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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.
▶ Information about Form 990-PF and its instructions is at *www.irs.gov/form990pf*.

OMB No 1545-0052

2017

Open to Public Inspection

For calendar year 2017, or tax year beginning 01-01-2017 , and ending 12-31-2017

Name of foundation
FRANK AND LYDIA BERGEN FOUNDATION

A Employer identification number
22-6359304

Number and street (or P O box number if mail is not delivered to street address)
6325 S RAINBOW BLVD STE 300

Room/suite

B Telephone number (see instructions)
(855) 739-2921

City or town, state or province, country, and ZIP or foreign postal code
LAS VEGAS, NV 89118

C If exemption application is pending, check here ▶ ☐

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐
2 Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization ☑ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year *(from Part II, col (c), line 16)*▶$ 9,703,150

J Accounting method ☐ Cash ☐ Accrual ☐ Other (specify) ______
(Part I, column (d) must be on cash basis)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*

	Line	Description	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)				
	2	Check ▶ ☑ if the foundation is **not** required to attach Sch B				
	3	Interest on savings and temporary cash investments				
	4	Dividends and interest from securities	165,177	163,708		
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	345,053			
	b	Gross sales price for all assets on line 6a 2,706,444				
	7	Capital gain net income (from Part IV, line 2)		345,053		
	8	Net short-term capital gain			0	
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)				
	12	**Total.** Add lines 1 through 11	510,230	508,761		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc	98,993	74,245		24,748
	14	Other employee salaries and wages		0	0	0
	15	Pension plans, employee benefits		0	0	
	16a	Legal fees (attach schedule)				0
	b	Accounting fees (attach schedule)	1,000	0	0	1,000
	c	Other professional fees (attach schedule)	3,220			3,220
	17	Interest				0
	18	Taxes (attach schedule) (see instructions)	17,231	2,766		0
	19	Depreciation (attach schedule) and depletion	0	0		
	20	Occupancy				
	21	Travel, conferences, and meetings		0	0	
	22	Printing and publications		0	0	
	23	Other expenses (attach schedule)				
	24	**Total operating and administrative expenses.** Add lines 13 through 23	120,444	77,011	0	28,968
	25	Contributions, gifts, grants paid	350,000			350,000
	26	**Total expenses and disbursements.** Add lines 24 and 25	470,444	77,011	0	378,968
	27	Subtract line 26 from line 12				
	a	**Excess of revenue over expenses and disbursements**	39,786			
	b	**Net investment income** (if negative, enter -0-)		431,750		
	c	**Adjusted net income**(if negative, enter -0-)			0	

Part II **Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

			Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing			
	2	Savings and temporary cash investments	566,150	563,590	563,590
	3	Accounts receivable ▶			
		Less allowance for doubtful accounts ▶		0	0
	4	Pledges receivable ▶			
		Less allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶			
		Less allowance for doubtful accounts ▶ 0			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)	4,738,860		
	c	Investments—corporate bonds (attach schedule)	3,014,557		
	11	Investments—land, buildings, and equipment basis ▶			
		Less accumulated depreciation (attach schedule) ▶			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)		7,799,131	9,139,560
	14	Land, buildings, and equipment basis ▶			
		Less accumulated depreciation (attach schedule) ▶			
	15	Other assets (describe ▶)			
	16	**Total assets** (to be completed by all filers—see the instructions Also, see page 1, item I)	8,319,567	8,362,721	9,703,150
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	**Total liabilities**(add lines 17 through 22)		0	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☑ and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds	8,319,567	8,362,721	
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	**Total net assets or fund balances** (see instructions)	8,319,567	8,362,721	
	31	**Total liabilities and net assets/fund balances** (see instructions)	8,319,567	8,362,721	

Part III **Analysis of Changes in Net Assets or Fund Balances**

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	8,319,567
2	Enter amount from Part I, line 27a	2	39,786
3	Other increases not included in line 2 (itemize) ▶	3	5,006
4	Add lines 1, 2, and 3	4	8,364,359
5	Decreases not included in line 2 (itemize) ▶	5	1,638
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	8,362,721

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a See Additional Data Table			
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a See Additional Data Table			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a See Additional Data Table			
b			
c			
d			
e			

