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Form **990-PF**

Department of the Treasury
Internal Revenue Service

# Return of Private Foundation

**or Section 4947(a)(1) Trust Treated as Private Foundation**

▶ Do not enter social security numbers on this form as it may be made public.

▶ Go to www.irs.gov/Form990PF for instructions and the latest information.

OMB No 1545-0052

**2017**

Open to Public Inspection

For calendar year 2017 or tax year beginning , 2017, and ending , 20

Name of foundation: Merck Company Foundation

A Employer identification number: 22-6028476

Number and street (or P O box number if mail is not delivered to street address): 2000 Galloping Hill Road, K5-3008G

Room/suite:

B Telephone number (see instructions):

City or town, state or province, country, and ZIP or foreign postal code: Kenilworth, NJ 07033

C If exemption application is pending, check here ▶ [ ]

G Check all that apply: [ ] Initial return [ ] Initial return of a former public charity [ ] Final return [ ] Amended return [ ] Address change [ ] Name change

D 1 Foreign organizations, check here ▶ [ ]
2 Foreign organizations meeting the 85% test, check here and attach computation ▶ [ ]

H Check type of organization: [X] Section 501(c)(3) exempt private foundation [ ] Section 4947(a)(1) nonexempt charitable trust [ ] Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ [ ]

I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶ $ 295,706,095

J Accounting method: [ ] Cash [X] Accrual [ ] Other (specify) (Part I, column (d) must be on cash basis )

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ [ ]

**Part I Analysis of Revenue and Expenses** (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions) )

| | | (a) Revenue and expenses per books | (b) Net investment income | (c) Adjusted net income | (d) Disbursements for charitable purposes (cash basis only) |
|---|---|---|---|---|---|
| **Revenue** | 1 Contributions, gifts, grants, etc received (attach schedule) | 50,000,116 | | | |
| | 2 Check ▶ [X] if the foundation is **not** required to attach Sch B | | | | |
| | 3 Interest on savings and temporary cash investments | 2,500,716 | 2,500,716 | | |
| | 4 Dividends and interest from securities | 2,103,694 | 2,103,694 | | |
| | 5a Gross rents | | | | |
| | b Net rental income or (loss) | | | | |
| | 6a Net gain or (loss) from sale of assets not on line 10 | | | | |
| | b Gross sales price for all assets on line 6a | | | | |
| | 7 Capital gain net income (from Part IV, line 2) | | 0 | | |
| | 8 Net short-term capital gain | | | 0 | |
| | 9 Income modifications | | | | |
| | 10a Gross sales less returns and allowances | | | | |
| | b Less Cost of goods sold | | | | |
| | c Gross profit or (loss) (attach schedule) | | | | |
| | 11 Other income (attach schedule) | 5,740,752 | 5,740,752 | | |
| | 12 **Total.** Add lines 1 through 11 | 60,345,278 | 10,345,162 | 0 | |
| **Operating and Administrative Expenses** | 13 Compensation of officers, directors, trustees, etc | | | | |
| | 14 Other employee salaries and wages | | | | |
| | 15 Pension plans, employee benefits | | | | |
| | 16a Legal fees (attach schedule) | | | | |
| | b Accounting fees (attach schedule) | | | | |
| | c Other professional fees (attach schedule) STMT 1 | 276,527 | 276,527 | | |
| | 17 Interest | | | | |
| | 18 Taxes (attach schedule) (see instructions) | 211,217 | 211,217 | | |
| | 19 Depreciation (attach schedule) and depletion | | | | |
| | 20 Occupancy | | | | |
| | 21 Travel, conferences, and meetings STMT 2 | | | | |
| | 22 Printing and publications | | | | |
| | 23 Other expenses (attach schedule) STMT 3 | 215,942 | | | 215,942 |
| | 24 **Total operating and administrative expenses.** Add lines 13 through 23 | 703,686 | 487,744 | 0 | 215,942 |
| | 25 Contributions, gifts, grants paid | 26,463,613 | | | 26,463,613 |
| | 26 **Total expenses and disbursements** Add lines 24 and 25 | 27,167,299 | 487,744 | 0 | 26,679,555 |
| | 27 Subtract line 26 from line 12 | | | | |
| | a **Excess of revenue over expenses and disbursements** | 33,177,979 | | | |
| | b **Net investment income** (if negative, enter -0-) | | 9,857,418 | | |
| | c **Adjusted net income** (if negative, enter -0-) | | | 0 | |

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## Part II Balance Sheets

| | Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions ) | Beginning of year (a) Book Value | End of year (b) Book Value | (c) Fair Market Value |
|---|---|---|---|---|
| **Assets** | 1 Cash - non-interest-bearing | 27,276,262 | 83,060,774 | 83,060,774 |
| | 2 Savings and temporary cash investments | | | |
| | 3 Accounts receivable ▶ 27,448 | | | |
| | Less allowance for doubtful accounts ▶ | 570,265 | 27,448 | 27,448 |
| | 4 Pledges receivable ▶ | | | |
| | Less allowance for doubtful accounts ▶ | | 0 | |
| | 5 Grants receivable | | | |
| | 6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) | | | |
| | 7 Other notes and loans receivable (attach schedule) ▶ | | | |
| | Less allowance for doubtful accounts ▶ | | 0 | |
| | 8 Inventories for sale or use | | | |
| | 9 Prepaid expenses and deferred charges | | | |
| | 10a Investments - U S and state government obligations (attach schedule) | | | |
| | b Investments - corporate stock (attach schedule) | | | |
| | c Investments - corporate bonds (attach schedule) | | | |
| | 11 Investments - land, buildings, and equipment basis ▶ | | | |
| | Less accumulated depreciation (attach schedule) ▶ | | 0 | |
| | 12 Investments - mortgage loans | | | |
| | 13 Investments - other (attach schedule) | 242,625,058 | 212,617,873 | 212,617,873 |
| | 14 Land, buildings, and equipment basis ▶ | | | |
| | Less accumulated depreciation (attach schedule) ▶ | | 0 | |
| | 15 Other assets (describe ▶ ) | | | |
| | 16 **Total assets** (to be completed by all filers - see the instructions Also, see page 1, item I) | 270,471,585 | 295,706,095 | 295,706,095 |
| **Liabilities** | 17 Accounts payable and accrued expenses | 12,344,454 | 8,771 | |
| | 18 Grants payable | | | |
| | 19 Deferred revenue | | | |
| | 20 Loans from officers, directors, trustees, and other disqualified persons | | | |
| | 21 Mortgages and other notes payable (attach schedule) | | | |
| | 22 Other liabilities (describe ▶ ) | | | |
| | 23 **Total liabilities** (add lines 17 through 22) | 12,344,454 | 8,771 | |
| **Net Assets or Fund Balances** | **Foundations that follow SFAS 117, check here** ▶ ☐ **and complete lines 24 through 26, and lines 30 and 31.** | | | |
| | 24 Unrestricted | | | |
| | 25 Temporarily restricted | | | |
| | 26 Permanently restricted | | | |
| | **Foundations that do not follow SFAS 117, check here** ▶ ☐ **and complete lines 27 through 31.** | | | |
| | 27 Capital stock, trust principal, or current funds | | | |
| | 28 Paid-in or capital surplus, or land, bldg , and equipment fund | | | |
| | 29 Retained earnings, accumulated income, endowment, or other funds | 258,127,133 | 295,697,325 | |
| | 30 **Total net assets or fund balances** (see instructions) | 258,127,133 | 295,697,325 | |
| | 31 **Total liabilities and net assets/fund balances** (see instructions) | 270,471,587 | 295,706,096 | |

