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Return of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation

OMB No. 1545-0052

2017

Open to Public Inspection

Department of the Treasury
Internal Revenue Service

▶ Do not enter social security numbers on this form as it may be made public.
▶ Go to www.irs.gov/Form990PF for instructions and the latest information.

For calendar year 2017 or tax year beginning

, 2017, and ending

, 20

Name of Foundation THE ROBERT & MARION SCHAMANN BROZOWSKI FOUNDATION, INC.		A Employer identification number 22-3613766
Number and street (or P.O. box number if mail is not delivered to street address) 355 EAST LINDEN AVENUE		B Telephone number (see instructions) (908) 862-4990
City or town, state or province, country, and ZIP or foreign postal code LINDEN NJ 07036		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Amended return <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ \$ 9,718,002.	J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1	Contributions, gifts, grants, etc., received (attach schedule)				
2	Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. B				
3	Interest on savings and temporary cash investments	152.	152.		
4	Dividends and interest from securities	338,496.	327,741.		
5a	Gross rents				
b	Net rental income or (loss)				
6a	Net gain or (loss) from sale of assets not on line 10	197,767.			
b	Gross sales price for all assets on line 6a	2,099,928.			
7	Capital gain net income (from Part IV, line 2)		198,418.		
8	Net short-term capital gain				
9	Income modifications				
10a	Gross sales less returns and allowances				
b	Less: Cost of goods sold				
c	Gross profit or (loss) (attach schedule)				
11	Other income (attach schedule)				
12	Total. Add lines 1 through 11	536,415.	526,311.		
13	Compensation of officers, directors, trustees, etc.	10,000.	1,500.		8,500.
14	Other employee salaries and wages				
15	Pension plans, employee benefits				
16a	Legal fees (attach schedule) L-16a Stmt	4,750.	713.		4,037.
b	Accounting fees (attach schedule)				
c	Other professional fees (attach schedule) L-16c Stmt	16,001.	15,498.		503.
17	Interest				
18	Taxes (attach schedule) (see instructions) See Stmt	2,464.	646.		1,818.
19	Depreciation (attach schedule) and depletion				
20	Occupancy				
21	Travel, conferences, and meetings				
22	Printing and publications				
23	Other expenses (attach schedule)				
24	Total operating and administrative expenses. Add lines 13 through 23	33,215.	18,357.		14,858.
25	Contributions, gifts, grants paid	561,500.			561,500.
26	Total expenses and disbursements. Add lines 24 and 25	594,715.	18,357.		576,358.
27	Subtract line 26 from line 12:				
a	Excess of revenue over expenses and disbursements	-58,300.			
b	Net investment income (if negative, enter -0-)		507,954.		
c	Adjusted net income (if negative, enter -0-)				

For Paperwork Reduction Act Notice, see instructions.

Form 990-PF (2017)

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value		
Assets	1 Cash—non-interest-bearing					
	2 Savings and temporary cash investments			945,371.	661,607.	661,607.
	3 Accounts receivable ▶					
	Less: allowance for doubtful accounts ▶					
	4 Pledges receivable ▶					
	Less: allowance for doubtful accounts ▶					
	5 Grants receivable					
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)					
	7 Other notes and loans receivable (attach schedule) ▶					
	Less: allowance for doubtful accounts ▶					
	8 Inventories for sale or use					
	9 Prepaid expenses and deferred charges					
	10a Investments—U.S. and state government obligations (attach schedule)			55,389.	0.	0.
	b Investments—corporate stock (attach schedule) L-10b Stmt			4,142,878.	4,031,608.	4,909,686.
	c Investments—corporate bonds (attach schedule) L-10c Stmt			2,063,952.	2,272,215.	2,325,179.
	11 Investments—land, buildings, and equipment: basis ▶					
Liabilities	Less: accumulated depreciation (attach schedule) ▶					
	12 Investments—mortgage loans					
	13 Investments—other (attach schedule) L-13 Stmt			845,182.	1,220,754.	1,296,530.
	14 Land, buildings, and equipment: basis ▶					
	Less: accumulated depreciation (attach schedule) ▶					
	15 Other assets (describe ▶ CERTIFICATES OF INTEREST)			525,000.	525,000.	525,000.
	16 Total assets (to be completed by all filers—see the instructions. Also, see page 1, item I)			8,577,772.	8,711,184.	9,718,002.
	17 Accounts payable and accrued expenses					
	18 Grants payable					
	19 Deferred revenue					
Net Assets or Fund Balances	20 Loans from officers, directors, trustees, and other disqualified persons					
	21 Mortgages and other notes payable (attach schedule)					
	22 Other liabilities (describe ▶)			0.	0.	
	23 Total liabilities (add lines 17 through 22)			0.	0.	
	Foundations that follow SFAS 117, check here . . . ▶ <input type="checkbox"/> and complete lines 24 through 26, and lines 30 and 31.					
	24 Unrestricted					
	25 Temporarily restricted					
	26 Permanently restricted					
	Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 27 through 31.					
	27 Capital stock, trust principal, or current funds			8,577,772.	8,711,184.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund					
	29 Retained earnings, accumulated income, endowment, or other funds			0.	0.	
	30 Total net assets or fund balances (see instructions)			8,577,772.	8,711,184.	
	31 Total liabilities and net assets/fund balances (see instructions)			8,577,772.	8,711,184.	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	8,577,772.
2 Enter amount from Part I, line 27a	2	-58,300.
3 Other increases not included in line 2 (itemize) ▶ UNREALIZED GAIN	3	191,712.
4 Add lines 1, 2, and 3	4	8,711,184.
5 Decreases not included in line 2 (itemize) ▶	5	
6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	8,711,184.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr)	(d) Date sold (mo., day, yr)
1a SEE WELLS FARGO STATEMENT, ATTACHED	P	Various	Various
b SEE TD AMERITRADE STATEMENT, ATTACHED	P	Various	Various
c SEE MORGAN STANLEY STATEMENT, ATTACHED	P	Various	Various
d FROM K-1S	P	Various	Various
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) ((e) plus (f) minus (g))
a 781,119.		783,096.	-1,977.
b 1,305,223.		1,107,304.	197,919.
c 13,034.		11,110.	1,924.
d 552.		0.	552.
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69.

