123
			-143
			349
			286
			252
			249

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
ALIGN TECHNOLOGY	P	2017-03-03	2017-11-20
ALLERGAN PLC SHS	P	2016-08-22	2017-03-03
ALLERGAN PLC SHS	P	2016-08-22	2017-03-03
ALLERGAN PLC SHS	P	2016-08-25	2017-03-03
ALPHABET INC CL A	P	2016-08-22	2017-01-17
ALPHABET INC CL A	P	2016-08-22	2017-01-31
ALPHABET INC CL A	P	2016-08-22	2017-03-03
ALPHABET INC CL A	P	2016-09-07	2017-03-03
ALPHABET INC CL A	P	2016-08-22	2017-04-25
ALPHABET INC CL A	P	2016-08-22	2017-05-16

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,019		414	605
7,339		7,615	-276
245		254	-9
734		722	12
827		797	30
1,635		1,593	42
848		797	51
1,695		1,614	81
2,662		2,390	272
963		797	166

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			605
			-276
			-9
			12
			30
			42
			51
			81
			272
			166

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
ALPHABET INC CL A	P	2016-08-22	2017-06-02
ALPHABET INC CL A	P	2016-08-22	2017-06-26
ALPHABET INC CL A	P	2017-07-03	2017-11-20
ALPHABET INC CL A	P	2017-08-22	2017-11-20
ALPHABET INC CL C	P	2017-03-03	2017-05-16
ALPHABET INC CL C	P	2017-03-03	2017-11-20
AQR LONG-SHORT EQUITY	P	2016-08-22	2017-05-16
AQR LONG-SHORT EQUITY	P	2017-10-30	2017-11-20
AUTODESK INC DELAWARE	P	2016-08-22	2017-02-22
AUTODESK INC DELAWARE	P	2016-08-22	2017-03-03

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,981		1,593	388
1,950		1,593	357
1,036		926	110
1,036		938	98
942		828	114
1,019		828	191
9,240		8,639	601
7,169		7,212	-43
1,468		1,075	393
9,108		6,704	2,404

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			388
			357
			110
			98
			114
			191
			601
			-43
			393
			2,404

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
AUTODESK INC DELAWARE	P	2016-09-07	2017-03-03
AUTOMATIC DATA PROCESSING INC	P	2017-03-03	2017-05-16
AUTOMATIC DATA PROCESSING INC	P	2017-03-03	2017-11-20
AUTOZONE INC	P	2017-08-02	2017-12-05
CARTER'S	P	2017-06-27	2017-10-04
CARTER'S	P	2017-06-27	2017-10-05
CARTER'S	P	2017-06-27	2017-11-20
CARTER'S	P	2017-06-27	2017-11-30
CARTER'S	P	2017-06-27	2017-12-19
CDN PACIFIC RY LTD NEW	P	2016-12-16	2017-03-03

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
859		686	173
786		835	-49
1,216		1,148	68
705		545	160
486		442	44
2,005		1,855	150
320		265	55
649		530	119
793		618	175
4,762		4,646	116

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			173
			-49
			68
			160
			44
			150
			55
			119
			175
			116

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
CELGENE CORP	P	2016-08-22	2017-03-03
CELGENE CORP	P	2016-09-07	2017-03-03
CELGENE CORP	P	2016-08-22	2017-05-16
CISCO SYS INC	P	2016-08-22	2017-01-17
CISCO SYS INC	P	2016-08-22	2017-01-26
CISCO SYS INC	P	2016-08-22	2017-02-07
CISCO SYS INC	P	2016-08-22	2017-02-13
CISCO SYS INC	P	2016-08-22	2017-04-12
CISCO SYS INC	P	2016-08-22	2017-05-16
CISCO SYS INC	P	2016-08-22	2017-06-02

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
371		342	29
742		641	101
716		684	32
1,615		1,652	-37
461		459	2
533		520	13
887		857	30
912		857	55
787		704	83
1,079		1,040	39

