Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ **Do not enter social security numbers on this form as it may be made public.**
▶ **Go to *www.irs.gov/Form990PF* for instructions and the latest information.**

OMB No 1545-0052

2017

Open to Public Inspection

For calendar year 2017 or tax year beginning , and ending

Name of foundation
THE KATE, BILL AND DIANE FDN

A Employer identification number
25-6899096

Number and street (or P O box number if mail is not delivered to street address) | Room/suite
Wells Fargo Bank N A Trust Tax Dept - 6325 S RAINBOW BLVD STE 300

B Telephone number (see instructions)
888-730-4933

City or town, state or province, country, and ZIP or foreign postal code
LAS VEGAS NV 89118

Foreign country name | *Foreign province/state/county* | *Foreign postal code*

C If exemption application is pending, check here ▶ ☐

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☒ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶ $ 771,502

J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) .....
(Part I, column (d) must be on cash basis)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I **Analysis of Revenue and Expenses** (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))

			(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)				
	2	Check ▶☒ if the foundation is **not** required to attach Sch B				
	3	Interest on savings and temporary cash investments				
	4	Dividends and interest from securities	13,141	13,009		
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	31,653			
	b	Gross sales price for all assets on line 6a 222,214				
	7	Capital gain net income (from Part IV, line 2)		31,653		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)				
	12	**Total.** Add lines 1 through 11	44,794	44,662	0	
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc	11,711	9,369		2,342
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)				
	b	Accounting fees (attach schedule)	898			898
	c	Other professional fees (attach schedule)				
	17	Interest				
	18	Taxes (attach schedule) (see instructions)	406	220		
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings	40			40
	22	Printing and publications				
	23	Other expenses (attach schedule)				
	24	**Total operating and administrative expenses.** Add lines 13 through 23	13,055	9,589	0	3,280
	25	Contributions, gifts, grants paid	31,700			31,700
	26	**Total expenses and disbursements.** Add lines 24 and 25	44,755	9,589	0	34,980
	27	Subtract line 26 from line 12				
	a	**Excess of revenue over expenses and disbursements**	39			
	b	**Net investment income** (if negative, enter -0-)		35,073		
	c	**Adjusted net income** (if negative, enter -0-)			0	

SCANNED JUL 11 2018
RECEIVED JUL 11 2018 OGDEN UT

Part II Balance Sheets	Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year (a) Book Value	End of year (b) Book Value	End of year (c) Fair Market Value
Assets	1 Cash—non-interest-bearing			
	2 Savings and temporary cash investments	42,808	45,468	45,468
	3 Accounts receivable ▶			
	Less allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶			
	Less allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments—U S and state government obligations (attach schedule)			
	b Investments—corporate stock (attach schedule)			
	c Investments—corporate bonds (attach schedule)			
	11 Investments—land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶			
	12 Investments—mortgage loans			
	13 Investments—other (attach schedule)	611,515	608,563	726,034
	14 Land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶			
	15 Other assets (describe ▶)			
	16 **Total assets** (to be completed by all filers—see the instructions Also, see page 1, item I)	654,323	654,031	771,502
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶)			
	23 **Total liabilities** (add lines 17 through 22)	0	0	
Net Assets or Fund Balances	**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26, and lines 30 and 31.**			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	654,323	654,031	
	28 Paid-in or capital surplus, or land, bldg , and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
	30 **Total net assets or fund balances** (see instructions)	654,323	654,031	
	31 **Total liabilities and net assets/fund balances** (see instructions)	654,323	654,031	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	654,323
2	Enter amount from Part I, line 27a	2	39
3	Other increases not included in line 2 (itemize) ▶ ROUNDING	3	4
4	Add lines 1, 2, and 3	4	654,366
5	Decreases not included in line 2 (itemize) ▶ See Attached Statement	5	335
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	654,031

Part IV Capital Gains and Losses for Tax on Investment Income

	a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	See Attached Statement			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) ((e) plus (f) minus (g))
a				
b				
c				
d				
e				

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a				
b				
c				
d				
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 }	2	31,653
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) See instructions If (loss), enter -0- in Part I, line 8	3	0

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No
If "Yes," the foundation doesn't qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2016	37,384	704,094	0 053095
2015	38,021	756,196	0 050279
2014	37,346	794,836	0 046986
2013	36,812	766,075	0 048053
2012	34,979	727,559	0 048077

2	**Total** of line 1, column (d)	2	0 246490
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5 0, or by the number of years the foundation has been in existence if less than 5 years	3	0 049298
4	Enter the net value of noncharitable-use assets for 2017 from Part X, line 5	4	738,386
5	Multiply line 4 by line 3	5	36,401
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	351
7	Add lines 5 and 6	7	36,752
8	Enter qualifying distributions from Part XII, line 4	8	34,980