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col. (h))
a			-1,977.
b 0.	0.	0.	197,919.
c 0.	0.	0.	1,924.
d 0.	0.	0.	552.
e			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	198,418.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). See instructions. If (loss), enter -0- in Part I, line 8 }	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No
 If "Yes," the foundation doesn't qualify under section 4940(e). Do not complete this part.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col. (c))
2016	549,712.	9,229,173.	0.059562
2015	563,523.	9,297,127.	0.060613
2014	530,707.	9,271,354.	0.057242
2013	483,721.	8,220,667.	0.058842
2012	447,023.	8,200,284.	0.054513

2 Total of line 1, column (d)	2	0.290772
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years	3	0.058154
4 Enter the net value of noncharitable-use assets for 2017 from Part X, line 5	4	9,318,297.
5 Multiply line 4 by line 3	5	541,896.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	5,080.
7 Add lines 5 and 6	7	546,976.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.	8	576,358.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary—see instructions)		N/A	
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b	1	5,080.	
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations, enter 4% of Part I, line 12, col. (b).			
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)	2	0.	
3	Add lines 1 and 2	3	5,080.	
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)	4	0.	
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	5,080.	
6	Credits/Payments:			
a	2017 estimated tax payments and 2016 overpayment credited to 2017	6a	4,800.	
b	Exempt foreign organizations—tax withheld at source	6b		
c	Tax paid with application for extension of time to file (Form 8868)	6c		
d	Backup withholding erroneously withheld	6d		
7	Total credits and payments. Add lines 6a through 6d	7	4,800.	
8	Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8		
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	280.	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	0.	
11	Enter the amount of line 10 to be: Credited to 2018 estimated tax 0. Refunded	11		

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		x
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition If the answer is "Yes" to 1a or 1b , attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		x
c Did the foundation file Form 1120-POL for this year?		x
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ▶ \$ _____ (2) On foundation managers. ▶ \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		x
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		x
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		x
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by <i>General Instruction T</i> .		x
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	x	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	x	
8a Enter the states to which the foundation reports or with which it is registered. See instructions. ▶ NJ		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by <i>General Instruction G</i> ? If "No," attach explanation	x	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2017 or the tax year beginning in 2017? See the instructions for Part XIV. If "Yes," complete Part XIV		x
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	x	

Part VII-A Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions		x
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions		x
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A	x	
14 The books are in care of ► EDMUND FAULKNER Telephone no. ► (908) 862-4990		
Located at ► 355 E. LINDEN AVE. LINDEN NJ ZIP+4 ► 07036		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041—check here		<input type="checkbox"/>
and enter the amount of tax-exempt interest received or accrued during the year	15	
16 At any time during calendar year 2017, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?		x
See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ►		

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.**

	Yes	No
1a During the year, did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions	1b	x
Organizations relying on a current notice regarding disaster assistance, check here ► <input type="checkbox"/>		
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2017?	1c	x
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2017, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2017? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
If "Yes," list the years ► 20 , 20 , 20 , 20		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions.)	2b	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ► 20 , 20 , 20 , 20		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If "Yes," did it have excess business holdings in 2017 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2017.)	3b	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	x
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2017?	4b	x

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a	During the year, did the foundation pay or incur any amount to:		Yes	No
(1)	Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2)	Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3)	Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4)	Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5)	Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions		5b	
	Organizations relying on a current notice regarding disaster assistance, check here	<input type="checkbox"/>		
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	<input type="checkbox"/> Yes <input type="checkbox"/> No		
	If "Yes," attach the statement required by Regulations section 53.4945–5(d).			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		6b	X
	If "Yes" to 6b, file Form 8870.			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?		7b	

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1 List all officers, directors, trustees, and foundation managers and their compensation. See instructions.**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (if not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
JAMES KOSLOVSKI 355 EAST LINDEN AVENUE LINDEN NJ 07036	PRESIDENT 5.00	2,000.	0.	0.
EDMUND FAULKNER 355 EAST LINDEN AVENUE LINDEN NJ 07036	VICE PRESIDENT 5.00	2,000.	0.	0.
VERONICA DANIEL 355 EAST LINDEN AVENUE LINDEN NJ 07036	SECRETARY 2.00	2,000.	0.	0.
See Statement	4.00	4,000.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3 Five highest-paid independent contractors for professional services. See instructions. If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services **0**

