

Form **990-PF**



Return of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation

OMB No 1545-0052

2017

Open to Public Inspection

Department of the Treasury
Internal Revenue Service

Do not enter social security numbers on this form as it may be made public.
Go to www.irs.gov/Form990PF for instructions and the latest information.

For calendar year 2017 or tax year beginning , and ending

Name of foundation
ERBE HARRY JOHN TR U W

A Employer identification number
23-6515628

Number and street (or P O box number if mail is not delivered to street address) Room/suite
Wells Fargo Bank N A. Trust Tax Dept - 6325 S RAINBOW BLVD STE 300

B Telephone number (see instructions)
888-730-4933

City or town, state or province, country, and ZIP or foreign postal code
LAS VEGAS NV 89118

C If exemption application is pending, check here

Foreign country name Foreign province/state/county Foreign postal code

D 1. Foreign organizations, check here

G Check all that apply
 Initial return Initial return of a former public charity
 Final return Amended return
 Address change Name change

2. Foreign organizations meeting the 85% test, check here and attach computation

H Check type of organization: Section 501(c)(3) exempt private foundation **04**
 Section 4947(a)(1) nonexempt charitable trust Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here

ENVELOPE
POSTMARK DATE
API

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)			
		Beginning of year (a) Book Value	End of year (b) Book Value (c) Fair Market Value		
Assets	1	Cash—non-interest-bearing			
	2	Savings and temporary cash investments	5,275	11,319	11,319
	3	Accounts receivable ▶			
		Less allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶			
		Less allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U.S. and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)			
	c	Investments—corporate bonds (attach schedule)			
	11	Investments—land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶				
12	Investments—mortgage loans				
13	Investments—other (attach schedule)	219,170	217,556	252,937	
14	Land, buildings, and equipment, basis ▶				
	Less accumulated depreciation (attach schedule) ▶				
15	Other assets (describe ▶)				
16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	224,445	228,875	264,256	
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	Total liabilities (add lines 17 through 22)	0	0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here and complete lines 24 through 26, and lines 30 and 31. <input type="checkbox"/>				
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. <input checked="" type="checkbox"/>				
	27	Capital stock, trust principal, or current funds	224,445	228,875	
	28	Paid-in or capital surplus, or land, bldg., and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
30	Total net assets or fund balances (see instructions)	224,445	228,875		
31	Total liabilities and net assets/fund balances (see instructions)	224,445	228,875		

Part III Analysis of Changes in Net Assets or Fund Balances		
1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1 224,445
2	Enter amount from Part I, line 27a	2 4,549
3	Other increases not included in line 2 (itemize) ▶	3
4	Add lines 1, 2, and 3	4 228,994
5	Decreases not included in line 2 (itemize) ▶ See Attached Statement	5 119
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6 228,875

Part IV Capital Gains and Losses for Tax on Investment Income

a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)

(b) How acquired
P—Purchase
D—Donation

(c) Date acquired
(mo, day, yr)

(d) Date sold
(mo, day, yr)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes.	
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	7,064
b	Program-related investments—total from Part IX-B	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	
3	Amounts set aside for specific charitable projects that satisfy the:	
a	Suitability test (prior IRS approval required)	
b	Cash distribution test (attach the required schedule)	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	7,064
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions.	
6	Adjusted qualifying distributions. Subtract line 5 from line 4	7,064