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)			
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b	1	701	
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations, enter 4% of Part I, line 12, col (b)			
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-)	2	0	
3	Add lines 1 and 2	3	701	
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-)	4		
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-	5	701	
6	Credits/Payments			
a	2017 estimated tax payments and 2016 overpayment credited to 2017 — 6a: 191			
b	Exempt foreign organizations—tax withheld at source — 6b:			
c	Tax paid with application for extension of time to file (Form 8868) — 6c:			
d	Backup withholding erroneously withheld — 6d:			
7	Total credits and payments Add lines 6a through 6d	7	191	
8	Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached	8		
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	510	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	0	
11	Enter the amount of line 10 to be **Credited to 2018 estimated tax** ▶ **Refunded** ▶	11	0	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition	1b		X
	If the answer is "Yes" to **1a** or **1b,** attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ▶ $ _____ (2) On foundation managers ▶$ _____			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ _____			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b	N/A	
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by *General Instruction T*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	7	X	
8a	Enter the states to which the foundation reports or with which it is registered See instructions ▶ MD			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G*? If "No," attach explanation	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2017 or the tax year beginning in 2017? See the instructions for Part XIV If "Yes," complete Part XIV	9		X
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		X

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule See instructions	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement See instructions	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ WELLS FARGO BANK N A Telephone no ▶ 888-730-4933
Located at ▶ 6325 S RAINBOW BLVD STE 300 LAS VEGAS NV ZIP+4 ▶ 89118

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041**—check here . . ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year . . ▶ 15 N/A

			Yes	No
16	At any time during calendar year 2017, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? . .	16		X
	See the instructions for exceptions and filing requirements for FinCEN Form 114 If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

			Yes	No
	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			
1a	During the year, did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☒ Yes ☐ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance? See instructions Organizations relying on a current notice regarding disaster assistance, check here ▶ ☐	1b		X
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2017?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2017, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2017? ☐ Yes ☒ No			
	If "Yes," list the years ▶ 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions)	2b	N/A	
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2017 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2017)	3b	N/A	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2017?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a	During the year, did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No			
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No			
	(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No			
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 4945(d)(4)(A)? *See instructions* ☐ Yes ☒ No			
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, *or for the prevention of cruelty to children or animals*? ☐ Yes ☒ No			
b	If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance? See instructions .	5b	N/A	
	Organizations relying on a current notice regarding disaster assistance, check here . . ▶ ☐			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? . N/A ☐ Yes ☐ No			
	If "Yes," attach the statement required by Regulations section 53 4945–5(d)			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums *on a personal benefit contract*? ☐ Yes ☒ No			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	6b		X
	If "Yes" to 6b, file Form 8870			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No			
b	If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?	7b	N/A	

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, and foundation managers and their compensation. See instructions.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Wells Fargo Bank, N A 6325 S RAINBOW BLVD STE 300 LAS VEGAS, NV 89	TRUSTEE SEE ATTACHED	11,711		

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

3 Five highest-paid independent contractors for professional services. See instructions. If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over $50,000 for professional services ▶		

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 NONE	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	
2	
All other program-related investments See instructions	
3 NONE	
Total. Add lines 1 through 3 ▶	0

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities	1a	718,086
b	Average of monthly cash balances	1b	31,544
c	Fair market value of all other assets (see instructions)	1c	
d	**Total** (add lines 1a, b, and c)	1d	749,630
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	749,630
4	Cash deemed held for charitable activities. Enter 1½ % of line 3 (for greater amount, see instructions)	4	11,244
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4	5	738,386
6	**Minimum investment return.** Enter 5% of line 5	6	36,919

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here ▶ ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6			1	36,919
2a	Tax on investment income for 2017 from Part VI, line 5	2a	701		
b	Income tax for 2017 (This does not include the tax from Part VI)	2b			
c	Add lines 2a and 2b			2c	701
3	Distributable amount before adjustments Subtract line 2c from line 1			3	36,218
4	Recoveries of amounts treated as qualifying distributions			4	
5	Add lines 3 and 4			5	36,218
6	Deduction from distributable amount (see instructions)			6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1			7	36,218

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26	1a	34,980
b	Program-related investments—total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	34,980
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b See instructions	5	
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	34,980