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	8,511,945.
b	Average of monthly cash balances	1b	423,255.
c	Fair market value of all other assets (see instructions)	1c	525,000.
d	Total (add lines 1a, b, and c)	1d	9,460,200.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	9,460,200.
4	Cash deemed held for charitable activities. Enter 1½% of line 3 (for greater amount, see instructions)	4	141,903.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	9,318,297.
6	Minimum investment return. Enter 5% of line 5	6	465,915.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	465,915.
2a	Tax on investment income for 2017 from Part VI, line 5	2a	5,080.
b	Income tax for 2017. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	5,080.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	460,835.
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	460,835.
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	460,835.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1a	576,358.
b	Program-related investments—total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8; and Part XIII, line 4	4	576,358.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions	5	5,080.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	571,278.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2016	(c) 2016	(d) 2017
1 Distributable amount for 2017 from Part XI, line 7				460,835.
2 Undistributed income, if any, as of the end of 2017:				
a Enter amount for 2016 only			0.	
b Total for prior years: 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2017:				
a From 2012	44,988.			
b From 2013	82,310.			
c From 2014	80,861.			
d From 2015	138,989.			
e From 2016	97,429.			
f Total of lines 3a through e	444,577.			
4 Qualifying distributions for 2017 from Part XII, line 4: ► \$ 576,358.				
a Applied to 2016, but not more than line 2a				
b Applied to undistributed income of prior years (Election required—see instructions)				
c Treated as distributions out of corpus (Election required—see instructions)				
d Applied to 2017 distributable amount				460,835.
e Remaining amount distributed out of corpus	115,523.			
5 Excess distributions carryover applied to 2017 (If an amount appears in column (d), the same amount must be shown in column (a).)				
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	560,100.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b. Taxable amount—see instructions		0.		
e Undistributed income for 2016. Subtract line 4a from line 2a. Taxable amount—see instructions			0.	
f Undistributed income for 2017. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2018				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required—see instructions)				
8 Excess distributions carryover from 2012 not applied on line 5 or line 7 (see instructions)	44,988.			
9 Excess distributions carryover to 2018. Subtract lines 7 and 8 from line 6a	515,112.			
10 Analysis of line 9:				
a Excess from 2013	82,310.			
b Excess from 2014	80,861.			
c Excess from 2015	138,989.			
d Excess from 2016	97,429.			
e Excess from 2017	115,523.			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

N/A

- 1a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2017, enter the date of the ruling
- b** Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2017	(b) 2016	(c) 2015	(d) 2014	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test—enter:					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test—enter $\frac{2}{3}$ of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test—enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)**1 Information Regarding Foundation Managers:**

- a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

MARION BROZOWSKI (DATE OF DEATH: MAY 5, 2008)

- b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

N/A

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc., to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions.

- a** The name, address, and telephone number or email address of the person to whom applications should be addressed:

See Supplementary Information Statement

- b** The form in which applications should be submitted and information and materials they should include:

- c** Any submission deadlines:

- d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
AMERICA'S GROW A ROW 150 PITTSTOWN ROAD PITTSTOWN NJ 08867	NO RELATIONSHIP	PUBLIC	GENERAL CHARITABLE CONTRIBUTION	7,500.
ASSOCIATED HUMANE SOCIETIES ANIMAL RESCUE 124 EVERGREEN AVENUE NEWARK NJ 07114	NO RELATIONSHIP	PUBLIC	GENERAL CHARITABLE CONTRIBUTION	18,500.
BONNIE BRAE 3415 VALLEY ROAD LIBERTY CORNER NJ 07938	NO RELATIONSHIP	PUBLIC	GENERAL CHARITABLE CONTRIBUTION	25,000.
CAT ADOPTION AND PET INFO CENTER PO BOX 266 MARTINSVILLE NJ 08836	NO RELATIONSHIP	PUBLIC	GENERAL CHARITABLE CONTRIBUTION	17,000.
CENTER FOR HOPE HOSPICE 1900 RARITAN ROAD SCOTCH PLAINS NJ 07076	NO RELATIONSHIP	PUBLIC	GENERAL CHARITABLE CONTRIBUTION	30,000.
JACK'S KIDS 375 UNION AVENUE BRIDGEWATER NJ 08807	NO RELATIONSHIP	PUBLIC	GENERAL CHARITABLE CONTRIBUTION	6,500.
COMMUNITY FOOD BANK OF NJ 33 EVANS TERMINAL ROAD HILLSIDE NJ 07205	NO RELATIONSHIP	PUBLIC	GENERAL CHARITABLE CONTRIBUTION	11,000.
DEBORAH HOSPITAL FOUNDATION 20 PINE MILL ROAD BROWNS MILLS NJ 08015	NO RELATIONSHIP	PUBLIC	GENERAL CHARITABLE CONTRIBUTION	15,000.
FOOD BANK OF OCEAN & MONMOUTH COUNTIES 3300 ROUTE 66 NEPTUNE NJ 07753	NO RELATIONSHIP	PUBLIC	GENERAL CHARITABLE CONTRIBUTION	20,000.
See Statement				411,000.
Total			3a	561,500.
b Approved for future payment				
Total			3b	

Enter gross amounts unless otherwise indicated.

(See worksheet in line 13 instructions to verify calculations.)

Line No.

Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See instructions.)