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			29
			101
			32
			-37
			2
			13
			30
			55
			83
			39

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
COCA COLA CO	P	2016-11-15	2017-05-16
COCA COLA CO	P	2017-05-17	2017-11-20
COPART INC	P	2016-08-22	2014-01-10
COPART INC	P	2016-08-22	2014-04-06
COPART INC	P	2016-08-22	2017-05-16
COPART INC	P	2016-08-22	2017-05-19
COPART INC	P	2016-08-22	2017-06-16
COPART INC	P	2016-08-22	2017-07-17
COPART INC	P	2017-09-13	2017-09-13
COPART INC	P	2017-09-13	2017-09-13

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
921		867	54
1,138		1,103	35
795		734	61
1,200		1,049	151
659		577	82
1,395		1,259	136
1,622		1,363	259
1,613		1,363	250
907		849	58
648		606	42

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			54
			35
			61
			151
			82
			136
			259
			250
			58
			42

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
CROWN HLDGS INC (HOLDING CO)	P	2016-08-22	2017-05-16
DANAHER CORPORATION	P	2016-08-22	2017-03-03
DANAHER CORPORATION	P	2016-09-07	2017-03-03
DANAHER CORPORATION	P	2016-10-20	2017-03-03
DANAHER CORPORATION	P	2016-11-09	2017-03-03
DECKER OUTDOOR CORPORATION	P	2016-08-22	2017-01-30
DECKER OUTDOOR CORPORATION	P	2016-08-22	2017-02-09
DECKER OUTDOOR CORPORATION	P	2016-09-07	2017-02-09
DECKER OUTDOOR CORPORATION	P	2016-10-13	2017-02-09
DECKER OUTDOOR CORPORATION	P	2016-12-01	2017-02-09

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
793		753	40
3,994		3,717	277
434		398	36
2,431		2,247	184
1,736		1,585	151
402		474	-72
2,092		2,778	-686
51		62	-11
51		57	-6
612		720	-108

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			40
			277
			36
			184
			151
			-72
			-686
			-11
			-6
			-108

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
DELPHI AUTOMOTIVE PLC	P	2016-08-22	2017-01-26
DELPHI AUTOMOTIVE PLC	P	2016-08-22	2017-03-03
DELPHI AUTOMOTIVE PLC	P	2016-08-22	2017-03-03
DELPHI AUTOMOTIVE PLC	P	2016-09-07	2017-03-03
DELPHI AUTOMOTIVE PLC	P	2016-09-07	2017-03-03
DELPHI AUTOMOTIVE PLC	P	2016-09-07	2017-03-03
DELPHI AUTOMOTIVE PLC	P	2016-09-07	2017-03-03
DELPHI AUTOMOTIVE PLC	P	2016-09-07	2017-05-16
DELPHI AUTOMOTIVE PLC	P	2016-09-07	2017-06-05
DELPHI AUTOMOTIVE PLC	P	2017-07-14	2017-11-20

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
573		521	52
2,621		2,216	405
231		196	35
231		211	20
540		492	48
925		843	82
154		140	14
1,830		1,475	355
880		702	178
409		367	42

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			52
			405
			35
			20
			48
			82
			14
			355
			178
			42

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
DELPHI TECHNOLOGIES PLC	P	2017-07-14	2017-12-04
DELPHI TECHNOLOGIES PLC	P	2017-07-14	2017-12-08
DOLLAR GEN CROP NEW COM	P	2016-08-22	2017-03-03
DOLLAR GEN CROP NEW COM	P	2016-09-07	2017-03-03
DOLLAR GEN CROP NEW COM	P	2016-11-01	2017-03-03
DXC TECHNOLOGY COMPANY	P	2017-04-26	2017-11-20
DXC TECHNOLOGY COMPANY	P	2017-05-30	2017-11-20
EBAY INC	P	2016-08-22	2017-02-13
EBAY INC	P	2016-08-22	2017-04-12
EBAY INC	P	2016-08-22	2017-05-16