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2016	(c) 2016	(d) 2017
1 Distributable amount for 2017 from Part XI, line 7				36,218
2 Undistributed income, if any, as of the end of 2017				
a Enter amount for 2016 only			31,744	
b Total for prior years 20___, 20___, 20___				
3 Excess distributions carryover, if any, to 2017:				
a From 2012				
b From 2013				
c From 2014				
d From 2015				
e From 2016				
f **Total** of lines 3a through e	0			
4 Qualifying distributions for 2017 from Part XII, line 4 ▶ $ 34,980				
a Applied to 2016, but not more than line 2a			31,744	
b Applied to undistributed income of prior years (Election required—see instructions)				
c Treated as distributions out of corpus (Election required—see instructions)				
d Applied to 2017 distributable amount				3,236
e Remaining amount distributed out of corpus				
5 Excess distributions carryover applied to 2017 (If an amount appears in column (d), the same amount must be shown in column (a).)				
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0			
b Prior years' undistributed income Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount—see instructions				
e Undistributed income for 2016 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2017 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2018				32,982
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required—see instructions)				
8 Excess distributions carryover from 2012 not applied on line 5 or line 7 (see instructions)				
9 **Excess distributions carryover to 2018.** Subtract lines 7 and 8 from line 6a				
10 Analysis of line 9				
a Excess from 2013				
b Excess from 2014				
c Excess from 2015				
d Excess from 2016				
e Excess from 2017				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) **N/A**

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2017, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2017	(b) 2016	(c) 2015	(d) 2014	(e) Total
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					0
b 85% of line 2a					0
c Qualifying distributions from Part XII, line 4 for each year listed					0
d Amounts included in line 2c not used directly for active conduct of exempt activities					0
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					0
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter (1) Value of all assets					0
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					0
b "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					0
c "Support" alternative test—enter (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					0
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					0
(3) Largest amount of support from an exempt organization					0
(4) Gross investment income					0

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year—see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc , to individuals or organizations under other conditions, complete items 2a, b, c, and d See instructions

a The name, address, and telephone number or email address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information *(continued)*

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
See Attached Statement				
Total			▶ **3a**	31,700
b *Approved for future payment*				
NONE				
Total			▶ **3b**	0

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	Related or exempt function income (See instructions)
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	13,141	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	31,653	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)		0		44,794	0
13 **Total.** Add line 12, columns (b), (d), and (e)				13	44,794

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)
	Not Applicable

Part XVII Information Regarding Transfers to and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?		
a	Transfers from the reporting foundation to a noncharitable exempt organization of		
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions		
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

▶ [signature] S V P — Signature of officer or trustee

4/24/2018 — Date

▶ SVP Wells Fargo Bank N A — Title

May the IRS discuss this return with the preparer shown below? See instructions ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☒ if self-employed	PTIN
JOSEPH J CASTRIANO	[signature]	4/24/2018		P01251603

Firm's name ▶ PricewaterhouseCoopers, LLP — Firm's EIN ▶ 13-4008324

Firm's address ▶ 600 GRANT STREET, PITTSBURGH, PA 15219-2777 — Phone no 412-355-6000

Supplemental Information:

Part VIII: Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors.

Wells Fargo Bank N.A
6325 S Rainbow Blvd STE 300
Las Vegas, NV 89118

The compensation reported in column (c) is calculated based on periodic market values and/or the applicable fee agreement. It is not determined solely on an hourly basis. Corporate Trustee services include, but are not limited to, administrative services such as fiduciary accounting, custody of assets, complying with tax filing requirements, complying with distribution provisions, and complying with federal and state laws applicable to private foundations, plus asset management services such as creating asset allocation strategies, investment reporting and reallocating and rebalancing of portfolios as necessary.

Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year

Recipient(s) paid during the year

Name
WESTERN MARYLAND FOOD BANK, INC
Street
PO BOX 243

City	State	Zip Code	Foreign Country
CMBERLAND	MD	21501	

Relationship	Foundation Status
NONE	PC

Purpose of grant/contribution	Amount
GENERAL OPERATING	5,000

Name
EMMANUEL EPISCOPAL CHURCH
Street
16 WASHINGTON ST

City	State	Zip Code	Foreign Country
CUMBERLAND	MD	21502	

Relationship	Foundation Status
NONE	PC

Purpose of grant/contribution	Amount
GENERAL OPERATING	5,000

Name
EVERGREEN HERITAGE CENTER FOUNDATION
Street
101 BRADDOCK HEIGHTS

City	State	Zip Code	Foreign Country
FROSTBURG	MD	21532	

Relationship	Foundation Status
NONE	PC

Purpose of grant/contribution	Amount
GENERAL OPERATING	5,000

Name
CUMBERLAND YMCA
Street
601 KELLY RD

City	State	Zip Code	Foreign Country
CUMBERLAND	MD	21502	

Relationship	Foundation Status
NONE	PC

Purpose of grant/contribution	Amount
GENERAL OPERATING	3,200

Name
THE MARYLAND SALEM CHILDRENS TRUST, INC
Street
605 SALEM DR

City	State	Zip Code	Foreign Country
FROSTBURG	MD	21532	

Relationship	Foundation Status
NONE	PC

Purpose of grant/contribution	Amount
GENERAL OPERATING	4,000

Name
SOUTH PENN ELEMENTARY SCHOOL
Street
500 E 2ND ST

City	State	Zip Code	Foreign Country
CUMBERLAND	MD	21502	

Relationship	Foundation Status
NONE	PC

Purpose of grant/contribution	Amount
GENERAL OPERATING	4,500

Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year

Recipient(s) paid during the year

Name
WESTERN MARYLAND HEALTH SYSTEM
Street
12500 WILLOWBROOK RD

City	State	Zip Code	Foreign Country
CUMBERLAND	MD	21502	

Relationship	Foundation Status
NONE	PC

Purpose of grant/contribution	Amount
GENERAL OPERATING	5,000

Name

Street

City	State	Zip Code	Foreign Country

Relationship	Foundation Status

Purpose of grant/contribution	Amount

Name

Street

City	State	Zip Code	Foreign Country

Relationship	Foundation Status

Purpose of grant/contribution	Amount

Name

Street

City	State	Zip Code	Foreign Country

Relationship	Foundation Status

Purpose of grant/contribution	Amount

Name

Street

City	State	Zip Code	Foreign Country

Relationship	Foundation Status

Purpose of grant/contribution	Amount

Name

Street

City	State	Zip Code	Foreign Country

Relationship	Foundation Status

Purpose of grant/contribution	Amount

Part I, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

	Amount
Long Term CG Distributions	18,119
Short Term CG Distributions	0

	Totals	Gross Sales	Cost or Other Basis, Expenses, Depreciation and Adjustments	Net Gain or Loss
Capital Gains/Losses		222,214	190,561	31,653
Other sales		0	0	0