[illegible]

Part XVII Information Regarding Transfers to and Transactions and Relationships With Noncharitable Exempt Organizations

- | | | | | |
|----------|--|--------------|------------|-----------|
| 1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | Yes | No |
| a | Transfers from the reporting foundation to a noncharitable exempt organization of: | | | |
| | (1) Cash | 1a(1) | | x |
| | (2) Other assets | 1a(2) | | x |
| b | Other transactions: | | | |
| | (1) Sales of assets to a noncharitable exempt organization | 1b(1) | | x |
| | (2) Purchases of assets from a noncharitable exempt organization | 1b(2) | | x |
| | (3) Rental of facilities, equipment, or other assets | 1b(3) | | x |
| | (4) Reimbursement arrangements | 1b(4) | | x |
| | (5) Loans or loan guarantees | 1b(5) | | x |
| | (6) Performance of services or membership or fundraising solicitations | 1b(6) | | x |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | | x |
| d | If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. | | | |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No
- b** If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

**Sign
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer or trustee

5/15/18
Date

PRESIDENT
Title

May the IRS discuss this return with the preparer shown below?
See instructions ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name

ROBERT R STANICKI

Preparer's signature

Date _____

05/14/2018

Check ☒ if self-employed

PTIN

P01467546

Firm's name ▶ MACKEVICH BURKE & STANICKI

Firm's address ► 1435 RARITAN ROAD

Firm's EIN ► 22-2363155

Phone no (732) 388-2121

BAA

CLARK

NJ 07066

Form **990-PF** (2017)

Form 990-PF: Return of Private Foundation**Part XV, Line 2: Supplementary Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc.** **Continuation Statement**

Name and Address Information	Form Information	Submission Information	Restrictions
EDMUND FAULKNER 355 EAST LINDEN AVENUE LINDEN, NJ 07036 908-862-4990	NO PRESCRIBED FORM	NO DEADLINE DATE	NONE

Form 990-PF: Return of Private Foundation**Part XV, Line 3a: Grants and Contributions Paid During the Year****Continuation Statement**

Recipient name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<i>a Paid during the year</i>				
FRANCISCAN CHARITIES 117 HIGHWAY 35 SOUTH KEYPORT, NJ 07735	NO RELATIONSHIP	PUBLIC	GENERAL CHARITABLE CONTRIBUTION	43,000.
FRIENDS OF LINDEN ANIMAL SHELTER 1305 WOODLAWN AVENUE LINDEN, NJ 07036	NO RELATIONSHIP	PUBLIC	GENERAL CHARITABLE CONTRIBUTION	12,000.
FRIENDS OF CHILD ADVOCACY CENTER 783 SPRINGFIELD AVENUE SUMMIT, NJ 07901	NO RELATIONSHIP	PUBLIC	GENERAL CHARITABLE CONTRIBUTION	5,000.
TAMERLAINE FARM SANCTUARY 147 RIVER ROAD MONTAGUE, NJ 07827	NO RELATIONSHIP	PUBLIC	GENERAL CHARITABLE CONTRIBUTION	15,000.
HOOR CHILDREN 36-11A 12TH STREET LONG ISLAND CITY, NY 11106	NO RELATIONSHIP	PUBLIC	GENERAL CHARITABLE CONTRIBUTION	32,000.
JERSEY SHORE ANIMAL CENTER 185 BRICK BOULEVARD BRICK, NJ 08723	NO RELATIONSHIP	PUBLIC	GENERAL CHARITABLE CONTRIBUTION	15,000.
NOAH'S ARK ANIMAL RESCUE PO BOX 5734 CLARK, NJ 07066	NO RELATIONSHIP	PUBLIC	GENERAL CHARITABLE CONTRIBUTION	7,000.
OPERATION SMILE 6435 TIDEWATER DRIVE NORFOLK, VA 23509	NO RELATIONSHIP	PUBLIC	GENERAL CHARITABLE CONTRIBUTION	15,000.
PANCREATIC CANCER ACTION NETWORK 1500 ROSECRANS AVENUE MANHATTAN BEACH, CA 90266	NO RELATIONSHIP	PUBLIC	GENERAL CHARITABLE CONTRIBUTION	23,000.
ST. HUBERT'S ANIMAL SHELTER 575 WOODLAND AVENUE MADISON, NJ 07940	NO RELATIONSHIP	PUBLIC	GENERAL CHARITABLE CONTRIBUTION	6,000.
ST. JOSEPH'S SOCIAL SERVICE CENTER 118 DIVISION STREET ELIZABETH, NJ 07201	NO RELATIONSHIP	PUBLIC	GENERAL CHARITABLE CONTRIBUTION	34,000.
ST. JUDE CHILDREN'S RESEARCH HOSPITAL 501 ST. JUDE PLACE MEMPHIS, TN 38105	NO RELATIONSHIP	PUBLIC	GENERAL CHARITABLE CONTRIBUTION	35,000.
SPAY USA FUND SOMERSET 100 COMMONS WAY BRIDGEWATER, NJ 08807	NO RELATIONSHIP	PUBLIC	GENERAL CHARITABLE CONTRIBUTION	5,500.
TABBY'S PLACE 1100 US HWY 202 RINGOES, NJ 08551	NO RELATIONSHIP	PUBLIC	GENERAL CHARITABLE CONTRIBUTION	6,000.
THE LUSTGARTEN FOUNDATION FOR RESEARCH 1111 STEWART AVENUE BETHPAGE, NY 11714	NO RELATIONSHIP	PUBLIC	GENERAL CHARITABLE CONTRIBUTION	18,000.
THE SALVATION ARMY PO BOX 1428 ALEXANDRIA, VA 22313	NO RELATIONSHIP	PUBLIC	GENERAL CHARITABLE CONTRIBUTION	15,000.
VICTORY JUNCTION 4500 ADAMS WAY RANDLEMAN, NC 27317	NO RELATIONSHIP	PUBLIC	GENERAL CHARITABLE CONTRIBUTION	10,000.