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
34		30	4
294		241	53
1,899		2,358	-459
584		577	7
877		827	50
98		76	22
490		392	98
306		275	31
789		704	85
761		673	88

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			4
			53
			-459
			7
			50
			22
			98
			31
			85
			88

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
EBAY INC	P	2017-07-21	2017-11-20
FACEBOOK INC CL-A	P	2016-09-07	2017-03-03
FACEBOOK INC CL-A	P	2016-08-22	2017-05-16
FIRST EAGLE OVERSEAS I	P	2016-08-22	2017-05-16
GARTNER INC	P	2017-03-03	2017-11-20
HOLOGIC INC	P	2016-08-22	2017-01-31
HOLOGIC INC	P	2016-08-22	2017-03-03
HOLOGIC INC	P	2016-09-07	2017-03-03
JOHN HANCOCK SEAPORT I	P	2017-10-25	2017-11-20
KELLOGG CO	P	2017-05-11	2017-09-28

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
992		1,021	-29
958		914	44
748		621	127
673		665	8
1,284		1,134	150
1,937		1,847	90
7,409		6,926	483
864		810	54
5,511		5,460	51
628		628	0

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-29
			44
			127
			8
			150
			90
			483
			54
			51
			0

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
KELLOGG CO	P	2017-05-11	2017-11-20
KELLOGG CO	P	2017-05-11	2017-12-15
KELLOGG CO	P	2017-05-11	2017-12-19
KELLOGG CO	P	2017-05-11	2017-12-19
KELLOGG CO	P	2017-09-08	2017-12-19
LAZARD FNDMNTL LG SHT PTF INST	P	2016-08-22	2017-05-16
MADISON SQUARE GARDEN CL A	P	2016-08-22	2017-02-13
MADISON SQUARE GARDEN CL A	P	2016-08-22	2017-04-10
MASTERCARD INC CL A	P	2017-03-03	2017-11-20
MEDTRONIC PLC SHS	P	2017-01-10	2017-05-16

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
591		636	-45
1,687		1,836	-149
131		141	-10
657		759	-102
1,512		1,565	-53
6,876		6,570	306
1,737		1,815	-78
402		363	39
748		558	190
671		596	75

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-45
			-149
			-10
			-102
			-53
			306
			-78
			39
			190
			75

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
MEDTRONIC PLC SHS	P	2017-05-17	2017-11-20
MEDTRONIC PLC SHS	P	2017-08-23	2017-11-20
MICROSOFT CORP	P	2017-09-05	2017-11-20
MOLSON COORS BREWING CO CL B	P	2017-04-06	2017-11-01
MOLSON COORS BREWING CO CL B	P	2017-05-04	2017-11-20
MOTOROLA SOLUTIONS INC	P	2016-08-22	2017-04-06
MOTOROLA SOLUTIONS INC	P	2016-08-22	2017-05-16
MOTOROLA SOLUTIONS INC	P	2016-08-22	2017-08-04
MOTOROLA SOLUTIONS INC	P	2017-09-08	2017-11-20
NESTLE SPON ADR REP REG SHR	P	2017-03-03	2017-05-16

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
712		748	-36
1,028		1,052	-24
824		736	88
237		288	-51
633		741	-108
757		687	70
1,008		917	91
1,564		1,298	266
1,294		1,193	101
667		592	75

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-36
			-24
			88
			-51
			-108
			70
			91
			266
			101
			75