	Description	CUSIP #	Check "X" to include in Part IV	Purchaser	Check "X" if Purchaser is a Business	Acquisition Method	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Valuation Method	Expense of Sale and Cost of Improvements	Depreciation	Adjustments	Net Gain or Loss
1	INV BALANCE RISK COMM ST	00888Y508	X				8/26/2016	1/19/2017	7,249	7,207				0	42
2	AMER CENT SMALL CAP GRV	025083320	X				7/23/2015	1/19/2017	1,537	1,592				0	-55
3	AMER CENT SMALL CAP GRV	025083320	X				7/23/2015	5/24/2017	11,779	11,309				0	470
4	ARTISAN INTERNATIONAL FD	04314H402	X				3/16/2010	4/21/2017	24,992	18,022				0	6,970
5	ARTISAN INTERNATIONAL FD	04314H402	X				1/19/2017	4/21/2017	1,402	1,328				0	74
6	ARTISAN MID CAP FUND-INS	04314H600	X				1/22/2015	7/11/2017	1,194	1,264				0	-70
7	ARTISAN MID CAP FUND-INS	04314H600	X				7/11/2014	7/11/2017	185	214				0	-29
8	ARTISAN MID CAP FUND-INS	04314H600	X				2/11/2013	7/11/2017	1,417	1,310				0	107
9	BLACKROCK GL L/S CREDIT-	091936732	X				10/27/2015	1/19/2017	803	822				0	-19
10	CREDIT SUISSE COMM RET S	22544R305	X				1/23/2014	1/19/2017	859	1,209				0	-350
11	CREDIT SUISSE COMM RET S	22544R305	X				1/22/2015	1/19/2017	3,821	4,358				0	-537
12	CREDIT SUISSE COMM RET S	22544R305	X				4/21/2015	1/19/2017	1,474	1,667				0	-193
13	CREDIT SUISSE COMM RET S	22544R305	X				11/13/2015	1/19/2017	1,302	1,203				0	99
14	DODGE & COX INT'L STOCK I	256206103	X				3/16/2010	1/19/2017	1,178	981				0	197
15	DODGE & COX INT'L STOCK I	256206103	X				1/22/2016	7/11/2017	2,289	1,704				0	585
16	DODGE & COX INT'L STOCK I	256206103	X				1/22/2016	10/23/2017	750	524				0	226
17	DODGE & COX INCOME FD C	256210105	X				10/5/2016	4/21/2017	4,366	4,398				0	-32
18	EATON VANCE GLOBAL MAC	277923728	X				10/27/2015	10/23/2017	6,440	6,353				0	87
19	EATON VANCE GLOBAL MAC	277923728	X				4/22/2016	10/23/2017	502	489				0	13
20	EATON VANCE GLOBAL MAC	277923728	X				8/26/2016	10/23/2017	14,809	14,701				0	108
21	JP MORGAN MID CAP VALUE	339128100	X				6/20/2014	10/23/2017	374	354				0	20
22	JP MORGAN MID CAP VALUE	339128100	X				7/5/2014	10/23/2017	164	157				0	7
23	JP MORGAN MID CAP VALUE	339128100	X				4/24/2014	10/23/2017	102	92				0	10
24	HARBOR CAPITAL APRCTION	411511504	X				7/23/2015	1/19/2017	4,939	5,600				0	-661
25	HARBOR CAPITAL APRCTION	411511504	X				7/23/2015	4/21/2017	577	613				0	-36
26	HARBOR CAPITAL APRCTION	411511504	X				8/28/2015	4/21/2017	2,374	2,364				0	10
27	HARBOR CAPITAL APRCTION	411511504	X				8/28/2015	7/11/2017	5,587	5,193				0	394
28	HARBOR CAPITAL APRCTION	411511504	X				10/24/2014	7/11/2017	535	478				0	57
29	HARBOR CAPITAL APRCTION	411511504	X				8/26/2016	10/23/2017	5,185	4,274				0	911
30	JPMORGAN HIGH YIELD FUN	4812C0803	X				4/22/2016	1/19/2017	1,115	1,065				0	50
31	MFS VALUE FUND-CLASS I #I	552983694	X				7/23/2015	1/19/2017	658	646				0	12
32	MFS VALUE FUND-CLASS I #I	552983694	X				7/5/2014	1/19/2017	3,177	3,123				0	54
33	MFS VALUE FUND-CLASS I #I	552983694	X				8/26/2016	1/19/2017	5,355	5,278				0	77
34	MFS VALUE FUND-CLASS I #I	552983694	X				6/20/2014	7/11/2017	4,279	3,815				0	464
35	MERGER FUND-INST #301	589509207	X				7/22/2010	10/23/2017	6,300	6,143				0	157
36	MERGER FUND-INST #301	589509207	X				8/26/2016	10/23/2017	8,808	6,541				0	267
37	MERGER FUND-INST #301	589509207	X				5/24/2017	10/23/2017	1,958	1,934				0	24
38	ASG GLOBAL ALTERNATIVES	63872T885	X				7/12/2013	1/19/2017	761	851				0	-90
39	NEUBERGER BERMAN LONG	64128R608	X				6/20/2014	1/19/2017	457	453				0	4
40	OPPENHEIMER DEVELOPING	683974604	X				8/12/2011	4/21/2017	2,038	1,806				0	232
41	OPPENHEIMER DEVELOPING	683974604	X				8/12/2011	7/11/2017	2,034	1,687				0	347
42	OPPENHEIMER DEVELOPING	683974604	X				7/22/2010	7/11/2017	1,213	938				0	275
43	OPPENHEIMER DEVELOPING	683974604	X				7/22/2010	10/23/2017	3,471	2,452				0	1,019
44	BOSTON P LNG/SHRT RES-IN	74925K581	X				7/12/2013	10/23/2017	5,882	4,731				0	1,131
45	BOSTON P LNG/SHRT RES-IN	74925K581	X				5/24/2017	10/23/2017	5,695	5,498				0	197
46	HARBOR CAPITAL APRCTION	411511504	X				10/24/2014	10/23/2017	35	29				0	6
47	JPMORGAN HIGH YIELD FUN	4812C0803	X				1/23/2014	1/19/2017	636	692				0	-56
48	JPMORGAN HIGH YIELD FUN	4812C0803	X				12/19/2014	1/19/2017	13,601	13,913				0	-312
49	JPMORGAN HIGH YIELD FUN	4812C0803	X				8/26/2016	1/19/2017	5,402	5,373				0	29
50	T ROWE PR OVERSEAS STO	77956H435	X				4/21/2017	7/11/2017	1,334	1,266				0	68
51	T ROWE PR OVERSEAS STO	77956H435	X				4/21/2017	10/23/2017	1,727	1,490				0	237
52	T ROWE PRICE INST FLOAT I	77958B402	X				1/23/2014	1/19/2017	1,497	1,530				0	-33
53	T ROWE PRICE INST FLOAT I	77958B402	X				4/24/2014	1/19/2017	4,054	4,114				0	-60
54	T ROWE PRICE INST FLOAT I	77958B402	X				8/26/2016	1/19/2017	2,411	2,390				0	21
55	T ROWE PRICE INST FLOAT I	77958B402	X				4/22/2016	1/19/2017	652	640				0	12
56	STERLING CAPITAL STRATTO	85917K546	X				6/20/2014	1/19/2017	1,397	1,329				0	68
57	STERLING CAPITAL STRATTO	85917K546	X				6/20/2014	5/24/2017	10,497	9,702				0	795
58	STERLING CAPITAL STRATTO	85917K546	X				4/21/2017	5/24/2017	502	495				0	7
59	STERLING CAPITAL STRATTO	85917K546	X				6/20/2014	5/24/2017	162	150				0	12
60	STERLING CAPITAL STRATTO	85917K546	X				8/26/2016	10/23/2017	822	707				0	115