Form 990-PF: Return of Private Foundation**Part XV, Line 3a: Grants and Contributions Paid During the Year****Continuation Statement**

Recipient name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<i>a Paid during the year</i>				
WOODLANDS WILDLIFE REFUGE 676 COUNTY ROAD 513 PITTSTOWN, NJ 08867	NO RELATIONSHIP	PUBLIC	GENERAL CHARITABLE CONTRIBUTION	8,500.
WOUNDED WARRIOR PROJECT 370 7th AVE., STE 1802 NEW YORK, NY 10001	NO RELATIONSHIP	PUBLIC	GENERAL CHARITABLE CONTRIBUTION	35,000.
YOUTH CONSULTATION SERVICE FOUNDATION 284 BROADWAY NEWARK, NJ 07104	NO RELATIONSHIP	PUBLIC	GENERAL CHARITABLE CONTRIBUTION	3,500.
RISING TIDE 334 MARTIN LUTHER KING DR. JERSEY CITY, NJ 07305	NO RELATIONSHIP	PUBLIC	GENERAL CHARITABLE CONTRIBUTION	16,000.
ROOTS AND WINGS 75 BLOOMFIELD AVENUE DENVER, CO 80202	NO RELATIONSHIP	PUBLIC	GENERAL CHARITABLE CONTRIBUTION	20,000.
RIDING WITH HEART 639 COUNTY ROAD 513 PITTSTOWN, NJ 08867	NO RELATIONSHIP	PUBLIC	GENERAL CHARITABLE CONTRIBUTION	8,000.
THE TEARS FOUNDATION 11102 SUNRISE BLVD E, STE 112 PUYALLUP, WA 98374	NO RELATIONSHIP	PUBLIC	GENERAL CHARITABLE CONTRIBUTION	10,000.
MAIJA GOLDEN CREATIVE WRITING FOUNDATION 1767 LONG HILL RD MILLINGTON, NJ 07946	NO RELATIONSHIP	PUBLIC	GENERAL CHARITABLE CONTRIBUTION	2,500.
GREG OLSEN FOUNDATION 200 EAST LAS OLAS BOULEVARD FORT LAUDERDALE, FL 33301	NO RELATIONSHIP	PUBLIC	GENERAL CHARITABLE CONTRIBUTION	5,000.
PUPPIES BEHIND BARS 263 W 38TH STREET NEW YORK, NY 10018	NO RELATIONSHIP	PUBLIC	GENERAL CHARITABLE CONTRIBUTION	6,000.
				411,000.

Form 990-PF: Return of Private Foundation

Part VIII: Information about Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors **Continuation Statement**

Name and address	Title, and average hours per week devoted to position	Compensation	Contributions to employee benefit plans and deferred compensation	Expense account, other allowances
PHYLLIS CORDUAN 355 EAST LINDEN AVENUE LINDEN, NJ 07036	ASST. SECRETARY	2,000.	0.	0.
	2.00			
ROBERT R. STANICKI 1435 RARITAN ROAD CLARK, NJ 07066	TREASURER	2,000.	0.	0.
	2.00			
		4,000.	0.	0.

Additional information from your Form 990-PF: Return of Private Foundation**Form 990-PF: Return of Private Foundation****Taxes****Continuation Statement**

Description	Revenue and Expense per Book	Net Investment Income	Adjusted Net Income	Disbursement for charitable purpose
MORGAN STANLEY - FOREIGN TAX	479.	479.		
TD AMERITRADE - FOREIGN TAX	167.	167.		
NJ REGISTRATION TAX	30.			30.
2017 FED. EXCISE TAX PAID	1,788.			1,788.
Total	2,464.	646.		1,818.

Name

THE ROBERT & MARION SCHAMANN BROZOWSKI FOUNDATION, INC.

Employer Identification No

22-3613766

Asset Information:

Description of Property PUBLICALLY TRADED SECURITIES

Business Code Exclusion Code . . . 18

Date Acquired How Acquired

Date Sold Name of Buyer

Check Box, if Buyer is a Business . . . ☐

Sales Price. 2,099,928. Cost or other basis (do not reduce by depreciation). 1,902,161.

Sales Expense Valuation Method.

Total Gain (Loss) 197,767. Accumulated Depreciation

Description of Property

Business Code Exclusion Code

Date Acquired How Acquired

Date Sold Name of Buyer

Check Box, if Buyer is a Business . . . ☐

Sales Price. Cost or other basis (do not reduce by depreciation).

Sales Expense Valuation Method.

Total Gain (Loss) Accumulated Depreciation

Description of Property

Business Code Exclusion Code

Date Acquired How Acquired

Date Sold Name of Buyer

Check Box, if Buyer is a Business . . . ☐

Sales Price. Cost or other basis (do not reduce by depreciation).

