

C&F 927

Form 990-PF

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

OMB No 1545-0052

2017

Open to Public Inspection

Department of the Treasury Internal Revenue Service

Do not enter social security numbers on this form as it may be made public. Go to www.irs.gov/Form990PF for instructions and the latest information.

For calendar year 2017 or tax year beginning , and ending

Name of foundation: ANDREW J MCGOWAN. A Employer identification number: 25-6893747. B Telephone number: 888-730-4933. G Check all that apply: [X] Address change. H Check type of organization: [X] Section 501(c)(3) exempt private foundation. J Accounting method: [X] Cash.

Table with 5 columns: (a) Revenue and expenses per books, (b) Net investment income, (c) Adjusted net income, (d) Disbursements for charitable purposes. Rows include Revenue (1-12) and Operating and Administrative Expenses (13-26), ending with Adjusted net income of 0.

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions)			
		Beginning of year (a) Book Value	End of year (b) Book Value (c) Fair Market Value		
Assets	1	Cash—non-interest-bearing			
	2	Savings and temporary cash investments	49,817	35,660	35,660
	3	Accounts receivable			
		Less: allowance for doubtful accounts			
	4	Pledges receivable			
		Less: allowance for doubtful accounts			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule)			
		Less: allowance for doubtful accounts			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)			
	c	Investments—corporate bonds (attach schedule)			
	11	Investments—land, buildings, and equipment, basis			
	Less: accumulated depreciation (attach schedule)				
12	Investments—mortgage loans				
13	Investments—other (attach schedule)	697,503	692,284	794,473	
14	Land, buildings, and equipment, basis				
	Less: accumulated depreciation (attach schedule)				
15	Other assets (describe )				
16	<b>Total assets</b> (to be completed by all filers—see the instructions. Also, see page 1, item I)	747,320	727,944	830,133	
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe )			
23	<b>Total liabilities</b> (add lines 17 through 22)	0	0		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here and complete lines 24 through 26, and lines 30 and 31. <input type="checkbox"/>				
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. <input checked="" type="checkbox"/>				
	27	Capital stock, trust principal, or current funds	747,320	727,944	
	28	Paid-in or capital surplus, or land, bldg., and equipment fund			
29	Retained earnings, accumulated income, endowment, or other funds				
30	<b>Total net assets or fund balances</b> (see instructions)	747,320	727,944		
31	<b>Total liabilities and net assets/fund balances</b> (see instructions)	747,320	727,944		

Part III Analysis of Changes in Net Assets or Fund Balances		
1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1 747,320
2	Enter amount from Part I, line 27a	2 -19,013
3	Other increases not included in line 2 (itemize)	3
4	Add lines 1, 2, and 3	4 728,307
5	Decreases not included in line 2 (itemize) See Attached Statement	5 363
6	<b>Total net assets or fund balances at end of year</b> (line 4 minus line 5)—Part II, column (b), line 30	6 727,944

**Part IV Capital Gains and Losses for Tax on Investment Income**

a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co )		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day , yr )	(d) Date sold (mo , day , yr )
1a	See Attached Statement			
b				
c				
d				
e				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g))	
a				
b				
c				
d				
e				
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))	
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any		
a				
b				
c				
d				
e				
2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }		2	32,630
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) See instructions. If (loss), enter -0- in Part I, line 8 }		3	0

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?  Yes  No  
If "Yes," the foundation doesn't qualify under section 4940(e). Do not complete this part

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2016	55,940	778,428	0.071863
2015	45,408	852,571	0.053260
2014	39,420	901,021	0.043750
2013	39,597	873,987	0.045306
2012	42,344	840,652	0.050370
2	Total of line 1, column (d)		2 0.264549
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years .		3 0.052910
4	Enter the net value of noncharitable-use assets for 2017 from Part X, line 5 .		4 804,031
5	Multiply line 4 by line 3 .		5 42,541
6	Enter 1% of net investment income (1% of Part I, line 27b) .		6 372
7	Add lines 5 and 6 .		7 42,913
8	Enter qualifying distributions from Part XII, line 4 . If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions.		8 56,182