## Part III Analysis of Changes in Net Assets or Fund Balances

| | | | |
|---|---|---|---|
| 1 | Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) | 1 | 258,127,133 |
| 2 | Enter amount from Part I, line 27a | 2 | 33,177,979 |
| 3 | Other increases not included in line 2 (itemize) ▶ | 3 | 4,392,213 |
| 4 | Add lines 1, 2, and 3 | 4 | 295,697,325 |
| 5 | Decreases not included in line 2 (itemize) ▶ | 5 | |
| 6 | Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30 | 6 | 295,697,325 |

## Part IV Capital Gains and Losses for Tax on Investment Income

| | (a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co ) | (b) How acquired P - Purchase D - Donation | (c) Date acquired (mo , day, yr ) | (d) Date sold (mo , day, yr ) |
|---|---|---|---|---|
| 1 a | | | | |
| b | | | | |
| c | | | | |
| d | | | | |
| e | | | | |

| | (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) ((e) plus (f) minus (g)) |
|---|---|---|---|---|
| a | | | | 0 |
| b | | | | 0 |
| c | | | | 0 |
| d | | | | 0 |
| e | | | | 0 |

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

| | (i) FMV as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col (i) over col (j), if any | (l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---|---|---|---|---|
| a | | | 0 | 0 |
| b | | | 0 | 0 |
| c | | | 0 | 0 |
| d | | | 0 | 0 |
| e | | | 0 | 0 |

| | | | |
|---|---|---|---|
| 2 | Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 } | 2 | 0 |
| 3 | Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) See instructions If (loss), enter -0- in Part I, line 8 | 3 | |

## Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income )

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? [X] Yes [ ] No

If "Yes," the foundation doesn't qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

| (a) Base period years Calendar year (or tax year beginning in) | (b) Adjusted qualifying distributions | (c) Net value of noncharitable-use assets | (d) Distribution ratio (col (b) divided by col (c)) |
|---|---|---|---|
| 2016 | | | 0.0000 |
| 2015 | | | 0.0000 |
| 2014 | | | 0.0000 |
| 2013 | | | 0.0000 |
| 2012 | | | 0.0000 |

| | | | |
|---|---|---|---|
| 2 | **Total** of line 1, column (d) | 2 | 0.0000 |
| 3 | Average distribution ratio for the 5-year base period - divide the total on line 2 by 5 0, or by the number of years the foundation has been in existence if less than 5 years | 3 | 0.0000 |
| 4 | Enter the net value of noncharitable-use assets for 2017 from Part X, line 5 | 4 | 259,356,287 |
| 5 | Multiply line 4 by line 3 | 5 | 0 |
| 6 | Enter 1% of net investment income (1% of Part I, line 27b) | 6 | 0 |
| 7 | Add lines 5 and 6 | 7 | 0 |
| 8 | Enter qualifying distributions from Part XII, line 4 | 8 | 0 |

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

## Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

| | | | |
|---|---|---|---|
| 1a | Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 . . . Date of ruling or determination letter ______ (attach copy of letter if necessary - see instructions) | | |
| b | Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b | 1 | 197,148 |
| c | All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b) | | |
| 2 | Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-) | 2 | |
| 3 | Add lines 1 and 2 | 3 | 197,148 |
| 4 | Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-) | 4 | |
| 5 | **Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0- | 5 | 197,148 |
| 6 | Credits/Payments | | |
| a | 2017 estimated tax payments and 2016 overpayment credited to 2017 . . . . 6a 211,217 | | |
| b | Exempt foreign organizations - tax withheld at source . . . 6b | | |
| c | Tax paid with application for extension of time to file (Form 8868) . . . 6c | | |
| d | Backup withholding erroneously withheld . . . 6d | | |
| 7 | Total credits and payments Add lines 6a through 6d | 7 | 211,217 |
| 8 | Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached | 8 | |
| 9 | **Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶ | 9 | 0 |
| 10 | **Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶ | 10 | 14,069 |
| 11 | Enter the amount of line 10 to be **Credited to 2018 estimated tax** ▶ 14,069 **Refunded** ▶ | 11 | 0 |

## Part VII-A Statements Regarding Activities

| | | | Yes | No |
|---|---|---|---|---|
| 1a | During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? | 1a | | X |
| b | Did it spend more than $100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition | 1b | | X |
| | If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities | | | |
| c | Did the foundation file **Form 1120-POL** for this year? | 1c | | X |
| d | Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ▶ $ ______ (2) On foundation managers ▶ $ ______ | | | |
| e | Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ______ | | | |
| 2 | Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities | 2 | | X |
| 3 | Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes | 3 | | X |
| 4a | Did the foundation have unrelated business gross income of $1,000 or more during the year? | 4a | | X |
| b | If "Yes," has it filed a tax return on **Form 990-T** for this year? | 4b | | |
| 5 | Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by *General Instruction T* | 5 | | X |
| 6 | Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either<br>• By language in the governing instrument, or<br>• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? | 6 | X | |
| 7 | Did the foundation have at least $5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV | 7 | X | |
| 8a | Enter the states to which the foundation reports or with which it is registered See instructions ▶ NEW JERSEY | | | |
| b | If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G*? If "No," attach explanation | 8b | X | |
| 9 | Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2017 or the tax year beginning in 2017? See the instructions for Part XIV If "Yes," complete Part XIV | 9 | | X |
| 10 | Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses | 10 | | X |

## Part VII-A Statements Regarding Activities *(continued)*

| | | | Yes | No |
|---|---|---|---|---|
| 11 | At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule See instructions | 11 | | X |
| 12 | Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement See instructions | 12 | | X |
| 13 | Did the foundation comply with the public inspection requirements for its annual returns and exemption application? | 13 | X | |

Website address ▶ NONE

14 The books are in care of ▶ TAXPAYER Telephone no ▶ 908-740-4000

Located at ▶ 2000 GALLOPING HILL ROAD, KENILWORTH, NJ ZIP+4 ▶ 07033-1310

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ 15

| | | | Yes | No |
|---|---|---|---|---|
| 16 | At any time during calendar year 2017, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? | 16 | | X |
| | See the instructions for exceptions and filing requirements for FinCEN Form 114 If "Yes," enter the name of the foreign country ▶ | | | |

## Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

**File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.**

| | | | | Yes | No |
|---|---|---|---|---|---|
| 1a | During the year, did the foundation (either directly or indirectly) | | | | |
| | **(1)** Engage in the sale or exchange, or leasing of property with a disqualified person? | ☐ Yes ☒ No | | | |
| | **(2)** Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? | ☐ Yes ☒ No | | | |
| | **(3)** Furnish goods, services, or facilities to (or accept them from) a disqualified person? | ☐ Yes ☒ No | | | |
| | **(4)** Pay compensation to, or pay or reimburse the expenses of, a disqualified person? | ☐ Yes ☒ No | | | |
| | **(5)** Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? | ☐ Yes ☒ No | | | |
| | **(6)** Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days ) | ☐ Yes ☒ No | | | |
| b | If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance? See instructions | | 1b | | X |
| | Organizations relying on a current notice regarding disaster assistance, check here ▶ ☐ | | | | |
| c | Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2017? | | 1c | | |
| 2 | Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)) | | | | |
| a | At the end of tax year 2017, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2017? | ☐ Yes ☒ No | | | |
| | If "Yes," list the years ▶ ____, ____, ____, ____ | | | | |
| b | Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions ) | | 2b | | |
| c | If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ ____, ____, ____, ____ | | | | |
| 3a | Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? | ☐ Yes ☒ No | | | |
| b | If "Yes," did it have excess business holdings in 2017 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2017 ) | | 3b | | |
| 4a | Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? | | 4a | | X |
| b | Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2017? | | 4b | | X |

## Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

| | | | Yes | No |
|---|---|---|---|---|
| 5a | During the year, did the foundation pay or incur any amount to | | | |
| | (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? . . . . . . ☐ Yes ☒ No | | | |
| | (2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? . . . . . . ☐ Yes ☒ No | | | |
| | (3) Provide a grant to an individual for travel, study, or other similar purposes? . . . . . . ☐ Yes ☒ No | | | |
| | (4) Provide a grant to an organization other than a charitable, etc, organization described in section 4945(d)(4)(A)? See instructions . . . . . . ☒ Yes ☐ No | | | |
| | (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? . . . . . . ☐ Yes ☒ No | | | |
| b | If any answer is "Yes" to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance? See instructions . . . . . . | 5b | | X |
| | Organizations relying on a current notice regarding disaster assistance, check here . . . . . . ▶ ☐ | | | |
| c | If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? . . . . . . ☒ Yes ☐ No | | | |
| | If "Yes," attach the statement required by Regulations section 53 4945-5(d) | | | |
| 6a | Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? . . . . . . ☐ Yes ☒ No | | | |
| b | Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? . . . . . . | 6b | | X |
| | If "Yes" to 6b, file Form 8870 | | | |
| 7a | At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? . . ☐ Yes ☒ No | | | |
| b | If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? . . . . . . | 7b | | |

## Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

**1 List all officers, directors, trustees, foundation managers and their compensation. See instructions.**

| (a) Name and address | (b) Title, and average hours per week devoted to position | (c) Compensation (If not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---|---|---|---|---|
| SEE ATTCHED STATEMENT 4 | | | 0 | |
| | | | | |
| | | | | |
| | | | | |

**2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."**

| (a) Name and address of each employee paid more than $50,000 | (b) Title, and average hours per week devoted to position | (c) Compensation | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---|---|---|---|---|
| NONE | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |

**Total** number of other employees paid over $50,000 . . . . . . ▶

**Part VIII** **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

**3 Five highest-paid independent contractors for professional services. See instructions. If none, enter "NONE."**

| (a) Name and address of each person paid more than $50,000 | (b) Type of service | (c) Compensation |
|---|---|---|
| NONE | | |
| | | |
| | | |
| | | |
| | | |

**Total** number of others receiving over $50,000 for professional services . . . . . . . . . . . . . . . . . . . . . . ▶

**Part IX-A** **Summary of Direct Charitable Activities**

| List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc | Expenses |
|---|---|
| 1 SEE ATTCHED STATEMENT 5 | 15,036,429 |
| 2 | |
| 3 | |
| 4 | |

**Part IX-B** **Summary of Program-Related Investments** (see instructions)

| Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2 | Amount |
|---|---|
| 1 NONE | |
| 2 | |
| All other program-related investments See instructions | |
| 3 | |
| **Total.** Add lines 1 through 3 . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . ▶ | 0 |

**Part X** **Minimum Investment Return** (All domestic foundations must complete this part Foreign foundations, see instructions )

| | | | |
|---|---|---|---|
| 1 | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc, purposes | | |
| a | Average monthly fair market value of securities | 1a | 263,305,875 |
| b | Average of monthly cash balances | 1b | |
| c | Fair market value of all other assets (see instructions) | 1c | |
| d | **Total** (add lines 1a, b, and c) | 1d | 263,305,875 |
| e | Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e | | |
| 2 | Acquisition indebtedness applicable to line 1 assets | 2 | |
| 3 | Subtract line 2 from line 1d | 3 | 263,305,875 |
| 4 | Cash deemed held for charitable activities Enter 1 1/2 % of line 3 (for greater amount, see instructions) | 4 | 3,949,588 |
| 5 | **Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4 | 5 | 259,356,287 |
| 6 | **Minimum investment return.** Enter 5% of line 5 | 6 | 12,967,814 |

**Part XI** **Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here ▶ ☐ and do not complete this part )

| | | | | | |
|---|---|---|---|---|---|
| 1 | Minimum investment return from Part X, line 6 | | | 1 | 12,967,814 |
| 2a | Tax on investment income for 2017 from Part VI, line 5 | 2a | 197,148 | | |
| b | Income tax for 2017 (This does not include the tax from Part VI ) | 2b | | | |
| c | Add lines 2a and 2b | | | 2c | 197,148 |
| 3 | Distributable amount before adjustments Subtract line 2c from line 1 | | | 3 | 12,770,666 |
| 4 | Recoveries of amounts treated as qualifying distributions | | | 4 | |
| 5 | Add lines 3 and 4 | | | 5 | 12,770,666 |
| 6 | Deduction from distributable amount (see instructions) | | | 6 | |
| 7 | **Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1 | | | 7 | 12,770,666 |

**Part XII** **Qualifying Distributions** (see instructions)

| | | | |
|---|---|---|---|
| 1 | Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes | | |
| a | Expenses, contributions, gifts, etc - total from Part I, column (d), line 26 | 1a | 26,679,555 |
| b | Program-related investments - total from Part IX-B | 1b | 0 |
| 2 | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc, purposes | 2 | |
| 3 | Amounts set aside for specific charitable projects that satisfy the | | |
| a | Suitability test (prior IRS approval required) | 3a | |
| b | Cash distribution test (attach the required schedule) | 3b | |
| 4 | **Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4 | 4 | 26,679,555 |
| 5 | Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b See instructions | 5 | |
| 6 | **Adjusted qualifying distributions.** Subtract line 5 from line 4 | 6 | 26,679,555 |