Sales Expense Valuation Method.

Total Gain (Loss) Accumulated Depreciation

Description of Property

Business Code Exclusion Code

Date Acquired How Acquired

Date Sold Name of Buyer

Check Box, if Buyer is a Business . . . ☐

Sales Price. Cost or other basis (do not reduce by depreciation).

Sales Expense Valuation Method.

Total Gain (Loss) Accumulated Depreciation

Description of Property

Business Code Exclusion Code

Date Acquired How Acquired

Date Sold Name of Buyer

Check Box, if Buyer is a Business . . . ☐

Sales Price. Cost or other basis (do not reduce by depreciation).

Sales Expense Valuation Method.

Total Gain (Loss) Accumulated Depreciation

Totals:

Total Gain (Loss) of all assets 197,767.

Gross Sales Price of all assets 2,099,928.

Unrelated Business Income Business Code

Excluded by section 512, 513, 514 197,767. Exclusion Code . . . 18

Related/Exempt Function Income

QuickZoom here to Form 990-PF, Page 1 ►

QuickZoom here to Form 990-PF, Page 12 ►

Name	Employer Identification No
THE ROBERT & MARION SCHAMANN BROZOWSKI FOUNDATION, INC.	22-3613766

Line 16a - Legal Fees

Name of Provider	Type of Service Provided	Amount Paid Per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
MACKEVICH, BU	LEGAL	4,750.			
Total to Form 990-PF, Part I, Line 16a		4,750.			

Line 16b - Accounting Fees

Name of Provider	Type of Service Provided	Amount Paid Per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Total to Form 990-PF, Part I, Line 16b					

Line 16c - Other Professional Fees

Name of Provider	Type of Service Provided	Amount Paid Per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ROOSEVELT INV	MANAGEMENT FEE	5,381.	5,210.		
ROOSEVELT INV	MANAGEMENT FEE	3,346.	3,240.		
ROOSEVELT INV	MANAGEMENT FEE	3,472.	3,362.		
ROOSEVELT INV	MANAGEMENT FEE	3,648.	3,532.		
MORGAN STANLE	MANAGEMENT FEE	154.	154.		
Total to Form 990-PF, Part I, Line 16c		16,001.	15,498.		

Name

THE ROBERT & MARION SCHAMANN BROZOWSKI

Employer Identification No

22-3613766

Line 10a - Investments - US and State Government Obligations:	End of Year		End of Year	
	State and Local Obligations Book Value	State and Local Obligations FMV	US Government Obligations Book Value	US Government Obligations FMV
Tot to Fm 990-PF, Pt II, Ln 10a				

Line 10b - Investments - Corporate Stock:	End of Year	
	Book Value	Fair Market Value
SEE STATEMENT	4,031,608.	4,909,686.
Totals to Form 990-PF, Part II, Line 10b	4,031,608.	4,909,686.

Line 10c - Investments - Corporate Bonds:	End of Year	
	Book Value	Fair Market Value
SEE STATEMENT	2,272,215.	2,325,179.
Totals to Form 990-PF, Part II, Line 10c	2,272,215.	2,325,179.

Line 12 - Investments - Mortgage loans:	End of Year	
	Book Value	Fair Market Value
Totals to Form 990-PF, Part II, Line 12		

Line 13 - Investments - Other:	End of Year	
	Book Value	Fair Market Value
SEE STATEMENT	1,220,754.	1,296,530.
Totals to Form 990-PF, Part II, Line 13	1,220,754.	1,296,530.

Form 990-PF, Page 2, Part II
Line 10b Statement

2017

CORPORATE STOCK

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
3M CO	\$ 16,757.03	\$ 22,124.78
ABBVIE INC	\$ 20,106.81	\$ 31,817.59
ALPHABET INC	\$ 27,121.98	\$ 49,509.80
ALPHABET INC	\$ 25,020.08	\$ 31,602.00
ALTRIA GROUP INC	\$ 47,648.98	\$ 111,946.81
AMAZON	\$ 24,539.38	\$ 35,084.10
AMAZON.COM INC	\$ 26,744.21	\$ 43,270.39
AMERICAN AIRLS	\$ 25,063.60	\$ 28,252.29
APPLE INC	\$ 34,077.05	\$ 53,984.37
APTIV PLC	\$ 22,411.33	\$ 21,970.97
AT&T INC	\$ 203,036.21	\$ 264,627.62
BERKSHIRE HATHAWAY INC DEL	\$ 29,233.71	\$ 46,978.14
BLACKSTONE GROUP	\$ 25,099.84	\$ 26,224.38
BRISTOL MYERS SQUIBB CO	\$ 104,922.62	\$ 192,385.67
BRITISH AMERICAN TOB	\$ 104,434.52	\$ 101,148.14
BUCKEYE PARTNERS L P	\$ 80,155.25	\$ 62,199.22
CABOT OIL & GAS CORP	\$ 13,047.05	\$ 15,129.40
CATERPILLAR INC	\$ 13,415.75	\$ 24,424.90
CHEVRON CORP	\$ 12,828.05	\$ 16,650.27
CITI PLUS ON RTY	\$ 100,000.00	\$ 110,300.00
CITIGROUP INC	\$ 25,066.50	\$ 32,293.94
CME GROUP INC	\$ 24,299.42	\$ 36,074.35
COMCAST CORP	\$ 2,535.00	\$ 12,015.00
CONOCOPHILLIPS	\$ 58,933.95	\$ 55,147.65
COSTAMARE INC	\$ 30,164.15	\$ 31,994.65
CROWN CASTLE INTL CORP	\$ 19,866.00	\$ 24,644.22
CS PLUS ON SPX	\$ 75,000.00	\$ 81,375.00
CS PLUS ON SX5E	\$ 75,000.00	\$ 81,375.00
DOWDUPONT INC	\$ 24,979.25	\$ 29,769.96
DUKE ENERGY	\$ 102,752.43	\$ 113,723.95
FACEBOOK INC	\$ 7,169.82	\$ 29,645.28
GILEAD SCIENCE	\$ 20,059.13	\$ 19,557.72
GLAXO SMITH KLINE	\$ 228,240.77	\$ 198,412.90
HOME DEPOT INC	\$ 10,013.13	\$ 26,155.14
HONEYWELL INTERNATIONAL INC	\$ 19,062.99	\$ 28,218.24
ISHARES ET U.S. PREFERRED STOCK	\$ 161,558.74	\$ 155,345.92
JOHNSON & JOHNSON	\$ 32,802.28	\$ 37,444.96
JPM DUAL DIRECTIONAL	\$ 34,000.00	\$ 39,304.00
KIMBERLY-CLARK CORP	\$ 69,318.75	\$ 124,392.13
KRAFT FOODS GROUP	\$ 59,579.00	\$ 91,128.65
KRAFT HEINZ CO	\$ 19,544.61	\$ 17,651.52
LAM RESEARCH CORP	\$ 8,326.31	\$ 16,750.37