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter. _____ (attach copy of letter if necessary—see instructions)			
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b			
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations, enter 4% of Part I, line 12, col (b)			
1			233	
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-)		0	
3	Add lines 1 and 2		233	
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-)			
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		233	
6	Credits/Payments:			
a	2017 estimated tax payments and 2016 overpayment credited to 2017	6a	60	
b	Exempt foreign organizations—tax withheld at source	6b		
c	Tax paid with application for extension of time to file (Form 8868)	6c		
d	Backup withholding erroneously withheld	6d		
7	Total credits and payments Add lines 6a through 6d		60	
8	Enter any penalty for underpayment of estimated tax Check here <input type="checkbox"/> if Form 2220 is attached			
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed		173	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid		0	
11	Enter the amount of line 10 to be: Credited to 2018 estimated tax <input type="checkbox"/> Refunded <input type="checkbox"/>		0	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation ▶ \$ _____ (2) On foundation managers. ▶ \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?	N/A	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by <i>General Instruction T</i>		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered See instructions. ▶ PA		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by <i>General Instruction G</i> ? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2017 or the tax year beginning in 2017? See the instructions for Part XIV If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions.	Yes	No
11			X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions.		X
12			X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶ N/A	X	
13		X	
14	The books are in care of ▶ WELLS FARGO BANK N A Telephone no. ▶ 888-730-4933 Located at ▶ 6325 S RAINBOW BLVD STE 300 LAS VEGAS NV ZIP+4 ▶ 89118		
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041—check here and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A		
15			
16	At any time during calendar year 2017, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ▶	Yes	No
16			X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year, did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions. Organizations relying on a current notice regarding disaster assistance, check here ▶ <input type="checkbox"/>	1b	X
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2017?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)).		
a At the end of tax year 2017, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2017? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ▶ 20, 20, 20, 20		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions.)	2b	N/A
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶ 20, 20, 20, 20		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If "Yes," did it have excess business holdings in 2017 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2017.)	3b	N/A
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2017?	4b	X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year, did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

Yes No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

Yes No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

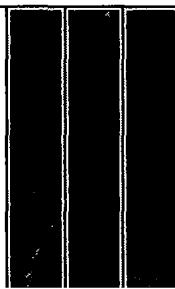
Yes No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions

Yes No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

Yes No



Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services. See instructions. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
.....		
.....		
.....		
.....		
.....		

Total number of others receiving over \$50,000 for professional services ▶

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 NONE	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	
2	
All other program-related investments See instructions	
3 NONE	

Total. Add lines 1 through 3 ▶ 0

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	247,636
b	Average of monthly cash balances	1b	7,307
c	Fair market value of all other assets (see instructions)	1c	
d	Total (add lines 1a, b, and c)	1d	254,943
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	254,943
4	Cash deemed held for charitable activities. Enter 1½ % of line 3 (for greater amount, see instructions)	4	3,824
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	251,119
6	Minimum investment return. Enter 5% of line 5	6	12,556

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here and do not complete this part)

1	Minimum investment return from Part X, line 6	1	12,556
2a	Tax on investment income for 2017 from Part VI, line 5	2a	233
b	Income tax for 2017 (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	233
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	12,323
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	12,323
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	12,323

Part XII Qualifying Distributions (see instructions)

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2016	(c) 2016	(d) 2017
1 Distributable amount for 2017 from Part XI, line 7				12,323
2 Undistributed income, if any, as of the end of 2017				
a Enter amount for 2016 only			0	
b Total for prior years 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2017.				
a From 2012				
b From 2013				
c From 2014				
d From 2015				402
e From 2016				542
f Total of lines 3a through e	944			
4 Qualifying distributions for 2017 from Part XII, line 4 ▶ \$ 7,064				
a Applied to 2016, but not more than line 2a				
b Applied to undistributed income of prior years (Election required—see instructions)				
c Treated as distributions out of corpus (Election required—see instructions)				
d Applied to 2017 distributable amount				7,064
e Remaining amount distributed out of corpus				
5 Excess distributions carryover applied to 2017. (If an amount appears in column (d), the same amount must be shown in column (a).)	944			944
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e. Subtract line 5	0			
b Prior years' undistributed income Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount—see instructions				
e Undistributed income for 2016 Subtract line 4a from line 2a. Taxable amount—see instructions				0
f Undistributed income for 2017. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2018				4,315
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required—see instructions)				
8 Excess distributions carryover from 2012 not applied on line 5 or line 7 (see instructions)				
9 Excess distributions carryover to 2018. Subtract lines 7 and 8 from line 6a				
10 Analysis of line 9.				
a Excess from 2013				
b Excess from 2014				
c Excess from 2015				
d Excess from 2016				
e Excess from 2017				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

N/A

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2017, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section

4942(j)(3) or 4942(j)(5)

2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

	Tax year				(e) Total
	(a) 2017	(b) 2016	(c) 2015	(d) 2014	
b 85% of line 2a					0
c Qualifying distributions from Part XII, line 4 for each year listed					0
d Amounts included in line 2c not used directly for active conduct of exempt activities					0
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					0
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test—enter:					
(1) Value of all assets					0
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					0
b "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					0
c "Support" alternative test—enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					0
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					0
(3) Largest amount of support from an exempt organization					0
(4) Gross investment income					0