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	345,053
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☑ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2016	424,619	8,748,927	0 048534
2015	470,684	9,227,366	0 05101
2014	489,170	9,697,446	0 050443
2013	462,676	9,431,284	0 049058
2012	460,540	9,074,417	0 050751

2 Total of line 1, column (d)	2	0 249796
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 049959
4 Enter the net value of noncharitable-use assets for 2017 from Part X, line 5	4	9,302,648
5 Multiply line 4 by line 3	5	464,751
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	4,318
7 Add lines 5 and 6	7	469,069
8 Enter qualifying distributions from Part XII, line 4	8	378,968

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 Date of ruling or determination letter ________ **(attach copy of letter if necessary–see instructions)**				
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b			1	8,635
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)				
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			2	0
3	Add lines 1 and 2			3	8,635
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			4	0
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-			5	8,635
6	Credits/Payments				
a	2017 estimated tax payments and 2016 overpayment credited to 2017	6a	9,254		
b	Exempt foreign organizations—tax withheld at source	6b			
c	Tax paid with application for extension of time to file (Form 8868)	6c			
d	Backup withholding erroneously withheld	6d			
7	Total credits and payments Add lines 6a through 6d			7	9,254
8	Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached			8	0
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶			9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶			10	619
11	Enter the amount of line 10 to be **Credited to 2018 estimated tax** ▶ 619 **Refunded** ▶			11	0

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		No
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)?	1b		No
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ▶ $ ________ **(2)** On foundation managers ▶ $ ________			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ________			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		No
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		No
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶NJ			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation*	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2017 or the taxable year beginning in 2017 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		No
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		No

Part VII-A Statements Regarding Activities (continued)

		Line	Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	

Website address ▶

14 The books are in care of ▶WELLS FARGO BANK NA Telephone no ▶(855) 739-2921

Located at ▶6325 S RAINBOW BLVD STE 300 LAS VEGAS NV ZIP+4 ▶89118

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —Check here . . . ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year . . . ▶ 15

		Line	Yes	No
16	At any time during calendar year 2017, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		No
	See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR) If "Yes", enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

			Line	Yes	No
1a	During the year did the foundation (either directly or indirectly)				
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☑ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☑ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☑ Yes ☐ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☑ Yes ☐ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☑ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days).	☐ Yes ☑ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?		1b		No
	Organizations relying on a current notice regarding disaster assistance check here. ▶	☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2017?		1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))				
a	At the end of tax year 2017, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2017?	☐ Yes ☑ No			
	If "Yes," list the years ▶ 20____ , 20____ , 20____ , 20____				
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions)		2b		No
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ 20____ , 20____ , 20____ , 20____				
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☑ No			
b	If "Yes," did it have excess business holdings in 2017 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2017).*		3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2017?		4b		No

Part VII-B **Statements Regarding Activities for Which Form 4720 May Be Required** (Continued)

5a	During the year did the foundation pay or incur any amount to				
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☑ No			
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☑ No			
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☑ No			
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 4945(d)(4)(A)? (see instructions)	☐ Yes ☑ No			
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☑ No			
b	If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)?		**5b**		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐				
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? *If "Yes," attach the statement required by Regulations section 53 4945–5(d)*	☐ Yes ☐ No			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes ☑ No			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? *If "Yes" to 6b, file Form 8870*		**6b**		No
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes ☑ No			
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?		**7b**		

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	Title, and average hours per week **(b)** devoted to position	**(c)** Compensation **(If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
WELLS FARGO BANK NA 6325 S RAINBOW BLVD STE 300 LAS VEGAS, NV 89118	TRUSTEE 0	98,993		

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	Title, and average hours per week **(b)** devoted to position	**(c)** Compensation	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
NONE				

Total number of other employees paid over $50,000. . . . . ▶ 0

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
NONE		

Total number of others receiving over $50,000 for professional services. . . . . ▶ 0