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

## Part XIII Undistributed Income (see instructions)

| | (a) Corpus | (b) Years prior to 2016 | (c) 2016 | (d) 2017 |
|---|---|---|---|---|
| 1 Distributable amount for 2017 from Part XI, line 7 | | | | 12,770,666 |
| 2 Undistributed income, if any, as of the end of 2017 | | | | |
| a Enter amount for 2016 only | | | | |
| b Total for prior years 20____, 20____, 20____ | | | | |
| 3 Excess distributions carryover, if any, to 2017 | | | | |
| a From 2012 . . . 32,979,453 | | | | |
| b From 2013 . . . 43,984,916 | | | | |
| c From 2014 . . . 25,218,322 | | | | |
| d From 2015 . . . 12,356,746 | | | | |
| e From 2016 . . . 12,793,472 | | | | |
| f Total of lines 3a through e | 127,332,909 | | | |
| 4 Qualifying distributions for 2017 from Part XII, line 4 ▶ $ 26,679,555 | | | | |
| a Applied to 2016, but not more than line 2a | | | | |
| b Applied to undistributed income of prior years (Election required - see instructions) | | | | |
| c Treated as distributions out of corpus (Election required - see instructions) | | | | |
| d Applied to 2017 distributable amount | | | | 12,770,666 |
| e Remaining amount distributed out of corpus | 13,908,889 | | | |
| 5 Excess distributions carryover applied to 2017 (If an amount appears in column (d), the same amount must be shown in column (a).) | | | | |
| 6 **Enter the net total of each column as indicated below:** | | | | |
| a Corpus Add lines 3f, 4c, and 4e Subtract line 5 | 141,241,798 | | | |
| b Prior years' undistributed income Subtract line 4b from line 2b | | 0 | | |
| c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed | | | | |
| d Subtract line 6c from line 6b Taxable amount - see instructions | | 0 | | |
| e Undistributed income for 2016 Subtract line 4a from line 2a Taxable amount - see instructions | | | 0 | |
| f Undistributed income for 2017 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2018 | | | | 0 |
| 7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions) | | | | |
| 8 Excess distributions carryover from 2012 not applied on line 5 or line 7 (see instructions) | 32,979,453 | | | |
| 9 **Excess distributions carryover to 2018.** Subtract lines 7 and 8 from line 6a | 108,262,345 | | | |
| 10 Analysis of line 9 | | | | |
| a Excess from 2013 . . . 43,984,916 | | | | |
| b Excess from 2014 . . . 25,218,322 | | | | |
| c Excess from 2015 . . . 12,356,746 | | | | |
| d Excess from 2016 . . . 12,793,472 | | | | |
| e Excess from 2017 . . . 13,908,889 | | | | |

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2017, enter the date of the ruling . . . . . . . . . . . . . . ▶

b Check box to indicate whether the foundation is a private operating foundation described in section [ ] 4942(j)(3) or [ ] 4942(j)(5)

| | Tax year (a) 2017 | Prior 3 years (b) 2016 | (c) 2015 | (d) 2014 | (e) Total |
|---|---|---|---|---|---|
| 2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed | | | | | 0 |
| b 85% of line 2a | 0 | 0 | 0 | 0 | 0 |
| c Qualifying distributions from Part XII, line 4 for each year listed | | | | | 0 |
| d Amounts included in line 2c not used directly for active conduct of exempt activities | | | | | 0 |
| e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c | 0 | 0 | 0 | 0 | 0 |
| 3 Complete 3a, b, or c for the alternative test relied upon | | | | | |
| a "Assets" alternative test - enter (1) Value of all assets | | | | | 0 |
| (2) Value of assets qualifying under section 4942(j)(3)(B)(i) | | | | | 0 |
| b "Endowment" alternative test-enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed | | | | | 0 |
| c "Support" alternative test - enter (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) | | | | | 0 |
| (2) Support from general public and 5 or more exempt organizations as provided in section 4942 (j)(3)(B)(iii) | | | | | 0 |
| (3) Largest amount of support from an exempt organization | | | | | 0 |
| (4) Gross investment income | | | | | 0 |

**Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year - see instructions.)**

**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2) )

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

N/A

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ [ ] if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc , to individuals or organizations under other conditions, complete items 2a, b, c, and d See instructions

a The name, address, and telephone number or email address of the person to whom applications should be addressed

LESLIE M HARDY, EXEC. VP, 908-740-4000, MERCK FOUNDATION, 2000 GALLOPING HILL RD. KENILWORTH, NJ 07033-1310

b The form in which applications should be submitted and information and materials they should include

SEE ATTACHED STATEMENT 6

c Any submission deadlines

SEE ATTACHED STATEMENT 6

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

**Part XV Supplementary Information** *(continued)*

**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

| Recipient<br>Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|---|---|---|
| **a** *Paid during the year* | | | | |
| SEE ATTACHED STATEMENT 7 | | | | 26,463,613 |
| **Total** . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . ▶ **3a** | | | | 26,463,613 |
| **b** *Approved for future payment* | | | | |
| SEE ATTACHED STATEMENT 8 | | | | 12,003,589 |
| **Total** . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . ▶ **3b** | | | | 12,003,589 |

## Part XVI-A Analysis of Income-Producing Activities

| Enter gross amounts unless otherwise indicated | Unrelated business income | | Excluded by section 512, 513, or 514 | | (e) Related or exempt function income (See instructions ) |
|---|---|---|---|---|---|
| | (a) Business code | (b) Amount | (c) Exclusion code | (d) Amount | |
| 1 Program service revenue | | | | | |
| a | | | | | |
| b | | | | | |
| c | | | | | |
| d | | | | | |
| e | | | | | |
| f | | | | | |
| g Fees and contracts from government agencies | | | | | |
| 2 Membership dues and assessments | | | | | |
| 3 Interest on savings and temporary cash investments | | | 14 | 2,500,716 | |
| 4 Dividends and interest from securities | | | 14 | 2,103,694 | |
| 5 Net rental income or (loss) from real estate | | | | | |
| a Debt-financed property | | | | | |
| b Not debt-financed property | | | | | |
| 6 Net rental income or (loss) from personal property | | | | | |
| 7 Other investment income | | | | | |
| 8 Gain or (loss) from sales of assets other than inventory | | | | | |
| 9 Net income or (loss) from special events | | | | | |
| 10 Gross profit or (loss) from sales of inventory | | | | | |
| 11 Other revenue a | | | 14 | 5,740,752 | |
| b | | | | | |
| c | | | | | |
| d | | | | | |
| e | | | | | |
| 12 Subtotal Add columns (b), (d), and (e) | | 0 | | 10,345,162 | 0 |

13 Total. Add line 12, columns (b), (d), and (e) . . . 13 10,345,162

(See worksheet in line 13 instructions to verify calculations )

## Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

| Line No. ▼ | Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions ) |
|---|---|
| | N/A |

## Part XVII Information Regarding Transfers to and Transactions and Relationships With Noncharitable Exempt Organizations

| | | | Yes | No |
|---|---|---|---|---|
| 1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | | |
| a | Transfers from the reporting foundation to a noncharitable exempt organization of | | | |
| | (1) Cash | 1a(1) | | X |
| | (2) Other assets | 1a(2) | | X |
| b | Other transactions | | | |
| | (1) Sales of assets to a noncharitable exempt organization | 1b(1) | | X |
| | (2) Purchases of assets from a noncharitable exempt organization | 1b(2) | | X |
| | (3) Rental of facilities, equipment, or other assets | 1b(3) | | X |
| | (4) Reimbursement arrangements | 1b(4) | | X |
| | (5) Loans or loan guarantees | 1b(5) | | X |
| | (6) Performance of services or membership or fundraising solicitations | 1b(6) | | X |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | | X |

d If the answer to any of the above is "Yes," complete the following schedule Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received

| (a) Line no | (b) Amount involved | (c) Name of noncharitable exempt organization | (d) Description of transfers, transactions, and sharing arrangements |
|---|---|---|---|
| | | | |

