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Form **990-PF**
Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ **Do not enter social security numbers on this form as it may be made public.**
▶ **Information about Form 990-PF and its instructions is at *www.irs.gov/form990pf*.**

OMB No 1545-0052

2017

Open to Public Inspection

For calendar year 2017, or tax year beginning 01-01-2017 , and ending 12-31-2017

Name of foundation
SOPHIE & ARTHUR BRODY FOUNDATION
C/O LOWENSTEIN SANDLER ET AL

A Employer identification number
22-6097164

Number and street (or P O box number if mail is not delivered to street address)
65 LIVINGSTON AVENUE

Room/suite

B Telephone number (see instructions)
(510) 990-6011

City or town, state or province, country, and ZIP or foreign postal code
ROSELAND, NJ 07068

C If exemption application is pending, check here ▶ ☐

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐
2 Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization ☑ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year *(from Part II, col (c), line 16)* ▶$ 5,329,177

J Accounting method ☑ Cash ☐ Accrual ☐ Other (specify) _____
(Part I, column (d) must be on cash basis)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*

			(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)	0			
	2	Check ▶ ☑ if the foundation is **not** required to attach Sch B				
	3	Interest on savings and temporary cash investments	569	569		
	4	Dividends and interest from securities	114,779	114,779		
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	1,142,953			
	b	Gross sales price for all assets on line 6a 2,835,133				
	7	Capital gain net income (from Part IV, line 2)		1,142,953		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)	453	453		
	12	**Total.** Add lines 1 through 11	1,258,754	1,258,754		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc	0	0		0
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)				
	b	Accounting fees (attach schedule)	5,114	0		5,114
	c	Other professional fees (attach schedule)				
	17	Interest				
	18	Taxes (attach schedule) (see instructions)	5,308	337		0
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses (attach schedule)	11,004	10,962		42
	24	**Total operating and administrative expenses.** Add lines 13 through 23	21,426	11,299		5,156
	25	Contributions, gifts, grants paid	319,500			319,500
	26	**Total expenses and disbursements.** Add lines 24 and 25	340,926	11,299		324,656
	27	Subtract line 26 from line 12				
	a	**Excess of revenue over expenses and disbursements**	917,828			
	b	**Net investment income** (if negative, enter -0-)		1,247,455		
	c	**Adjusted net income**(if negative, enter -0-)				

Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing	50,348	64	64
	2 Savings and temporary cash investments			
	3 Accounts receivable ▶			
	Less allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶ 2,178,161			
	Less allowance for doubtful accounts ▶ 0	1,783,175	2,178,161	2,178,161
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments—U S and state government obligations (attach schedule)			
	b Investments—corporate stock (attach schedule)	2,787,165	3,139,392	3,139,392
	c Investments—corporate bonds (attach schedule)			
	11 Investments—land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶			
	12 Investments—mortgage loans			
	13 Investments—other (attach schedule)	19,446	10,537	10,537
	14 Land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶			
	15 Other assets (describe ▶)	8,823	1,023	1,023
	16 **Total assets** (to be completed by all filers—see the instructions Also, see page 1, item I)	4,648,957	5,329,177	5,329,177
Liabilities	17 Accounts payable and accrued expenses	681	681	
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶)	0	22,024	
	23 **Total liabilities**(add lines 17 through 22)	681	22,705	
Net Assets or Fund Balances	**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☑ and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	0	0	
	28 Paid-in or capital surplus, or land, bldg , and equipment fund	0	0	
	29 Retained earnings, accumulated income, endowment, or other funds	4,648,276	5,306,472	
	30 **Total net assets or fund balances** (see instructions)	4,648,276	5,306,472	
	31 **Total liabilities and net assets/fund balances** (see instructions)	4,648,957	5,329,177	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	4,648,276
2	Enter amount from Part I, line 27a	2	917,828
3	Other increases not included in line 2 (itemize) ▶	3	35,462
4	Add lines 1, 2, and 3	4	5,601,566
5	Decreases not included in line 2 (itemize) ▶	5	295,094
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	5,306,472