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments See instructions 3	
Total. Add lines 1 through 3 . . . . ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part Foreign foundations,see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes				
a	Average monthly fair market value of securities.			1a	9,129,546
b	Average of monthly cash balances.			1b	314,767
c	Fair market value of all other assets (see instructions).			1c	0
d	**Total** (add lines 1a, b, and c).			1d	9,444,313
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0		
2	Acquisition indebtedness applicable to line 1 assets.			2	0
3	Subtract line 2 from line 1d.			3	9,444,313
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).			4	141,665
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4			5	9,302,648
6	**Minimum investment return.** Enter 5% of line 5.			6	465,132

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6.			1	465,132
2a	Tax on investment income for 2017 from Part VI, line 5.	2a	8,635		
b	Income tax for 2017 (This does not include the tax from Part VI).	2b			
c	Add lines 2a and 2b.			2c	8,635
3	Distributable amount before adjustments Subtract line 2c from line 1.			3	456,497
4	Recoveries of amounts treated as qualifying distributions.			4	5,000
5	Add lines 3 and 4.			5	461,497
6	Deduction from distributable amount (see instructions).			6	0
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.			7	461,497

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	378,968
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	0
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	0
b	Cash distribution test (attach the required schedule).	3b	0
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	378,968
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions).	5	0
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4.	6	378,968

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2016	(c) 2016	(d) 2017
1 Distributable amount for 2017 from Part XI, line 7					461,497
2 Undistributed income, if any, as of the end of 2017					
a Enter amount for 2016 only.				0	
b Total for prior years 20___ , 20___ , 20___			0		
3 Excess distributions carryover, if any, to 2017					
a From 2012.	0				
b From 2013.	0				
c From 2014.	0				
d From 2015.	2,954				
e From 2016.	0				
f Total of lines 3a through e.		2,954			
4 Qualifying distributions for 2017 from Part XII, line 4 ▶ $ 378,968					
a Applied to 2016, but not more than line 2a				0	
b Applied to undistributed income of prior years (Election required—see instructions).			0		
c Treated as distributions out of corpus (Election required—see instructions).		0			
d Applied to 2017 distributable amount.					378,968
e Remaining amount distributed out of corpus		0			
5 Excess distributions carryover applied to 2017 *(If an amount appears in column (d), the same amount must be shown in column (a))*		2,954			2,954
6 Enter the net total of each column as indicated below:					
a Corpus Add lines 3f, 4c, and 4e Subtract line 5		0			
b Prior years' undistributed income Subtract line 4b from line 2b			0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.			0		
d Subtract line 6c from line 6b Taxable amount —see instructions			0		
e Undistributed income for 2016 Subtract line 4a from line 2a Taxable amount—see instructions				0	
f Undistributed income for 2017 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2018					79,575
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)		0			
8 Excess distributions carryover from 2012 not applied on line 5 or line 7 (see instructions) . . .		0			
9 Excess distributions carryover to 2018. Subtract lines 7 and 8 from line 6a		0			
10 Analysis of line 9					
a Excess from 2013. . . .	0				
b Excess from 2014. . . .	0				
c Excess from 2015. . . .	0				
d Excess from 2016. . . .	0				
e Excess from 2017. . . .	0				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2017, enter the date of the ruling. . . . . . . ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2017	(b) 2016	(c) 2015	(d) 2014	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter **(1)** Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test—enter **(1)** Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had $5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

Wells Fargo Philanthropic Services
6325 S RAINBOW BLVD STE 300
LAS VEGAS, NV 89118
(855) 739-2920
grantadministration@wellsfargo com

b The form in which applications should be submitted and information and materials they should include

Online application at www wellsfargo com/privatefoundations/bergen

c Any submission deadlines

April 10 or August 15

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Preference in helping students obtain a musical education, aid to organizations that present fine music, and arranging for musical entertainment for the educational and instruction of musical arts

Part XV **Supplementary Information** (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year* See Additional Data Table				
Total . . . . ▶ **3a**				350,000
b *Approved for future payment*				
Total . . . . ▶ **3b**				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments.					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities.			14	165,177	
5 Net rental income or (loss) from real estate					
a Debt-financed property.					
b Not debt-financed property.					
6 Net rental income or (loss) from personal property					
7 Other investment income.					
8 Gain or (loss) from sales of assets other than inventory			18	345,053	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e).				510,230	