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

| (a) Name of organization | (b) Type of organization | (c) Description of relationship |
|---|---|---|
| | | |

**Sign Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer ~~(other than taxpayer)~~ is based on all information of which preparer has any knowledge

[signature] 11/2/18 SVP-Treasury

Signature of officer or trustee | Date | Title

Reviewed By Mike Robin MR

May the IRS discuss this return with the preparer shown below? See instructions ☐ Yes ☐ No

**Paid Preparer Use Only**

| Print/Type preparer's name | Preparer's signature | Date | Check ☐ if self-employed | PTIN |
|---|---|---|---|---|
| Firm's name ▶ | | | Firm's EIN ▶ | |
| Firm's address ▶ | | | Phone no | |



JSA
7E1493 1 000

**STATEMENT #1**

MERCK FOUNDATION (22-6028476)
FORM 990-PF 2017
OTHER EXPENSE
PART 1, LINE 16c

**PROFESSIONAL EXPENSES**

| | |
|---|---|
| Trustee/Custodian/Advisory Fees | 276,527 |
| | 276,527 |

**STATEMENT #2**

MERCK FOUNDATION (22-6028476)
FORM 990-PF 2016
EXCISE TAX DETAIL
PART 1 LINE 18

| | |
|---|---|
| 2016 Credit per T/R | $28,217 |
| 5/9/2017 | $42,000 |
| 6/10/2017 | $42,000 |
| 9/9/2017 | $57,000 |
| 12/11/2017 | $42,000 |
| Paid With 1st Extension | $0 |
| TOTAL | $211,217 |

**STATEMENT #3**

MERCK FOUNDATION (22-6028476)
FORM 990-PF 2016
OTHER EXPENSE
PART 1, LINE 23

| | |
|---|---|
| OUTSIDE SERVICES | 168,378 |
| OPERATING EXPENSES | 47,564 |
| MISCELLANEOUS EXPENSES | - |
| | 215,942 |

**STATEMENT #4**

**MERCK FOUNDATION (22-6028476)**
**FORM 990-PF PAGE 6 PART VIII INFORMATION ABOUT OFFICERS**
**2017**

| (a) NAME AND TITLE | (b) AVE HOURS/WEEK | (c) COMPENSATION | (d) EMPLOYEE BENEFITS | (e) EXPENSES & ALLOWANCE |
|---|---|---|---|---|
| Graddick-Weir, Mirian M - Chairperson of the Board | 0 | 0 | 0 | 0 |
| Gerberding, Julie L. - President | 0 | 0 | 0 | 0 |
| Colatrella, Brenda D - Executive Vice President | 0 | 0 | 0 | 0 |
| McGovern, Robert B - Vice President, Tax | 0 | 0 | 0 | 0 |
| Hardy, Leslie M -Vice President | 0 | 0 | 0 | 0 |
| McDonough, Mark E. - Treasurer | 0 | 0 | 0 | 0 |
| Dillane, Timothy - Assistant Treasurer | 0 | 0 | 0 | 0 |
| Lee, Juanita - Assistant Treasurer | 0 | 0 | 0 | 0 |
| Promo, Joseph - Assistant Treasurer | 0 | 0 | 0 | 0 |
| Simon, Mark J - Assistant Treasurer | 0 | 0 | 0 | 0 |
| Filderman, Jon - Secretary | 0 | 0 | 0 | 0 |
| Fedosz, Katie - Assistant Secretary | 0 | 0 | 0 | 0 |
| Mealey, Karen L - Assistant Secretary | 0 | 0 | 0 | 0 |

STATEMENT #5

MERCK FOUNDATION
22-6028476
FOUR LARGEST GRANTS MADE IN 2017
FORM 990-PF 2017, PAGE 7, PART IX-A

| Grant ID | Organization Name | Program Title | Payment Amount |
|---|---|---|---|
| | Partnership for Giving | Employee Engagament- match gift program/volunteer program | $12,255,334 33 |
| CCO-160919-016755 | University of New Mexico Health Sciences Center | Project ECHO Amplifying Access to Care in Asia | $1,034,000 00 |
| CCO-130930-011063 | Children's Inn at NIH | The Woodmont House and Isolation Project | $1,000,000 00 |
| CCO-160713-016405 | Christian Associations of the United States of America | YMCA's Diabetes Prevention Program | $747,095 00 |
| | | | $15,036,429 33 |

**STATEMENT 7**
**FORM 990-PF 2017**
**PAGE 11, PART XV, 3a**

**MERCK FOUNDATION**
**22-6028476**
**GRANTS**

| Grant ID | Organization Name | Program Title | Payment Amount |
|---|---|---|---|
| | Partnership for Giving | Employee Engagament- match gift program/volunteer program | $12,255,334 33 |
| CCO-131218-011409 | Kimmel Center, Inc | Multi-Year Proposal | $150,000 00 |
| CCO-160426-016003 | Children's Inn at NIH | Friends Fund Challenge Grant | $100,000 00 |
| CCO-160909-016669 | The Johns Hopkins University | Improving Cancer Care for the Underserved in Academic and Community Practice Settings | $400,000 00 |
| CCO-130930-011063 | Children's Inn at NIH | The Woodmont House and Isolation Project | $1,000,000 00 |
| CCO-170119-017193 | The Regents of the University of Michigan | National Program Office for the Alliance to advance patient centered cancer care | $554,469 00 |
| CCO-170321-017571 | Washington & Jefferson College | Washington & Jefferson College | $100,000 00 |
| CCO-150105-013271 | Dana-Farber Cancer Institute | Dana-Farber/Harvard Cancer Center's Continuing Umbrella of Research Experiences Program | $25,000 00 |
| CCO-170411-017751 | Breakthrough Greater Boston Inc | Full STEAM Ahead | $25,000 00 |
| CCO-170429-018067 | Citizen Schools, Inc | Citizen Schools Proposal to Merck Research Laboratories for Support of STEM and Health Science Appre | $75,000 00 |
| CCO-140131-011609 | New Jersey Performing Arts Center Corporation | Supporting Arts Education at New Jersey Performing Arts Center | $200,000 00 |
| CCO-160926-016767 | Triangle Land Conservancy | Environmental Stewardship, Education, and Community Gardens | $25,000 00 |
| CCO-170201-017265 | Wilson Community College Foundation | NOC Wellness Infusion Initiative (WII) | $25,000 00 |
| CCO-170320-017561 | Skyline Literacy, Inc | Bridging the Literacy Gap in Rockingham County, Virginia | $48,654 00 |
| CCO-170326-017595 | Contact We Care, Inc | Save Our Youth Expansion | $25,000 00 |
| CCO-170328-017617 | Junior Achievement of New Jersey | Building Financial Health & Well-Being among Union County Students and Beyond | $25,000 00 |
| CCO-170330-017631 | Women Aware, Inc | Health and Maternal Wellness for Victims of Domestic Violence | $25,000 00 |
| CCO-170401-017651 | Susan G Komen Breast Cancer Foundation Nebraska Affiliate | Promise Me | $10,000 00 |
| CCO-170403-017663 | Ravenswood Education Foundation | Ravenswood Education Foundation Science Initiative | $15,000 00 |