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	See Additional Data Table			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	See Additional Data Table			
b				
c				
d				
e				

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a	See Additional Data Table			
b				
c				
d				
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 }	2	1,142,953
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☑ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2016	284,969	4,349,908	0 065512
2015	192,340	4,377,327	0 043940
2014	228,554	4,641,630	0 049240
2013	49,618	4,149,211	0 011958
2012	2,478,791	4,039,542	0 613632

2	**Total** of line 1, column (d)	2	0 784282
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 156856
4	Enter the net value of noncharitable-use assets for 2017 from Part X, line 5	4	4,909,382
5	Multiply line 4 by line 3	5	770,066
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	12,475
7	Add lines 5 and 6	7	782,541
8	Enter qualifying distributions from Part XII, line 4	8	324,656

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI **Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)**

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter _____ **(attach copy of letter if necessary–see instructions)**			
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b		1	24,949
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	0
3	Add lines 1 and 2		3	24,949
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	0
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-		5	24,949
6	Credits/Payments			
a	2017 estimated tax payments and 2016 overpayment credited to 2017 — 6a: 4,200			
b	Exempt foreign organizations—tax withheld at source — 6b:			
c	Tax paid with application for extension of time to file (Form 8868) — 6c:			
d	Backup withholding erroneously withheld — 6d: 0			
7	Total credits and payments Add lines 6a through 6d		7	4,200
8	Enter any **penalty** for underpayment of estimated tax Check here ☑ if Form 2220 is attached		8	2
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶		9	20,751
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶		10	
11	Enter the amount of line 10 to be **Credited to 2018 estimated tax** ▶ **Refunded** ▶		11	

Part VII-A **Statements Regarding Activities**

1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		No
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)?	1b		No
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ▶ $ 0 **(2)** On foundation managers ▶ $ 0			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ 0			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		No
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		No
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ NJ			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation* .	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2017 or the taxable year beginning in 2017 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		No
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		No

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	

Website address ▶ N/A

14 The books are in care of ▶ SOPHIE ARTHUR BRODY FOUNDATION Telephone no ▶ (510) 990-6011

Located at ▶ 800 ADDISON STREET BERKELEY CA ZIP+4 ▶ 94710

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —Check here ▶ ☐ and enter the amount of tax-exempt interest received or accrued during the year ▶ 15

16	At any time during calendar year 2017, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR) If "Yes", enter the name of the foreign country ▶	16		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

			Yes	No
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☑ No		
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☑ No		
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☑ No		
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☑ No		
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☑ No		
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days).	☐ Yes ☑ No		
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2017?	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2017, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2017? If "Yes," list the years ▶ 20____, 20____, 20____, 20____	☐ Yes ☑ No		
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions)	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☑ No		
b	If "Yes," did it have excess business holdings in 2017 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2017).*	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2017?	4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (Continued)

5a	During the year did the foundation pay or incur any amount to				
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes	☑ No		
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	☐ Yes	☑ No		
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes	☑ No		
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 4945(d)(4)(A)? (see instructions)	☐ Yes	☑ No		
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes	☑ No		
b	If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)?			**5b**	
	Organizations relying on a current notice regarding disaster assistance check here ▶	☐			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	☐ Yes	☐ No		
	If "Yes," attach the statement required by Regulations section 53 4945–5(d)				
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes	☑ No		
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?			**6b**	**No**
	If "Yes" to 6b, file Form 8870				
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes	☑ No		
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?			**7b**	

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
JANICE BRODY 820 BEAR GULCH ROAD WOODSIDE, CA 940624430	PRESIDENT 0 75	0	0	0
DONALD BRODY 650 SPRUCE STREET BERKELEY, CA 94707	SECRETARY 0 75	0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	Title, and average hours per week (b) devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
NONE				