13 Total. Add line 12, columns (b), (d), and (e). 13 510,230

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501 (c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of			
(1) Cash	1a(1)		No
(2) Other assets	1a(2)		No
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization	1b(1)		No
(2) Purchases of assets from a noncharitable exempt organization	1b(2)		No
(3) Rental of facilities, equipment, or other assets	1b(3)		No
(4) Reimbursement arrangements	1b(4)		No
(5) Loans or loan guarantees	1b(5)		No
(6) Performance of services or membership or fundraising solicitations	1b(6)		No
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		No

d If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line No	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☑ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

******	2018-04-12	******
Signature of officer or trustee	Date	Title

May the IRS discuss this return with the preparer shown below (see instr)? ☑ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ▶	PTIN
JOSEPH J CASTRIANO		2018-04-12	☑	P01251603

Firm's name ▶ PRICEWATERHOUSECOOPERS LLP — Firm's EIN ▶13-4008324

Firm's address ▶ 600 GRANT STREET PITTSBURGH, PA 15219 — Phone no (412) 355-6000

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
13289 094 INV BALANCE RISK COMM STR-Y 8611		2016-08-25	2017-01-18
1117 AMER CENT SMALL CAP GRWTH INST 336		2015-07-24	2017-01-18
2325 035 CREDIT SUISSE COMM RT ST-CO 2156		2016-01-22	2017-01-18
16061 206 CREDIT SUISSE COMM RT ST-CO 2156			2017-01-18
675 374 HARBOR CAPITAL APRCTION-INST 2012		2016-08-25	2017-01-18
433 938 JPMORGAN HIGH YIELD FUND SS 3580		2016-08-25	2017-01-18
24576 062 JPMORGAN HIGH YIELD FUND SS 3580			2017-01-18
3302 MFS VALUE FUND-CLASS I 893		2016-08-25	2017-01-18
6877 643 T ROWE PRICE INST FLOAT RATE 170			2017-01-18
727 357 T ROWE PRICE INST FLOAT RATE 170		2016-08-25	2017-01-18

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
92,492		91,562	930
16,453		16,744	-291
11,927		10,067	1,860
82,394		97,066	-14,672
39,753		40,955	-1,202
3,220		3,198	22
182,354		187,374	-5,020
120,886		118,806	2,080
69,464		70,629	-1,165
7,346		7,274	72

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			930
			-291
			1,860
			-14,672
			-1,202
			22
			-5,020
			2,080
			-1,165
			72

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
178 STERLING CAPITAL STRATTON SMALL CAP		2014-06-20	2017-01-18
418 296 ARTISAN INTERNATIONAL FD I 662		2017-01-18	2017-04-18
8045 537 ARTISAN INTERNATIONAL FD I 662		2011-08-26	2017-04-18
10643 03 DODGE & COX INCOME FD COM 147			2017-04-18
14253 97 DODGE & COX INCOME FD COM 147			2017-04-18
8363 JPMORGAN HIGH YIELD FUND SS 3580			2017-04-18
36818 MET WEST TOTAL RETURN BOND CL I 512			2017-04-18
2211 MET WEST TOTAL RETURN BOND CL I 512		2015-01-02	2017-04-18
5449 NEUBERGER BERMAN LONG SH-INS #1830		2014-06-20	2017-04-18
1927 T ROWE PRICE INST FLOAT RATE 170		2016-08-25	2017-04-18

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
14,710		13,912	798
11,750		11,060	690
225,999		165,784	60,215
146,342		139,636	6,706
195,992		195,237	755
62,137		61,296	841
392,848		400,020	-7,172
23,591		24,343	-752
73,888		70,456	3,432
19,405		19,270	135

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			798
			690
			60,215
			6,706
			755
			841
			-7,172
			-752
			3,432
			135

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1551 VANGUARD INFLAT-PROT SECS-ADM 5119			2017-04-18
2253 979 AMER CENT SMALL CAP GRWTH INST 336		2017-04-18	2017-05-24
6966 021 AMER CENT SMALL CAP GRWTH INST 336		2015-07-24	2017-05-24
1213 374 STERLING CAPITAL STRATTON SMALL CAP		2017-04-18	2017-05-24
474 626 STERLING CAPITAL STRATTON SMALL CAP		2014-06-20	2017-05-24
223 ARTISAN MID CAP FUND-INSTL 1333			2017-10-02
800 DODGE & COX INT'L STOCK FD 1048		2017-04-18	2017-10-02
1892 HARBOR CAPITAL APRCTION-INST 2012			2017-10-02
1232 MFS VALUE FUND-CLASS I 893			2017-10-02
1831 OPPENHEIMER DEVELOPING MKT-I 799			2017-10-02