Part I, Line 16b (990-PF) - Accounting Fees

		898	0	0	898
	Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes (Cash Basis Only)
1	TAX PREP FEES	898			898

Part I, Line 18 (990-PF) - Taxes

		406	220	0	0
	Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
1	FOREIGN TAX WITHHELD	220	220		
2	ESTIMATED EXCISE PAYMENTS	186			

Part II, Line 13 (990-PF) - Investments - Other

			0	608,563	726,034
	Asset Description	Basis of Valuation	Book Value Beg. of Year	Book Value End of Year	FMV End of Year
1				0	
2	DODGE & COX INCOME FD COM 147		0	28,747	30,502
3	HARBOR CAPITAL APRCTION-INST 2012		0	43,059	78,062
4	ARTISAN MID CAP FUND-INSTL 1333		0	29,273	30,527
5	MET WEST TOTAL RETURN BOND CL I 51		0	67,231	66,408
6	FID ADV EMER MKTS INC- CL I 607		0	42,907	44,533
7	DODGE & COX INT'L STOCK FD 1048		0	18,220	26,111
8	AMER CENT SMALL CAP GRWTH INST 33		0	9,718	12,447
9	MFS VALUE FUND-CLASS I 893		0	56,539	82,939
10	JP MORGAN MID CAP VALUE-I 758		0	20,467	34,461
11	STERLING CAPITAL STRATTON SMALL CA		0	9,238	10,706
12	T ROWE PR OVERSEAS STOCK-I #521		0	22,721	26,314
13	T ROWE PR REAL ESTATE-I #432		0	40,504	41,348
14	ASG GLOBAL ALTERNATIVES-Y 1993		0	15,646	15,965
15	VANGUARD REIT VIPER		0	6,354	11,202
16	AQR MANAGED FUTURES STR-I		0	25,293	23,342
17	VANGUARD INFLAT-PROT SECS-ADM 511		0	4,873	4,809
18	JPMORGAN HIGH YIELD FUND SS 3580		0	9,640	10,075
19	T ROWE PRICE INST FLOAT RATE 170		0	4,565	4,609
20	EATON VANCE GLOB MACRO ADV-I 208		0	22,716	21,953
21	BLACKROCK GL L/S CREDIT-K #1940		0	25,927	26,188
22	OPPENHEIMER DEVELOPING MKT-I 799		0	32,698	45,838
23	JOHN HANCOCK II-CURR STR-I 3643		0	15,139	15,123
24	SPDR DJ WILSHIRE INTERNATIONAL REA		0	20,910	23,565
25	NEUBERGER BERMAN LONG SH-INS #183		0	36,178	39,007