Total number of other employees paid over $50,000 . . . . . ▶ 0

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over $50,000 for professional services . . . . . ▶ 0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments See instructions 3	
Total. Add lines 1 through 3 . . . . . ▶	0

Part X Minimum Investment Return (All domestic foundations must complete this part Foreign foundations,see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities	1a	2,659,623
b	Average of monthly cash balances	1b	25,206
c	Fair market value of all other assets (see instructions)	1c	2,299,315
d	**Total** (add lines 1a, b, and c)	1d	4,984,144
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e 0		
2	Acquisition indebtedness applicable to line 1 assets	2	0
3	Subtract line 2 from line 1d	3	4,984,144
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	74,762
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	4,909,382
6	**Minimum investment return.** Enter 5% of line 5	6	245,469

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6			1	245,469
2a	Tax on investment income for 2017 from Part VI, line 5	2a	24,949		
b	Income tax for 2017 (This does not include the tax from Part VI)	2b			
c	Add lines 2a and 2b			2c	24,949
3	Distributable amount before adjustments Subtract line 2c from line 1			3	220,520
4	Recoveries of amounts treated as qualifying distributions			4	0
5	Add lines 3 and 4			5	220,520
6	Deduction from distributable amount (see instructions)			6	0
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1			7	220,520

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26	1a	324,656
b	Program-related investments—total from Part IX-B	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	324,656
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	0
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	324,656

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2016	(c) 2016	(d) 2017
1 Distributable amount for 2017 from Part XI, line 7				220,520
2 Undistributed income, if any, as of the end of 2017				
a Enter amount for 2016 only.			0	
b Total for prior years 20___, 20___, 20___		0		
3 Excess distributions carryover, if any, to 2017				
a From 2012. 2,278,796				
b From 2013.				
c From 2014.				
d From 2015.				
e From 2016. 71,655				
f Total of lines 3a through e.	2,350,451			
4 Qualifying distributions for 2017 from Part XII, line 4 ▶ $ 324,656				
a Applied to 2016, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2017 distributable amount.				220,520
e Remaining amount distributed out of corpus	104,136			
5 Excess distributions carryover applied to 2017	0			0
(If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	2,454,587			
b Prior years' undistributed income Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d Subtract line 6c from line 6b Taxable amount —see instructions		0		
e Undistributed income for 2016 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2017 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2018				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0			
8 Excess distributions carryover from 2012 not applied on line 5 or line 7 (see instructions) . . .	2,278,796			
9 Excess distributions carryover to 2018. Subtract lines 7 and 8 from line 6a	175,791			
10 Analysis of line 9				
a Excess from 2013. . . .				
b Excess from 2014. . . .				
c Excess from 2015. . . .				
d Excess from 2016. . . . 71,655				
e Excess from 2017. . . . 104,136				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2017, enter the date of the ruling. . . . . ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2017	(b) 2016	(c) 2015	(d) 2014	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . .					
c "Support" alternative test—enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). . . .					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had $5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☑ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or email address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year* See Additional Data Table				
Total . . . . . ▶ **3a**				319,500
b *Approved for future payment*				
Total . . . . . ▶ **3b**				0

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	569	
4 Dividends and interest from securities			14	114,779	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income			14	453	
8 Gain or (loss) from sales of assets other than inventory			18	1,142,953	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)		0		1,258,754	0
13 **Total.** Add line 12, columns (b), (d), and (e)				13	1,258,754

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)

Part XVII **Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501 (c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of			
(1) Cash	1a(1)		No
(2) Other assets	1a(2)		No
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization	1b(1)		No
(2) Purchases of assets from a noncharitable exempt organization	1b(2)		No
(3) Rental of facilities, equipment, or other assets	1b(3)		No
(4) Reimbursement arrangements	1b(4)		No
(5) Loans or loan guarantees	1b(5)		No
(6) Performance of services or membership or fundraising solicitations	1b(6)		No
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		No

d If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line No	**(b)** Amount involved	**(c)** Name of noncharitable exempt organization	**(d)** Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☑ No

b If "Yes," complete the following schedule

(a) Name of organization	**(b)** Type of organization	**(c)** Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