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
40,326		41,397	-1,071
35,590		34,260	1,330
109,993		104,421	5,572
102,603		99,764	2,839
40,134		37,097	3,037
10,300		9,676	624
37,352		32,728	4,624
136,735		114,823	21,912
49,822		43,397	6,425
75,437		63,272	12,165

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-1,071
			1,330
			5,572
			2,839
			3,037
			624
			4,624
			21,912
			6,425
			12,165

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
3690 T ROWE PR OVERSEAS STOCK-I #521		2017-04-18	2017-10-02
CAPITAL GAIN DIVIDENDS	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
41,180		35,867	5,313
			234,021

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			5,313

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
MYRA S BARNES INTERMEDIATE SCHOOL 24 225 CLEVELAND AVE STATEN ISLAND, NY 10308	NONE	PC	GENERAL PURPOSE	25,000
THE METROPOLITAN MUSEUM OF ART 1000 FIFTH AVE NEW YORK, NY 10028	NONE	PC	GENERAL PURPOSE	10,000
MANHATTAN SCHOOL OF MUSIC 120 CLAREMONT AVE NEW YORK, NY 10027	NONE	PC	SCHOLARSHIP SUPPORT	18,000
PHILHARMONIC-SYMPHONY SOCIETY OF NEW YORK 10 LINCOLN CENTER PLAZA NEW YORK, NY 10023	NONE	PC	YOUNG PEOPLE'S CONCERTS FOR	20,000
AMERICAN SYMPHONY ORCHESTRA 333 WEST 39TH ST 1101 NEW YORK, NY 10018	NONE	PC	VANGUARD SERIES AT CARNEGIE	10,000
Total . . . . ▶ **3a**				350,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
ELAINE KAUFMAN CULTURAL CENTER GOODMAN HOUSE 129 W 67TH STREET NEW YORK, NY 10023	NONE	PC	GENERAL PURPOSE	15,000
THE LITTLE ORCHESTRA SOCIETY-ORPHEON IN 330 W 42ND STREET NEW YORK, NY 10036	NONE	PC	GENERAL PURPOSE	10,000
THE COLLEGE OF STATEN ISLAND FDN 2800 VICTORY BLVD STATEN ISLAND, NY 10314	NONE	PC	GENERAL PURPOSE	5,000
STATE THEATRE REGIONAL ARTS 11 LIVINGSTON AVENUE NEW BRUNSWICK, NJ 08901	NONE	PC	CLASSICAL EDUCATION &	10,000
NEIGHBORHOOD CENTER INC 278 KNIGHT AVE CAMDEN, NJ 08103	NONE	PC	GENERAL PURPOSE	10,000
Total . . . . ▶ 3a				350,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
NEW JERSEY SYMPHONY ORCHESTRA 60 PARK PLACE 9TH FLOOR NEWARK, NJ 07102	NONE	PC	GENERAL PURPOSE	5,000