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
NESTLE SPON ADR REP REG SHR	P	2017-03-03	2017-11-20
NEWELL BRANDS INC	P	2016-08-22	2017-03-03
NEWELL BRANDS INC	P	2016-09-07	2017-03-03
NIELSEN HLDGS PLC	P	2016-08-22	2017-03-03
NIELSEN HLDGS PLC	P	2016-09-07	2017-03-03
NIELSEN HLDGS PLC	P	2017-02-22	2017-03-03
NIKE INC B	P	2017-03-03	2017-05-16
NIKE INC B	P	2017-03-03	2017-11-20
NORTHERN TRUST CORP	P	2016-08-22	2017-03-03
NORTHERN TRUST CORP	P	2016-09-07	2017-03-03

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
937		815	122
7,509		8,169	-660
642		697	-55
6,565		7,862	-1,297
670		800	-130
983		988	-5
632		680	-48
1,479		1,417	62
5,237		4,046	1,191
444		349	95

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			122
			-660
			-55
			-1,297
			-130
			-5
			-48
			62
			1,191
			95

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
NORTHERN TRUST CORP	P	2016-11-01	2017-03-03
O'REILLY AUTOMOTIVE INC NEW	P	2017-03-03	2017-11-20
ORACLE CORP	P	2017-03-03	2017-05-16
ORACLE CORP	P	2017-09-25	2017-11-20
PENNEY J C CO	P	2016-08-22	2017-01-11
PENNEY J C CO	P	2016-09-07	2017-01-11
PFIZER INC	P	2016-08-22	2017-01-06
PFIZER INC	P	2016-08-22	2017-01-26
PFIZER INC	P	2016-08-22	2017-02-07
PFIZER INC	P	2016-08-22	2017-04-12

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,953		1,602	351
884		1,081	-197
678		640	38
1,178		1,152	26
557		795	-238
85		119	-34
1,608		1,673	-65
563		627	-64
514		558	-44
1,964		2,022	-58

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			351
			-197
			38
			26
			-238
			-34
			-65
			-64
			-44
			-58

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
PFIZER INC	P	2016-08-22	2017-05-04
PFIZER INC	P	2016-08-22	2017-05-04
PFIZER INC	P	2016-09-07	2017-05-04
PFIZER INC	P	2016-11-15	2017-05-04
PPG INDUSTRIES INC	P	2017-02-01	2017-06-12
PPG INDUSTRIES INC	P	2017-02-01	2017-07-24
PPG INDUSTRIES INC	P	2017-02-01	2017-10-20
PPG INDUSTRIES INC	P	2017-03-16	2017-11-20
PPG INDUSTRIES INC	P	2017-02-01	2017-12-15
PPG INDUSTRIES INC	P	2017-03-16	2017-12-15

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2,273		2,370	-97
835		871	-36
601		626	-25
2,873		2,814	59
1,538		1,406	132
1,261		1,205	56
348		301	47
460		415	45
4,139		3,615	524
460		415	45

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-97
			-36
			-25
			59
			132
			56
			47
			45
			524
			45

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
PROCTER & GAMBLE	P	2016-08-22	2017-02-13
PROCTER & GAMBLE	P	2016-08-22	2017-02-14
PROCTER & GAMBLE	P	2016-09-07	2017-02-14
PROGRESSIVE CORP OHIO	P	2017-02-03	2017-03-03
QUINTILES IMS HOLDINGS INC COM	P	2016-08-22	2017-03-03
QUINTILES IMS HOLDINGS INC COM	P	2016-09-07	2017-03-03
REGENERON PHARM	P	2017-03-03	2017-05-16
REGENERON PHARM	P	2017-03-03	2017-08-29
REGENERON PHARM	P	2017-03-03	2017-11-20
SABRE CORPORATION	P	2016-12-16	2017-03-03

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,228		1,218	10
6,498		6,435	63
527		529	-2
3,459		3,235	224
8,156		8,076	80
932		926	6
905		751	154
1,917		1,501	416
385		375	10
4,167		4,781	-614

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			10
			63
			-2
			224
			80
			6
			154
			416
			10
			-614