******	2018-05-15	******
Signature of officer or trustee	Date	Title

May the IRS discuss this return with the preparer shown below (see instr)? ☑ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ▶ ☐	PTIN
DENNIS M LAVINE				P00100915
Firm's name ▶ LAVINE LOFGREN MORRIS & ENGELBERG LLP				Firm's EIN ▶33-0690020
Firm's address ▶ 4180 LA JOLLA VILLAGE DR STE 300 LA JOLLA, CA 92037				Phone no (858) 455-1200

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, **(a)** 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
CHARLES SCHWAB #3448 SHORT TERM	P		
CHARLES SCHWAB #3448 LONG TERM	P		
CHARLES SCHWAB #1001 SHORT TERM	P		
CHARLES SCHWAB #1001 LONG TERM	P		
SEC 1231 LOSS FROM PARTNERSHIP - YOSEMITE	P		
CAPITAL GAINS DIVIDENDS	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed **(f)** (or allowable)	Cost or other basis **(g)** plus expense of sale	Gain or (loss) **(h)** (e) plus (f) minus (g)
495		508	-13
495		452	43
70,975		75,231	-4,256
2,747,547		1,613,423	1,134,124
		2,566	-2,566
15,621			15,621

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			Gains (Col (h) gain minus col (k), but not less than -0-) **or** **(l)** Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis **(j)** as of 12/31/69	Excess of col (i) **(k)** over col (j), if any	
			-13
			43
			-4,256
			1,134,124
			-2,566
			15,621