NEWARK SCHOOL OF THE ARTS 89 LINCOLN PARK NEWARK, NJ 07102	NONE	PC	SCHOLARSHIPS AND CHAMBER	20,000
NEWARK BOYS CHORUS SCHOOL 1016 BROAD STREET NEWARK, NJ 07102	NONE	PC	MUSIC AMBASSADORS PRACTICE	20,000
PERKINS CENTER FOR THE ARTS 395 KINGS HIGHWAY MOORESTOWN, NJ 08057	NONE	PC	MUSICAL EDUCATION AND	15,000
NEW JERSEY FESTIVAL ORCHESTRA 224 E BROAD ST SUITE 6 WESTFIELD, NJ 07090	NONE	PC	MUSIC EDUCATION FOR	6,000
Total . . . . ▶ **3a**				350,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
WHARTON INSTITUTE OF THE PERFORMING ARTS60 LOCUST AVENUE BERKELEY, NJ 07922	NONE	PC	SCHOLARSHIPS	5,000
DISCOVERY ORCHESTRA INC 50 MT BETHEL ROAD PO BOX 4064 WARREN, NJ 070590064	NONE	PC	EDUCATIONAL DISCOVERY	20,000
NEW JERSEY PERFORMING ARTS CENTER ONE CENTER STREET NEWARK, NJ 07305	NONE	PC	MUSIC ADVANCEMENT	6,000
ST PAULS COMMUNITY DEVELOPMENT CORPORATION456 VAN HOUTEN STREET PATERSON, NJ 07501	NONE	PC	EL SISTEMA-INSPIRED STRINGS	10,000
NJ INTERGENERATIONAL ORCHESTRA 60 LOCUST AVENUE BERKELY HEIGHTS, NJ 07922	NONE	PC	FREE COMMUNITY CONCERT	10,000
Total . . . . ▶ 3a				350,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
TRENTON COMMUNITY SCHOOL INC PO BOX 5206 TRENTON, NJ 08638	NONE	PC	GENERAL PURPOSE	20,000
MONTCLAIR STATE UNIVERSITY FOUNDATION INCONE NORMAL AVE MONTCLAIR, NJ 07043	NONE	PC	GENERAL PURPOSE	10,000
ORPHEUS CHAMBER ORCHESTRA INC 490 RIVERSIDE DRIVE NEW YORK, NY 10027	NONE	PC	GENERAL PURPOSE	20,000
SOUTH ORANGE PERFORMING ARTS CENTER INC1 SOPAC WAY SOUTH ORANGE, NJ 07079	NONE	PC	PROMOTING CAREERS IN	10,000
SPHINX ORGANIZATION INC 400 RENAISSANCE CENTER STE 2550 DETROIT, MI 48243	NONE	PC	SPHINX VIRTUOSI/CARNEGIE	10,000
Total . . . . ▶ 3a				350,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
UNION CITY MUSIC PROJECT 560 32ND STREET UNION CITY, NJ 07087	NONE	PC	GENERAL PURPOSE	5,000
ST BENEDICT'S PREPARATORY SCHOOL 520 DR MARTIN LUTHER KING JR BLVD NEWARK, NJ 07102	NONE	PC	ACOUSTIC TREATMENT OF BAND	5,000
MR HOLLAND'S OPUS FOUNDATION INC 4370 TUJUNGA AVE STE 330 STUDIO CITY, CA 91604	NONE	PC	GENERAL PURPOSE	20,000
Total . . . . ▶ **3a**				350,000