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2))

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc., to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions.

a The name, address, and telephone number or email address of the person to whom applications should be addressed

WELLS FARGO BANK, N.A. PHILANTHROPIC SERVICES

b The form in which applications should be submitted and information and materials they should include

ONLINE FORM, WWW.WELLSFARGO.COM/PRIVATE-FOUNDATIONS

c Any submission deadlines:

AUGUST 31

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

QUALIFIED EXEMPT ORGANIZATIONS UNDER 501 (C)(3). FOUNDATION HAS PRACTICE OF SUPPORTING ORGANIZATIONS

Part XV Supplementary Information *(continued)*

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a <i>Paid during the year</i> See Attached Statement				
Total				▶ 3a 5,000
b <i>Approved for future payment</i> NONE				
Total				▶ 3b 0

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated

	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue:					
a _____					
b _____					
c _____					
d _____					
e _____					
f _____					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	4,520	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	10,167	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue: a _____					
b _____					
c _____					
d _____					
e _____					
12 Subtotal. Add columns (b), (d), and (e)		0		14,687	0
13 Total. Add line 12, columns (b), (d), and (e)				13	14,687

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No.



Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See instructions.)

b			
c			
d			
e			

Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year

Recipient(s) paid during the year

Name

TRJ COUNTY OPPORTUNITIES INDUSTRIALIZATION CENTER INC

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

Yes No

If "Yes," the foundation doesn't qualify under section 4940(e). Do not complete this part