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)**

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter. (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b	1	372
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations, enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-)	2	0
3	Add lines 1 and 2	3	372
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-)	4	
5	<b>Tax based on investment income.</b> Subtract line 4 from line 3. If zero or less, enter -0-	5	372
6	Credits/Payments:		
a	2017 estimated tax payments and 2016 overpayment credited to 2017	6a	142
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d	7	142
8	Enter any <b>penalty</b> for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8	
9	<b>Tax due.</b> If the total of lines 5 and 8 is more than line 7, enter <b>amount owed</b>	9	230
10	<b>Overpayment.</b> If line 7 is more than the total of lines 5 and 8, enter the <b>amount overpaid</b>	10	0
11	Enter the amount of line 10 to be <b>Credited to 2018 estimated tax</b> <b>Refunded</b>	11	0

**Part VII-A Statements Regarding Activities**

	Yes	No
1a		X
b		X
c		X
d		
e		
2		X
3		X
4a		X
4b	N/A	
5		X
6	X	
7	X	
8a		
8b	X	
9		X
10		X

**Part VII-A Statements Regarding Activities (continued)**

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions . . . . .	Yes	No
11			X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions . . . . .		X
12			X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? . . . . .	X	
13	Website address ▶ N/A		
14	The books are in care of ▶ WELLS FARGO BANK N A Telephone no. ▶ 888-730-4933		
14	Located at ▶ 6325 S RAINBOW BLVD STE 300 LAS VEGAS NV ZIP+4 ▶ 89118		
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041—check here and enter the amount of tax-exempt interest received or accrued during the year . . . . . ▶ 15 N/A		
16	At any time during calendar year 2017, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? . . . . .	Yes	No
16	See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ▶		X

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year, did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions. Organizations relying on a current notice regarding disaster assistance, check here . . . . . ▶ <input type="checkbox"/>	1b	X
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2017? . . . . .	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2017, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2017? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
If "Yes," list the years ▶ 20 . . . . ., 20 . . . . ., 20 . . . . ., 20 . . . . .		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions) . . . . .	2b	N/A
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶ 20 . . . . ., 20 . . . . ., 20 . . . . ., 20 . . . . .		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If "Yes," did it have excess business holdings in 2017 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2017.) . . . . .	3b	N/A
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2017?	4b	X

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**

**5a** During the year, did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?  Yes  No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?  Yes  No

(3) Provide a grant to an individual for travel, study, or other similar purposes?  Yes  No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions  Yes  No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?  Yes  No

**b** If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions. **5b** N/A

Organizations relying on a current notice regarding disaster assistance, check here

**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?  Yes  No N/A

If "Yes," attach the statement required by Regulations section 53.4945–5(d).

**6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?  Yes  No

**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** X

If "Yes" to 6b, file Form 8870

**7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?  Yes  No

**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? **7b** N/A

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

**1 List all officers, directors, trustees, and foundation managers and their compensation. See instructions.**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Wells Fargo Bank, N.A. 6325 S RAINBOW BLVD STE 300 LAS VEGAS, NV 89	TRUSTEE SEE ATTACHED	12,651		

**2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."**

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000 **0**

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**

**3 Five highest-paid independent contractors for professional services. See instructions. If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

**Total number of others receiving over \$50,000 for professional services** ▶

**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc

	Expenses
1 NONE	
2	
3	
4	

**Part IX-B Summary of Program-Related Investments (see instructions)**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

	Amount
1 NONE	
2	
All other program-related investments See instructions	
3 NONE	
<b>Total. Add lines 1 through 3</b> <span style="float: right;">▶</span>	<b>0</b>

**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	792,006
b	Average of monthly cash balances	1b	24,269
c	Fair market value of all other assets (see instructions)	1c	
d	<b>Total</b> (add lines 1a, b, and c)	1d	816,275
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	816,275
4	Cash deemed held for charitable activities. Enter 1½ % of line 3 (for greater amount, see instructions)	4	12,244
5	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4	5	804,031
6	<b>Minimum investment return.</b> Enter 5% of line 5	6	40,202

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here  and do not complete this part)

1	Minimum investment return from Part X, line 6	1	40,202
2a	Tax on investment income for 2017 from Part VI, line 5	2a	372
b	Income tax for 2017 (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	372
3	Distributable amount before adjustments Subtract line 2c from line 1	3	39,830
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	39,830
6	Deduction from distributable amount (see instructions)	6	
7	<b>Distributable amount as adjusted.</b> Subtract line 6 from line 5 Enter here and on Part XIII, line 1	7	39,830