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
SCHLUMBERGER LTD	P	2016-08-22	2017-03-03
SCHLUMBERGER LTD	P	2016-09-07	2017-03-03
SCHLUMBERGER LTD	P	2017-10-25	2017-11-20
SKYWORKS SOLUTIONS INC	P	2016-08-22	2017-01-18
SKYWORKS SOLUTIONS INC	P	2016-08-22	2017-04-12
SKYWORKS SOLUTIONS INC	P	2016-08-22	2017-04-26
STARBUCKS CORP WASHINGTON	P	2017-03-03	2017-05-16
STARBUCKS CORP WASHINGTON	P	2017-03-03	2017-11-20
STEEL DYNAMICS INC	P	2017-10-06	2017-11-20
TJX COS INC NEW	P	2017-03-03	2017-04-03

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
7,524		7,616	-92
647		630	17
123		127	-4
865		799	66
1,274		945	329
1,239		872	367
719		685	34
1,253		1,256	-3
298		288	10
3,682		3,697	-15

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-92
			17
			-4
			66
			329
			367
			34
			-3
			10
			-15

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
TJX COS INC NEW	P	2017-03-03	2017-06-08
UNITED PARCEL SER INC CL-B	P	2017-02-14	2017-11-20
UNITED PARCEL SER INC CL-B	P	2017-07-28	2017-11-20
VIRTUS VONTOBEL EMRG MKT OPP I	P	2016-08-22	2017-05-16
VISA INC CL A	P	2016-09-01	2017-05-16
WABTEC	P	2017-10-12	2017-11-20
WALGREENS BOOTS ALLIANCE INC	P	2016-08-22	2017-05-05
WALGREENS BOOTS ALLIANCE INC	P	2016-08-22	2017-07-28
WALGREENS BOOTS ALLIANCE INC	P	2016-08-26	2017-07-28
WALGREENS BOOTS ALLIANCE INC	P	2016-09-07	2017-09-07

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
4,035		4,327	-292
566		545	21
340		327	13
10,765		9,955	810
931		814	117
370		377	-7
4,021		3,896	125
2,770		2,901	-131
79		79	0
901		910	-9

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-292
			21
			13
			810
			117
			-7
			125
			-131
			0
			-9

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
WALGREENS BOOTS ALLIANCE INC	P	2017-01-23	2017-09-14
WALGREENS BOOTS ALLIANCE INC	P	2017-01-23	2017-09-15
WELLS FARGO ABOLT RET I	P	2016-08-22	2017-05-16
WILLIS TOWERS WATSON PUB LTD	P	2016-08-22	2017-03-03
WILLIS TOWERS WATSON PUB LTD	P	2016-09-07	2017-03-03
ZOETIS INC CLASS-A	P	2016-08-22	2017-01-09
ZOETIS INC CLASS-A	P	2016-08-22	2017-01-26
ZOETIS INC CLASS-A	P	2016-08-22	2017-02-16
ZOETIS INC CLASS-A	P	2016-08-22	2017-06-02
ZOETIS INC CLASS-A	P	2016-09-07	2017-08-25

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
164		164	0
1,643		1,635	8
4,751		4,532	219
7,379		7,014	365
777		773	4
2,049		1,958	91
323		309	14
3,441		3,349	92
1,688		1,391	297
859		727	132

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			0
			8
			219
			365
			4
			91
			14
			92
			297
			132

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
ALPHABET INC CL A	P	2016-08-22	2017-08-31
ALPHABET INC CL A	P	2016-08-22	2017-10-20
ALPHABET INC CL A	P	2016-08-22	2017-11-09
CELGENE CORP	P	2016-08-22	2017-11-20
CENTER COAST MLP FOCUS I	P	2013-02-28	2017-05-16
CENTER COAST MLP FOCUS I	P	2013-02-28	2017-11-20
CISCO SYS INC	P	2016-09-07	2017-11-20
COPART INC	P	2016-08-22	2017-09-12
COPART INC	P	2016-08-22	2017-09-13
COPART INC	P	2016-09-07	2017-09-13