TY 2017 Accounting Fees Schedule

Name: FRANK AND LYDIA BERGEN FOUNDATION

EIN: 22-6359304

Accounting Fees Schedule

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
TAX PREPARATION FEE (NON-ALLOC	1,000			1,000

TY 2017 Compensation Explanation

Name: FRANK AND LYDIA BERGEN FOUNDATION

EIN: 22-6359304

Person Name	Explanation
WELLS FARGO BANK NA	SEE ATTACHED FOOTNOTE

TY 2017 Investments Corporate Bonds Schedule

Name: FRANK AND LYDIA BERGEN FOUNDATION

EIN: 22-6359304

Investments Corporate Bonds Schedule

Name of Bond	End of Year Book Value	End of Year Fair Market Value
256210105 DODGE & COX INCOME F		
315920702 FID ADV EMER MKTS IN		
4812C0803 JPMORGAN HIGH YIELD		
77958B402 T ROWE PRICE INST FL		
091936732 BLACKROCK GL L/S CRE		
592905509 MET WEST TOTAL RETUR		
922031737 VANGUARD INFLAT-PROT		

TY 2017 Investments Corporate Stock Schedule

Name: FRANK AND LYDIA BERGEN FOUNDATION

EIN: 22-6359304

Name of Stock	End of Year Book Value	End of Year Fair Market Value
339128100 JP MORGAN MID CAP VA		
256206103 DODGE & COX INT'L ST		
922908553 VANGUARD REIT VIPER		
22544R305 CREDIT SUISSE COMM R		
411511504 HARBOR CAPITAL APRCT		
552983694 MFS VALUE FUND-CLASS		
78463X863 SPDR DJ WILSHIRE INT		
04314H600 ARTISAN MID CAP FUND		
277923728 EATON VANCE GLOBAL M		
63872T885 ASG GLOBAL ALTERNATI		
00203H859 AQR MANAGED FUTURES		
589509207 MERGER FUND-INST #30		
64128R608 NEUBERGER BERMAN LON		
683974604 OPPENHEIMER DEVELOPI		
025083320 AMER CENT SMALL CAP		
04314H402 ARTISAN INTERNATIONA		
779919109 T ROWE PRICE REAL ES		
85917K546 STERLING CAPITAL STR		
00888Y508 INV BALANCE RISK COM		

TY 2017 Investments - Other Schedule

Name: FRANK AND LYDIA BERGEN FOUNDATION

EIN: 22-6359304

Investments Other Schedule 2

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
78463X863 SPDR DJ WILSHIRE INT	AT COST	257,026	291,326
256210105 DODGE & COX INCOME F	AT COST	337,377	374,973
589509207 MERGER FUND-INST #30	AT COST	185,596	188,023
592905509 MET WEST TOTAL RETUR	AT COST	825,075	812,062
277923728 EATON VANCE GLOBAL M	AT COST	271,657	271,472
256206103 DODGE & COX INT'L ST	AT COST	205,189	327,074
025083320 AMER CENT SMALL CAP	AT COST	125,737	157,579
00203H859 AQR MANAGED FUTURES	AT COST	293,359	265,478
552983694 MFS VALUE FUND-CLASS	AT COST	722,607	1,050,994
85917K546 STERLING CAPITAL STR	AT COST	127,481	145,284
63872T885 ASG GLOBAL ALTERNATI	AT COST	200,294	202,125
922031737 VANGUARD INFLAT-PROT	AT COST	62,915	61,972
04314H600 ARTISAN MID CAP FUND	AT COST	388,443	404,177
315920702 FID ADV EMER MKTS IN	AT COST	525,321	551,413
77956H435 T ROWE PR OVERSEAS S	AT COST	287,238	333,338
4812C0803 JPMORGAN HIGH YIELD	AT COST	123,166	128,725
09258N505 BLACKROCK GL L/S CRE	AT COST	316,078	319,145
683974604 OPPENHEIMER DEVELOPI	AT COST	450,346	589,420
74925K581 ROBECO BP LNG/SHRT R	AT COST	140,396	151,045
411511504 HARBOR CAPITAL APRCT	AT COST	635,287	996,361
922908553 VANGUARD REIT VIPER	AT COST	120,573	134,760
77958B402 T ROWE PRICE INST FL	AT COST	58,783	59,427
64128R608 NEUBERGER BERMAN LON	AT COST	320,251	351,486
339128100 JP MORGAN MID CAP VA	AT COST	304,832	445,320
779919307 T ROWE PR REAL ESTAT	AT COST	514,104	526,581

TY 2017 Other Decreases Schedule

Name: FRANK AND LYDIA BERGEN FOUNDATION

EIN: 22-6359304

Description	Amount
MUTUAL FUND TIMING DIFFERENCE	1,638

TY 2017 Other Increases Schedule

Name: FRANK AND LYDIA BERGEN FOUNDATION

EIN: 22-6359304

Description	Amount
RECOVERY OF GRANTS PAID	5,000
ROUNDING ADJUSTMENT	6

TY 2017 Other Professional Fees Schedule

Name: FRANK AND LYDIA BERGEN FOUNDATION

EIN: 22-6359304

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
GRANT ADMIN FEES	3,220			3,220

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TY 2017 Taxes Schedule

Name: FRANK AND LYDIA BERGEN FOUNDATION

EIN: 22-6359304

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAXES	1,822	1,822		0
ESTIMATED EXCISE TAX	9,254	0		0
FEDERAL EXCISE TAX PAID	5,211	0		0
FOREIGN TAXES ON QUALIFIED FOR	282	282		0
FOREIGN TAXES ON NONQUALIFIED	662	662		0