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
ABILITIES UNITED 525 E CHARLESTON ROAD PALO ALTO, CA 94306		501C(3)	GENERAL OPERATIONS	300
ALVIN AILEY DANCE FOUNDATION 405 WEST 55TH STREET NEW YORK, NY 10019		501C(3)	GENERAL OPERATIONS	500
ALZHEIMER'S ASSOCIATION 225 N MICHIGAN AVENUE CHICAGO, IL 60601		501C(3)	GENERAL OPERATIONS	500
AMNESTY INTERNATIONAL PO BOX 96756 WASHINGTON, DC 20077		501C(3)	GENERAL OPERATIONS	1,000
ART OF YOGA FOUNDATION 555 BRYANT ST 232 PALO ALTO, CA 94301		501C(3)	GENERAL OPERATIONS	2,000
Total . . . . . ▶ 3a				319,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
ARTHRITIS FOUNDATION 657 MISSION STREET SUITE 603 SAN FRANCISCO, CA 94105		501C(3)	GENERAL OPERATIONS	500
BAY AREA CANCER CONNECTIONS 2335 EL CAMINO REAL PALO ALTO, CA 94306		501C(3)	GENERAL OPERATIONS	2,000
BAY AREA CHILDREN'S THEATER 2162 MOUNTAIN BLVD OAKLAND, CA 94611		501C(3)	GENERAL OPERATIONS	10,000
BAY AREA LYME FOUNDATION 884 PORTOLA ROAD SUITE A7 PORTOLA VALLEY, CA 94028		501C(3)	GENERAL OPERATIONS	500
BREAST CANCER ACTION 657 MISSION STREET SUITE 302 SAN FRANCISCO, CA 94105		501C(3)	GENERAL OPERATIONS	500
Total . . . . . ▶ 3a				319,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
CANOPY3921 EAST BAYSHORE ROAD PALO ALTO, CA 94303		501C(3)	GENERAL OPERATIONS	4,500
CENTER FOR EXCELLENCE IN NON PROFITS 330 TWIN DOLPHIN DRIVE 151 REDWOOD CITY, CA 94965		501C(3)	GENERAL OPERATIONS	1,500
CHALLENGED ATHLETES 9591 WAPLES STREET SAN DIEGO, CA 92121		501C(3)	GENERAL OPERATIONS	1,000
DOCTORS WITHOUT BORDERS PO BOX 5022 HAGERSTOWN, MD 21741		501C(3)	GENERAL OPERATIONS	500
DREAM CORPS 1611 TELEGRAPH AVE STE 600 OAKLAND, CA 94612		501C(3)	GENERAL OPERATIONS	2,000
Total . . . . . ▶ 3a				319,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
ELIZABETH GLAZER FOUNDATION 1140 CONNECTICUT AVE NW SUITE 200 WASHINGTON, DC 20036		501C(3)	GENERAL OPERATIONS	300
ENVIRONMENTAL DEFENSE FUND 257 PARK AVENUE SOUTH NEW YORK, NY 10010		501C(3)	GENERAL OPERATIONS	250
ENVIRONMENTAL VOLUNTEERS 2560 EMBARCADERO ROAD PALO ALTO, CA 94303		501C(3)	GENERAL OPERATIONS	250
FAMILY CONNECTIONSPO BOX 358 SAN CARLOS, CA 94070		501C(3)	GENERAL OPERATIONS	300
FOUNDATION FOR A COLLEGE EDUCATION 2160 EUCLID AVE EAST PALO ALTO, CA 94303		501C(3)	GENERAL OPERATIONS	115,000
Total . . . . . ▶ 3a				319,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
FRIENDS OF THE CHILDREN 44 NE MORRIS PORTLAND, OR 97212		501C(3)	GENERAL OPERATIONS	300
GIRL UP 1750 PENNSYLVANIA AVE NW SUITE 300 WASHINGTON, DC 20006		501C(3)	GENERAL OPERATIONS	2,500
GIRLS MIDDLE SCHOOL 3400 W BAYSHORE ROAD PALO ALTO, CA 94393		501C(3)	GENERAL OPERATIONS	500
GLOBAL FUND FOR WOMEN 800 MARKET STREET SAN FRANCISCO, CA 94102		501C(3)	GENERAL OPERATIONS	2,500
HARLEM CHILDREN'S ZONE 35 EAST 125TH ST NEW YORK, NY 10035		501C(3)	GENERAL OPERATIONS	500
Total 3a . . . . . ▶				319,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
HEAD ROYCE SCHOOL 4315 LINCOME AVE OAKLAND, CA 94602		501C(3)	GENERAL OPERATIONS	15,000
HESPERIAN FOUNDATION 1919 ADDISON SUITE SUITE 304 BERKELEY, CA 94704		501C(3)	GENERAL OPERATIONS	250