**Part XII Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1a	56,182
b	Program-related investments—total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	<b>Qualifying distributions.</b> Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	56,182
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b. See instructions	5	372
6	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4	6	55,810

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2016	(c) 2016	(d) 2017
1 Distributable amount for 2017 from Part XI, line 7				39,830
2 Undistributed income, if any, as of the end of 2017				
a Enter amount for 2016 only			22,431	
b Total for prior years: 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2017:				
a From 2012				
b From 2013				
c From 2014				
d From 2015				
e From 2016				
f Total of lines 3a through e	0			
4 Qualifying distributions for 2017 from Part XII, line 4. ▶ \$ 56,182				
a Applied to 2016, but not more than line 2a			22,431	
b Applied to undistributed income of prior years (Election required—see instructions)				
c Treated as distributions out of corpus (Election required—see instructions)				
d Applied to 2017 distributable amount				33,751
e Remaining amount distributed out of corpus				
5 Excess distributions carryover applied to 2017. (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0			
b Prior years' undistributed income. Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount—see instructions				
e Undistributed income for 2016. Subtract line 4a from line 2a. Taxable amount—see instructions			0	
f Undistributed income for 2017. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2018				6,079
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required—see instructions)				
8 Excess distributions carryover from 2012 not applied on line 5 or line 7 (see instructions)				
9 Excess distributions carryover to 2018. Subtract lines 7 and 8 from line 6a				
10 Analysis of line 9.				
a Excess from 2013				
b Excess from 2014				
c Excess from 2015				
d Excess from 2016				
e Excess from 2017				

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9) N/A

- 1a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2017, enter the date of the ruling ▶
- b** Check box to indicate whether the foundation is a private operating foundation described in section  4942(j)(3) or  4942(j)(5)

**2a** Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

Tax year	Prior 3 years			(e) Total
(a) 2017	(b) 2016	(c) 2015	(d) 2014	
				0
<b>b</b> 85% of line 2a				0
<b>c</b> Qualifying distributions from Part XII, line 4 for each year listed				0
<b>d</b> Amounts included in line 2c not used directly for active conduct of exempt activities				0
<b>e</b> Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c				0
<b>3</b> Complete 3a, b, or c for the alternative test relied upon				
<b>a</b> "Assets" alternative test—enter:				
<b>(1)</b> Value of all assets				0
<b>(2)</b> Value of assets qualifying under section 4942(j)(3)(B)(i)				0
<b>b</b> "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed				0
<b>c</b> "Support" alternative test—enter:				
<b>(1)</b> Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)				0
<b>(2)</b> Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)				0
<b>(3)</b> Largest amount of support from an exempt organization				0
<b>(4)</b> Gross investment income				0

**Part XV Supplementary Information** (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)

- 1 Information Regarding Foundation Managers:**
- a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2) )

NONE

- b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

- 2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**
- Check here  if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc., to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions.

- a** The name, address, and telephone number or email address of the person to whom applications should be addressed
- 
- b** The form in which applications should be submitted and information and materials they should include:
- 
- c** Any submission deadlines:
- 
- d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

**Part XV** Supplementary Information *(continued)*

**3** Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<p><b>a</b> <i>Paid during the year</i> See Attached Statement</p>				
<p><b>Total</b></p>			▶ <b>3a</b>	51,880
<p><b>b</b> <i>Approved for future payment</i> NONE</p>				
<p><b>Total</b></p>			▶ <b>3b</b>	0





**Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year**

Recipient(s) paid during the year

Name

MOUNT ST. MARY'S SEMINARY

Street

16300 OLD EMMITSBURGS ROAD

City

EMMITSBURG

State

MD

Zip Code

21727

Foreign Country

Relationship

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL SUPPORT GRANT

Amount

25,940

Name

DIOCESE OF SCRANTON

Street

300 WYOMING AVENUE

City

SCRANTON

State

PA

Zip Code

18503

Foreign Country

Relationship

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL SUPPORT GRANT

Amount

25,940

Name

Street

City

State

Zip Code

Foreign Country

Relationship

Foundation Status

Purpose of grant/contribution

Amount

Name

Street

City

State

Zip Code

Foreign Country

Relationship

Foundation Status

Purpose of grant/contribution

Amount

Name

Street

City

State

Zip Code

Foreign Country

Relationship

Foundation Status

Purpose of grant/contribution

Amount

Name

Street

City

State

Zip Code

Foreign Country

Relationship

Foundation Status

Purpose of grant/contribution

Amount

art 1, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

Long Term CG Distributions		Short Term CG Distributions		Amount		Totals		Gross Sales		Cost or Other Basis, Expenses, Depreciation and Adjustments		Net Gain or Loss		
				19,822		0		246,915		214,285		0		
Description	CUSIP #	Check "X" to include in Part IV	Purchaser	Check "X" if Purchaser is a Business	Acquisition Method	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Valuation Method	Expense of Sale and Cost of Improvements	Depreciation	Adjustments	Net Gain or Loss
1 EATON VANCE GLOBAL MAC	277923728	X				10/27/2015	10/23/2017	6,405	6,318					0
2 EATON VANCE GLOBAL MAC	277923728	X				8/25/2016	10/23/2017	17,044	16,882					0
3 EATON VANCE GLOBAL MAC	277923728	X				4/24/2017	10/23/2017	694	694					0
4 EATON VANCE GLOBAL MAC	277923728	X				7/25/2017	10/23/2017	622	619					0
5 FID ADV EMER MKTS INC-CL	315920702	X				8/25/2016	4/20/2017	857	857					0
6 JP MORGAN MID CAP VALUE	339128100	X				6/20/2014	7/25/2017	946	910					0
7 HARBOR CAPITAL APRCION	411511504	X				8/25/2016	1/18/2017	3,237	3,335					0
8 HARBOR CAPITAL APRCION	411511504	X				10/8/2015	4/20/2017	3,889	3,849					0
9 HARBOR CAPITAL APRCION	411511504	X				10/8/2015	7/25/2017	997	997					0
10 HARBOR CAPITAL APRCION	411511504	X				10/28/2014	7/25/2017	7,288	6,330					0
11 HARBOR CAPITAL APRCION	411511504	X				10/28/2014	10/23/2017	1,880	1,557					0
12 HARBOR CAPITAL APRCION	411511504	X				8/25/2016	10/23/2017	2,826	2,330					0
13 JPMORGAN HIGH YIELD FUN	4812C0803	X				12/3/2014	1/18/2017	12,373	13,457					0
14 JPMORGAN HIGH YIELD FUN	4812C0803	X				12/19/2014	1/18/2017	3,198	3,209					0
15 JPMORGAN HIGH YIELD FUN	4812C0803	X				8/18/2015	1/18/2017	1,004	1,000					0
16 JPMORGAN HIGH YIELD FUN	4812C0803	X				8/25/2016	1/18/2017	5,283	5,247					0
17 JPMORGAN HIGH YIELD FUN	4812C0803	X				4/22/2016	1/18/2017	77	73					0
18 MFS VALUE FUND-CLASS I #	52983694	X				8/18/2015	1/18/2017	4,839	4,724					0
19 MFS VALUE FUND-CLASS I #	52983694	X				8/25/2016	1/18/2017	3,657	3,594					0
20 MFS VALUE FUND-CLASS I #	52983694	X				6/20/2014	1/18/2017	2,780	2,658					0
21 MFS VALUE FUND-CLASS I #	52983694	X				6/20/2014	4/20/2017	676	630					0
22 MFS VALUE FUND-CLASS I #	52983694	X				6/20/2014	7/25/2017	2,894	2,555					0
23 MFS VALUE FUND-CLASS I #	52983694	X				8/26/2016	10/23/2017	1,436	1,253					0
24 MFS VALUE FUND-CLASS I #	52983694	X				6/20/2014	10/23/2017	410	350					0