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
954		797	157
1,007		797	210
1,045		797	248
1,135		1,254	-119
765		773	-8
1,228		1,409	-181
1,494		1,304	190
551		446	105
356		288	68
842		664	178

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			157
			210
			248
			-119
			-8
			-181
			190
			105
			68
			178

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
CROWN HLDGS INC (HOLDING CO)	P	2016-09-07	2017-11-20
CROWN HLDGS INC (HOLDING CO)	P	2016-10-24	2017-11-20
CROWN HLDGS INC (HOLDING CO)	P	2016-08-22	2017-12-19
DELPHI AUTOMOTIVE PLC	P	2016-09-07	2017-10-03
DELPHI AUTOMOTIVE PLC	P	2016-09-07	2017-10-10
DELPHI TECHNOLOGIES PLC	P	2016-09-07	2017-12-08
DOLLAR GEN CORP NEW COM	P	2016-08-22	2017-11-20
FACEBOOK INC CL-A	P	2016-08-22	2017-11-20
FACEBOOK INC CL-A	P	2016-09-07	2017-11-20
FIRST EAGLE GLOBAL I	P	2015-03-04	2017-05-16

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
589		567	22
118		110	8
2,657		2,527	130
199		140	59
391		281	110
131		92	39
1,303		1,360	-57
2,144		1,490	654
357		261	96
1,335		1,249	86

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			22
			8
			130
			59
			110
			39
			-57
			654
			96
			86

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
FIRST EAGLE GLOBAL I	P	2015-03-04	2017-11-20
FIRST EAGLE GLOBAL I	P	2016-09-13	2017-11-20
FIRST EAGLE OVERSEAS I	P	2016-08-22	2017-11-20
FIRST EAGLE OVERSEAS I	P	2016-09-07	2017-11-20
LAZARD FNDMNTL LG SHT PTF INST	P	2016-08-22	2017-10-25
LAZARD FNDMNTL LG SHT PTF INST	P	2016-09-07	2017-10-25
MADISON SQUARE GARDEN CL A	P	2016-08-22	2017-11-20
MADISON SQUARE GARDEN CL A	P	2016-08-22	2017-11-28
MADISON SQUARE GARDEN CL A	P	2016-08-22	2017-12-14
MADISON SQUARE GARDEN CL A	P	2016-09-07	2017-12-14

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
10,210		9,148	1,062
153		142	11
963		921	42
4,662		4,499	163
105,201		101,762	3,439
9,691		9,323	368
431		363	68
1,711		1,452	259
839		726	113
630		539	91

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			1,062
			11
			42
			163
			3,439
			368
			68
			259
			113
			91

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
MOLSON COORS BREWING CO CL B	P	2016-09-07	2017-11-20
MOTOROLA SOLUTIONS INC	P	2016-08-22	2017-10-20
MOTOROLA SOLUTIONS INC	P	2016-08-22	2017-12-11
SKYWORKS SOLUTIONS INC	P	2016-08-22	2017-11-20
VIRTUS VONTOBEL EMRG MKT OPP I	P	2016-08-22	2017-11-20
VIRTUS VONTOBEL EMRG MKT OPP I	P	2016-09-07	2017-11-20
VISA INC CL A	P	2016-09-01	2017-09-25
VISA INC CL A	P	2016-09-01	2017-09-26
VISA INC CL A	P	2016-09-07	2017-11-20
VISA INC CL A	P	2016-10-20	2017-11-20

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
316		316	0
809		687	122
1,570		1,298	272
987		654	333
4,351		3,726	625
4,175		3,646	529
2,475		1,953	522
1,244		977	267
329		250	79
1,098		828	270

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			0
			122
			272
			333
			625
			529
			522
			267
			79
			270