INNVISION SHELTER NETWORK 181 CONSTITUTION DRIVE MENLO PARK, CA 94025		501C(3)	GENERAL OPERATIONS	750
JEWISH FEDERATION OF EAST BAY 2121 ALLSTON WAY SUITE 200 BERKELEY, CA 94704		501C(3)	GENERAL OPERATIONS	25,000
KQED2601 MARIPOSA ST SAN FRANCISCO, CA 94110		501C(3)	GENERAL OPERATIONS	2,000
Total . . . . . ▶ 3a				319,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
MAKE-A-WISH FOUNDATION PO BOX 7167 SAN FRANCISCO, CA 94120		501C(3)	GENERAL OPERATIONS	1,000
MUSIC IN THE SCHOOLSPO BOX 60012 PALO ALTO, CA 94306		501C(3)	GENERAL OPERATIONS	500
MY NEW RED SHOES 330 TWIN DOLPHIN DRIVE SUITE 135 REDWOOD CITY, CA 94065		501C(3)	GENERAL OPERATIONS	750
NATURE CONSERVANCY THE 4245 N FAIRFAX DR SUITE 100 ARLINGTON, VA 22203		501C(3)	GENERAL OPERATIONS	500
NCEFT880 RUNNYMEDE RD WOODSIDE, CA 94062		501C(3)	GENERAL OPERATIONS	5,000
Total . . . . . ▶ 3a				319,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
ONE WORLD CHILDREN'S FUND 1016 LINCOLN BLVD SAN FRANCISCO, CA 94129		501C(3)	GENERAL OPERATIONS	1,000
OXFAM AMERICAPO BOX 7011 ALBERT LEA, MN 56007		501C(3)	GENERAL OPERATIONS	250
PENINSULA HUMANE SOCIETY 12 AIRPORT BLVD SAN MATEO, CA 94401		501C(3)	GENERAL OPERATIONS	1,200
PLANNED PARENTHOODPO BOX 97166 WASHINGTON, DC 20077		501C(3)	GENERAL OPERATIONS	2,500
PROJECT OPEN HAND730 POLK STREET SAN FRANCISCO, CA 94109		501C(3)	GENERAL OPERATIONS	500
Total . . . . . ▶ 3a				319,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
REDWOOD CITY LIBRARY FOUNDATION 1044 MIDDLEFIELD ROAD REDWOOD CITY, CA 94063		501C(3)	GENERAL OPERATIONS	1,000
RONALD MCDONALD HOUSE CHARITIES 26345 NETWORK PLACE CHICAGO, IL 60673		501C(3)	GENERAL OPERATIONS	1,500
ROOM TO READ 465 CALIFORNIA STREET SUITE 1000 SAN FRANCISCO, CA 94104		501C(3)	GENERAL OPERATIONS	2,000
SAN FRANCISCO BALLET 455 FRANKLIN STREET SAN FRANSISCO, CA 94102		501C(3)	GENERAL OPERATIONS	1,000
SAN FRANCISCO SYMPHONY DAVIES SYMPHONY HALL 201 VAN NESS SAN FRANSISCO, CA 94102		501C(3)	GENERAL OPERATIONS	1,000
Total 3a . . . . . ▶				319,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
SAN JOSE MUSEUM OF QUILTS & TEXTILES 520 S 1ST STREET SAN JOSE, CA 95113		501C(3)	GENERAL OPERATIONS	2,500
SIERRA CLUB FOUNDATION 85 SECOND STREET SUITE 750 SAN FRANCISCO, CA 94105		501C(3)	GENERAL OPERATIONS	150
SIERRA WATCH408 BROAD ST SUITE 12 NEVADA CITY, CA 95959		501C(3)	GENERAL OPERATIONS	250
SMILE TRAINPO BOX 96208 WASHINTON, DC 20090		501C(3)	GENERAL OPERATIONS	750
SOUTHERN POVERTY LAW CENTER 400 WASHINGTON AVE MONTGOMERY, AL 36104		501C(3)	GENERAL OPERATIONS	2,000
Total . . . . . ▶ 3a				319,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
UCSF FOUNDATIONBOX 45339 SAN FRANCISCO, CA 94145		501C(3)	GENERAL OPERATIONS	100,000
UNTIL THERE'S A CURE FOUNDATION 560 MTN HOME RD REDWOOD CITY, CA 94062		501C(3)	GENERAL OPERATIONS	300
VISTA CENTER FOR THE BLIND 2470 EL CAMINO REAL SUITE 107 PALO ALTO, CA 94306		501C(3)	GENERAL OPERATIONS	500
WOMEN FOR WOMENPO BOX 9224 CENTRAL ISLIP, NY 11722		501C(3)	GENERAL OPERATIONS	400
WOODSIDE PORTOLA VALLEY FIRE PROTECTION 3111 WOODSIDE ROAD WOODSIDE, CA 94062		501C(3)	GENERAL OPERATIONS	250
Total . . . . . ▶ 3a				319,500