LAS VEGAS SANDS CORP	\$	18,964.26	\$	23,904.56
LOCKHEED MARTIN	\$	20,075.32	\$	30,499.75
LOCKHEED MARTIN CORP	\$	54,771.08	\$	163,180.07
MARKETAXESS HOLDINGS INC	\$	19,242.73	\$	24,411.75
MARTIN MARIETTA MATLS INC	\$	15,273.01	\$	16,135.92
MASTERCARD INC	\$	10,579.19	\$	30,726.08
MICROCHIP TECHNOLOGY INC	\$	19,563.46	\$	33,218.64
MICROSOFT CORP	\$	19,945.37	\$	26,004.16
NEXTERA ENERGY INC	\$	23,033.09	\$	33,893.23
NORTHROP GRUMMAN CORP	\$	20,721.66	\$	33,453.19
NRG YIELD INC	\$	30,027.70	\$	33,434.10
NUSTAR ENERGY LP	\$	80,754.70	\$	42,969.11
OLD DOMINION FREIGHT LINE	\$	15,938.49	\$	37,097.10
PEPSICO INC	\$	20,129.74	\$	22,784.80
PFIZER INC	\$	13,558.48	\$	13,654.94
POWERSHARES ET PREFERRED	\$	162,898.15	\$	165,777.53
PPL CORPORATION	\$	125,990.94	\$	119,501.54
PROLOGIS	\$	13,090.67	\$	15,675.93
ROSS STORES INC	\$	17,826.56	\$	37,958.25
ROYAL DUTCH SHELL PLC	\$	114,285.98	\$	135,101.15
S&P GLOBAL INC	\$	16,451.20	\$	21,852.60
SALESFORCE.COM, INC.	\$	25,088.41	\$	31,997.99
SCANA CORP	\$	114,361.68	\$	114,995.62
SCHLUMBERGER LTD	\$	25,053.08	\$	20,823.51
SPDR BARCLAYS ET LONG TERM	\$	236,365.90	\$	261,911.73
STARBUCKS CORP	\$	25,089.11	\$	25,786.07
SUBURBAN PROPANE PARTNERS L.P.	\$	79,785.62	\$	43,882.52
SUNTRUST BKS INC	\$	19,937.19	\$	29,323.86
THE SOUTHERN COMPANY	\$	139,706.03	\$	161,813.61
TRANSNET CORPORATION	\$	1.00	\$	1.00
UNION PAC CORP	\$	11,882.17	\$	17,164.80
UNITED TECHNOLOGIES CORP	\$	20,081.08	\$	22,835.03
UNITEDHEALTH GROUP INC	\$	18,113.43	\$	24,691.52
US BANCORP	\$	24,907.97	\$	32,094.42
VANGUARD LONG TERM CORP BOND	\$	235,144.67	\$	263,786.83
VERIZON COMMUNICATIONS	\$	21,442.47	\$	45,043.43
VERIZON COMMUNICATIONS	\$	137,591.07	\$	180,247.98
TOTALS	\$	4,031,608.34	\$	4,909,685.71