25 MERGER FUND-INST #301	589509207	X				4/30/2012	10/23/2017	1,445	1,420					0
26 MERGER FUND-INST #301	589509207	X				2/11/2013	10/23/2017	4,998	4,798					0
27 MERGER FUND-INST #301	589509207	X				8/25/2016	10/23/2017	7,793	7,487					0
28 MERGER FUND-INST #301	589509207	X				5/24/2017	10/23/2017	2,424	2,393					0
29 ASS GLOBAL ALTERNATIVES	638721882	X				7/12/2013	1/18/2017	453	506					0
30 ASS GLOBAL ALTERNATIVES	638721882	X				7/12/2013	10/23/2017	523	552					0
31 NEUBERGER BERMAN LONG	641289608	X				6/20/2014	4/20/2017	448	427					0
32 OPPENHEIMER DEVELOPING	683974604	X				4/30/2012	4/20/2017	3,112	2,880					0
33 OPPENHEIMER DEVELOPING	683974604	X				4/30/2012	7/25/2017	4,278	3,575					0
34 OPPENHEIMER DEVELOPING	683974604	X				4/30/2012	10/23/2017	3,220	2,549					0
35 BOSTON P LINGSHRT RES-IN	74925K581	X				12/6/2015	10/23/2017	4,009	4,029					0
36 BOSTON P LINGSHRT RES-IN	74925K581	X				3/20/2015	10/23/2017	2,198	2,075					0
37 BOSTON P LINGSHRT RES-IN	74925K581	X				5/24/2017	10/23/2017	6,090	5,879					0
38 T ROWE PR OVERSEAS STO	77956H435	X				4/24/2017	7/25/2017	1,466	1,359					0
39 T ROWE PR OVERSEAS STO	77956H435	X				4/24/2017	10/23/2017	1,302	1,179					0
40 T ROWE PRICE INST FLOAT F	77956B402	X				12/3/2014	1/18/2017	1,504	1,536					0
41 T ROWE PRICE INST FLOAT F	77956B402	X				4/24/2017	1/18/2017	4,590	4,658					0
42 T ROWE PRICE INST FLOAT F	77956B402	X				8/25/2016	1/18/2017	2,576	2,550					0
43 T ROWE PRICE INST FLOAT F	77956B402	X				4/22/2016	1/18/2017	472	463					0
44 SPDR DJ WILSHIRE INTERNA	78463X863	X				4/30/2012	4/20/2017	919	895					0
45 STERLING CAPITAL STRATTC	85917K546	X				6/20/2014	1/18/2017	1,405	1,329					0
46 STERLING CAPITAL STRATTC	85917K546	X				6/20/2014	5/24/2017	6,431	5,944					0
47 STERLING CAPITAL STRATTC	85917K546	X				3/20/2015	5/24/2017	4,009	4,009					0
48 STERLING CAPITAL STRATTC	85917K546	X				12/6/2015	5/24/2017	871	764					0
49 STERLING CAPITAL STRATTC	85917K546	X				8/25/2016	10/23/2017	913	787					0
50 INV BALANCE RISK COMM ST	008687508	X				8/25/2016	1/18/2017	7,808	7,730					0
51 AMER CENT SMALL CAP GRV	025083320	X				8/20/2015	1/18/2017	1,812	1,733					0
52 AMER CENT SMALL CAP GRV	025083320	X				8/20/2015	5/24/2017	12,932	11,540					0
53 AMER CENT SMALL CAP GRV	025083320	X				8/20/2015	7/25/2017	620	521					0
54 ARTISAN INTERNATIONAL F	04314H402	X				4/30/2012	4/20/2017	28,236	23,085					0
55 ARTISAN INTERNATIONAL F	04314H402	X				1/18/2017	4/20/2017	1,050	986					0
56 ARTISAN MID CAP FUND-INS	04314H600	X				6/20/2014	7/25/2017	2,416	2,672					0
57 ARTISAN MID CAP FUND-INS	04314H600	X				12/6/2015	7/25/2017	322	354					0
58 ARTISAN MID CAP FUND-INS	04314H600	X				12/6/2015	7/25/2017	583	609					0
59 CREDIT SUISSE COMM RET	22544R305	X				9/5/2014	1/18/2017	498	697					0
60 CREDIT SUISSE COMM RET	22544R305	X				12/6/2015	1/18/2017	1,966	2,212					0
61 CREDIT SUISSE COMM RET	22544R305	X				3/20/2015	1/18/2017	4,047	4,520					0
62 CREDIT SUISSE COMM RET	22544R305	X				10/8/2015	1/18/2017	619	625					0
63 CREDIT SUISSE COMM RET	22544R305	X				11/10/2015	1/18/2017	1,275	1,188					0
64 DODGE & COX INT'L STOCK F	256206103	X				12/2/2016	4/20/2017	904	721					0
65 DODGE & COX INT'L STOCK F	256206103	X				12/2/2016	7/25/2017	2,224	1,605					0
66 DODGE & COX INT'L STOCK F	256206103	X				12/2/2016	10/23/2017	938	655					0
67 DODGE & COX INCOME FD C	256210105	X				10/5/2016	4/20/2017	4,623	4,660					0
68 DODGE & COX INCOME FD C	256210105	X				4/30/2012	4/20/2017	700	697					0