Part III (990-PF) - Changes in Net Assets or Fund Balances

Line 3 - Other increases not included in Part III, Line 2

1	ROUNDING	1	4
2	Total	2	4

Line 5 - Decreases not included in Part III, Line 2

1	MUTUAL FUND TIMING DIFFERENCE	1	5
2	PY RETURN OF CAPTIAL ADJUSTMENT	2	330
3	Total	3	335

Part IV (990-PF) - Capital Gains and Losses for Tax on Investment Income

	Amount
Long Term CG Distributions	18,119
Short Term CG Distributions	0

	Description of Property Sold	CUSIP #	Acquisition Method	Date Acquired	Date Sold	Gross Sales Price	Depreciation Allowed	Adjustments	Cost or Other Basis Plus Expense of Sale	Gain or Loss	F.M.V. as of 12/31/69	Adjusted Basis as of 12/31/69	Excess of FMV Over Adjusted Basis	Gains Minus Excess FMV Over Adj Basis or Losses
						204,095	0	0	190,561	13,534	0	0	0	13,534
1	INV BALANCE RISK COMM ST	00888Y508		8/26/2016	1/19/2017	7,249		0	7,207	42	0	0	0	42
2	AMER CENT SMALL CAP GRV	025083320		7/23/2015	1/19/2017	1,537		0	1,592	-55	0	0	0	-55
3	AMER CENT SMALL CAP GRV	025083320		7/23/2015	5/24/2017	11,779		0	11,309	470	0	0	0	470
4	ARTISAN INTERNATIONAL FD	04314H402		3/16/2010	4/21/2017	24,992		0	18,022	6,970	0	0	0	6,970
5	ARTISAN INTERNATIONAL FD	04314H402		1/19/2017	4/21/2017	1,402		0	1,328	74	0	0	0	74
6	ARTISAN MID CAP FUND-INS	04314H600		1/22/2015	7/11/2017	1,194		0	1,264	-70	0	0	0	-70
7	ARTISAN MID CAP FUND-INS	04314H600		7/11/2014	7/11/2017	185		0	214	-29	0	0	0	-29
8	ARTISAN MID CAP FUND-INS	04314H600		2/11/2013	7/11/2017	1,417		0	1,310	107	0	0	0	107
9	BLACKROCK GL L/S CREDIT-	091936732		10/27/2015	1/19/2017	803		0	822	-19	0	0	0	-19
10	CREDIT SUISSE COMM RET S	22544R305		1/23/2014	1/19/2017	859		0	1,209	-350	0	0	0	-350
11	CREDIT SUISSE COMM RET S	22544R305		1/22/2015	1/19/2017	3,821		0	4,358	-537	0	0	0	-537
12	CREDIT SUISSE COMM RET S	22544R305		4/21/2015	1/19/2017	1,474		0	1,667	-193	0	0	0	-193
13	CREDIT SUISSE COMM RET S	22544R305		11/13/2015	1/19/2017	1,302		0	1,203	99	0	0	0	99
14	DODGE & COX INT'L STOCK F	256206103		3/16/2010	1/19/2017	1,178		0	981	197	0	0	0	197
15	DODGE & COX INT'L STOCK F	256206103		1/22/2016	7/11/2017	2,289		0	1,704	585	0	0	0	585
16	DODGE & COX INT'L STOCK F	256206103		1/22/2016	10/23/2017	750		0	524	226	0	0	0	226
17	DODGE & COX INCOME FD C	256210105		10/5/2016	4/21/2017	4,366		0	4,398	-32	0	0	0	-32
18	EATON VANCE GLOBAL MAC	277923728		10/27/2015	10/23/2017	6,440		0	6,353	87	0	0	0	87
19	EATON VANCE GLOBAL MAC	277923728		4/22/2016	10/23/2017	502		0	489	13	0	0	0	13
20	EATON VANCE GLOBAL MAC	277923728		8/26/2016	10/23/2017	14,809		0	14,701	108	0	0	0	108
21	JP MORGAN MID CAP VALUE	339128100		6/20/2014	10/23/2017	374		0	354	20	0	0	0	20
22	JP MORGAN MID CAP VALUE	339128100		7/5/2014	10/23/2017	164		0	157	7	0	0	0	7
23	JP MORGAN MID CAP VALUE	339128100		4/24/2014	10/23/2017	102		0	92	10	0	0	0	10
24	HARBOR CAPITAL APRCTION	411511504		7/23/2015	1/19/2017	4,939		0	5,600	-661	0	0	0	-661
25	HARBOR CAPITAL APRCTION	411511504		7/23/2015	4/21/2017	577		0	613	-36	0	0	0	-36
26	HARBOR CAPITAL APRCTION	411511504		8/28/2015	4/21/2017	2,374		0	2,364	10	0	0	0	10
27	HARBOR CAPITAL APRCTION	411511504		8/28/2015	7/11/2017	5,587		0	5,193	394	0	0	0	394
28	HARBOR CAPITAL APRCTION	411511504		10/24/2014	7/11/2017	535		0	478	57	0	0	0	57
29	HARBOR CAPITAL APRCTION	411511504		8/26/2016	10/23/2017	5,185		0	4,274	911	0	0	0	911
30	JPMORGAN HIGH YIELD FUN	4812C0803		4/22/2016	1/19/2017	1,115		0	1,065	50	0	0	0	50
31	MFS VALUE FUND-CLASS I #I	552983694		7/23/2015	1/19/2017	658		0	646	12	0	0	0	12