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
WALGREENS BOOTS ALLIANCE INC	P	2016-08-26	2017-09-07
WALGREENS BOOTS ALLIANCE INC	P	2016-09-07	2017-09-12
WALGREENS BOOTS ALLIANCE INC	P	2016-09-08	2017-09-12
WALGREENS BOOTS ALLIANCE INC	P	2016-09-08	2017-09-14
WELLS FARGO ABSOLT RET I	P	2016-08-22	2017-11-20
WELLS FARGO ABSOLT RET I	P	2016-09-07	2017-11-20
ZOETIS INC CLASS-A	P	2016-08-22	2017-08-25
BLACKROCK GLOBAL ALLOCATION I	P	2009-09-10	2017-05-16
BLACKROCK GLOBAL ALLOCATION I	P	2010-01-12	2017-11-20
CAPITAL GAINS DIVIDENDS	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
492		477	15
827		827	0
414		425	-11
740		764	-24
1,761		1,619	142
7,807		7,269	538
2,333		1,958	375
5,249		4,814	435
9,754		9,028	726
15,366			15,366

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			15
			0
			-11
			-24
			142
			538
			375
			435
			726
			15,366

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TY 2017 Investments Corporate Stock Schedule

Name: THE OWEN MOSCONE FOUNDATION

EIN: 26-1888690

Name of Stock	End of Year Book Value	End of Year Fair Market Value
ACCENTURE PLC IRELAND CL A SH 53	6,543	8,114
ADOBE SYSTEMS SH 70	8,490	12,267
ALIGN TECHNOLOGY SH 28	2,895	6,221
ALPHABET INC CL A SH 14	11,138	14,748
ALPHABET INC CL C SH 11	9,104	11,510
APTIV PLC SH 54	4,260	4,581
AT&T INC SH 158	6,081	6,143
AUTOMATIC DATA PROCESSING INC SH 76	7,933	8,906
AUTOZONE INC SH 7	3,798	4,980
CARTER'S SH 21	1,855	2,467
CELGENE CORP SH 57	6,496	5,949
CISCO SYS INC SH 370	11,453	14,171
COCA COLA SH 283	11,746	12,984
CROWN HLDGS INC SH 110	5,904	6,188
DOLLAR GEN CORP SH 52	4,716	4,837
DXC TECHNOLOGY COMPANY SH 98	7,403	9,300
EBAY INC SH 286	8,986	10,794
FACEBOOK SH 64	7,948	11,293
GARTNER INC SH 45	4,640	5,542
KELLOGG CO	1,633	1,632
MASTERCARD INC CL A SH 25	2,789	3,784
MEDTRONIC PLC SHS 182	13,750	14,697
MICROSOFT CORP SH 79	5,737	6,758
MOLSON COORS BREWING CO SH 161	15,297	13,213
MOTOROLA SOLUTIONS SH 105	8,248	9,486
NESTLE SPON ADR REP REG SHR SH 69	5,110	5,932
NIKE INC B SH 137	7,767	8,569
O'REILLY AUTOMOTIVE INC NEW SH 30	7,579	7,216
ORACLE CORP SH 172	7,373	8,132
PRICELINE GRP INC COM NEW SH 4	6,939	6,951

Name of Stock	End of Year Book Value	End of Year Fair Market Value
REGENERON PHARM SH 16	6,006	6,015
SCHLUMBERGER LTD SH 43	2,685	2,898
SKYWORKS SOLUTIONS SH 62	4,612	5,887
STARBUCKS CORP WASHINGTON SH 125	7,138	7,179
STEEL DYNAMICS INC SH 74	2,668	3,191
UNITED PARCEL SER INC CL B SH 81	8,768	9,651
VISA INC SH 88	7,474	10,033
WABTEC SH 52	3,910	4,234
ZOETIS INC SH 42	2,987	3,027