| Grant ID | Organization Name | Program Title | Payment Amount |
|---|---|---|---|
| CCO-170404-017671 | Children's Hospital & Medical Center Foundation | Carolyn Scott Rainbow House | $10,000 00 |
| CCO-170405-017683 | Community Servings, Inc | Food Is Medicine Nutrition Program for Individuals and Families Affected by Critical Illness | $50,000 00 |
| CCO-170406-017709 | Wesley Shelter, Inc | Wesley Shelter, Inc Domestic and Sexual Violence Response Agency | $25,000 00 |
| CCO-170411-017753 | South River Elementary School | We are App'd to learning! | $31,049 50 |
| CCO-170412-017765 | North Carolina State University Foundation, Inc | Merck Kenan Fellows STEM Partnership for Teacher Leaders | $25,000 00 |
| CCO-170412-017769 | Visiting Nurse Association of the Midlands | Visiting Nurse Association (VNA) Home Care | $10,000 00 |
| CCO-170418-017847 | Wilson County Interfaith Services, Inc | Linking the Homeless to Health Care | $25,000 00 |
| CCO-170420-017867 | Our Lady of Lourdes Regional School | Biomedical Science Program at Lourdes Regional-Year 1 Principles of Biomedical Science (PBS) | $21,243 88 |
| CCO-170424-017907 | Southern Columbia Area School District | Using Garden-Based Learning to Grow Student Achievement | $19,961 00 |
| CCO-170426-017957 | Opportunities Industrialization Center of Wilson, Inc | Healthy Choices - Friends and Family | $25,000 00 |
| CCO-170426-017961 | Peer Health Exchange Inc | Peer Health Exchange Empowering Young People to Make Healthy Decisions and Access Care | $25,000 00 |
| CCO-170426-017983 | Covenant House New Jersey | Raphael's Life House (RLH) Maternal Health Care | $20,000 00 |
| CCO-170426-017985 | Boys & Girls Club of Greater Durham | Project Learn Healthy Futures & Knowledge Builders | $25,000 00 |
| CCO-170427-018005 | Saint Columba School | Classroom and Community Program | $7,005 00 |
| CCO-170427-018013 | Wilson 2020 Community Vision Inc | Hominy Creek Greenway | $25,000 00 |
| CCO-170428-018031 | Hebrew SeniorLife, Inc | Cue the Music Expanding Access to Music & Memory (SM) for Seniors Receiving Long Term Chronic Care | $50,000 00 |
| CCO-170428-018037 | On the Road Collaborative | Edible Education Extended Learning Academy for Underserved Middle & High School Youth | $40,296 50 |
| CCO-170428-018039 | Big Brothers Big Sisters of Harrisonburg-Rockingham County | Young Women's Leadership Project | $30,000 00 |
| CCO-170428-018045 | Ronald McDonald House of Durham Inc | Long-Term Suite Adoption for Parents of Seriously Ill Children | $5,000 00 |

| Grant ID | Organization Name | Program Title | Payment Amount |
|---|---|---|---|
| CCO-170428-018053 | Heartland Equine Therapeutic Riding Academy | Expansion of the multi-disciplinary team of therapists, instructors, and horses to provide equine as | $10,000 00 |
| CCO-170428-018055 | Rahway River Watershed Association | Public Environmental Education Programing for Communities in The Rahway River Watershed | $25,000 00 |
| CCO-170428-018057 | North Carolina Museum of Life and Science | Science for All - Ignite Learning Support and East Durham Learning Loop | $25,000 00 |
| CCO-170430-018071 | Danville Head Start | Danville Head Start | $1,790 12 |
| CCO-170430-018077 | SAGE Eldercare, Inc | NJ Neighbor of Choice SAGE Eldercare, Caring for Older Adults in their Own Homes with Meals on Whee | $25,000 00 |
| CCO-170602-018187 | The University of Chicago | Bridging the Gap Reducing Disparities in Diabetes Care | $739,999 00 |
| CCO-160526-016221 | New Jersey Symphony Orchestra | Music Education & Community Engagement Programs | $200,000 00 |
| CCO-150528-014213 | Boys & Girls CLubs of Philadelphia | STEM Learning Labs | $25,000 00 |
| CCO-170127-017233 | Childhood Leukemia Foundation | Keeping Kids Connected Program | $25,000 00 |
| CCO-170127-017237 | Cancer Support Community Central New Jersey | Cancer Transitions | $30,000 00 |
| CCO-170207-017293 | Variety Club Camp & Developmental Center for Handicapped Children | Variety Day and Overnight Camping Programs | $25,000 00 |
| CCO-170216-017351 | Jersey Battered Women's Service | Community Counseling Services | $5,000 00 |
| CCO-170308-017487 | Upper Gwynedd Township | Upper Gwynedd Township Parks and Recreation | $11,500 00 |
| CCO-170321-017573 | Friends of Huddart & Wunderlich Parks | Youth Environmental Education Program at Huddart Park, San Mateo County, California | $25,000 00 |
| CCO-170322-017579 | North Penn Valley Boys & Girls Club | Project Learn | $25,000 00 |
| CCO-170403-017653 | Special Equestrians | Therapeutic Riding for Low-Income Individuals with Disabilities | $25,000 00 |
| CCO-170403-017657 | Cornerstone Family Programs | Our Sisterhood of Soldiers Recnnecting with our Female Vets (AKA Operation Sisterhood) | $25,000 00 |
| CCO-170406-017703 | Wissahickon Valley Watershed Association | Green Ribbon Trail - Stepping Stones & New Loop Trail | $25,000 00 |
| CCO-170411-017749 | Students 2 Science, Inc | Supporting STEM Education for Students through Merck Volunteers and Role Models in Merck NJ sites | $100,000 00 |