TY 2017 Accounting Fees Schedule

Name: SOPHIE & ARTHUR BRODY FOUNDATION
C/O LOWENSTEIN SANDLER ET AL

EIN: 22-6097164

Accounting Fees Schedule

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING FEES	5,114	0		5,114

efile GRAPHIC print - DO NOT PROCESS	As Filed Data -	DLN: 93491135039398

TY 2017 Investments Corporate Stock Schedule

Name: SOPHIE & ARTHUR BRODY FOUNDATION
C/O LOWENSTEIN SANDLER ET AL

EIN: 22-6097164

Name of Stock	End of Year Book Value	End of Year Fair Market Value
CORPORATE STOCK	2,812,054	2,812,054
MUTUAL FUNDS	327,338	327,338

efile GRAPHIC print - DO NOT PROCESS	As Filed Data -	DLN: 93491135039398

TY 2017 Investments - Other Schedule

Name: SOPHIE & ARTHUR BRODY FOUNDATION
C/O LOWENSTEIN SANDLER ET AL

EIN: 22-6097164

Investments Other Schedule 2

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
YOSEMITE MORTGAGE FUND	AT COST	10,537	10,537

efile GRAPHIC print - DO NOT PROCESS	As Filed Data -	DLN: 93491135039398

TY 2017 Other Assets Schedule

Name: SOPHIE & ARTHUR BRODY FOUNDATION
C/O LOWENSTEIN SANDLER ET AL

EIN: 22-6097164

Other Assets Schedule

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
MISCELLANEOUS RECEIVABLE	8,823	1,023	1,023

efile GRAPHIC print - DO NOT PROCESS	As Filed Data -	DLN: 93491135039398

TY 2017 Other Decreases Schedule

Name: SOPHIE & ARTHUR BRODY FOUNDATION
C/O LOWENSTEIN SANDLER ET AL

EIN: 22-6097164

Description	Amount
UNREALIZED GAIN/(LOSS)	295,094

efile GRAPHIC print - DO NOT PROCESS | As Filed Data - | DLN: 93491135039398

TY 2017 Other Expenses Schedule

Name: SOPHIE & ARTHUR BRODY FOUNDATION
C/O LOWENSTEIN SANDLER ET AL

EIN: 22-6097164

Other Expenses Schedule

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT ADVISORY FEES	10,673	10,673		0
MISCELLANEOUS EXPENSE	42	0		42
RENTAL EXPENSE - YOSEMITE MORTGAGE FUND II, LLC	289	289		0

efile GRAPHIC print - DO NOT PROCESS	As Filed Data -	DLN: 93491135039398

TY 2017 Other Income Schedule

Name: SOPHIE & ARTHUR BRODY FOUNDATION
C/O LOWENSTEIN SANDLER ET AL

EIN: 22-6097164

Other Income Schedule

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
OTHER INCOME	453	453	453

efile GRAPHIC print - DO NOT PROCESS	As Filed Data -	DLN: 93491135039398

TY 2017 Other Increases Schedule

Name: SOPHIE & ARTHUR BRODY FOUNDATION
C/O LOWENSTEIN SANDLER ET AL

EIN: 22-6097164

Description	Amount
BOOK/TAX STOCK BASIS DIFFERENCES	35,462

efile GRAPHIC print - DO NOT PROCESS	As Filed Data -	DLN: 93491135039398

TY 2017 Other Liabilities Schedule

Name: SOPHIE & ARTHUR BRODY FOUNDATION
C/O LOWENSTEIN SANDLER ET AL

EIN: 22-6097164

Description	Beginning of Year - Book Value	End of Year - Book Value
CASH OVERDRAFT	0	22,024

TY 2017 Taxes Schedule

Name: SOPHIE & ARTHUR BRODY FOUNDATION
C/O LOWENSTEIN SANDLER ET AL

EIN: 22-6097164

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FEDERAL TAXES	4,971	0		0
FOREIGN TAXES	337	337		0