TY 2017 Investments - Other Schedule

Name: THE OWEN MOSCONE FOUNDATION

EIN: 26-1888690

Investments Other Schedule 2

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
HATTERAS CORE ALTERNATIVES FUND LP	AT COST	51,517	0
HATTERAS CORE ALT FD SH 481	AT COST	19,214	26,999
AQR LONG SHORT EQUITY SH 12,056	AT COST	161,167	167,340
BLACKROCK GLOBAL ALLOCATION A SH 5,128	AT COST	94,613	101,589
CENTER COAST MLP FOCUS A SH 8,461	AT COST	72,556	62,949
FIRST EAGLE GLOBAL A SH 1,714	AT COST	93,420	101,655
FIRST EAGLE OVERSEAS SH 2,422	AT COST	59,783	61,089
JOHN HANCOCK SEAPORT I SH 4,981	AT COST	58,924	55,839
VIRTUS INSIGHT EMERG MKTS SH 5,082	AT COST	51,580	61,396
WELLS FARGO ABSOLT RET SH 8,932	AT COST	94,291	101,737

TY 2017 Other Decreases Schedule

Name: THE OWEN MOSCONE FOUNDATION

EIN: 26-1888690

Description	Amount
COST BASIS ADJUSTMENT NOT REFLECTED IN PY	23,627

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TY 2017 Other Expenses Schedule

Name: THE OWEN MOSCONE FOUNDATION

EIN: 26-1888690

Other Expenses Schedule

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
SERVICE FEES	10,052	10,052		0
SOI & DE FEE	25	0		0
MISCELLANEOUS	5,525	2,763		0
MISCELLANEOUS FEE	175	88		0

TY 2017 Other Income Schedule

Name: THE OWEN MOSCONE FOUNDATION

EIN: 26-1888690

Other Income Schedule

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
HATTERAS CORE ALTERNATIVES FUND LP	6,109	6,111	6,109
HATTERAS CORE ALTERNATIVES FUND LP	6,111	6,111	6,111
OTHER INCOME	30,030	0	30,030

TY 2017 Taxes Schedule

Name: THE OWEN MOSCONE FOUNDATION

EIN: 26-1888690

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FEDERAL TAX	518	0		0
CALIFORNIA TAX	35	0		0

Schedule B
(Form 990, 990-EZ, or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ **Attach to Form 990, 990-EZ, or 990-PF**
▶ **Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at** ***www irs gov/form990***

OMB No 1545-0047

2017

Name of the organization	Employer identification number
THE OWEN MOSCONE FOUNDATION	26-1888690

Organization type (check one)

Filers of:	**Section:**
Form 990 or 990-EZ	☐ 501(c)( ) (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	☐ 527 political organization
Form 990-PF	☑ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule.**
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule See instructions

General Rule

☑ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling $5,000 or more (in money or other property) from any one contributor Complete Parts I and II See instructions for determining a contributor's total contributions

Special Rules

☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1 Complete Parts I and II

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than $1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals Complete Parts I, II, and III

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc , purposes, but no such contributions totaled more than $1,000 If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc , purpose Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc , contributions totaling $5,000 or more during the year . . . . ▶ $ ____________

Caution. An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

Name of organization
THE OWEN MOSCONE FOUNDATION

Employer identification number
26-1888690

Part I **Contributors** (See instructions) Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	MARCIA SYUFY 156 HARRISON STREET SAUSALITO, CA94965	$ 50,000	Person ☑ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
-		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
-		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
-		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
-		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
-		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)

Name of organization
THE OWEN MOSCONE FOUNDATION

Employer identification number
26-1888690

Part II **Noncash Property** (See instructions) Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		$	

Name of organization	**Employer identification number**
THE OWEN MOSCONE FOUNDATION	26-1888690

Part III ***Exclusively*** **religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than $1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of $1,000 or less for the year. (Enter this information once. See instructions.)** ▶ **$** ____________

Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP 4	Relationship of transferor to transferee