| Grant ID | Organization Name | Program Title | Payment Amount |
|---|---|---|---|
| CCO-170419-017855 | Mercy Vocational High School | Mercy Career & Technical High School - Virtual Reality Education Enhancement Program | $25,000 00 |
| CCO-170419-017865 | Metropolitan Area Neighborhood Nutrition Alliance | Nutrition Services for People with Critical Illnesses | $25,000 00 |
| CCO-170420-017877 | Family Promise, Inc | Family Promise Union County Family Wellness Initiative | $25,000 00 |
| CCO-170420-017881 | Mann Center for the Performing Arts | GreenSpring An Environmental Impact Reduction Project | $25,000.00 |
| CCO-170425-017923 | North Wales Area Library | Support of components necessary for life-long learning in a constantly changing world | $25,000 00 |
| CCO-170425-017929 | Everas Community Services, Inc | Community Garden Project for People with Intellectual and Developmental Disabilities | $25,000 00 |
| CCO-170426-017971 | Trinitas Health Foundation | Trinitas Emergency Department (ED) Nurse Boot Camp | $100,000 00 |
| CCO-170427-017995 | Robert Wood Johnson University Hospital at Rahway Foundation | Transitions in Care Program | $100,000 00 |
| CCO-170428-018065 | Link Education Partners | Summer Academy 2017 | $25,000 00 |
| CCO-140328-012031 | American Red Cross | The American Red Cross Annual Disaster Giving Program (ADGP) | $600,000 00 |
| CCO-170428-018027 | Perkiomen Watershed Conservancy | Perkiomen Source Water Protection Program | $25,000 00 |
| CCO-160316-015567 | Kent-Sussex Industries, Inc | Transportation Going Green | $25,000 00 |
| CCO-170222-017405 | Mitzvah Circle Foundation | Support for Diapers, Technology Capacity Upgrade, and Salaries | $25,000 00 |
| CCO-170306-017467 | Clearwater Valley Hospital and Clinics, Inc | Frontier Bridges for Diabetes | $300,000 00 |
| CCO-170403-017667 | La Clinica del Pueblo, Inc | Bridging the Gap La Clinica del Pueblo | $299,670 00 |
| CCO-170405-017687 | Barton College | Citizen Science Enhancement of student learning through monitoring flora, fauna, and soil recovery | $25,000 00 |
| CCO-170407-017713 | Trenton Health Team, Inc | Capital City Diabetes Collaborative | $298,769 00 |
| CCO-170408-017735 | Western Maryland Health System Corporation | Bridging the Gap | $295,542 00 |
| CCO-170412-017767 | The Gateway Family YMCA | Diabetes Prevention Program - Public Health Nurse | $35,000 00 |

| Grant ID | Organization Name | Program Title | Payment Amount |
|---|---|---|---|
| CCO-170413-017783 | Laurel House | Domestic Abuse Response Team | $25,000 00 |
| CCO-170414-017803 | Marshall University Research Corporation | Sustainable Community Health Workers and Improved High-Risk Diabetes Care in Appalachia | $299,820 00 |
| CCO-170415-017805 | Providence St Joseph Health | Providence Diabetes Collective Impact Initiative | $299,075 00 |
| CCO-170420-017871 | Boys & Girls Club of newark | STEM Education Program | $10,000 00 |
| CCO-170420-017873 | Broad Street Ministry | Hospitality Collaborative (HC) | $25,000 00 |
| CCO-170426-017967 | Manna on Main Street | General Operating Support | $25,000 00 |
| CCO-170426-017981 | The Association for the Preservation of the Eno River Vally, Inc | Iski on the Eno Protecting Our Water, Engaging Our Community, and Getting Our Kids Outdoors | $25,000 00 |
| CCO-170428-018023 | Santa Clara PAL | Police Activities League BMX Camp | $9,690 00 |
| CCO-170429-018069 | Marine Education, Research & Rehabilitation Institute, Inc | Traveling Ocean Classroom | $25,000 00 |
| CCO-160713-016405 | National Council of the Young Mens Christian Associations of the United States of America | YMCA's Diabetes Prevention Program | $747,095 00 |
| CCO-170421-017887 | North Penn YMCA | Partners For A Better Us | $25,000 00 |
| CCO-170425-017921 | The Eagles Charitable Foundation Inc. | Eagles Eye Mobile Program | $50,000 00 |
| CCO-170427-018015 | The Franklin Institute | Advancing STEM & Health Education for Youth | $35,000 00 |
| CCO-170428-018041 | Montgomery County College Foundation | Connector Walking Trail | $28,500 00 |
| CCO-170818-018439 | Nebraska Humane Society | The Molly Project | $10,000 00 |
| CCO-170324-017585 | Alameda County Public Health Department | Alameda County Diabetes Disparities Reduction Initiative | $300,000 00 |
| CCO-170328-017619 | Duke University | Enhancing a culture of inclusiveness for minority trainees and faculty through Duke BioCoRE | $25,000 00 |
| CCO-161227-017061 | Adler Aphasia Center | Adler Aphasia Center Increasing Access to Long-Term Aphasia Therapy for Stroke Survivors | $25,000 00 |
| CCO-170416-017813 | Minneapolis Health Department | Minneapolis Bridging the Gap in Diabetes Outcomes | $300,000 00 |

| Grant ID | Organization Name | Program Title | Payment Amount |
|---|---|---|---|
| CCO-170428-018021 | Kenilworth Historical Society Incorporated | Oswald J Nitschke House Teaching Gardens - Phase II | $25,000 00 |
| CCO-160318-015581 | The North Carolina A&T University Foundation Inc | Expanding the Center for Outreach in Alzheimer's, Aging and Community Health | $500,000 00 |
| CCO-151026-014823 | American Cancer Society, Inc | Care Coordination Navigation Program to Reduce Disparities | $454,600 00 |
| CCO-161104-016901 | The University of North Carolina at Chapel Hill | PEER SUPPORT TO ENHANCE THE SHANGHAI INTEGRATION MODEL OF DIABETES CARE EXTENSION & DISSEMINATION | $259,315 00 |
| CCO-160809-016517 | Henry W Grady Health System Foundation | Improving Access to Patient-Centered Cancer Care | $273,240 00 |
| CCO-160909-016669 | The Johns Hopkins University | Improving Cancer Care for the Underserved in Academic and Community Practice Settings | $400,000 00 |
| CCO-160913-016693 | Arizona Board of Regents-University of Arizona | Expanding Patient-Centered Cancer Care for Underserved Patients in Southern Arizona | $399,482 00 |
| CCO-160915-016733 | The Ohio State University Foundation | Using Technology and Navigation to Address Patients' Symptoms and Needs During Cancer Treatment | $272,546 00 |
| CCO-160915-016739 | Northwestern University | Alliance to Advance Patient-Centered Cancer Care | $399,955 00 |
| CCO-160915-016741 | Massachusetts General Hospital | Massachusetts General Hospital Cancer Center Patient Navigation for Underserved Populations | $392,159 00 |
| CCO-160919-016755 | University of New Mexico Health Sciences Center | Project ECHO Amplifying Access to Care in Asia | $1,034,000 00 |
| CCO-170119-017193 | The Regents of the University of Michigan | National Program Office for the Alliance to advance patient centered cancer care | $533,853 00 |
| | | | **$26,463,613.33** |

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MERCK FOUNDATION
22-6028476
PLEDGES