32	MFS VALUE FUND-CLASS I #I	552983694		7/5/2014	1/19/2017	3,177		0	3,123	54	0	0	0	54
33	MFS VALUE FUND-CLASS I #I	552983694		8/26/2016	1/19/2017	5,355		0	5,278	77	0	0	0	77
34	MFS VALUE FUND-CLASS I #I	552983694		6/20/2014	7/11/2017	4,279		0	3,815	464	0	0	0	464
35	MERGER FUND-INST #301	589509207		7/22/2010	10/23/2017	6,300		0	6,143	157	0	0	0	157
36	MERGER FUND-INST #301	589509207		8/26/2016	10/23/2017	6,808		0	6,541	267	0	0	0	267
37	MERGER FUND-INST #301	589509207		5/24/2017	10/23/2017	1,958		0	1,934	24	0	0	0	24
38	ASG GLOBAL ALTERNATIVES	63872T885		7/12/2013	1/19/2017	761		0	851	-90	0	0	0	-90
39	NEUBERGER BERMAN LONG	64128R608		6/20/2014	1/19/2017	457		0	453	4	0	0	0	4
40	OPPENHEIMER DEVELOPING	683974604		8/12/2011	4/21/2017	2,038		0	1,806	232	0	0	0	232
41	OPPENHEIMER DEVELOPING	683974604		8/12/2011	7/11/2017	2,034		0	1,687	347	0	0	0	347
42	OPPENHEIMER DEVELOPING	683974604		7/22/2010	7/11/2017	1,213		0	938	275	0	0	0	275
43	OPPENHEIMER DEVELOPING	683974604		7/22/2010	10/23/2017	3,471		0	2,452	1,019	0	0	0	1,019
44	BOSTON P LNG/SHRT RES-IN	74925K581		7/12/2013	10/23/2017	5,862		0	4,731	1,131	0	0	0	1,131
45	BOSTON P LNG/SHRT RES-IN	74925K581		5/24/2017	10/23/2017	5,695		0	5,498	197	0	0	0	197
46	HARBOR CAPITAL APRCTION	411511504		10/24/2014	10/23/2017	35		0	29	6	0	0	0	6
47	JPMORGAN HIGH YIELD FUN	4812C0803		1/23/2014	1/19/2017	636		0	692	-56	0	0	0	-56
48	JPMORGAN HIGH YIELD FUN	4812C0803		12/19/2014	1/19/2017	13,601		0	13,913	-312	0	0	0	-312
49	JPMORGAN HIGH YIELD FUN	4812C0803		8/26/2016	1/19/2017	5,402		0	5,373	29	0	0	0	29
50	T ROWE PR OVERSEAS STO	77956H435		4/21/2017	7/11/2017	1,334		0	1,266	68	0	0	0	68
51	T ROWE PR OVERSEAS STO	77956H435		4/21/2017	10/23/2017	1,727		0	1,490	237	0	0	0	237
52	T ROWE PRICE INST FLOAT F	77958B402		1/23/2014	1/19/2017	1,497		0	1,530	-33	0	0	0	-33
53	T ROWE PRICE INST FLOAT F	77958B402		4/24/2014	1/19/2017	4,054		0	4,114	-60	0	0	0	-60
54	T ROWE PRICE INST FLOAT F	77958B402		8/26/2016	1/19/2017	2,411		0	2,390	21	0	0	0	21
55	T ROWE PRICE INST FLOAT F	77958B402		4/22/2016	1/19/2017	652		0	640	12	0	0	0	12
56	STERLING CAPITAL STRATT(	85917K546		6/20/2014	1/19/2017	1,397		0	1,329	68	0	0	0	68
57	STERLING CAPITAL STRATT(	85917K546		6/20/2014	5/24/2017	10,497		0	9,702	795	0	0	0	795
58	STERLING CAPITAL STRATT(	85917K546		4/21/2017	5/24/2017	502		0	495	7	0	0	0	7
59	STERLING CAPITAL STRATT(	85917K546		6/20/2014	5/24/2017	162		0	150	12	0	0	0	12
60	STERLING CAPITAL STRATT(	85917K546		8/26/2016	10/23/2017	822		0	707	115	0	0	0	115

Part VIII, Line 1 (990-PF) - Compensation of Officers, Directors, Trustees and Foundation Managers

										11,711	0	0
	Name	Check "X" if Business	Street	City	State	Zip Code	Foreign Country	Title	Avg Hrs Per Week	Compensation	Benefits	Expense Account
1	Wells Fargo Bank N A Trust Tax D	X	6325 S RAINBOW BLVD STE 300	LAS VEGAS	NV	89118		TRUSTEE	SEE ATTAC	11,711		

Part VI, Line 6a (990-PF) - Estimated Tax Payments

		Date		Amount
1	Credit from prior year return		1	5
2	First quarter estimated tax payment	5/10/2017	2	186
3	Second quarter estimated tax payment		3	
4	Third quarter estimated tax payment		4	
5	Fourth quarter estimated tax payment		5	
6	Other payments		6	0
7	Total		7	191

Part XIII, Line 2a, Column C (990-PF) - Prior Year Undistributed Income

1	Distributable amounts for 2016 that remained undistributed at the beginning of the 2017 tax year	1	31,744
2		2	
3		3	
4		4	
5		5	
6		6	
7		7	
8		8	
9		9	
10	Total	10	31,744