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CORPORATE BONDS

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
EBAY INC CPN 2.500% DUE 03/09/18	\$ 50,727.00	\$ 50,046.50
LAB CORP OF AMER 2.500% DUE 11/01/18	\$ 50,727.50	\$ 50,153.00
AT&T INC CPN 2.375% DUE 11/27/18	\$ 50,636.50	\$ 50,152.50
WISCONSIN PUBLIC SVC 1.650% DUE 12/04/18	\$ 50,195.00	\$ 49,836.00
GATX CORP CPN 2.500% DUE 03/15/19	\$ 75,973.00	\$ 74,967.75
BOEING CAPITAL 4.700% DUE 10/27/19	\$ 75,288.50	\$ 78,345.75
SOUTHWEST AIRLINES 2.750% DUE 11/06/19	\$ 51,165.00	\$ 50,352.50
ABBOTT LABS 2.350% DUE 11/22/19	\$ 50,593.00	\$ 50,005.00
SOUTHERN POWER 1.950% DUE 12/15/19	\$ 30,094.60	\$ 29,749.80
GOLDMAN SACHS 5.375% DUE 03/15/20	\$ 51,094.00	\$ 53,013.50
L-3 COMMUNICATIONS 4.750% DUE 07/15/20	\$ 98,400.00	\$ 105,196.00
MORGAN STANLEY CPN 5.500% DUE 07/24/20	\$ 154,896.50	\$ 160,816.50
ALCOA INC CPN 5.400% DUE 04/15/21	\$ 153,512.00	\$ 159,150.00
HEWLETT-PACKARD 4.300% DUE 06/01/21	\$ 152,556.00	\$ 157,251.00
PEPSICO INC CPN 3.000% DUE 08/25/21	\$ 236,802.50	\$ 234,974.90
PERKINELMER INC 5.000% DUE 11/15/21	\$ 77,616.50	\$ 80,850.75
AT&T INC CPN 2.625% DUE 12/01/22	\$ 49,585.00	\$ 49,215.00
TRINITY INDUSTRIES 4.550% DUE 10/01/24	\$ 50,893.00	\$ 49,937.00
CITIGROUP INC CPN 4.400% DUE 06/10/25	\$ 51,463.00	\$ 52,764.00
MORGAN STANLEY 2.477% DUE 11/29/26	\$ 49,722.00	\$ 46,036.50
FORD MOTOR CREDIT 4.200% DUE 02/20/27	\$ 50,000.00	\$ 49,887.00
JERSEY CENTRAL P&L 6.400% DUE 05/15/36	\$ 63,932.00	\$ 67,188.00
WESTERN UNION 6.200% DUE 11/17/36	\$ 163,235.00	\$ 165,412.50
AMGEN INC CPN 6.375% DUE 06/01/37	\$ 85,597.63	\$ 93,047.63
TEVA PHARM 1.700% DUE 07/19/19	\$ 49,563.50	\$ 48,575.00
BARCLAYS BANK PLC 5.140% DUE 10/14/20	\$ 147,596.00	\$ 158,832.00
ROYAL BK OF SCOTLAND 6.125% DUE 01/11/21	\$ 100,350.00	\$ 109,423.00
TOTALS	\$ 2,272,214.73	\$ 2,325,179.08

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CASH AND SAVINGS ACCOUNTS

<u>CASH</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
WELLS FARGO BANK, N.A.	\$ 567,411.00	\$ 567,411.00
TD INSURED DEPOSIT ACCOUNT	\$ 27,879.77	\$ 27,879.77
MORGAN STANLEY BANK	\$ 66,316.68	\$ 66,316.68
TOTAL CASH & SAVINGS ACCOUNTS	\$ 661,607.45	\$ 661,607.45

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OTHER INVESTMENTS

<u>MUTUAL FUNDS and ETFs</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
SELECT SECTOR SPDR	\$ 35,665.13	\$ 36,627.24
DFA INTERNATIONAL CORE	\$ 97,819.11	\$ 115,220.60
DFA US CORE EQUITY 1	\$ 34,028.97	\$ 38,661.12
DFA US CORE EQUITY 2	\$ 177,510.69	\$ 196,405.78
DFA EMERGING MARKETS	\$ 47,553.27	\$ 55,497.82
ALERIAN MLP ETF	\$ 36,194.71	\$ 28,776.93
BLACKSTONE/GSO	\$ 35,043.70	\$ 34,812.00
INDUSTRIAL SEL SEC SPDR	\$ 20,101.20	\$ 23,609.04
ISHARES GLOBAL FINANCIALS ETF	\$ 30,123.63	\$ 35,244.72
ISHARES INC MSCI JAPAN ETF	\$ 20,109.96	\$ 23,492.56
ISHARES INTL SELECT DIV ETF	\$ 33,374.03	\$ 35,040.23
SPDR GOLD TR GOLD SHS	\$ 31,021.79	\$ 30,912.50
WISDOMTREE TR EMER MKT HIGH FD	\$ 39,122.65	\$ 39,137.05
WISDOMTREE TRUST JAPN HEDGE EQ	\$ 25,099.61	\$ 29,605.67
ALLIANCEBERNSTEIN	\$ 75,398.33	\$ 74,413.98
JANUS FUND CLASS T	\$ 70,057.40	\$ 120,135.01
AMERICAN HIGH INCOME TRUST CLASS A	\$ 75,005.00	\$ 65,577.56
LORD ABBETT HIGH YIELD FD	\$ 75,005.00	\$ 71,650.12
PIMCO HIGH YIELD FUND CL A	\$ 75,005.00	\$ 68,923.07
PRINCIPAL FDS INC HIGH YIELD FD	\$ 75,005.00	\$ 67,374.40
NUVEEN DIVIDEND ADVANTG	\$ 112,509.66	\$ 105,412.53
TOTAL OTHER INVESTMENTS	\$ 1,220,753.84	\$ 1,296,529.93