Street

500 MACLAY ST

City

HARRISBURG

State

PA

Zip Code

17110

Foreign Country

Relationship

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL SUPPORT GRANT

Amount

5,000

Name

Street

City

State

Zip Code

Foreign Country

Relationship

Foundation Status

art I, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

Description	CUSIP #	Check "X" if to include in Part IV	Purchaser	Check "X" if Purchaser is a Business	Acquisition Method	Date Acquired	Date Sold	Capital Gains/Losses		Gross Sales Price	Cost or Other Basis	Valuation Method	Expense of Sale and Cost of Improvements	Depreciation	Adjustments	Net Gain or Loss
								Gross Sales	Other sales							
1 INV BALANCE RISK COMM ST	0088B1508	X				8/25/2016	1/18/2017	2,539	2,513	76,935	0		68,768	0		
2 AMER CENT SMALL CAP GRV	025083320	X				7/24/2015	1/18/2017	825	839							
3 AMER CENT SMALL CAP GRV	025083320	X				7/24/2015	5/24/2017	3,900	3,703							
4 ARTISAN INTERNATIONAL FT	04314H402	X				2/17/2012	4/20/2017	8,701	6,939							
5 ARTISAN MID CAP FUND-INS	04314H600	X				1/22/2015	10/23/2017	514	525							
6 BLACKROCK GL US CREDIT	091936732	X				10/27/2015	1/18/2017	489	499							
7 CREDIT SUISSE COMM RET	22544R305	X				7/12/2013	1/18/2017	32	46							
8 CREDIT SUISSE COMM RET	22544R305	X				1/23/2014	1/18/2017	1,083	1,522							
9 CREDIT SUISSE COMM RET	22544R305	X				1/22/2015	1/18/2017	1,274	1,451							
10 DODGE & COX INTL STOCK	22544R305	X				1/17/2015	1/18/2017	195	181							
11 DODGE & COX INTL STOCK	256206103	X				2/17/2012	1/18/2017	627	525							
12 DODGE & COX INTL STOCK	256206103	X				2/17/2012	10/23/2017	844	590							
13 DODGE & COX INCOME FDC	256210105	X				10/5/2016	4/20/2017	1,509	1,521							
14 EATON VANCE GLOBAL MAC	277923728	X				10/27/2015	1/18/2017	254	253							
15 EATON VANCE GLOBAL MAC	277923728	X				10/27/2015	10/23/2017	2,095	1,997							
16 EATON VANCE GLOBAL MAC	277923728	X				4/22/2016	10/23/2017	182	178							
17 EATON VANCE GLOBAL MAC	277923728	X				8/25/2016	10/23/2017	5,123	5,075							
18 EATON VANCE GLOBAL MAC	277923728	X				4/20/2017	10/23/2017	146	146							
19 NEUBERGER BERMAN LONG	6412R8608	X				6/18/2014	1/18/2017	223	219							
20 OPPENHEIMER DEVELOPING	683974604	X				2/17/2012	4/20/2017	751	694							
21 OPPENHEIMER DEVELOPING	683974604	X				2/17/2012	10/23/2017	2,032	1,607							
22 BOSTON P LINGSHIRT RES-IN	74929K581	X				7/12/2013	10/23/2017	2,040	1,846							
23 BOSTON P LINGSHIRT RES-IN	74929K581	X				5/24/2017	10/23/2017	1,909	1,843							
24 T. ROWE PRICE OVERSEAS STO	77956H435	X				4/20/2017	10/23/2017	892	770							
25 T. ROWE PRICE INST FLOAT	77956H402	X				1/23/2014	1/18/2017	553	565							
26 T. ROWE PRICE INST FLOAT	77956H402	X				4/24/2014	1/18/2017	1,375	1,395							
27 T. ROWE PRICE INST FLOAT	77956H402	X				8/25/2016	1/18/2017	838	830							
28 FID ADV EMER MKTS INC- CI	315920702	X				8/25/2016	4/20/2017	183	182							
29 JP MORGAN MID CAP VALUE	339128100	X				1/22/2015	1/18/2017	294	298							
30 HARBOR CAPITAL APRCTON	411511504	X				8/28/2015	1/18/2017	1,220	1,295							
31 HARBOR CAPITAL APRCTON	411511504	X				8/25/2016	1/18/2017	1,263	1,291							
32 HARBOR CAPITAL APRCTON	411511504	X				10/28/2014	4/20/2017	817	792							
33 HARBOR CAPITAL APRCTON	411511504	X				10/24/2014	10/23/2017	1,279	1,047							
34 HARBOR CAPITAL APRCTON	411511504	X				10/28/2014	10/23/2017	562	465							
35 HARBOR CAPITAL APRCTON	411511504	X				8/25/2016	10/23/2017	1,468	1,211							
36 HARBOR CAPITAL APRCTON	411511504	X				1/22/2015	10/23/2017	147	119							
37 JPMORGAN HIGH YIELD FUN	4812C0803	X				12/19/2014	1/18/2017	403	438							
38 JPMORGAN HIGH YIELD FUN	4812C0803	X				7/24/2015	1/18/2017	4,522	4,625							
39 JPMORGAN HIGH YIELD FUN	4812C0803	X				11/12/2015	1/18/2017	38	36							
40 JPMORGAN HIGH YIELD FUN	4812C0803	X				8/25/2016	1/18/2017	1,729	1,717							
41 JPMORGAN HIGH YIELD FUN	4812C0803	X				4/22/2016	1/18/2017	65	62							
42 JPMORGAN HIGH YIELD FUN	4812C0803	X				1/22/2015	1/18/2017	213	205							
43 MFS VALUE FUND-CLASS I #	552983694	X				7/24/2015	1/18/2017	394	381							
44 MFS VALUE FUND-CLASS I #	552983694	X				8/25/2016	1/18/2017	2,098	2,062							
45 MFS VALUE FUND-CLASS I #	552983694	X				6/18/2014	1/18/2017	1,211	1,155							
46 MFS VALUE FUND-CLASS I #	552983694	X				5/19/2014	10/23/2017	575	488							
47 MFS VALUE FUND-CLASS I #	552983694	X				2/11/2013	1/18/2017	203	205							
48 MERGER FUND-INST #301	589509207	X				8/25/2016	10/23/2017	1,905	1,866							
49 MERGER FUND-INST #301	589509207	X				5/24/2017	10/23/2017	2,464	2,367							
50 MERGER FUND-INST #301	589509207	X				7/12/2013	1/18/2017	391	770							
51 MERGER FUND-INST #301	589509207	X				4/22/2016	1/18/2017	264	259							
52 ASG GLOBAL ALTERNATIVES	85917K546	X				7/25/2016	1/18/2017	744	701							
53 T. ROWE PRICE INST FLOAT	77956H402	X				4/20/2017	1/18/2017	249	247							
54 STERLING CAPITAL STRATTC	85917K546	X				6/18/2014	5/24/2017	3,556	3,275							
55 STERLING CAPITAL STRATTC	85917K546	X				8/25/2016	10/23/2017	183	157							
56 STERLING CAPITAL STRATTC	85917K546	X														
57 STERLING CAPITAL STRATTC	85917K546	X														