| Grant ID | Budget Line | Program Area | Organization Name | Program Title | Funding Term | Approved Amount ove | 2017 Milestone Payment | 2018 Milestone Payment | 2019 Milestone Payment | 2020 Milestone Payment | 2021 Milestone Payment |
|---|---|---|---|---|---|---|---|---|---|---|---|
| 11063 | Health | Health Services | Children's Inn at NIH | The Woodmont House and Isolation Project | 2014-2021 | $5 000,000 00 | $1,000,000 00 | $250,000 00 | $250,000 00 | $250,000 00 | $250 000 00 |
| 11409 | Community | Arts/Culture | Kimmel Center, Inc | Multi-Year Proposal | 2017-2019 | $450,000 00 | $150,000 00 | $150,000 00 | $150,000 00 | | |
| 11609 | Community Headquarters Relations Commitment | Arts/Culture | New Jersey Performing Arts Center Corporation | Supporting Arts Education at New Jersey Performing Arts Center | 2014-2018 | $1,000,000 00 | $200,000 00 | $200,000 00 | | | |
| 12031 | Community Disaster Relief Commitment | Social Services | American Red Cross | The American Red Cross Annual Disaster Giving Program (ADGP) | 2014-2017 | $2 400,000 00 | $600,000 00 | | | | |
| 14823 | Health | Health Services | American Cancer Society Inc. | Care Coordination Navigation Program to Reduce Disparities | 2015-2018 | $1,577,163 00 | $454,600 00 | $244,361 00 | | | |
| 15581 | Health | Health Services | The North Carolina A&T University Foundation Inc | Expanding the Center for Outreach in Alzheimer's, Aging and Community Health | 2016-2019 | $2,000,000 00 | $500,000 00 | $500,000 00 | $500,000 00 | | |
| 16003 | Health | Health Services | Children's Inn at NIH | Friends Fund Challenge Grant | 2017-2021 | $500,000 00 | $100,000 00 | $100,000 00 | $100,000 00 | $100,000 00 | $100,000 00 |
| 16221 | Community | Arts/Culture | New Jersey Symphony Orchestra | Music Education & Community Engagement Programs | 2016-2018 | $600,000 00 | $200,000 00 | $200,000 00 | | | |
| 16405 | Health Reserve | Health Services | National Council of the Young Mens Christian Associations of the United States of America | YMCA's Diabetes Prevention Program | 2016-2018 | $2,000,000 00 | $747,095 00 | $521,848 00 | | | |
| 16517 | Health Reserve | Health Services | Henry W Grady Health System Foundation | Improving Access to Patient-Centered Cancer Care | 2016-2020 | $2,000,000 00 | $273,240 00 | $526 760 00 | $400 000 00 | $400 000 00 | |
| 16669 | Health Reserve | Health Services | The Johns Hopkins University | Improving Cancer Care for the Underserved in Academic and Community Practice Settings | 2017-2020 | $2,000,000 00 | $800,000 00 | $400,000 00 | $400 000 00 | $400,000 00 | |
| 16693 | Health Reserve | Health Services | Arizona Board of Regents- University of Arizona | Expanding Patient-Centered Cancer Care for Underserved Patients in Southern Arizona | 2016-2020 | $1,997,410 00 | $399,482 00 | $399,482 00 | $399,482 00 | $399,482 00 | |
| 16733 | Health Reserve | Health Services | The Ohio State University Foundation | Using Technology and Navigation to Address Patients' Symptoms and Needs During Cancer Treatment | 2016-2020 | $1,997 050 00 | $272,546 00 | $526,274 00 | $399,410 00 | $399,410 00 | |
| 16739 | Health Reserve | Health Services | Northwestern University | Alliance to Advance Patient-Centered Cancer Care | 2016-2020 | $1 999,773 00 | $399,955 00 | $399,955 00 | $399,954 00 | $399,954 00 | |
| 16741 | Health Reserve | Health Services | Massachusetts General Hospital | Massachusetts General Hospital Cancer Center Patient Navigation for Underserved Populations | 2016-2020 | $1,960 796 00 | $392,159 00 | $392,159 00 | $392,159 00 | $392,160 00 | |
| 16755 | Health Reserve | Health Services | University of New Mexico Health Sciences Center | Project ECHO Amplifying Access to Care in Asia | 2016-2020 | $7,000 000 00 | $1,034,000 00 | $1,766,000 00 | $1,400,000 00 | $1,400,000 00 | |
| 16901 | Health Reserve | Health Services | The University of North Carolina at Chapel Hill | PEER SUPPORT TO ENHANCE THE SHANGHAI INTEGRATION MODEL OF DIABETES CARE EXTENSION & DISSEMINATION | 2016-2018 | $781,392 00 | $259 315 00 | $265,177 00 | | | |
| 17193 | Health | Health Services | The Regents of the University of Michigan | National Program Office for the Alliance to advance patient centered cancer care | 2017-2020 | $2 772,342 00 | $1,088,322 00 | $575,084 00 | $554,468 00 | $554,468 00 | |
| 17467 | Health | Health Services | Clearwater Valley Hospital and Clinics, Inc. | Frontier Bridges for Diabetes | 2017-2021 | $1,500,000 00 | $300,000 00 | $300,000 00 | $300,000 00 | $300,000 00 | $300 000 00 |
| 17585 | Health | Health Services | Alameda County Public Health Department | Alameda County Diabetes Disparities Reduction Initiative | 2017-2021 | $1,500,000 00 | $300 000 00 | $300 000 00 | $300,000 00 | $300,000 00 | $300,000 00 |
| 17667 | Health | Health Services | La Clinica del Pueblo, Inc | Bridging the Gap La Clinica del Pueblo | 2017-2021 | $1,498,349 00 | $299 670 00 | $299,670 00 | $299,670 00 | $299,670 00 | $299,669 00 |
| 17713 | Health | Health Services | Trenton Health Team, Inc | Capital City Diabetes Collaborative | 2017-2021 | $1,493,841 00 | $298,769 00 | $298,768 00 | $298,768 00 | $298,768 00 | $298,768 00 |
| 17735 | Health | Health Services | Western Maryland Health System Corporation | Bridging the Gap | 2017-2021 | $1,477,708 00 | $295,542 00 | $295 542 00 | $295,542 00 | $295,541 00 | $295,541 00 |
| 17803 | Health | Health Services | Marshall University Research Corporation | Sustainable Community Health Workers and Improved High-Risk Diabetes Care in Appalachia | 2017-2021 | $1,499,099 00 | $299,820 00 | $299,820 00 | $299,820 00 | $299 820 00 | $299,819 00 |

STATEMENT #8
FORM 990-PF 2016
PART XV, 3b

MERCK FOUNDATION
22-6028476
PLEDGES

| Grant ID | Budget Line | Program Area | Organization Name | Program Title | Funding Term | Revised Approved Amount, ove | 2017 Milestone Payment | 2018 Milestone Payment | 2019 Milestone Payment | 2020 Milestone Payment | 2021 Milestone Payment |
|---|---|---|---|---|---|---|---|---|---|---|---|
| 11063 | Health | Health Services | Children's Inn at NIH | The Woodmont House and Isolation Project | 2014-2021 | $5,000,000 00 | $1,000,000 00 | $250,000 00 | $250,000 00 | $250,000 00 | $250,000 00 |
| 17805 | Health | Health Services | Providence St Joseph Health | Providence Diabetes Collective Impact Initiative | 2017-2021 | $1,495,374 00 | $299,075 00 | $299,075 00 | $299,075 00 | $299,075 00 | $299,074 00 |
| 17813 | Health | Health Services | Minneapolis Health Department | Minneapolis Bridging the Gap in Diabetes Outcomes | 2017-2021 | $1 500,000 00 | $300,000 00 | $300,000 00 | $300,000 00 | $300,000 00 | $300,000 00 |
| 18187 | Health | Health Services | The University of Chicago | Bridging the Gap Reducing Disparities in Diabetes Care | 2017-2021 | $3,699,993 00 | $739,999 00 | $739,999 00 | $739,999 00 | $739,998 00 | $739,998 00 |
| | | | | | | | $12,003,589 00 | | | | |