**Part I, Line 16b (990-PF) - Accounting Fees**

		1,139	0	0	1,139
Description		Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes (Cash Basis Only)
1	TAX PREP FEES	1,139			1,139

**Part I, Line 18 (990-PF) - Taxes**

		437	241	0	0
Description		Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
1	FOREIGN TAXES PAID	241	241		
2	PY EXCISE TAXES PAID	54			
3	ESTIMATED EXCISE TAXES PAID	142			

**Part II, Line 13 (990-PF) - Investments - Other**

	Asset Description	Basis of Valuation	Book Value Beg. of Year	Book Value End of Year	692,284	794,473
1			0	0		
2	MET WEST TOTAL RETURN BOND CLI 51		0	73,587		72,674
3	FID ADV EMER MKTS INC- CLI 607		0	46,518		48,828
4	DODGE & COX INT'L STOCK FD 1048		0	19,772		28,557
5	AMER CENT SMALL CAP GRWTH INST 336		0	10,046		13,015
6	MFS VALUE FUND-CLASS I 893		0	65,648		91,202
7	JP MORGAN MID CAP VALUE-I 758		0	27,457		38,052
8	STERLING CAPITAL STRATTON SMALL CA		0	10,217		11,746
9	T ROWE PR OVERSEAS STOCK-I #521		0	25,505		28,798
10	T ROWE PR REAL ESTATE-I #432		0	44,588		45,685
11	ASG GLOBAL ALTERNATIVES-Y 1993		0	16,681		16,996
12	VANGUARD REIT VIPER		0	8,964		11,949
13	AQR MANAGED FUTURES STR-I		0	28,256		25,844
14	VANGUARD INFLAT-PROT SECS-ADM 511		0	5,449		5,362
15	JPMORGAN HIGH YIELD FUND SS 3580		0	10,731		11,215
16	T ROWE PRICE INST FLOAT RATE 170		0	4,507		4,557
17	EATON VANCE GLOB MACRO ADV-I 208		0	24,858		24,023
18	BLACKROCK GL L/S CREDIT-K #1940		0	28,478		28,762
19	OPPENHEIMER DEVELOPING MKT-I 799		0	37,651		50,187
20	DODGE & COX INCOME FD COM 147		0	32,661		33,112
21	HARBOR CAPITAL APRCTION-INST 2012		0	57,862		85,438
22	ARTISAN MID CAP FUND-INSTL 1333		0	32,983		33,310
23	JOHN HANCOCK II-CURR STR-I 3643		0	16,575		16,558
24	SPDR DJ WILSHIRE INTERNATIONAL REA		0	23,686		25,914
25	NEUBERGER BERMAN LONG SH-INS #183		0	39,604		42,689

**Part III (990-PF) - Changes in Net Assets or Fund Balances**

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**Line 5 - Decreases not included in Part III, Line 2**

1	MUTUAL FUND TIMING DIFFERENCE	1	6
2	PY RETURN OF CAPITAL ADJUSTMENT	2	353
3	ROUNDING	3	4
4	Total	4	363

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**Part VI, Line 6a (990-PF) - Estimated Tax Payments**

	Date	Amount
1 Credit from prior year return . . . . .		0
2 First quarter estimated tax payment . . . . .	5/10/2017	142
3 Second quarter estimated tax payment . . . . .		
4 Third quarter estimated tax payment . . . . .		
5 Fourth quarter estimated tax payment . . . . .		
6 Other payments . . . . .		0
7 Total . . . . .		142

**Part XIII, Line 2a, Column C (990-PF) - Prior Year Undistributed Income**

1	Distributable amounts for 2016 that remained undistributed at the beginning of the 2017 tax year	1	22,431
2		2	
3		3	
4		4	
5		5	
6		6	
7		7	
8		8	
9		9	
10	Total	10	22,431