Totals		Capital Gains/Losses		Gross Sales		Cost or Other Basis		Expense of Sale and Cost of Improvements		Depreciation and Adjustments		Net Gain or Loss	
Long Term CG Distributions	Short Term CG Distributions	Amount	6,315	0	0	76,935	0	68,768	0	0	0	0	0

Part I, Line 16b (990-PF) - Accounting Fees

		899	0	0	899
Description		Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes (Cash Basis Only)
1	TAX PREP FEES	899			899

Part I, Line 16c (990-PF) - Other Professional Fees

		188	0	0	188
Description		Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes (Cash Basis Only)
1	GRANT ADMINISTRATION FEE	188			188

Part I, Line 18 (990-PF) - Taxes

		144	77	0	0
Description		Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
1	FOREIGN TAX WITHHELD	77	77		
2	PY EXCISE TAX DUE	7			
3	ESTIMATED EXCISE PAYMENTS	60			

Part II, Line 13 (990-PF) - Investments - Other

	Asset Description	Basis of Valuation	Book Value Beg. of Year	Book Value End of Year	FMV End of Year
1			0	217,556	252,937
2	DODGE & COX INCOME FD COM 147		0	9,936	10,649
3	HARBOR CAPITAL APRCTION-INST 2012		0	17,505	27,202
4	ARTISAN MID CAP FUND-INSTL 1333		0	10,258	10,655
5	MET WEST TOTAL RETURN BOND CL I 51		0	23,463	23,143
6	FID ADV EMER MKTS INC- CL I 607		0	14,769	15,520
7	DODGE & COX INT'L STOCK FD 1048		0	6,399	9,110
8	AMER CENT SMALL CAP GRWTH INST 336		0	3,362	4,239
9	MFS VALUE FUND-CLASS I 893		0	20,244	29,041
10	JP MORGAN MID CAP VALUE-I 758		0	8,310	11,931
11	STERLING CAPITAL STRATTON SMALL CA		0	3,205	3,722
12	T ROWE PR OVERSEAS STOCK-I #521		0	7,919	9,162
13	T ROWE PR REAL ESTATE-I #432		0	14,159	14,395
14	ASG GLOBAL ALTERNATIVES-Y 1993		0	5,352	5,443
15	VANGUARD REIT VIPER		0	2,821	3,900
16	AQR MANAGED FUTURES STR-I		0	8,823	8,138
17	VANGUARD INFLAT-PROT SECS-ADM 511		0	1,666	1,641
18	JPMORGAN HIGH YIELD FUND SS 3580		0	3,467	3,612
19	T ROWE PRICE INST FLOAT RATE 170		0	1,592	1,607
20	EATON VANCE GLOB MACRO ADV-I 208		0	7,915	7,649
21	BLACKROCK GL L/S CREDIT-K #1940		0	9,034	9,125
22	OPPENHEIMER DEVELOPING MKT-I 799		0	12,015	15,939
23	JOHN HANCOCK II-CURR STR-I 3643		0	5,273	5,268
24	SPDR DJ WILSHIRE INTERNATIONAL REA		0	7,465	8,260
25	NEUBERGER BERMAN LONG SH-INS #183		0	12,604	13,586

Part III (990-PF) - Changes in Net Assets or Fund Balances

Line 5 - Decreases not included in Part III, Line 2

1	MUTUAL FUND TIMING DIFFERENCE	1	3
2	PY RETURN OF CAPITAL ADJUSTMENT	2	116
3	Total	3	119

Region Managers

		3,907		0			
State	Zip Code	Foreign Country	Title	Avg Hrs Per Week	Compensation	Benefits	Expense Account
NV	89118		TRUSTEE	SEE ATTAC	3,907		

Part VI, Line 6a (990-PF) - Estimated Tax Payments

	Date		Amount
1 Credit from prior year return		1	0
2 First quarter estimated tax payment	5/10/2017	2	60
3 Second quarter estimated tax payment		3	
4 Third quarter estimated tax payment		4	
5 Fourth quarter estimated tax payment		5	
6 Other payments		6	0
7 Total		7	60